Assignment 4

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```
REC: <s> this
                      is simple enough </s>
     HTK Results Analysis at Sun Nov 28 16:34:02 2021
     Ref: /work/courses/T/S/89/5150/general/ex4/grammar.mlf
    Rec: grammar.rec
               # Snt | Corr
                                Sub
                                       Del
                                               Ins
                                                      Err S. Err
                       93.33
                                4.44
                                       2.22
                                              0.00
                                                      6.67 14.29
     Sum/Avg
```

Figure 1: Recognition Result

1 Question 1

1.1 A

The grammar definitions I constructed are shown below:

```
1 $Noun= example | idea;
2 $Adv = simply | especially;
3 $Adj = true | illegal | possible | unique | simple;
4 $AdvLast = too | enough;
5
6 ( \<s\> ( the $Noun | this) is [$Adv] [not] $Adj [$AdvLast] \<\/s\> )
```

The commands used in question are below:

```
1 #commands
2
3 HParse gram.txt grammar_net.htk
4
5 HSGen grammar_net.htk $data/grammar.vocab
6
7 HVite -T 1 -i grammar.rec -H $data/macros -H $data/hmmdefs \
    -C $data/config -w grammar_net.htk -s 10.0 -t 200.0 \
    -S $data/grammar.scp $data/grammar.dict $data/tiedlist
10
11 HResults -h -t -I $data/grammar.mlf /dev/null grammar.rec
```

The recognition results shows in Fig 1. Err rate is 6.67%. we can also see different kinds of error, substitutions, deletions, and insertions. They represent the operations that need to be modified to get the correct result.

I think the reason why the recognition still make mistakes is that the sentence length is variable in the data. That is, when making predictions, it is sometimes difficult to determine whether a word or multiple words are represented in phonemes, resulting in deletion and substitution errors in prediction. There is no silence between words, we do not know transition time from word to word, making the prediction harder.

Model	Sub	Del	Ins	Err
2-gram	4.44	2.22	0.00	6.67
2-gram(Smooth)	0.00	0.00	0.00	0.00

Table 1: Recognition results

1.2 B

```
1
   #commands
2
  #without smoothing
  ngram-count -order 2 -text $data/grammar.sent -lm 2gram.lm
  HBuild -s "<s>" "</s>" -n 2gram.lm $data/grammar.vocab 2
6
      gram_net.htk
7
8
  HVite -T 1 -i 2grammar.rec -H $data/macros -H $data/hmmdefs \
9
     -C $data/config -w 2gram_net.htk -s 10.0 -t 200.0 \
     -S $data/grammar.scp $data/grammar.dict $data/tiedlist
10
11
12 HResults -h -t -I $data/grammar.mlf /dev/null 2grammar.rec
13
14
15
  #Smoothing
  ngram-count -order 2 -interpolate -cdiscount1 0 -cdiscount2 0.5
       -text $data/grammar.sent -lm smooth2gram.lm
17
  HBuild -s "<s>" "</s>" -n smooth2gram.lm $data/grammar.vocab
18
      smooth2gram_net.htk
19
20
  HVite -T 1 -i smooth2grammar.rec -H $data/macros -H $data/
      hmmdefs \
     -C $data/config -w smooth2gram_net.htk -s 10.0 -t 200.0 \
21
22
     -S $data/grammar.scp $data/grammar.dict $data/tiedlist
23
24
  HResults -h -t -I $data/grammar.mlf /dev/null smooth2grammar.
      rec
```

The 2-gram model without smoothing have the same performance with the model in 1.A. But the 2-gram model with smoothing predict the small evaluation test perfectly. Smoothing can avoid the appearance of extreme values in the probability calculation, giving a little tendency to the less likely words sequences, thus making the overall result better.

Model	Sub	Del	Ins	Err
W12B200	3.96	0.21	1.67	5.83
W14B200	3.33	0.21	1.46	5.00
W16B200	3.75	0.31	1.35	5.42
W18B200	3.96	0.31	1.77	6.04
W12B220	4.17	0.21	1.46	5.83
W18B220	3.65	0.31	1.35	5.31

Table 2: Recognition results with different parameters

2 Question 2

2.1 A

The commands in this part are basically the same except language model weights and beam pruning threshold. So **only 1 group commands shows below.**

```
1 # Commands
2 HDecode -T 1 -C $data/config -C $data/config.hdecode -S $data/
    wsj_5k_eval.scp \
3   -i results_12_200.mlf -H $data/macros -H $data/hmmdefs -t
        200.0 -s 12.0 \
4   -w $data/wsj_5k.3gram.lm $data/wsj_5k.hdecode.dict $data/
        tiedlist
5
6 HResults -h -t -I $data/wsj_5k_eval.mlf /dev/null
    results_12_200.mlf
```

The recognition results shows in Table 2. The language model with weight=14 gives the best recognition results.

2.2 B

The recognition results also shows in Table 2. When we increase the value of beam pruning threshold, the prediction results of the models are improved, compared to the original models. As can be seen in the introduction, we know that the larger the weight value, the more the recognition favors common sentences defined by the language model. This will cause more path duplication, so we use larger beam values, remove very unlikely hypothesis, and terminate unnecessary repeated computations, not only to speed up the process, but also to increase the accuracy.

```
| HTK Results Analysis at Sun Nov 28 18:01:34 2021
| Ref: /work/courses/T/S/89/5150/general/ex4/wsj_5k_eval.mlf
| Rec: rec_a18.mlf
| # Snt | Corr Sub Del Ins Err S. Err
| Sum/Avg | 54 | 94.90 4.69 0.42 0.83 5.94 46.30
```

Figure 2: Recognition Result

3 Question 3

3.1 A

```
#Commands
2
3 mkdir lattices
  HDecode -T 1 -C $data/config -C $data/config.hdecode -S $data/
      wsj_5k_eval.scp \
     -H $data/macros -H $data/hmmdefs -z htk -l lattices -t 175.0
6
        -s 10.0 \
     -w $data/wsj_5k.2gram.lm $data/wsj_5k.hdecode.dict $data/
7
        tiedlist
  ls lattices/*.htk.gz > original_lattices.list
10
  lattice-tool -htk-lmscale 18 -in-lattice-list original_lattices
12
     -read-htk -viterbi-decode | $data/viterbi2mlf.pl > rec_a18.
        mlf
13
14 HResults -h -I $data/wsj_5k_eval.mlf /dev/null rec_a18.mlf
```

The recognition result from he original lattices shows in Fig 2. The WER is 5.94%.

Model	Sub	Del	Ins	Err
W10	3.96	0.21	1.88	6.04
W14	3.65	0.31	1.46	5.42
W18	3.44	0.31	1.25	5.00
W22	3.12	0.21	0.94	4.27
W26	3.44	0.42	1.15	5.00
W30	3.65	0.52	0.83	5.00

Table 3: Recognition results from the 4-gram rescored lattices

3.2 B

```
#Commands
2
3
   ls lattices/*.htk.gz > original_lattices.list
4
5
   lattice-tool -order 4 -in-lattice-list original_lattices.list \
6
     -read-htk -lm $data/wsj_5k.4gram.lm.gz -write-htk -out-
        lattice-dir rescored
8
   ls rescored/*.htk.gz > rescored_lattices.list
10
   # change weights in 10 14 18 22 26 30
   lattice-tool -htk-lmscale 10 -in-lattice-list rescored_lattices
12
     -read-htk -viterbi-decode | $data/viterbi2mlf.pl > rescored/
        rec10.mlf
13
  HResults -h -I $data/wsj_5k_eval.mlf /dev/null rescored/rec10.
      mlf
```

The recognition results shows in Table 3. The best performance is given by the language model using weight=22.

4 Question 4

When speech recognition system only needs specific features, such as recognizing numbers for dialing like in this assignment, there are only a few fixed words as dictionary. In this case the grammar based recognition network may work better. Doing specific grammatical generalization for specific scenario limits the recognition range, thus achieving better results. N-grams model can be applied into more complex recognition tasks with huge vocabularies or also require prediction beyond the vocabularies. Of course, we also need to know that when n is too large, the entire phrase space becomes too sparse and the probability of many words phrases is close to 0. And the increasing size of the entire model is also a problem. In lecture 5 of End-to-End ASR, we know that HMM-based systems tends to do better with

limited resources. But End-to-End system can more easily run on mobile devices. It directly map speech to text in applications.