Licensed to license

Deficient probes in West Circassian nominalizations

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bit.ly/ErshovaNYTK

Why are φ -probes sometimes deficient?

In certain syntactic configurations, φ -probes are deficient:

- ► may not assign case
- ▶ may not expone agreement

Verbal φ-probes are frequently deficient in non-finite constructions.

My proposal: φ-probes are deficient by default.

Non-deficient probes result from licensing by the highest head in the extended projection $-C^0$.

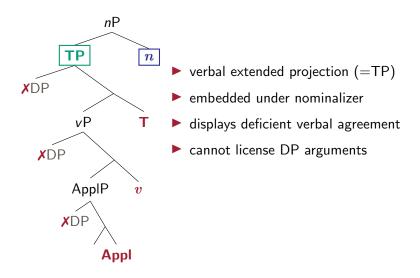
The analysis in a nutshell

 ϕ -probes **must be licensed** to agree with and license nominal arguments.

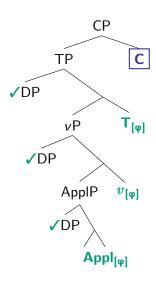
- Nominal arguments must be licensed by φ-agreement (κalin 2019)
- ightharpoonup ϕ -probes are merged as deficient \Rightarrow cannot license nominals.
- Full φ-feature probing must be licensed by the highest head in the extended projection C^0 .

Evidence: deficient probes in West Circassian nominalizations.

Nominalization



Deficiency in the absence of C⁰



- verbal φ-probes are deficient unless embedded under C⁰
- ϕ -agreement and licensing are **licensed** by C^0

Roadmap

- Background on West Circassian
- ► Functional structure of nominalizations
- ightharpoonup ϕ -probe licensing by C^0
- Licensing arguments in nominalizations
- Conclusion

West Circassian

West Circassian (or Adyghe):

- Northwest Caucasian
- Republic of Adygea, Russia
- agglutinating, polysynthetic
- ergative case and agreement

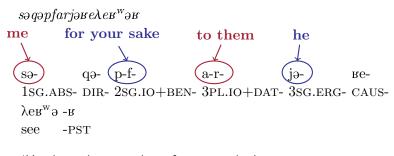


Data:

- fieldwork on the Temirgoy dialect in the Shovgenovsky district of Adygea
- ► Adyghe Corpus by Timofey Arkhangelskiy, Irina Bagirokova, Yury Lander, and Anna Lander (http://adyghe.web-corpora.net/)

West Circassian is polysynthetic

Head marking and pro-drop:



'He showed me to them for your sake.'

(Korotkova and Lander 2010:301)

Order of cross-reference markers:

Case marking

-r (ABS):

- ► intransitive subject
- ▶ direct object

-m (OBL):

- transitive subject
- applied object

\mathbf{S}

 $\begin{array}{ll} \text{mə p\hat{s}a\hat{s}e-r} & \text{daxew qa$\hat{s}we} \\ \text{this girl-$^{\textbf{ABS}}$} & \text{well dances} \end{array}$

'This girl dances well.'

A O

sabəjxe-m haxe-r qa λ es aw children-obl dogs- λ es saw

'The children saw the dogs.'

IO

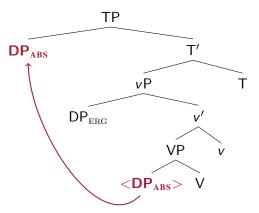
mafe-qes ježape-m sekwe day-each school-**OBL** go

'I go to school every day.'

High absolutive

- ► DP_{ABS} moves to Spec,TP
- evidence from parasitic gaps and reciprocal binding

(Ershova 2019, 2021, to appear b)



(See also Bittner and Hale 1996; Manning 1996; Baker 1997; Aldridge 2008; Yuan 2018, 2022; Coon et al. 2021;

Royer to appear, a.o.)

Reciprocal binding in West Circassian

Reciprocals are covert and trigger **reciprocal agreement** on the predicate:

- correlates with syntactic position of the reciprocal
- ▶ does not affect transitivity ⇒ not a de-transitivizing operator

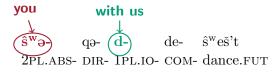
Reciprocals are subject to Condition A

= must be bound by a local c-commanding antecedent

(Ershova 2019, to appear b)

Reciprocal agreement

ABS external argument binds IO ⇒ REC replaces IO agreement



'You(pl) will dance with us'

BASELINE

Reciprocal agreement

Reciprocal agreement does not affect transitivity

ERG binds IO

- ► REC replaces IO agreement
- ► ERG antecedent bears OBL (=ERG) case

```
axe-me ?eg^wəb\hat{z}e-r \emptyset- ze- r- a- tə\check{z}'ə that.PL-OBL cup-ABS 3ABS- REC.IO- DAT- 3PL.ERG- give
```

'They pass the cup to each other.'

```
(http://adyghe.web-corpora.net/)
```

Reciprocal agreement does not affect transitivity

ABS binds IO

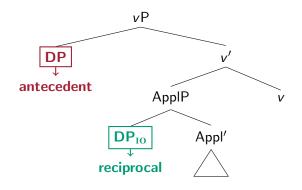
- ► REC replaces IO agreement
- ► ABS antecedent bears ABS case

```
sabəjxe-r Ø- z- e- pλəž'əx
child.PL-ABS 3ABS- REC.IO- DAT- look.PL
```

'The children are looking at each other.'

Reciprocal binding is established via c-command

ABS/ERG external argument binds **IO**:



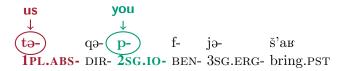
Reciprocals and high absolutive

Reciprocals provide evidence for high absolutive syntax:

- reciprocals are bound by a c-commanding antecedent
- ► ABS theme binds ERG agent and applied object (IO)

ABS c-commands both ERG and IO.

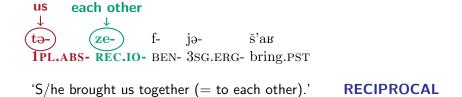
High ABS binds applied object



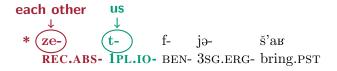
'S/he brought us to you.'

BASELINE

High ABS binds applied object

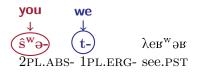


High ABS binds applied object



'S/he brought us together (= to each other).' **RECIPROCAL**

High ABS binds ERG



'We saw you(pl).'

BASELINE

High ABS binds ERG



'We saw each other.'

RECIPROCAL

High ABS binds ERG

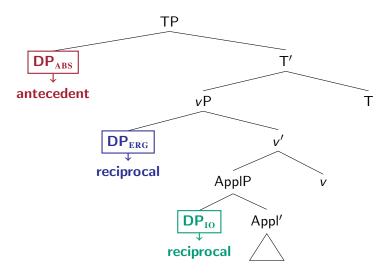


Intended: 'We saw each other.'

RECIPROCAL

Reciprocals and high absolutive

ABS binds reciprocals in ERG and IO positions:



West Circassian clause structure: summary

West Circassian finite clauses:

- ✓ ergative, oblique and absolutive case assignment on DPs
- √ φ-agreement with multiple arguments
- ✓ high absolutive syntax: ABS raises to Spec,TP

 (evidence from reciprocals)

Next: nominalizations

- arguments licensed as possessor or pseudo-incorporated
- y full φ-agreement unavailable
 - ✓ deficient φ-agreement still possible!
- ✓ high absolutive syntax

Nominalizations include structure up to TP, but are deficient in ϕ -agreement and licensing without C^0 .

Roadmap

- ► Background on West Circassian
- ► Functional structure of nominalizations
- ightharpoonup ϕ -probe licensing by C^0
- Licensing arguments in nominalizations
- Conclusion

Noun phrase structure

- \blacktriangleright ϕ -agreement with possessor
- complements and modifiers incorporated

```
tjə- se-n- xebze -daxe -xe -r
1PL.POSS- lead-NML- rule -beautiful -PL -ABS
```

'our beautiful rules of conduct' (Ershova 2020:431)

Nominalizations bit.ly/ErshovaNYTK

Nominalizations: deficient verbal extended projection

```
Ershova (2020)
```

- ▶ arguments as possessors or incorporated
 - ⇒no verbal licensing/case

ightharpoonup no verbal ϕ -agreement

ightarrow possessor ϕ -agreement

```
lawe-xe-r Ø- s- e- thač'ə FINITE
dish-PL-ABS 3ABS- 1sg.ERG- DYN- wash
'I am washing dishes.'
```

wjə- leʁe- thaç'ə -ç'e 2sg.poss- dish- wash -nml **NOMINALIZATION**

'your manner of washing dishes'

Nominalizations bit.ly/ErshovaNYTK

Verbal functional structure in nominalizations

- no verbal case/licensing
- × no full φ-agreement
- ✓ BUT includes structure up to TP

Evidence:

- 1. morphological reflexes of v^0 and Appl⁰
- 2. temporal adverbs
- 3. deficient φ-agreement with anaphors
- 4. high absolutive

v and Appl are present in nominalizations

nominalizations include causatives

```
jə- xebze- k^wedə -\xi'e 3SG.POSS- rule- CAUS- perish -NML 'its destruction (= causing to perish) of traditions'
```

nominalizations include applicatives

```
ja- haź<sup>w</sup>ə- <mark>de-</mark> ǯeg<sup>w</sup>ə -č઼'e
3PL.POSS- puppy- <mark>COM-</mark> play -NML
```

'their way of playing with puppies'

Nominalizations include temporal adverbs

'I'm tired of your going to the store every day.'

Compare with non-derived nouns:

* mafe-qes pjerjedač day-each broadcast

Intended: 'everyday program'

Nominalizations allow anaphor agreement

reciprocal agreement with applicative

axer Ø- **ze-f-** e-
$$g^w \partial e^{\dot{z}} \partial -x$$
 they.ABS 3ABS- **REC.IO-BEN-** DYN- endeavor -PL

'They work hard for each other.'

TINITE

'their manner of working hard for each other' NOMINALIZATION

Nominalizations bit.ly/ErshovaNYTK

Nominalizations allow anaphor agreement

reciprocal agreement with ergative

$$\Rightarrow$$
 DP_{ABS} binds DP_{ERG}
 \Rightarrow **high absolutive**

'They are making each other dance.'

FINITE

'their manner of making each other dance'

NOMINALIZATION

Functional structure of nominalizations: summary

- ✓ Nominalizations include a full TP:
 - ▶ high ABS binds ERG reciprocal
 - $\triangleright v^0$ and Appl⁰ morphology
 - temporal adverbs
 - anaphor agreement

BUT:

- × no full φ-agreement
- no licensing of DP arguments

Nominalizations bit.lv/ErshovaNYTK

Deficient ϕ -probes in nominalizations

The puzzle

If nominalizations contain a full TP, why is the verbal syntax so diminished?

- no full φ-agreement, only anaphor agreement
- no verbal case or licensing

The solution:

Verbal φ -probes are present in nominalizations, but **they are deficient** in the absence of C^0 .

Nominalizations bit.ly/ErshovaNYTK

Roadmap

- ► Background on West Circassian
- ► Functional structure of nominalizations
- φ-probe licensing by C⁰
- ► Licensing arguments in nominalizations
- Conclusion

Nominalizations bit.ly/ErshovaNYTK

The analysis in a nutshell

 ϕ -probes **must be licensed** to agree with and license nominal arguments.

- φ-probes are merged as deficient
 ⇒ cannot expone full agreement and cannot license nominals.
- Full φ -feature probing must be licensed by the highest head in the extended projection C^0 .

West Circassian nominalizations:

- Contain structure up to TP, including verbal φ -probes (Appl⁰, v^0 , and T⁰).
- ► The φ -probes are **deficient** in the absence of C⁰.

Licensing polysynthetic φ-probes

- West Circassian polysynthetic φ-agreement involves multiple φ-probes: T^0 , v^0 , and Appl⁰.
 - exponed as distinct morphemes
 - separated by morphology which is retained in absence of φ-agreement
- \triangleright If c-commanded by C⁰, they are licensed as full φ-probes.
 - ⇒ may expone agreement
 - ⇒ may license DPs
- ▶ If they are not c-commanded by C⁰, they are deficient. (e.g. in nominalizations)

Multiple verbal φ-probes

Agreement prefixes expone separate ϕ -probes:

- transparent agglutinating morphology
- prefixes may be separated by non-agreement morphology

which is retained in nominalizations

```
tə- q- jə- ʁe-č'ə-ž'

1PL.ABS- DIR- 3SG.ERG- CAUS-rise-again

's/he raised us again'

FINITE

jə- qe- ʁe-č'ə-n

3SG.POSS- DIR- CAUS-rise-NML

'its raising' (http://adyghe.web-corpora.net/)

NOMINALIZATION
```

Multiple verbal φ-probes

Agreement prefixes expone separate ϕ -probes:

- transparent agglutinating morphology
- prefixes may be separated by non-agreement morphology which is retained in nominalizations

```
sheč'afe Ø- a- f- jə- şə-s'təʁ
respect 3ABS- 3PL.IO- BEN- 3SG.ERG- do-IPF.PST
```

'He was showing respect for them.'

FINITE

```
pš'ə- ŝheč'efe- fe- ṣ̂ə-č'e
prince- respect- BEN- do-NML
```

'showing respect for princes'

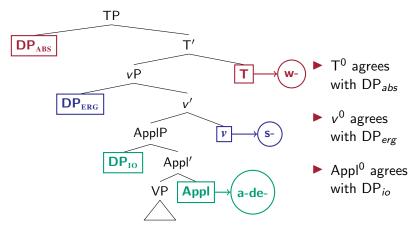
NOMINALIZATION

(http://adyghe.web-corpora.net/)

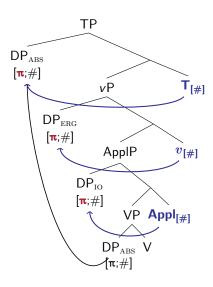
Verbal ϕ -agreement



'I brought you with them.'

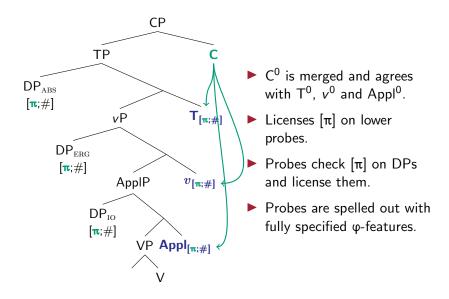


Full ϕ -agreement is licensed by C^0

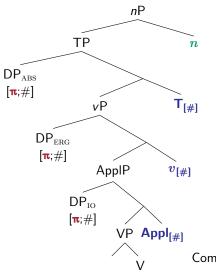


- Appl⁰, v⁰ and T⁰ are merged deficient:
 ✓ number X person
- ► Appl⁰ agrees with DP₁₀.
- \triangleright v^0 agrees with $\mathsf{DP}_{\mathsf{ERG}}$.
- ► T^0 agrees with and attracts DP_{ABS} .
- $ightharpoonup [\pi]$ on DP arguments is unchecked.

Full ϕ -agreement is licensed by C^0



Deficient ϕ -agreement without C^0



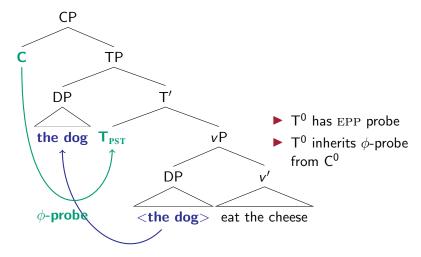
TP is embedded under n^0 :

- Verbal probes remain deficient.
- [π] on DPs remains unchecked
 ⇒ DPs remain unlicensed.
- No exponent for deficient [#] agreement
 - ⇒ probes are not spelled out overtly.

Compare with C-to-T feature inheritance!

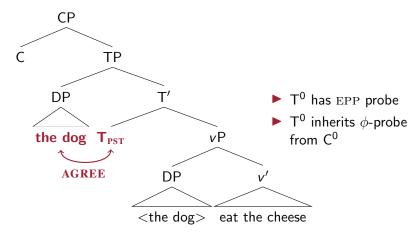
Compare with feature inheritance: T licensed by C

Chomsky (2000, 2001) on English:



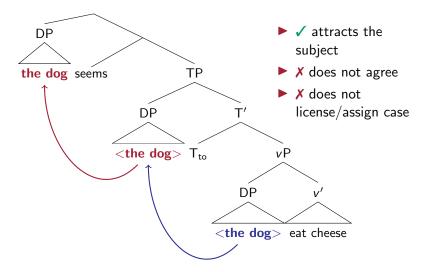
Compare with feature inheritance: T licensed by C

Chomsky (2000, 2001) on English:



T^0 is a defective EPP probe

No $C^0 \Rightarrow$ infinitival T^0 is a **defective probe**:



Deficient ϕ -probes can license ϕ -deficient nominals

Deficient [#] probes in nominalizations cannot license full DPs.

Prediction: φ -deficient nominals should be possible in nominalizations.

Confirmed by:

- 1. anaphors: specified only for [#]
 - (Kratzer 2009; Reuland 2011; Sundaresan 2020, a.o.)
- 2. PRO: unspecified for φ-features (e.g. Chomsky and Lasnik 1993; Landau 2015)
- 3. structurally deficient NPs: not specified for φ-features

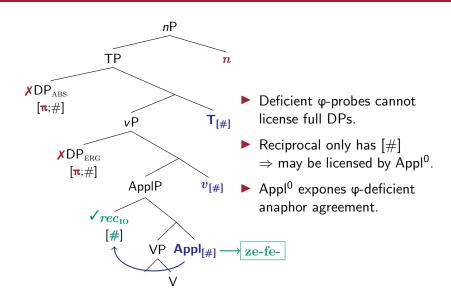
Deficient agreement with anaphors

```
ja- <u>š</u>ene- <u>ze-fe-</u> dəž'ə -n
3PL.POSS- dress- <u>REC.IO-BEN-</u> sew -NML
```

'their sewing of dresses for each other'

- ► Anaphor is specified only for [#].
- ▶ Deficient probe can license anaphor by checking [#] feature.

φ-deficient anaphors are licensed

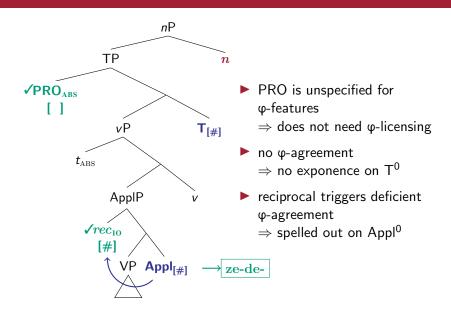


Licensing PRO in nominalizations

- PRO is unspecified for φ-features \Rightarrow does not require licensing by φ-agreement.
- Nominalizations may contain PRO.

lit. ' I_{SG} like [PRO_{PL} dancing with each other].' (Ershova 2020:457)

φ-deficient PRO is licensed



Licensing of NPs without ϕ -features

- ightharpoonup Structurally deficient NPs are not specified for number or person \sim generic interpretation
- They do not require φ-licensing
 ⇒ may appear in nominalizations.
- ▶ NPs are pseudo-incorporated
 = licensed by adjacency (next section)

jə-
$$\hat{s}^w$$
əhaftən- \check{s} 'ə- g^w ə s^w ə - \check{c} 'e 3SG.POSS- $gift$ - LOC- hope -NML

'her anticipating of presents'

Summary: Deficient ϕ -probes in nominalizations

- ► Nominalizations include a full TP.
- \triangleright The verbal φ-probes are **deficient** without licensing by C^0 .
- Presence of deficient φ-probes is confirmed by licensing of φ-deficient nominals: anaphors, PRO and bare NPs.

Licensing in nominalizations:

- 1. φ-deficient pronouns (PRO and anaphors)
 - \rightarrow by ϕ -deficient verbal probes

2. bare NPs (no φ-features)

ightarrow by adjacency

3. + one full DP

ightarrow as possessor

Summary: Deficient φ -probes in nominalizations

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Licensing in nominalizations:

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 \rightarrow by φ -deficient verbal probes

bare NPs (no φ-features)
 + one full DP

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ightarrow as possessor

NOMINAL LICENSING

Roadmap

- ► Background on West Circassian
- ► Functional structure of nominalizations
- ightharpoonup ϕ -probe licensing by C^0
- ► Licensing arguments in nominalizations
- Conclusion

Nominal licensing of arguments in nominalizations

In nominalizations:

- \triangleright verbal φ -probes are deficient \Rightarrow cannot license full DPs
- arguments may be licensed by the nominal syntax
 - ▶ bare NPs by adjacency= DP-internal syntax-to-prosody mapping
 - one full DP as possessor = by nominal φ-probe Poss⁰

Nominal licensing of arguments in nominalizations

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Pseudo-incorporation through syntax-to-prosody mapping

Phrasal modifiers and complements in DP are pseudo-incorporated because DP phase is mapped to a single phonological word.

(Ershova 2020)

MATCH PHASE(-TO-WORD):

A **phase** in syntactic constituent structure must be matched by a **prosodic word** in phonological representation.

- ► Match Theory constraint (Selkirk 2011)
- ▶ Inspired by Compton and Pittman (2010); Barrie and Mathieu (2016)

One word, but no syntactic noun incorporation

▶ nominal head + modifiers = one phonological word (← pass language-specific wordhood diagnostics)

(Lander 2017; Ershova 2020)

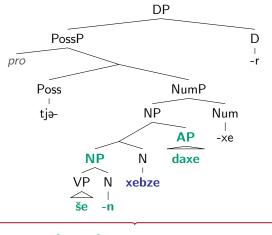
- incorporated roots:
 - may be modified

'the warm milk that is too sweet' (Lander 2017:85)

may be phrasal

^{&#}x27;shops of shoes and clothes' (Lander 2017:93)

DP phase is mapped to one phonological word



tjə- [še -n]- xebze -daxe -xe -r
1PL.POSS- lead -NML- rule -beautiful -PL -ABS
'our beautiful rules of conduct'

Nominal licensing

Nominals must be licensed:

- by φ-agreement
- by adjacency to the head that selects it (e.g. Levin 2015; Branan 2021)

In West Circassian:

An NP is licensed by adjacency if it is pronounced

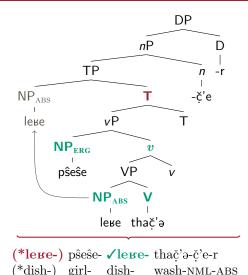
- 1. in same phonological word as the head that selects it, and
- 2. adjacent to **the projection of the head** that selects it.

Licensing by adjacency in nominalizations

An NP is licensed by adjacency if it is pronounced

- 1. in same phonological word as the head that selects it, and
- 2. adjacent to the projection of the head that selects it.
- ⇒ NPs are pronounced in their theta-positions.

NPs are pronounced in their theta-positions



- ► NP_{ABS} is selected by V⁰ and moves to Spec,TP
- NP_{ERG} is selected by v⁰
 ⇒ licensed by adjacency to v'
- NP_{ABS} in Spec,TP is not adjacent to V⁰
 ⇒ must be pronounced in base position

'the girls' manner of dish-washing'

Nominal licensing of arguments in nominalizations

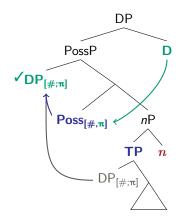
In nominalizations:

- ightharpoonup verbal φ -probes are deficient \Rightarrow cannot license full DPs
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 - bare NPs by adjacencyDP-internal syntax-to-prosody mapping
 - ightharpoonup one full DP as possessor = by nominal φ-probe Poss⁰

φ-licensing by Poss⁰

```
pŝaŝe-m jə- heč'e- je- že -n
girl-OBL 3SG.POSS- guest- DAT- wait -NML
```

'the girl's waiting for guests'



- Nominalizations may contain one DP argument.
- φ-licensed by Poss⁰.
- ► Poss⁰ is deficient
 - like verbal φ-probes.
 - \Rightarrow licensed by D⁰.

Nominal versus verbal licensing

φ-licensing

▶ in DP: by Poss⁰

 \rightarrow licensed by D^0

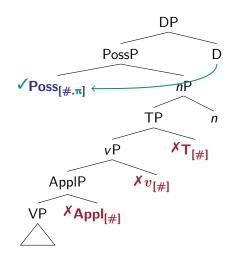
▶ in CP: by T^0 , v^0 and Appl⁰

 \rightarrow licensed by C^0

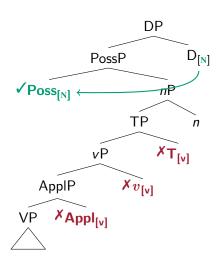
In nominalizations: D^0 licenses ϕ -probe on $Poss^0$

Question: Why can't D⁰ license φ -probes on T⁰, v^0 and Appl⁰?

Why can't D^0 license verbal ϕ -probes?



Why can't D^0 license verbal φ -probes?



- φ-probe licensing
 - = Agree between
 - highest head of extended projection
 - 2. heads of the same extended projection
- ▶ Agree in the category feature: in CP – [v]
 - in DP [N]
 - \Rightarrow D⁰ cannot license verbal ϕ -probes

Roadmap

- ► Background on West Circassian
- ► Functional structure of nominalizations
- ightharpoonup ϕ -probe licensing by C^0
- ► Licensing arguments in nominalizations
- Conclusion

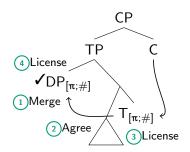
Wrapping up: φ-probes must be licensed

- West Circassian nominalizations display a diminished verbal syntax despite containing a full TP.
- The φ-probes in nominalizations are deficient
 - \Rightarrow may only license ϕ -deficient nominals and expone ϕ -deficient agreement.
- Fully specified φ-probes are counter-cyclically licensed by Agree in the category feature (V or N)
 - \Rightarrow verbal probes must be licensed by C⁰ nominal probes must be licensed by D⁰

Conclusion bit.ly/ErshovaNYTK

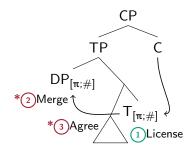
Counter-cyclic nominal licensing

- ightharpoonup Nominals are licensed by φ -feature checking.
- ightharpoonup Deficient φ-probes agree with, but cannot license arguments until C^0 is merged.
- Constrained counter-cyclicity:
 - ► Agree and Merge apply cyclically.
 - Feature checking and licensing are delayed.
 - \sim Pesetsky and Torrego's (2007) feature sharing.



Counter-cyclic nominal licensing

- \blacktriangleright Nominals are licensed by φ-feature checking.
- ightharpoonup Deficient φ-probes agree with, but cannot license arguments until C^0 is merged.
- ► Constrained counter-cyclicity:
 - Agree and Merge apply cyclically.
 - Feature checking and licensing are delayed.
 - \sim Pesetsky and Torrego's (2007) feature sharing.



Connections

- ► Agree between C⁰ and lower verbal heads independently motivated by variable islandhood effects and phase unlocking (Ershova to appear a).
- Possible approach for "indirect licensing" cross-linguistically:
 - ▶ genitive of negation in Slavic (Bailyn 2004)
 - ergative case in Hindi (Legate 2008)
 - augmentless nominals in Zulu (Halpert 2015)
 - dative case in Georgian (Ershova 2016)
 - ► PP selection in Semitic (Hewett to appear)
- ► Alternative account to mixed extended projections (Borsley and Kornfilt 2000; Kornfilt and Whitman 2011)

Conclusion bit.ly/ErshovaNYTK

Thank you!

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Reflexives

- reflexives are local subject oriented (Ershova 2019, to appear b) \Rightarrow bound by highest DP in vP
- reflexive agreement is possible in nominalizations

Reflexive agreement with absolutive

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mə pŝaŝem ZƏ- q- jə- ʁe- ŝwe -ž'ə -ʁ this girl(ERG) REFL.ABS- DIR- 3SG.ERG- CAUS- dance -RE -PST

'This girl made herself dance.' FINITE

jə- ZƏ- qə- ʁe- ŝwa -č'e

3SG.POSS- REFL.ABS- DIR- CAUS- dance -NML

'her manner of making herself dance' NOMINALIZATION
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