

# **Number Theory**

Atli Fannar Franklín, Arnar Bjarni Arnarson Árangursrík forritun og lausn verkefna

School of Computer Science Reykjavík University

# Primes and factorization

# Definitions that everybody should know

- Prime number is a positive integer greater than 1 that has no positive divisor other than 1 and itself.
- Greatest Common Divisor of two integers a and b is the largest number that divides both a and b.
- Least Common Multiple of two integers a and b is the smallest positive integer that both a and b divide.

# Primality checking

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- Naive method: Iterate over all 1 < i < n and check if i divides n.
  - O(N)
- Better: If n is not a prime, it has a divisor  $\leq \sqrt{n}$ .
  - Iterate up to  $\sqrt{n}$  instead.
  - $O(\sqrt{N})$

# $\mathcal{O}(\sqrt{n})$ check

```
template <typename T>
bool is_prime(T x) {
   if(x <= 1) return false;
   for(T i = T(2); i * i <= x; ++i)
       if(x % i == 0)
       return false;
   return true;
}</pre>
```

Modular arithmetic

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- ullet But what does this mean? Taking an integer modulo n means taking the remainder of it when we divide by n.
- Thus if we do everything modulo n we consider every number a multiple of n apart the same. So modulo 7 the numbers  $1, 8, -6, 15, -13, \ldots$  are all the same, and so are  $5, -2, 12, -9, 19, \ldots$

• In this system it's simplest if we pick one of the infinite set of equivalent numbers to be the one we use to represent them. We usually choose the representatives  $0,1,\ldots,n-1$  if we're working modulo n.

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- Then to do addition, subtraction and multiplication we just do it as usual, but add or subtract multiples of n afterwards so we end up back in  $\{0,1,\ldots,n-1\}$ .
- This means this set, which we denote  $\mathbb{Z}_n$ , is a ring, for those familiar with that terminology.

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   "... and output the answer modulo n."
- This implies that we can do all the computation in  $\mathbb{Z}_n$ .
- This is often very useful, since the numbers never get too big and we don't generally have to worry about over/underflow.

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• Such an  $a^{-1}$  does not always exist, let's see how we can find it when it does exist though.

# Euclidean algorithm

 The Euclidean algorithm is a recursive algorithm that computes the GCD of two numbers.

```
template<typename T>
T gcd(T a, T b){
    return b == T(0) ? a : gcd(b, a % b);
}
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• Runs in  $O(\log N)$ .

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- Runs in  $O(\log N)$ .
- Notice that this can also compute LCM

$$\mathsf{lcm}(a,b) = \frac{a \cdot b}{\gcd(a,b)}$$

• See Wikipedia to see how it works and for proofs.

## Extended Euclidean algorithm

 Reversing the steps of the Euclidean algorithm we get the Bézout's identity

$$\gcd(a,b) = ax + by$$

which simply states that there always exist  $\boldsymbol{x}$  and  $\boldsymbol{y}$  such that the equation above holds.

## Extended Euclidean algorithm

 Reversing the steps of the Euclidean algorithm we get the Bézout's identity

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which simply states that there always exist x and y such that the equation above holds.

- ullet The extended Euclidean algorithm computes the GCD and the coefficients x and y.
- Each iteration it add up how much of b we subtracted from a and vice versa.

## Extended Euclidean algorithm

```
template <typename T>
T \operatorname{egcd}(T a, T b, T \& x, T \& y)  {
    if (b == 0) {
         x = T(1):
         y = T(0);
         return a;
    } else {
         T d = egcd(b, a \% b, x, y);
         x = a / b * y;
         swap(x, y);
         return d;
```

## **Applications**

- Essential step in the RSA algorithm.
- Essential step in many factorization algorithms.
- Can be generalized to other algebraic structures.
- Fundamental tool for proofs in number theory.
- Many other algorithms for GCD

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- Working modulo n often requires division (multiplication by inverse).
- Given some  $a \pmod{n}$ , then the multiplicative inverse  $a^{-1} \pmod{n}$  exists iff. a and n are coprime.
- It so happens that when we have from EGCD algorithm

$$ax + ny = \gcd(a, n) = 1$$

then

$$a^{-1} \equiv x \pmod{n}$$

```
template<typename T>
T mod_inv(T a, T m) {
    T x, y, d = egcd(a, m, x, y);
    return d == T(1) ? (x%m+m)%m : T(-1);
}
```

# Discrete logarithm

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- What about logarithm? YES!
  - But difficult.
  - Basis for some cryptography such as elliptic curve, Diffie-Hellmann.
- Google "Discrete Logarithm" if you want to know more.

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- ... 3 it leaves 2 in remainder.
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When stated mathematically, find n where

$$n \equiv 2 \pmod{3}$$

$$n \equiv 3 \pmod{5}$$

$$n \equiv 2 \pmod{7}$$

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Let  $n_1, n_2, \ldots, n_k$  be pairwise coprime positive integers, and let x be the solution to the system of linear congruences

$$x \equiv b_1 \pmod{n_1}$$
  
 $x \equiv b_2 \pmod{n_2}$   
 $\vdots$   
 $x \equiv b_k \pmod{n_k}$ 

- The Chinese remainder theorem only states that there exists a solution and it is unique modulus the product of the moduli.
- To obtain the solution x

$$x \equiv b_1 c_1 \frac{N}{n_1} + \ldots + b_k c_k \frac{N}{n_k}$$

where  $N = n_1 n_2 \cdots n_k$ .

• The coefficients  $c_i$  are determined from

$$c_i \frac{N}{n_i} \equiv 1 \pmod{n_i}$$

(the multiplicative inverse of  $\frac{N}{n_i}$  modulus  $n_i$ )

• Use EGCD to compute  $c_i$ .

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- We can use probabilistic prime testing, a function that either says the input is probably prime or definitely not.
- This may sound shaky, but this program can be run a dozen times.
- The probability of the program being wrong every time is so vanishingly small.
- You would spend your time better worrying about space rays flipping your bits while you run the program.

### Miller-Rabin concept

• Let us first note that if  $x^2 = 1 \pmod{p}$  this can be factored as  $(x-1)(x+1) = 0 \pmod{p}$  and since p is prime this means  $x = \pm 1 \pmod{p}$ .

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- Now take some p>2 and a< p. Write  $p-1=2^sd$  s.t. d is odd. Then by taking the square root on each side of the equation  $a^{p-1}=1\pmod{p}$  (which we know is true) then either the right side will at some point equal -1 and we have to stop, or we eventually divide out all powers of two in a. This either  $a^d=1\pmod{p}$  or  $a^{2^rd}=-1\pmod{p}$  for some  $0\leq r\leq s-1$ .

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- Thus to prove that n is not prime we try to find a < n s.t.  $a^d \neq 1 \pmod{n}$  and  $a^{2^r d} \neq -1 \pmod{n}$  for all  $0 \leq r \leq s-1$ .

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- Thus the algorithm is such that if it says p is not prime, this is
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- Thus the algorithm is such that if it says p is not prime, this is definitely true. If it says p is a prime, it really means "I couldn't exclude the possibility that p is prime, but it could be non-prime".
- If we test many a the odds are in our favor. Thus we let the program take a variable k saying how often it should run. This runs in  $\mathcal{O}(k \log(n)^3)$  for large n.

### Miller-Rabin implementation

```
template <typename T>
bool is_probably_prime(T n, int k) {
   if (n \% 2 == 0) return n == T(2):
   if (n \le 3) return n == T(3);
   T d = n - 1, r = T(0);
   while (d \% 2 == 0) d >>= 1, r++;
   for (int i = 0; i < k; ++i) {
        T a = (n - 3) * rand() / RAND_MAX + 2;
       T x = modpow(a, d, n):
        if (x == T(1) \mid | x == T(n - 1)) continue:
        bool ok = false;
        for(T j = 0; j < r - 1; ++j) {
            x = (x * x % n + n) % n:
           if(x == T(1)) return false;
           if(x == T(n - 1)) \{ ok = true; break; \}
        if(!ok) return false;
    return true:
```

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- Instead, our preferred method of prime generation is the sieve of Eratosthenes.
  - For all numbers from 2 to  $\sqrt{n}$ :
  - ullet If the number is not marked, iterate over every multiple of the number up to n and mark them.
  - The unmarked numbers are those that are not a multiple of any smaller number.
  - $O(N \log \log N)$

0	1	2	3	4	5	6	7	8	9
10	11	12	13	14	15	16	17	18	19
20	21	22	23	24	25	26	27	28	29
30	31	32	33	34	35	36	37	38	39
40	41	42	43	44	45	46	47	48	49
50	51	52	53	54	55	56	57	58	59
60	61	62	63	64	65	66	67	68	69
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## Eratosthenes example

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#### Sieve of Eratosthenes

```
template <typename T>
vector<T> eratosthenes(T n){
    vector<bool> isMarked(n+1, false);
    vector<T> primes;
    T i = T(2);
    for(; i*i <= n; i++)
        if (!isMarked[i]) {
            primes.push_back(i);
            for(T j = i; j \le n; j += i)
                isMarked[j] = true;
    for (; i <= n; i++)
        if (!isMarked[i])
            primes.push_back(i);
    return primes;
}
```

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We can therefore store integers as lists of their prime powers.

To factor an integer n:

- $\bullet$  Use the sieve of Eratosthenes to generate all the primes up  $\sqrt{n}$
- Iterate over all the primes generated and check if they divide n, and determine the largest power that divides n.

## Factoring code

```
template <typename T>
map<T, T> factor(T N) {
    vector<T> primes;
    primes = eratosthenes(static_cast<T>(sqrt(N+1)));
    map<T, T> factors;
    for(const auto prime : primes)
        T power = 0;
        while(N % prime == T(0)){
            power++;
            N /= prime;
        }
        if(power > T(0)){
            factors[prime] = power;
    if (N > T(1)) {
        factors[N] = T(1);
    return factors;
```

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- We can use the birthday paradox to our advantage.
- If we have n items we are expected to receive a duplicate once we have picked  $\mathcal{O}(\sqrt{n})$  from the collection at random.
- We will use this to factor n. But first a small side step.

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- The trick is that i is a multiple of the cycle length of f iff  $f^{[i]}(x) = f^{[2i]}(x)$ .
- Thus we only have to consider that equation when trying to find the cycle length. When that is done we can go back to find where the cycle began and check its size.

### Floyd implementation

```
#include <bits/stdc++.h>
using namespace std;
template <typename T, typename F>
pair<int, int> floyd(F&& f, T x0) {
    T t = f(x0), h = f(f(x0));
    while(t != h) {
        t = f(t);
        h = f(f(h));
    int length = 0;
    t = x0:
    while(t != h) {
       t = f(t);
       h = f(h):
       length++;
    }
    int start = 1:
    T h = f(t);
    while(t != h) {
        h = f(h):
        start++:
    return {start, length}
```

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- Slow for primes, but much faster for composite numbers.
- Checking for primality first using Miller-Rabin can be useful.

## Pollard rho implementation

```
template <typename T>
T rho(T n) {
    vector<T> seed = {
        T(2), T(3), T(4), T(5), T(7), T(11), T(13), T(1031)
    }:
    for(auto s : seed) {
        T x = s, y = x, d = T(1);
        while(d == T(1)) {
            x = ((x * x + 1) \% n + n) \% n:
            y = ((y * y + 1) \% n + n) \% n;
            y = ((y * y + 1) \% n + n) \% n;
            d = gcd(abs(x - y), n);
        }
        if(d == n) continue;
        return d;
    }
    return -1;
```

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• The sum of all positive divisors in x-th power

$$\sigma_x(n) = \prod_{i=1}^k \frac{(p_i^{(e_i+1)x} - 1)}{(p_i - 1)}$$

## More number theory functions

• The Euler's totient function

$$\phi(n) = n \cdot \prod_{i=1}^{k} \left( 1 - \frac{1}{p_i} \right)$$

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ullet Euler's theorem, if a and n are coprime

$$a^{\phi(n)} = 1 \pmod{n}$$

Fermat's theorem is a special case when n is a prime.