

# Intrusion Detection using Parzen-Windows on Provenance Graph Statistics

Kenny Yu  
Harvard University  
kennyyu@college.harvard.edu

R. J. Aquino  
Harvard University  
rjaquino@college.harvard.edu

CS261, Fall 2013

## Abstract

Provenance is data that describes how a digital artifact came to be in its current state. We hypothesize that intrusions on a system leave behind anomalies in the lineage of digital artifacts. We present an intrusion detection approach to find these anomalies by analyzing centrality metrics on provenance graphs. We use a Parzen-Window approach (TODO CITE) on various provenance graph centrality metrics (TODO CITE) to determine probability density estimates of normal behavior, and we use these density estimates to determine if an intrusion occurred. We used this approach to analyze *user-to-remote* (u2r) intrusions and *remote-to-local* (r2l) intrusions (TODO: include r2l?) from the 1998 DARPA Intrusion Detection data sets (TODO CITE) and achieved up to \*TODO true positive rate for intrusions\* accuracy in detecting intrusions with only \*TODO false positive rate for intrusions\* accuracy. We also present future work to extend our intrusion model to an online intrusion detection system.

## 1 Introduction

For as long as systems have existed, there have been malicious users that attempt to exploit vulnerabilities in systems to gain unintended privileged access. As a result, system designers and administrators place a large effort in securing systems and preventing intrusions. However, intrusions inevitably occur because of bugs or flaws within the system, and as a result, system administrators want to have some automatic way of detecting these intrusions.

Intrusions often leave behind digital artifacts, e.g., unusual output or log files, a process executed with an unusual arguments or environment, unusual system call activity by a process. In addition to unusual digital artifacts, we hypothesize that intrusions also

intrusions leave behind abnormalities in the *provenance* of these digital artifacts, the lineage of how these digital artifacts were created.

Provenance is data that describes how digital artifacts came to be in their current state. Provenance data is typically structured as a directed acyclic graph with typed nodes and typed edges. Nodes typically include processes and files, and edges are directed from nodes to their dependencies. For example, process nodes have edges directed towards input file nodes and to the parent process's node, and file nodes have edges directed towards previous versions of the file and to the nodes of the processes that modified the file.

Existing intrusion detection systems (IDS) have used provenance data only to a limited extent. ??? person analyzes basic statistics on provenance graphs (e.g., number of nodes, number of edges, ... TODO) in order to facilitate easier manual intrusion detection by a human (CITE WORK). Their work, however, does not present a way of using provenance graph to automatically determine intrusions. Other systems use provenance data to determine the scope of an intrusion. For example, ??? Tariq use provenance graphs to build causality graphs of intrusions: once they have determined an intrusion has occurred, they follow the causality graph backwards determine the original source of the attack, and they follow the causality graph forwards to determine all the objects tainted by the attack (CITE WORK). However, they also do not present a way of using provenance data to automatically detect intrusions.

INSERT PROVENANCE GRAPH SMALL EXAMPLE HERE SHOWING DATA FLOW

In this paper, we present an intrusion detection approach using Parzen-Windows density estimation. ??? have used Parzen-Windows for intrusion detection with a high degree of success in identifying various intrusion types (CITE WORK). They justify using Parzen-Windows for *novelty detection* when ac-

cess to novel or abnormal patterns is difficult to obtain, as is the case with intrusions. We borrow their Parzen-Windows approach to build models of normal behavior using various provenance graph statistics and graph centrality metrics, and we evaluate the success of this technique on *user-to-local* (u2l) intrusions, intrusions that provide unauthorized access to local superuser (root) privileges.

The main contributions of this paper are the following:

1. Discuss which provenance graph statistics we chose to use, how we chose them, and why we chose them.
2. Analyze the Parzen-Window technique on a real intrusion detection data set and evaluate its performance.
3. Discuss the limitations of the approach and present future work to transform the technique into an online intrusion detection system.

## 2 Related Work

## 3 Design and Implementation

### 3.1 Selecting Metrics

## 4 Evaluation

### 4.1 Experimental Setup

### 4.2 Results

### 4.3 Discussion

## 5 Future Work

## References

- [1] CAO, D., QIU, M., CHEN, Z., HU, F., ZHU, Y., AND WANG, B. Intelligent Fuzzy Anomaly Detection of Malicious Software. In *Internal Journal of Advanced Intelligence*, vol. 4, no. 1, pp 69-86 (December 2012).
- [2] INOUE, H. AND SOMAYAJI, A. Lookahead Pairs and Full Sequences: A Tale of Two Anomaly Detection Methods. In *2nd Annual Symposium on Information Assurance* (June 2007).
- [3] KING, S. T. AND CHEN, P. M. Backtracking Intrusions. In *SOSP'03 Proceedings of the nineteenth ACM symposium on Operating systems principles* (December 2003).
- [4] KING, S. T., MAO Z. M., LUCCHETTI, D. G., AND CHEN, P. M. Enriching intrusion alerts through multi-host causality. In *Proceedings of the 2005 Network and Distributed System Security Symposium* (February 2005).
- [5] LEI, H. AND DUCHAMP, D. An Analytical Approach to File Prefetching. In *Proceedings of the USENIX 1997 Annual Technical Conference* (January 1997).
- [6] MACKO, P., MARGO, D., SELTZER, M. Local Clustering in Provenance Graphs (Extended Version). In *Proceedings of the 22nd ACM international conference on Conference on information & knowledge management* (August 2013).
- [7] MACKO, P. AND SELTZER, M. Provenance Map Orbiter: Interactive Exploration of Large Provenance Graphs. In *TaPP'11 Proceedings of the 2nd conference on Theory and practice of provenance* (June 2011).
- [8] MARGO, D., AND SMOGOR, R. Using Provenance to Extract Semantic File Attributes. In *TaPP'10 Proceedings of the 2nd conference on Theory and practice of provenance* (February 2010).
- [9] MUNISWAMY-REDDY, K., BRAUN, U., HOLLAND, D. A., MACKO, P., MACLEAN, D., MARGO, D., SELTZER, M., AND SMOGOR, R. Layering in Provenance Systems. In *Proceedings of the 2009 USENIX Annual Technical Conference* (June 2009).
- [10] MUNISWAMY-REDDY, K., HOLLAND, D. A., BRAUN, U., AND SELTZER, M. Provenance-Aware Storage Systems. In *Proceedings of the 2006 USENIX Annual Technical Conference* (June 2006).
- [11] OFFENSIVE SECURITY, INC. The Exploit Database. <http://www.exploit-db.com>.
- [12] RAPID 7 INC. Metasploit Framework. <http://www.metasploit.com>.
- [13] SOMAYAJI, A. AND FORREST, S. Automated Response Using System-Call Delays. In *Proceedings of the 2000 USENIX Annual Technical Conference* (August 2000).
- [14] TARIQ, D., BAIG, B., GEHANI, A., MAHMOOD, S., TAHIR, R., AQIL, A., AND ZAFAR, F. Identifying the provenance of correlated anomalies. In *SAC'11 Proceedings of the 2011 ACM Symposium on Applied Computing* (March 2011).