

Direct measurement of the  $\bar{K}N \rightarrow \pi\Sigma$  scattering  
amplitude below the  $\bar{K}N$  threshold employing the  
 $d(K^-, N)''\pi\Sigma''$  reaction

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# Chapter 1

## Introduction

### 1.1 Discovery of the $\Lambda(1405)$

The  $\Lambda(1405)$  is a hyperon with strangeness  $S = -1$ , isospin  $I = 0$  and spin and spin parity  $J^P = (\frac{1}{2})^-$ . In the Particle Data Group (PDG) [1], the mass and the width of the  $\Lambda(1405)$  are assigned to  $1405.1^{+1.3}_{-1.0}$  MeV and  $50.5 \pm 2.0$  MeV respectively, based on several papers [2–4].

The existence of the  $\Lambda(1405)$  was predicted for the first time by R. H. Dalitz and S. F. Taun in 1959 as a quasi-bound state of  $\bar{K}N$  [5]. The candidate was reported in the  $K^-p \rightarrow \pi\pi\pi\Sigma$  reaction at the Lawrence Radiation Laboratory in 1961 [6]. The excess was reported in the neutral  $\pi\Sigma$  spectrum,  $K^-p \rightarrow \pi^\pm\pi^\mp(\pi\Sigma)^0$ , in the  $\Lambda(1405)$  region compared to the doubly charged spectrum,  $K^-p \rightarrow \pi^+\pi^+(\pi^-\Sigma^-)$  or  $K^-p \rightarrow \pi^-\pi^-(\pi^+\Sigma^+)$ , in this paper. However, there was not enough statistical data to discuss lineshape. In order to solve these problems, R. J. Hemingway reported high-statistics  $\pi^+\Sigma^-$  and  $\pi^-\Sigma^+$  spectra using the  $K^-p \rightarrow \pi^-\Sigma^+(1660) \rightarrow \pi^-\pi^+(\pi^\mp\Sigma^\pm)$  with 4.2 GeV/ $c$   $K^-$  beam [7]. In these spectra, the momentum transfer was restricted to,  $t(K^-, \pi^-) < 1.0$  GeV/ $c$ , in order to increase the purity of  $\Sigma^+(1660)$ . As a result, the  $\Sigma^+ \rightarrow \pi^0 p$  decay event of the  $K^-p \rightarrow \pi^-\Sigma^+(1660) \rightarrow \pi^-\pi^+(\pi^-\Sigma^+)$  reaction gave a  $\pi^-\Sigma^+$  spectrum with almost no background. R. H. Dalitz and A. Deloff obtained the mass and width of the  $\Lambda(1405)$  as  $1406.4 \pm 4.0$  MeV and  $50 \pm 2$  MeV [2] by adapting the M-matrix method to this spectrum, and these parameters were adopted for the PDG. On the other hand, the  $\pi^+\Sigma^-$  spectrum of the  $K^-p \rightarrow \pi^-\Sigma^+(1660) \rightarrow \pi^-\pi^+(\pi^+\Sigma^-)$  reaction had a background due to the ambiguity of the origin of the  $\pi^-$ . Thus, the mass spectrum of  $\Lambda(1405)$  was investigated using the  $K^-p$  reaction with pion emission.

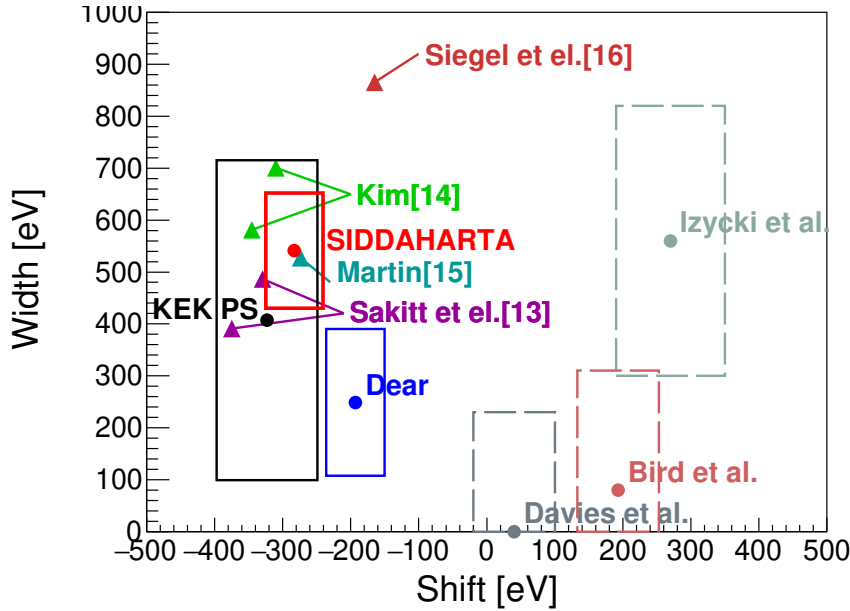
1.2  $\bar{K}N$  interaction

Figure 1.1: This figure is shown about the kaonic hydrogen. Triangle markers indicate theoretical calculations where  $\bar{K}N$  scattering data were extrapolated to the  $\bar{K}N$  threshold. Circle markers represent experimental values, and error ranges in the energy shift and width are indicated by squares. Dashed lines correspond to experiments using hydrogen gas targets conducted prior to 1990, while solid lines represent experiments conducted afterward.

Considering the  $\Lambda(1405)$  as a  $\bar{K}N$  bound state, the  $\bar{K}N$  interaction is expected to be attractive. From the 1960's to the 1980's, various  $K^-p \rightarrow$  meson-baryon, e.g.,  $K^-p$ ,  $K^0n$ ,  $\pi\Lambda$ ,  $\pi\Sigma$ , and so on, scattering data were measured on a hydrogen target using bubble chambers to study the  $\bar{K}N$  interaction [8–12]. This method of course did not allow access below the  $\bar{K}N$  mass threshold and measurements in the low momentum region were difficult and data were insufficient. Nevertheless, the value of the  $\bar{K}N$  interaction at the  $\bar{K}N$  mass threshold was obtained by extrapolating it from the high momentum region, where abundant data exist, using several models [13–16]. These values are shown in Figure 1.1 as the characteristic X-ray values for kaonic hydrogen, discussed below. Due to the lack of data in the low

momentum region, these values varied. However, they were all attractive and consistent with the  $\Lambda(1405)$  being a  $\bar{K}N$  bound state.

An attempt to measure  $\bar{K}N$  interactions at the  $\bar{K}N$  mass threshold using kaonic hydrogen has been proposed. In this method, a  $K^-$  meson beam is stopped in a hydrogen target, and the characteristic  $X$ -rays emitted when the kaon binds to a proton are utilized. In the case of nucleon-meson, the characteristic  $X$ -ray is affected not only by electromagnetic interactions but also by the strong interactions i.e.  $\bar{K}N$  interaction, leading to a shift in energy and a gain in width compared to the case where only electromagnetic interactions are present. According to Deser-Trueman formula [36, 37], these shift and width are model-independent and given by  $\Delta E_1^s - \frac{i}{2}\Gamma_1 = -2\alpha^3 \mu_c^2 a_{K^-p}$ . Where  $\alpha$  is the fine structure constant,  $\mu_c$  is the mass of the  $K^-p$  system, and  $a_{K^-p}$  is the scattering length of the  $\bar{K}N$  interaction. Several attempts were made in the 1980s using liquid hydrogen targets [17–19], but the results showed a positive energy shift, indicating that the  $\bar{K}N$  interaction is repulsive, contradicting  $\bar{K}N$  scattering experiments. To improve the poor signal-to-noise ratio of these experiments, experiments were conducted at KEK PS using gaseous hydrogen targets, and a negative energy shift was reported, thus confirming that the  $\bar{K}N$  interaction is attractive [20].

Once the  $\bar{K}N$  scattering experiments and characteristic  $X$ -ray measurements were found to be qualitatively consistent, this led to further investigations into the  $\bar{K}N$  interaction. The scattering length from characteristic  $X$ -ray measurements was determined more precisely by DEAR [35] and the SIDDHARTA Collaboration [21]. The values of these experiments are also shown in Figure 1.1. The SIDDHARTA data serve as a crucial constraint on the  $\bar{K}N$  mass threshold, playing a key role in constraining the  $\bar{K}N$  scattering amplitudes discussed later.

### 1.3 Two pole structure of the $\Lambda(1405)$

A theoretical extrapolation of the  $\bar{K}N$  scattering amplitude to the low-momentum regime was made in an effort to better understand the low-energy behavior. However, applying a low-momentum perturbation expansion to the strangeness sector proved to be challenging due to the presence of  $\Lambda(1405)$ .

This model suggested that  $\Lambda(1405)$  receives contributions from two poles [27]: one being a bound state located in the high-mass region of the  $\bar{K}N$  system at  $1426 + 16i$  MeV, and the other being a resonant state of the  $\pi\Sigma$

system located in the low-mass region at  $1390 + 66i$  MeV. Due to contributions from both poles, the spectral shape and intensity of  $\Lambda(1405)$  are expected to depend on the reaction mechanism and the  $\pi\Sigma$  decay modes. Therefore, it is important to collect data on various  $\pi\Sigma$  modes through the use of different reaction mechanisms.

## 1.4 Recent experimental status of the $\Lambda(1405)$

Experiments using two reaction mechanisms were conducted in this context. One is photoproduction,  $\gamma p \rightarrow K^+ \Lambda(1405)$ , and the other is proton-proton collisions,  $pp \rightarrow n K^+ \Lambda(1405)$ .

The photoproduction experiment was first performed at LEPS using a 1.5-2.4 GeV  $\gamma$ -ray beam, where the  $\pi^-\Sigma^+$  and  $\pi^+\Sigma^-$  spectra were reported [23]. The difference between these two spectra was attributed to the interference between the  $I = 0$  and  $I = 1$  components, and this interference term was observed. Subsequently, the CLAS Collaboration reported the spectra of all three  $\pi\Sigma$  modes, i.e.,  $\pi^-\Sigma^+$ ,  $\pi^+\Sigma^-$  and  $\pi^0\Sigma^0$ , using 1.61-1.91 GeV  $\gamma$ -ray beams, and all three spectral shapes were different [24]. They also experimentally confirmed that  $\Lambda(1405)$  has isospin  $I = 0$  and spin-parity  $I^P = (\frac{1}{2}^-)$  [25]. Those three spectra were reproduced by theoretical calculations of the photoproduction process using the chiral unitary model [26]. However, in these calculations, some phenomenological parameters were adjusted by fitting the spectra, indicating that the photoproduction process is complex and that it is not possible to conclude how the  $\Lambda(1405)$  poles affect the spectra.

Results from proton-proton scattering were reported by the HADES collaboration using proton beam with 3.5 GeV, which presented spectra of  $\pi^-\Sigma^+$  and  $\pi^+\Sigma^-$  [28]. Differences were observed in these spectra, indicating that  $I = 0$  and  $I = 1$  interference was also present in proton-proton scattering. The peaks of these two spectra are clearly located below 1400 MeV, indicating that the peak position of  $\Lambda(1405)$  strongly depends on the production mechanism. However, as in the case of photoproduction, the production mechanism of  $\Lambda(1405)$  in proton-proton scattering is complex, and it is not possible to conclude how its poles affect the spectrum.

## 1.5 Recent theoretical status of the $\Lambda(1405)$

Although the various experiments described above do not provide conclusive information on the poles of  $\Lambda(1405)$ , they play an important role in

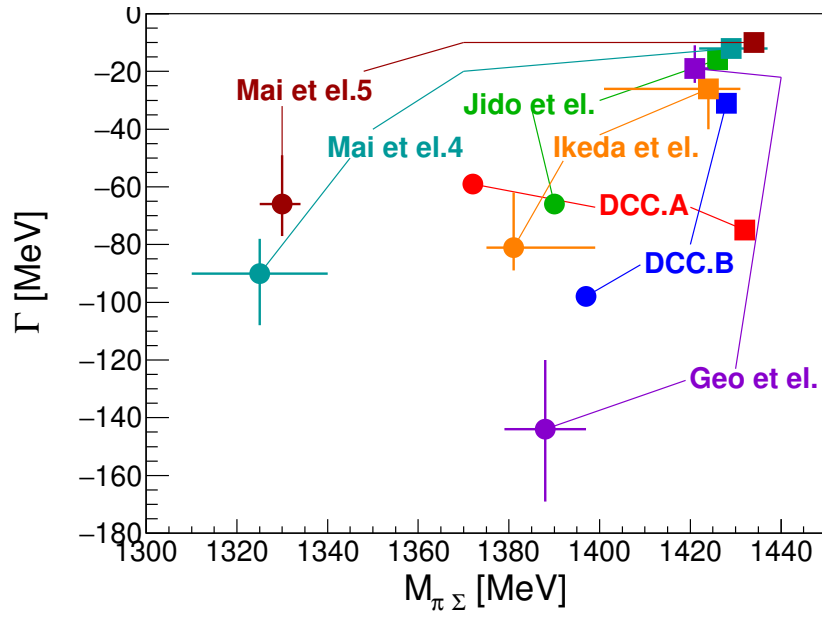


Figure 1.2: This figure shows the pole structure with  $I = 0$   $\bar{K}N$  scattering amplitudes below the  $\bar{K}N$  threshold by various theoretical analysis.



theoretical studies. Building on these results, theoretical studies based on the chiral unitary model have aimed to obtain more detailed  $\bar{K}N$  scattering amplitudes while imposing chiral symmetry and unitarity conditions.

Y. Ikeda et al. [29] and Z.-H. Geo et al. [30] theoretically calculated the  $\bar{K}N$  scattering amplitudes by incorporating not only the results of  $\bar{K}N$  scattering experiments but also the SIDDARTA experimental results as constraints, including not only the leading order but also the next-to-leading (NLO) order in their analysis. M. Mai et al. derived several solutions through a similar analysis and tested them against the results of the CLAS experiment [31]. However, since the CLAS experimental data require reaction-specific calculations for fitting, they could not be directly incorporated into the fitting procedure. Instead, they were used as a filter to evaluate the resulting solutions, leaving two viable candidates.

Since chiral unitary calculations are based on perturbative expansions at low momentum, low-momentum  $\bar{K}N$  scattering data have been primarily used. However, due to experimental difficulties, the available low-momentum data are insufficient, whereas medium- to high-momentum scattering data are more abundant. Partial wave analysis is known as a method applicable to this energy region and has been extensively studied, as described in Section 1.2. It continues to evolve with the incorporation of new data [32, 33]. However, even with this approach, drawing conclusions at low momentum has been difficult due to the presence of  $\Lambda(1405)$ . To overcome this challenge, the  $\bar{K}N$  scattering amplitudes analyzed using the DCC model, a dynamic extension of partial wave analysis, were reported [34]. The analysis produced two solutions for the scattering amplitudes, primarily due to the lack of data in the low-momentum region. Although both scattering amplitudes exhibit two poles in the  $S$ -wave scattering below the  $\bar{K}N$  mass threshold, similar to the chiral unitary model, the parameters of these poles were very different. The pole positions of  $\Lambda(1405)$  based on the theoretical analysis presented in this chapter are summarized in Figure 1.2.

## 1.6 $d(K^-, n)$ reaction

Given the aforementioned circumstances, it is strongly desired to measure direct  $\bar{K}N$  scattering data in the mass region of  $\Lambda(1405)$ . However, if the scattered  $\bar{K}$  meson and nucleon are detected as free particles after  $\bar{K}N$  scattering, scattering amplitudes below the  $\bar{K}N$  mass threshold cannot be obtained. As stated in Section 1.2, while the  $K^-p$  reaction provides scattering data above the  $\bar{K}N$  mass threshold, it cannot provide scattering amplitudes

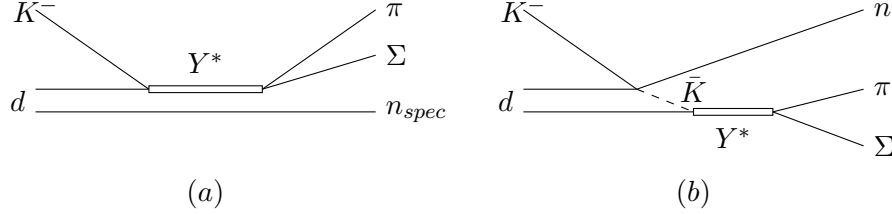


Figure 1.3: This figure is a diagram of the  $K^-d \rightarrow n\Sigma\pi$  reaction. The left figure (a) represents a one-nucleon reaction, and the right figure (b) represents a two-step reaction.

below the threshold due to energy conservation. Thus, the  $K^-d \rightarrow nY^*$  reaction with a deuterium target can be used, in which  $Y^*$  can be produced below the  $\bar{K}N$  mass threshold by transferring the energy of the  $K^-$  beam to the neutron.

Experiments using this reaction were conducted at CERN by irradiating a liquid deuterium target with a  $K^-$  beam having a momentum of 686-844 MeV/c [38]. Although only the spectrum of the  $\pi^+\Sigma^-$  mode was reported in this experiment, the spectrum showed a peak around 1420 MeV, which is higher than the conventional peak position of  $\Lambda(1405)$ . Since this reaction is  $\bar{K}$ -induced, it is considered to strongly reflect the  $\bar{K}N$  pole, which is consistent with the chiral unitary model. In fact, D. Jido et al. used the chiral unitary model to calculate the  $K^-d \rightarrow n\Sigma\pi$  reaction and successfully reproduced the experimental spectrum [39]. However, their calculation also required the  $P$ -wave  $\Sigma(1385)$  contribution at  $I = 1$ . The  $\pi\Sigma$  spectrum is reported only for  $\pi^+\Sigma^-$  in this experiment, but a  $\pi^-\Lambda$  spectrum from the  $K^-d \rightarrow p\Lambda\pi^-$  reaction was also reported. Since this spectrum corresponds to  $I = 1$ , it does not include a  $\Lambda(1405)$  contribution but does contain a  $\Sigma(1385)$  contribution. J. Yamagata et al. reproduced the  $\pi^-\Lambda$  spectrum of this experiment using the same reaction calculation framework [40]. Thus, this experiment provides strong support for the validity of the chiral unitary model.

Two types of reactions are possible for this process: a one-nucleon reaction (a) and a two-step reaction (b), as shown in Figure 1.3. These two reactions are kinematically distinguishable, but not in this experiment, where all  $Y^*$  production angles were measured. Nevertheless, neutrons originating from Fermi motion, which do not contribute directly to the reaction, are emitted in all directions. Therefore, the one-nucleon reaction is considered to play a more significant contribution in this experiment.

Summarized, only the  $\pi^-\Sigma^+$  spectrum was reported in this experiment, and the reaction mechanism remained unknown. The J-PARC E31 experiment was planned to address this issue.

## 1.7 The J-PARC E31 experiment

The J-PARC E31 experiment employs a two-step reaction, as shown in Figure 1.3-(b). In this reaction, the irradiated  $K^-$  beam knocks out a nucleon in deuterium, which are then scattered forward. In addition, a Cylindrical Detector System (CDS) is installed around the liquid deuterium target to identify the  $\pi\Sigma$  decay mode, and the particles originating from the decay of the produced  $Y^*$  will be measured. In this experiment, a 1 GeV/c  $K^-$  beam is used, which frequently undergoes  $K^-N \rightarrow \bar{K}N$  elastic scattering. When the missing mass of  $Y^*$  produced in this reaction is near the  $\bar{K}N$  threshold, the momentum of the scattered  $\bar{K}$  is around 250 MeV/c, making it easier to induce a secondary reaction with the residual nucleon.

In this experiment, all  $\pi\Sigma$  modes are measured, i.e., the  $\pi^-\Sigma^+$ ,  $\pi^0\Sigma^0$ , and  $\pi^+\Sigma^-$  spectra. However, this paper focuses on discussing the  $\pi^-\Sigma^+$  and  $\pi^+\Sigma^-$  spectra. These spectra include contributions from  $I = 0$  and  $I = 1$  states, and their interference terms. However, using only these two spectra, it is impossible to fully disentangle the individual contributions. Therefore, we also discuss the  $K^-d \rightarrow p\pi^-\Sigma^0$  spectrum, in which the proton is detected in the forward direction. This spectrum is purely associated with  $I = 1$ , and by incorporating this information, the  $I = 0$ ,  $I = 1$ , and interference component can be fully disentangled.

One such calculation was performed by Miyagawa et al [42]. They used the scattering amplitudes from the latest partial-wave analysis for the first-step  $\bar{K}N \rightarrow \bar{K}N$  scattering and those from some chiral unitary approaches for the second-step  $\bar{K}N \rightarrow \pi\Sigma$  scattering. Thus, their calculation adopts a *hybrid* method that combines two different approaches. This is because the chiral unitary approach is specialized for low-energy interactions and is not applicable to the first-step  $\bar{K}N \rightarrow \bar{K}N$  scattering. The spectrum of this experiment, considering the same two-step scattering process, has also been calculated using the DCC model. As mentioned in Section 1.5, this model extends the partial-wave analysis, which had been difficult to apply to the  $\Lambda(1405)$  region, thereby making it applicable to that region.

The setup for this experiment, briefly introduced at the beginning of this chapter, is detailed in Chapter ???. The calibration and analysis methods for the detectors are described in Chapter ???. The selection of  $\pi\Sigma$

modes from the measured data and their conversion to cross sections are described in Chapter ???. The physical interpretation of the obtained spectra is discussed in Chapter ??. There, we discuss how well  $\bar{K}N$  scattering is understood by comparing it with the theoretical calculations presented in this chapter, especially in the region of  $\Lambda(1405)$ . In doing so, we examine the contribution of each component by decomposing it into  $I = 0$ ,  $I = 1$ , and their interference terms. Finally, using the  $I = 0$  spectrum obtained from this experiment, we determine its scattering length and effective range, from which we derive the pole parameters of  $\Lambda(1405)$ , namely its mass and width.

## Chapter 2

# Experimental setup

## Chapter 3

# Analysis

## Chapter 4

# Discussion

### 4.1 Decomposition of the $K^-d \rightarrow n\pi^+\pi^-n$ events

#### 4.1.1 Backward $\pi^\mp\Sigma^\pm$ event selection

#### 4.1.2 Template fitting

### 4.2 Conversion to the cross section

### 4.3 Spectra

#### 4.3.1 Qualitative properties of obtained spectra

#### 4.3.2 Comparison with theoretical calculations

#### 4.3.3 $\bar{K}N$ Pole parameters assuming the 2-step reaction

#### 4.3.4 Comparison with DCC model

## Chapter 5

## Conclusion



## Appendix A

### $\pi^0\Sigma^0$ spectrum analysis

## Appendix B

# Detector resolution

### B.1 CDC resolution

### B.2 Detector resolution on the $d(K^-, N)$

## Appendix C

### $d(K^-, n)K^0n$ analysis

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