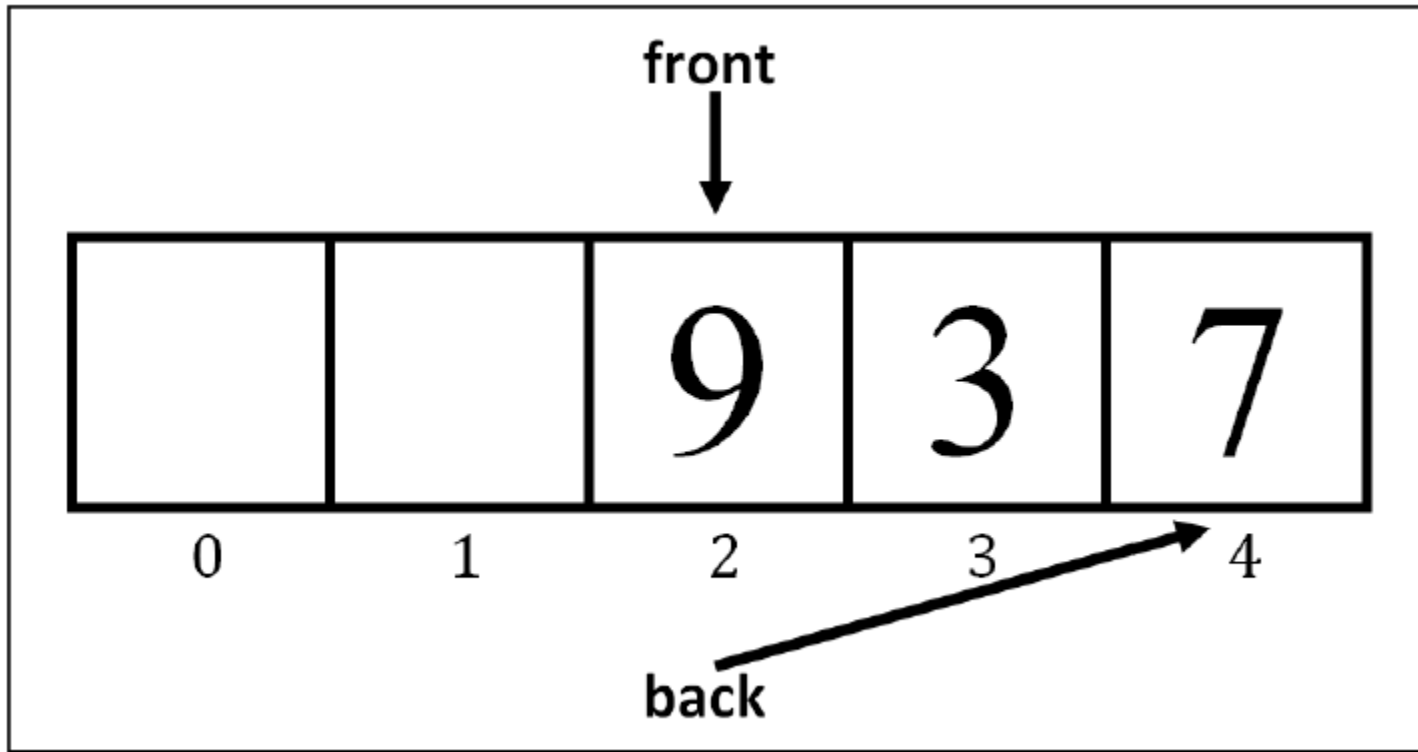


# Admin

- Assignment 5 will be posted this week.
  - No late submissions
  - Code must compile
  - Interdependent code will be tested as such

# **CSC 115 Midterm 2 Review**

# Part B



# Part C

```
public static int sumSquares(int start, int end){  
    // base case  
    if (start == end){  
        return start * start;  
    }  
    // recursive case  
    else{  
        return start * start + sumSquares(start + 1, end);  
    }  
}
```

# Tweak Part C

```
public static int sumSquares(int start, int end){  
    // base case  
    if (start > end){  
        return 0;  
    }  
    // recursive case  
    else{  
        return start * start + sumSquares(start + 1, end);  
    }  
}
```

# Part C

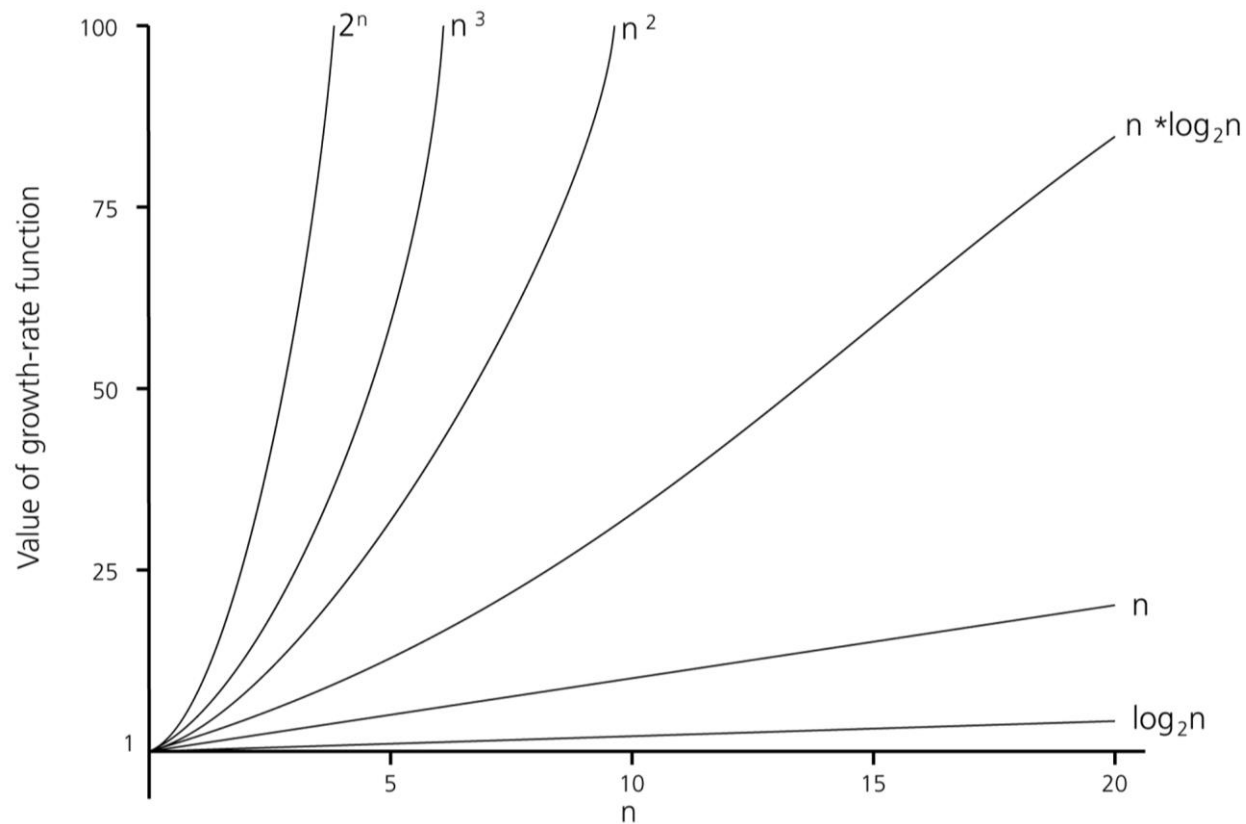
```
public static boolean palindrome(String input){

    Stack<Character> stack = new Stack<Character>();
    int half = input.length()/2;
    int beginPoppingHere = half;

    for (int i = 0; i < half; i++){
        stack.push(input.charAt(i));
    }
    if (input.length()% 2 == 1)beginPoppingHere = half + 1;

    for (int i = beginPoppingHere; i < input.length();i++){
        if (input.charAt(i) != stack.pop()) return false;
    }
    return stack.isEmpty();
}
```

# Big O notation (graphical)



# Big O notation (tabular)

Function	n					
	10	100	1,000	10,000	100,000	1,000,000
1	1	1	1	1	1	1
$\log_2 n$	3	6	9	13	16	19
$n$	10	$10^2$	$10^3$	$10^4$	$10^5$	$10^6$
$n * \log_2 n$	30	664	9,965	$10^5$	$10^6$	$10^7$
$n^2$	$10^2$	$10^4$	$10^6$	$10^8$	$10^{10}$	$10^{12}$
$n^3$	$10^3$	$10^6$	$10^9$	$10^{12}$	$10^{15}$	$10^{18}$
$2^n$	$10^3$	$10^{30}$	$10^{301}$	$10^{3,010}$	$10^{30,103}$	$10^{301,030}$



# A Comparison of Sorting Algorithms

	<u>Worst case</u>	<u>Average case</u>
Selection sort	$O(n^2)$	$O(n^2)$
Bubble sort	$O(n^2)$	$O(n^2)$
Insertion sort	$O(n^2)$	$O(n^2)$
Mergesort	$O(n \log n)$	$O(n \log n)$
Quicksort	$O(n^2)$	$O(n \log n)$
Radix sort	$O(n)$	$O(n)$
Treesort	$O(n \log n)$	$O(n \log n)$
Heapsort	$O(n \log n)$	$O(n \log n)$

Figure 10-22

Approximate growth rates of time required for eight sorting algorithms

# A Comparison of Sorting Algorithms

	<u>Worst case</u>	<u>Average case</u>
Selection sort	$n^2$	$n^2$
Bubble sort	$n^2$	$n^2$
Insertion sort	$n^2$	$n^2$
Mergesort	$n * \log n$	$n * \log n$
Quicksort	$n^2$	$n * \log n$
Radix sort	$n$	$n$
Treesort	$n^2$	$n * \log n$
Heapsort	$n * \log n$	$n * \log n$

Figure 10-22

Approximate growth rates of time required for eight sorting algorithms

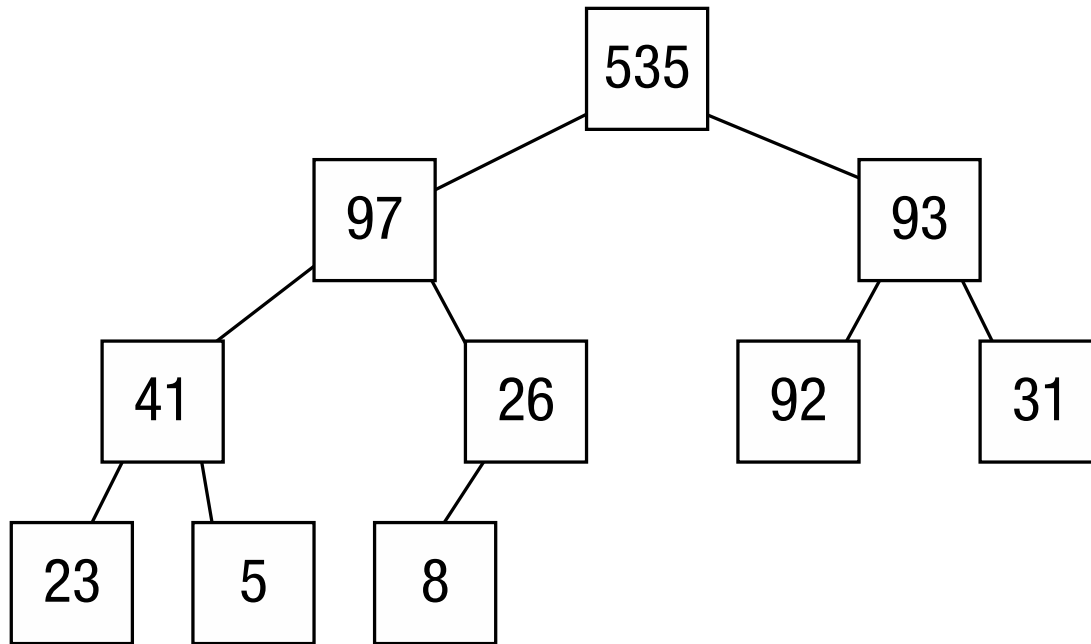
# Summary

- Worst-case and average-case analyses
  - Worst-case analysis considers the maximum amount of work an algorithm requires on a problem of a given size
  - Average-case analysis considers the expected amount of work an algorithm requires on a problem of a given size
- Order-of-magnitude analysis can be used to choose an implementation for an abstract data type
- Selection sort, bubble sort, and insertion sort are all  $O(n^2)$  algorithms
- Quicksort and mergesort are two very efficient sorting algorithms

# Heapsort

- Recall:
  - A complete binary tree is one which may be stored in an array
- A heap is similar to a binary search tree, but with two big differences:
  - BSTs are sorted, while heaps are ordered more weakly
  - BSTs can be in different shapes, but heaps are always complete binary trees
- Ordering invariant for a maxheap:
  - Value of child nodes always less than value of parent node
  - However, there is no ordering amongst siblings!

A maxheap



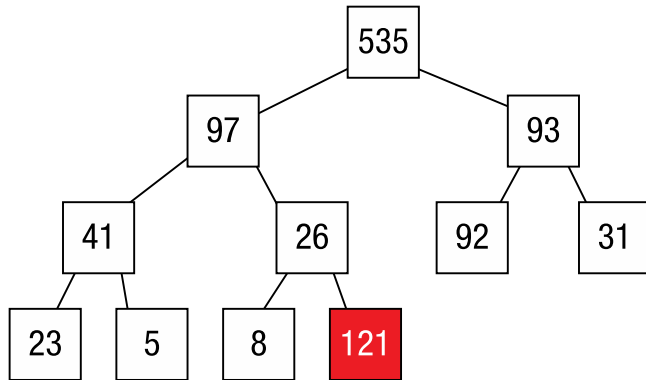
Array representation of the maxheap

535	97	93	41	26	92	31	23	5	8
-----	----	----	----	----	----	----	----	---	---

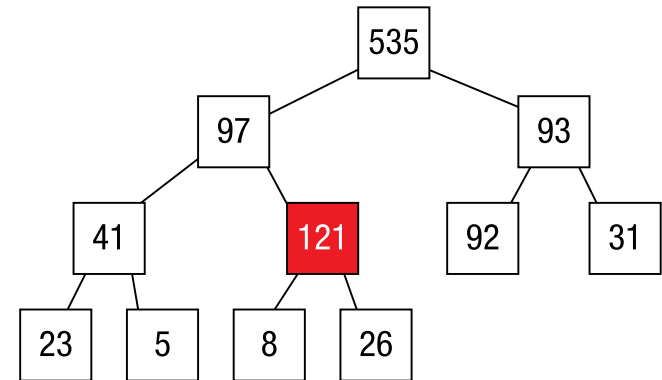
# Heaprebuild (upwards)

- Building a heap is a recursive process
  - A new value is added to the array
  - Must re-establish maxheap property
  - To do so, determine how high the new value must float up towards the heap's root node
- One approach: **trickle up**
- Example: insert 121 into the previous heap

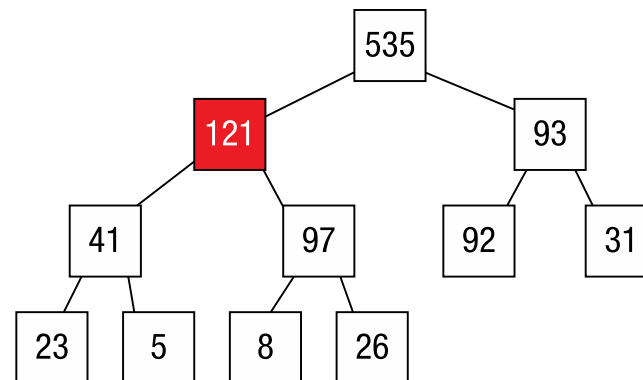
535	97	93	41	26	92	31	23	5	8	121
-----	----	----	----	----	----	----	----	---	---	-----



535	97	93	41	121	92	31	23	5	8	26
-----	----	----	----	-----	----	----	----	---	---	----

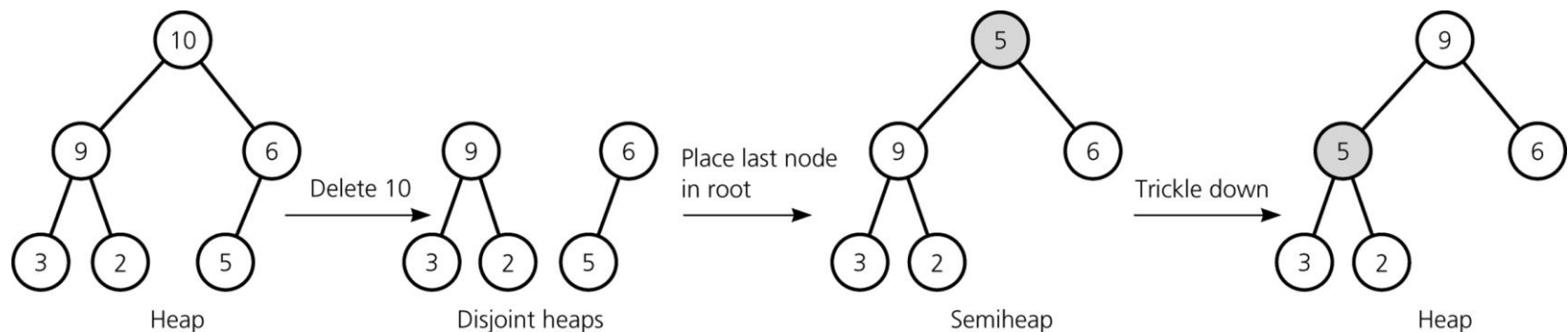


535	121	93	41	97	92	31	23	5	8	26
-----	-----	----	----	----	----	----	----	---	---	----



# Heaprebuild (downwards)

- Another approach: **trickle down**
  - Useful when deleting rather than inserting
  - Assumes two heaps formed at start of operation
  - Determine what value from the each of the smaller heaps should be root of the combined heap





```
heapRebuild(array, root, n)
```

```
    child = 2 * root + 1
```

```
    if ( child < n )
```

```
        rightChild = child + 1
```

```
        if (rightChild < n && array[child] < array[rightChild])
```

```
            child = rightChild
```

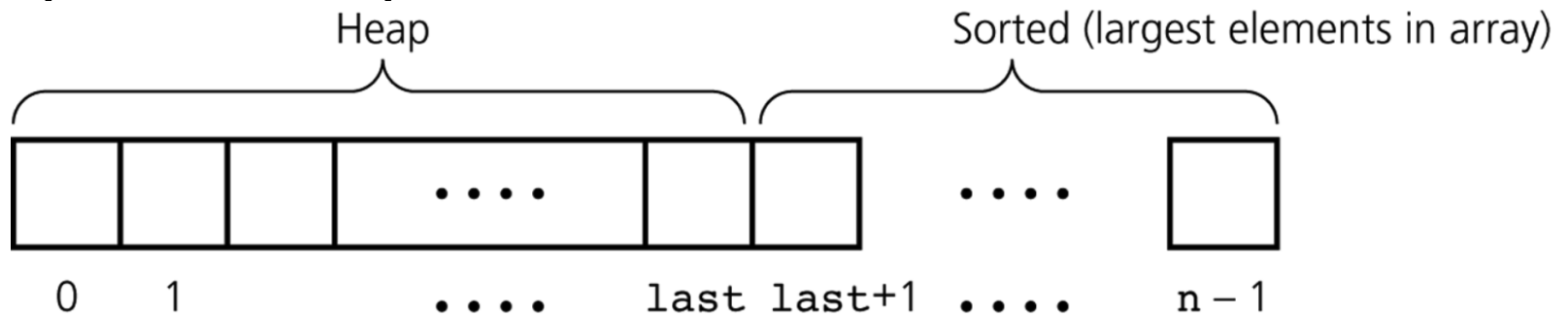
```
    if (array[root] < array[child])
```

```
        swap array[root] with array[child]
```

```
        heapRebuild(array, child, n)
```

# Heapsort

- Intuition
  - Build an initial heap using the original array as storage
  - Once heap is built, move largest item in heap to beginning of a sorted region
- The second step performs a kind of partitioning



```
// Depends upon having heapRebuild
```

```
heapSort(array, n)
```

```
    for (i = n-1 down to 0)  
        heapRebuild(array, i, n)
```

```
    last = n - 1
```

```
    for (step = 1 through n)  
        swap array[0] and array[last]  
        last--  
        heapRebuild(array, 0, last)
```

# Heapsort: analysis

- Number of moves?
- Number of compares?
- $O(n \log_2 n)$

# Treesort

- This uses a BST
- Each item to be sorted is inserted into the BST
- Once all items are inserted, we perform an in-order traversal
- Upside:
  - Can get  $O(n \log n)$  efficiency in average case
- Downside:
  - Worst case is  $O(n^2)$

# BST operation efficiency

- Theorem:
  - A full binary tree of height  $h \geq 0$  has  **$2^h - 1$  nodes**
- Theorem:
  - The **maximum number of nodes** that a binary tree of height  $h$  can have is  **$2^h - 1$**
- Theorem:
  - The **minimum height of a binary tree** with  $n$  nodes is  **$\text{ceil}[\log_2(n+1)]$**

# BST operation efficiency

- Given these theorems:
  - We can say something about the worst- and best-case heights of a particular binary search tree
  - And given that retrieve, insert and delete describes paths from the root (at worst) some leaf...
  - ... this means our efficiencies will depend upon the length of this path (which is also the tree height)
- Operations (i.e., number of comparisons)
  - Retrieval: average  $O(\log n)$ ; worst  $O(n)$
  - Insertion: average  $O(\log n)$ ; worst  $O(n)$
  - Deletion: average  $O(\log n)$ ; worst  $O(n)$
- Note: Traversals will always be  $O(n)$  as one comparison is needed for visiting every node

# Tables & Priority Queues

- Reading: Chapter 12



# The ADT Table

- The ADT table, or dictionary
  - Uses a search key to identify its items
  - Its items are records that contain several pieces of data

<u>City</u>	<u>Country</u>	<u>Population</u>
Athens	Greece	2,500,000
Barcelona	Spain	1,800,000
Cairo	Egypt	9,500,000
London	England	9,400,000
New York	U.S.A.	7,300,000
Paris	France	2,200,000
Rome	Italy	2,800,000
Toronto	Canada	3,200,000
Venice	Italy	300,000

**Figure 12-1**

An ordinary table of cities

# The ADT Table

- Operations of the ADT table
  - Create an empty table
  - Determine whether a table is empty
  - Determine the number of items in a table
  - Insert a new item into a table
  - Delete the item with a given search key from a table
  - Retrieve the item with a given search key from a table
  - Traverse the items in a table in sorted search-key order

# The ADT Table

- Pseudocode for the operations of the ADT table

```
createTable()
```

```
// Creates an empty table.
```

```
tableIsEmpty()
```

```
// Determines whether a table is empty.
```

```
tableLength()
```

```
// Determines the number of items in a table.
```

```
tableInsert(newItem) throws TableException
```

```
// Inserts newItem into a table whose items have
```

```
// distinct search keys that differ from newItem's
```

```
// search key. Throws TableException if the
```

```
// insertion is not successful
```

# The ADT Table

- Pseudocode for the operations of the ADT table (Continued)

```
tableDelete(searchKey)
```

```
// Deletes from a table the item whose search key  
// equals searchKey. Returns false if no such item  
// exists. Returns true if the deletion was  
// successful.
```

```
tableRetrieve(searchKey)
```

```
// Returns the item in a table whose search key  
// equals searchKey. Returns null if no such item  
// exists.
```

```
tableTraverse()
```

```
// Traverses a table in sorted search-key order.
```

# The ADT Table

- Value of the search key for an item must remain the same as long as the item is stored in the table
- `KeyedItem` class
  - Contains an item's search key and a method for accessing the search-key data field
  - Prevents the search-key value from being modified once an item is created
- `TableInterface` interface
  - Defines the table operations

# A Binary Search Tree Implementation of the ADT Table

- `TableBSTBased` class
  - Represents a nonlinear reference-based implementation of the ADT table
  - Uses a binary search tree to represent the items in the ADT table
    - Reuses the class `BinarySearchTree`

# The ADT Priority Queue: A Variation of the ADT Table

- The ADT priority queue
  - Orders its items by a priority value
  - The first item removed is the one having the highest priority value
- Operations of the ADT priority queue
  - Create an empty priority queue
  - Determine whether a priority queue is empty
  - Insert a new item into a priority queue
  - Retrieve and then delete the item in a priority queue with the highest priority value

# The ADT Priority Queue: A Variation of the ADT Table

- Pseudocode for the operations of the ADT priority queue

```
createPQueue()
```

```
// Creates an empty priority queue.
```

```
pqIsEmpty()
```

```
// Determines whether a priority queue is
```

```
// empty.
```



# The ADT Priority Queue: A Variation of the ADT Table

- Pseudocode for the operations of the ADT priority queue (Continued)

```
pqInsert(newItem) throws PQueueException
// Inserts newItem into a priority queue.
// Throws PQueueException if priority queue is
// full.
```

```
pqDelete()
// Retrieves and then deletes the item in a
// priority queue with the highest priority
// value.
```

# The ADT Priority Queue: A Variation of the ADT Table

- Possible implementations
  - Sorted linear implementations
    - Appropriate if the number of items in the priority queue is small
    - Array-based implementation
      - Maintains the items sorted in ascending order of priority value
  - Reference-based implementation
    - Maintains the items sorted in descending order of priority value

# The ADT Priority Queue: A Variation of the ADT Table

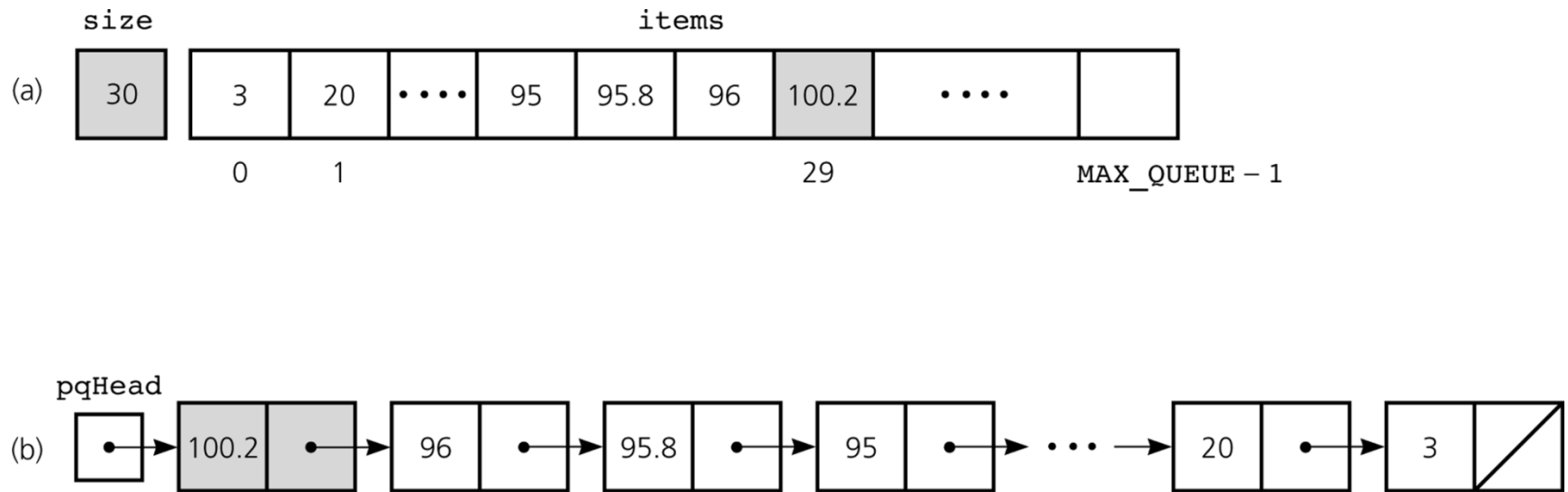


Figure 12-9a and 12-9b

Some implementations of the ADT priority queue: a) array based; b) reference based

# The ADT Priority Queue

- Possible implementations (Continued)
  - Binary search tree implementation
    - Appropriate for any priority queue

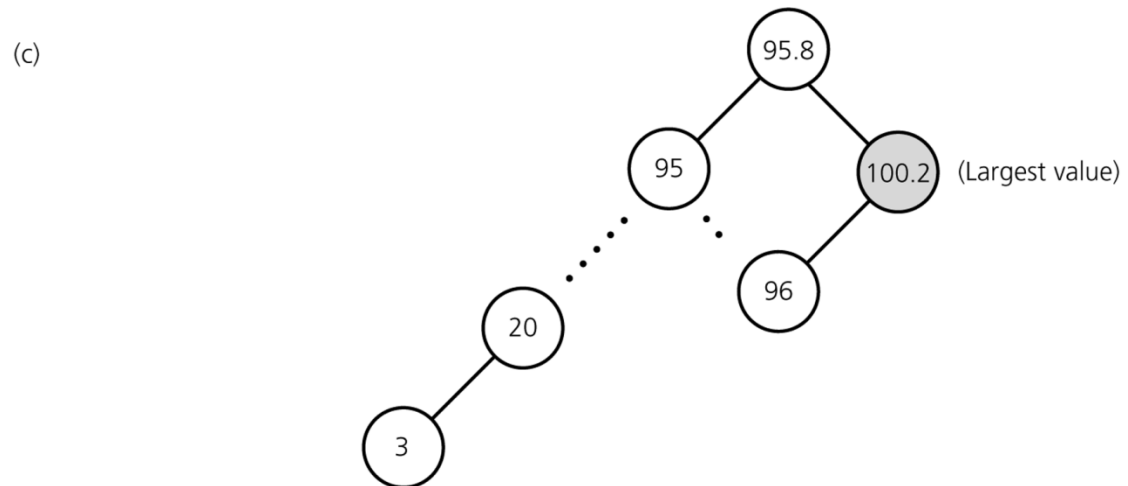


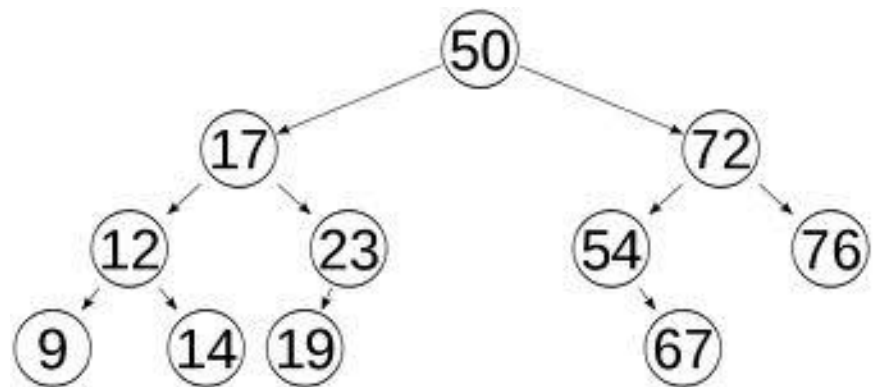
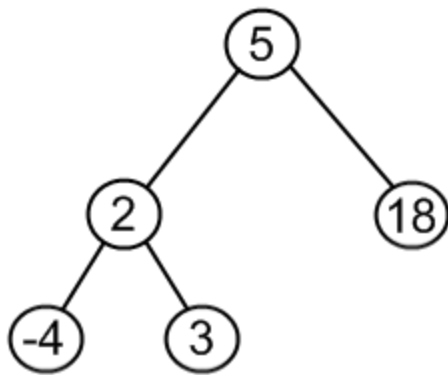
Figure 12-9c

Some implementations of the ADT priority queue: c) binary search tree

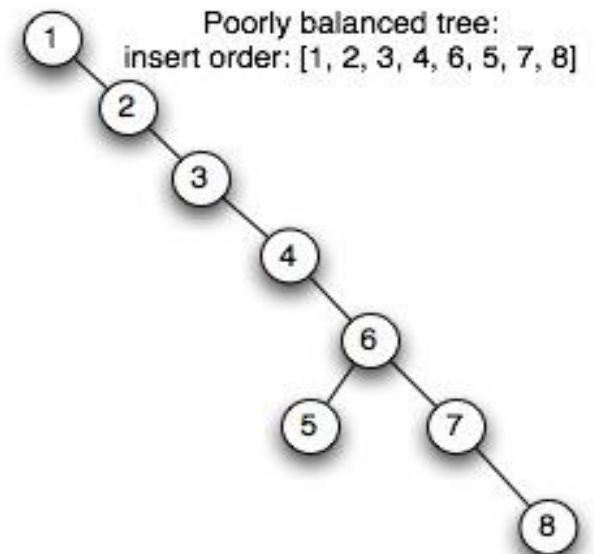
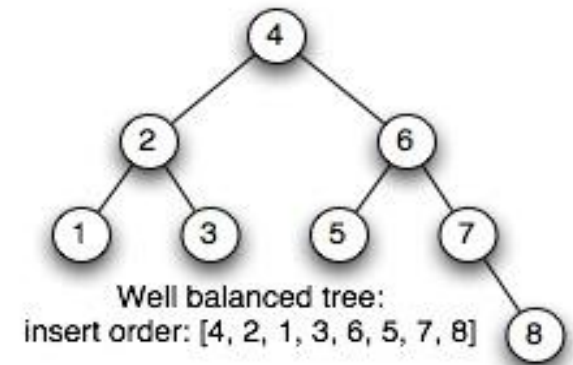
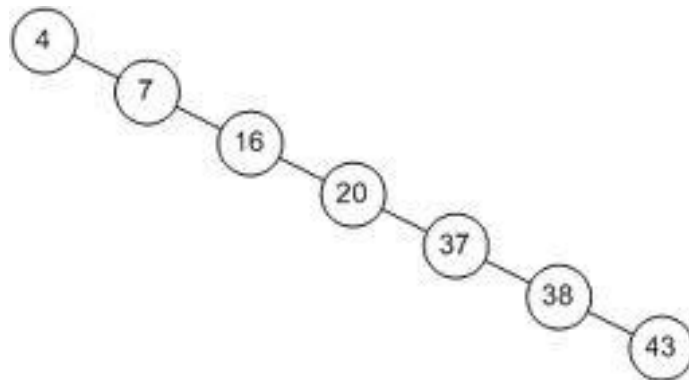
# First:

## Remember Binary Search Trees

- For each node  $n$ :
  - $n$ 's value is greater than all values in its left subtree  $T_L$
  - $n$ 's value is less than all values in its right subtree  $T_R$
  - Both  $T_L$  and  $T_R$  are binary search trees



# Problem with Binary Search Trees



# Heaps

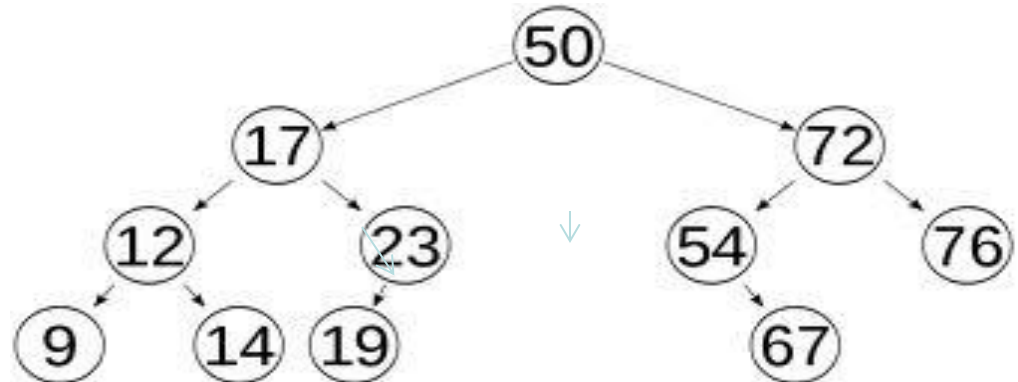
- A heap is a complete binary tree

- That is empty

or

- Whose root contains a search key greater than or equal to the search key in each of its children, and
  - Whose root has heaps as its subtrees

Binary Search Tree



# Heaps

- Maxheap
  - A heap in which the root contains the item with the largest search key
- Minheap
  - A heap in which the root contains the item with the smallest search key



# Heaps

- Pseudocode for the operations of the ADT heap

```
createHeap()
```

```
// Creates an empty heap.
```

```
heapIsEmpty()
```

```
// Determines whether a heap is empty.
```

```
heapInsert(newItem) throws HeapException
```

```
// Inserts newItem into a heap. Throws
```

```
// HeapException if heap is full.
```

```
heapDelete()
```

```
// Retrieves and then deletes a heap's root
```

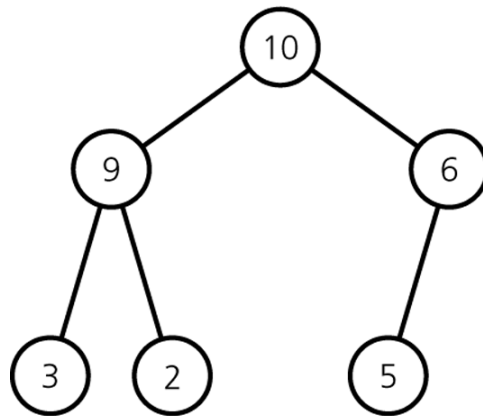
```
// item. This item has the largest search key.
```

# Heaps: Array-based

- Data fields
  - `items`: an array of heap items
  - `size`: an integer equal to the number of items in the heap

Figure 12-11

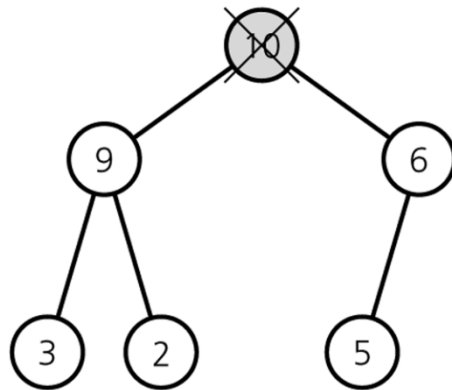
A heap with its array representation



0	10
1	9
2	6
3	3
4	2
5	5

# Heaps: heapDelete

- Step 1: Return the item in the root
  - Results in disjoint heaps



(a)

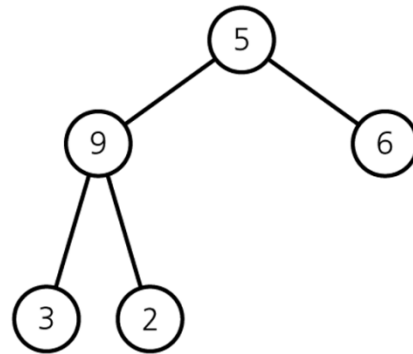
0	10
1	9
2	6
3	3
4	2
5	5

Figure 12-12a

a) Disjoint heaps

# Heaps: heapDelete

- Step 2: Copy the item from the last node into the root
  - Results in a semiheap



(b)

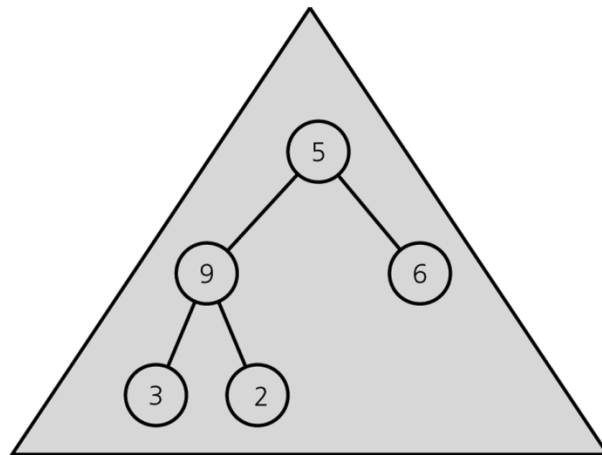
Figure 12-12b

b) a semiheap

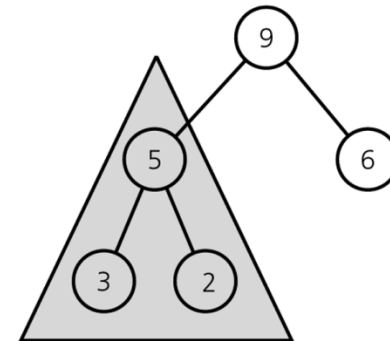
0	5
1	9
2	6
3	3
4	2

# Heaps: `heapDelete`

- Step 3: Transform the semiheap back into a heap
  - Performed by the recursive algorithm `heapRebuild`



First semiheap passed to `heapRebuild`



Second semiheap passed to `heapRebuild`

Figure 12-14

Recursive calls to ***heapRebuild***

# Heaps: heapDelete

- Efficiency
  - heapDelete is  $O(\log n)$

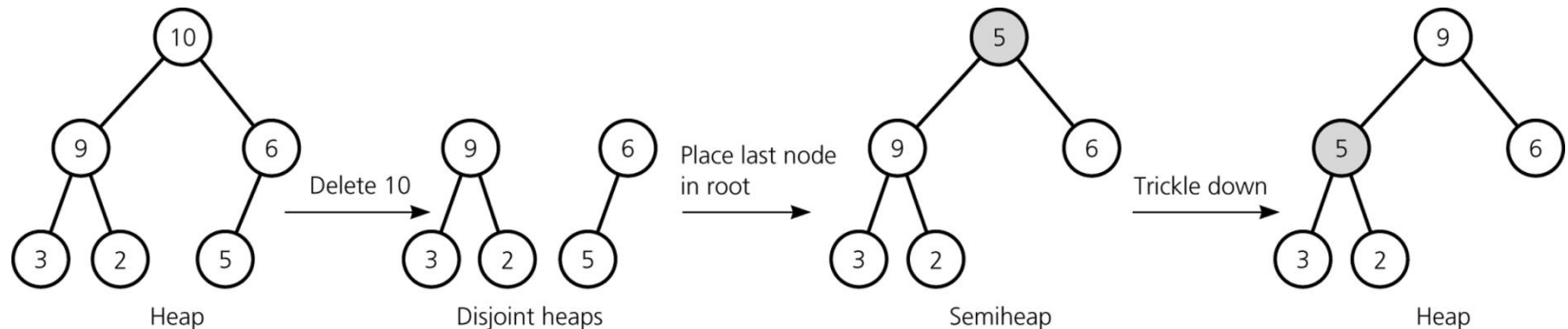
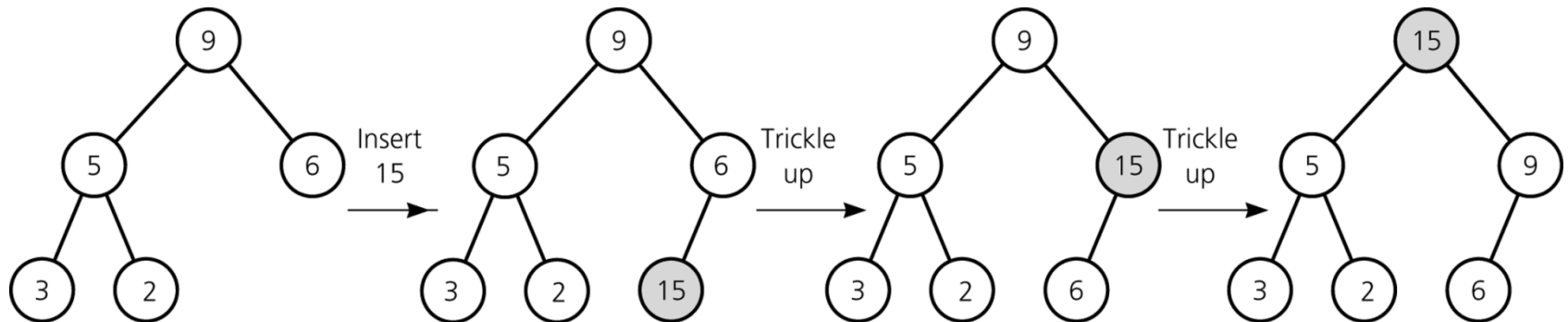


Figure 12-13

Deletion from a heap

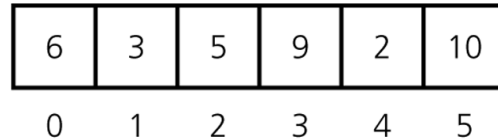
# Heaps: heapInsert

- Strategy
  - Insert `newItem` into the bottom of the tree
  - Trickle new item up to appropriate spot in the tree
- Efficiency:  $O(\log n)$
- Heap class
  - Represents an array-based implementation of the ADT heap

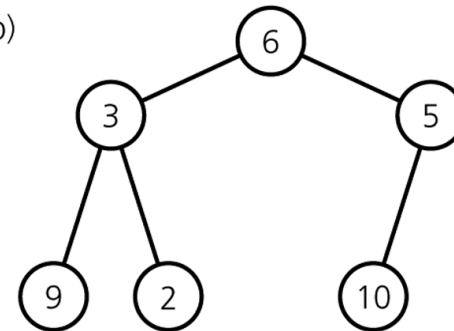


# Heapsort

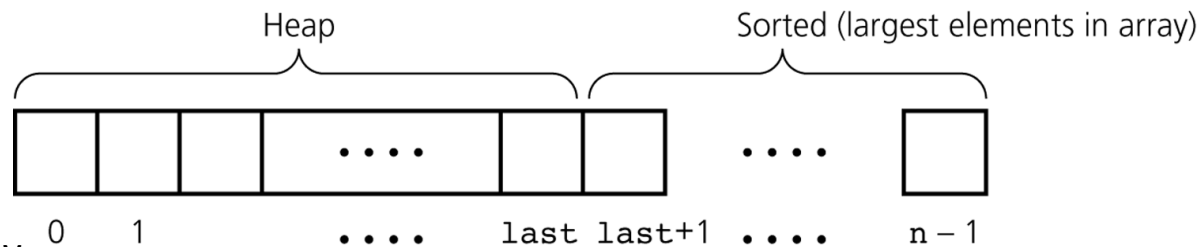
(a) `anArray`



(b)



- a) The initial contents of ***anArray***;
- b) ***anArray***'s corresponding binary tree



Heapsort partitions an array

into two regions



# A Heap Implementation of the ADT Priority Queue

- Priority-queue operations and heap operations are analogous
  - The priority value in a priority-queue corresponds to a heap item's search key
- `PriorityQueue` class
  - Has an instance of the `Heap` class as its data field

# A Heap Implementation of the ADT Priority Queue

- A heap implementation of a priority queue
  - Disadvantage
    - Requires the knowledge of the priority queue's maximum size
  - Advantage
    - A heap is always balanced
- Finite, distinct priority values
  - A heap of queues
    - Useful when a finite number of distinct priority values are used, which can result in many items having the same priority value

# Summary

- The ADT table supports value-oriented operations
- The linear implementations (array based and reference based) of a table are adequate only in limited situations or for certain operations
- A nonlinear reference-based (binary search tree) implementation of the ADT table provides the best aspects of the two linear implementations
- A priority queue, a variation of the ADT table, has operations which allow you to retrieve and remove the item with the largest priority value

# Summary

- A heap that uses an array-based representation of a complete binary tree is a good implementation of a priority queue when you know the maximum number of items that will be stored at any one time
- Efficiency
  - Heapsort, like mergesort, has good worst-case and average-case behaviors, but neither algorithms is as good in the average case as quicksort
  - Heapsort has an advantage over mergesort in that it does not require a second array