

# Number Theory

## 1 Numbers and Sets

- natural numbers
- divides —  $\exists k$  st  $b = ka$
- factor
- divisor
- divisible
- prime — only factor are 1 and  $n$
- composite
- prime counting function  $\pi(x)$  — # primes  $\leq x$

**Lemma 1.1.**  $n > 1$ , then  $n$  has prime factor

**Theorem 1.2.**  $\exists$  infinitely many primes

- highest common factor / greatest common divisor
- coprime / relatively prime
- Euclid's algorithm

**Proposition 1.3.** Euclid's algorithm works

**Theorem 1.4** (Bezout).  $a, b, c \in \mathbb{N}$ , then  $\exists m, n$  st  $am + bn = c \iff (a, b) \mid c$

**Proposition 1.5.**  $p$  prime,  $p \mid ab$ , then  $p \mid a$  or  $p \mid b$

*Proof.* assume  $p \nmid a$ , then Bezout

□

**Theorem 1.6** (Fundamental Theorem of Arithmetic).  $n \in \mathbb{N}$ , then  $n$  can be factorised as product of primes uniquely (up to reordering)

*Proof.* Existence: induction

Uniqueness:  $p_1 \mid q_1 \cdots q_k$

□

– congruent to  $b$  modulo  $n$  —  $n \mid a - b$

**Lemma 1.7.**  $n > 1$ ,  $(a, n) = 1$ , then  $\exists m$  st  $am \equiv 1 \pmod{n}$  (multiplicative inverse mod  $n$ )

*Proof.* Bezout

□

– unit — invertible elements

– multiplicative group  $(\mathbb{Z}/n\mathbb{Z})^\times$  or  $(\mathbb{Z}/n\mathbb{Z})^*$  — group of unit

– Euler totient function  $\phi(n) = |(\mathbb{Z}/n\mathbb{Z})^\times|$

**Fact.**  $\phi(p) = p - 1$

**Theorem 1.8** (Fermat-Euler).  $n > 1$ ,  $(a, n) = 1$ , then  $a^{\phi(n)} \equiv 1 \pmod{n}$

*Proof.* Langrange's

□

**Corollary 1.9** (Fermat's Little Theorem).  $a^{p-1} \equiv 1 \pmod{p}$

**Theorem 1.10** (Chinese remainder theorem).  $m_1, m_2 > 1$ ,  $(m_1, m_2) = 1$ ,  $a_1, a_2 \in \mathbb{Z}$ , then  $\exists n$  st  $\begin{cases} n \equiv a_1 \pmod{m_1} \\ n \equiv a_2 \pmod{m_2} \end{cases}$ , unique up to modulo  $m_1 m_2$

**Fact.** extend to more congruences as long as pairwise coprime

**Fact.**  $\mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}/p_1^{\alpha_1}\mathbb{Z} \times \cdots \times \mathbb{Z}/p_k^{\alpha_k}\mathbb{Z}$

**Corollary 1.11.** In addition,  $(a_1, m_1) = 1$ ,  $(a_2, m_2) = 1$ , then  $(n, m_1 m_2) = 1$

**Fact.**  $(\mathbb{Z}/n\mathbb{Z})^\times \cong (\mathbb{Z}/p_1^{\alpha_1}\mathbb{Z})^\times \times \cdots \times (\mathbb{Z}/p_k^{\alpha_k}\mathbb{Z})^\times$

– multiplicative —  $f(mn) = f(m)f(n)$  whenever  $m, n$  coprime

– totally multiplicative —  $f(mn) = f(m)f(n)$  for all  $m, n$

**Corollary 1.12.**  $\phi$  Euler function multiplicative

*Proof.*  $(\mathbb{Z}/m_1m_2\mathbb{Z})^\times = (\mathbb{Z}/m_1\mathbb{Z})^\times \times (\mathbb{Z}/m_2\mathbb{Z})^\times$  □

**Lemma 1.13.**  $p$  prime,  $k \in \mathbb{N}$ , then  $\phi(p^k) = p^{k-1}(p-1)$

*Proof.* direct counting  $p^k - p^{k-1}$  □

$$- \sum_{d|n} \phi(d)$$

**Lemma 1.14.**  $n \in \mathbb{N}$ , then  $\sum_{d|n} \phi(d) = n$

*Proof.* prove multiplicity, then work on  $p^k$  □

**Corollary 1.15.**  $f$  multiplicative  $\Rightarrow \sum_{d|n} f(d)$  multiplicative

$$- d(n) = \tau(n) = \sum_{d|n} 1 = \# \text{ divisors}$$

$$- \sigma(n) = \sum_{d|n} d = \text{sum of divisors}$$

**Theorem 1.16** (Lagrange Theorem).  $p$  prime,  $f(x) = a_n x^n + \cdots + a_1 x + a_0$ ,  $a_n \not\equiv 0 \pmod{p}$ , then  $f(x) \equiv 0 \pmod{p}$  at most  $n$  solutions

*Proof.* induction,  $(x - x_0)g(x) \equiv 0 \pmod{p}$ ,  $\mathbb{Z}/p\mathbb{Z}$  no zero divisor □

**Theorem 1.17.**  $p$  prime,  $(\mathbb{Z}/p\mathbb{Z})$  cyclic

*Proof.*  $d \mid p-1$ ,  $S_d = \{a : \text{order } d\}$ ,  $x^d - 1 \equiv 0$  at most  $d$  solution, then either 0 or  $\phi(d)$  solution, but  $\sum \phi(d) = p-1$  □

- primitive root

**Lemma 1.18.**  $p$  prime, then  $\exists$  primitive root  $g$  st  $g^{p-1} = 1 + bp$  where  $(b, p) = 1$

*Proof.* primitive root  $a$ , then  $a$  or  $a + p$  □

**Lemma 1.19.**  $p > 2$  prime,  $j \in \mathbb{N}$ , then  $\exists$  primitive root  $g \bmod p$  st  $g^{p^{j-1}(p-1)} \not\equiv 1 \pmod{p^{j+1}}$

*Proof.* induction, same  $g$  expansion □

**Theorem 1.20.**  $p > 2$  prime,  $j \in \mathbb{N}$ , then  $(\mathbb{Z}/p^j\mathbb{Z})^\times$  cyclic

*Proof.* induction □

*Proof.* False for  $p = 2$ ,  $(\mathbb{Z}/8\mathbb{Z})^\times$  □

## 2 Quadratic residue

- quadratic residue —  $(a, n) = 1$ ,  $\exists$  solution for  $x^2 \equiv a \pmod{n}$
- quadratic non-residue

**Lemma 2.1.**  $p$  odd prime, then  $\exists$  exactly  $\frac{p-1}{2}$  quadratic residues modulo  $p$

*Proof.* **Method 1:** pair  $a, -a$ , then at most  $\frac{p-1}{2}$ , then no duplicate  
**Method 2:** primitive root □

- Legendre symbol  $\left(\frac{a}{p}\right) = \begin{cases} 1 & \text{if } a \text{ quadratic residue modulo } p \\ -1 & \text{if } a \text{ quadratic non-residue modulo } p \\ 0 & \text{if } (a, p) > 1 \end{cases}$

**Theorem 2.2** (Euler's criterion).  $p$  odd prime, then  $a^{\frac{p-1}{2}} \equiv \left(\frac{a}{p}\right) \pmod{p}$

*Proof.*  $p \nmid a$  trivial,  $a^{\frac{p-1}{2}} \equiv \pm 1 \pmod{p}$ , primitive root  $g$ ,  $a = g^{2i}$  give  $\frac{p-1}{2}$  sol, so rest are non-residue □

**Corollary 2.3.**  $p$  prime,  $\left(\frac{a}{p}\right) \left(\frac{b}{p}\right) = \left(\frac{ab}{p}\right)$  (total multiplicative)

*Proof.*  $p = 2$  trivial,  $p > 2$  follows from Euler's criterion □

**Corollary 2.4.**  $p$  odd prime, then  $\left(\frac{-1}{p}\right) = (-1)^{\frac{p-1}{2}}$

*Proof.* Euler criterion  $\Rightarrow \equiv$ , but both  $\in \{0, \pm 1\}$  □

–  $\langle b \rangle$  —  $p$  odd prime, lies in  $[-\frac{p}{2}, \frac{p}{2}]$

**Proposition 2.5** (Gauss' lemma).  $p$  odd prime,  $(a, p) = 1$ , then  $\left(\frac{a}{p}\right) = (-1)^\nu$  where  $\nu = \#\left\{k : k \in [1, \frac{p-1}{2}], \langle ka \rangle < 0\right\}$

*Proof.*  $\langle a \rangle, \dots, \left\langle \frac{p-1}{2}a \right\rangle$  are  $\pm 1, \dots, \pm \left(\frac{p-1}{2}\right)$  in some order □

**Corollary 2.6.**  $p$  odd prime, then  $\left(\frac{2}{p}\right) = (-1)^{\frac{p^2-1}{8}}$

**Theorem 2.7** (Law of Quadratic Reciprocity).  $p, q$  odd primes, then  $\left(\frac{q}{p}\right) = (-1)^{\frac{p-1}{2} \frac{q-1}{2}} \left(\frac{p}{q}\right)$

*Proof.* write  $\langle bq \rangle = bq - cp$ , then count  $(b, c)$  in  $[0, \frac{p}{2}] \times [0, \frac{q}{2}]$  □

– Jacobi symbol  $\left(\frac{a}{n}\right) = \left(\frac{a}{p_1}\right) \cdots \left(\frac{a}{p_k}\right)$  —  $n = p_1 \cdots p_k$

**Fact.**  $\left(\frac{a}{n}\right) = 1 \not\Rightarrow a$  quadratic residue

**Lemma 2.8.**

(i)  $n$  odd,  $a, b \in \mathbb{Z}$ , then  $\left(\frac{ab}{n}\right) = \left(\frac{a}{n}\right) \left(\frac{b}{n}\right)$

(ii)  $m, n$  odd,  $a \in \mathbb{Z}$ , then  $\left(\frac{a}{mn}\right) = \left(\frac{a}{m}\right) \left(\frac{a}{n}\right)$

**Lemma 2.9.**  $n$  odd, then  $\left(\frac{-1}{n}\right) = (-1)^{\frac{n-1}{2}}$  and  $\left(\frac{2}{n}\right) = (-1)^{\frac{n^2-1}{8}}$

*Proof.* count  $p_i \equiv -1 \pmod{4}$  and  $p_i \equiv \pm 3 \pmod{8}$  □

**Theorem 2.10** (LQR for Jacobi symbol).  $m, n$  odd, then  $\left(\frac{m}{n}\right) = (-1)^{\frac{m-1}{2} \frac{n-1}{2}} \left(\frac{n}{m}\right)$

*Proof.* consider  $\prod_i \prod_j (-1)^{\frac{p_i-1}{2} \frac{q_j-1}{2}}$ , count  $p_i, q_j \equiv -1 \pmod{4}$  □

### 3 Binary Quadratic Forms

- binary quadratic form  $f(x, y) = ax^2 + bxy + cy^2$

**Notation.**  $(a, b, c)$  or  $\begin{pmatrix} a & \frac{b}{2} \\ \frac{b}{2} & c \end{pmatrix}$

**Fact.**  $f = (x, y) \begin{pmatrix} a & \frac{b}{2} \\ \frac{b}{2} & c \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$

- unimodular substitution  $\longrightarrow \begin{cases} X = px + qy \\ Y = rx + sy \end{cases}, ps - qr = 1$

**Fact.** Equivalently,  $\begin{pmatrix} X \\ Y \end{pmatrix} = A \begin{pmatrix} x \\ y \end{pmatrix}$  where  $A \in SL_2(\mathbb{Z})$

- equivalent  $\longrightarrow (a, b, c) \sim (a', b', c')$  or  $f \sim f'$  if related to unimodular substitution

**Fact.**  $T \sim A^\top T A$  where  $A \in SL_2(\mathbb{Z})$

- discriminant  $\text{disc}(f) = b^2 - 4ac$

**Lemma 3.1.**  $f \sim f'$ , then  $\text{disc}(f) = \text{disc}(f')$

*Proof.*  $T = \begin{pmatrix} a & \frac{b}{2} \\ \frac{b}{2} & c \end{pmatrix}$ , then  $\text{disc}(f) = -4 \det(T)$  and  $\text{disc}(f') = -4 \det(A^\top T A)$  □

**Lemma 3.2.**  $\exists BQF f$ ,  $\text{disc}(f) = d \iff d \equiv 0, 1 \pmod{4}$

*Proof.*  $(\Rightarrow) d = b^2 - 4ac$   
 $(\Leftarrow) (1, 0, -\frac{d}{4})$  and  $(1, 0, \frac{1-d}{4})$  □

- positive definite  $f(x, y) \geq 0$  for all  $x, y$
- negative definite  $f(x, y) \leq 0$  for all  $x, y$
- indefinite  $f(x, y) > 0$  and  $f(x', y') < 0$  for some  $x, y, x', y'$

**Lemma 3.3.**  $f$  BQF,  $\text{disc}(f) = d$ ,  $a \neq 0$ ,

- (i)  $d < 0$ ,  $a > 0$ , then  $f$  positive definite
- (ii)  $d < 0$ ,  $a < 0$ , then  $f$  negative definite
- (iii)  $d > 0$ , then  $f$  indefinite

*Proof.*  $4af(x, y) = (2ax + by)^2 - dy^2$

$d < 0$ , trivial, equality iff  $x = y = 0$

$d > 0$ ,  $4af(x, y) = 4a^2(x - \theta_+y)(x - \theta_-y)$ ,  $\theta_{\pm} = -\left(\frac{b \pm \sqrt{d}}{2a}\right)$  □

–  $S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ ,  $S : (a, b, c) \mapsto (c, -b, a)$

–  $T_{\pm} = \begin{pmatrix} 1 & \pm 1 \\ 0 & 1 \end{pmatrix}$ ,  $T_{\pm} : (a, b, c) \mapsto (a, b \pm 2a, a \pm b + c)$

– reduced — positive definite BQF,  $-a < b \leq a < c$  or  $0 \leq b \leq a = c$

**Lemma 3.4.** *every positive definite BQF  $\sim$  reduced form*

*Proof.* apply  $S, T_{\pm}$  □

**Lemma 3.5.**  *$f$  reduced positive definite BQF, coprime  $x, y$  or  $x = y = 0$ , then  $0, a, c, a - |b| + c$  smallest integers represented by  $f$*

*Proof.*  $x, y \in \{0, \pm 1\}$ , if  $|x| \geq |y| > 0$ , then  $f \geq a - |b| + c$ , similarly for  $|y| \geq |x|$  □

**Theorem 3.6.** *(Uniqueness) every positive definite BQF  $\sim$  unique reduced form*

*Proof.* smallest represented int  $\Rightarrow a = a'$ , then 2nd smallest  $\Rightarrow c = c'$ , by disc,  $b = \pm b'$ ,  
 $(a, b, c), (a, -b, c)$  both reduced  $\Rightarrow \begin{cases} f(\pm 1, 0) \\ f(0, \pm 1) \end{cases}$  match  $\begin{cases} f'(\pm 1, 0) \\ f'(0, \pm 1) \end{cases}$ , then  $\begin{pmatrix} p & q \\ r & s \end{pmatrix} = \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ ,  
so  $b = 0$  □

**Proposition 3.7.**  *$d < 0$  fixed, then finite reduced form with  $\text{disc}(f) = d$*

*Proof.*  $b^2 < ac$  bound  $a$ , hence  $|b|$ , then  $c$  uniquely determined through disc □

– class number of  $d$ ,  $h(d)$  — # reduced form with  $\text{disc}(f) = d$

**Lemma 3.8.**  $\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} p & q \\ r & s \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$ , then  $x', y'$  coprime  $\iff x, y$  coprime

*Proof.*  $(x, y) \mid (x', y')$

□

- $f$  represents  $n$  —  $f$  BQF,  $\exists x, y, f(x, y) = n$
- $f$  properly represents  $n$  —  $f$  BQF,  $\exists x, y, f(x, y) = n, (x, y) = 1$

**Fact.** *equivalent form properly represent the same numbers*

**Lemma 3.9.**  $n \in \mathbb{N}$ ,  $n$  properly represented by  $f \iff f \sim f'$  which first coefficient  $n$

*Proof.*  $\Leftarrow$  trivial  
 $\Rightarrow$  Bezout

□

**Theorem 3.10.**  $n \in \mathbb{N}$ ,

- (i)  $n$  properly represented by  $f$ ,  $\text{disc}(f) = d$ , then  $\exists$  solution to  $\omega^2 \equiv d \pmod{4n}$
- (ii) if  $\exists$  solution to  $\omega^2 \equiv d \pmod{4n}$ , then  $\exists f$  st  $n$  properly represented by  $f$ ,  $\text{disc}(f) = d$

*Proof.*  $f'$  first coefficient  $n$ ,  $\text{disc}(f') = b^2 - 4nc = d$

□

**Fact.**  $h(d) = 1$ , then  $n$  properly represented by  $f \iff \exists$  solution to  $\omega^2 \equiv d \pmod{4n}$

**Proposition 3.11** (Hensel's Lemma).  $f$  polynomial,  $p$  odd prime,  $f(x_1) \equiv 0 \pmod{p}$ ,  $f'(x_1) \not\equiv 0 \pmod{p}$ , then  $\exists x_r$  st  $f(x_r) \not\equiv 0 \pmod{p^r}$  for each  $r \geq 1$

*Proof.*  $x_r = x_{r-1} + \lambda p^{r-1}$

□

**Theorem 3.12.**  $n = x^2 + y^2$  where  $(x, y) = 1 \iff 4 \nmid n$  and all odd prime factors  $p_i \equiv 1 \pmod{4}$

*Proof.*  $n$  properly represented  $\iff \exists$  sol to  $\omega^2 \equiv -4 \pmod{4n}$ , then CRT, Hensel

□

**Corollary 3.13.**  $n = x^2 + y^2 \iff$  each  $p_i \equiv 3 \pmod{4}$  occurs to even power

**Theorem 3.14** (Langrange). every  $n \in \mathbb{N}$  sum of four squares



## 4 Distribution of Primes

**Theorem 4.1** (Dirichlet's theorem).  $n > 1$ ,  $(n, a) = 1$ , then  $\exists$  infinite many primes  $p \equiv a \pmod{n}$

**Proposition 4.2.**  $x \geq 10$ , then  $\sum_{p \leq x} \frac{1}{p} \geq \log \log x - \frac{1}{2}$

*Proof.*  $\prod (1 - \frac{1}{p})^{-1} \geq \log x$ ,  $\log \left(1 - \frac{1}{p}\right)^{-1} - \frac{1}{p} = \frac{1}{2p(p-1)}$  □

**Fact.**  $\sum \frac{1}{p} = \log \log x + c + O(\frac{1}{\log x})$

**Corollary 4.3.** *infinitely many primes*

–  $\pi(x)$  — # primes  $\leq x$

**Proposition 4.4.**  $\pi \geq c \log x$  for some  $c > 0$

*Proof.*  $y = m^2 \prod p_i^{\alpha_i}$  □

– Riemann zeta function  $\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}$  —  $\operatorname{Re}(s) > 1$

**Lemma 4.5.**  $\operatorname{Re}(s) > 1$ ,

(i)  $\sum \frac{1}{n^s}$  converges absolutely

(ii) converges uniformly on  $\operatorname{Re}(s) \geq 1 + \delta$ , hence analytic on  $\operatorname{Re}(s) > 1$

**Proposition 4.6** (Euler product for  $\zeta$ ).  $\operatorname{Re}(s) > 1$ , then  $\zeta(s) = \prod_p (1 - p^{-s})^{-1}$

*Proof.*  $\prod_{p \leq N} (1 + p^{-s} + \dots + p^{-Ms})$  where  $p^M < N$ , then uniform bound in  $M$ ,  $M \rightarrow \infty$ , then  $N \rightarrow \infty$  □

**Lemma 4.7.**  $\operatorname{Re}(s) > 1$ , then  $\zeta(s) \neq 0$

*Proof.*  $|\zeta(s) \times \prod_{p \leq x} (1 - p^{-s})| \geq 1 - \sum_{n=x+1}^{\infty} n^{-\sigma} \geq \frac{1}{2}$  □

– Gamma function  $\Gamma(z) = \int_0^{\infty} e^{-t} t^{z-1} dt$  — for  $\text{Re}(z) > 0$

**Fact.**  $z\Gamma(z) = \Gamma(z+1)$

**Fact.**  $\Gamma(n) = (n-1)!$

– completed  $\zeta$  function  $\Xi(s) = \pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) \zeta(s)$

**Fact.**  $\Xi(s) = \Xi(1-s)$

– trivial zeros — at  $s = -2, -4, -6, \dots$

– Mobius function  $\mu(n) = \begin{cases} (-1)^k & \text{if } n = p_1 \cdots p_k \text{ where } p_i \text{ distinct primes} \\ 0 & \text{if } n \text{ not square-free} \end{cases}$

– Mertans function  $\sum_{n \leq x} \mu(n)$

–  $f \sim g \iff \lim \frac{f}{g} \rightarrow 1$

**Theorem 4.8** (Prime Number Theorem).  $\pi(x) \sim \frac{x}{\log x}$

**Theorem 4.9** (Prime Number Theorem).  $\pi(x) = \int_2^x \frac{dt}{\log t} + O(xe^{-c\sqrt{\log x}})$

– Von Mangoldt function  $\Lambda(n) = \begin{cases} \log p & \text{if } n = p^k \\ 0 & \text{otherwise} \end{cases}$

–  $\psi(x) = \sum_{1 \leq n \leq x} \Lambda(n)$

**Fact.**  $\psi(x) \sim x$

– Dirichlet series for  $(a_n)$  —  $\sum \frac{a_n}{n^s}$

**Lemma 4.10.** if  $\text{Re}(s) > 1$ , then  $\frac{\zeta'(s)}{\zeta(s)} = -\sum \frac{\Lambda(n)}{n^s}$

*Proof.*  $\zeta(s) = \prod (1 - p^{-s})^{-1}$ , then differentiate  $\log(\zeta(s))$  □

**Fact.**  $\psi(x) = x - \sum_{\rho} \frac{x^{\rho}}{\rho} - \frac{\zeta'(0)}{\zeta(0)}$  where  $\rho$  all zeros of  $\zeta$

–  $N(x, \sqrt{x})$  —  $\# n$  not divisible by any prime  $\leq \sqrt{x}$ ,  $1 \leq n \leq x$

–  $A_i = \{n : i \mid n\}$

**Proposition 4.11** (Legendre's formula).  $x \geq 10$ ,

(i)  $\pi(x) = \pi(\sqrt{x}) - 1 + N(x, \sqrt{x})$

(ii)  $N(x, \sqrt{x}) = \lfloor x \rfloor - \sum |A_i| + \sum |A_{i_1} \cap A_{i_2}| - \dots + (-1)^{\pi(\sqrt{x})} |\cap A_p|$

*Proof.* trivial,  $-1$  as not counting 1 □

**Lemma 4.12.**  $n \in \mathbb{N}$ ,  $\frac{2^{2n}}{2n} \leq \binom{2n}{n} < 2^{2n}$

*Proof.*  $2n \binom{2n}{n} \geq (1+1)^{2n} > \binom{2n}{n}$  □

– primorial function  $\prod_{p \leq x} p$

**Lemma 4.13.**  $x \in \mathbb{R}$ ,  $x \geq 1$ , then  $\prod_{p \leq x} p \leq 4^x$

*Proof.*  $\prod_{k+2 \leq p \leq 2k+1} p \mid \binom{2k+1}{k+1}$ ,  $2 \binom{2k+1}{k+1} = \binom{2k+1}{k+1} + \binom{2k+1}{k}$  □

**Theorem 4.14** (Bertrand's postulate).  $n \in \mathbb{N}$ , then  $\exists p$ ,  $n < p \leq 2n$

*Proof.*  $\alpha(p, N) = v_p(N!)$ ,  $\alpha(p) = \alpha(p, 2n) - 2\alpha(p, n)$

bound on power:  $\alpha(p) \leq \frac{\log(2n)}{\log p}$ ,  $p^{\alpha(p)} \leq 2n$

bound on larger prime:  $p^2 > n$ ,  $\alpha(p) \leq 1$

$\frac{2n}{3} < p \leq n$ ,  $\alpha(p) = 0$

$$\begin{cases} T_1 = \prod_{p \leq \sqrt{2n}} p^{\alpha(p)} \leq (2n)^{\pi(2n)} \\ T_2 = \prod_{\sqrt{2n} < p \leq n} p^{\alpha(p)} \leq \prod_{\sqrt{2n} < p \leq \frac{2n}{3}} p \leq 4^{\frac{2n}{3}} \\ T_3 = \prod_{n < p \leq 2n} p \\ 1 + \pi(\sqrt{2n}) < \frac{1}{2} \sqrt{2n} \end{cases}$$

□

## 5 Continued Fractions

**Proposition 5.1** (Dirichlet).  $\theta \in \mathbb{R}$ ,  $N \in \mathbb{N}$ , then  $\exists \frac{a}{q}$ ,  $1 \leq q \leq N$  st  $|\theta - \frac{a}{q}| \leq \frac{1}{qN}$

*Proof.*  $0, \dots, N\theta$ , pigeonhole on  $[\frac{j}{N}, \frac{j+1}{N}]$

□

- continued fraction  $a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \dots}}}$  ———  $a_0 \in \mathbb{Z}, a_i \in \mathbb{N}$
- partial quotients  $[a_0, a_1, \dots]$
- finite  $[a_0, \dots, a_n]$
- infinite

**Convention.** For  $[a_0, \dots, a_n]$ ,

(i)  $a_n > 1$

(ii)  $a_n$  something other than natural number

**Lemma 5.2.** 1 – 1 correspondence between finite continued fractions and rational numbers

*Proof.* ( $\Leftarrow$ ) trivial

( $\Rightarrow$ ) strictly decreasing denominators

□

- convergents for  $[a_0, a_1, \dots]$  ———  $[a_0], [a_0, a_1], \dots$
- $(p_n), (q_n)$  ———  $\begin{cases} p_0 = a_0 \\ p_1 = a_0 a_1 + 1 \\ p_n = a_n p_{n-1} + p_{n-2} \end{cases}, \begin{cases} q_0 = 1 \\ q_1 = a_1 \\ q_n = a_n q_{n-1} + q_{n-2} \end{cases}, a_i \in \mathbb{R}, a_1, \dots \geq 1$

**Lemma 5.3.**  $\frac{p_n}{q_n} = [a_0, a_1, \dots, a_n]$

*Proof.* induction

□

**Lemma 5.4.**  $n \geq 1, p_n q_{n-1} - p_{n-1} q_n = (-1)^{n+1}$

*Proof.* induction

□

**Lemma 5.5.** if  $a_0, \dots, a_n \in \mathbb{Z}$ , then  $(p_n, q_n) = 1$

*Proof.* use above

□

**Proposition 5.6.**  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ , then  $\frac{p_n}{q_n} \rightarrow \theta$

*Proof.*  $\theta = [a_0, \dots, a_n, \alpha_{n+1}] = \frac{\alpha_{n+1}p_n + p_{n-1}}{\alpha_{n+1}q_n + q_{n-1}}$ , expand  $|\theta - \frac{p_n}{q_n}|$ ,  $q_n$  strictly increasing  $\square$

**Lemma 5.7.**  $\frac{1}{q_{n+2}} \leq |q_n\theta - p_n| \leq \frac{1}{q_{n+1}}$

*Proof.*  $|q_n\theta - p_n| = \frac{1}{\alpha_{n+1}q_n + q_{n-1}}$  and  $\alpha_{n+1} = \lfloor a_{n+1} \rfloor$   $\square$

**Fact.**  $|q_n\theta - p_n| \leq |q_{n-1}\theta - p_{n-1}|$

**Setting 1.**  $\theta \in \mathbb{R} \setminus \mathbb{Q}$  with convergents  $\frac{p_n}{q_n}$

**Theorem 5.8** ("best rational approximation").  $n \in \mathbb{N}$ ,  $p, q \in \mathbb{Z}$ ,  $0 < q < q_n$ , then  $|q\theta - p| \geq |q_{n-1}\theta - p_{n-1}|$

*Proof.*  $\det \begin{pmatrix} p_{n-1} & p_n \\ q_{n-1} & q_n \end{pmatrix} = (-1)^n$ ,  $\begin{pmatrix} p \\ q \end{pmatrix} = \begin{pmatrix} p_{n-1} & p_n \\ q_{n-1} & q_n \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix}$  for some  $u, v \in \mathbb{Z}$ , size of  $q \Rightarrow u, v$  opposite sign,  $\theta - \frac{p_{n-1}}{q_{n-1}}$ ,  $\theta - \frac{p_n}{q_n}$  opposite sign  $\square$

**Corollary 5.9.**  $p \in \mathbb{Z}$ ,  $q \in \mathbb{N}$ ,  $|\theta - \frac{p}{q}| < \frac{1}{2q^2}$ , then  $\frac{p}{q}$  convergent for  $\theta$

*Proof.*  $q_n \leq q < q_{n+1}$ , bound st  $|\frac{p}{q} - \frac{p_n}{q_n}| < \frac{1}{q_n q}$   $\square$

- Diophantine equation  $x^2 - Ny^2 = 1$  —  $N \in \mathbb{N}$  not square
- Pell's equation

**Corollary 5.10.**  $N \in \mathbb{N}$ , not square,  $x, y > 0$ ,  $x^2 - Ny^2 = 1$ , then  $\frac{x}{y}$  convergent for  $\sqrt{N}$

*Proof.*  $(x - y\sqrt{N}) < \frac{1}{2y}$   $\square$

- eventually periodic  $[a_0, \dots, a_{n-1}; \overline{a_n, \dots, a_{n+m-1}}]$
- purely periodic  $[\overline{a_0, \dots, a_{m-1}}]$
- $\theta$  quadratic irrational —  $a\theta^2 + b\theta + c = 0$  for some  $a, b, c \in \mathbb{Z}$ ,  $a \neq 0$

**Theorem 5.11** (Lagrange).  $\theta \in \mathbb{R}$ ,  $\theta$  quadratic irrational  $\iff$  continued fraction eventually periodic

*Proof.*  $(\Leftarrow)$   $\phi = [\overline{a_n, \dots, a_{n+m-1}}]$ , then  $\phi = [a_n, \dots, a_{n+m-1}, \phi]$  quadratic irrational, then  $\theta = \frac{\phi p_{n-1} + p_{n-2}}{\phi q_{n-1} + q_{n-2}}$  quadratic irrational  
 $(\Rightarrow)$   $f(x, y) = ax^2 + bxy + cy^2$ ,  $f(\theta, 1) = 0$ , finitely many  $f(p_n, q_n)$ , so finitely many  $\alpha_n$   $\square$

**Theorem 5.12.**  $\sqrt{N} = [a_0; \overline{a_1, a_2, \dots, a_2, a_1, 2a_0}]$  (symmetric, then  $2a_0$ )

**Proposition 5.13.**  $N \in \mathbb{N}$ , not square, then  $\exists$  convergent  $\frac{p_n}{q_n}$  for  $\sqrt{N}$  with  $p_n^2 - Nq_n^2 = 1$

*Proof.*  $\sqrt{N} = [a_0; \overline{a_1, \dots, a_n, 2a_0}] = \frac{\alpha_{n+1}p_n + p_{n-1}}{\alpha_{n+1}q_n + q_{n-1}}$ ,  $\alpha_{n+1} = [2a_0, a_1, \dots, a_n] = a_0 + \sqrt{N}$ , then plug in and sol for  $p_n, q_n$ , then  $p_n q_{n-1} - p_{n-1} q_n = (-1)^{n+1} = p_n^2 - Nq_n^2$ , then  
 $n$  odd,  $(p_n, q_n)$  sol  
 $n$  even,  $(p_{2n+1}, q_{2n+1})$  sol  $\square$

**Lemma 5.14.**  $(x_1, y_1), (x_2, y_2)$  solutions to  $x^2 - Ny^2 = 1$ , then  $(x_1 x_2 + y_1 y_2 N, x_1 y_2 + x_2 y_1)$  also solution

*Proof.*  $(x_1 \pm y_1 \sqrt{N})(x_2 \pm y_2 \sqrt{N})$   $\square$

$$- (x_1, y_1) * (x_2, y_2) = (x_1 x_2 + y_1 y_2 N, x_1 y_2 + x_2 y_1)$$

**Fact.**

(i) solution to  $x^2 - Ny^2 = 1$  group under  $*$

(ii) group cyclic

– fundamental unit — generator of the group above