

Data Structures

Arnar Bjarni Arnarson

September 30, 2024

School of Computer Science

Reykjavík University

Today's material

- Prerequisites
- Sliding Window
- Heap
- Union-Find
- Precomputations like prefix sums
- Square root decomposition
- Segment trees
- Sparse tables

Prerequisites

We assume you know how to implement the following data structures using only fixed size arrays and pointers/objects:

- Dynamically sized arrays (like vector in C++)
- Singly/doubly linked lists (like list in C++)
- Queue and stack using either of the above

We also assume you have experience using
(unordered_){map,set}

Sliding Window

A Sum Problem

Problem description

Write a program that, given an integer array of size N , finds the contiguous subarray of size K with the highest sum.

Input description

Input consist of two lines. The first line contains two space separated integers N , the size of the array, where $1 \leq N \leq 10^6$, and K , the size of the subarrays to consider, where $1 \leq K \leq N$. Then second line contains N space separated integers, the values of the array. Each value in the array is between -10^9 and 10^9 .

Output description

Output one line, the sum of the highest valued contiguous subarray of size K .

A Sum Problem

Sample input	Sample output
10 4 17 20 0 1 5 24 8 2 4 1	39

Straightforward Solution

```
n, k = map(int, input().split())
arr = list(map(int, input().split()))
highest = float('-inf')
for start in range(n-k+1):
    end = start + k
    total = 0
    for i in range(start, end):
        total += arr[i]
    highest = max((highest, total))
print(highest)
```


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- This solution constructs all size K contiguous subarrays.

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- What is the time complexity?

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- What is the time complexity?
- There are N starting points, each construction takes K steps, so $\mathcal{O}(NK)$.

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- This solution constructs all size K contiguous subarrays.
- What is the time complexity?
- There are N starting points, each construction takes K steps, so $\mathcal{O}(NK)$.
- Too slow!

Wasted Operations

- The subarray starting at index i has the sum
 $a_i + a_{i+1} + \cdots + a_{i+k-1}$.

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- What changes between starting at i vs. starting at $i + 1$?

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- What changes between starting at i vs. starting at $i + 1$?
- We subtract a_i .

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- What changes between starting at i vs. starting at $i + 1$?
- We subtract a_i .
- We add a_{i+k} .
- A shift from the subarray starting at i to the subarray starting at $i + 1$ takes $\mathcal{O}(1)$ time.

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- We iterate over the indices $i + 1, i + 2, \dots, i + k - 1$ twice.
- What changes between starting at i vs. starting at $i + 1$?
- We subtract a_i .
- We add a_{i+k} .
- A shift from the subarray starting at i to the subarray starting at $i + 1$ takes $\mathcal{O}(1)$ time.
- This is known as the sliding window technique, in this case with a fixed window size.

Sliding Window Solution

```
n, k = map(int, input().split())
arr = list(map(int, input().split()))
total = 0
for i in range(k):
    total += arr[i]
highest = total
for i in range(n - k):
    total -= arr[i]
    total += arr[i+k]
    highest = max((highest, total))
print(highest)
```

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- What is the time complexity?
- This solution constructs the first size K contiguous subarray.

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- What is the time complexity?
- This solution constructs the first size K contiguous subarray.
- Then, $N - K$ times, an element is removed and another added.

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- This solution constructs the first size K contiguous subarray.
- Then, $N - K$ times, an element is removed and another added.
- Subtracting and adding numbers is constant time so $\mathcal{O}(N)$.

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- What is the time complexity?
- This solution constructs the first size K contiguous subarray.
- Then, $N - K$ times, an element is removed and another added.
- Subtracting and adding numbers is constant time so $\mathcal{O}(N)$.
- Fast enough!

A Substring Problem

Problem description

Write a program that, given a string of size N , finds the longest substring with K distinct elements.

Input description

Input consists of two lines. The first line contains two space-separated integers N , the size of the string, where $1 \leq N \leq 10^6$, and K , the number of distinct elements the substring must have, where $1 \leq K \leq 26$. Then the second line contains a string of length N consisting of English lowercase characters.

Output description

Output one line, the longest substring with K distinct elements. If no such string exists, output "DOES NOT EXIST", without quotations.

A Substring Problem

Sample input	Sample output
14 3 bacdcbcabcabdb	cdcbc

General Framework

```
from string import ascii_lowercase
n, k = map(int, input().split())
s = input()

best_ind, best_len = distinct_k(n, k, s)

if best_len == -1:
    print("DOES NOT EXIST")
else:
    print(s[best_ind:best_ind + best_len])
```

Straightforward Solution

```
def distinct_k(n, k, s):
    best_ind, best_len = -1, -1
    for start in range(n):
        for end in range(start, n+1):
            substring = s[start:end]
            distinct = 0
            for symbol in ascii_lowercase:
                if symbol in substring:
                    distinct += 1
            cur_len = len(substring)
            if distinct == k and cur_len > best_len:
                best_ind = start
                best_len = cur_len
    return best_ind, best_len
```

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def distinct_k(n, k, s):
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- There are $\mathcal{O}(N^2)$ substrings of the string

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- What is the time complexity?
- There are $\mathcal{O}(N^2)$ substrings of the string
- Checking each one takes us $\mathcal{O}(N)$ time, so $\mathcal{O}(N^3)$ in total.
- Way too slow!

Constant optimization

```
def distinct_k(n, k, s):
    best_ind, best_len = -1, -1
    for start in range(n):
        for end in range(start, n+1):
            substring = s[start:end]
            present = [False for _ in range(26)]
            for symbol in substring:
                present[ord(symbol) - ord('a')] = True
            distinct = sum(present)
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- This is a little faster, by a factor of 26 approximately.

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- Time complexity is the same.

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- This is a little faster, by a factor of 26 approximately.
- Time complexity is the same.
- Note that present barely differs between adjacent values of end
- Build it as the substring grows.

Incremental

```
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    best_ind, best_len = -1, -1
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- Now each substring is processed in constant time.

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- Now each substring is processed in constant time.
- Time complexity is $\mathcal{O}(N^2)$

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- Now each substring is processed in constant time.
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- For a given value of ind, adjacent start values have similar values of present.

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- Now each substring is processed in constant time.
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- For a given value of `ind`, adjacent start values have similar values of `present`.
- Note that adding characters will never decrease `distinct`.

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- Now each substring is processed in constant time.
- Time complexity is $\mathcal{O}(N^2)$
- For a given value of `ind`, adjacent start values have similar values of `present`.
- Note that adding characters will never decrease `distinct`.
- However, removing elements from the front may reduce `distinct`.

Sliding Window

```
def distinct_k(n, k, s):
    best_ind, best_len = -1, -1
    start, end, distinct = 0, 0, 0
    count = [0 for _ in range(26)]
    while start < n:
        while end < n:
            c = ord(s[end]) - ord('a')
            if distinct == k and count[c] == 0:
                break
            count[c] += 1
            end += 1
            distinct = sum(x > 0 for x in count)
        cur_len = end - start
        if distinct == k and cur_len > best_len:
            best_ind = start
            best_len = cur_len
        count[ord(s[start]) - ord('a')] -= 1
        start += 1
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- Each element gets added and removed once, so $\mathcal{O}(N)$.

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- What is the time complexity? It may seem quadratic at first
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- Lets introduce C , the number of different symbols possible.

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- Each element gets added and removed once, so $\mathcal{O}(N)$.
- Lets introduce C , the number of different symbols possible.
- The time complexity is actually $\mathcal{O}(NC)$, but we can do better!

Sliding Window Improved

```
def distinct_k(n, k, s):
    best_ind, best_len = -1, -1
    start, end, distinct = 0, 0, 0
    count = [0 for _ in range(26)]
    while start < n:
        while end < n:
            c = ord(s[end]) - ord('a')
            if distinct == k and count[c] == 0:
                break
            if count[c] == 0:
                distinct += 1
            count[c] += 1
            end += 1
        cur_len = end - start
        if distinct == k and cur_len > best_len:
            best_ind = start
            best_len = cur_len
        c = ord(s[start]) - ord('a')
        count[c] -= 1
        if count[c] == 0:
            distinct -= 1
        start += 1
        distinct = sum(x > 0 for x in count)
    return best_ind, best_len
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- Now adding/removing an element is $\mathcal{O}(1)$.
- The time complexity is now $\mathcal{O}(N + C)$.

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- Step 2: Check if the current window is a better answer and possibly update. Then go to step 3.
- Step 3: Perform `remove` and go to step 1.
- Time complexity is $\mathcal{O}(N \cdot (X + Y))$ where X and Y are the cost of `add` and `remove`, respectively.

Heap

Heaps

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- Heaps are implemented in most standard libraries in the forms of priority queues.
- A heap is nothing but a binary tree satisfying *the heap condition*.
- The heap condition (for a min heap) says that the value of any given node is not greater than that of its children.

Heaps

- Since arrays are linear, we want to smush this binary tree into an array for the implementation.

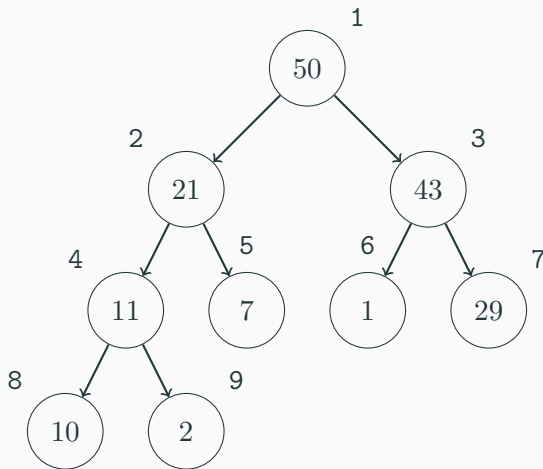
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- We can do this by putting the root at index 1. Then the children of item at index i are simply at $2i$ and $2i + 1$. The parent of any item $i > 1$ is then $\lfloor \frac{i}{2} \rfloor$.
- We could do this using raw arrays (then index 0 can be used to store its size), but the examples will be given in C++ using vectors.

Heaps



ARRAY: [SIZE, 50, 21, 43, 11, 7, 1, 29, 10, 2]

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- Items can be deleted by replacing the smallest value with a leaf and then fixing the heap condition downwards.
- Let us see how this would look in C++.

C++ implementation (min-heap)

```
template<typename T> struct Heap {
    vector<T> h; Heap() : h(1) { }
    constexpr size_t size() { return h.size() - 1; }
    constexpr T peek() { return h[1]; }
    void swim(size_t i) {
        while(i != 1 && h[i] < h[i / 2]) {
            swap(h[i], h[i / 2]);
            i /= 2; } }
    void sink(size_t i) {
        while(true) {
            size_t mn = i;
            if(2 * i + 1 < h.size() && h[mn] > h[2 * i + 1]) mn = 2 * i + 1;
            if(2 * i < h.size() && h[mn] > h[2 * i]) mn = 2 * i;
            if(mn != i) swap(h[i], h[mn]), i = mn;
            else break; } }
    void pop() {
        h[1] = h.back();
        h.pop_back(); sink(1); }
    void push(T x) {
        h.push_back(x);
        swim(h.size() - 1); } };
```

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- This implementation isn't any better than the standard library one in C++.
- We provide it for demonstration of representing binary trees with an array.

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- Operation `find(x)` finds the representative of the set x is in
- Operation `union(x, y)` unions the sets of which x and y are members.

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- At any given point `find(x)` returns some value in the same set as x .
- The important bit is that `find(x)` returns the same value for all elements of the same set, the representative.

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- To get the representative of x we go to the parent of our current item (starting at x) until the item has no parent.
- Then to unite x, y we simply make the representative of x the parent of the representative of y .

Naïve Union-Find implementation

```
struct union_find {  
    vector<int> parent;  
    union_find(int n) {  
        parent = vector<int>(n);  
        for(int i = 0; i < n; i++) {  
            parent[i] = i;  
        }  
    }  
    int find(int x) {  
        return parent[x] == x ? x : find(parent[x]);  
    }  
    void unite(int x, int y) {  
        parent[find(x)] = find(y);  
    }  
};
```

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- We can also do this by flattening the chain each time we query `find`, so the amortized complexity becomes good.
- Here the worst case is still $\mathcal{O}(n)$ but the amortized complexity is $\mathcal{O}(\alpha(n))$ which may as well be a constant, as it is < 5 for n equal to the number of atoms in the observable universe.

Path compressed Union-Find implementation

```
struct union_find {  
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    union_find(int n) {  
        parent = vector<int>(n);  
        for (int i = 0; i < n; i++) {  
            parent[i] = i;  
        }  
    }  
    int find(int x) {  
        if(parent[x] == x) return x;  
        return parent[x] = find(parent[x]);  
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    void unite(int x, int y) {  
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- By modifying the data structure it can also contain more queryable data
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 - An iterable list of all elements of the set containing x
- When tracking size you can use it to always perform small-to-large merges for $\mathcal{O}(\log n)$ time complexity.

Example problem: Skolavslutningen

- <https://open.kattis.com/problems/skolavslutningen>

Range Queries

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- Sometimes we also want to update elements.

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- How do we support these queries efficiently?
- Simplification: only support queries of the form $\text{sum}(0, j)$
- Notice that $\text{sum}(i, j) = \text{sum}(0, j) - \text{sum}(0, i - 1)$

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- Can we support updating efficiently? No, at least not without modification

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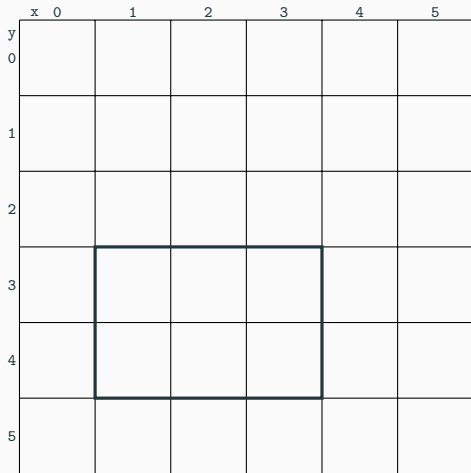
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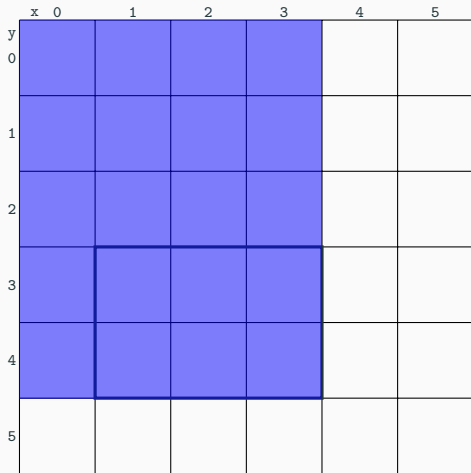
$$\begin{aligned}\text{sum}(x_i, x_j, y_i, y_j) &= \text{sum}(0, x_j, 0, y_j) \\ &\quad - \text{sum}(0, x_{i-1}, 0, y_j) \\ &\quad - \text{sum}(0, x_j, 0, y_{i-1}) \\ &\quad + \text{sum}(0, x_{i-1}, 0, y_{i-1})\end{aligned}$$

2D sum



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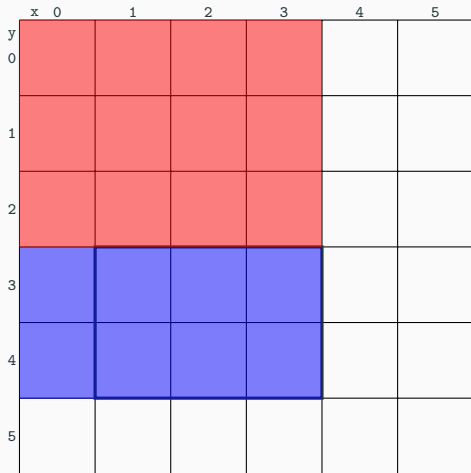
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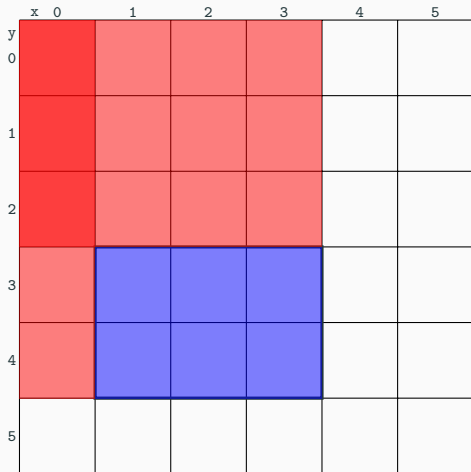


query(1, 3, 3, 4)

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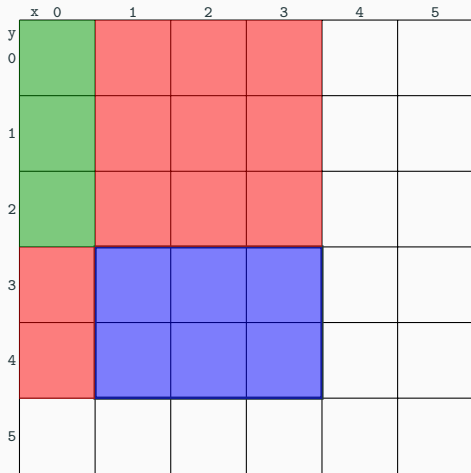
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query(0, 0, 0, 4)

2D sum



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- Also known as square root decomposition, and is a very powerful technique

Example problem: Supercomputer

- <https://open.kattis.com/problems/supercomputer>

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- Now we know how to do these queries in $O(\sqrt{n})$
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- Can we do better?

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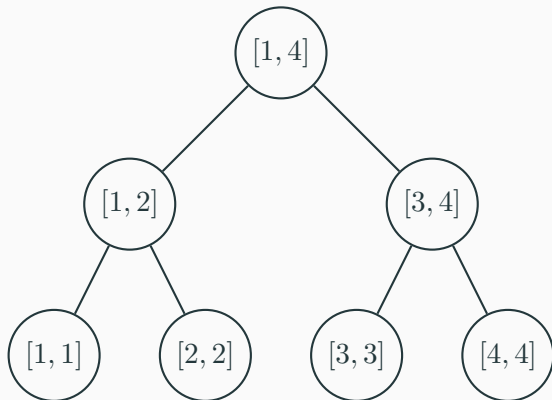
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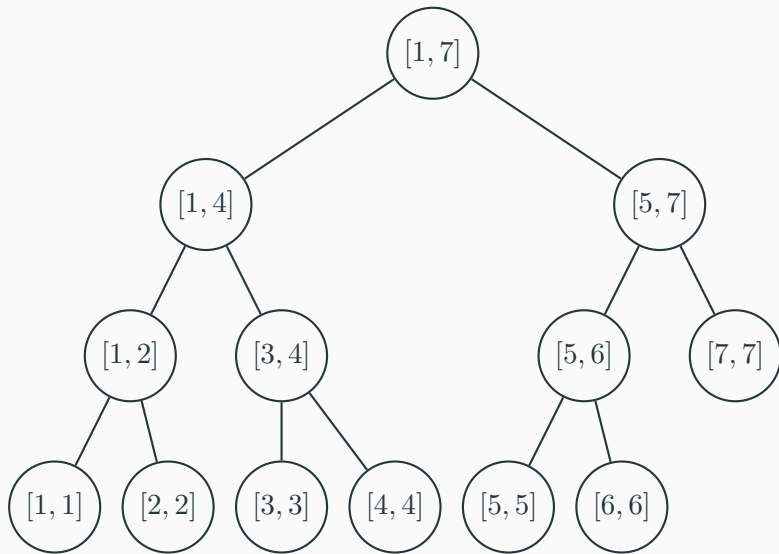
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- We travel down the tree looking for the left and right endpoints, adding intervals that are completely inside our query range.
- When we update a value we only need to update the parents of that node up to the root, at most $\mathcal{O}(\log(n))$ nodes.

Drawn Segment Tree, $n = 4$



Drawn Segment Tree, $n = 7$

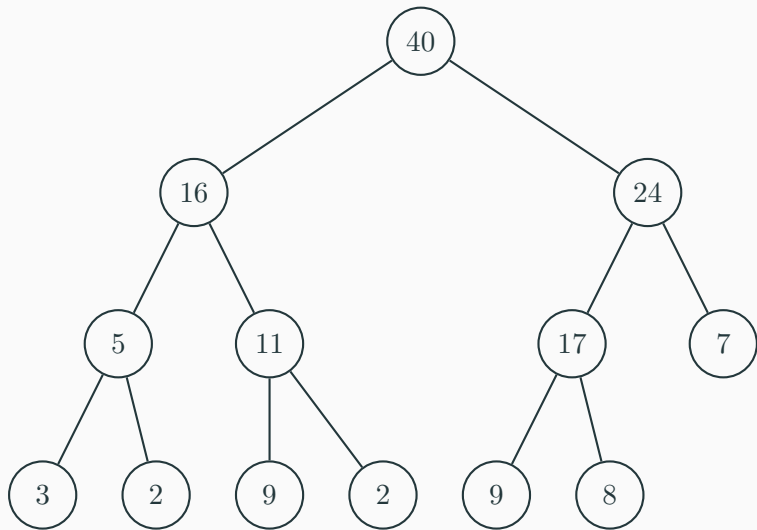


Segment Tree - Code

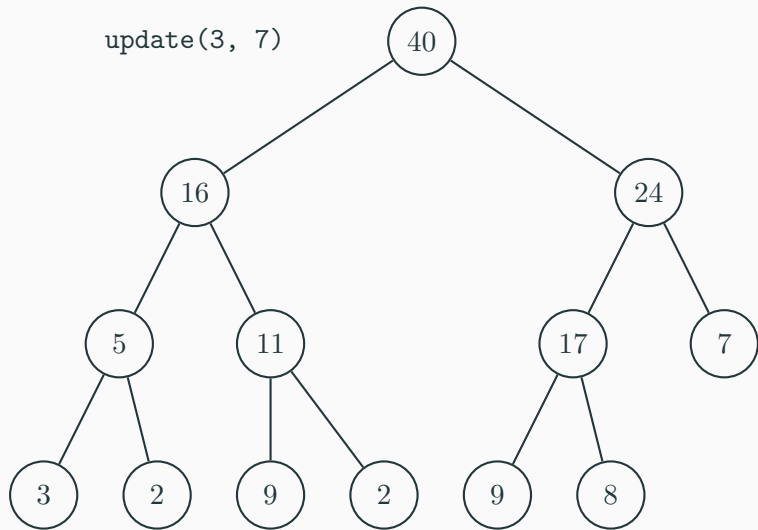
```
struct segment_tree {
    segment_tree *left, *right;
    int from, to, value;
    segment_tree(int from, int to)
        : from(from), to(to), left(NULL), right(NULL), value(0) { }
};

segment_tree* build(const vector<int> &arr, int l, int r) {
    if (l > r) return NULL;
    segment_tree *res = new segment_tree(l, r);
    if (l == r) {
        res->value = arr[l];
    } else {
        int m = (l + r) / 2;
        res->left = build(arr, l, m);
        res->right = build(arr, m + 1, r);
        if (res->left != NULL) res->value += res->left->value;
        if (res->right != NULL) res->value += res->right->value;
    }
    return res;
}
```

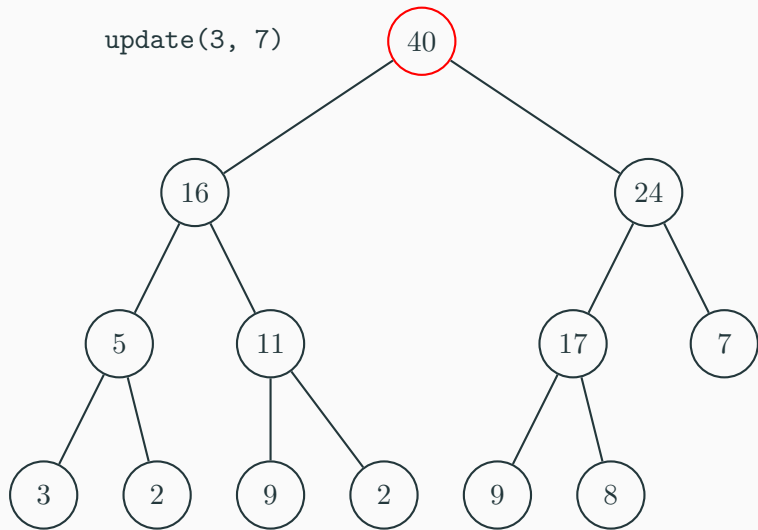

Updates



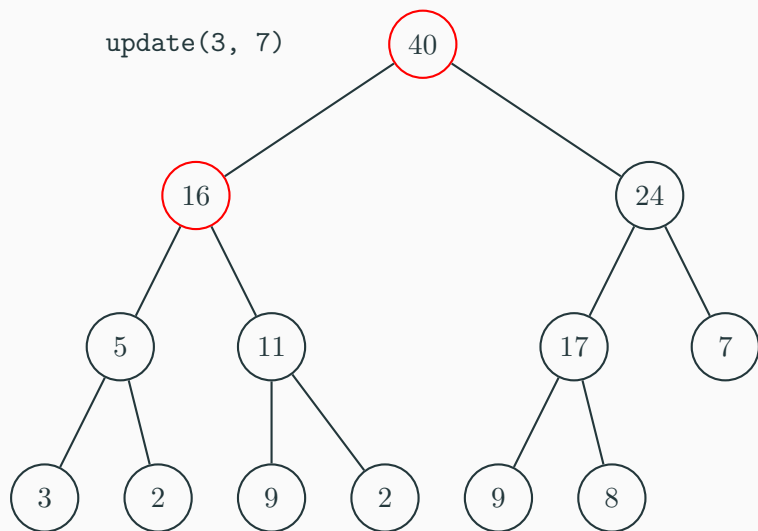
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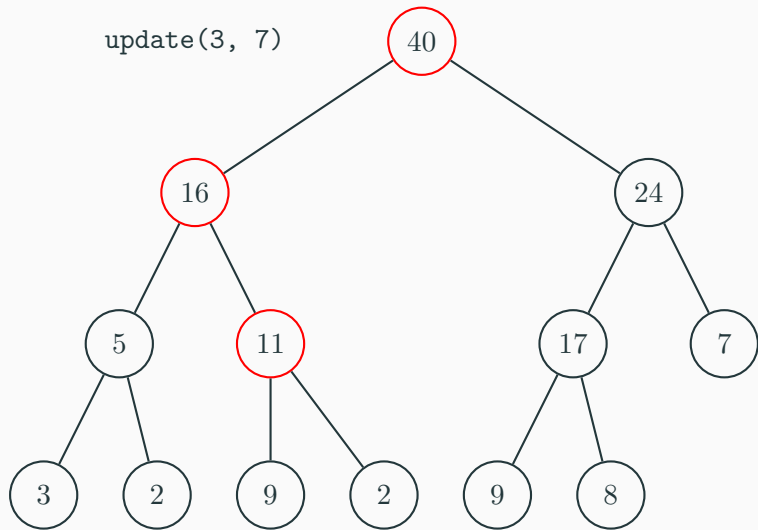
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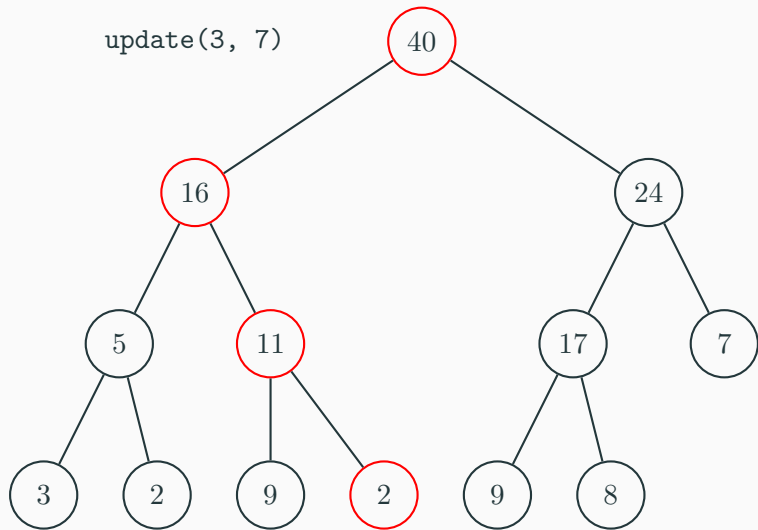
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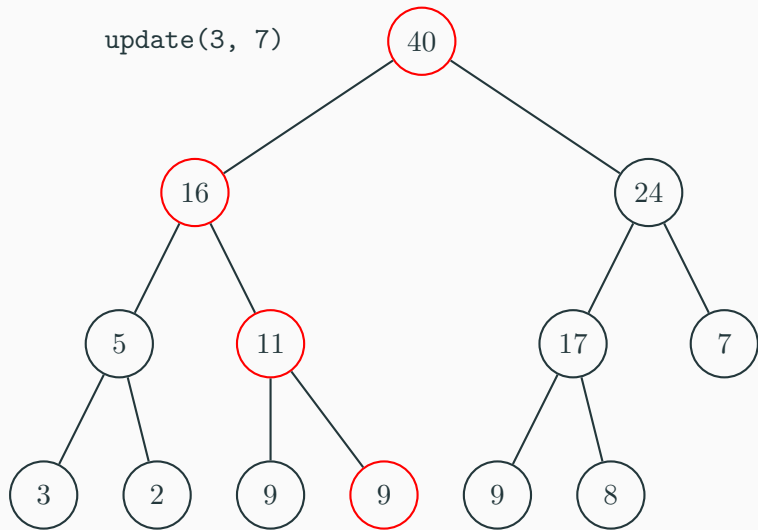
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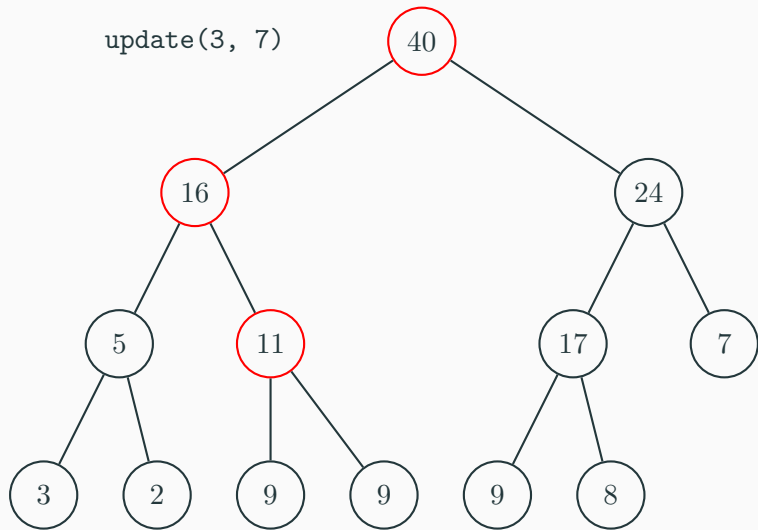
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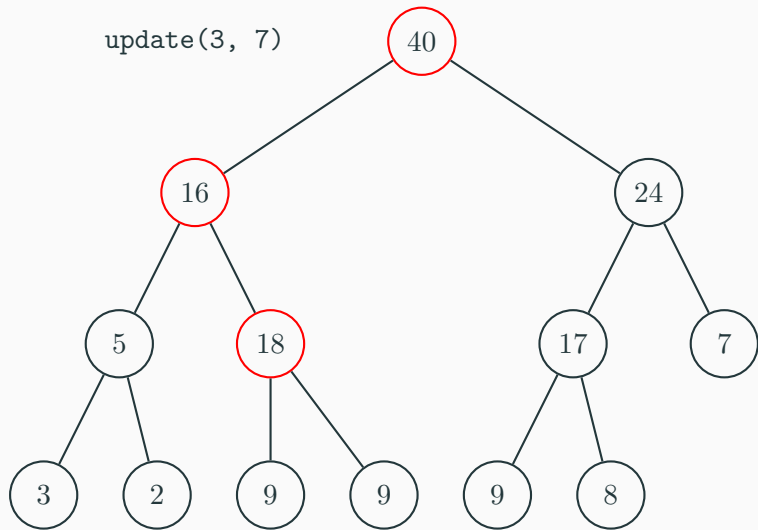
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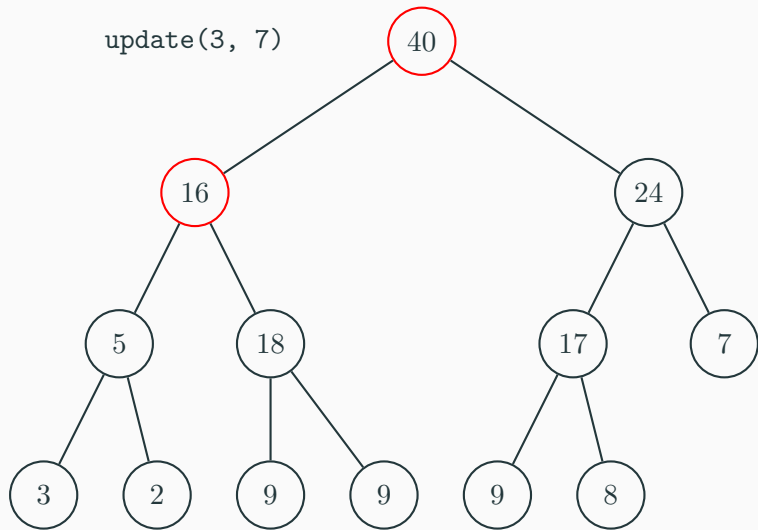
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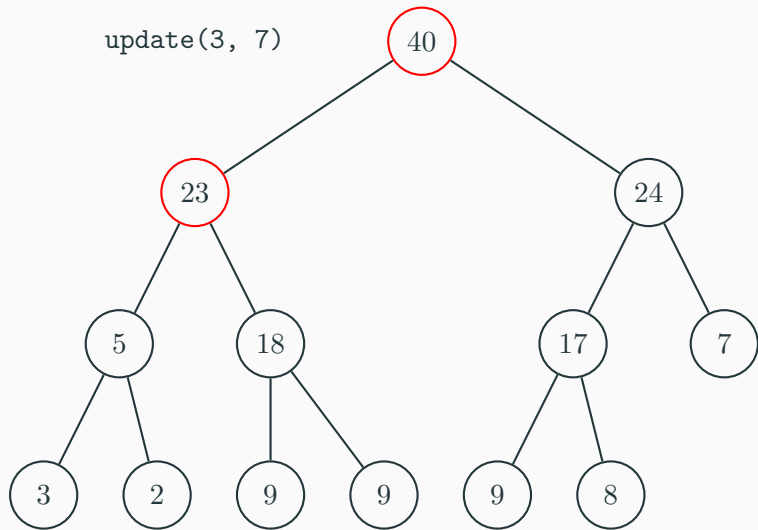
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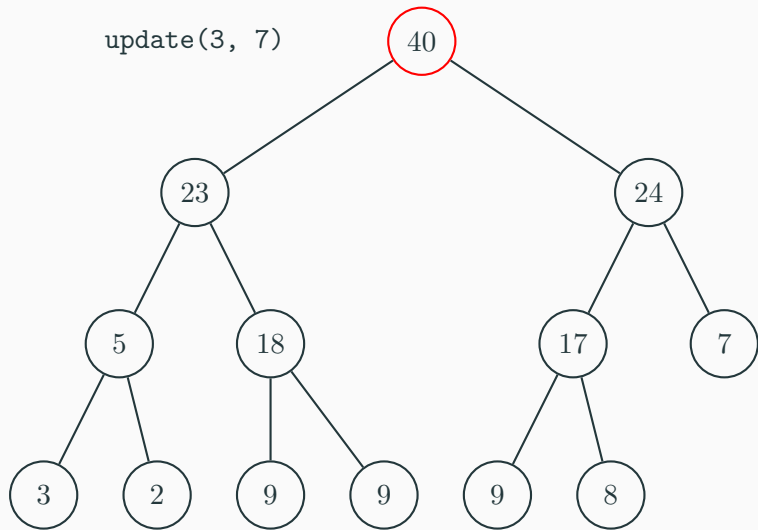
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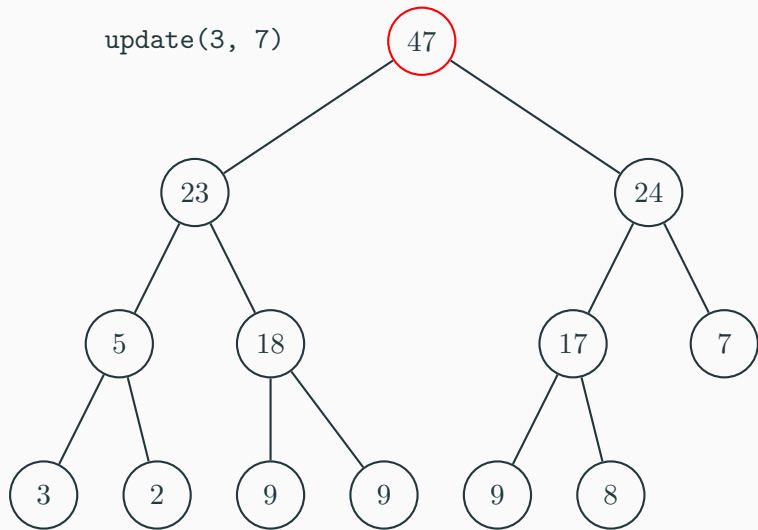
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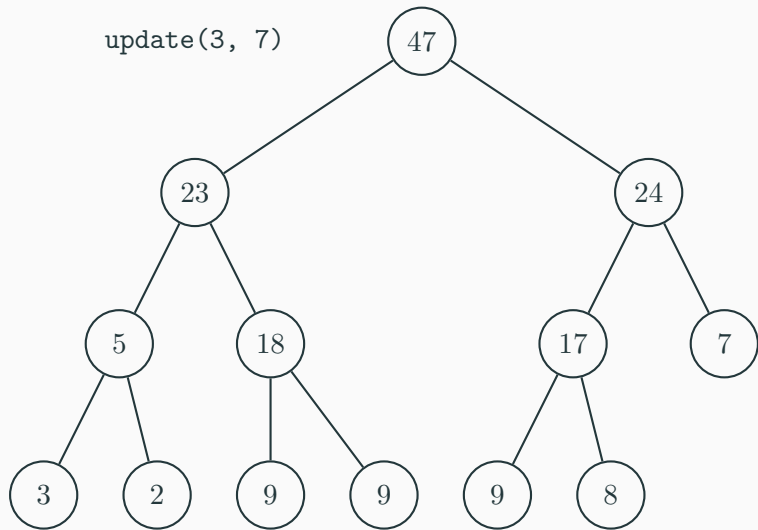
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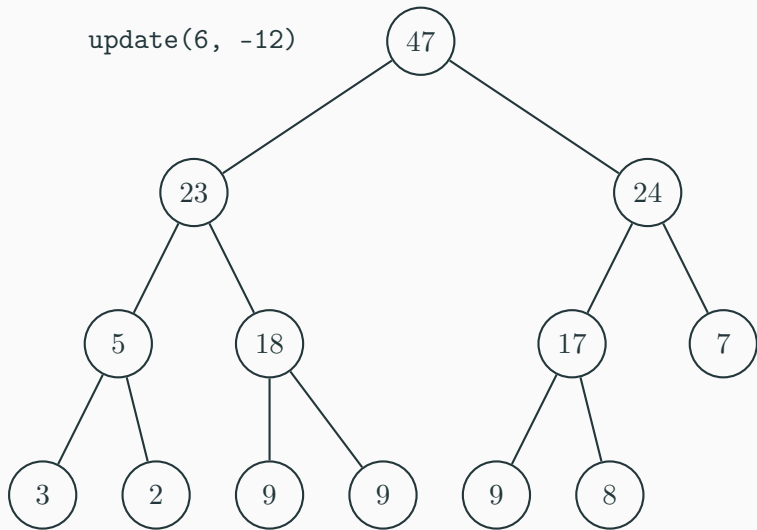
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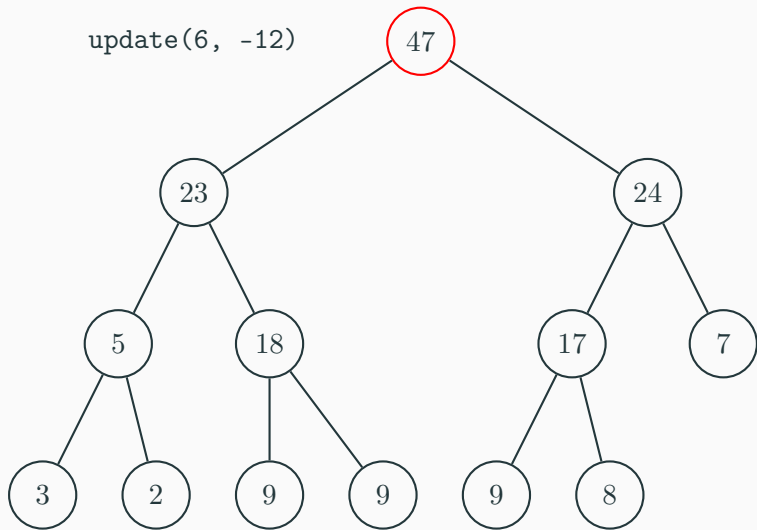
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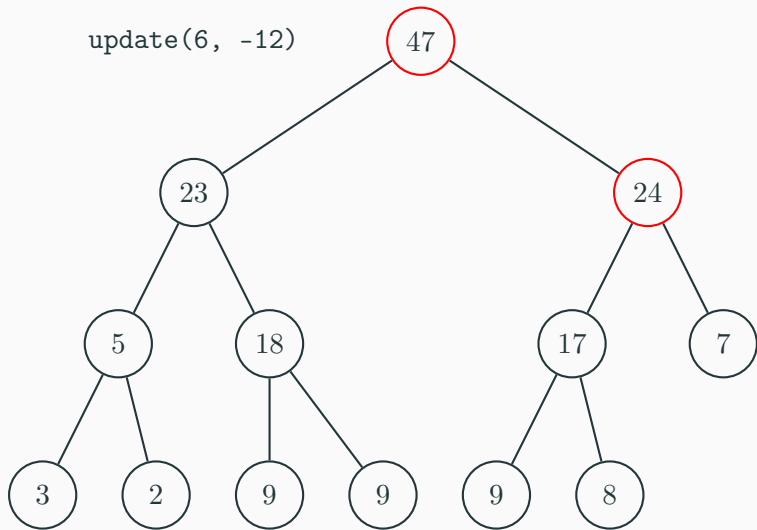
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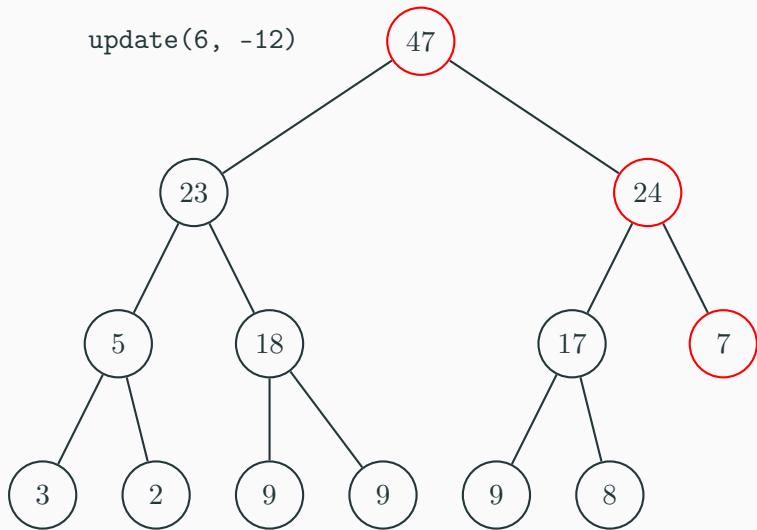
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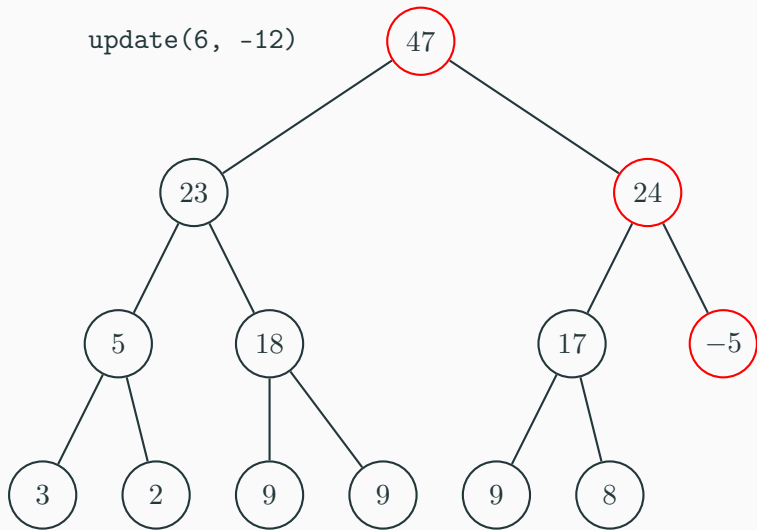
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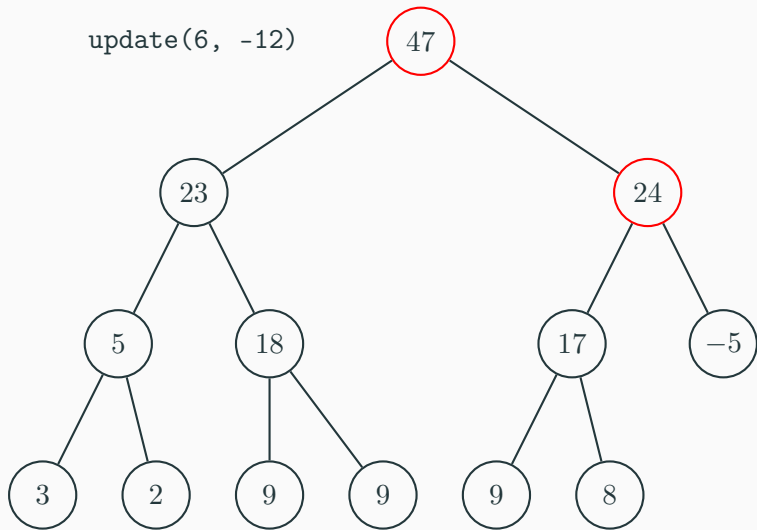
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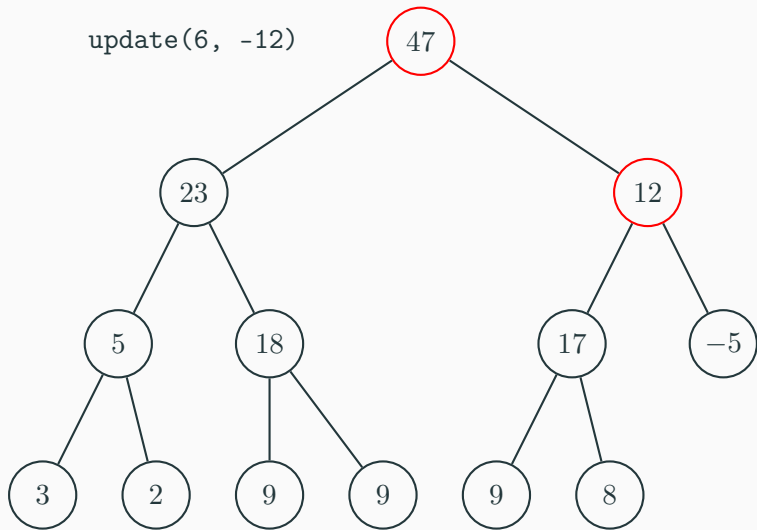
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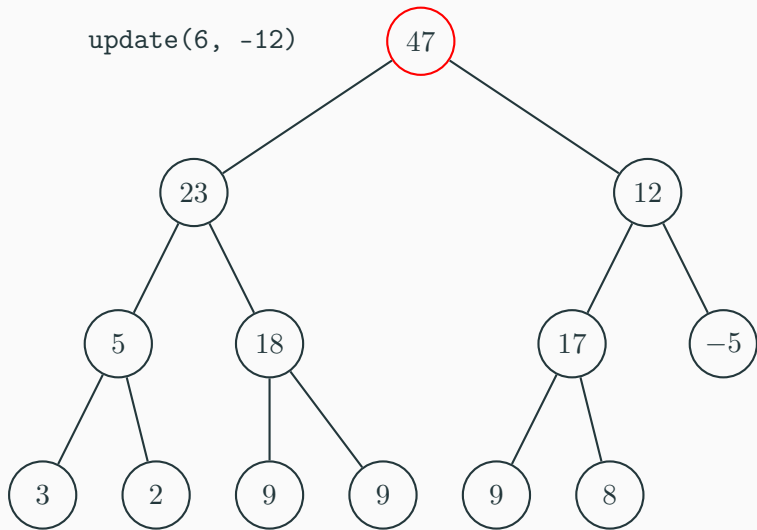
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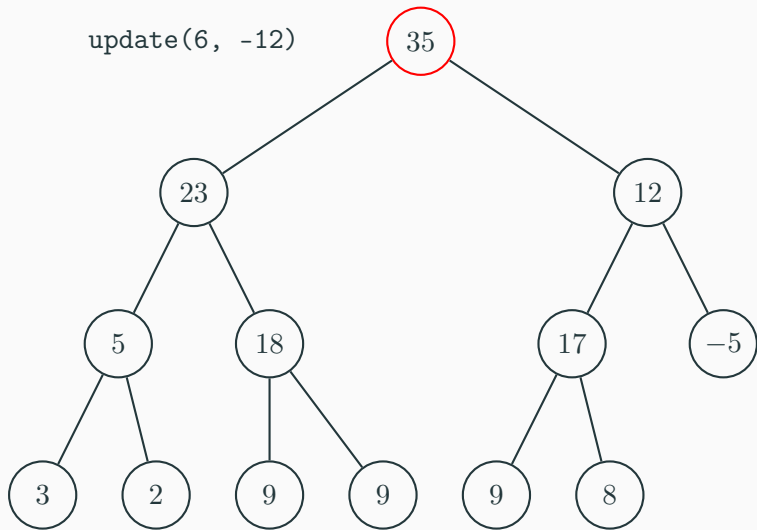
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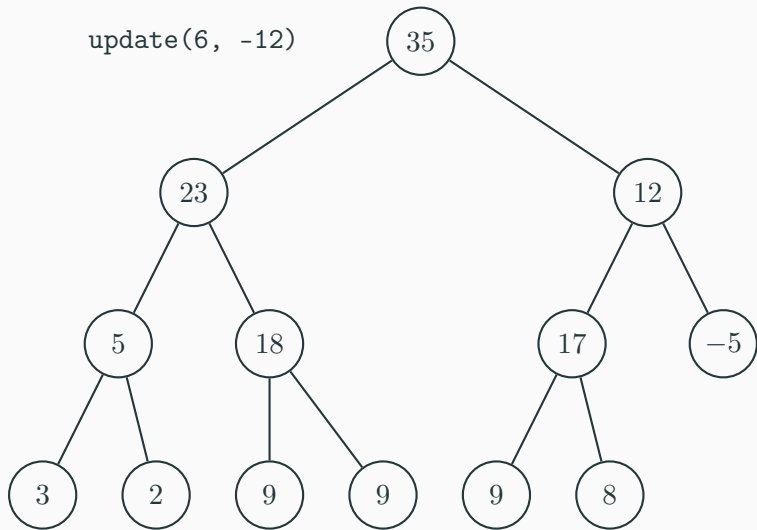
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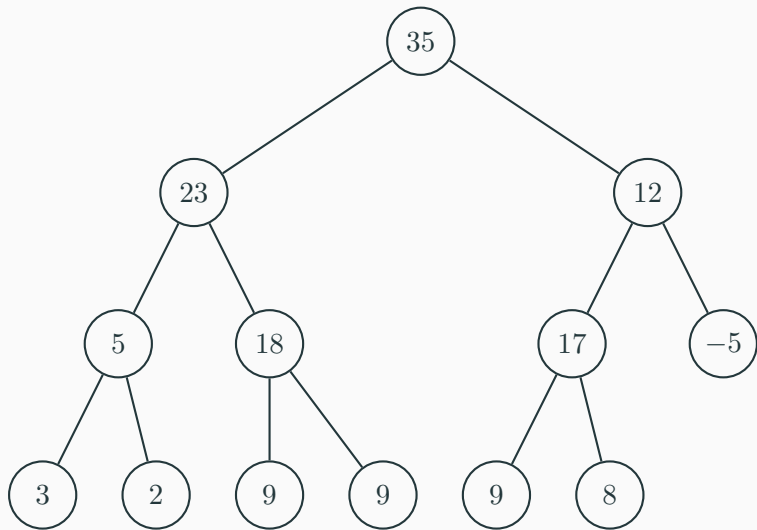
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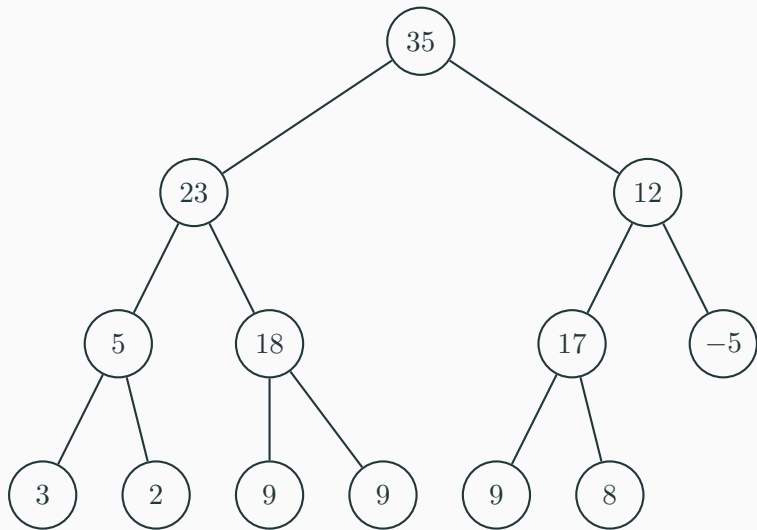
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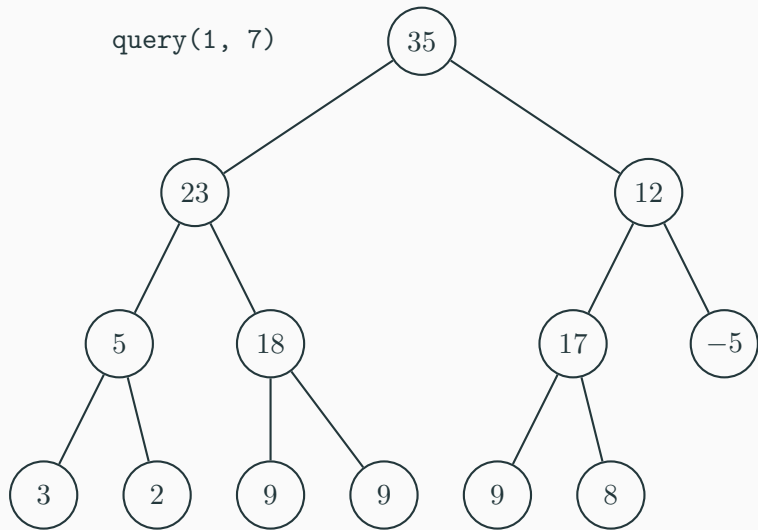
Updating a Segment Tree - Code

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int update(segment_tree *tree, int i, int val) {
    if (tree == NULL) return 0;
    if (tree->to < i) return tree->value;
    if (i < tree->from) return tree->value;
    if (tree->from == tree->to && tree->from == i) {
        tree->value = val;
    } else {
        tree->value = update(tree->left, i, val) + update(tree->right, i, val);
    }
    return tree->value;
}
```

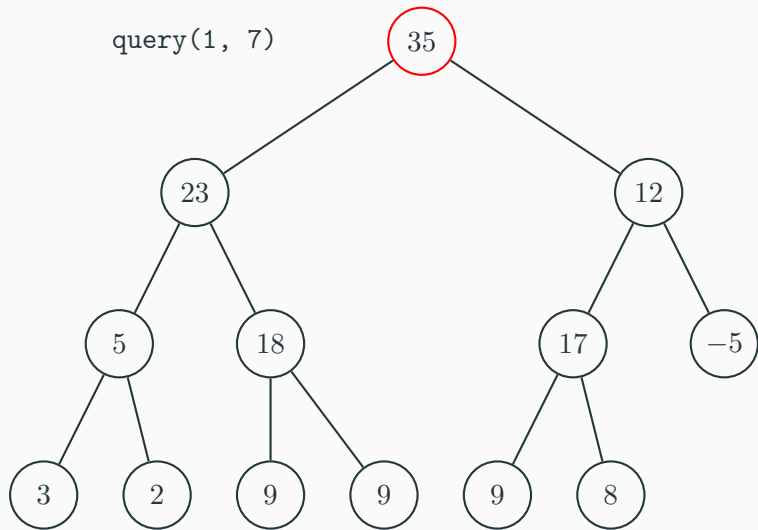
Querying



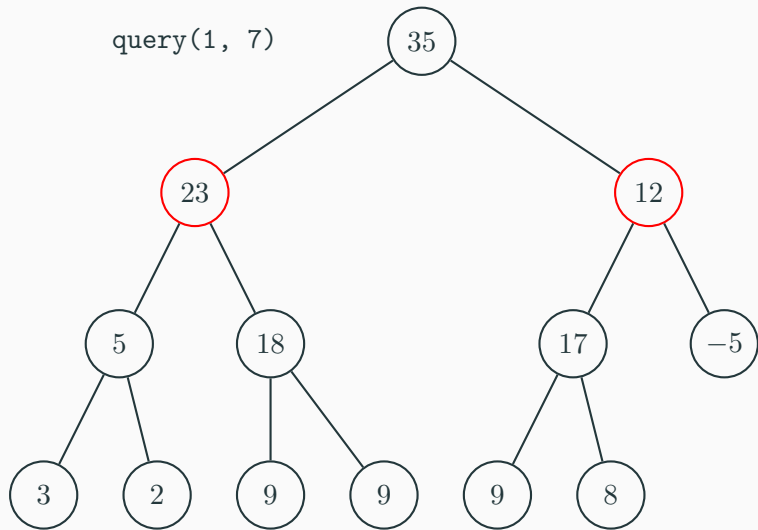
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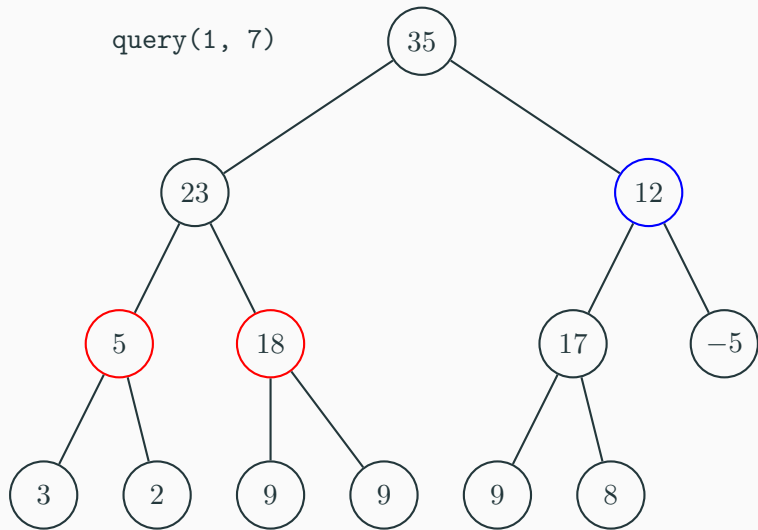
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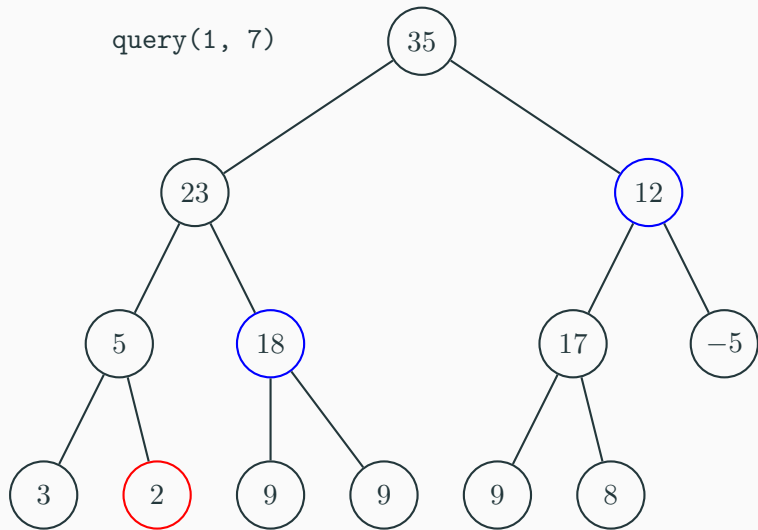
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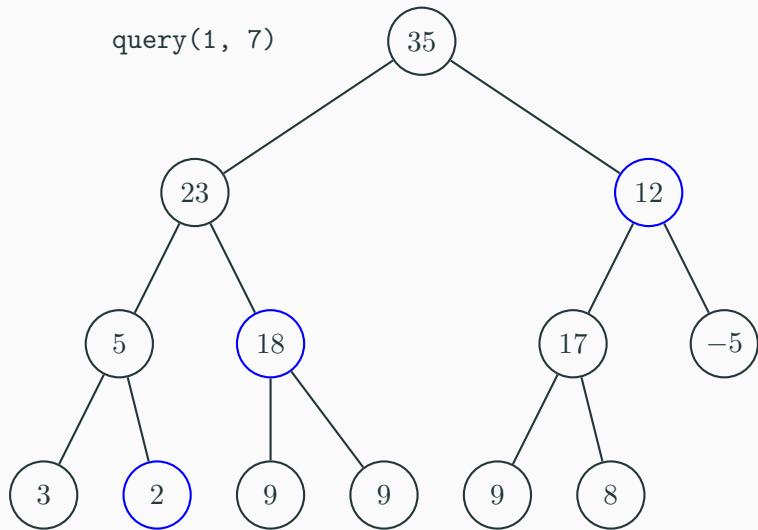
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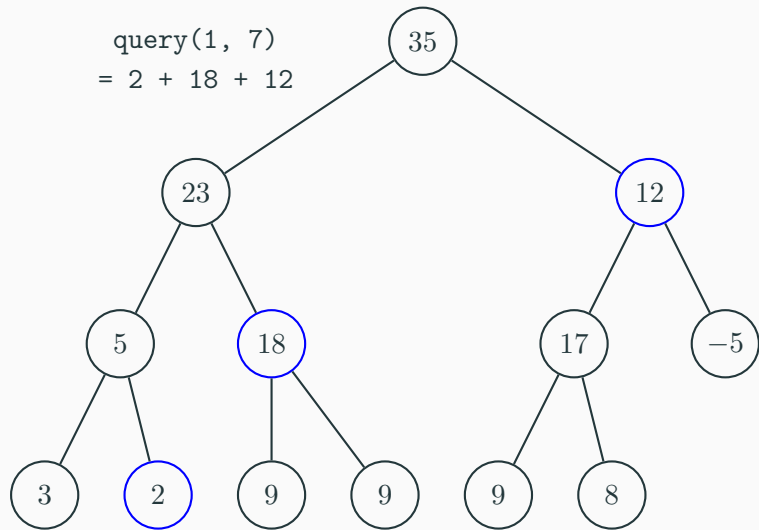
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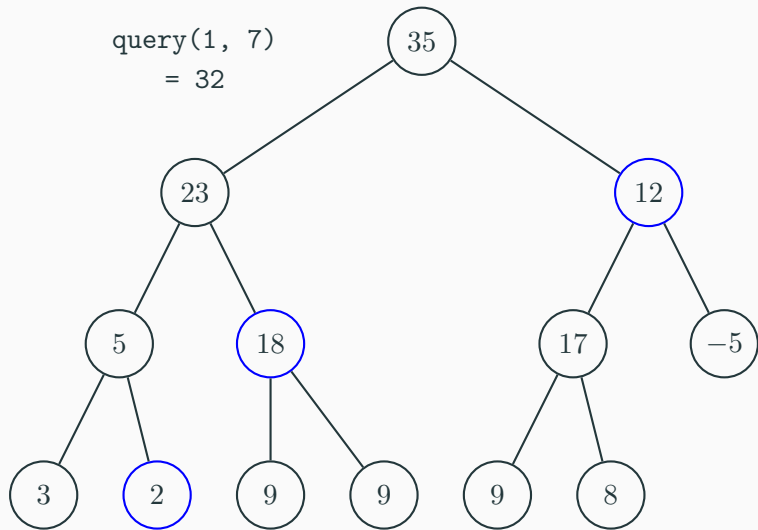
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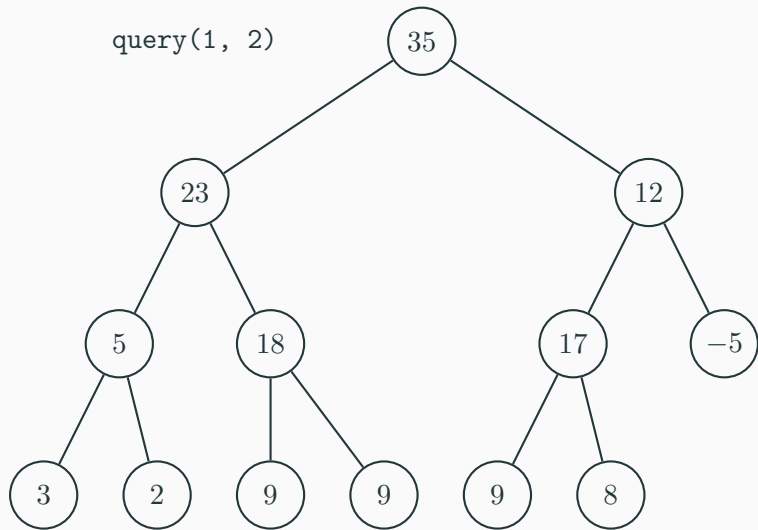
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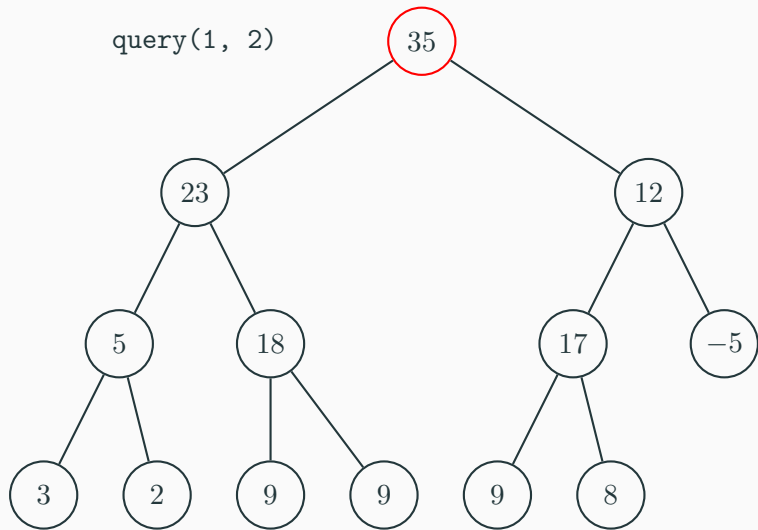
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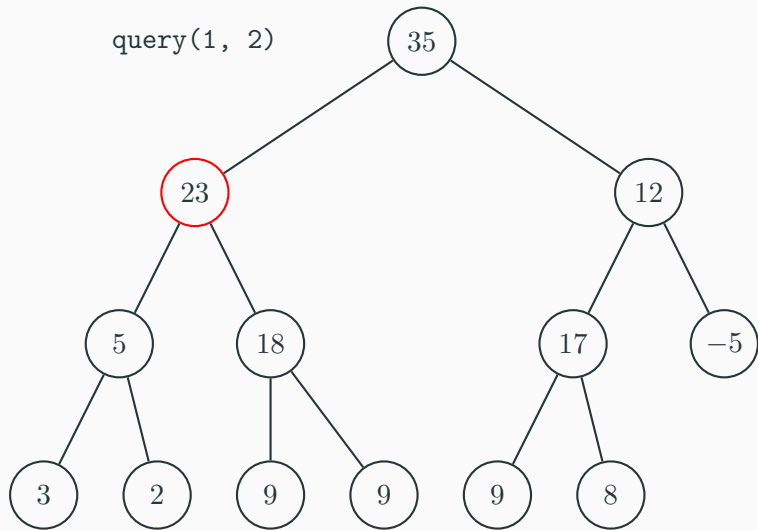
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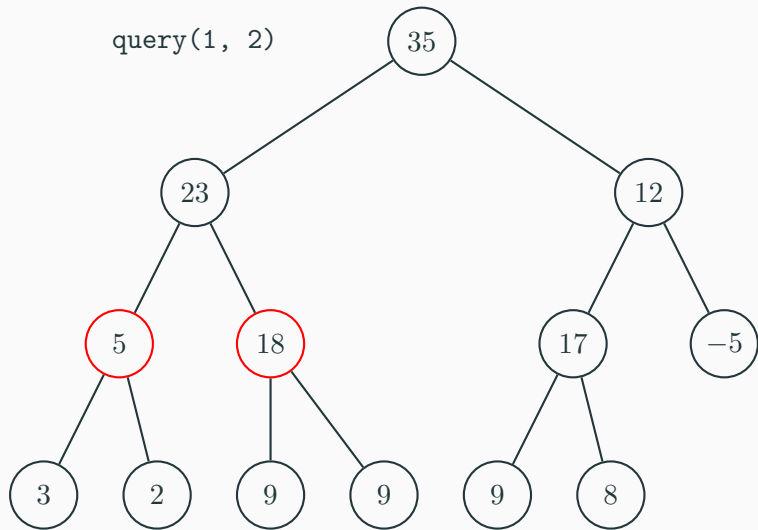
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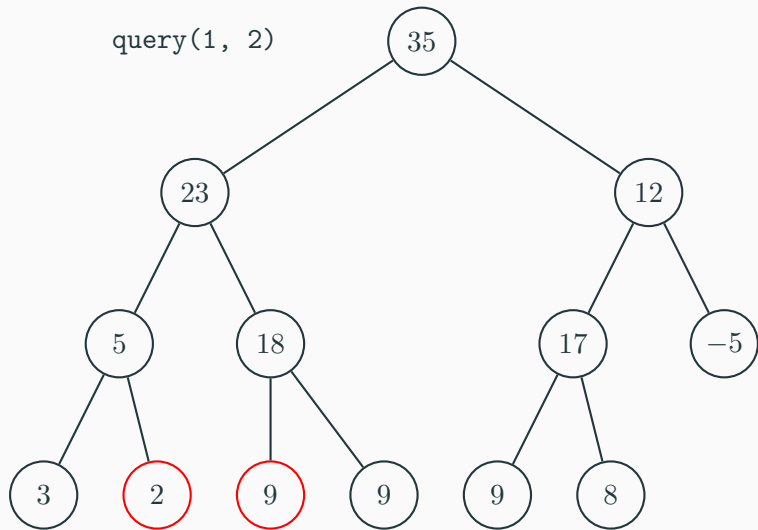
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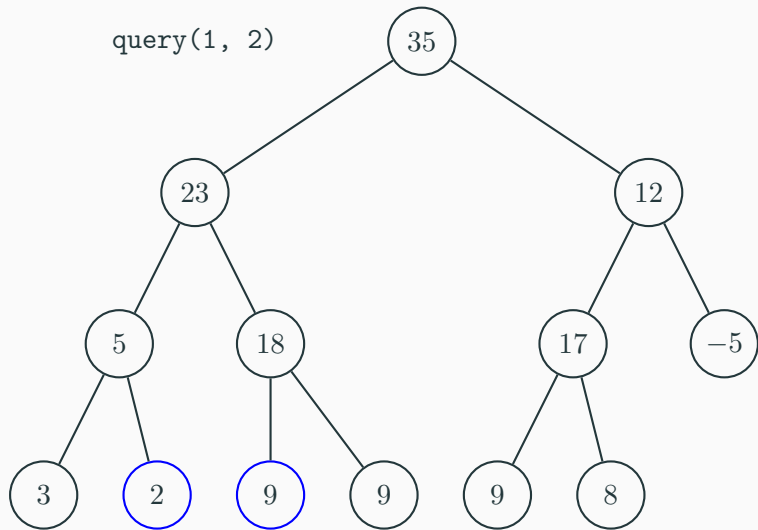
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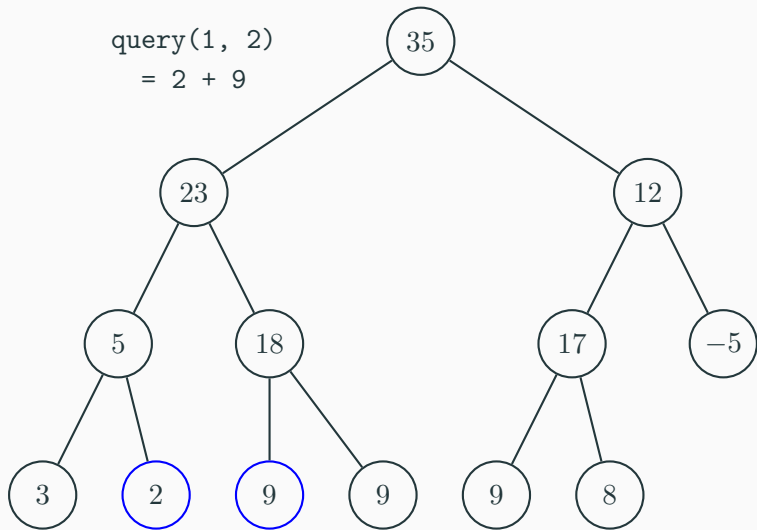


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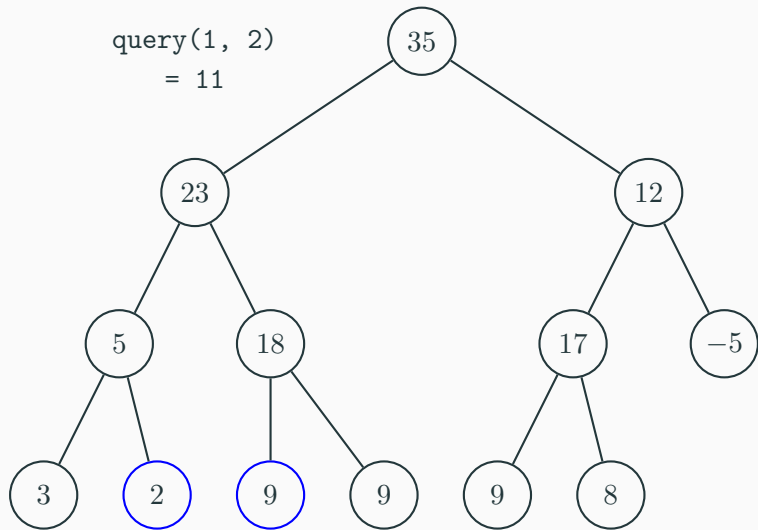


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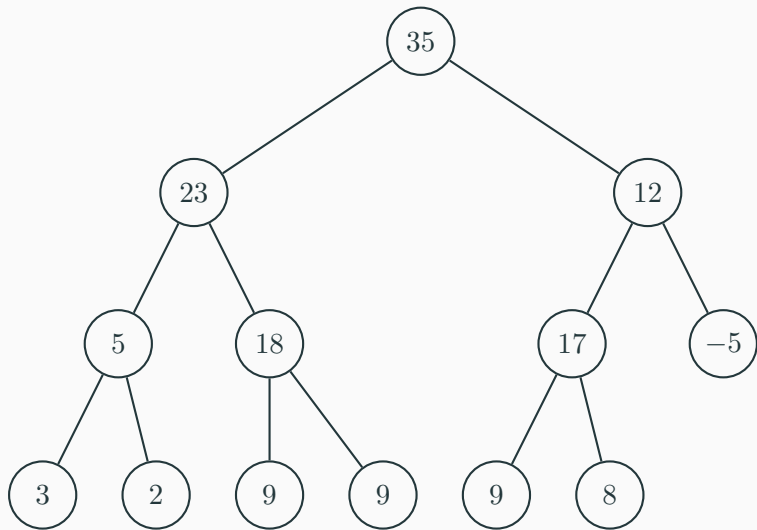
query(1, 2)
= 2 + 9



Querying



Querying



Querying a Segment Tree - Code

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int query(segment_tree *tree, int l, int r) {  
    if (tree == NULL) return 0;  
    if (l <= tree->from && tree->to <= r) return tree->value;  
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    return query(tree->left, l, r) + query(tree->right, l, r);  
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Segment Tree

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- Simple to use Segment Trees for min, max, gcd, and other similar operators, basically the same code.
- Any associative operator will work.
- So any operator f such that $f(a, f(b, c)) = f(f(a, b), c)$ for all a, b, c .

Example problem: Movie Collection

- <https://open.kattis.com/problems/moviecollection>

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- After updating a value, there is no guarantee you will use the updated value afterwards.

Range updates

- So far, we have only allowed updates to affect a single element.
- Might want to update multiple elements simultaneously, iterating for each is expensive.
- Example: For all indices from 4 to 7 add 13.
- Can we make use of our segmented structure to update all indices in range $[l, r]$?
- Lazy people tend to find efficient ways of doing all that **needs** to be done, but no more.
- After updating a value, there is no guarantee you will use the updated value afterwards.
- Idea: Be lazy and procrastinate changes until they are needed!

Lazy propagation

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- Reset the lazy value.
- Traverse to child nodes if needed.

Code example

See implementation example, for example [here](#).

Sparse Table

Another $\log(n)$ idea

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- Then to retrieve a sum from i to j we always take the biggest chunk we can that's stored at i , which will always be at least half.
- Then we continue until we reach j , moving i along and collecting the results.
- This is what is known as a sparse table.

Sparse tables

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- Querying takes $\mathcal{O}(\log(n))$, however updating is slow and difficult.
- Why would we then ever use this instead of segment trees?

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- The naïve solution is to calculate it every time, giving a time complexity of $\mathcal{O}(qm\mathcal{O}(f))$.
- How might we use sparse tables to do better?

Binary lifting ctd.

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Binary lifting ctd.

- Let $f^{[y]}(x)$ denote the result of applying f exactly y times to x
- For each i we store $f^{[2^j]}(i)$ as a sparse table
- Then we can compute these in increasing order of j , calculating $j = 1$ using f itself and then for larger j letting $f^{[2^j]}(x) = f^{[2^{j-1}]}(f^{[2^{j-1}]}(x))$
- Thus we can precompute the table in $\mathcal{O}(n(\mathcal{O}(f) + \log(n)))$ and each query takes $\mathcal{O}(\log(m))$, a much better time complexity

Sparse table example

7	1	6	4	8	0	9	2	2	7	1	6
---	---	---	---	---	---	---	---	---	---	---	---

$j = 0$

Sparse table example

7	1	6	4	8	0	9	2	2	7	1	6

$j = 1$

$j = 0$

Sparse table example

8											
7	1	6	4	8	0	9	2	2	7	1	6

$j = 1$

$j = 0$

Sparse table example

8	7										
7	1	6	4	8	0	9	2	2	7	1	6

$j = 1$

$j = 0$

Sparse table example

8	7	10									
7	1	6	4	8	0	9	2	2	7	1	6

$j = 1$

$j = 0$

Sparse table example

8	7	10	12								
7	1	6	4	8	0	9	2	2	7	1	6

$j = 1$

$j = 0$

Sparse table example

8	7	10	12	8							
7	1	6	4	8	0	9	2	2	7	1	6

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Sparse table example

8	7	10	12	8	9						
7	1	6	4	8	0	9	2	2	7	1	6

$j = 1$

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Sparse table example

8	7	10	12	8	9	11					
7	1	6	4	8	0	9	2	2	7	1	6

$j = 1$

$j = 0$

Sparse table example

8	7	10	12	8	9	11	4				
7	1	6	4	8	0	9	2	2	7	1	6

$j = 1$

$j = 0$

Sparse table example

8	7	10	12	8	9	11	4	9			
7	1	6	4	8	0	9	2	2	7	1	6

$j = 1$

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Sparse table example

8	7	10	12	8	9	11	4	9	8		
7	1	6	4	8	0	9	2	2	7	1	6

$j = 1$

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Sparse table example

8	7	10	12	8	9	11	4	9	8	7	
7	1	6	4	8	0	9	2	2	7	1	6

$j = 1$

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Sparse table example

8	7	10	12	8	9	11	4	9	8	7	6
7	1	6	4	8	0	9	2	2	7	1	6

$j = 1$

$j = 0$

Sparse table example

18	19	18	21	19	13	20	12	16	14	7	6
↑	↑	↑	↑	↑	↑	↑	↑	↑	↑	↑	↑
8	7	10	12	8	9	11	4	9	8	7	6
7	1	6	4	8	0	9	2	2	7	1	6

$j = 2$

$j = 1$

$j = 0$

Sparse table example

37	32	38	33	35	27	27	18	16	14	7	6
↑	↑	↑	↑	↑	↑	↑	↑	↑	↑	↑	↑
18	19	18	21	19	13	20	12	16	14	7	6
8	7	10	12	8	9	11	4	9	8	7	6
7	1	6	4	8	0	9	2	2	7	1	6

$j = 3$

$j = 2$

$j = 1$

$j = 0$

Sparse table example

$$\text{query}(1, 8) = 19 + 9 + 2$$

37	32	38	33	35	27	27	18	16	14	7	6	$j = 3$
18	19	18	21	19	13	20	12	16	14	7	6	$j = 2$
8	7	10	12	8	9	11	4	9	8	7	6	$j = 1$
7	1	6	4	8	0	9	2	2	7	1	6	$j = 0$

Sparse table example

$$\text{query}(0, 9) = 37 + 9$$

37	32	38	33	35	27	27	18	16	14	7	6	$j = 3$
18	19	18	21	19	13	20	12	16	14	7	6	$j = 2$
8	7	10	12	8	9	11	4	9	8	7	6	$j = 1$
7	1	6	4	8	0	9	2	2	7	1	6	$j = 0$

Example problem: Stikl

- <https://open.kattis.com/problems/stikl>