

# Vector Spaces

## 1 Vector space structure

Let  $V$  be a set and  $(\mathbb{K}, +, \times)$  a field. We provide  $V$  with two binary operations: the first one is internal, denoted " $\oplus$ " (called vector addition) defined by

$$\begin{aligned}\mathbb{V} \times V &\rightarrow V \\ (x, y) &\mapsto x \oplus y.\end{aligned}$$

The second one is external (called scalar multiplication) denoted " $\triangle$ "

$$\begin{aligned}\mathbb{K} \times V &\rightarrow V \\ (\lambda, x) &\mapsto \lambda \triangle x.\end{aligned}$$

The set  $V$  equipped with these binary operations is said to be a vector space over  $\mathbb{K}$  (or  $\mathbb{K}$ -vector space and some times simply vector space) if the following conditions are satisfied:

1.  $(V, \oplus)$  is an abelian group,
2. For any  $\lambda \in \mathbb{K}$  and for any  $u, v \in V$  :  $\lambda \triangle (u \oplus v) = \lambda \triangle u \oplus \lambda \triangle v$ ,
3. For any  $\lambda, \mu \in \mathbb{K}$  and for any  $v \in V$  :  $(\lambda + \mu) \triangle v = \lambda \triangle v \oplus \mu \triangle v$ ,
4. For any  $\lambda, \mu \in \mathbb{K}$  and for any  $v \in V$  :  $(\lambda \mu) \triangle v = \lambda \triangle (\mu \triangle v)$ ,
5. For any  $v \in V$  :  $1 \triangle v = v$ , where 1 is the neutral element of multiplication in  $\mathbb{K}$ .

Note that:

- When  $\mathbb{K}$  is only a commutative ring instead of a field, we say that  $V$  is a  $\mathbb{K}$ -module.
- The elements of  $V$  are called vectors and those of  $\mathbb{K}$  are called scalars.
- When the scalars are real numbers we shall often say that  $V$  is a real vector space; and when the scalars are complex numbers we say that  $V$  is a complex vector space.
- The neutral element of  $V$  is noted  $0_V$  (or simply 0) and is called the zero vector.

In the remainder of this course, we will use more familiar symbols ( $+$  for  $\oplus$  and  $\times$  or  $\cdot$  or nothing for  $\triangle$ ) and that the context will prevent any potential confusion.

### Example 1

1. Let  $V$  and  $W$  be two  $\mathbb{K}$ -vector spaces. Then  $V \times W$  is a vector space under the operations:

$$\forall (x_1, y_1), (x_2, y_2) \in V \times W : (x_1, y_1) + (x_2, y_2) = (x_1 + x_2, y_1 + y_2)$$

$$\text{and } \forall (x_1, y_1) \in V \times W, \forall \lambda \in \mathbb{K} : \lambda(x_1, y_1) = (\lambda x_1, \lambda y_1).$$

2. Let  $\mathbb{K}$  be a field and  $n$  a positive integer. Then consider the set

$$\mathbb{K}^n = \{(x_1, \dots, x_n) : x_i \in \mathbb{K}\}$$

that is, the set of all  $n$ -tuples of elements from  $\mathbb{K}$ . We equip  $\mathbb{K}^n$  with the internal operation:

$$\forall (x_1, \dots, x_n), (y_1, \dots, y_n) \in \mathbb{K}^n : (x_1, \dots, x_n) + (y_1, \dots, y_n) = (x_1 + y_1, \dots, x_n + y_n)$$

and the external operation:

$$\forall \lambda \in \mathbb{K}, (x_1, \dots, x_n) \in \mathbb{K}^n : \lambda(x_1, \dots, x_n) = (\lambda x_1, \dots, \lambda x_n).$$

We can verify easily that  $\mathbb{K}^n$  with these two operations is a vector space over the field  $\mathbb{K}$ . In the particular cases  $\mathbb{K} = \mathbb{R}$  and  $n = 2$  or  $n = 3$ , we find the familiar vector spaces  $\mathbb{R}^2$  and  $\mathbb{R}^3$ . Geometrically,  $\mathbb{R}^2$  represents the cartesian plane, whereas  $\mathbb{R}^3$  represents three-dimensional space.

3. Let  $\mathbb{K}$  be a field.  $\mathbb{K}[X]$  the set of polynomials with coefficients in  $\mathbb{K}$  is a  $\mathbb{K}$ -vector space under the operations:

$$(P + Q)(X) = P(X) + Q(X)$$

and

$$(\lambda P)(X) = \lambda P(X)$$

. In the same way, the set  $\mathbb{K}(X)$  of rational fractions with coefficients in  $\mathbb{K}$  is a vector space over  $\mathbb{K}$ .

4. The set  $C([a, b], \mathbb{R})$  of all continuous functions defined on the interval  $[a, b]$  is a vector space over  $\mathbb{R}$  under the operations:

$$(f + g)(x) = f(x) + g(x)$$

and

$$(\lambda f)(x) = \lambda f(x).$$

## 1.1 Rules of calculation in a vector space

**Proposition 2** For any  $\lambda \in \mathbb{K}$  and any vector  $v$  in a vector space  $V$  we have

1.  $\lambda 0_V = 0_V$ .
2.  $0_{\mathbb{K}} v = 0_V$ .
3.  $\lambda v = 0_V$ , then  $\lambda = 0_{\mathbb{K}}$  or  $v = 0_V$ .
4.  $(-\lambda)v = \lambda(-v) = -(\lambda v)$ .
5.  $(-\lambda)(-v) = \lambda v$ .

**Proof.** Let  $\lambda \in \mathbb{K}$  and  $v \in E$ :

1.  $\lambda 0_V = \lambda(0_V + 0_V) = \lambda 0_V + \lambda 0_V$ , then  $\lambda 0_V = 0_V$ .
2.  $0_{\mathbb{K}} v = (0_{\mathbb{K}} + 0_{\mathbb{K}})v = 0_{\mathbb{K}} v + 0_{\mathbb{K}} v$ , then  $0_{\mathbb{K}} v = 0_V$ .
3. Suppose  $\lambda v = 0_V$  with  $\lambda \neq 0$ . Then  $\lambda$  admits an inverse  $\lambda^{-1}$ . This gives  $\lambda^{-1} \lambda v = 0_V$ , that is,  $1.v = 0$ , then  $v = 0_V$ .
4. On one hand  $(\lambda - \lambda)v = 0_{\mathbb{K}} v = 0_V$ . On the other hand  $(\lambda - \lambda)v = \lambda v + (-\lambda v)$ . Then  $(-\lambda)v = -(\lambda v)$ . We have also  $\lambda(v - v) = 0_V$ , then  $\lambda v + \lambda(-v) = 0_V$  which gives  $\lambda(-v) = -(\lambda v)$  and so the result.
5. A direct consequence of 4).

■

## 1.2 Subspaces

**Definition 3** A subspace  $W$  of a vector space  $V$  over a field  $\mathbb{K}$  is a subset of  $V$ , which, under the same addition and scalar multiplication operations as  $V$  is itself a vector space.

**Example 4**

1.  $\mathbb{R}$  is a subspace of the real vector space  $\mathbb{C}$ .
2.  $\mathbb{Q}$  is a subspace of the real vector space  $\mathbb{R}$ .
3. In any  $\mathbb{K}$ -space  $V$ , the singleton  $\{0_V\}$  and the whole space  $V$  are subspaces of  $V$ ; called trivial subspaces.  $\{0_V\}$  is then the smallest (for the inclusion) subspace of  $V$ , since, we have  $0_V \in W$  for every subspace  $W$  of  $V$ , and  $V$  is therefore the biggest (for the inclusion) subspace of  $V$ .
4. Consider the vector space  $\mathbb{K}^n$  over  $\mathbb{K}$ . For each  $1 \leq i \leq n$ , the subset  $W_i = \left\{ (x_1, x_2, \dots, \underbrace{0}_{i\text{th position}}, \dots, x_n) \in \mathbb{K}^n \right\} \subseteq \mathbb{K}^n$  is a subspace of  $\mathbb{K}^n$ .

5. Let  $V$  be a  $\mathbb{K}$ -vector space and  $v$  a vector of  $V$ , then the subset of  $V$  defined by  $W = \{\lambda v : \lambda \in \mathbb{K}\}$  is a subspace of  $V$  called a vector line generated by the vector  $v$ .
6. In  $\mathbb{R}^3$ , the lines passing by the origin, the Planes passing by the origin are subspaces.
7. For any positive integer  $n$ , the subset  $\mathbb{K}_n[X]$  of  $\mathbb{K}[X]$  of polynomials of degree at most  $n$  is a subspace of  $\mathbb{K}[X]$ .

The following characterisation of a subspace is easy to establish.

**Proposition 5** *Let  $V$  be a  $\mathbb{K}$ -vector space. A nonempty subset  $W$  of  $V$  is said to be a subspace of  $V$  if:*

1.  $W$  is a subgroup of the group  $(V, +)$ ,
2. For any  $\lambda \in \mathbb{K}, v \in W$ :  $\lambda v \in W$  ( $W$  is closed under the external operation).

In other words,  $W$  a nonempty subset of  $\mathbb{K}$ -vector space  $V$  is subspace if and only if  $\forall \lambda, \mu \in \mathbb{K}, \forall u, v \in W : \lambda u + \mu v \in W$ .

### 1.3 Intersection and union of vector subspaces

**Theorem 6** *The intersection of any set of subspaces of a vector space  $V$  is a subspace of  $V$ .*

**Proof.** We have shown that the intersection of subgroups is a subgroup. It is easy to show the stability by multiplication by a scalar. ■

But in general, the union of two subspaces  $W_1$  and  $W_2$  of  $V$  is not a subspace of  $V$ , since it has been shown that  $W_1 \cup W_2$  is not a subgroup in general.

### 1.4 Linear combinations

**Definition 7** *Let  $V$  be a vector space and  $G$  a nonempty subset of  $V$ . A vector  $v$  of  $V$  is said to be a linear combination of vectors of  $G$  if there exist scalars  $\lambda_1, \lambda_2, \dots, \lambda_n$  and vectors  $v_1, v_2, \dots, v_n \in G$  such that*

$$v = \lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_n v_n.$$

#### Example 8

1. In any  $\mathbb{K}$ -vector space, the null vector is a linear combination of any collection of vectors, the coefficients are all zero.

2. In the  $\mathbb{K}$ -vector space  $\mathbb{K}^n$ , any vector  $v = (x_1, x_2, \dots, x_n)$  is a linear combination of the set of vectors of

$$G = \{e_1 = (1, 0, \dots, 0), e_2 = (0, 1, 0, \dots, 0), \dots, e_n = (0, 0, \dots, 1)\}.$$

In fact, according to the definition of operations in  $\mathbb{K}^n$ , we have

$$v = x_1 e_1 + x_2 e_2 + \dots + x_n e_n.$$

**Proposition 9** Let  $V$  be a vector space and  $G$  be a set of vectors of  $V$ . The set of all linear combinations of vectors of  $G$  denoted by  $\langle G \rangle$  or  $\text{Span } G$  is a subspace of  $V$ . Moreover,  $\text{Span } G$  is the smallest subspace of  $V$  containing  $G$ , that is, any subspace  $W$  of  $V$  that contains  $G$  also contains  $\text{Span } G$ .

**Proof.** The null vector in  $V$  is a linear combination of vectors from  $G$ , it is sufficient to take the scalars as null. Since the sum of two linear combinations of vectors from  $G$  is itself a linear combination of vectors from  $G$ , and multiplying a linear combination of vectors from  $G$  by a scalar results in another linear combination of vectors from  $G$ , we can conclude that  $\text{Span}(G)$  is a subspace of  $V$ . Any subspace  $W$  containing  $G$  must contain also all the linear combinations of the vectors of  $G$ . Then it contains  $\text{Span } G$ . ■

- $\text{Span } G$  is called the subspace spanned (or generated) by the set  $G$ .
- The elements of  $G$  are called generators.
- We define the Span of the empty set to be the zero vector ( $\text{Span } \emptyset = \langle \emptyset \rangle = \{0_V\}$ ).

**Example 10**

1. In  $\mathbb{R}^3$ ,  $\text{Span} \{(0, 1, 0), (0, 0, 1)\} = \{(0, y, z), y, z \in \mathbb{R}\}$ .
2. In  $\mathbb{R}_2[X]$ ,  $\text{Span} \{1, 1 + X\} = \mathbb{R}_1[X]$ .

## 2 Bases and dimension

### 2.1 Linear independence-Linear dependence

**Definition 11** Let  $V$  be a  $\mathbb{K}$ -vector space and  $G = \{v_1, v_2, \dots, v_n\} \subset V$ .

1. We say that  $G$  is a linearly independent if:

$$\forall \lambda_1, \lambda_2, \dots, \lambda_n \in \mathbb{K} : \lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_n v_n = 0$$

gives necessarily

$$\lambda_1 = \lambda_2 = \dots = \lambda_n = 0.$$

2. We say that  $G$  is linearly dependent if it is not linearly independent; that is, if there exist scalars  $\lambda_1, \lambda_2, \dots, \lambda_n$  not all zero such that  $\lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_n v_n = 0$ .

When  $G$  is an infinite set of vectors, we say that  $G$  is linearly independent if every finite subset  $G'$  of  $G$  is linearly independent. Otherwise, if some finite subset  $G'$  of  $G$  is linearly dependent, we say that  $G$  is linearly dependent.

**Example 12**

1. In  $\mathbb{K}^n$ , the set of vectors

$$B = \{e_1 = (1, 0, 0, \dots, 0), e_2 = (0, 1, 0, 0, \dots, 0), \dots, e_n = (0, 0, \dots, 0, 1)\}$$

is linearly independent, since

$$\lambda_1 e_1 + \lambda_2 e_2 + \dots + \lambda_n e_n = 0$$

gives necessarily

$$\lambda_1 = \lambda_2 = \dots = \lambda_n = 0.$$

2. In  $\mathbb{C}[X]$  as  $\mathbb{C}$ -vector space the set  $\{1, 1 + X, 1 + X + X^2\}$  is linearly independent since the equation  $\lambda_1 + \lambda_2(1 + X) + \lambda_3(1 + X + X^2) = 0$  gives necessarily  $\lambda_1 = \lambda_2 = \lambda_3 = 0$ .
3. Let  $\mathbb{K}$  be a field. The set  $\{1, X, \dots, X^n, \dots\}$  is an infinite linearly independent set of vectors of the vector space  $\mathbb{K}[X]$ .

The following properties are easy to establish.

**Proposition 13** Let  $V$  be a  $\mathbb{K}$ -vector space.

1. Any subset of  $V$  containing the null vector is linearly dependent.
2. Any subset of  $V$  containing linearly dependent subset is itself linearly dependent.
3. A subset  $G$  of  $V$  is linearly dependent if and only if one of its vectors is a linear combination of others.
4. Two vectors  $v_1$  and  $v_2$  of  $V$  are linearly dependent if one is a scalar multiple of the other, we say they are colinear.

**Definition 14** A  $\mathbb{K}$ -vector space  $V$  is said to be finite dimensional if it is spanned (generated) by a finite number of its vectors. In other words, if there exists a finite set  $G$  of vectors of  $V$  such that  $V = \text{Span } G$ .

**Theorem 15** Let  $V$  be a  $\mathbb{K}$ -vector space spanned by  $n$  vectors. Then any set  $G$  of vectors in  $V$  of cardinality greater than or equal to  $n + 1$  is linearly dependent.

**Definition 16** Let  $V$  be a vector space over  $\mathbb{K}$ . A basis for  $V$  is any linearly independent subset  $B$  of  $V$  that generates  $V$ . We also say that the vectors of  $B$  form a basis for  $V$ .

**Theorem 17** Any  $\mathbb{K}$ -finite vector space admits a basis.

**Example 18**

1. In  $\mathbb{K}^n$ , the set of vectors

$$B = \{e_1 = (1, 0, 0, \dots, 0), e_2 = (0, 1, 0, \dots, 0), \dots, e_n = (0, 0, \dots, 0, 1)\}$$

is a basis for  $\mathbb{K}^n$ .

2. In  $\mathbb{K}_n[X]$ , the set  $\{1, X, \dots, X^n\}$  is a basis for  $\mathbb{K}_n[X]$ .
3. In  $\mathbb{K}[X]$ , the set  $\{1, X, \dots, X^n, \dots\}$  is a basis for  $\mathbb{K}[X]$ .

The above bases are called the natural (or canonical) bases.

**Proposition 19** Let  $V$  be a  $\mathbb{K}$ -finite dimensional space. Any two bases of  $V$  have the same number of vectors. This common number to all bases of  $V$  is called the dimension of  $V$  over  $\mathbb{K}$  and is denoted  $\dim_{\mathbb{K}} V$  (or simply  $\dim V$  if no confusion arises).

**Proof.** Let  $B_1 = \{v_1, v_2, \dots, v_n\}$  and  $B_2 = \{u_1, u_2, \dots, u_m\}$  be two bases of  $V$ . Since  $\{v_1, v_2, \dots, v_n\}$  is spanning set and  $\{u_1, u_2, \dots, u_m\}$  linearly independent then  $m \leq n$ . Using the same reasoning we get  $n \leq m$ . Then  $n = m$ . ■

Convention: the dimension of the null subspace is 0.

**Example 20**

1. For any field  $\mathbb{K}$  we have  $\dim_{\mathbb{K}} \mathbb{K}^n = n$ .
2.  $\dim \mathbb{K}_n[X] = n + 1$ ,
3. If  $V$  and  $W$  are two finite dimensional  $\mathbb{K}$ -vector spaces then

$$\dim_{\mathbb{K}}(V \times W) = \dim_{\mathbb{K}} V + \dim_{\mathbb{K}} W.$$

► Note that the dimension depends on the field considered. For example, a  $\mathbb{C}$ -space  $V$  can be viewed as an  $\mathbb{R}$ -space or as a  $\mathbb{Q}$ -space. Look at it as an  $\mathbb{R}$ -space or as a  $\mathbb{Q}$ -space does not give the same dimension and we have  $\dim_{\mathbb{R}} V = 2 \dim_{\mathbb{C}} V$ .

**Proposition 21** Let  $V$  be a  $\mathbb{K}$ -vector space of dimension  $n$ . Then

1. Any linearly independent subset of  $V$  is of cardinality at most  $n$ .
2. Any spanning set of  $V$  is of cardinality at least  $n$ .

3. Any linearly independent set of  $n$  vectors is a basis.
4. Any spanning set of  $V$  of  $n$  vectors is a basis.

**Theorem 22** Let  $V$  be a finite dimensional  $\mathbb{K}$ -space. Then

1. Any linearly independent subset  $L$  of  $V$  can be extended to a basis, in other words, any linearly independent set is contained in a basis.
2. From any spanning set  $G$  of  $V$ , we can extract a basis, in other words, any spanning set contains a basis.

**Proof.** Let  $G$  be a spanning set of  $V$  and put

$$X = \{M \subset V, M \text{ linearly independent and } L \subset M \subset L \cup G\}.$$

The set  $X$  is not empty (since  $L$  is an element of  $X$ ), then it contains an element  $B$  with the largest number of elements. This subset  $B$  is a basis of  $V$ . Indeed, it is linearly independent by construction, Let us show that it is a spanning set of  $V$ . For any  $v \in (L \cup G) \setminus B$ , since  $B$  is maximal we have  $B \cup \{v\}$  is linearly dependent and then  $v \in \text{Span } B$  and consequently  $V = \text{Span } B$ . ■

**Example 23**

1. Let  $T = \{(1, 1, 1), (1, 2, 2)\}$ .  $T$  is linearly independent in  $\mathbb{R}^3$ , we can extend it to a basis by adding  $e_3 = (0, 0, 1)$ .
2. Let  $T = \{4, 1 + X\}$ .  $T$  is linearly independent in  $\mathbb{R}_2[X]$ , we can extend it to a basis by adding any polynomial of degree 2.
3. Let  $T = \{(1, 1, 1), (1, 2, 2), (1, 1, 3), (1, 0, 0)\}$ .  $T$  is spanning set of  $\mathbb{R}^3$ , we can extract a basis from  $T$  by taking  $T' = \{(1, 1, 3), (1, 2, 2), (1, 0, 0)\}$ .

**Proposition 24** Let  $V$  be a vector space over  $\mathbb{K}$  and  $B = \{v_1, v_2, \dots, v_n\}$  a subset of  $V$ . Then the following are equivalent:

1.  $B$  is a basis for  $V$ .
2. Any  $v \in V$ , is uniquely written in the form  $v = \lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_n v_n$  for  $\lambda_1, \lambda_2, \dots, \lambda_n \in \mathbb{K}$ .

The scalars  $\lambda_1, \lambda_2, \dots, \lambda_n$  are called the coordinates of  $v$  in the basis  $B$ .

**Proof.** Suppose that  $B$  is a basis for  $V$ . Since  $B$  is a spanning set of  $V$ . Then any  $v \in V$  can be written as a linear combination of  $v_1, v_2, \dots, v_n$ , say that,  $v = \lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_n v_n$  for some  $\lambda_1, \lambda_2, \dots, \lambda_n \in \mathbb{K}$ . Assume  $v = \lambda_1 v_1 + \lambda_2 v_2 + \dots + \lambda_n v_n$  and  $v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$  are two manners to express  $v$  as a combination of  $v_1, v_2, \dots, v_n$ , we get  $(\lambda_1 - \alpha_1)v_1 + (\lambda_2 - \alpha_2)v_2 + \dots + (\lambda_n - \alpha_n)v_n = 0$ . The uniqueness follows directly from the linear independence of  $v_1, v_2, \dots, v_n$ . Conversely, suppose that any  $v \in V$ , is uniquely written in the form  $v = \lambda_1 v_1 +$



$\lambda_2 v_2 + \cdots + \lambda_n v_n$  for  $\lambda_1, \lambda_2, \dots, \lambda_n \in \mathbb{K}$ , then  $B = (v_1, v_2, \dots, v_n)$  is a spanning set of  $V$ . We also have  $\forall \lambda_1, \lambda_2, \dots, \lambda_n \in \mathbb{K} : \lambda_1 v_1 + \lambda_2 v_2 + \cdots + \lambda_n v_n = 0$  gives necessarily  $\lambda_1 = \lambda_2 = \cdots = \lambda_n = 0$  since there is only one way to express zero as a linear combination of  $v_1, v_2, \dots, v_n$ , hence  $v_1, v_2, \dots, v_n$  are linearly independent. ■

**Example 25** 1. In  $\mathbb{R}^3$ , the coordinates of the vector  $v = (1, 2, 4)$  in the canonical basis  $\{e_1, e_2, e_3\}$  are 1, 2, 4 but in the basis  $\{v_1 = (1, 1, 1), v_2 = (0, 1, 1), v_3 = (0, 0, 2)\}$  are 2, 2, 1 since  $v = v_1 + v_2 + v_3$ .

2. In  $\mathbb{R}_3[X]$ , the coordinates of the polynomial  $P(X) = 1 + 3X + 4X^2 + 2X^3$  in the canonical basis of  $\mathbb{R}_3[X]$  are 1, 3, 4, 2.

**Proposition 26** Let  $V$  be a  $\mathbb{K}$  finite dimensional vector space and  $W$  a vector subspace of  $V$ . We have

1.  $\dim W \leq \dim V$ .
2. If  $\dim W = \dim V$ . Then  $V = W$ .

**Proof.** Let  $V$  be a  $\mathbb{K}$  finite dimensional vector space and  $W$  a vector subspace of  $V$  and let  $n$  be then dimension of  $V$ .

1. Let  $G = \{v_1, v_2, \dots, v_p\}$  be a basis of  $W$ . Then  $G$  is a linearly independent subset of  $V$ , hence  $p \leq n$ , this gives  $\dim W \leq \dim V$ .
2. If  $\dim W = \dim V$ , then a basis of  $W$  is maximal linearly independent subset of  $V$ , hence it is also a basis of  $V$  and so  $V = W$ .

■

## 2.2 Quotient subspaces

Let  $V$  be a  $\mathbb{K}$ -vector space and  $W$  a subspace. We define an equivalence relation on  $V$  by  $x \mathfrak{R} y \Leftrightarrow x - y \in W$ . Then the equivalence class of a vector  $x$  under the relation  $\mathfrak{R}$  above is the set  $\bar{x} = \{x + y : y \in W\}$ .

The quotient set  $V/W$  is a vector space over  $\mathbb{K}$ , with addition and scalar multiplication defined by:

$$\bar{x} + \bar{y} = \overline{(x + y)} \text{ and } \lambda \bar{x} = \overline{(\lambda x)}.$$

Indeed, it has been shown when we have defined the quotient group that the operation  $(+)$  is well defined. Similarly for any  $\lambda \in \mathbb{K}$ ,  $x - y \in W$ , we have also  $\lambda(x - y) = \lambda x - \lambda y \in W$  and thus  $\overline{\lambda x} = \overline{\lambda y}$ , so scalar multiplication is well-defined. and it is easy to verify the axioms of vector space since these are all essentially immediate from the appropriate axioms in  $V$ .

**Example 27** In  $V = \mathbb{R}^2$ , consider the vector subspace  $W = \{(x, y) \in \mathbb{R}^2 : y = x\} = \text{Span}\{(1, 1)\}$ .  $V$  can be interpreted as the plane. Then,  $W$  represents the first bisector (the line with the equation  $y = x$ ). For any  $(x_0, y_0) \in V$ , we have  $\overline{(x_0, y_0)} = \{(x_0, y_0) + f : f \in W\} = \{(x_0 + \alpha, y_0 + \alpha) : \alpha \in \mathbb{R}\}$ . Thus,  $\overline{(x_0, y_0)}$  is the line with the equation  $y = x + (y_0 - x_0)$ ; it is the line parallel to  $W$  passing through  $(x_0, y_0)$ . In this example, the quotient space  $V/W$  is the set of lines in the plane parallel to  $W$ .

Let  $V$  be a vector space over  $\mathbb{K}$  and  $G = \{v_1, v_2, \dots, v_n\} \subset V$ . We define the rank of  $G$  by  $\text{rank} G = \dim \text{Span } G$ .

**Proposition 28** Let  $V$  be a vector space over  $\mathbb{K}$  and  $G = \{v_1, v_2, \dots, v_n\}$  a subset of  $V$ . Then the rank of  $G$  is the maximum of linearly independent vectors extracted from  $G$ .

**Proof.** Since  $G$  is a spanning set of  $\text{Span } G$  then a basis of  $\text{Span } G$  is no thing else than a linearly independent subset extracted from  $G$  and so  $\text{rank}(G)$  is the maximum of linearly independent vectors extracted from  $G$ . ■

**Example 29** In  $\mathbb{R}_3[X]$ , let  $G = \{P_1 = 1 + X, P_2 = 1 + X^2, P_3 = 1 + X^2 + X^3, \text{ and } P_4 = 3 + 2X + X^2 + X^3\}$  we have  $\text{rank} G = 3$  since  $P_4 = P_1 + P_2 + P_3$  and  $\{P_1, P_2, P_3\}$  linearly independent.

**Theorem 30** Let  $V_1$  and  $V_2$  be two finite dimensional  $\mathbb{K}$  vector spaces. Then

$$\dim V_1 + \dim V_2 = \dim(V_1 + V_2) + \dim(V_1 \cap V_2).$$

**Proof.** Let  $B_0 = \{e_1, e_2, \dots, e_n\}$  be a basis of  $V_1 \cap V_2$ . We complete  $B_0$  to a basis of  $V_1$ , say  $B_1 = \{e_1, e_2, \dots, e_n, f_1, f_2, \dots, f_p\}$ , and to a basis of  $V_2$ , say  $B_2 = \{e_1, e_2, \dots, e_n, g_1, g_2, \dots, g_q\}$ , respectively. We will show that  $B = \{e_1, e_2, \dots, e_n, f_1, f_2, \dots, f_p, g_1, g_2, \dots, g_q\}$  is a basis of  $V_1 + V_2$ . Any  $z \in (V_1 + V_2)$  can be written  $z = x + y$  with  $x \in V_1$  and  $y \in V_2$  hence

$$\begin{aligned} z &= (\alpha_1 e_1 + \alpha_2 e_2 + \dots + \alpha_n e_n + \beta_1 f_1 + \beta_2 f_2 + \dots + \beta_p f_p) \\ &\quad + (\lambda_1 e_1 + \lambda_2 e_2 + \dots + \lambda_n e_n + \delta_1 g_1 + \delta_2 g_2 + \dots + \delta_q g_q) \\ &= ((\alpha_1 + \lambda_1) e_1 + (\alpha_2 + \lambda_2) e_2 + \dots + (\alpha_n + \lambda_n) e_n \\ &\quad + \beta_1 f_1 + \beta_2 f_2 + \dots + \beta_p f_p + \delta_1 g_1 + \delta_2 g_2 + \dots + \delta_q g_q \end{aligned}$$

and so  $\{e_1, e_2, \dots, e_n, f_1, f_2, \dots, f_p, g_1, g_2, \dots, g_q\}$  is a spanning set of  $V_1 + V_2$ . Let us show that it is a linearly independent. Assume

$$\alpha_1 e_1 + \alpha_2 e_2 + \dots + \alpha_n e_n + \beta_1 f_1 + \beta_2 f_2 + \dots + \beta_p f_p + \delta_1 g_1 + \delta_2 g_2 + \dots + \delta_q g_q = 0.$$

Then

$$\underbrace{\alpha_1 e_1 + \alpha_2 e_2 + \dots + \alpha_n e_n + \beta_1 f_1 + \beta_2 f_2 + \dots + \beta_p f_p}_A = \underbrace{-\delta_1 g_1 - \delta_2 g_2 - \dots - \delta_q g_q}_B$$

But  $A \in V_1$  and  $B \in V_2$ , then  $A \in V_2$  so  $A \in V_1 \cap V_2$ , this gives

$$A = \alpha_1 e_1 + \alpha_2 e_2 + \cdots + \alpha_n e_n + \beta_1 f_1 + \beta_2 f_2 + \cdots + \beta_p f_p = t_1 e_1 + t_2 e_2 + \cdots + t_n e_n + 0 f_1 + 0 f_2 + \cdots + 0 f_p$$

The uniqueness gives  $\alpha_1 = t_1, \alpha_2 = t_2, \dots, \alpha_n = t_n$  and  $\beta_1 = \beta_2 = \cdots = \beta_p = 0$ .

Replacing this in

$$\alpha_1 e_1 + \alpha_2 e_2 + \cdots + \alpha_n e_n + \beta_1 f_1 + \beta_2 f_2 + \cdots + \beta_p f_p + \delta_1 g_1 + \delta_2 g_2 + \cdots + \delta_q g_q = 0$$

and knowing that  $\{e_1, e_2, \dots, e_n, g_1, g_2, \dots, g_q\}$  is a basis, we get

$$\alpha_1 = \alpha_2 = \cdots = \alpha_n = \delta_1 = \delta_2 = \cdots = \delta_q = 0.$$

Then, we conclude that  $B$  is a basis of  $V_1 + V_2$  and so the formula holds. ■

**Definition 31** Let  $V_1$  and  $V_2$  be two  $\mathbb{K}$ -vector subspaces of a vector space  $V$ .

1. Then the sum  $V_1 + V_2$  is said to be direct if  $V_1 \cap V_2 = \{0_E\}$  and we write  $V_1 + V_2 = V_1 \oplus V_2$ .
2.  $V_1$  and  $V_2$  are said to be in complementary if  $V = V_1 \oplus V_2$ . We say also that  $V$  is a direct sum of  $V_1$  and  $V_2$ .

**Proposition 32** Let  $V$  be a vector space, and  $V_1$  and  $V_2$  subspaces of  $V$ . Then  $V = V_1 \oplus V_2$  if and only if every vector  $v \in V$  is written in a unique way as  $z = u + w, u \in V_1, w \in V_2$ .

**Proof.** It is clear since every  $v \in V$  can be written (uniquely) as  $v = u + w$  with  $u \in V_1, w \in V_2$ , that is,  $V = V_1 + V_2$ . Now, let  $v \in V_1 \cap V_2$ . Then since  $v \in V_1$  and  $v \in V_2$ , we can write:  $v = v + 0$  (where  $v \in V_1, 0 \in V_2$ ) and  $v = 0 + v$  (where  $0 \in V_1, v \in V_2$ ). But the expression  $v = u + w$  is unique, hence  $v = 0$ . Then  $V_1 \cap V_2 = \{0\}$  and so  $V = V_1 \oplus V_2$ . Conversely, Since  $V = V_1 + V_2$ , we must only check the uniqueness. Suppose  $v = u_1 + w_1$  and  $v = u_2 + w_2$ , where  $u_1, u_2 \in V_1$  and  $w_1, w_2 \in V_2$ . Then  $u_1 + w_1 = u_2 + w_2$  and thus  $u_1 - u_2 = w_2 - w_1$ . Put  $t = u_1 - u_2 = w_2 - w_1$ . Then  $t \in V_1$  and  $t \in V_2$ , so  $t \in V_1 \cap V_2 = \{0\}$  and hence  $t = 0$ . Thus,  $u_1 = u_2$  and  $w_1 = w_2$  so we have the uniqueness. ■

**Theorem 33** Every vector subspace  $W$  of a finite-dimensional vector space  $V$  has at least one complement  $S$  in  $V$ .

**Proof.** Let  $B_0 = \{w_1, w_2, \dots, w_m\}$  be a basis of  $W$  and  $B = \{v_1, v_2, \dots, v_n\}$  a basis of  $V$ .  $B_0$  is linearly independent in  $V$  and  $B$  is a spanning set of  $V$  we know that there exists  $C = \{v_{i_1}, v_{i_2}, \dots, v_{i_k}\} \subset B$  such that  $B_0 \cup C$  is a basis of  $V$ . Put  $S = \text{Span}\{v_{i_1}, v_{i_2}, \dots, v_{i_k}\}$ . Let us show that  $V = W \oplus S$ . Let  $v \in V$ , since  $B_0 \cup C$  is a basis of  $V$  we have  $v = \lambda_1 w_1 + \lambda_2 w_2 + \cdots + \lambda_m w_m + \mu_1 v_{i_1} + \mu_2 v_{i_2} + \cdots + \mu_k v_{i_k}$  for some  $\lambda_1, \lambda_2, \dots, \lambda_m, \mu_1, \mu_2, \dots, \mu_k \in \mathbb{K}$  but  $(\lambda_1 w_1 + \lambda_2 w_2 + \cdots + \lambda_m w_m) \in W$  and  $(\mu_1 v_{i_1} + \mu_2 v_{i_2} + \cdots + \mu_k v_{i_k}) \in S$ . Then  $V = W + S$ . Let  $v \in W \cap S$ . Then  $v = \lambda_1 w_1 + \lambda_2 w_2 + \cdots + \lambda_m w_m = \mu_1 v_{i_1} + \mu_2 v_{i_2} + \cdots + \mu_k v_{i_k}$  for some  $\lambda_1, \lambda_2, \dots, \lambda_m, \mu_1, \mu_2, \dots, \mu_k \in \mathbb{K}$ . This gives  $\lambda_1 w_1 + \lambda_2 w_2 + \cdots + \lambda_m w_m - \mu_1 v_{i_1} - \mu_2 v_{i_2} - \cdots - \mu_k v_{i_k} = 0$  then since  $B_0 \cup C$  is a basis of  $V$  we have  $\lambda_1 = \lambda_2 = \cdots = \lambda_m = \mu_1 = \mu_2 = \cdots = \mu_k = 0$ . ■

**Proposition 34** Let  $V_1$  and  $V_2$  be two subspaces of a finite dimensional  $\mathbb{K}$ -vector space  $V$ , and let  $B_1 = \{f_1, f_2, \dots, f_p\}$  a basis of  $V_1$  and  $B_2 = \{g_1, g_2, \dots, g_q\}$  a basis of  $V_2$ . Then  $V = V_1 \oplus V_2$  if and only if  $B_1 \cup B_2$  is a basis of  $V$ .

**Proof.** First, let us show that  $V = V_1 + V_2$  if and only if  $B_1 \cup B_2$  is a spanning set of  $V$ .  $V = V_1 + V_2$  if and only if any  $v \in V$ ,  $v = x + y$  with  $x \in V_1$  and  $y \in V_2$ , this is equivalent to  $v = x + y = \lambda_1 f_1 + \lambda_2 f_2 + \dots + \lambda_p f_p + \mu_1 g_1 + \mu_2 g_2 + \dots + \mu_q g_q$  for some  $\lambda_1, \lambda_2, \dots, \lambda_p, \mu_1, \mu_2, \dots, \mu_q \in \mathbb{K}$ , that is  $B_1 \cup B_2$  is a spanning set of  $V$ . Now, let us show that  $V_1 \cap V_2 = \{0\}$  if and only if  $B_1 \cup B_2$  is linearly independent set in  $V$ . suppose  $V_1 \cap V_2 = \{0\}$  Assume  $\lambda_1 f_1 + \lambda_2 f_2 + \dots + \lambda_p f_p + \mu_1 g_1 + \mu_2 g_2 + \dots + \mu_q g_q = 0$ , this gives  $\lambda_1 f_1 + \lambda_2 f_2 + \dots + \lambda_p f_p = -\mu_1 g_1 - \mu_2 g_2 - \dots - \mu_q g_q = v \in V_1 \cap V_2 = \{0\}$  this is equivalent to  $v = 0$ . Since  $B_1$  and  $B_2$  are linearly independent this gives  $\lambda_1 = \lambda_2 = \dots = \lambda_p = \mu_1 = \mu_2 = \dots = \mu_q = 0$ . Conversely, suppose  $B_1 \cup B_2$  is linearly independent and let  $v \in V_1 \cap V_2$  this gives  $v = \lambda_1 f_1 + \lambda_2 f_2 + \dots + \lambda_p f_p = \mu_1 g_1 + \mu_2 g_2 + \dots + \mu_q g_q$  for some  $\lambda_1, \lambda_2, \dots, \lambda_p, \mu_1, \mu_2, \dots, \mu_q \in \mathbb{K}$  then  $\lambda_1 f_1 + \lambda_2 f_2 + \dots + \lambda_p f_p - \mu_1 g_1 - \mu_2 g_2 - \dots - \mu_q g_q = 0$  since  $B_1 \cup B_2$  is linearly independent we have  $\lambda_1 = \lambda_2 = \dots = \lambda_p = \mu_1 = \mu_2 = \dots = \mu_q = 0$ . ■

**Proposition 35** Let  $V_1$  and  $V_2$  be two subspaces of a finite dimensional  $\mathbb{K}$ -vector space  $V$ . Then  $V = V_1 \oplus V_2$  if and only if  $V_1 \cap V_2 = \{0\}$  and  $\dim V_1 + \dim V_2 = \dim V$ .

**Proof.** We have  $V = V_1 \oplus V_2$  if and only if  $B_1 \cup B_2$  is a basis of  $V$  which is equivalent to  $\dim V_1 + \dim V_2 = \dim V$  and  $B_1 \cup B_2$  linearly independent or spanning set of  $V$ , that is,  $V_1 \cap V_2 = \{0\}$  and  $\dim V_1 + \dim V_2 = \dim V$ . ■

**Example 36** 1. In  $\mathbb{R}^3$ , Let  $L$  be a line and  $P$  a plan. Then  $\mathbb{R}^3 = L \oplus P$  if and only if  $L \cap P = \{0\}$ .

2. In  $\mathbb{R}^3$ , Let  $L_1, L_2$  be two lines. Then  $L_1 + L_2 = L_1 \oplus L_2$  if and only if  $L_1 \cap L_2 = \{0\}$ .

3. Let  $F(\mathbb{R}, \mathbb{R})$  be the set of functions from  $\mathbb{R}$  into  $\mathbb{R}$ . We have  $F(\mathbb{R}, \mathbb{R}) = F^+(\mathbb{R}, \mathbb{R}) \oplus F^-(\mathbb{R}, \mathbb{R})$ , where  $F^+(\mathbb{R}, \mathbb{R})$  is the set of the even functions from  $\mathbb{R}$  into  $\mathbb{R}$  and  $F^-(\mathbb{R}, \mathbb{R})$  is the set of the odd functions from  $\mathbb{R}$  into  $\mathbb{R}$ .

### 3 Exercices

**Exercise 37** In  $\mathbb{R}^4$ , let

$$V = \text{Span}((0, -1, 6, -4), (1, 0, 1, -1), (4, 1, -2, 0), (-3, -2, 9, -5))$$

and

$$W = \{(x, y, z, t) \in \mathbb{R}^4 : x - 2z = y + z + t = y + t = 0\}.$$

1. Show that  $W$  is a subspace of  $\mathbb{R}^4$ .

2. Give a basis and the dimension of  $V$  and  $W$ .
3. Determine  $V + W$  and show that  $V + W$  is a direct sum. Do we have  $\mathbb{R}^4 = V \oplus W$ ?
4. Find a subspace  $Z$  of  $\mathbb{R}^4$  such that  $V \oplus W \oplus Z = \mathbb{R}^4$ .

**Solution 38** 1. We have

$$W = \{(x, y, z, t) \in \mathbb{R}^4 : x - 2z = y + z + t = y + t = 0\}.$$

It is clear that  $(0, 0, 0, 0) \in W$ . Let  $(x_1, y_1, z_1, t_1), (x_2, y_2, z_2, t_2) \in W, \alpha \in \mathbb{R}$ . We have

$$(x_1, y_1, z_1, t_1) \in W \Leftrightarrow x_1 - 2z_1 = y_1 + z_1 + t_1 = y_1 + t_1 = 0 \quad (1)$$

$$(x_2, y_2, z_2, t_2) \in W \Leftrightarrow x_2 - 2z_2 = y_2 + z_2 + t_2 = y_2 + t_2 = 0 \quad (2)$$

Multiplying (1) by  $\alpha$  and adding with (2) we get

$$\alpha x_1 + x_2 - 2\alpha z_1 - 2z_2 = \alpha y_1 + y_2 + \alpha z_1 + z_2 + \alpha t_1 + t_2 = \alpha y_1 + y_2 + \alpha t_1 + t_2 = 0$$

This gives  $(\alpha x_1 + x_2, \alpha y_1 + y_2, \alpha z_1 + z_2, \alpha t_1 + t_2) \in W$  that is,  $\alpha(x_1, y_1, z_1, t_1) + (x_2, y_2, z_2, t_2) \in W$ . Then  $W$  is a subspace of  $\mathbb{R}^4$ .

2. We have  $(4, 1, -2, 0) = 4(1, 0, 1, -1) - (0, -1, 6, -4)$  and  $(-3, -2, 9, -5) = -3(1, 0, 1, -1) + 2(0, -1, 6, -4)$ , so  $V = \text{Span}\{(0, -1, 6, -4), (1, 0, 1, -1)\}$ . Since  $(0, -1, 6, -4)$  and  $(1, 0, 1, -1)$  are not collinear, then  $\{(0, -1, 6, -4), (1, 0, 1, -1)\}$  is linearly independent. The set  $B_1 = \{(0, -1, 6, -4), (1, 0, 1, -1)\}$  is a spanning set of  $V$  and it is linearly independent so it is a basis of  $V$  and we have  $\dim V = 2$ .

$$\begin{aligned} W &= \{(x, y, z, t) \in \mathbb{R}^4 : t = -y \wedge z = 0 \wedge x = 2z = 0\} \\ &= \{(0, y, 0, -y) : y \in \mathbb{R}\} \\ &= \{y(0, 1, 0, -1) : y \in \mathbb{R}\} \\ &= \text{Span}\{(0, 1, 0, -1)\}. \end{aligned}$$

Since  $(0, 1, 0, -1) \neq 0_{\mathbb{R}^4}$ , then  $\{(0, 1, 0, -1)\}$  is linearly independent. The set  $B_2 = \{(0, 1, 0, -1)\}$  is a spanning set of  $W$  and it is linearly independent so it is a basis of  $W$  and we have  $\dim W = 1$ .

3. We have  $V + W = \text{Span}\{(0, -1, 6, -4), (1, 0, 1, -1), (0, 1, 0, -1)\}$ . Let  $v \in V \cap W$ , then  $v = \lambda(0, 1, 0, -1) = X = \alpha(0, -1, 6, -4) + \beta(1, 0, 1, -1)$  for some  $\alpha, \beta, \lambda \in \mathbb{R}$ . Hence we obtain the system of equations

$$\begin{cases} 0 &= \beta, \\ \lambda &= -\alpha \\ 0 &= 6\alpha + \beta \\ -\lambda &= -4\alpha - \beta \end{cases}$$

which gives us  $\alpha = \beta = \lambda = 0$ , thus  $v = 0_{\mathbb{R}^4}$ . Therefore  $V \cap W = \{0_{\mathbb{R}^4}\}$  which means that  $V + W$  is a direct sum.

The fact that  $V + W$  is a direct sum implies that  $\dim(V + W) = \dim V + \dim W = 3 \neq \dim \mathbb{R}^4$ , hence  $V + W \neq \mathbb{R}^4$ , and so  $V \oplus W \neq \mathbb{R}^4$ .

4. Using Gauss elimination we can verify that  $B_1 \cup B_2 = \{(0, -1, 6, -4), (1, 0, 1, -1), (0, 1, 0, -1)\}$  is of rank 3 and then we can take  $Z = \text{Span}\{(0, 0, 0, 1)\}$ .