# Word representations

We present the evolution of the way words are represented in NLP tasks.

In the sequel, let

- w denote a token
- ullet V denote the vocabulary

### One Hot Encoding

A token is encoded as a OHE vector over the vocabulary  $\mathbf{V}$  rep $(\mathbf{w}) = \mathrm{OHE}(\mathbf{w}) * I$  where I is the identity matrix  $||I|| = (||\mathbf{V}|| \times ||\mathbf{V}||)$ 

- $\operatorname{rep}(\mathbf{w})$  is long ( $||\mathbf{V}||$ ) and sparse
- does not capture relationship between tokens

#### **Embeddings**

#### Embeddings (NLP Embeddings.ipynb)

A token is encoded as short, dense vector  $\operatorname{rep}(\mathbf{w}) = \operatorname{OHE}(\mathbf{w}) * E \quad \text{where } E \text{ is the embedding matrix } ||E|| = (||\mathbf{V}|| \times n_e)$ 

- ullet  $\operatorname{rep}(\mathbf{w})$  is short ( $n_e << ||\mathbf{V}||$ ) and dense
- captures relationship between tokens
  - "meaning"
- Not context sensitive
  - "bank":
    - financial institution?
    - edge of a river?
    - tilt (e.g., turning a plane)

### **Contextualized representations**

The representation of each token in a sequence depends on other parts of the sequence

• Unidirectional 
$$\operatorname{rep}(\mathbf{w}_{(t)}) = F(\mathbf{w}_{(t)}|\mathbf{w}_{(0)},\dots,\mathbf{w}_{(t-1)})$$

The latent state  $\mathbf{h}_{(t)}$  of an RNN is the natural candidate for F

- rep is short ( $||\mathbf{h}_{(t)}||$ )
- ullet captures the left context  $\mathbf{w}_{(0)},\ldots,\mathbf{w}_{(t-1)}$

But the token may depend on the full context.

• Bidirectional 
$$\operatorname{rep}(\mathbf{w}_{(t)}) = \operatorname{concat}\left(F(\mathbf{w}_{(t)}|\mathbf{w}_{(0)},\ldots,\mathbf{w}_{(t-1)}),F(\mathbf{w}_{(t)}|\mathbf{w}_{(T)},\ldots,\mathbf{w}_{(t+1)})\right)$$

The latent state  $\mathbf{h}_{(t)}$  of a bi-directional RNN is the natural candidate for F

- rep is short ( $||\mathbf{h}_{(t)}||$ )
- ullet captures the left context  $\mathbf{w}_{(0)},\ldots,\mathbf{w}_{(t-1)}$  via an RNN processing sequence  $\mathbf{w}$  left to right
- captures the right context  $\mathbf{w}_{(0)},\dots,\mathbf{w}_{(t-1)}$  via an RNN processing sequence  $\mathbf{w}$  right to left

#### **ELMo**

ELMo (<u>link to paper (https://arxiv.org/abs/1802.05365)</u>) was a first step in creating contextualized representations.

```
\begin{array}{lll} \text{It uses two LSTM's} & & & \\ \text{Forward Model} & p(\mathbf{w}_{(t)}| & \mathbf{w}_{(0)} \ldots, \mathbf{w}_{(t-1)}) & \text{predict next word fron} \\ \text{Backward Model} & p(\mathbf{w}_{(t)}| & \mathbf{w}_{(T)}, \mathbf{w}_{(T-1)}, \ldots, \mathbf{w}_{(t+1)}) & \text{predict next word fron} \end{array}
```

The Forward (resp., Backward) Model uses the *entire prefix* (resp., *suffix*), not just a fixed window

• That's why a sequence model (like the LSTM) is needed

The unsupervised pre-training objective maximizes the likelihood of both models  $\$  \begin{array}[III] \\mathcal{L}1 (\mathcal{U}) = \\left(\sum{\tt=1}^T {\log{P(\w\tp | \w{(0)} \\dots, \w\_{(\tt-1)})}; \Theta)} \\right)

• \left(\sum{\tt=1}^T { \log{P( \w\tp | \w{(T)}, \w{(T-1)}, \ldots, \w\_{(\tt+1)})}; \Theta )} \right) \end{array} \$\$

Both the Forward/Backward models use multi-layer LSTM's

- $\bullet \ \ {\rm Let} \ {\bf h}_{F,(t)}^{[l]}$  denote the hidden state of layer l of the Forward model on input element t
- $\bullet$  Let  $\mathbf{h}_{B,(t)}^{[l]}$  denote the hidden state of layer l of the Backward model on input element t

Concatenating these two states gives the layer l "ELMo" (Embedding from Language Model) for word  $\mathbf{w}_{(t)}$ 

$$E_{(t)}^{[l]} = [\mathbf{h}_{F,(t)}^{[l]}, \mathbf{h}_{B,(t)}^{[l]}]$$

It would seem natural to use the latent state of the  ${\it last}$  layer  ${\it L}$  as the representation.

But ELMo does something a little different

• It combines the representations at multiple layers

Suppose there are L layers of LSTM's.

Rather than using the final layer's ELMo  $E_{(t)}^{[L]}$  as the representation for  $\mathbf{w}_{(t)}$ 

• the authors *combine* the ELMo's for 
$${f w}_{(t)}$$
 from multiple layers  $E_{(t)}=\sum_{l=1}^L s_l^{
m task}*E_{(t)}^{[l]}$ 

ullet the per-layer weights  $s_l^{
m task}$  are parameters that are learned as part of the taskspecific model

#### What is the best contextualized embedding for "Help" in that context? For named-entity recognition task CoNLL-2003 NER Dev F1 Score First Layer Embedding 91.0 • • • Last Hidden Layer 94.9 Sum All 12 Layers 95.5 5 4 Second-to-Last 95.6 3 Hidden Layer Sum Last Four 95.9 Hidden Help Concat Last 96.1 Four Hidden

Picture from: http://jalammar.github.io/images/bert-feature-extraction-contextualized-embeddings.png

In our module on Transfer Learning, we speculated that

- the representations produced by deep layers (closer to the Head) are task-specific
- the representations produced by shallow layers (closer to the input) are taskagnostic

Rather than arbitrarily guessing where to chop off the Head of the Word Prediction task

• ELMo learns which layers are most useful fot the task-specific model

## **Attention based representations**

While bi-directional representations take into account full context, their "view" is limited to a single direction (left-to-right or right-to-left).

We had introduced the Attention mechanism as a device that enables a Neural Network to "attend" to the most relevant piece of information

• e.g., word in sequence

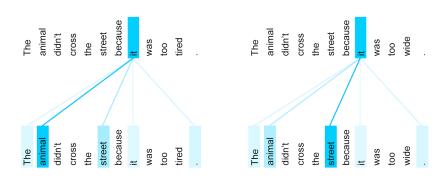
The Attention mechanism, in theory, allows us to access each element of the input sequence as needed rather than in order (as in an RNN or LSTM).

Attention is usually a very important part of obtaining contextualized representations

- Decides what other tokens in the sequence affect the representation of any token
- Use self-attention over the *entire* input sequence to derive new representations that are context sensitive

Attention weights

Thickness of the blue lines indicate the strength of attention to other tokens



Picture from: https://1.bp.blogspot.com/-AVGK0ApREtk/WaiAuzddKVI/AAAAAAAB\_A/WPV5ropBU-cxrcMpqJBFHg73K9NX4vywwCLcBGAs/s1600/image2.png

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In [1]: print("Done")
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Done