Functional model: the basics

The Sequential model

- organizes layers as an ordered list
- ullet restricts the input to layer (l+1) to be the output of layer l.

The computation of a Sequential model is easy to describe and picture

- a graph
- each node represents the computation of a layer
- the nodes are connected sequentially in a straight line
- single input, single output
 - mostly true
 - can have inputs/outputs that are arrays, each element representing a different input/output value

The Functional model

- imposes **no** ordering on layers
- imposes **no** restriction on connect outputs of one layer to the input of another

The computation of a Functional model can be pictured as a general graph

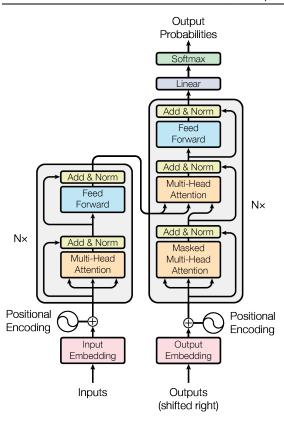
- each node represents a computation
- edges can flow from any node to any other
 - non-cyclic
- multiple inputs, multiple outputs possible

To illustrate the Functional model let's take a first look at model implementing a single Transformer block

• we will revisit this code later to illustrate other concepts

Here is the picture of a Transformer block





We can identify some connections that don't flow sequentially between adjacent nodes

- the skip connection that bypasses
 - the Multi-Head Attention node in the Encoder and the top Multi-Head Attention node in the Decoder
 - the Masked Multi-Head Attention node in the Decoder
- the connection from the output of the Encoder (top left) to the input of the Decoder Multi-Head Attention node

The Functional Model architecture, in code

reference (https://www.tensorflow.org/guide/keras/functional)

In the Sequential model, the output of the node representing layer l is always fed to the input of the node representing layer (l+1)

• So can describe the computation graph as a sequence of nodes (each node a Layer type)

In the Functional model a node represents a function that takes one or more inputs and produces an output

- the node does not need to be a Layer
 - any TensorFlow op
- we connect the output of node \mathbb{N}_a to the input of node \mathbb{N}_b
 - by assigning the output of \mathbb{N}_a to a variable (typically denoted as x)
 - calling the computation of \mathbb{N}_b with the variable as actual parameter

Here is an example (<u>source</u> (<u>https://www.tensorflow.org/api_docs/python/tf/keras/Model</u>))

```
import tensorflow as tf

inputs = tf.keras.Input(shape=(3,))
x = tf.keras.layers.Dense(4, activation=tf.nn.relu)(inputs)
outputs = tf.keras.layers.Dense(5, activation=tf.nn.softmax)(x)
model = tf.keras.Model(inputs=inputs, outputs=outputs)
```

- There is an Input layer (a function with no argument) whose output is assigned to variable inputs
- There is a Dense layer (a function with a single argument and single output)
 - that is called with parameter inputs
 - assigns its result to variable x

In general, these variables could be used as arguments (i.e., node inputs) anywhere in the computation

• not necessarily the next function appearing sequentially

The collection (not necessarily a sequence) of function calls defines a *Directed Acyclic Graph*

- one or more *root* nodes representing graph inputs
- one of more *leaf* nodes representing graph outputs

The graph encodes a complex function mapping inputs to outputs, composed of simpler functions.

The graph can be used to implement

- a new Layer
- a complete Model

To turn this collection into a Model

- we specify the input nodes
- we specify the output nodes

For example, for the above graph:

```
model = tf.keras.Model(inputs=inputs, outputs=outputs)
```

When model is called

- the actual parameters are bound to the nodes identified as inputs
 - i.e., inputs
- the result of the call are the values associated with the nodes identified as
 - i.e., outputs`

Since a graph can have multiple inputs and outputs

• the input and output parameters of the Model statement can be lists

 $\underline{\texttt{Model}}\ \underline{\texttt{reference}}\ \underline{(\texttt{https://www.tensorflow.org/api_docs/python/tf/keras/Model)}}$

Example: multiple inputs and outputs

Here is an example (taken from the reference (<a href="https://www.tensorflow.org/guide/keras/functional#models_with_multiple_inputs_and_outputs_and_ou

- Takes three inputs: title, body, tags
 - title: sequence of int
 - body: sequence of int
 - tags: binary vector (of length num_tags)
- Reduces each variable length sequence to a fixed length representation (final state of an LSTM)
- Concatenates the fixed length representations of title and body with the tags
- Feeds the concatenated vector to two separate classifiers
- Produces two outputs
 - priority: the output of one classifier
 - department: the output of the other classifier

```
In [3]: | num tags = 12 # Number of unique issue tags
        num words = 10000 # Size of vocabulary obtained when preprocessing text data
        num departments = 4 # Number of departments for predictions
        title input = keras.Input(
            shape=(None,), name="title"
        ) # Variable-length sequence of ints
        body input = keras.Input(shape=(None,), name="body") # Variable-length sequence
        of ints
        tags input = keras.Input(
            shape=(num tags,), name="tags"
        ) # Binary vectors of size `num tags`
        # Embed each word in the title into a 64-dimensional vector
        title features = layers.Embedding(num words, 64)(title input)
        # Embed each word in the text into a 64-dimensional vector
        body features = layers.Embedding(num words, 64)(body input)
        # Reduce sequence of embedded words in the title into a single 128-dimensional v
        ector
        title features = layers.LSTM(128)(title features)
        # Reduce sequence of embedded words in the body into a single 32-dimensional vec
        body features = layers.LSTM(32)(body features)
        # Merge all available features into a single large vector via concatenation
        x = layers.concatenate([title features, body features, tags input])
        # Stick a logistic regression for priority prediction on top of the features
        priority pred = layers.Dense(1, name="priority")(x)
        # Stick a department classifier on top of the features
        department pred = layers.Dense(num departments, name="department")(x)
        # Instantiate an end-to-end model predicting both priority and department
        model = keras.Model(
            inputs=[title input, body input, tags input],
            outputs=[priority pred, department pred],
```

Out[4]: [(?, ?)] [(?, ?)] input: input: title: InputLayer body: InputLayer [(?, ?)] [(?, ?)] output: output: input: (?, ?)input: (?, ?)embedding: Embedding embedding_1: Embedding (?, ?, 64) (?, ?, 64) output: output: input: (?, ?, 64) (?, ?, 64) [(?, 12)] input: input: 1stm: LSTM lstm_1: LSTM tags: InputLayer (?, 128) [(?, 12)] (?, 32) output: output: output: [(?, 128), (?, 32), (?, 12)] input: concatenate: Concatenate output: (?, 172) (?, 172) (?, 172) input: input: department: Dense priority: Dense output: (?, 1)output: (?, 4)

Observe

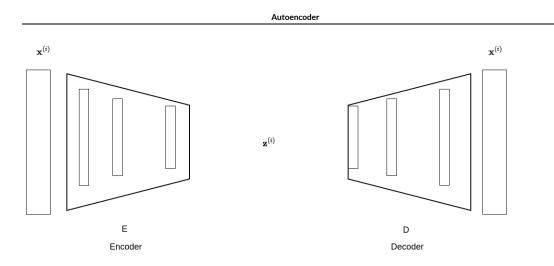
- The 3 input nodes
 - separate processing path
- The 2 output nodes
 - separate classifiers

Example: Nested Models

A Model and a Layer are very similar

• mapping inputs to outputs

Let's illustrate with an Autoencoder.



Here is an example (taken from the reference (https://www.tensorflow.org/guide/keras/functional#all_models_are_callable_just_like_layers

```
In [5]: encoder input = keras.Input(shape=(28, 28, 1), name="original_img")
        x = layers.Conv2D(16, 3, activation="relu")(encoder_input)
        x = layers.Conv2D(32, 3, activation="relu")(x)
        x = layers.MaxPooling2D(3)(x)
        x = layers.Conv2D(32, 3, activation="relu")(x)
        x = layers.Conv2D(16, 3, activation="relu")(x)
        encoder output = layers.GlobalMaxPooling2D()(x)
        encoder = keras.Model(encoder input, encoder output, name="encoder")
        encoder.summary()
        decoder_input = keras.Input(shape=(16,), name="encoded_img")
        x = layers.Reshape((4, 4, 1))(decoder input)
        x = layers.Conv2DTranspose(16, 3, activation="relu")(x)
        x = layers.Conv2DTranspose(32, 3, activation="relu")(x)
        x = layers.UpSampling2D(3)(x)
        x = layers.Conv2DTranspose(16, 3, activation="relu")(x)
        decoder output = layers.Conv2DTranspose(1, 3, activation="relu")(x)
        decoder = keras.Model(decoder input, decoder output, name="decoder")
        decoder.summary()
        autoencoder input = keras.Input(shape=(28, 28, 1), name="img")
        encoded img = encoder(autoencoder input)
        decoded img = decoder(encoded img)
        autoencoder = keras.Model(autoencoder input, decoded img, name="autoencoder")
        autoencoder.summary()
```

Model: "encoder"		
Layer (type)	Output Shape	Param #
original_img (InputLayer)	[(None, 28, 28, 1)]	0
conv2d (Conv2D)	(None, 26, 26, 16)	160
conv2d_1 (Conv2D)	(None, 24, 24, 32)	4640
<pre>max_pooling2d (MaxPooling2D)</pre>	(None, 8, 8, 32)	0
conv2d_2 (Conv2D)	(None, 6, 6, 32)	9248
conv2d_3 (Conv2D)	(None, 4, 4, 16)	4624
<pre>global_max_pooling2d (Global</pre>	(None, 16)	0
Total params: 18,672 Trainable params: 18,672 Non-trainable params: 0		
Model: "decoder"		
Layer (type)	Output Shape	Param #
<pre>encoded_img (InputLayer)</pre>	[(None, 16)]	0
reshape (Reshape)	(None, 4, 4, 1)	0
conv2d_transpose (Conv2DTran	(None, 6, 6, 16)	160
conv2d_transpose_1 (Conv2DTr	(None, 8, 8, 32)	4640
up_sampling2d (UpSampling2D)	(None, 24, 24, 32)	0
conv2d_transpose_2 (Conv2DTr	(None, 26, 26, 16)	4624
conv2d_transpose_3 (Conv2DTr	(None, 28, 28, 1)	145
Total params: 9,569 Trainable params: 9,569 Non-trainable params: 0 Model: "autoencoder"		
	Outnut Chana	Daram #
Layer (type)	Output Shape ===========	Param # ======
img (InputLayer)	[(None, 28, 28, 1)]	0
encoder (Model)	(None, 16)	18672
decoder (Model)	(None, 28, 28, 1)	9569

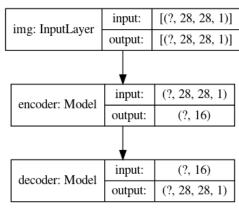
Total params: 28,241

Let's examine the autoencoder model

- it is composed of two models
 - encoder
 - decoder

In [6]: keras.utils.plot_model(autoencoder, os.path.join(tempdir, "autoencoder.png"), sho
 w_shapes=True)

Out[6]:





In [7]: keras.utils.plot model(encoder, os.path.join(tempdir, "encoder.png"), show shapes =True) Out[7]: [(?, 28, 28, 1)] input: original_img: InputLayer [(?, 28, 28, 1)] output: input: (?, 28, 28, 1) conv2d: Conv2D output: (?, 26, 26, 16) (?, 26, 26, 16) input: conv2d_1: Conv2D (?, 24, 24, 32) output: (?, 24, 24, 32) input: max_pooling2d: MaxPooling2D output: (?, 8, 8, 32) (?, 8, 8, 32) input: conv2d_2: Conv2D output: (?, 6, 6, 32)(?, 6, 6, 32) input: conv2d_3: Conv2D output: (?, 4, 4, 16)

global_max_pooling2d: GlobalMaxPooling2D

(?, 4, 4, 16)

(?, 16)

input:

output:

In [8]: keras.utils.plot model(encoder, os.path.join(tempdir, "decoder.png"), show shape s=True) Out[8]: [(?, 28, 28, 1)] input: original_img: InputLayer [(?, 28, 28, 1)]output: input: (?, 28, 28, 1) conv2d: Conv2D output: (?, 26, 26, 16) (?, 26, 26, 16) input: conv2d_1: Conv2D (?, 24, 24, 32) output: (?, 24, 24, 32) input: max_pooling2d: MaxPooling2D output: (?, 8, 8, 32) (?, 8, 8, 32) input: conv2d_2: Conv2D output: (?, 6, 6, 32)(?, 6, 6, 32) input: conv2d_3: Conv2D output: (?, 4, 4, 16)(?, 4, 4, 16) input:

global_max_pooling2d: GlobalMaxPooling2D

output:

(?, 16)

Sub-classing models/layers

One can create a new Model / Layer by sub-classing from the base types tf.keras.Model / tf.keras.layers.Layer

- can override existing methods of a Model
 - e.g., a custom training step (invoked by fit)
- can build new Layer types

Here is an example (taken from the reference (https://www.tensorflow.org/guide/keras/functional#functional api strengths))

```
In [11]:

def __init__(self, **kwargs):
    super(MLP, self).__init__(**kwargs)
    self.dense_1 = layers.Dense(64, activation='relu')
    self.dense_2 = layers.Dense(10)

def call(self, inputs):
    x = self.dense_1(inputs)
    return self.dense_2(x)

# Instantiate the model.
mlp = MLP()
# Necessary to create the model's state.
# The model doesn't have a state until it's called at least once.
    _ = mlp(tf.zeros((1, 32)))
```

Key points:

- Notice that the components of the Model
 - are instantiated in the constructor (__init__)
 - invoked in the call
 - the call method is invoked when you apply actual parameters to the Model

```
_ = mlp(tf.zeros((1, 32)))
```

• What would happen if you instantiated the components in the call?

```
def call(self, inputs):
    x = layers.Dense(64, activation
='relu')(inputs)
    return layers.Dense(10)(x)
```

It would probably not be what you expected

- Instantiating the components in __init__ results in them being defined **once**
- Instantiating the components in Call results in them being defined separately each time the Model is called
 - weights are not shared between component instances
 - call is invoked for each step in training
 - would not learn weights of the component since they would be initialized for each batch of examples

Fitting a model with multiple inputs, multiple outputs

There is a technical question as to how we distinguish among the Input's so we can connect it to the desired variable.

In our basic introduction to Keras, the fit method described its training data simply

- Two numpy arrays: one for features, one for labels
 - an element of the first array are features of a single example
 - an element of the second array is the label of a single example (for supervised learning)

A careful examination of the <u>fit method</u>

(https://keras.io/api/models/model training apis/#fit-method) describes multiple ways to pass train examples (and labels) to a model

- The common x= ..., y=...
 - In its simplest form:
 - o x and y arenumpy arrays (one element per example)
- More general form
 - both the x and y can be lists
 - Functional models may define multiple positional (first, second, etc.) inputs and outputs
 - The x list: one element per input
 - The y list: one element per output
 - o models with multiple unnamed inputs or model outputs
 - x can be a dict
 - A Functional model with multiple *named* inputs
 - the keys of the dict are the names of the inputs
- Tensors
 - can pass the Tensor to a non-Input layer
- Dataset
- Generator
 - for (feature, label) pairs when training

Specifying batches

Also: remember that Models process batches of examples (in fitting and predicting

- So the variables passed to Input layers should be *groups* of examples, not a single example
 - a single example is represented as a group of size 1

Creating batches is **done for you** when using the common x = ..., y = ..., batch_size=.. calling method

- The Dataset needs to create the batches when used as the calling method
 - there is always a "batch" dimension, even if the batch size is 1
 - there is no batch_size argument when the inputs are Dataset's
 - we will learn about the batch operator for transforming an un-batched
 Dataset into one with batches

Example: Multiple Loss functions from multiple outputs

In discussing multiple outputs, we skipped over an important point

- Loss is associated with an output
- When there are multiple outpus
 - there is a separate loss per output

Technical issue

- How do we specify the loss per output
- How do we combine multiple losses into a single loss, for training

Referring back to our example of multiple inputs/outputs (solving for priority and department)

- we specify a loss for each output
 - with a dict that maps a node name to a loss
 - the outputs have been named "priority" and "department"

```
priority_pred = layers.Dense
(1, name="priority")(x)
  department_pred = layers.Den
se(num_departments, name="depa
rtment")(x)
```

Note how in the fit call

- we identify the multiple inputs by the names of their Input nodes
- using a dict as parameter

```
In [12]: model.compile(
    optimizer=keras.optimizers.RMSprop(1e-3),
    loss={
        "priority": keras.losses.BinaryCrossentropy(from_logits=True),
        "department": keras.losses.CategoricalCrossentropy(from_logits=True),
    },
    loss_weights={"priority": 1.0, "department": 0.2},
)
```

Note the loss_weights parameter

• specifying the relative weight of each loss within the total loss

Here is the call to fit the model:

```
WARNING:tensorflow:Entity <function Function. initialize uninitialized variabl
es.<locals>.initialize variables at 0x7fcbd06c2c20> could not be transformed a
nd will be executed as-is. Please report this to the AutoGraph team. When fili
ng the bug, set the verbosity to 10 (on Linux, `export AUTOGRAPH VERBOSITY=10
`) and attach the full output. Cause: No module named 'tensorflow core.estimat
or'
WARNING: Entity <function Function. initialize uninitialized variables.<locals
>.initialize variables at 0x7fcbd06c2c20> could not be transformed and will be
executed as-is. Please report this to the AutoGraph team. When filing the bug,
set the verbosity to 10 (on Linux, `export AUTOGRAPH VERBOSITY=10`) and attach
the full output. Cause: No module named 'tensorflow core.estimator'
iority loss: 0.7015 - department loss: 2.8797
Epoch 2/2
iority loss: 0.6991 - department loss: 2.8878
```

Out[13]: <tensorflow.python.keras.callbacks.History at 0x7fcbd0071ad0>

Gradients

Gradient Descent is the fundamental tool used for optimizing the Loss Function.

When the fit method of a Model object is called, it runs a *training step* of the Model on a mini-batch of training examples.

The default training step of a Model

- Runs the forward calculation:
 - presenting an input example to the NN inputs
 - calculating the NN outputs by Forward Propagation through the network
 - computing the Loss
 - computing the gradients of the Loss with respect to the NN weights
 - updating the weights in the negative direction of the Gradient

Here is an example (from the notebook on <u>VAE</u> (https://colab.research.google.com/github/keras-team/keras-io/blob/master/examples/generative/ipynb/vae.ipynb) that we will study in depth in the future).

It defines a Model sub-type, with its own training step.

```
def train_step(self, data):
                               with tf.GradientTape() as tape:
                                               z_mean, z_log_var, z = self.encoder(data)
                                               reconstruction = self.decoder(z)
                                               reconstruction_loss = tf.reduce_mean(
                                                              tf.reduce_sum(
                                                                               keras.losses.binary_crossentropy(data, reconstruction), axi
s=(1, 2)
                                              kl\_loss = -0.5 * (1 + z\_log\_var - tf.square(z\_mean) - tf.exp(z\_log\_var - tf.square(z\_mean)) - tf.exp(z\_log\_var - tf.square(z
var))
                                               kl_loss = tf.reduce_mean(tf.reduce_sum(kl_loss, axis=1))
                                               total loss = reconstruction loss + kl loss
                               grads = tape.gradient(total_loss, self.trainable_weights)
                               self.optimizer.apply_gradients(zip(grads, self.trainable_weights))
                               self.total_loss_tracker.update_state(total_loss)
                               self.reconstruction_loss_tracker.update_state(reconstruction_loss)
                               self.kl_loss_tracker.update_state(kl_loss)
                               return {
```

In the above example, we override the default training step

- How to override a Model's methods will be a future topic
- The mathematics of the VAE will be a future topic

For now, we focus on the code of the custom training step.

Note

We didn't create a call method for the Model

- we won't ever "call" the VAE model
 - only its encoder and decoder sub-components

The Loss (total_loss) consists of two parts

- kl loss
- reconstruction_loss

We manually invoke the computation of the Gradient of the Loss

• with respect to the model's weights (self.trainable_weights)

```
grads = tape.gradient(total_loss,
self.trainable weights)
```

- In order to signal to TensorFlow that gradients are to be calculated for an expression
 - the expression must occur within the scope of a tf.GradientTape block

```
with tf.GradientTape() as tape:
```

We manually update the weights in the negative direction of the gradients

```
self.optimizer.apply_gradients(zip(grads,
self.trainable_weights))
```

We track the total loss as well as it's subparts

But the calculation of gradients is powerful apart from deriving a model's weights.

TensorFlow allows you to compute the gradient of any expression with respect to any value on which the expression depends.

Let's visit this notebook on <u>Gradient Ascent (Gradient_ascent.ipynb)</u> to see how gradients can be used to visualize which inputs the various layers of a NN respond to most highly.

```
In [14]: print("Done")
```

Done