

# EXTENSIONS TO SIMPLY-TYPED LAMBDA CALCULUS

1

# BASIC TYPES

- Practical programming needs numerical and Boolean values and types. (Of course these can be encoded in lambda calculus.)

$e ::= \dots$

| v

| e1 bop e2 (binary ops: +, -, \*, /, <, >, =, <=, >=, and, or)

| uop e (unary ops: ~, not, pred, succ)

$v ::= \lambda x.e$

| ..., -1, 0, 1, 2, ... [all integers]

| true | false

$t ::= \dots$

| int

| bool

- Semantics and typing rules for all the binary ops and unary ops are straight forward
- We dropped the type annotation from abstraction for brevity

# ASSOCIATIVITY AND PRECEDENCE

- A grammar can be used to define associativity and precedence among the operators in an expression.
  - E.g., + and - are left-associative operators in mathematics;
  - \* and / have higher precedence than + and - .
  - $a + b + c = (a + b) + c; \quad a ** b ** c = a ** ( b ** c )$
- Consider the more interesting grammar  $G_1$  for arithmetic:

Expr ::=              Expr + Term  
          | Expr - Term  
          | Term

Term ::=              Term \* Factor  
          | Term / Factor  
          | Term % Factor  
          | Factor

Factor ::=              Primary \*\* Factor  
          | Primary

Primary ::= 0 | ... | 9 | ( Expr )

Quiz: How would you change the definition of Expr if we want + and - to be “right associative”?

# AN AMBIGUOUS EXPRESSION GRAMMAR $G_2$

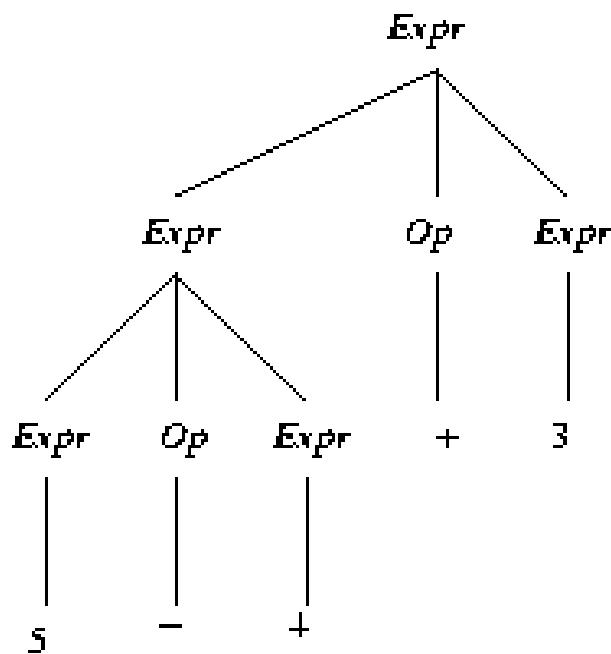
$$Expr \rightarrow Expr \ Op \ Expr \mid ( \ Expr \ ) \mid Integer$$
$$Op \rightarrow + \mid - \mid * \mid / \mid \% \mid **$$

Notes:

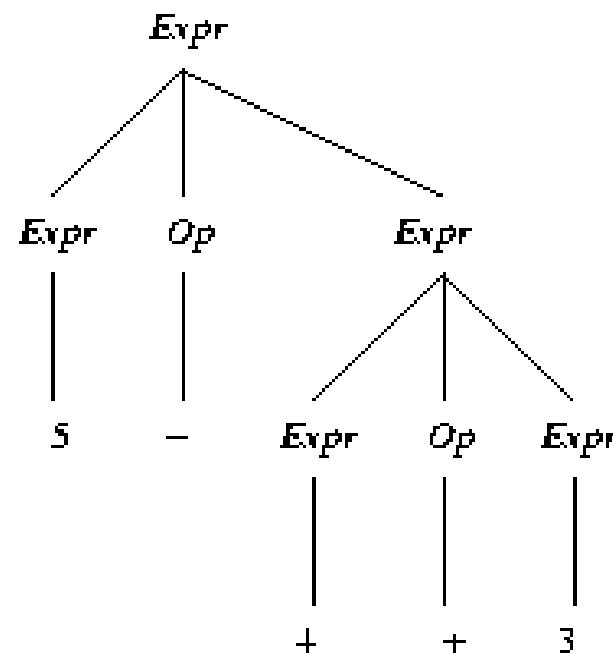
- $G_2$  is equivalent to  $G_1$ , *i.e.*, its language is the same.
- $G_2$  has fewer productions and non-terminals than  $G_1$ .
- However,  $G_2$  is ambiguous.
- Ambiguity can be resolved using the associativity and precedence table



# AMBIGUOUS PARSE OF $5-4+3$ USING GRAMMAR $G_2$



(a)



(b)

# LET BINDING

- It is useful to bind intermediate results of computations to variables:

New syntax:

e ::= x	(a variable)
true   false	(a boolean value)
if e1 then e2 else e3	(conditional)
\x.e	(a nameless function)
e1 e2	(function application)
<b>let x = e1 in e2</b>	(let expression)



x is bound in e2 (which is the scope of x)

# CALL-BY-VALUE SEMANTICS AND TYPING

 $e_1 \rightarrow e_1'$ 

---

[e-let]

$\text{let } x = e_1 \text{ in } e_2 \rightarrow \text{let } x = e_1' \text{ in } e_2$

---

[e-letv]

$\text{let } x = v \text{ in } e_2 \rightarrow e_2 [v/x]$

$G \vdash e_1 : t_1 \quad G, x:t_1 \vdash e_2 : t_2$

---

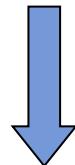
[t-let]

$G \vdash \text{let } x = e_1 \text{ in } e_2 : t_2$

# IMPLEMENTATION OF LET EXPRESSIONS

- Question: can we implement this idea in pure lambda calculus?

source = lambda calculus + let



translate/compile

target = lambda calculus

# LET EXPRESSIONS

- Question: can we implement this idea in the lambda calculus?

translate  $(\text{let } x = e1 \text{ in } e2) =$   
 $(\lambda x. e2) e1$

# LET EXPRESSIONS

- Question: can we implement this idea in the lambda calculus?

translate (let x = e1 in e2) =  
 $(\lambda x. \text{translate } e2) (\text{translate } e1)$

# LET EXPRESSIONS

- Question: can we implement this idea in the lambda calculus?

translate (let x = e1 in e2) =

(λx. translate e2) (translate e1)

translate (x) = x

translate (λx.e) = λx.translate e

translate (e1 e2) = (translate e1) (translate e2)

# THE PRINCIPLE OF “BOUNDED VARIABLE NAMES DON’T MATTER”

When you write

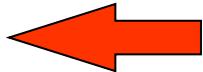
```
let x = \z.z z in  
    let y = \w.w in (x y)
```

you assume you can change the declaration of y to a declaration of v (or another name) provided you systematically change the uses of y. E.g.:

```
let x = \z.z z in  
    let v = \w.w in (x v)
```

provided that the name you pick doesn’t conflict with the free variables of the expression. E.g.:

```
let x = \z.z z in  
    let x = \w.w in (x x)
```



bad, original x captured

# STATIC VS. DYNAMIC SCOPING

- The **scope** of a name is the collection of expressions and/or statements which can access the name binding.
- In static scoping, a name is bound for a collection of statements according to its position in the source program → determined at compile time (static)
- In dynamic scoping, the valid association for a name X, at any point P of a program, is the most **recent** (in the temporal sense) association created for X which is still active when control flow arrives at P → determined at run time (dynamic)
- Most modern languages use static (or *lexical*) scoping.

## STATIC VS. DYNAMIC SCOPING (II)

```
let x = v1 in  
  let y = (let x = v2 in x)  
    in x
```

- This expression evaluates to
  - v1 (static scoping)
  - v2 (dynamic scoping)

## PAIRS

- Programming languages offer compound types.
- Simplest is *pairs*, or *2-tuples*.
- We introduce one new value {v1, v2}
- One new product type: t1 \* t2.

# PAIRS (SYNTAX)

$e ::= \dots$	expressions:
$\{e_1, e_2\}$	pair
$e.1$	first projection
$e.2$	second projection
$v ::= \dots$	values:
$\{v_1, v_2\}$	pair value
$t ::= \dots$	types:
$t_1 * t_2$	product type (or $t_1 \times t_2$ )

# PAIRS (EVALUATION)

$[e \rightarrow e']$

$$\frac{}{\{v_1, v_2\}.1 \rightarrow v_1} \text{ (E - PairBeta1)}$$

$$\frac{e \rightarrow e'}{e.1 \rightarrow e'.1} \text{ (E - Proj1)}$$

$$\frac{e_1 \rightarrow e_1'}{\{e_1, e_2\} \rightarrow \{e_1', e_2\}} \text{ (E - Pair1)}$$

$$\frac{}{\{v_1, v_2\}.2 \rightarrow v_2} \text{ (E - PairBeta2)}$$

$$\frac{e \rightarrow e'}{e.2 \rightarrow e'.2} \text{ (E - Proj2)}$$

$$\frac{e_2 \rightarrow e_2'}{\{v_1, e_2\} \rightarrow \{v_1, e_2'\}} \text{ (E - Pair2)}$$

Quiz: Change (E-Pair1) and (E-Pair2) so that the evaluation follows a right-to-left call by value operational semantics?

# EXAMPLE EVALUATIONS

Left to right evaluation:

- {if 3+2 > 0 then true else false, succ 0}.1
- {if 5 > 0 then true else false, succ 0}.1
- {if true then true else false, succ 0}.1
- {true, succ 0}.1
- {true, 1}.1
- true

Pairs must be evaluated to values before passing to functions:

- $(\lambda x:\text{int}^*\text{int}. \ x.2) \ \{\text{pred } 1, \ 6/2\}$
- $(\lambda x:\text{int}^*\text{int}. \ x.2) \ \{0, \ 6/2\}$
- $(\lambda x:\text{int}^*\text{int}. \ x.2) \ \{0, \ 3\}$
- $\{0, \ 3\}.2$
- 3

# PAIRS (TYPING)

$[\Gamma \vdash e : t]$

$$\frac{\Gamma |- e_1 : t_1 \quad \Gamma |- e_2 : t_2}{\Gamma |- \{e_1, e_2\} : t_1 \times t_2} \quad (\text{T - Pair})$$

$$\frac{\Gamma |- e : t_1 \times t_2}{\Gamma |- e.1 : t_1} \quad (\text{T - Proj1})$$

$$\frac{\Gamma |- e : t_1 \times t_2}{\Gamma |- e.2 : t_2} \quad (\text{T - Proj2})$$

# TUPLES

- Tuples generalize from pairs: binary product → n-ary product

$e ::= \dots$

- |  $\{e_1, \dots, e_n\}$  (or  $\{e_i^{i \in 1..n}\}$ )
- |  $e.i$

expressions:

tuple

$i^{\text{th}}$  projection

$v ::= \dots$

- |  $\{v_1, \dots, v_n\}$

values:

tuple value

$t ::= \dots$

- |  $t_1 * \dots * t_n$  (or  $\{t_i^{i \in 1..n}\}$ )

types:

tuple type

# TUPLE EVALUATION AND TYPING

$$\frac{}{\{v_i^{i \in 1..n}\}.j \rightarrow v_j} \text{ (E - ProjTuple)}$$

$$\frac{e \rightarrow e'}{e.i \rightarrow e'.i} \text{ (E - ProjTuple1)}$$

$$\frac{e_j \rightarrow e_j'}{\{v_1, \dots, v_{j-1}, e_j, \dots, e_n\} \rightarrow \{v_1, \dots, v_{j-1}, e_j', \dots, e_n\}} \text{ (E - Tuple)}$$

$$\frac{\text{for each } i : \Gamma |- e_i : t_i}{\Gamma |- \{e_i^{i \in 1..n}\} : \{t_i^{i \in 1..n}\}} \text{ (T - Tuple)}$$

$$\frac{\Gamma |- e : \{t_i^{i \in 1..n}\}}{\Gamma |- e.j : t_j} \text{ (T - Proj)}$$

- Note that order of elements in tuple is significant.
- Evaluation is from left to right.
- Projection is done after tuple becomes value.

# RECORDS

- Straightforward to extend tuples into records
- Elements are indexed by labels:
  - $\{y=10\}$
  - $\{id=1, \text{salary}=50000, \text{active}=\text{true}\}$
- The order of the record fields is often insignificant in most PL
  - $\{y=10, x= 5\}$  is the same as  $\{x=5, y=10\}$
- To access fields of a record:
  - a.id
  - b.salary
- Syntax and semantic rules left as an exercise.

# SUMS

- Program needs to deal with heterogeneous collection of values – values that can take different shapes:
  - A binary tree node can be:
    - A leaf node, or
    - An interior node
  - An abstract syntax tree node of  $\lambda$ -calculus can be:
    - A variable
    - A function abstraction, or
    - An application, etc.
- *Sum* type: union of two types
- More generally, *variant* type: union of  $n$  types.

# SUM (SYNTAX)

e ::= ...

- | inl e
- | inr e
- | case e of inl x =>e1 | inr x => e2

expressions:

injection (left)

injection (right)

case

v ::= ...

- | inl v
- | inr v

values:

injection value (left)

injection value (right)

t ::= ...

- | t1 + t2

types:

sum type

# SUMS (EXAMPLE)

- There are two types:
  - $\text{faculty} = \{\text{empid: int, position: string}\}$
  - $\text{student} = \{\text{stuid: int, level: int}\}$
- Define a sum type:
  - $\text{personnel} = \text{faculty} + \text{student}$
- We can “inject” element of *faculty* or *student* type into *personnel* type. Think of *inl* and *inr* as functions:
  - $\text{inl} : \text{faculty} \rightarrow \text{personnel}$
  - $\text{inr} : \text{student} \rightarrow \text{personnel}$
- To use elements of sum type, we use the case expression:

```
getid = \p : personnel .  
  case p of  
    inl x => x.empid  
    | inr x => x.stuid
```

# SUMS (SEMANTICS)

$$\frac{}{\text{case } (\text{inl } v) \text{ of inl } x_1 \Rightarrow e_1 \mid \text{inr } x_2 \Rightarrow e_2 \rightarrow e_1[v/x_1]} \text{ (E - CaseInl)}$$

$$\frac{}{\text{case } (\text{inr } v) \text{ of inl } x_1 \Rightarrow e_1 \mid \text{inr } x_2 \Rightarrow e_2 \rightarrow e_2[v/x_2]} \text{ (E - CaseInr)}$$

$$\frac{e \rightarrow e'}{\begin{aligned} &\text{case } e \text{ of inl } x_1 \Rightarrow e_1 \mid \text{inr } x_2 \Rightarrow e_2 \\ &\rightarrow \text{case } e' \text{ of inl } x_1 \Rightarrow e_1 \mid \text{inr } x_2 \Rightarrow e_2 \end{aligned}} \text{ (E - Case)}$$

$$\frac{e \rightarrow e'}{\text{inl } e \rightarrow \text{inl } e'} \text{ (E - Inl)}$$

$$\frac{e \rightarrow e'}{\text{inr } e \rightarrow \text{inr } e'} \text{ (E - Inr)}$$