

# The Cryptographic Layer of Biometric Authentication

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  - A server verifies identities by comparing the *similarity*, instead of equivalence.
- Unlike password, biometrics reveal personal information and cannot be changed.
- Possibly non-negligible false positive/negative rates.

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# Notation

- $\lambda$ : the security parameter.
- $\text{poly}(\text{negl})$  denotes a polynomial (negligible) function of  $\lambda$ .
- Sample a value  $r$  from a distribution  $\mathcal{D}$  (uniformly from a set  $S$ ) is  $r \leftarrow \$ \mathcal{D}$  ( $r \leftarrow \$ S$ ).

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- $\text{TempSamp}(\mathcal{B})$ : Sample a biometric template  $\mathbf{b}$  from  $\mathcal{B}$ . ( $\mathbf{b} \leftarrow_{\$} \mathcal{B}$ )

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- $\text{BioCompare}(\mathbf{b}, \mathbf{b}') \rightarrow s$ : Given two templates  $\mathbf{b}$  and  $\mathbf{b}'$ , output a score  $s$ .

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  - $\text{BioCompare}(\mathbf{b}, \mathbf{b}') \rightarrow s$ : Given two templates  $\mathbf{b}$  and  $\mathbf{b}'$ , output a score  $s$ .
  - $\text{Verify}(s) \rightarrow r \in \{0, 1\}$ : Determine whether this is a successful authentication ( $r = 1$ ) or not ( $r = 0$ ).
- 
- $\mathcal{O}_{\mathcal{B}}$ : When queried, return a biometric template  $\mathbf{b} \leftarrow_{\$} \mathcal{B}$ .

# Cryptographic Layer

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- $\text{Setup}(1^\lambda) \rightarrow \text{esk}, \text{psk}, \text{csk}$ : Output the *enrollment secret key*  $\text{esk}$ , *probe secret key*  $\text{psk}$ , and *comparison secret key*  $\text{csk}$ .

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- $\text{Probe}(\text{psk}, \mathbf{b}') \rightarrow \mathbf{c}_y$ : On input a biometric template  $\mathbf{b}'$ , it encodes it into a vector  $\mathbf{y}$  and outputs the probe message  $\mathbf{c}_y$ .
- $\text{Compare}(\text{csk}, \mathbf{c}_x, \mathbf{c}_y) \rightarrow s$ : It compares the enrollment message  $\mathbf{c}_x$  and probe message  $\mathbf{c}_y$  and outputs a score  $s$ .



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## Correctness

For any  $\mathcal{B}, \mathcal{B}' \in \mathbb{B}$ ,

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For any  $\mathcal{B}, \mathcal{B}' \in \mathbb{B}$ , let  $\mathbf{b} \leftarrow \text{getEnroll}^{\mathcal{O}_{\mathcal{B}}}()$ ,  $\mathbf{b}' \leftarrow \text{getProbe}^{\mathcal{O}_{\mathcal{B}'}}()$ ,

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$$\Pr [\text{Compare}(\text{csk}, \mathbf{c}_x, \mathbf{c}_y) = \text{BioCompare}(\mathbf{b}, \mathbf{b}')] = 1 - \text{negl}.$$

## Usage Example: Enrollment

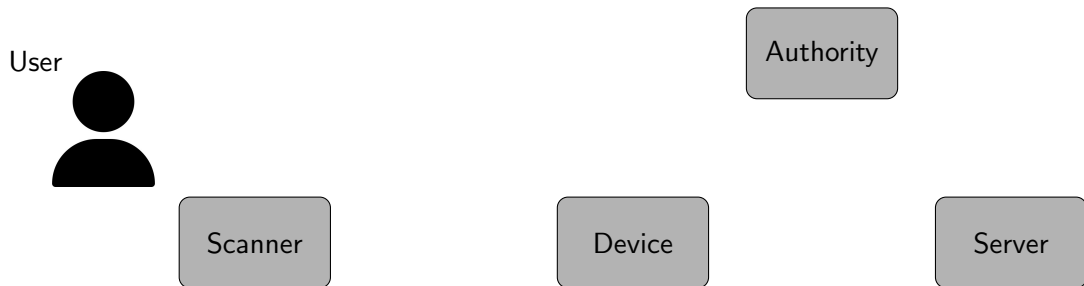


Figure: Usage Example: Enrollment

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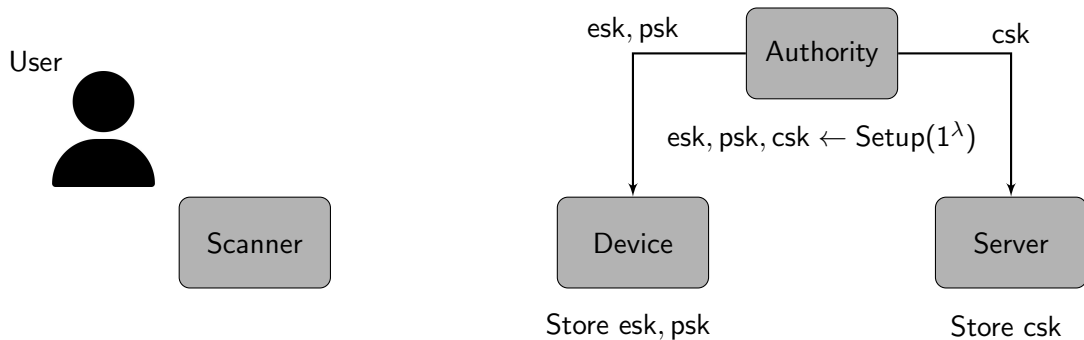


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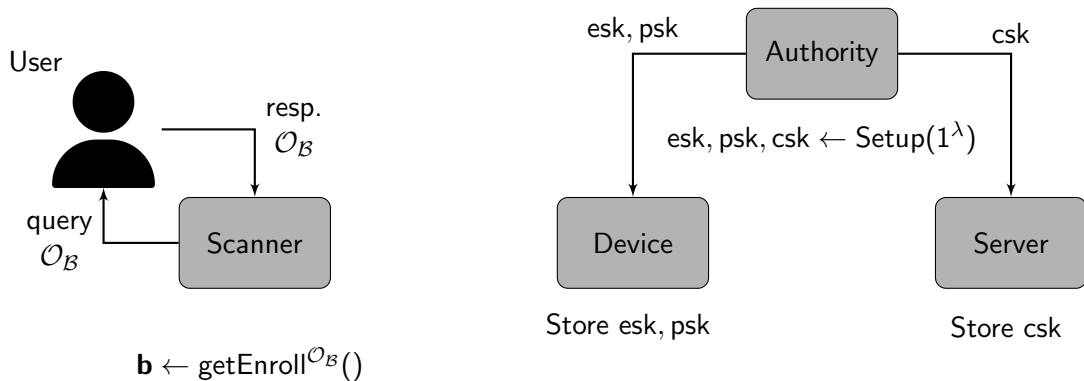


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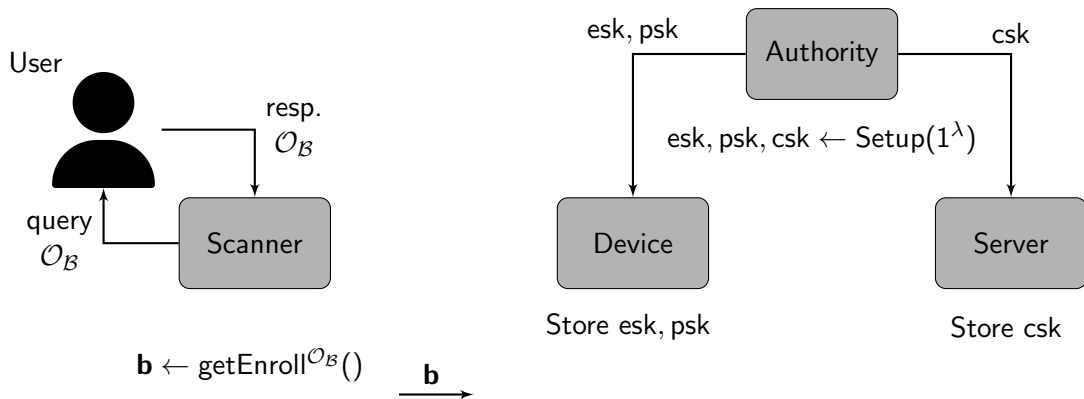


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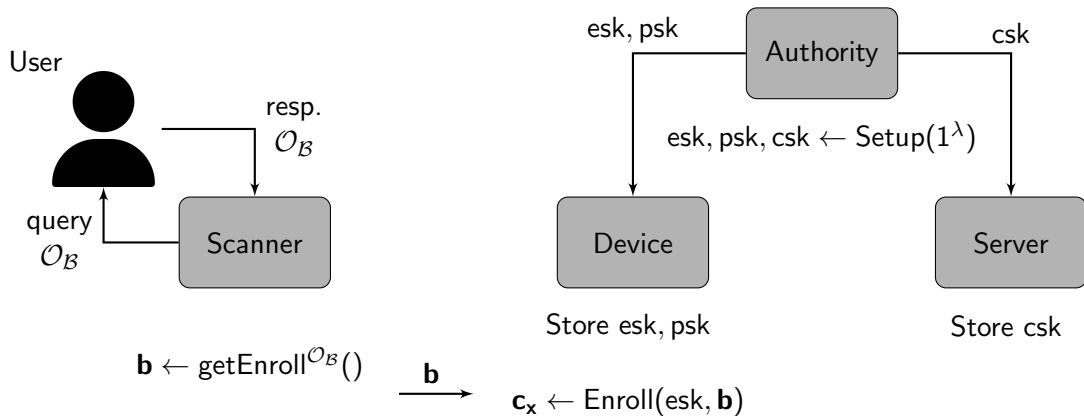


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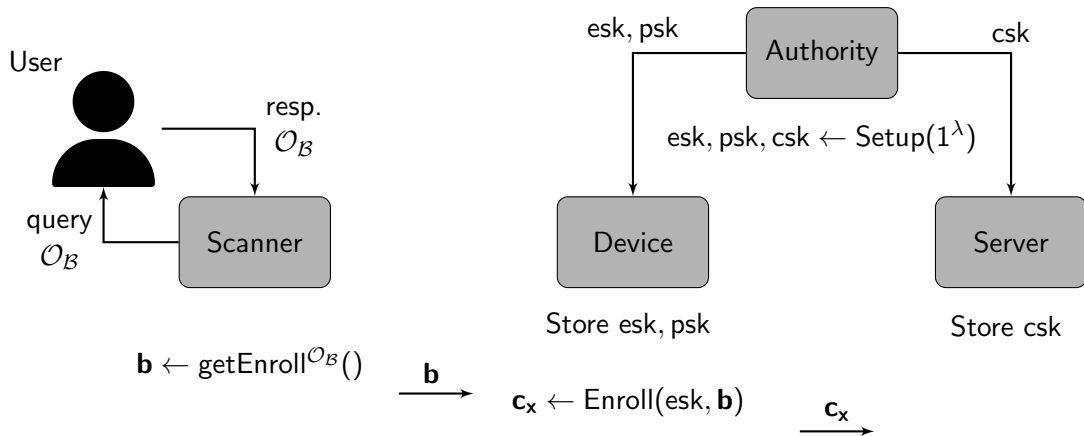


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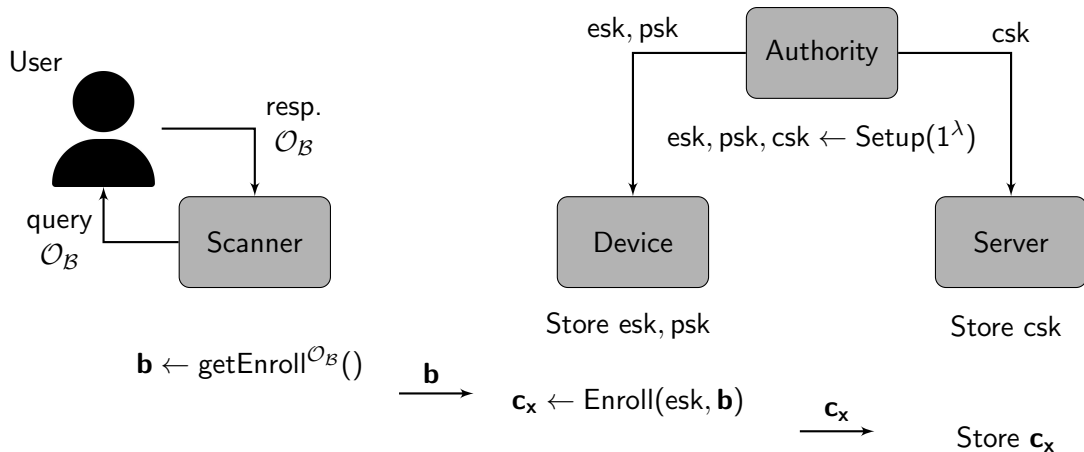


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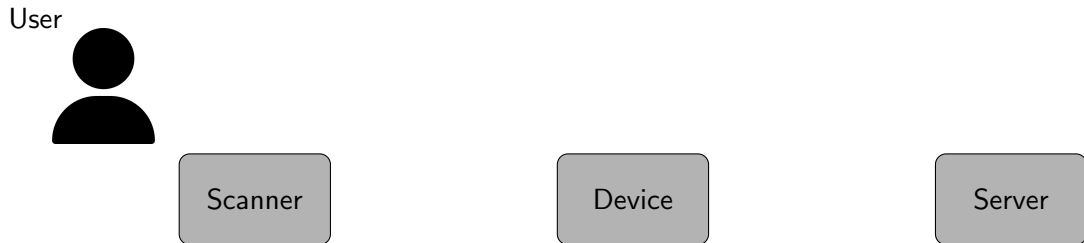


Figure: Usage Example: Authentication

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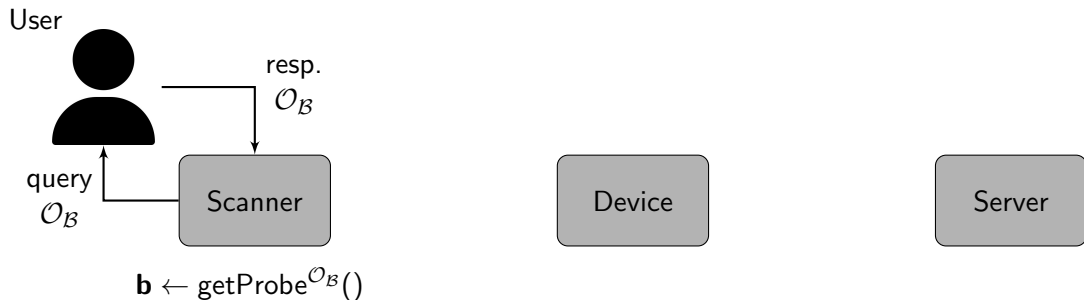


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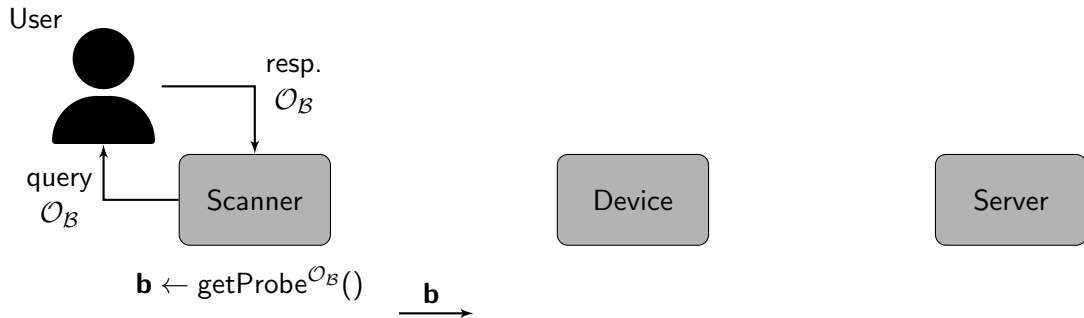


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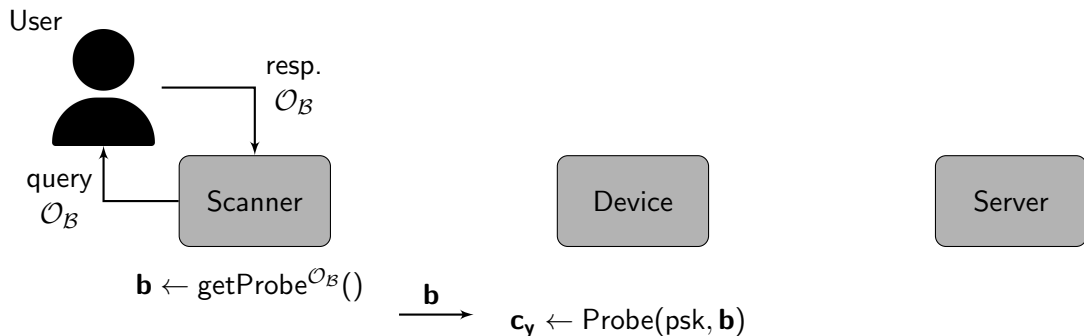


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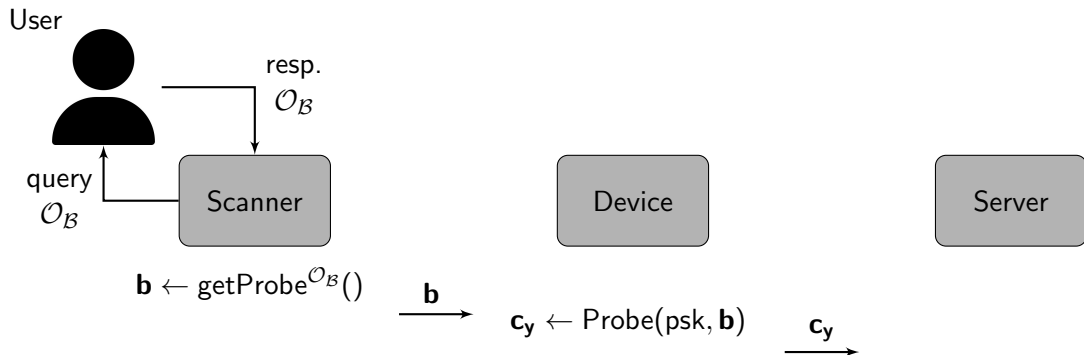


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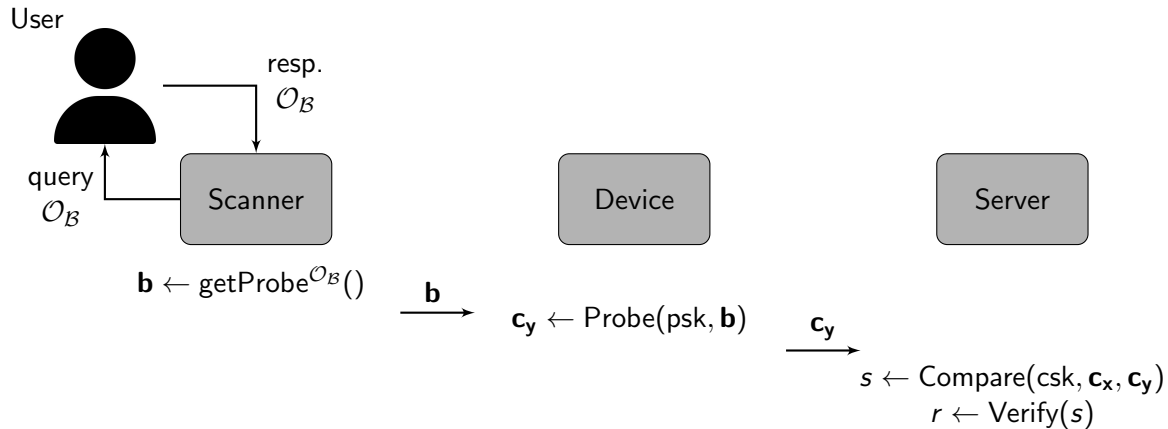


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# Unforgeability

Let  $\Pi$  be a biometric authentication scheme. For an adversary  $\mathcal{A}$ , define  $\text{UF}_{\Pi, \mathbb{B}, \text{option}}(\mathcal{A})$ .

$\text{UF}_{\Pi, \mathbb{B}, \text{option}}(\mathcal{A})$

- 1:  $\mathcal{B} \leftarrow \$ \mathbb{B}, \mathbb{B} \leftarrow \mathbb{B} \setminus \mathcal{B}$
- 2:  $\text{esk}, \text{psk}, \text{csk} \leftarrow \text{Setup}(1^\lambda)$
- 3:  $\mathbf{b} \leftarrow \text{getEnroll}^{\mathcal{O}_{\mathcal{B}}}()$
- 4:  $\mathbf{c}_x \leftarrow \text{Enroll}(\text{esk}, \mathbf{b})$
- 5:  $\tilde{\mathbf{z}} \leftarrow \mathcal{A}(\text{option})$
- 6:  $s \leftarrow \text{Compare}(\text{csk}, \mathbf{c}_x, \tilde{\mathbf{z}})$
- 7: **return**  $\text{Verify}(s)$

$\Pi$  is called *option-unforgeable* (option-UF) if for any PPT adversary  $\mathcal{A}$ ,

$$\text{Adv}_{\Pi, \mathbb{B}, \mathcal{A}, \text{option}}^{\text{UF}} := \Pr[\text{UF}_{\Pi, \mathbb{B}, \text{option}}(\mathcal{A}) \rightarrow 1] = \text{negl}.$$

## Possible Choice of option

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- Enrollment message:  $\mathbf{c}_x$ .
- Keys:  $\text{esk}, \text{psk}, \text{csk}$ .
- Oracle  $\mathcal{O}_{\mathcal{B}}$ .
- Oracle  $\mathcal{O}_{\text{Enroll}}(\text{esk}, \cdot)$ : On input  $\mathbf{b}'$ , output  $\text{Enroll}(\text{esk}, \mathbf{b}')$ .

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- Oracle  $\mathcal{O}_{\text{Probe}}(\text{psk}, \cdot)$ : On input  $\mathbf{b}'$ , output  $\text{Probe}(\text{psk}, \mathbf{b}')$ .

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- Oracle  $\mathcal{O}_{\text{Probe}}(\text{psk}, \cdot)$ : On input  $\mathbf{b}'$ , output  $\text{Probe}(\text{psk}, \mathbf{b}')$ .

Note that some combinations of option induce a winning probability *true positive rate* or *false positive rate*.

# True/False Positive Rates

## True/False Positive Rates

$$\text{TP} := \Pr \left[ \begin{array}{l} \mathcal{B} \leftarrow \$ \mathbb{B} \\ \mathbf{b} \leftarrow \text{getEnroll}^{\mathcal{O}_{\mathcal{B}}}() : \text{Verify}(\text{BioCompare}(\mathbf{b}, \mathbf{b}')) = 1 \\ \mathbf{b}' \leftarrow \text{getProbe}^{\mathcal{O}_{\mathcal{B}}}() \end{array} \right]$$

$$\text{FP} := \Pr \left[ \begin{array}{l} \mathcal{B} \leftarrow \$ \mathbb{B}, \mathbb{B} \leftarrow \mathbb{B} \setminus \mathcal{B}, \mathcal{B}' \leftarrow \$ \mathbb{B} \\ \mathbf{b} \leftarrow \text{getEnroll}^{\mathcal{O}_{\mathcal{B}}}() \\ \mathbf{b}' \leftarrow \text{getProbe}^{\mathcal{O}_{\mathcal{B}'}}() \end{array} : \text{Verify}(\text{BioCompare}(\mathbf{b}, \mathbf{b}')) = 1 \right]$$

## Possible Choice of option

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  - $\mathcal{A}_1$  has a winning probability  $\text{TP}$ .

$\mathcal{A}_1^{\mathcal{O}_{\mathcal{B}}}(\text{psk})$

- 1:  $\mathbf{b} \leftarrow \text{getProbe}^{\mathcal{O}_{\mathcal{B}}}()$
- 2:  $\mathbf{c}_y \leftarrow \text{Probe}(\text{psk}, \mathbf{b})$
- 3: **return**  $\mathbf{c}_y$

## Possible Choice of option

- $\text{psk}$  and  $\mathcal{O}_{\mathcal{B}}$  are not given at the same time.
  - $\mathcal{A}_1$  has a winning probability  $\text{TP}$ .
- $\text{psk}$  is given only when  $\text{FP}$  is negligible.

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  - $\mathcal{A}_2$  has a winning probability  $\text{FP}$ .

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- 1:  $\mathbf{b} \leftarrow \text{getProbe}^{\mathcal{O}_{\mathcal{B}}}()$
- 2:  $\mathbf{c}_y \leftarrow \text{Probe}(\text{psk}, \mathbf{b})$
- 3: **return**  $\mathbf{c}_y$

$\mathcal{A}_2(\text{psk})$

- 1:  $\mathcal{B}' \leftarrow \$ \mathbb{B}$ .
- 2:  $\mathbf{b}' \leftarrow \text{getProbe}^{\mathcal{O}_{\mathcal{B}'}}()$
- 3:  $\mathbf{c}_y' \leftarrow \text{Probe}(\text{psk}, \mathbf{b}')$
- 4: **return**  $\mathbf{c}_y'$

## Possible Choice of option

- $\text{psk}$  and  $\mathcal{O}_{\mathcal{B}}$  are not given at the same time.
  - $\mathcal{A}_1$  has a winning probability TP.
- $\text{psk}$  is given only when FP is negligible.
  - $\mathcal{A}_2$  has a winning probability FP.
- When  $\mathcal{O}_{\text{Probe}}$  is given, we forbid the adversary to return an answer of  $\mathcal{O}_{\text{Probe}}$ .

$\mathcal{A}_1^{\mathcal{O}_{\mathcal{B}}}(\text{psk})$

- 1:  $\mathbf{b} \leftarrow \text{getProbe}^{\mathcal{O}_{\mathcal{B}}}()$
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$\mathcal{A}_2(\text{psk})$

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# Indistinguishability

Let  $t$  be an integer. For an adversary  $\mathcal{A}$ , define  $\text{IND}_{\Pi, \mathbb{B}}(\mathcal{A})$ .

$\text{IND}_{\Pi, \mathbb{B}}(\mathcal{A})$

```

1:  $b \leftarrow_{\$} \{0, 1\}$ 
2:  $\mathcal{B}^{(0)} \leftarrow_{\$} \mathbb{B}, \quad \mathbb{B} \leftarrow \mathbb{B} \setminus \mathcal{B}^{(0)}$ 
3:  $\mathcal{B}^{(1)} \leftarrow_{\$} \mathbb{B}, \quad \mathbb{B} \leftarrow \mathbb{B} \setminus \mathcal{B}^{(1)}$ 
4:  $\text{esk}, \text{psk}, \text{csk} \leftarrow \text{Setup}(1^\lambda)$ 
5:  $\mathbf{b} \leftarrow \text{getEnroll}^{\mathcal{O}_{\mathcal{B}^{(b)}}}()$ 
6:  $\mathbf{c}_x \leftarrow \text{Enroll}(\text{esk}, \mathbf{b})$ 
7: for  $i = 1$  to  $t$  do
8:    $\mathbf{b}'^{(i)} \leftarrow \text{getProbe}^{\mathcal{O}_{\mathcal{B}^{(b)}}}()$ 
9:    $\mathbf{c}_y^{(i)} \leftarrow \text{Probe}(\text{psk}, \mathbf{b}'^{(i)})$ 
10:  $\tilde{b} \leftarrow \mathcal{A}^{\mathcal{O}_{\mathcal{B}^{(0)}}, \mathcal{O}_{\mathcal{B}^{(1)}}}(\text{csk}, \mathbf{c}_x, \{\mathbf{c}_y^{(i)}\}_{i=1}^t)$ 
11: return  $1_{\tilde{b}=b}$ 

```

# Indistinguishability

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# Indistinguishability

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$$\text{Adv}_{\Pi, \mathbb{B}, \mathcal{A}}^{\text{IND}} := \left| \Pr[\text{IND}_{\Pi, \mathbb{B}}(\mathcal{A}) \rightarrow 1] - \frac{1}{2} \right| = \text{negl.}$$

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- 5 Conclusion

# Security Analysis

In this section, we will discuss

- Function-hiding inner product functional encryption (fh-IPFE) [Kim+16].
- An instantiation  $\Pi$  using an fh-IPFE [EM23].
- UF and IND security of  $\Pi$ .

In our project, we also discuss an instantiation using *relational hash*.



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# fh-IPFE

## Function-Hiding Inner Product Functional Encryption (adapted from [Kim+16])

A *function-hiding inner product functional encryption* (fh-IPFE) scheme FE for a field  $\mathbb{F}$  is composed of PPT algorithms:

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- FE.Enc(msk, pp,  $\mathbf{y}$ ): On input a vector  $\mathbf{y} \in \mathbb{F}^k$ , output the ciphertext  $\mathbf{c}_{\mathbf{y}}$ .

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- FE.Enc( $msk, pp, \mathbf{y}$ ): On input a vector  $\mathbf{y} \in \mathbb{F}^k$ , output the ciphertext  $\mathbf{c}_{\mathbf{y}}$ .
- FE.Dec( $pp, f_{\mathbf{x}}, \mathbf{c}_{\mathbf{y}}$ ): Output a value  $z \in \mathbb{F}$  or an error symbol  $\perp$ .

# fh-IPFE

## Correctness

FE is *correct* if  $\forall (\text{msk}, \text{pp}) \leftarrow \text{FE.Setup}(1^\lambda)$  and  $\mathbf{x}, \mathbf{y} \in \mathbb{F}^k$ , we have

$$\text{FE.Dec}(\text{pp}, \text{FE.KeyGen}(\text{msk}, \text{pp}, \mathbf{x}), \text{FE.Enc}(\text{msk}, \text{pp}, \mathbf{y})) = \mathbf{x}\mathbf{y}^T \in \mathbb{F}.$$

# Instantiation using fh-IPFE [EM23]

- $\text{getEnroll}^{\mathcal{O}_{\mathcal{B}}}()$ ,  $\text{getProbe}^{\mathcal{O}_{\mathcal{B}}}()$ : Output vectors in  $\{0, 1, \dots, m\}^k$  for all  $\mathcal{B} \in \mathbb{B}$ .



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- For a pre-defined real number  $\tau \geq 0$ ,

$$\text{Verify}(s) \rightarrow \begin{cases} 1 & \text{if } \sqrt{s} \leq \tau \\ 0 & \text{if } \sqrt{s} > \tau \end{cases}.$$

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- An fh-IPFE FE associated with a field  $\mathbb{F} = \mathbb{Z}_q$ .
  - $q$  is a prime number larger than the maximum possible Euclidean distance  $m^2 \cdot k$ .

# Instantiation using fh-IPFE [EM23]

- $\text{Setup}(1^\lambda)$ : Run  $\text{FE.Setup}(1^\lambda) \rightarrow \text{msk}, \text{pp}$  and output
  - $\text{esk} \leftarrow (\text{msk}, \text{pp})$
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  - Encode  $\mathbf{b}$  as  $\mathbf{x} = (x_1, x_2, \dots, x_{k+2}) = (b_1, b_2, \dots, b_k, 1, \|\mathbf{b}\|^2)$ .
  - Run and output  $\mathbf{c}_x \leftarrow \text{FE.KeyGen}(\text{msk}, \text{pp}, \mathbf{x})$ .

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  - Run and output  $\mathbf{c}_y \leftarrow \text{FE.Enc}(\text{msk}, \text{pp}, \mathbf{y})$ .
- $\text{Compare}(\text{csk}, \mathbf{c}_x, \mathbf{c}_y)$ : Run and output  $s \leftarrow \text{FE.Dec}(\text{pp}, \mathbf{c}_x, \mathbf{c}_y)$ .

# Instantiation using fh-IPFE [EM23]

By the correctness of FE,

$$s = \text{FE.Dec}(\text{pp}, \mathbf{c}_x, \mathbf{c}_y) = \mathbf{xy}^T = \sum_{i=1}^k -2b_i b'_i + \|\mathbf{b}\|^2 + \|\mathbf{b}'\|^2 = \|\mathbf{b} - \mathbf{b}'\|^2.$$

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Note that in this instantiation,

- $\text{esk} = \text{psk}$ .
- $\text{csk} = \text{pp}$ , the public parameter of FE. Therefore, we offer  $\text{csk}$  for all adversaries.

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## fh-IND of fh-IPFE

Given an fh-IPFE scheme FE, define the fh-IND game [Kim+16].

fh-IND<sub>FE</sub>( $\mathcal{A}$ )

- 1:  $b \xleftarrow{\$} \{0, 1\}$
- 2:  $\text{msk}, \text{pp} \leftarrow \text{FE.Setup}(1^\lambda)$
- 3:  $\tilde{b} \leftarrow \mathcal{A}^{\mathcal{O}_{\text{KeyGen}}, \mathcal{O}_{\text{Enc}}}(\text{pp})$
- 4: **return**  $1_{\tilde{b}=b}$

- $\mathcal{O}_{\text{KeyGen}}(\cdot, \cdot)$ : On input pair  $(\mathbf{x}^{(0)}, \mathbf{x}^{(1)})$ , output  $\text{FE.KeyGen}(\text{msk}, \text{pp}, \mathbf{x}^{(b)})$ .
- $\mathcal{O}_{\text{Enc}}(\cdot, \cdot)$ : On input pair  $(\mathbf{y}^{(0)}, \mathbf{y}^{(1)})$ , output  $\text{FE.Enc}(\text{msk}, \text{pp}, \mathbf{y}^{(b)})$ .

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A trivial adversary can ask  $\mathcal{O}_{\text{KeyGen}}(\mathbf{x}^{(0)}, \mathbf{x}^{(1)})$  and  $\mathcal{O}_{\text{Enc}}(\mathbf{y}^{(0)}, \mathbf{y}^{(1)})$  such that

$$\mathbf{x}^{(0)} \mathbf{y}^{(0)T} \neq \mathbf{x}^{(1)} \mathbf{y}^{(1)T}.$$

# fh-IND of fh-IPFE

## Admissible Adversary

Let  $\mathcal{A}$  be an adversary in an fh-IND game, and let  $(\mathbf{x}_1^{(0)}, \mathbf{x}_1^{(1)}), \dots, (\mathbf{x}_{Q_K}^{(0)}, \mathbf{x}_{Q_K}^{(1)})$  be its queries to  $\mathcal{O}_{\text{KeyGen}}$  and  $(\mathbf{y}_1^{(0)}, \mathbf{y}_1^{(1)}), \dots, (\mathbf{y}_{Q_E}^{(0)}, \mathbf{y}_{Q_E}^{(1)})$  be its queries to  $\mathcal{O}_{\text{Enc}}$ .

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We say  $\mathcal{A}$  is *admissible* if  $\forall i \in [Q_K], \forall j \in [Q_E]$ ,

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## fh-IND Security

FE is called *fh-IND* secure if for any admissible adversary  $\mathcal{A}$ ,

$$\text{Adv}_{\text{FE}, \mathcal{A}}^{\text{fh-IND}} := \left| \Pr[\text{fh-IND}_{\text{FE}}(\mathcal{A}) \rightarrow 1] - \frac{1}{2} \right| = \text{negl.}$$

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  - We found that instantiation  $\Pi$  using [Kim+16] is not option-unforgeable for any option.
- For this, we define another extra security notion of FE: *RUF Security*.

# RUF of fh-IPFE

Let  $\gamma \geq 0$  be a real number and  $\mathbb{F} = \mathbb{Z}_q$  for a prime number  $q$ . Define the RUF game.

$\text{RUF}_{\text{FE}}^{\mathcal{O}, \gamma}(\mathcal{A})$

- 1:  $\mathbf{r} \xleftarrow{\$} \mathbb{F}^k$
- 2:  $\text{msk}, \text{pp} \leftarrow \text{FE.Setup}(1^\lambda)$
- 3:  $\mathbf{c} \leftarrow \text{FE.KeyGen}(\text{msk}, \text{pp}, \mathbf{r})$
- 4:  $\tilde{\mathbf{z}} \leftarrow \mathcal{A}^{\mathcal{O}}(\text{pp}, \mathbf{c})$
- 5:  $s \leftarrow \text{FE.Dec}(\text{pp}, \mathbf{c}, \tilde{\mathbf{z}})$
- 6: **return**  $1_{s \leq \gamma}$

Oracle  $\mathcal{O}$  can be nothing or include

- $\mathcal{O}'_{\text{KeyGen}}(\cdot)$ : On input  $\mathbf{x}'$ , output  $\text{FE.KeyGen}(\text{msk}, \text{pp}, \mathbf{x}')$ .
- $\mathcal{O}'_{\text{Enc}}(\cdot)$ : On input  $\mathbf{y}'$ , output  $\text{FE.Enc}(\text{msk}, \text{pp}, \mathbf{y}')$ .

# RUF of fh-IPFE

- We forbid the adversary to return  $\tilde{\mathbf{z}}$  that is an answer of  $\mathcal{O}'_{\text{Enc}}(\cdot)$ .
  - Otherwise, returning  $\mathcal{O}'_{\text{Enc}}(\mathbf{0})$  wins with probability 1.

## RUF Security

FE is called  $\mathcal{O}$ -RUF secure for a real number  $\gamma$  if for any adversary  $\mathcal{A}$ ,

$$\text{Adv}_{\text{FE}, \mathcal{A}}^{\text{RUF}, \mathcal{O}, \gamma} := \Pr[\text{RUF}_{\text{FE}}^{\mathcal{O}, \gamma}(\mathcal{A}) \rightarrow 1] = \text{negl}.$$

We say FE is RUF secure if it is  $\{\mathcal{O}'_{\text{KeyGen}}, \mathcal{O}'_{\text{Enc}}\}$ -RUF secure.

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## Theorem

*If FE is fh-IND, and if the RUF adversary can only return  $\tilde{\mathbf{z}}$  that is an encryption of a nonzero vector, then FE is  $\mathcal{O}'_{\text{KeyGen}}$ -RUF for any  $\gamma \leq \|\mathbb{F}\|$ .*

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*Given an sEUF-CMA digital signature scheme Sig and any fh-IPFE FE, we can obtain an fh-IPFE FE' that is RUF for any  $\gamma$ .*

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We provide details in Appendix - Achievability of RUF Security.

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## Theorem

*For any distribution family  $\mathbb{B}$  satisfying some "reasonable conditions", if FE is fh-IND, then  $\Pi$  is IND.*

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*For any distribution family  $\mathbb{B}$ , if FE is fh-IND and  $\mathcal{O}'_{KeyGen}$ -RUF for a  $\gamma \geq \tau^2$ , then  $\Pi$  is  $\{\mathbf{c}_x, csk, \mathcal{O}_B, \mathcal{O}_{Enroll}\}$ -UF.*

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## Theorem

*For any distribution family  $\mathbb{B}$  satisfying some "reasonable conditions", if FE is fh-IND, then  $\Pi$  is IND.*

$\{\mathbf{c}_x, \text{csk}, \mathcal{O}_{\mathcal{B}}, \mathcal{O}_{\text{Enroll}}\}\text{-UF}$ 

### Proof Sketch.

Given an adversary  $\mathcal{A}$  in the  $\text{UF}_{\Pi, \mathbb{B}, \text{option}}$  game, we build a reduction adversary  $\mathcal{R}$  in the fh-IND game such that:



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- $\mathcal{O}_{\text{Enroll}}$  is "encoding + FE.KeyGen". We can simulate  $\mathcal{O}_{\text{Enroll}}$  by  $\mathcal{O}_{\text{KeyGen}}$  in fh-IND game and  $\mathcal{O}'_{\text{KeyGen}}$  in RUF game.



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- $\mathcal{R}$  never calls  $\mathcal{O}_{\text{Enc}}$ , so it is an admissible adversary.





$\mathcal{R}^{\mathcal{O}_{\text{KeyGen}}, \mathcal{O}_{\text{Enc}}}(\text{pp})$ 

- 1:  $\mathcal{B} \leftarrow_{\$} \mathbb{B}, \quad \mathbb{B} \leftarrow \mathbb{B} \setminus \mathcal{B}$
- 2:  $\mathbf{b} = (b_1, \dots, b_k) \leftarrow \text{getEnroll}^{\mathcal{O}_{\mathcal{B}}}()$
- 3:  $\mathbf{x} \leftarrow (b_1, \dots, b_k, 1, \|\mathbf{b}\|^2)$
- 4:  $\mathbf{r} \leftarrow_{\$} \mathbb{F}^{k+2}$
- 5:  $\mathbf{c} \leftarrow \mathcal{O}_{\text{KeyGen}}(\mathbf{x}, \mathbf{r})$
- 6:  $\tilde{\mathbf{z}} \leftarrow \mathcal{A}^{\mathcal{O}_{\mathcal{B}}, \mathcal{O}_{\text{Enroll}}}(\mathbf{c}, \text{pp})$
- 7:  $s \leftarrow \text{FE.Dec}(\text{pp}, \mathbf{c}, \tilde{\mathbf{z}})$
- 8: **if**  $\text{Verify}(s) = 1$  **then**
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- 10: **else**
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# Security of Instantiation using fh-IPFE

For the rest of this section, let  $\Pi$  be a biometric authentication scheme instantiated by an fh-IPFE FE. In our project, we show

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Given an adversary  $\mathcal{A}$  in the  $\text{UF}_{\Pi, \mathbb{B}, \text{option}}$  game, we build a reduction adversary  $\mathcal{R}$  in the fh-IND game such that:

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- To let  $\mathcal{R}$  be admissible, we cannot directly simulate  $\mathcal{O}_{\text{Probe}}$  by  $\mathcal{O}_{\text{Enc}}$ .
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  - $\mathcal{R}$  uses  $\mathcal{O}_{\text{KeyGen}}(\mathbf{x}, \mathbf{r})$  to prepare  $\mathbf{c}$  for  $\mathcal{A}$  and  $\mathcal{A}'$ .
- We simulate  $\mathcal{O}_{\text{Probe}}(\text{psk}, \mathbf{b}')$  by
  - Encode  $\mathbf{b}'$  to  $\mathbf{y}' = (-2b'_1, \dots, -2b'_k, \|\mathbf{b}'\|^2, 1)$
  - Compute  $d \leftarrow \mathbf{x}\mathbf{y}'^T$  and find a vector  $\mathbf{y}''$  such that  $\mathbf{r}\mathbf{y}''^T = d$
  - $\mathcal{O}_{\text{Enc}}(\mathbf{y}', \mathbf{y}'')$ .

- $\mathcal{R}$  is now admissible, but then we have to simulate the tweaked  $\mathcal{O}_{\text{Probe}}$  in  $\text{RUF}_{\text{FE}}^{\mathcal{O}'_{\text{Enc}}, \gamma}(\mathcal{A}')$  game.



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$$\mathcal{R}^{\mathcal{O}_{\text{KeyGen}}, \mathcal{O}_{\text{Enc}}}(\text{pp})$$

```

1:  $\mathcal{B} \leftarrow_{\$} \mathbb{B}, \quad \mathbb{B} \leftarrow \mathbb{B} \setminus \mathcal{B}$ 
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5:  $\mathbf{c} \leftarrow \mathcal{O}_{\text{KeyGen}}(\mathbf{x}, \mathbf{r})$ 
6:  $\tilde{\mathbf{z}} \leftarrow \mathcal{A}^{\mathcal{O}_{\mathcal{B}}, \mathcal{O}_{\text{Probe}}}(\mathbf{c}, \text{pp})$ 
7: if  $\tilde{\mathbf{z}}$  is equal to any output of  $\mathcal{O}_{\text{Probe}}$  then
8:   return  $\perp$ 
9:  $s \leftarrow \text{FE.Dec}(\text{pp}, \mathbf{c}, \tilde{\mathbf{z}})$ 
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## Theorem

*For any distribution family  $\mathbb{B}$  satisfying some "reasonable conditions", if FE is fh-IND, then  $\Pi$  is IND.*

## IND

## Assumption 1

Let  $t$  be an integer. Assume that for any distribution  $\mathcal{B} \in \mathbb{B}$ , the following distribution is identical.

$$\mathcal{D}_{\mathcal{B}}(t) := \left( \text{BioCompare}(\mathbf{b}, \mathbf{b}^{(1)}), \text{BioCompare}(\mathbf{b}, \mathbf{b}^{(2)}), \dots, \text{BioCompare}(\mathbf{b}, \mathbf{b}^{(t)}) \right)$$

where  $\mathbf{b} \leftarrow \text{getEnroll}^{\mathcal{O}_{\mathcal{B}}}()$  and  $\mathbf{b}^{(i)} \leftarrow \text{getProbe}^{\mathcal{O}_{\mathcal{B}}}()$  for all  $i \in [t]$ .

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Note that indistinguishability of  $\mathcal{D}_{\mathcal{B}}(t)$  for  $\mathcal{B} \in \mathbb{B}$  is a necessary condition to achieve IND security because

$$\left( \text{Compare}(\text{csk}, \mathbf{c}_{\mathbf{x}}, \mathbf{c}_{\mathbf{y}}^{(1)}), \dots, \text{Compare}(\text{csk}, \mathbf{c}_{\mathbf{x}}, \mathbf{c}_{\mathbf{y}}^{(t)}) \right) = \mathcal{D}_{\mathcal{B}}(t)$$

where  $b$  is the challenge bit.

# IND

## Theorem

*For any distribution family  $\mathbb{B}$  satisfying Assumption 1 and having a true positive rate  $TP > \frac{1}{\text{poly}}$ , if FE is fh-IND, then  $\Pi$  is IND.*

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Given an adversary  $\mathcal{A}$  in the  $\text{IND}_{\Pi, \mathbb{B}}$  game, we build a reduction adversary  $\mathcal{R}$  in the fh-IND game such that:

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Given an adversary  $\mathcal{A}$  in the  $\text{IND}_{\Pi, \mathbb{B}}$  game, we build a reduction adversary  $\mathcal{R}$  in the fh-IND game such that:

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- $\mathcal{R}$  first samples  $\mathcal{B}^{(0)}$  and  $\mathcal{B}^{(1)}$ .
- $\mathcal{R}$  then calls  $\mathbf{c}_x \leftarrow \mathcal{O}_{\text{KeyGen}}(\mathbf{x}^{(0)}, \mathbf{x}^{(1)})$ , where  $\mathbf{x}^{(0)}$  and  $\mathbf{x}^{(1)}$  are created from  $\mathcal{B}^{(0)}$  and  $\mathcal{B}^{(1)}$ .



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- $\mathcal{R}$  prepares  $\mathbf{y}^{(0)}$  and  $\mathbf{y}^{(1)}$  from  $\mathcal{B}^{(0)}$  and  $\mathcal{B}^{(1)}$  in a way that,  $\mathbf{x}^{(0)}\mathbf{y}^{(0)T} = \mathbf{x}^{(1)}\mathbf{y}^{(1)T}$ , and calls  $\mathbf{c}_y \leftarrow \mathcal{O}_{\text{Enc}}(\mathbf{y}^{(0)}, \mathbf{y}^{(1)})$ .

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- $\mathcal{R}$  prepares  $\mathbf{y}^{(0)}$  and  $\mathbf{y}^{(1)}$  from  $\mathcal{B}^{(0)}$  and  $\mathcal{B}^{(1)}$  in a way that,  $\mathbf{x}^{(0)}\mathbf{y}^{(0)T} = \mathbf{x}^{(1)}\mathbf{y}^{(1)T}$ , and calls  $\mathbf{c}_y \leftarrow \mathcal{O}_{\text{Enc}}(\mathbf{y}^{(0)}, \mathbf{y}^{(1)})$ .
- By Assumption 1,  $\mathbf{y}^{(0)}$  and  $\mathbf{y}^{(1)}$  still follow the correct distribution.



$\mathcal{R}^{\mathcal{O}_{\text{KeyGen}}, \mathcal{O}_{\text{Enc}}}(\text{pp})$ 

- 1:  $\mathcal{B}^{(0)} \leftarrow \$ \mathbb{B}, \quad \mathbb{B} \leftarrow \mathbb{B} \setminus \mathcal{B}^{(0)}$
- 2:  $\mathcal{B}^{(1)} \leftarrow \$ \mathbb{B}, \quad \mathbb{B} \leftarrow \mathbb{B} \setminus \mathcal{B}^{(1)}$
- 3:  $\mathbf{b}^{(0)} \leftarrow \text{getEnroll}^{\mathcal{O}_{\mathcal{B}^{(0)}}}(), \mathbf{x}^{(0)} \leftarrow (b_1^{(0)}, \dots, b_k^{(0)}, 1, \|\mathbf{b}^{(0)}\|^2)$
- 4:  $\mathbf{b}^{(1)} \leftarrow \text{getEnroll}^{\mathcal{O}_{\mathcal{B}^{(1)}}}(), \mathbf{x}^{(1)} \leftarrow (b_1^{(1)}, \dots, b_k^{(1)}, 1, \|\mathbf{b}^{(1)}\|^2)$
- 5:  $\mathbf{c}_x \leftarrow \mathcal{O}_{\text{KeyGen}}(\mathbf{x}^{(0)}, \mathbf{x}^{(1)})$
- 6: **for**  $i = 1$  **to**  $t$  **do**
- 7:    $\mathbf{b}'^{(0)} \leftarrow \text{getProbe}^{\mathcal{O}_{\mathcal{B}^{(0)}}}()$
- 8:    $\mathbf{y}^{(0)} \leftarrow (-2b_1'^{(0)}, \dots, -2b_k'^{(0)}, \|\mathbf{b}'^{(0)}\|^2, 1)$
- 9:   **repeat**
- 10:      $\mathbf{b}'^{(1)} \leftarrow \text{getProbe}^{\mathcal{O}_{\mathcal{B}^{(1)}}}()$
- 11:      $\mathbf{y}^{(1)} \leftarrow (-2b_1'^{(1)}, \dots, -2b_k'^{(1)}, \|\mathbf{b}'^{(1)}\|^2, 1)$
- 12:     **until**  $\mathbf{x}^{(0)} \mathbf{y}^{(0)T} = \mathbf{x}^{(1)} \mathbf{y}^{(1)T}$
- 13:      $\mathbf{c}_y^{(i)} \leftarrow \mathcal{O}_{\text{Enc}}(\mathbf{y}^{(0)}, \mathbf{y}^{(1)})$
- 14:  $\tilde{b} \leftarrow \mathcal{A}^{\mathcal{O}_{\mathcal{B}^{(0)}}, \mathcal{O}_{\mathcal{B}^{(1)}}}(\text{pp}, \mathbf{c}_x, \{\mathbf{c}_y^{(i)}\}_{i=1}^t)$
- 15: **return**  $\tilde{b}$

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# Conclusion

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- We provide a framework of a biometric authentication scheme with a cryptographic layer to preserve privacy.
- We define  $UF_{\Pi, \mathbb{B}, \text{option}}$  game and  $IND_{\Pi, \mathbb{B}}(\mathcal{A})$  game.

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In this project,

- We provide a framework of a biometric authentication scheme with a cryptographic layer to preserve privacy.
- We define  $UF_{\Pi, \mathbb{B}, \text{option}}$  game and  $IND_{\Pi, \mathbb{B}}(\mathcal{A})$  game.
  - $UF_{\Pi, \mathbb{B}, \text{option}}$  game models the ability of an adversary to impersonate the user.
  - $IND_{\Pi, \mathbb{B}}(\mathcal{A})$  game models the ability of the server to recognize the user.
- We introduce two instantiations using fh-IPFE and relational hash.
  - In the presentation, we focus on fh-IPFE-based instantiation.
  - We introduce fh-IND and RUF security of fh-IPFE.
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  - In the presentation, we focus on fh-IPFE-based instantiation.
  - We introduce fh-IND and RUF security of fh-IPFE.
  - We provide two theorems to achieve RUF security.
- We show that how an fh-IPFE-based instantiation can be  $\{\mathbf{c}_x, \text{csk}, \mathcal{O}_B, \mathcal{O}_{\text{Enroll}}\}$ -UF,  $\{\mathbf{c}_x, \text{csk}, \mathcal{O}_B, \mathcal{O}_{\text{Probe}}\}$ -UF, and IND.

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Some of them have different structures from our framework, such as a challenge-based protocol.

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# Table of Contents

## 6 Appendix - Achievability of RUF Security

fh-IND almost implies  $\mathcal{O}'_{\text{KeyGen}}\text{-RUF}$ 

## Theorem

*If FE is fh-IND, and if the RUF adversary can only return  $\tilde{\mathbf{z}}$  that is an encryption of a nonzero vector, then FE is  $\mathcal{O}'_{\text{KeyGen}}\text{-RUF}$  for any  $\gamma \leq \|\mathbb{F}\|$ .*

Given a  $\text{RUF}^{\mathcal{O}'_{\text{KeyGen}}, \gamma}$  adversary  $\mathcal{A}$ , consider the following fh-IND adversary:

- 1 Run  $\mathbf{c} \leftarrow \mathcal{O}_{\text{KeyGen}}(\mathbf{r}^{(0)}, \mathbf{r}^{(1)})$ , where  $\mathbf{r}^{(0)}, \mathbf{r}^{(1)} \xleftarrow{\$} \mathbb{F}^k$ .
- 2 Run  $\tilde{\mathbf{z}} \leftarrow \mathbf{A}^{\mathcal{O}'_{\text{KeyGen}}}(\text{pp}, \mathbf{c})$ .
- 3 Let  $\tilde{\mathbf{z}}$  be encryption of  $\mathbf{v} \neq \mathbf{0}$ .
- 4 Run  $\mathbf{c}_i \leftarrow \mathcal{O}_{\text{KeyGen}}(\mathbf{r}^{(0)}, \mathbf{r}_i)$ , where  $\mathbf{r}_i \xleftarrow{\$} \mathbb{F}^k$ .
- 5 If  $\text{FE.Dec}(\text{pp}, \mathbf{c}_i, \tilde{\mathbf{z}}) \leq \gamma$  for all  $i$ , return  $\tilde{b} = 0$ . Otherwise, return  $\tilde{b} \xleftarrow{\$} \{0, 1\}$ .
- 6 If  $b = 0$  and  $\mathcal{A}$  wins,  $\text{FE.Dec}(\text{pp}, \mathbf{c}_i, \tilde{\mathbf{z}}) \leq \gamma$  for all  $i$ . Otherwise,  $\text{FE.Dec}(\text{pp}, \mathbf{c}_i, \tilde{\mathbf{z}}) \leq \gamma$  is a random number in  $\{0, 1, \dots, q-1\}$ .

# Upgrading FE to RUF FE'

## Theorem

*Given an sEUF-CMA digital signature scheme  $Sig$  and any fh-IPFE FE, we can obtain an fh-IPFE FE' that is RUF for any  $\gamma$ .*

- $FE'.Setup(1^\lambda)$ : Run  $FE.Setup(1^\lambda) \rightarrow (msk, pp)$  and  $Sig.KeyGen(1^\lambda) \rightarrow (sk_{Sig}, pk_{Sig})$ .  
Output  $msk' = (msk, sk_{Sig})$  and  $pp' = (pp, pk_{Sig})$ .



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- $FE'.Enc(msk', y)$ : Run  $FE.Enc(msk, y) \rightarrow c_y$  and **sign  $c_y$  by  $Sig.Sign(sk_{Sig}, c_y) \rightarrow \sigma$** .  
Output  $c_y' = (c_y, \sigma)$ .

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- $\text{FE}'.\text{KeyGen}(\text{msk}', \mathbf{x})$ : Run and output  $f_{\mathbf{x}} \leftarrow \text{FE}.\text{Enc}(\text{msk}, \mathbf{x})$ .
- $\text{FE}'.\text{Enc}(\text{msk}', \mathbf{y})$ : Run  $\text{FE}.\text{Enc}(\text{msk}, \mathbf{y}) \rightarrow \mathbf{c}_{\mathbf{y}}$  and sign  $\mathbf{c}_{\mathbf{y}}$  by  $\text{Sig}.\text{Sign}(\text{sk}_{\text{Sig}}, \mathbf{c}_{\mathbf{y}}) \rightarrow \sigma$ . Output  $\mathbf{c}_{\mathbf{y}}' = (\mathbf{c}_{\mathbf{y}}, \sigma)$ .
- $\text{FE}'.\text{Dec}(\text{pp}', f_{\mathbf{x}}, \mathbf{c}_{\mathbf{y}}')$ : Output  $\text{FE}.\text{Dec}(\text{pp}, f_{\mathbf{x}}, \mathbf{c}_{\mathbf{y}})$  if  $\text{Sig}.\text{Verify}(\text{pk}_{\text{Sig}}, \mathbf{c}_{\mathbf{y}}, \sigma) \rightarrow 1$ . Otherwise, output  $\perp$ .

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- $FE'.Dec(pp', f_x, c_y')$ : Output  $FE.Dec(pp, f_x, c_y)$  if  $Sig.Verify(pk_{Sig}, c_y, \sigma) \rightarrow 1$ . Otherwise, output  $\perp$ .

If an adversary can find  $\tilde{z}$  such that  $FE'.Dec(pp', c, \tilde{z}) \neq \perp$ , it can forge a signature.