

## Transformer: Intuition

We try to briefly explain what each the "moving parts" of the Encoder-Decoder style Transformer is doing.

At the highest level: we have the Encoder and the Decoder.

In the Encoder-Decoder architecture

- the Encoder completes before the Decoder starts

## Encoder

The role of the Encoder is

- to create a Context Sensitive Representation

$$\bar{\mathbf{h}}_{(1:\bar{T})}$$

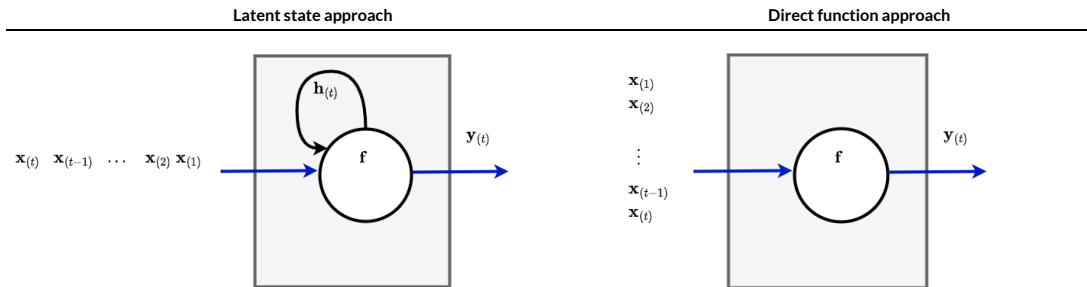
- of each of the Encoder's input tokens

$$\mathbf{x}_{(1:\bar{T})}$$

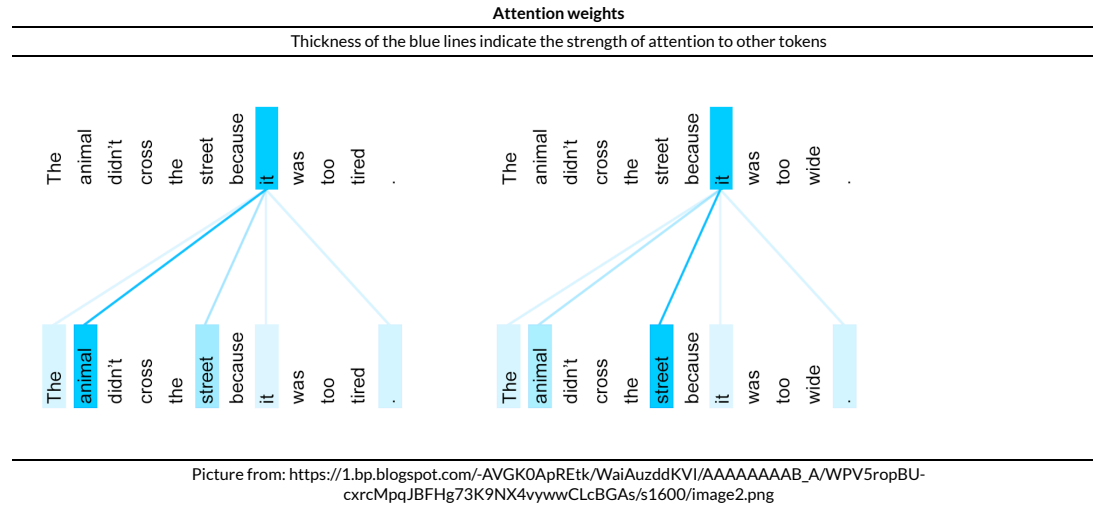
It accomplishes this by the *direct function* approach

- unlike an RNN, it does not process each input token  $\mathbf{x}_{(t)}$  sequentially
- it computes  $\bar{\mathbf{h}}_{(t)}$  as a function of the entire input  $\mathbf{x}_{(1:\bar{T})}$

Encoder Self-Attention is used in the direct function.



By making the meaning dependent on the full context, we can disambiguate the meaning of the word "it"



## Decoder

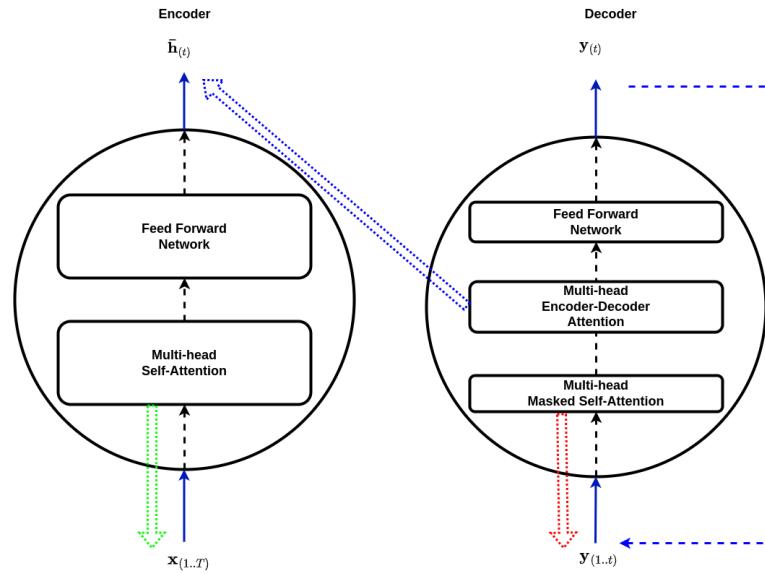
The Decoder works in *auto-regressive* mode

- predicts one output token at a time
- the current output  $\hat{y}_{(t)}$  token is appended to the input for the next position
  - so the input at time step  $t$  is

$$\hat{y}_{(1..t-1)}$$

# Encoder/Decoder transformer

## Decoder: Cross-Attention, Auto-regressive mode



It has two inputs at step  $t$

- the previously-generated output tokens  $t$  is

$$\hat{\mathbf{y}}_{(1 \dots t-1)}$$

- the Encoder output

$$\bar{\mathbf{h}}_{(1:\bar{T})}$$

Self-attention is used on  $\hat{\mathbf{y}}_{(1 \dots t-1)}$

Cross-Attention is used on  $\bar{\mathbf{h}}_{(1:\bar{T})}$

At step  $t$ , the Decoder

- uses Self-Attention on  $\hat{\mathbf{y}}_{(1 \dots t-1)}$
- to create a *query*
- that is used to attend to  $\bar{\mathbf{h}}_{(1:\bar{T})}$



We can think of this use of Self-Attention

- as being a replacement for the "latent" state of an RNN
  - rather than using the latent state to record
    - what has already been done
    - what is the next step to perform
  - Self-Attention allows direct access to what has already been done:  
 $\hat{\mathbf{y}}_{(1..t-1)}$

The query is used in Cross-Attention

- to attend to the Context Sensitive Representation of the input sequence  $\mathbf{x}$

Whatever is returned by Cross-Attention

- is input into the Feed Forward Network (FFN)

Think of the FFN

- as a repository of "world knowledge" accumulated by processing the training data
- "facts"

The FFN produces an output

- which is processed by a Classifier (Linear layer)
- to produce a token in the vocabulary of tokens

That is

- if the vocabulary has  $|V|$  tokens
- the Classifier produces a probability distribution vector  $\mathbf{p}$  of length  $|V|$ 
  - such that  $\mathbf{p}_j$  is the probability that the output token should be  $V_j$

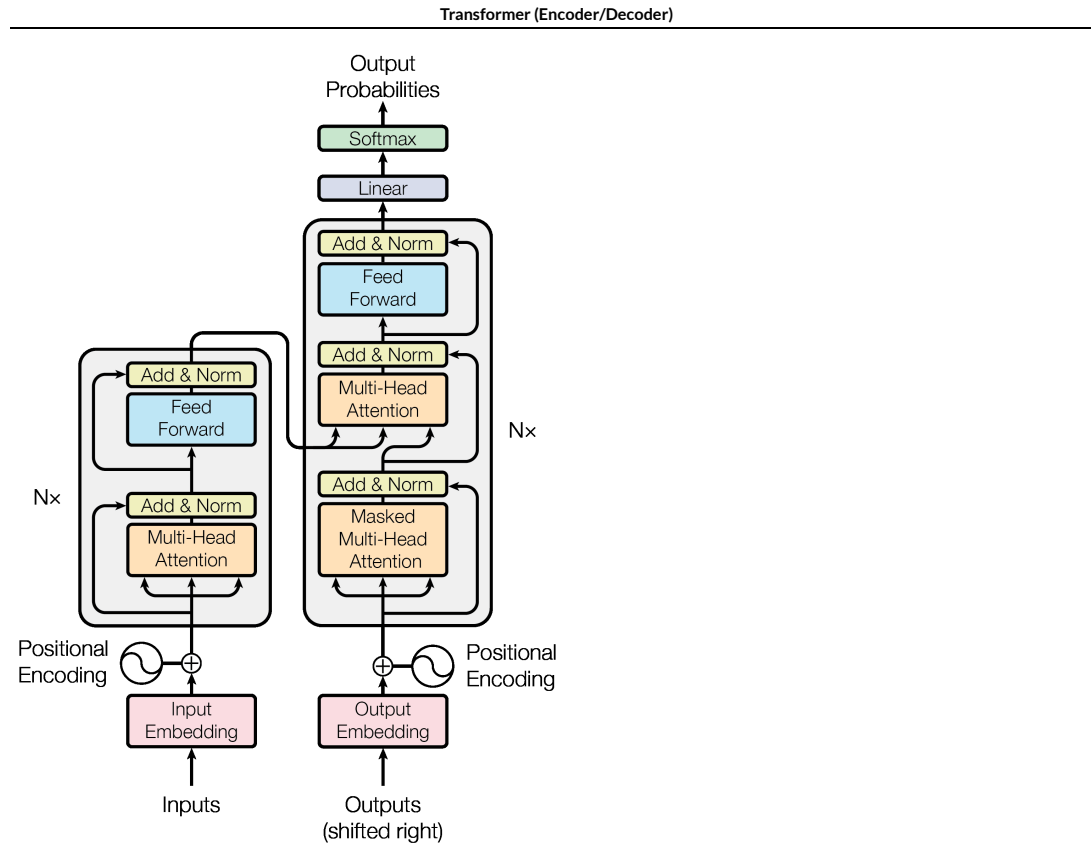
The exact mechanics of this multi-step process

- are controlled by the weights
- that are learned during training

# General

Here is the detailed architecture of the Encoder-Decoder Transformer.

We will review each of the pieces.





Each of the paths in the Transformer is a vector of length  $d_{\text{model}}$

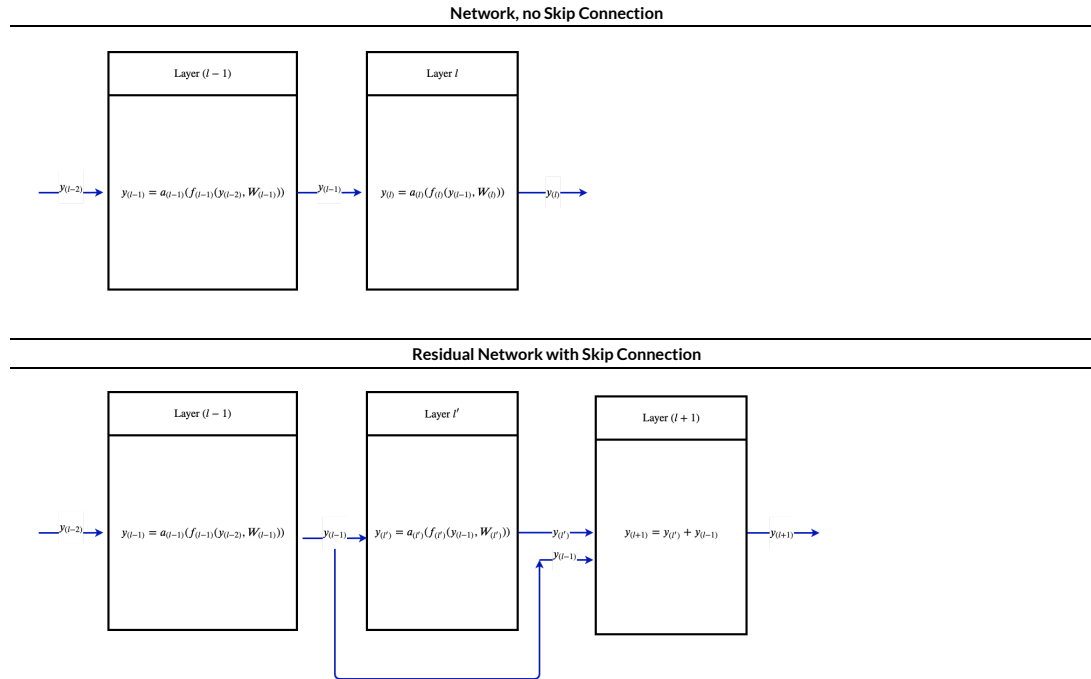
- sometimes just referred to as  $d$

Having a common length simplifies the architecture

- can stack Transformer blocks (since input and output are same size)
- Self-Attention and Cross-Attention:
  - map a query of size  $d$  to an output of size  $d$
- Needed for the Residual Connection (Add and Norm)
  - adding the input of Attention to the output of Attention
    - need to be same length

# Residual connections

- [Residual connections from Intro course \(RNN Residual Networks.ipynb\)](#)



Suppose we wanted the two networks to compute the same mapping from input  $\mathbf{y}_{(l-1)}$  to output

$$\mathbf{y}_{(l+1)} = \mathbf{y}_{(l)}$$

Then

$$\begin{aligned} \mathbf{y}_{(l+1)} &= \mathbf{y}_{(l')} + \mathbf{y}_{(l-1)} && \text{definition of } \mathbf{y}_{(l+1)} \text{ in last layer of residual network} \\ \mathbf{y}_{(l)} &= \mathbf{y}_{(l')} + \mathbf{y}_{(l-1)} && \text{requiring equality of outputs of the two networks } \mathbf{y} \\ \mathbf{y}_{(l')} &= \mathbf{y}_{(l)} - \mathbf{y}_{(l-1)} && \text{re-arranging terms} \end{aligned}$$

The intermediate layer  $l'$  we introduced in the Residual network computes



- the *residual* of the original network's layer  $l$  output wrt to its' input:  $(l - 1)$  output

## Embedding

Words (really: tokens) are *categorical* variables.

Categorical variables are usually encoded as long vectors via One Hot Encoding (OHE)

- very long: number of distinct elements in class
  - e.g., number of words in vocabulary
- *sparse*: only a single non-zero element in the vector

Biggest issue with OHE:

- the similarity (e.g., dot product) of two related words (e.g., "cat", "cats") is zero !
  - same as for two unrelated words (e.g., "cat", "car")

word	rep(word)	Similarity to "dog"
dog	[1,0,0,0]	$\text{rep}(\text{word}) \cdot \text{rep}(\text{dog}) = 1$
dogs	[0,1,0,0]	$\text{rep}(\text{word}) \cdot \text{rep}(\text{dog}) = 0$
cat	[0,0,1,0]	$\text{rep}(\text{word}) \cdot \text{rep}(\text{dog}) = 0$
apple	[0,0,0,1]	$\text{rep}(\text{word}) \cdot \text{rep}(\text{dog}) = 0$

An *Embedding* is a *short* and *dense* vector representation of words (tokens).

In addition to being shorter (and dense: many non-zero elements possible) their construction results in

- the similarity of embeddings for two related words being *non-zero*

This makes Embeddings much more valuable for NLP.

$w$	$\mathbf{v}_w$
cat	[.7, .5, .01]
cats	[.7, .5, .95]
dog	[.7, .2, .01]
dogs	[.7, .2, .95]
apple	[.1, .4, .01]
apples	[.1, .4, .95]

The *Embedding Layer* converts the OHE representation to an Embedding.

See the [module from the Intro course \(NLP Embeddings.ipynb\)](#) for details.

## Positional Encoding

The Transformer input is a *sequence*

- there is a total ordering between elements based on absolute position

The Transformer needs to be able to discern

- at least: the *relative* ordering of two elements in different positions in the sequence

The *Positional Encoding* layer

- adds a vector that encodes position
- to the Embedding
- such that the Transformer has a representation with both meaning and positions

This is much more involved than simply using an integer to encode the position.

The fundamental operation of a Neural Network is matrix multiplication

- the positional encoding needs to be preserved as it traverses the layers

The details are not trivial.

See the module on [Positional Embeddings \(Transformer\\_PositionalEmbedding.ipynb\)](#) if you are interested.



## Feed Forward Network (FFN)

Maps the output of the Decoder-Encoder Attention into the "next output token".

- actually: it is still an embedding of the next token, rather than the true next token
  - that way: it can be appended to the already-generated output to become the Decoder input for next position

This acts as a Classifier

- mapping the input
- to a vector of logits
  - one element per possible element of the Output Vocabulary

There is some evidence that

- the parameters of the FFN are where "world knowledge" is stored
  - every "fact" learned during training

## Linear

This layer is append *only* to the final block in the stacked Transformer blocks.

It acts as a typical Classifier

- "classifies" the final block's output of length  $d$
- returning a vector
  - whose length is equal to number of elements of the Vocabulary
  - each element is a logit
    - to be converted into probability distribution over elements of the Vocabulary

## Softmax

Converts the logit for each possible element of the Vocabulary

- into Probability that the element is the next Decoder Output

In [2]: `print("Done")`

Done

