## Number Theory

# **Numbers and Sets** - natural numbers – divides — $\exists k \text{ st } b = ka$ - factor - divisor - divisible – prime — only factor are 1 and n- composite - prime counting function $\pi(x)$ — # primes $\leq x$ **Lemma 1.1.** n > 1, then n has prime factor **Theorem 1.2.** $\exists$ *infinitely many primes* - highest common factor / greatest common divisor - coprime / relatively prime - Euclid's algorithm Proposition 1.3. Euclid's algorithm works **Theorem 1.4** (Bezout). $a, b, c \in \mathbb{N}$ , then $\exists m, n \text{ st } am + bn = c \iff (a, b) \mid c$ **Proposition 1.5.** p prime, $p \mid ab$ , then $p \mid a$ or $p \mid b$

*Proof.* assume  $p \nmid a$ , then Bezout

**Theorem 1.6** (Fundamental Theorem of Arithmetic).  $n \in \mathbb{N}$ , then n can be factorised as product of primes uniquely (up to reordering)

*Proof.* Existence: induction

Uniqueness:  $p_1 \mid q_1 \cdots q_k$ 

- congruent to b modulo  $n - n \mid a - b$ 

**Lemma 1.7.** n > 1, (a, n) = 1, then  $\exists m \text{ st } am \equiv 1 \text{ (multiplicative inverse mod } n)$ 

Proof. Bezout

- unit —— invertible elements
- multiplicative group  $(\mathbb{Z}/n\mathbb{Z})^{\times}$  or  $(\mathbb{Z}/n\mathbb{Z})^*$  —— group of unit
- Euler totient function  $\phi(n) = |(\mathbb{Z}/n\mathbb{Z})^{\times}|$

**Fact.**  $\phi(p) = p - 1$ 

**Theorem 1.8** (Fermat-Euler). n > 1, (a, n) = 1, then  $a^{\phi(n)} \equiv 1 \pmod{n}$ 

Proof. Langrange's

Corollary 1.9 (Fermat's Little Theorem).  $a^{p-1} \equiv 1 \pmod{p}$ 

**Theorem 1.10** (Chinese remainder theorem).  $m_1, m_2 > 1$ ,  $(m_1, m_2) = 1$ ,  $a_1, a_2 \in \mathbb{Z}$ , then  $\exists n$   $st \begin{cases} n \equiv a_1 \pmod{m_1} \\ n \equiv a_2 \pmod{m_2} \end{cases}$ , unique up to modulo  $m_1 m_2$ 

**Fact.** extend to more congruences as long as pairwise coprime

Fact.  $\mathbb{Z}/n\mathbb{Z}\cong\mathbb{Z}/p_1^{\alpha_1}\mathbb{Z}\times\cdots\times\mathbb{Z}/p_k^{\alpha_k}\mathbb{Z}$ 

Corollary 1.11. In addition,  $(a_1, m_1) = 1, (a_2, m_2) = 1, then (n, m_1 m_2) = 1$ 

Fact.  $(\mathbb{Z}/n\mathbb{Z})^{\times} \cong (\mathbb{Z}/p_1^{\alpha_1}\mathbb{Z})^{\times} \times \cdots \times (\mathbb{Z}/p_k^{\alpha_k}\mathbb{Z})^{\times}$ 

- multiplicative f(mn) = f(m)f(n) whenever m, n coprime
- totally multiplicative f(mn) = f(m)f(n) for all m, n

Corollary 1.12.  $\phi$  Euler function multiplicative

Proof. 
$$(\mathbb{Z}/m_1m_2\mathbb{Z})^{\times} = (\mathbb{Z}/m_1\mathbb{Z})^{\times} \times (\mathbb{Z}/m_2\mathbb{Z})^{\times}$$

**Lemma 1.13.** p prime,  $k \in \mathbb{N}$ , then  $\phi(p^k) = p^{k-1}(p-1)$ 

*Proof.* direct counting  $p^k - p^{k-1}$ 

 $-\sum_{d|n}\phi(d)$ 

**Lemma 1.14.**  $n \in \mathbb{N}$ , then  $\sum_{d|n} \phi(d) = n$ 

*Proof.* prove multiplicity, then work on  $p^k$ 

Corollary 1.15. f multiplicative  $\Rightarrow \sum_{d|n} f(d)$  multiplicative

- $d(n) = \tau(n) = \sum_{d|n} 1 = \#$  divisors
- $\sigma(n) = \sum_{d|n} d = \text{sum of divisors}$

**Theorem 1.16** (Lagrange Theorem). p prime,  $f(x) = a_n x^n + \cdots + a_1 x + a_0$ ,  $a_n \nmid p$ , then  $f(x) \equiv 0 \pmod{p}$  at most n solutions

*Proof.* induction,  $(x - x_0)g(x) \equiv 0 \pmod{p}$ ,  $\mathbb{Z}/p\mathbb{Z}$  no zero divisor

**Theorem 1.17.** p prime,  $(\mathbb{Z}/p\mathbb{Z})$  cyclic

*Proof.*  $d \mid p-1, S_d = \{a : \text{order } d\}, x^d-1 \equiv 0 \text{ at most } d \text{ solution, then either } 0 \text{ or } \phi(d) \text{ solution, but } \sum \phi(d) = p-1$ 

- primitive root

**Lemma 1.18.** p prime, then  $\exists$  primitive root g st  $g^{p-1} = 1 + bp$  where (b, p) = 1

*Proof.* primitive root a, then a or a + p

**Lemma 1.19.** p > 2 prime,  $j \in \mathbb{N}$ , then  $\exists$  primitive root  $g \mod p$  st  $g^{p^{j-1}(p-1)} \not\equiv 1 \pmod{p^{j+1}}$ 

*Proof.* induction, same g expansion

**Theorem 1.20.** p > 2 prime,  $j \in \mathbb{N}$ , then  $(\mathbb{Z}/p^j\mathbb{Z})^{\times}$  cyclic

Proof. induction

**Fact.** False for p = 2,  $(\mathbb{Z}/8\mathbb{Z})^{\times}$ 

### 2 Quadratic residue

- quadratic residue —— (a, n) = 1,  $\exists$  solution for  $x^2 \equiv a \pmod{n}$
- quadratic non-residue

**Lemma 2.1.** p odd prime, then  $\exists$  exactly  $\frac{p-1}{2}$  quadratic residues modulo p

*Proof.* **Method 1:** pair a, -a, then at most  $\frac{p-1}{2}$ , then no duplicate **Method 2:** primitive root

– Legendre symbol  $\left(\frac{a}{p}\right) = \begin{cases} 1 & \text{if } a \text{ quadratic residue modulo } p \\ -1 & \text{if } a \text{ quadratic non-residue modulo } p \\ 0 & \text{if } (a,p) > 1 \end{cases}$ 

**Theorem 2.2** (Euler's criterion). p odd prime, then  $a^{\frac{p-1}{2}} \equiv \left(\frac{a}{p}\right) \pmod{p}$ 

*Proof.*  $p \mid a$  trivial,  $a^{\frac{p-1}{2}} \equiv \pm 1 \pmod{p}$ , primitive root  $g, a = g^{2i}$  give  $\frac{p-1}{2}$  sol, so rest are non-residue

Corollary 2.3. p prime,  $\left(\frac{a}{p}\right)\left(\frac{b}{p}\right)=\left(\frac{ab}{p}\right)$  (total multiplicative)

*Proof.* p = 2 trivial, p > 2 follows from Euler's criterion

Corollary 2.4. p odd prime, then  $\left(\frac{-1}{p}\right) = (-1)^{\frac{p-1}{2}}$ 

*Proof.* Euler criterion  $\Rightarrow \equiv$ , but both  $\in \{0, \pm 1\}$ 

–  $\langle b \rangle$  —— p odd prime, lies in  $[-\frac{p}{2},\frac{p}{2}]$ 

**Proposition 2.5** (Gauss' lemma). p odd prime, (a,p)=1, then  $\left(\frac{a}{p}\right)=(-1)^{\nu}$  where  $\nu=\#\left\{k:k\in[1,\frac{p-1}{2}],\langle ka\rangle<0\right\}$ 

*Proof.*  $\langle a \rangle, \dots, \left\langle \frac{p-1}{2} a \right\rangle$  are  $\pm 1, \dots, \pm \left( \frac{p-1}{2} \right)$  in some order

Corollary 2.6. p odd prime, then  $\left(\frac{2}{p}\right) = (-1)^{\frac{p^2-1}{8}}$ 

**Theorem 2.7** (Law of Quadratic Reciprocity). p, q odd primes, then  $\left(\frac{q}{p}\right) = (-1)^{\frac{p-1}{2}\frac{q-1}{2}}\left(\frac{p}{q}\right)$ 

*Proof.* write  $\langle bq \rangle = bq - cp$ , then count (b,c) in  $[0,\frac{p}{2}] \times [0,\frac{q}{2}]$ 

– Jacobi symbol  $\left(\frac{a}{n}\right) = \left(\frac{a}{p_1}\right) \cdots \left(\frac{a}{p_k}\right) - \cdots - n = p_1 \cdots p_k$ 

Fact.  $\left(\frac{a}{n}\right) = 1 \Rightarrow a \text{ quadratic residue}$ 

Lemma 2.8.

- (i) n odd,  $a, b \in \mathbb{Z}$ , then  $\left(\frac{ab}{n}\right) = \left(\frac{a}{n}\right) \left(\frac{b}{n}\right)$
- (ii)  $m, n \text{ odd}, a \in \mathbb{Z}, \text{ then } \left(\frac{a}{mn}\right) = \left(\frac{a}{m}\right) \left(\frac{a}{n}\right)$

**Lemma 2.9.** n odd, then  $\left(\frac{-1}{n}\right) = (-1)^{\frac{n-1}{2}}$  and  $\left(\frac{2}{n}\right) = (-1)^{\frac{n^2-1}{8}}$ 

*Proof.* count  $p_i \equiv -1 \pmod{4}$  and  $p_i \equiv \pm 3 \pmod{8}$ 

**Theorem 2.10** (LQR for Jacobi symbol).  $m, n \ odd, \ then \left(\frac{m}{n}\right) = (-1)^{\frac{m-1}{2}\frac{n-1}{2}} \left(\frac{n}{m}\right)$ 

*Proof.* consider  $\prod_i \prod_j (-1)^{\frac{p_i-1}{2} \frac{q_j-1}{2}}$ , count  $p_i, q_j \equiv -1 \pmod{4}$ 

## 3 Binary Quadratic Forms

- binary quadratic form  $f(x,y) = ax^2 + bxy + cy^2$ 

**Notation.** (a,b,c) or  $\begin{pmatrix} a & \frac{b}{2} \\ \frac{b}{2} & c \end{pmatrix}$ 

Fact.  $f = (x, y) \begin{pmatrix} a & \frac{b}{2} \\ \frac{b}{2} & c \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$ 

– unimodular substitution ——  $\begin{cases} X = px + qy \\ Y = rx + sy \end{cases} , \, ps - qr = 1$ 

**Fact.** Equivalently,  $\begin{pmatrix} X \\ Y \end{pmatrix} = A \begin{pmatrix} x \\ y \end{pmatrix}$  where  $A \in SL_2(\mathbb{Z})$ 

– equivalent ——  $(a,b,c) \sim (a',b',c')$  or  $f \sim f'$  if related to unimodular substitution

Fact.  $T \sim A^{\top}TA$  where  $A \in SL_2(\mathbb{Z})$ 

- discriminant  $disc(f) = b^2 - 4ac$ 

**Lemma 3.1.**  $f \sim f'$ , then  $\operatorname{disc}(f) = \operatorname{disc}(f')$ 

*Proof.* 
$$T = \begin{pmatrix} a & \frac{b}{2} \\ \frac{b}{2} & c \end{pmatrix}$$
, then  $\operatorname{disc}(f) = -4 \det(T)$  and  $\operatorname{disc}(f') = -4 \det(A^{\top}TA)$ 

**Lemma 3.2.**  $\exists BQF f, \operatorname{disc}(f) = d \iff d \equiv 0, 1 \pmod{4}$ 

Proof. 
$$(\Rightarrow)$$
  $d = b^2 - 4ac$   
 $(\Leftarrow)$   $(1,0,-\frac{d}{4})$  and  $(1,0,\frac{1-d}{4})$ 

- positive definite  $f(x,y) \ge 0$  for all x,y
- negative definite  $f(x, y) \leq 0$  for all x, y
- indefinite f(x,y) > 0 and f(x',y') < 0 for some x,y,x',y'

**Lemma 3.3.** f BQF, disc(f) = d,  $a \neq 0$ ,

- (i) d < 0, a > 0, then f positive definite
- (ii) d < 0, a < 0, then f negative definite
- (iii) d > 0, then f indefinite

Proof.  $4af(x,y) = (2ax + by)^2 - dy^2$  d < 0, trivial, equality iff x = y = 0d > 0,  $4af(x,y) = 4a^2(x - \theta_+ y)(x - \theta_- y)$ ,  $\theta_{\pm} = -\left(\frac{b \pm \sqrt{d}}{2a}\right)$ 

$$-S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, S: (a, b, c) \mapsto (c, -b, a)$$

$$-T_{\pm} = \begin{pmatrix} 1 & \pm 1 \\ 0 & 1 \end{pmatrix}, T_{\pm} : (a, b, c) \mapsto (a, b \pm 2a, a \pm b + c)$$

- reduced — positive definite BQF,  $-a < b \le a < c$  or  $0 \le b \le a = c$ 

#### **Lemma 3.4.** every positive define $BQF \sim reduced$ form

*Proof.* apply  $S, T_{\pm}$ 

**Lemma 3.5.** f reduced positive definite BQF, coprime x, y or x = y = 0, then 0, a, c, a - |b| + c smallest integers represented by f

*Proof.*  $x, y \in \{0, \pm 1\}$ , if  $|x| \ge |y| > 0$ , then  $f \ge a - |b| + c$ , similarly for  $|y| \ge |x|$ 

#### **Theorem 3.6.** (Uniqueness) every positive define $BQF \sim unique \ reduced \ form$

Proof. smallest represented int  $\Rightarrow a = a'$ , then 2nd smallest  $\Rightarrow c = c'$ , by disc,  $b = \pm b'$ , (a,b,c),(a,-b,c) both reduced  $\Rightarrow \begin{cases} f(\pm 1,0) \\ f(0,\pm 1) \end{cases}$  match  $\begin{cases} f'(\pm 1,0) \\ f'(0,\pm 1) \end{cases}$ , then  $\begin{pmatrix} p & q \\ r & s \end{pmatrix} = \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ , so b=0

**Proposition 3.7.** d < 0 fixed, then finite reduced form with  $\operatorname{disc}(f) = d$ 

*Proof.*  $b^2 < ac$  bound a, hence |b|, then c uniquely determined through disc

- class number of d, h(d) — # reduced form with  $\operatorname{disc}(f) = d$ 

**Lemma 3.8.**  $\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} p & q \\ r & s \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$ , then x', y' coprime  $\iff x, y$  coprime

Proof.  $(x,y) \mid (x',y')$ 

- f represents n f BQF,  $\exists x, y, f(x, y) = n$
- f properly represents n f BQF,  $\exists x, y, f(x, y) = n$ , (x, y) = 1

Fact. equivalent form properly represent the same numbers

**Lemma 3.9.**  $n \in \mathbb{N}$ , n properly represented by  $f \iff f \sim f'$  which first coefficient n

 $\begin{array}{l} \textit{Proof.} \ \Leftarrow \ \text{trivial} \\ \Rightarrow \ \text{Bezout} \end{array}$ 

Theorem 3.10.  $n \in \mathbb{N}$ ,

- (i) n properly represented by f,  $\operatorname{disc}(f) = d$ , then  $\exists$  solution to  $\omega^2 \equiv d \pmod{4n}$
- (ii) if  $\exists$  solution to  $\omega^2 \equiv d \pmod{4n}$ , then  $\exists f \text{ st } n \text{ properly represented by } f, \operatorname{disc}(f) = d$

*Proof.* f' first coefficient n,  $\operatorname{disc}(f') = b^2 - 4nc = d$ 

**Fact.** h(d) = 1, then n properly represented by  $f \iff \exists \text{ solution to } \omega^2 \equiv d \pmod{4n}$ 

**Proposition 3.11** (Hensel's Lemma). f polynomial, p odd prime,  $f(x_1) \equiv 0 \pmod{p}$ ,  $f'(x_1) \not\equiv 0 \pmod{p}$ , then  $\exists x_r \ st \ f(x_r) \equiv 0 \pmod{p^r}$  for each  $r \geq 1$ 

Proof.  $x_r = x_{r-1} + \lambda p^{r-1}$ , Taylor

**Theorem 3.12.**  $n = x^2 + y^2$  where  $(x, y) = 1 \iff 4 \nmid n$  and all odd prime factors  $p_i \equiv 1 \pmod{4}$ 

*Proof.* n properly represented  $\iff \exists$  sol to  $\omega^2 \equiv -4 \pmod{4n}$ , then CRT, Hensel

Corollary 3.13.  $n = x^2 + y^2 \iff each \ p_i \equiv 3 \pmod{4}$  occurs to even power

**Theorem 3.14** (Langrange). every  $n \in \mathbb{N}$  sum of four squares

#### 4 Distribution of Primes

**Theorem 4.1** (Dirichlet's theorem). n > 1, (n, a) = 1, then  $\exists$  infinite many primes  $p \equiv a \pmod{n}$ 

**Proposition 4.2.**  $x \ge 10$ , then  $\sum_{p \le x} \frac{1}{p} \ge \log \log x - \frac{1}{2}$ 

Proof. 
$$\prod (1 - \frac{1}{p})^{-1} \ge \log x$$
,  $\log \left(1 - \frac{1}{p}\right)^{-1} - \frac{1}{p} \le \frac{1}{2p(p-1)}$ 

Fact.  $\sum \frac{1}{p} = \log \log x + c + O(\frac{1}{\log x})$ 

Corollary 4.3. infinitely many primes

 $-\pi(x)$  — # primes  $\leq x$ 

**Proposition 4.4.**  $\pi(x) \ge c \log x$  for some c > 0

Proof. 
$$y = m^2 \prod p_i^{\alpha_i}$$

– Riemann zeta function  $\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}$  ——  $\operatorname{Re}(s) > 1$ 

**Lemma 4.5.** Re(s) > 1,

- (i)  $\sum \frac{1}{n^s}$  converges absolutely
- (ii) converges uniformly on  $Re(s) \ge 1 + \delta$ , hence analytic on Re(s) > 1

**Proposition 4.6** (Euler product for  $\zeta$ ). Re(s) > 1, then  $\zeta(s) = \prod_p (1 - p^{-s})^{-1}$ 

*Proof.*  $\prod_{p \leq N} \left(1 + p^{-s} + \dots + p^{-Ms}\right)$  where  $p^M < N$ , then uniform bound in  $M, M \to \infty$ , then  $N \to \infty$ 

**Lemma 4.7.** Re(s) > 1, then  $\zeta(s) \neq 0$ 

*Proof.*  $|\zeta(s) \times \prod_{p \le x} (1 - p^{-s})| \ge 1 - \sum_{n=x+1} n^{-\sigma} \ge \frac{1}{2}$ 

– Gamma function  $\Gamma(z)=\int_0^\infty e^{-t}t^{z-1}dt$  —— for  $\mathrm{Re}(z)>0$ 

Fact.  $z\Gamma(z) = \Gamma(z-1)$ 

**Fact.**  $\Gamma(n) = (n-1)!$ 

– completed  $\zeta$  function  $\Xi(s) = \pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) \zeta(s)$ 

**Fact.**  $\Xi(s) = \Xi(1-s)$ 

- trivial zeros —— at s = -2, -4, -6, ...
- Mobius function  $\mu(n) = \begin{cases} (-1)^k & \text{if } n = p_1 \cdots p_k \text{ where } p_i \text{ distinct primes} \\ 0 & \text{if } n \text{ not square-free} \end{cases}$

- Mertans function  $\sum_{n < x} \mu(n)$
- $-f \sim g \iff \lim \frac{f}{g} \to 1$

**Theorem 4.8** (Prime Number Theorem).  $\pi(x) \sim \frac{x}{\log x}$ 

**Theorem 4.9** (Prime Number Theorem).  $\pi(x) = \int_2^x \frac{dt}{\log t} + O(xe^{-c\sqrt{\log x}})$ 

- Von Mangoldt function  $\Lambda(n) = \begin{cases} \log p & \text{if } n = p^k \\ 0 & \text{otherwise} \end{cases}$
- $-\psi(x) = \sum_{1 \le n \le x} \Lambda(n)$

Fact.  $\psi(x) \sim x$ 

– Dirichlet series for  $(a_n)$  ——  $\sum \frac{a_n}{n^s}$ 

**Lemma 4.10.** if  $\operatorname{Re}(s) > 1$ , then  $\frac{\zeta'(s)}{\zeta(s)} = -\sum \frac{\Lambda(n)}{n^s}$ 

*Proof.*  $\zeta(s) = \prod (1 - p^{-s})^{-1}$ , then differentiate  $\log(\zeta(s))$ 

**Fact.**  $\psi(x) = x - \sum_{\rho} \frac{x^{\rho}}{\rho} - \frac{\zeta'(0)}{\zeta(0)}$  where  $\rho$  all zeros of  $\zeta$ 

- $N\left(x,\sqrt{x}\right)$  # n not divisible by any prime  $\leq \sqrt{x},\,1\leq n\leq x$
- $-A_i = \{n : i \mid n\}$

**Proposition 4.11** (Legendre's formula).  $x \ge 10$ ,

(i) 
$$\pi(x) = \pi(\sqrt{x}) - 1 + N(x, \sqrt{x})$$

(ii) 
$$N(x, \sqrt{x}) = \lfloor x \rfloor - \sum |A_i| + \sum |A_{i_1} \cap A_{i_2}| - \dots + (-1)^{\pi(\sqrt{x})} | \cap A_p|$$

*Proof.* trivial, -1 as not counting 1

**Lemma 4.12.**  $n \in \mathbb{N}, \ \frac{2^{2n}}{2n} \le \binom{2n}{n} < 2^{2n}$ 

Proof. 
$$2n\binom{2n}{n} \ge (1+1)^{2n} > \binom{2n}{n}$$

– primorial function  $\prod_{p < x} p$ 

**Lemma 4.13.**  $x \in \mathbb{R}, x \geq 1, then \prod_{p \leq x} p \leq 4^x$ 

*Proof.* 
$$\prod_{k+2 \le p \le 2k+1} p \mid {2k+1 \choose k+1}, 2{2k+1 \choose k+1} = {2k+1 \choose k+1} + {2k+1 \choose k}$$

**Theorem 4.14** (Bertrand's postulate).  $n \in \mathbb{N}$ , then  $\exists p, n$ 

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Proof. \alpha(p,N) = v_p(N!), \ \alpha(p) = \alpha(p,2n) - 2\alpha(p,n)
bound on power: \alpha(p) \leq \frac{\log(2n)}{\log p}, \ p^{\alpha(p)} \leq 2n
bound on larger prime: p^2 > n, \ \alpha(p) \leq 1
\frac{2n}{3} 
<math display="block">\begin{cases} T_1 = \prod_{p \leq \sqrt{2n}} p^{\alpha(p)} \leq (2n)^{\pi(2n)} \\ T_2 = \prod_{\sqrt{2n} 
<math display="block">1 + \pi \left(\sqrt{2n}\right) < \frac{1}{2}\sqrt{2n}
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#### 5 Continued Fractions

**Proposition 5.1** (Dirichlet).  $\theta \in \mathbb{R}$ ,  $N \in \mathbb{N}$ , then  $\exists \frac{a}{q}$ ,  $1 \leq q \leq N$  st  $|\theta - \frac{a}{q}| \leq \frac{1}{qN}$ 

*Proof.*  $0, \dots, N\theta$ , pigeonhole on  $[\frac{j}{N}, \frac{j+1}{N}]$ 

- continued fraction  $a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \dots}}} - a_0 \in \mathbb{Z}, a_i \in \mathbb{N}$ 

- partial quotients  $[a_0, a_1, \dots]$
- finite  $[a_0,\ldots,a_n]$
- infinite

Convention. For  $[a_0, \ldots, a_n]$ ,

- (i)  $a_n > 1$
- (ii)  $a_n$  something other than natural number

**Lemma 5.2.** 1-1 correspondence between finite continued fractions and rational numbers

*Proof.*  $(\Leftarrow)$  trivial

- $(\Rightarrow)$  strictly decresing denominators
- convergents for  $[a_0, a_1, \dots]$   $[a_0], [a_0, a_1], \dots$

$$-(p_n), (q_n) \longrightarrow \begin{cases} p_0 = a_0 \\ p_1 = a_0 a_1 + 1 \\ p_n = a_n p_{n-1} + p_{n-2} \end{cases}, \begin{cases} q_0 = 1 \\ q_1 = a_1 \\ q_n = a_n q_{n-1} + q_{n-2} \end{cases}, a_i \in \mathbb{R}, a_1, \dots \geq 1$$

**Lemma 5.3.**  $\frac{p_n}{q_n} = [a_0, a_1, \dots, a_n]$ 

*Proof.* induction

**Lemma 5.4.**  $n \ge 1$ ,  $p_n q_{n-1} - p_{n-1} q_n = (-1)^{n+1}$ 

*Proof.* induction

**Lemma 5.5.** if  $a_0, ..., a_n \in \mathbb{Z}$ , then  $(p_n, q_n) = 1$ 

*Proof.* use above

**Proposition 5.6.**  $\theta \in \mathbb{R} \setminus \mathbb{Q}$ , then  $\frac{p_n}{q_n} \to \theta$ 

*Proof.* 
$$\theta = [a_0, \dots, a_n, \alpha_{n+1}] = \frac{\alpha_{n+1}p_n + p_{n-1}}{\alpha_{n+1}q_n + q_{n-1}}$$
, expand  $|\theta - \frac{p_n}{q_n}|$ ,  $q_n$  strictly increasing

Lemma 5.7.  $\frac{1}{q_{n+2}} \le |q_n \theta - p_n| \le \frac{1}{q_{n+1}}$ 

*Proof.* 
$$|q_n\theta - p_n| = \frac{1}{\alpha q_n + q_{n-1}}$$
 and  $\alpha_{n+1} = \lfloor a_{n+1} \rfloor$ 

**Fact.**  $|q_n\theta - p_n| \le |q_{n-1}\theta - p_{n-1}|$ 

**Setting 1.**  $\theta \in \mathbb{R} \backslash \mathbb{Q}$  with convergents  $\frac{p_n}{q_n}$ 

**Theorem 5.8** ("best rational approximation").  $n \in \mathbb{N}, p, q \in \mathbb{Z}, 0 < q < q_n, then <math>|q\theta - p| \ge |q_{n-1} - p_{n-1}|$ 

Proof. 
$$\det \begin{pmatrix} p_{n-1} & p_n \\ q_{n-1} & q_n \end{pmatrix} = (-1)^n, \begin{pmatrix} p \\ q \end{pmatrix} = \begin{pmatrix} p_{n-1} & p_n \\ q_{n-1} & q_n \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix}$$
 for some  $u, v \in \mathbb{Z}$ , size of  $q \Rightarrow u, v$  opposite sign,  $\theta - \frac{p_{n-1}}{q_{n-1}}, \theta - \frac{p_n}{q_n}$  opposite sign

Corollary 5.9.  $p \in \mathbb{Z}, q \in \mathbb{N}, |\theta - \frac{p}{q}| < \frac{1}{2q^2}, then \frac{p}{q} convergent for \theta$ 

*Proof.* 
$$q_n \le q < q_{n+1}$$
, bound st  $\left| \frac{p}{q} - \frac{p_n}{q_n} \right| < \frac{1}{q_n q}$ 

- Diophantine equation  $x^2 Ny^2 = 1$  ——  $N \in \mathbb{N}$  not square
- Pell's equation

Corollary 5.10.  $N \in \mathbb{N}$ , not square, x, y > 0,  $x^2 - Ny^2 = 1$ , then  $\frac{x}{y}$  convergent for  $\sqrt{N}$ 

Proof. 
$$(x - y\sqrt{N}) < \frac{1}{2y}$$

- eventually periodic  $[a_0, \ldots, a_{n-1}; \overline{a_n, \ldots, a_{n+m-1}}]$
- purely periodic  $[\overline{a_0, \dots, a_{m-1}}]$
- $\theta$  quadratic irrational  $a\theta^2 + b\theta + c = 0$  for some  $a, b, c \in \mathbb{Z}, a \neq 0$

**Theorem 5.11** (Lagrange).  $\theta \in \mathbb{R}$ ,  $\theta$  quadratic irrational  $\iff$  continued fraction eventually periodic

Proof. ( $\Leftarrow$ )  $\phi = [\overline{a_n, \dots, a_{n+m-1}}]$ , then  $\phi = [a_n, \dots, a_{n+m-1}, \phi]$  quadratic irrational, then  $\theta = \frac{\phi p_{n-1} + p_{n-2}}{\phi q_{n-1} + q_{n-2}}$  quadratic irrational ( $\Rightarrow$ )  $f(x,y) = ax^2 + bxy + cy^2$ ,  $f(\theta,1) = 0$ , finitely many  $f(p_n, q_n)$ , so finitely many  $\alpha_n$ 

**Theorem 5.12.**  $\sqrt{N} = [a_0; \overline{a_1, a_2, \dots, a_2, a_1, 2a_0}]$  (symmetric, then  $2a_0$ )

**Proposition 5.13.**  $N \in \mathbb{N}$ , not square, then  $\exists$  convergent  $\frac{p_n}{q_n}$  for  $\sqrt{N}$  with  $p_n^2 - Nq_n^2 = 1$ 

*Proof.* 
$$\sqrt{N} = [a_0; \overline{a_1, \dots, a_n, 2a_0}] = \frac{\alpha_{n+1}p_n + p_{n-1}}{\alpha_{n+1}q_n + q_{n-1}}, \ \alpha_{n+1} = [\overline{2a_0, a_1, \dots, a_n}] = a_0 + \sqrt{N}, \text{ then plug in and sol for } p_n, q_n, \text{ then } p_n q_{n-1} - p_{n-1} q_n = (-1)^{n+1} = p_n^2 - Nq_n^2, \text{ then } n \text{ odd, } (p_n, q_n) \text{ sol } n \text{ even, } (p_{2n+1}, q_{2n+1}) \text{ sol}$$

**Lemma 5.14.**  $(x_1, y_1), (x_2, y_2)$  solutions to  $x^2 - Ny^2 = 1$ , then  $(x_1x_2 + y_1y_2N, x_1y_2 + x_2y_1)$  also solution

Proof. 
$$(x_1 \pm y_1 \sqrt{N})(x_2 \pm y_2 \sqrt{N})$$

$$-(x_1, y_1) * (x_2, y_2) = (x_1x_2 + y_1y_2N, x_1y_2 + x_2y_1)$$

#### Fact.

- (i) solution to  $x^2 Ny^2 = 1$  group under \*
- (ii) group cyclic
  - fundamental unit —— generator of the group above

## 6 Primality Testing and Factorisation

– (Fermat) pseudoprime to base b — n odd composite,  $(b,n)=1,\,b^{n-1}\equiv 1\pmod n$ 

**Lemma 6.1.** n pseudoprime to bases  $b_1$ ,  $b_2$ , then n pseudoprime to base  $b_1b_2$ ,  $b_1b_2^{-1}$ 

**Proposition 6.2.** n not pseudoprime to some base b, then at least half of bases, n not pseudoprime

*Proof.*  $B = \{\text{all bases st } n \text{ pseudoprime}\}, \text{ then } f : B \to \left(\frac{\mathbb{Z}}{n\mathbb{Z}}\right)^{\times} \setminus B, f(b_1) = f(bb_1), \text{ injection as } B \text{ group}$ 

- Carmichael number — odd composite  $n \in \mathbb{N}$ , pseudoprime to every base

**Fact.** ∃ infinite many Carmichael numbers

- Euler pseudoprime to base b - n odd composite, (b, n) = 1,  $b^{\frac{n-1}{2}} \equiv (\frac{b}{n}) \pmod{n}$ 

**Proposition 6.3.** n not Euler pseudoprime to some base b, then at least half of bases, n not Euler pseudoprime

*Proof.* similar

Fact.  $Euler \Rightarrow Fermat$ 

**Proposition 6.4.** n odd composite, then n Euler pseudoprime to at most half of all bases

*Proof.* if 
$$p^2 \mid n$$
, pick  $b = g^{p-1}$  as  $p \nmid n-1$  if  $n$  distict product primes, pick  $\left(\frac{\lambda}{p}\right) = -1$ ,  $\begin{cases} b \equiv \lambda \pmod{p} \\ b \equiv 1 \pmod{\frac{n}{p}} \end{cases}$ 

- Solovay-Strassen primality test —— random bases, check whether Euler
- probabilistic primality test
- strong pseudoprime to base b n odd composite,  $(b,n)=1, n-1=2^st, b^t\equiv 1\pmod n$  or  $b^{2^rt}\equiv -1\pmod n$  for  $0\le r\le s-1$

**Proposition 6.5.**  $strong \Rightarrow Euler$ 

**Theorem 6.6.** n odd composite, n strong pseudoprime at most  $\frac{1}{4}$  all possible bases

- Miller-Rabin primality test —— check for  $b^t, b^{2t}$  and so on
- deterministic tests

**Setting 2.** N=ab, odd composite, not square,  $\begin{cases} r=\frac{a+b}{2}\\ s=\frac{a-b}{2} \end{cases}$ 

**Fact.**  $N = r^2 - s^2$ 

**Example** (Fermat factorisation). (i)  $r = \lfloor \sqrt{N} \rfloor + 1, \lfloor \sqrt{N} \rfloor + 2, \dots$ 

- (ii) if  $r^2 N = s^2$ , then N = (r s)(r + s)
  - least absolute residue of b,  $\langle b \rangle$
  - factor base B —— set of few small primes, with -1
  - B-number ——  $\left\langle b^2 \right\rangle$  product of elements from B

Example (Factor base method).

- (i) choose factor base B
- (ii) choose some B-numebers  $b_1, \ldots, b_k$
- (iii) Find I st  $\prod_{I} \langle b_i^2 \rangle$  square
- (iv)  $b = \prod_I b_i, c^2 = \prod_I \langle b_i^2 \rangle$
- (v) compute (N, b + c), (N, b c) hope not trivial factor

**Fact.** consider vector space over  $\mathcal{F}_2$ , # B-numbers  $\geq |B| + 1$ , guarantees to have dependence

Fact.  $try \lfloor kN \rfloor, \lfloor kN \rfloor + 1 \text{ for } B\text{-numbers}$ 

**Lemma 6.7.**  $\frac{p_n}{q_n}$  convergent for  $\sqrt{N}$ , then

- (i)  $\langle p_n^2 \rangle = p_n^2 q_n^2 N$
- (ii)  $|\langle p_n^2 \rangle| \leq 2\sqrt{N}$

**Example** (Continued fraction method). calculate  $\langle p_n^2 \rangle$  to find B-numbers

**Example** (Pollard's p-1 method).

- (i) k product of small prime (e.g.  $(1, \ldots, B)$ )
- (ii) (a, N) (e.g. a = 2, 3 or random)
- (iii) compute  $a^k \pmod{N}$
- (iv) compute  $(N, a^k 1)$  and hope for proper factor