RSA® ARCHER COMPLIANCE MANAGEMENT

Document, Assess and Report on the Control Framework

AT-A-GLANCE

RSA Archer Compliance Management provides a centralized, access- controlled environment for automating enterprise compliance processes, deficiency assessment and remediation management.

EXECUTIVE SUMMARY

Managing compliance has become increasingly challenging, with new regulations and coordination with multiple assurance functions. With high stakes and high profile stakeholders, organizations often incur significant cost to demonstrate compliance.

Organizations face the challenge of manual and technical control testing that is very labor intensive and often lacks a consistent process. Most compliance management solutions do not include automation capabilities, and solely focus on documentation of the company's internal control structure, test results and deficiencies. Many times, compliance findings are not addressed after several compliance audits, which can lead to heavy fines.

To meet these challenges, organizations must employ a process that promotes efficiency, consistency and accountability. The organization is tasked with driving risk-based scoping and evaluation of the internal control environment and a centralized testing and documentation process. Centralized management of compliance issues promotes accountability by providing visibility into all aspects of the compliance process.

RSA Archer Compliance Management provides a centralized, access-controlled environment for automating enterprise compliance processes, assessing deficiencies and managing remediation. This flexible solution allows organizations to document process and technical controls, perform risk-based scoping, execute design and operating tests, maintain continuous controls monitoring and respond to identified gaps

With RSA Archer Compliance Management, organizations benefit from:

- Controls consolidated across multiple regulatory and business requirements into one integrated framework.
- Automated, risk-based compliance scoping process to ensure the right controls are measured on the critical parts of the business
- Implementation of a holistic GRC program through seamless integration of policy and risk management data and processes across corporate governance and compliance solutions

- **Conduct risk-based scoping**
  Perform risk-based scoping using a top-down or bottom-up approach. Configuration checks can be related to your documented control procedures, allowing management to determine the effectiveness of controls.
- **Document control procedures and test plans**
  Map procedures and test plans to applicable control standards, regulations, business units, risks, business processes and technologies. Leverage pre-loaded technology baselines to manage regulatory compliance at the technical configuration level.
- **Execute design and operating tests**
  Assess whether design tests will mitigate risk as intended. Inform testers of their work queues via rules-driven workflow and tasks. Execute operating tests to identify if the control is working as designed.
- **Maintain continuous controls monitoring**
  Auto-generate deficiencies based on failures noted within questionnaires and test results. Understand how findings relate to controls, operating entities, policies, regulations and risk. Relate multiple findings in a context of a remediation plan to identify larger issues and support informed decision making.
- **Auto-generate deficiencies for non-compliant control activities**
  Employ automated workflow and task management capabilities to resolve compliance deficiencies. Route findings and tasks to appropriate personnel. Complete remediation tasks or log exception requests that identify effective compensating controls. Review and approve the resolution of deficiencies using the pre-build, full configurable workflow process.
- **Consolidated picture of compliance efforts and remediation**
  Use real-time reporting capabilities and dashboards to form a complete picture of compliance efforts and remediation. Ad hoc reporting allows you to deliver status and alert-type reports to users through dashboards, email or exports in a number of different formats.

ABOUT RSA

RSA, The Security Division of EMC, is the premier provider of security, risk and compliance management solutions for business acceleration. RSA helps the world's leading organizations succeed by solving their most complex and sensitive security challenges. These challenges include managing organizational risk, safeguarding mobile access and collaboration, proving compliance, and securing virtual and cloud environments.

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