What it means to be a subject

Evidence from a syntactically ergative language

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7 February 2020



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► SUBJECT is not a syntactic primitive ► syntactically ergative languages provide particularly good evidence for this Syntactic ergativity: 2 subject positions Subj₂ DP₂

What is a subject?

Introduction

Usually defined as the constituent displaying a constellation of syntactic properties (e.g. Keenan 1976):

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2. is PRO in control constructions

The cat wants PRO to catch the mouse.

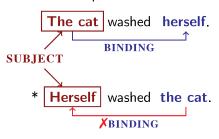
* The mouse wants the cat to catch PRO

**SUBJECT

What is a subject?

Usually defined as the constituent displaying a constellation of syntactic properties (e.g. Keenan 1976):

1. binds reflexive pronouns and cannot itself be bound



What is a subject?

Usually defined as the constituent displaying a constellation of syntactic properties (e.g. Keenan 1976):

3. takes wider scope than other elements



4. etc.

Introduction

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Subjecthood properties are distributed across several

SUBJECT

What is a subject?

positions

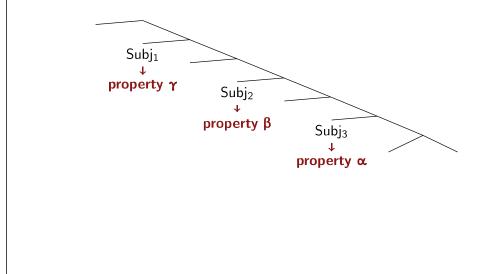
In tree-geometric terms, implemented as structural prominence:

 DP_2

DΡ₃

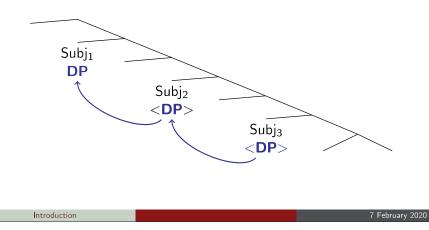
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▶ A nominal "collects" subjecthood properties by moving through the different positions (e.g. Poole 2015).



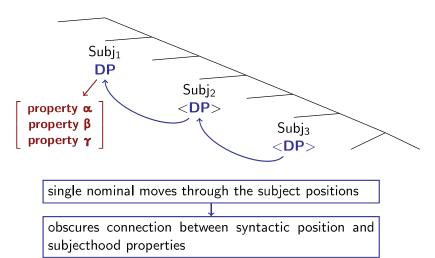
Deconstructed subjecthood

- ► Harley (1995); Bobaljik and Jonas (1996); McCloskey (1997), a.o.: A clause contains several subject positions.
- ► The subject moves through them in the course of the derivation.

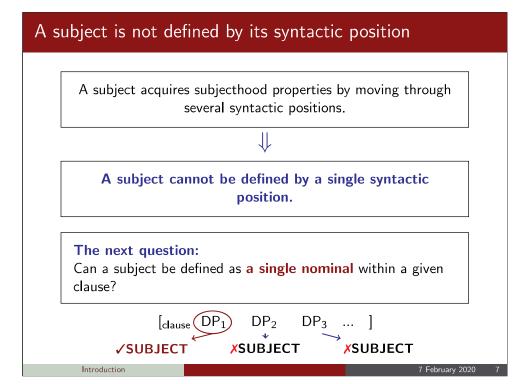


Subjecthood properties are distributed across several positions

▶ A nominal "collects" subjecthood properties by moving through the different positions (e.g. Poole 2015).



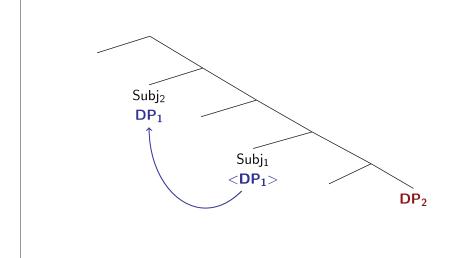
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A prediction of deconstructed subjecthood

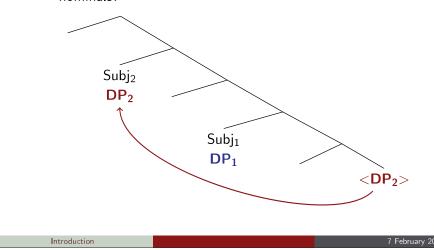
Introduction

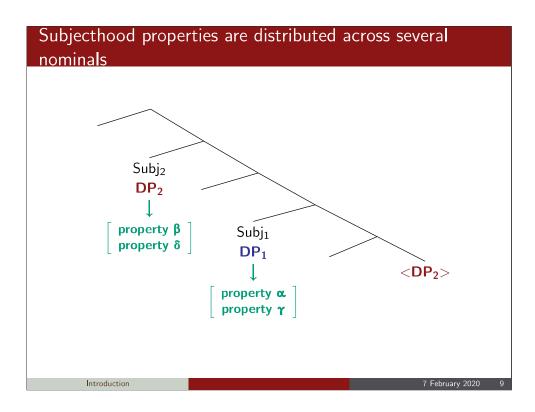
► Generally, a single nominal moves through the different subject positions.

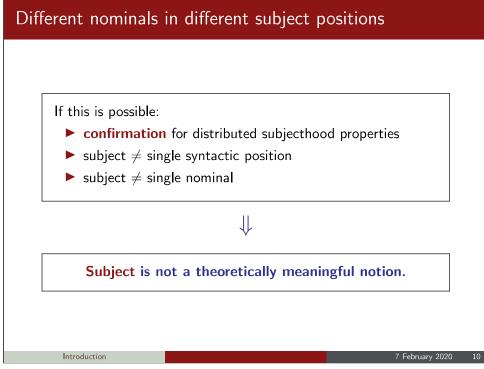


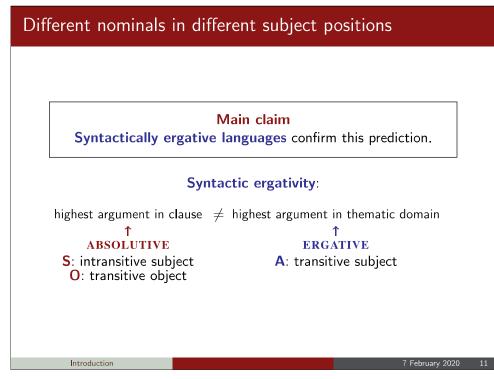
A prediction of deconstructed subjecthood

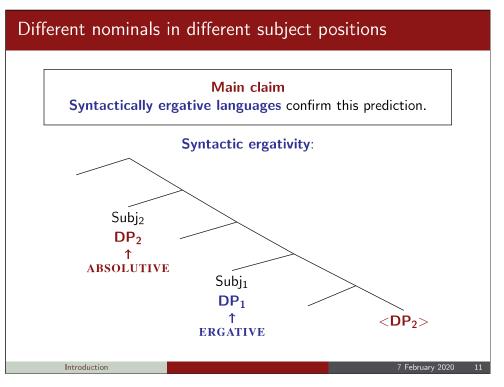
- ► Generally, a single nominal moves through the different subject positions.
- ▶ **BUT** what if the subject positions are occupied by different nominals?









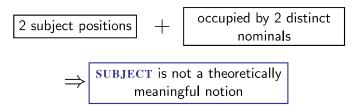


Different nominals in different subject positions

Main claim

Syntactically ergative languages confirm this prediction.

Syntactic ergativity:



See e.g. Bittner and Hale (1996); Coon et al. (2014); Deal (2016, 2017); Polinsky (2016, 2017); Yuan (2018) on syntactic ergativity effects.

Introduction

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Case Study: West Circassian

West Circassian (or Adyghe):

- ► Northwest Caucasian
- primarily spoken in the Republic of Adygea, Russia



Data from fieldwork on **Temirgoy dialect** in the Shovgenovsky district of Adygea, collected during three trips in 2017-2019.

Syntactic ergativity in West Circassian

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West Circassian is polysynthetic

Agglutinating prefixal and suffixal morphology:

 $w \ni q \ni z e r e \hat{s} h a p \ni r \ni z \varkappa e w \ni \dot{k}^w \ni r e j e \check{c} \, '\ni \check{z} \, '\ni \hat{s}^w \ni \varkappa a \varkappa e r$

wə- qə- zere- ŝha- pə- rə- z- ве-
$$2$$
sg.abs- dir- fact- head- loc- trans- 1 sg.erg- caus-wəķwereje -ç'ə -z'ə - \hat{s}^w ə -ва -ве -r fall -go.out -re -pot -pst -pst -abs

'that I was able to make you turn a somersault' (Lander and Testelets 2017:952)

West Circassian is polysynthetic

Head marking and pro-drop:

səqəpfarjəвелев^wəв me for your sake

sə- qə- (p-f-)

a-r-

to them

jə-

sə-) qə- (p-f-) (a-r-) (jə-) ве-1sg.abs- dir- 2sg.io+ben- 3pl.io+dat- 3sg.erg- caus-

уев_мэ -в

see -PST

'He showed me to them for your sake.' (Korotkova and Lander 2010:301)

Verbal agreement is ergative

O IO A
w- a-de- s- š'aʁ
2SG.ABS- 3PL.IO-COM- 1SG.ERG- bring.PST

'I brought you with them' (Rogava and Keraševa 1966:160)

S IO we- q- a-fe- k^w as 2sg.abs- dir- 3pl.io+ben- go.pst

'You went for them.' (Rogava and Keraševa 1966:138)

Agreement order:

S/O- IO- A-ABS- IO- ERG-

Syntactic ergativity in West Circassian

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Case marking is ergative

- -r (ABS):
 - ► subject of intransitive verb (S)

mə pŝaŝe-**r** daxew qaŝ^we this girl-**ABS** well dances

'This girl(S) dances well.'

Syntactic ergativity in West Circassian

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Case marking is ergative

- -r (ABS):
- ► subject of intransitive verb (S)
- ▶ object of transitive verb (**O**)

sabəjxe-m haxe-**r** qa\(\rho\rm u\) ave-t dogs-\(\rho\rm B\rm s\) saw

'The children(A) saw the dogs(O).'

Case marking is ergative

- -r (ABS):
 - ► subject of intransitive verb (S)
 - ▶ object of transitive verb (O)
- -m (OBL):

sabəjxe-m haxe-r qa\(\rho\rho\rmathbb{w}\) əs children-OBL dogs-ABS saw

'The children(A) saw the dogs(O).'

Syntactic ergativity in West Circassian

Case marking is ergative

- -r (ABS):
- ► subject of intransitive verb (S)
- object of transitive verb (0)
- -m (OBL):
- ► subject of transitive verb (A)

sabəjxe-m haxe-r qa\(\rho\)eswabs saw

'The children(A) saw the dogs(O).'

Syntactic ergativity in West Circassian

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1.

Case marking is ergative

- -r (ABS):
 - ► subject of intransitive verb (S)
 - object of transitive verb (O)
- -m (OBL):
 - ► subject of transitive verb (A)
 - ► applied object (IO)

žeg^wə-**m** səqəš'əŝ^wавер wedding-**ов**ь I didn't dance

'I didn't dance at the wedding(IO).'

Syntactic ergativity in West Circassian

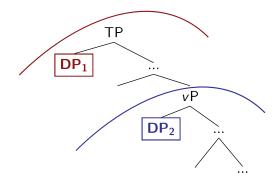
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4.5

Distributed subjecthood and syntactic ergativity

Subjecthood diagnostics in West Circassian single out (at least) **two positions**:

- ightharpoonup the highest nominal in the theta-domain vP
- ► the highest nominal in the A-domain **TP**



Distributed subjecthood and syntactic ergativity

Subjecthood diagnostics in West Circassian single out (at least) **two positions**:

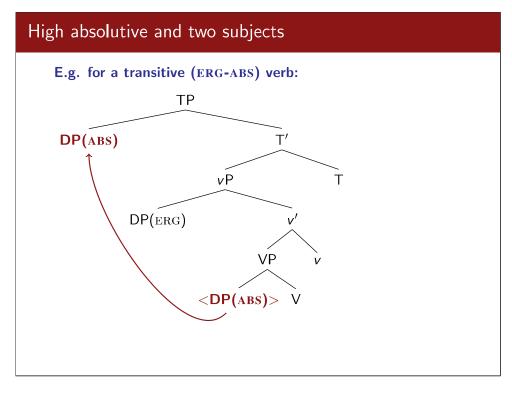
- ightharpoonup the highest nominal in the theta-domain hoP
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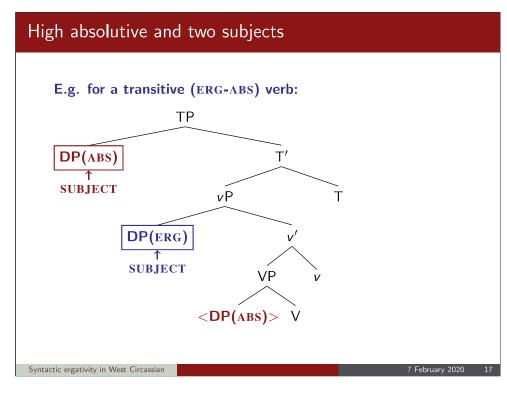
A-domain=TP
reciprocals
parasitic gaps

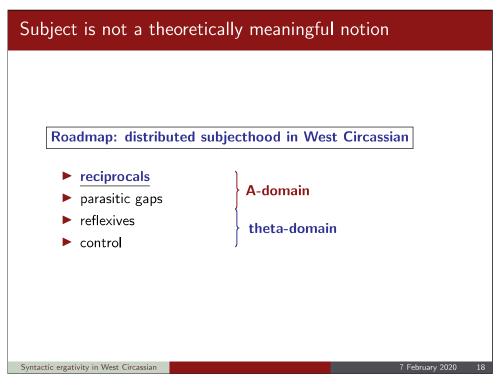
↓
S/O
ABS

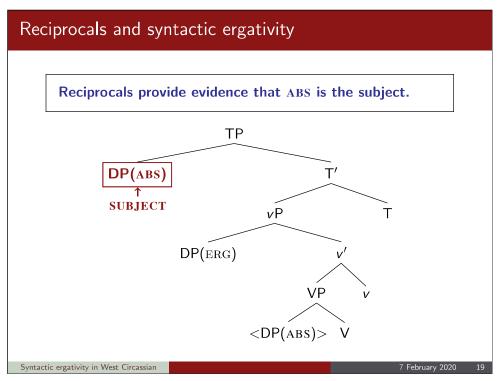
theta-domain=vP
reflexives
control
↓
S/A
ERG

Syntactic ergativity in West Circassian









Reciprocal binding is diagnosed morphologically

ABS external argument binds IO \Rightarrow REC replaces IO agreement you with us $\hat{s}^{w} \rightarrow q \rightarrow d \rightarrow d \rightarrow \hat{s}^{w} e \check{s}' t$ 2PL.ABS-DIR-IPL.IO-COM-dance.FUT

'You(pl) will dance with us'

Syntactic ergativity in West Circassian

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BASELINE

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Reciprocal binding is diagnosed morphologically

ABS external argument binds IO

⇒ REC replaces IO agreement

you with each other

argument binds IO

⇒ REC replaces IO agreement

you with each other

ś^wə- qə- **ze-** de- ŝ^weš't 2PL.ABS- DIR- REC.IO- COM- dance.FUT

RECIPROCAL

'You(pl) will dance with each other'

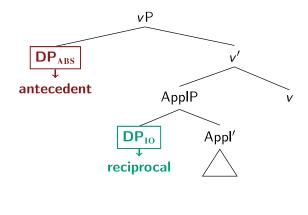
Syntactic ergativity in West Circassian

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Reciprocal binding is established via c-command

ABS external argument binds IO:



Reciprocals and syntactic ergativity

Reciprocals provide evidence for high absolutive:

- reciprocals are bound by a c-commanding antecedent
- ► ABS binds ERG ⇒ ABS c-commands ERG
- ► **ABS** is the subject

ABS binds ERG:

BASELINE

'We saw you(pl).'

Syntactic ergativity in West Circassian

Reciprocals and syntactic ergativity

Reciprocals provide evidence for high absolutive:

- ► reciprocals are bound by a c-commanding antecedent
- ► ABS binds ERG ⇒ ABS c-commands ERG
- ► ABS is the subject

ABS binds ERG:



zere-

each other

 $yек_{m}$ әк

1PL.ABS- REC.ERG- see.PST

RECIPROCAL

'We saw each other.'

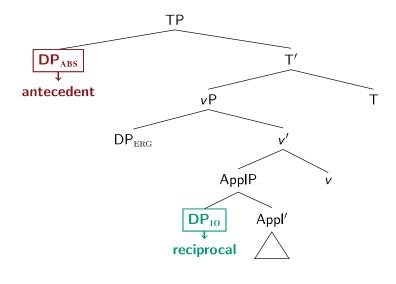
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Reciprocals and syntactic ergativity ABS binds reciprocals in ERG and IO positions: TP $\mathsf{DP}_{\mathsf{ABS}}$ antecedent vP $\mathsf{DP}_{\mathsf{ERG}}$ reciprocal ApplP DP_{10} Appl'

Reciprocals and syntactic ergativity

ABS binds reciprocals in ERG and IO positions:



Absolutive as the clause-level subject

ightharpoonup reciprocals ightarrow ABS c-commands ERG and IO



▶ other clause-level phenomena should single out ABS as the subject

Parasitic gaps confirm subjecthood of absolutive.

Syntactic ergativity in West Circassian

Subject is not a theoretically meaningful notion

Roadmap: distributed subjecthood in West Circassian

- ► reciprocals ✓
- parasitic gaps
- reflexives
- control

A-domain
theta-domain

Syntactic ergativity in West Circassian

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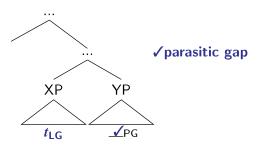
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Parasitic gaps as a subjecthood diagnostics

Anti-C-Command Condition (Engdahl 1983:22):

"A parasitic gap may not be c-commanded by the real gap."

See also Engdahl (1983); Aoun and Clark (1985); Chomsky (1986); Contreras (1987), a.o.

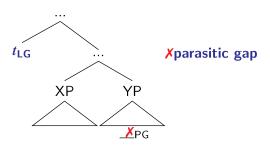


Parasitic gaps as a subjecthood diagnostics

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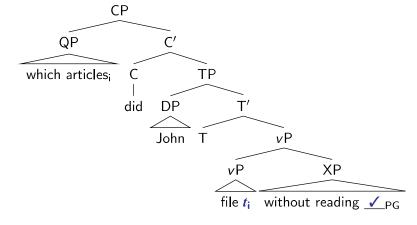
See also Engdahl (1983); Aoun and Clark (1985); Chomsky (1986); Contreras (1987), a.o.



Parasitic gaps as a subjecthood diagnostic

E.g. in English:

 $\textbf{object} \ \, \text{doesn't c-command adjunct} \Rightarrow \text{can license parasitic gap}$

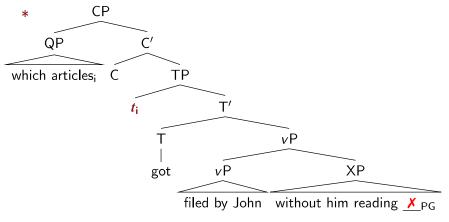


Syntactic ergativity in West Circassian

Parasitic gaps as a subjecthood diagnostic

E.g. in English:

subject c-commands adjunct ⇒ cannot license parasitic gap

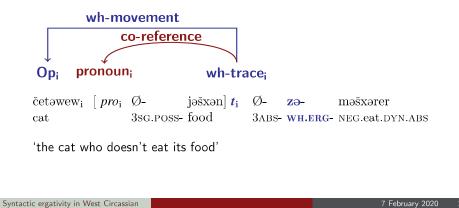


Syntactic ergativity in West Circassian

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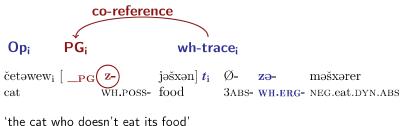
Possessor parasitic gaps in West Circassian (Ershova 2019a)

- ▶ wh-movement triggers wh-agreement on the predicate
- ▶ if there is a co-referent possessor pronoun



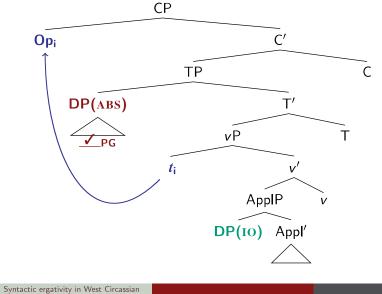
Possessor parasitic gaps in West Circassian (Ershova 2019a)

- ▶ wh-movement triggers wh-agreement on the predicate
- ▶ if there is a co-referent possessor pronoun it may be replaced by parasitic gap
- ▶ parasitic gap triggers additional wh-agreement

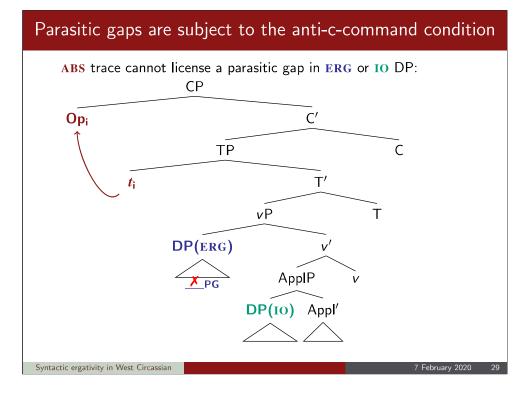


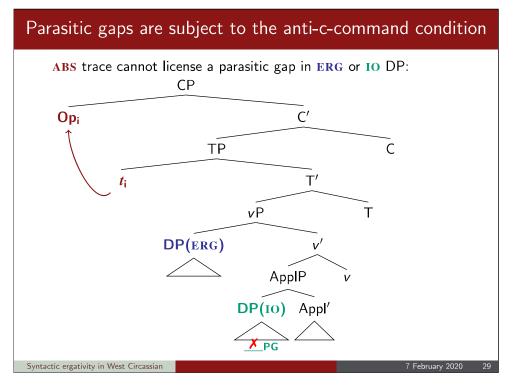
Parasitic gaps are subject to the anti-c-command condition

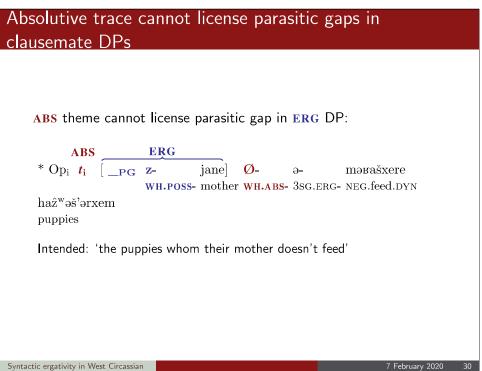
ERG or IO trace can license a parasitic gap in ABS DP:



Parasitic gaps are subject to the anti-c-command condition ERG or IO trace can license a parasitic gap in ABS DP: CP Opi TP T' ApplP V ApplP V Syntactic ergativity in West Circassian T February 2020 29







Absolutive trace cannot license parasitic gaps in clausemate DPs

ABS agent cannot license parasitic gap in IO DP:

* haw_i $t_i(ABS)$ [*_PG z- jəx w ezjajən] dog WH.POSS- owner \mathcal{O} - jeceqež'əxem

Intended: 'the dog that bit its owner'

WH.ABS- 3SG.IO- bite.PST.OBL

Syntactic ergativity in West Circassian

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Parasitic gaps confirm subjecthood of absolutive DP

- ► ABS trace cannot license parasitic gaps in ERG or IO DPs
- ightharpoonup
 igh
- ► ABS is the clause-level subject

Syntactic ergativity in West Circassian

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Diagnosing the lower subject

The **clause-level subject position** can be diagnosed by reciprocals and parasitic gaps.

Diagnostics for the lower subject position – the highest position in the theta-domain:

- reflexives
- control constructions

Subject is not a theoretically meaningful notion

Roadmap: distributed subjecthood in West Circassian

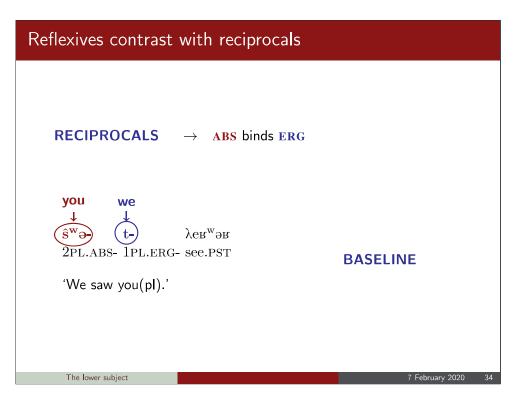
- ▶ reciprocals ✓
- ▶ parasitic gaps ✓
- reflexives
- control

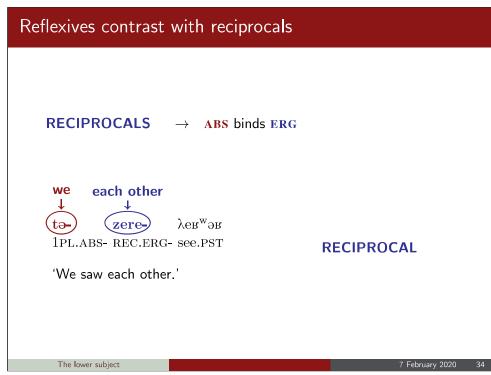
The lower subject

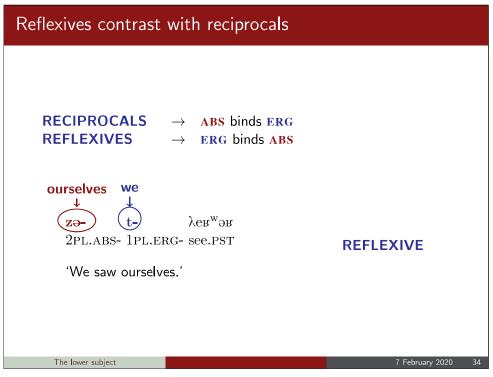
A-domain

theta-domain

The lower subject 7 February 2020 32



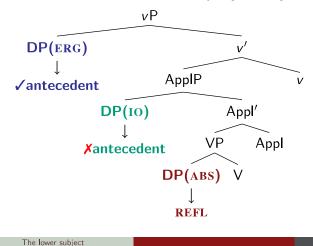




Conflicting results for subjecthood diagnostics Reciprocals and parasitic gaps → ABS c-commands ERG + ABS is the subject Reflexives → ERG c-commands ABS + ERG is the subject The explanation: Reflexives are local subject oriented must be bound by highest DP in the theta-domain

Local subject oriented reflexives

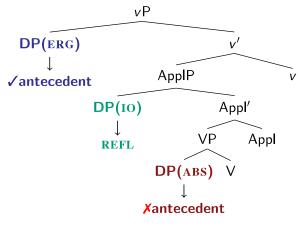
- ► See e.g. Rizzi (1986); Lidz (1996, 2001); Labelle (2008); Sportiche (2014); Ahn (2015); Bhatia and Poole (2016)
- \triangleright Reflexives must be bound by highest argument in ν P.



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Local subject oriented reflexives

- ► See e.g. Rizzi (1986); Lidz (1996, 2001); Labelle (2008); Sportiche (2014); Ahn (2015); Bhatia and Poole (2016)
- \triangleright Reflexives must be bound by highest argument in ν P.



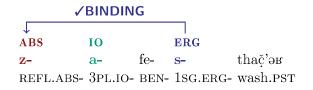
The lower subject

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Only highest argument in theta-domain can bind reflexive

E.g. ditransitive verb (ERG-IO-ABS):

- reflexive in ABS position
- **ERG** binds the reflexive



'I washed myself for them'

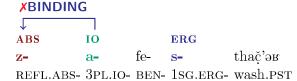
The lower subject

✓ ERG binds ABS

Only highest argument in theta-domain can bind reflexive

E.g. ditransitive verb (ERG-IO-ABS):

- reflexive in ABS position
- ► ERG binds the reflexive
- ► IO cannot bind reflexive



* 'I washed for them themselves.'

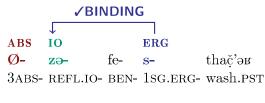
The lower subject 7 February 2020

X IO binds ABS

Only highest argument in theta-domain can bind reflexive

E.g. ditransitive verb (ERG-IO-ABS):

- reflexive in IO position
- ► ERG binds the reflexive



'I washed them for myself'

✓ ERG binds IO

The lower subject

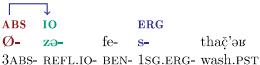
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Only highest argument in theta-domain can bind reflexive

E.g. ditransitive verb (ERG-IO-ABS):

- reflexive in IO position
- **ERG** binds the reflexive
- ► ABS cannot bind reflexive

XBINDING



* 'I washed them for themselves.'

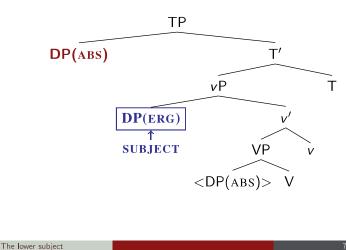
X ABS binds IO

The lower subject

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Highest nominal in theta-domain as the subject

- ightharpoonup reflexives must be bound by **highest nominal in** vP
- ightharpoonup \Rightarrow highest nominal in vP behaves as the subject

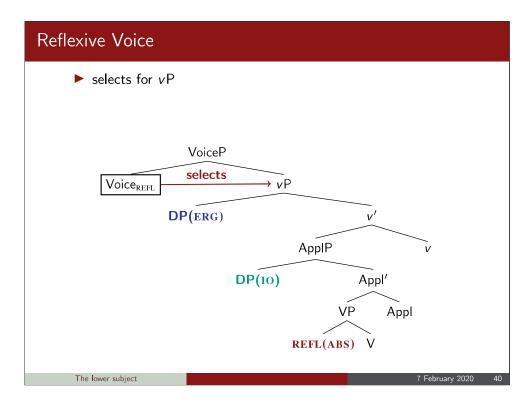


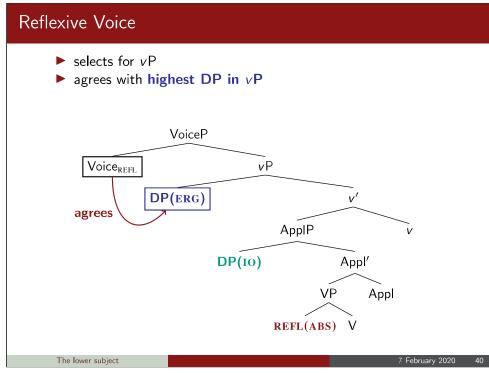
The explanation

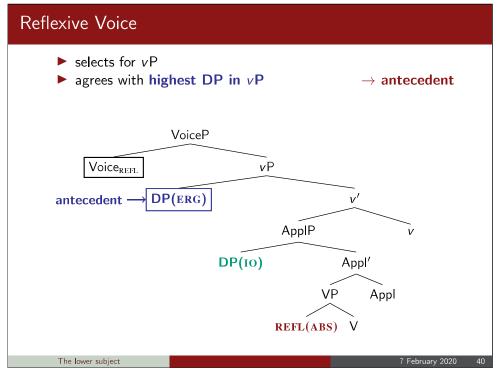
Reflexive binding is constrained by Voice⁰.

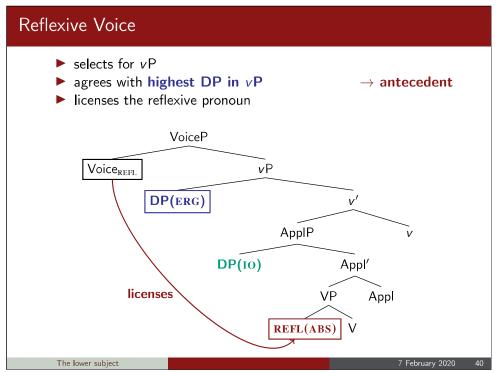
► See e.g. Labelle 2008; Ahn 2015; Bhatia and Poole 2016.

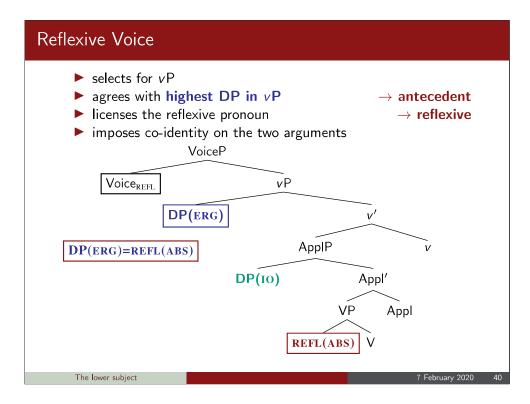
The lower subject

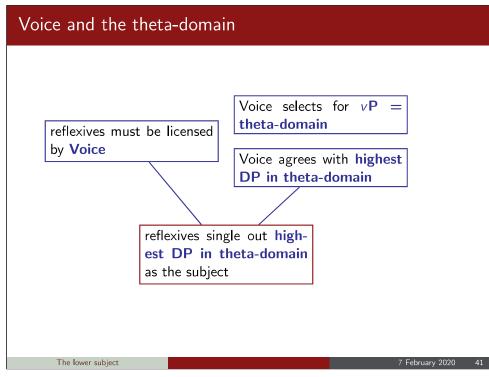


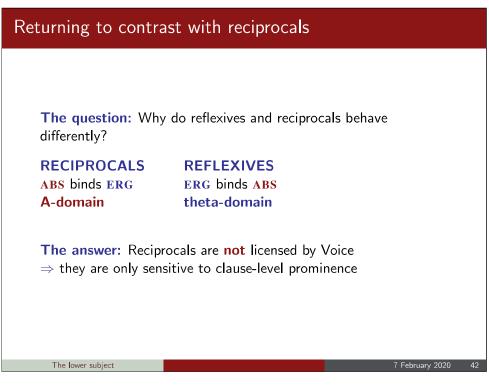


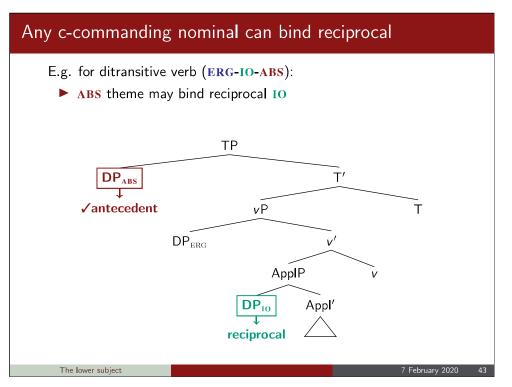












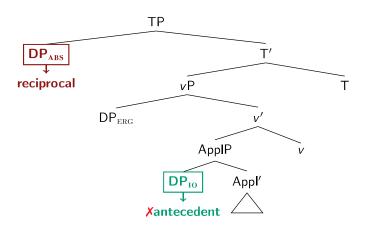
Any c-commanding nominal can bind reciprocal

E.g. for ditransitive verb (ERG-IO-ABS):

- ► ABS theme may bind reciprocal IO
- ▶ IO may not bind ABS theme

The lower subject

The lower subject



Absolutive theme can bind applied object

to each other

ABS- IO- ERGtello- je- š'as

1PL.ABS- REC.IO- BEN- 3SG.ERG- bring.PST

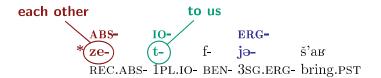
'S/he brought us to each other (= together).'

The lower subject

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Applied object cannot bind absolutive reciprocal



Intended: 'S/he brought to us each other.'

Reflexives versus reciprocals: summary

- reflexives are licensed by Voice
- reciprocals are not licensed by Voice

RECIPROCALS REFLEXIVES

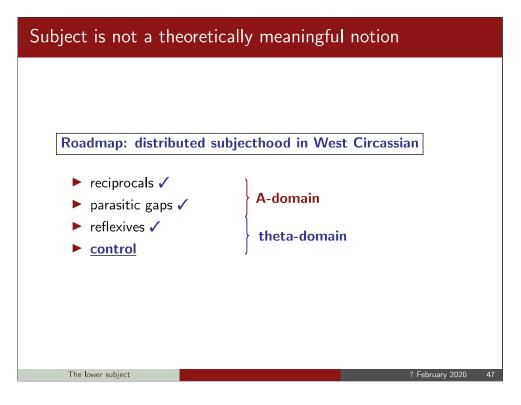
bound by c-commanding bound by highest DP in vP

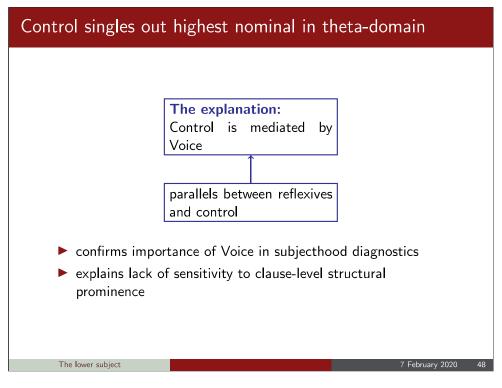
antecedent

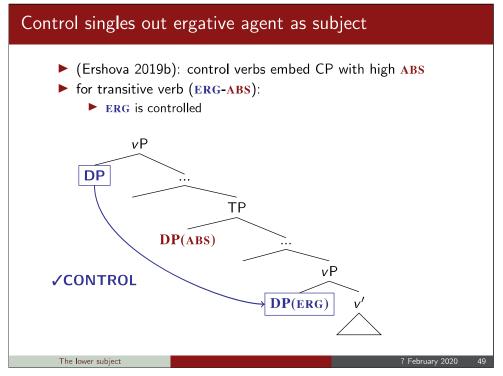
A-domain theta-domain

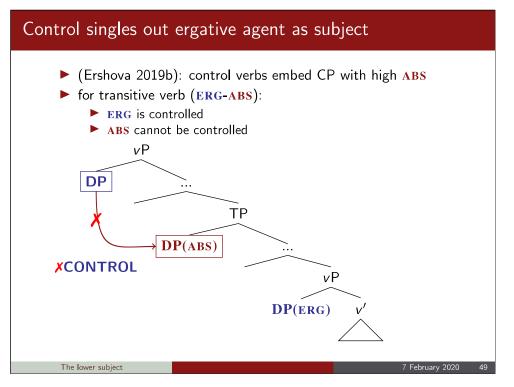
- clause-level (A-domain) subjecthood is confirmed by parasitic gaps
- ► NEXT: theta-domain subjecthood is confirmed by control constructions

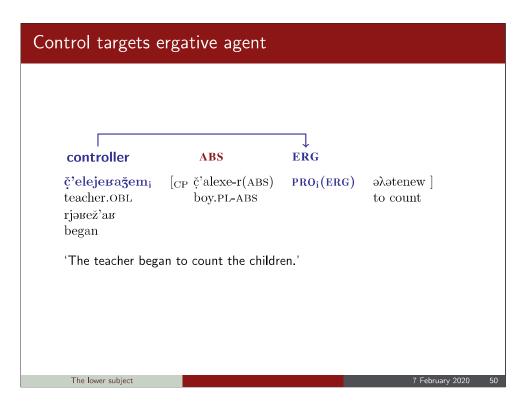
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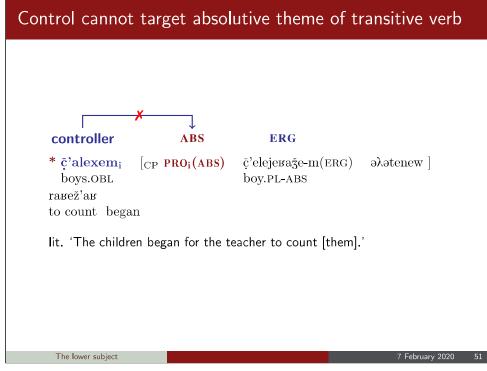


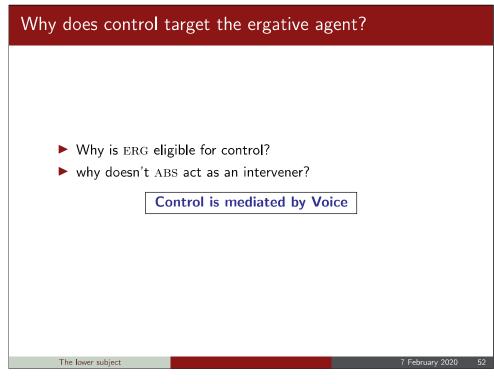


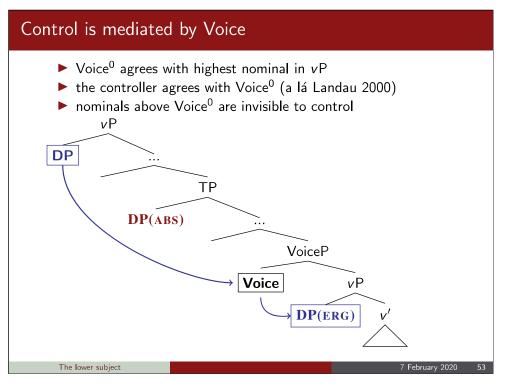


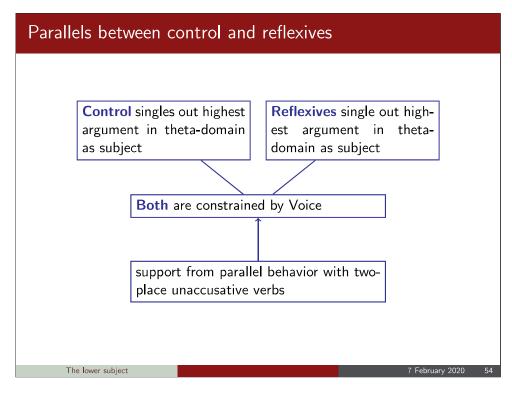


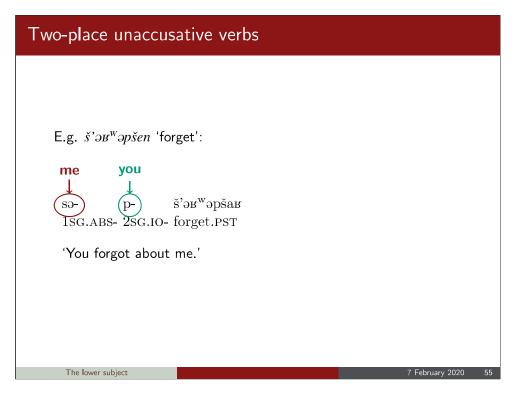




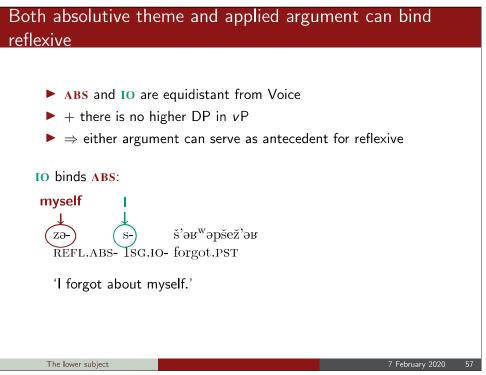


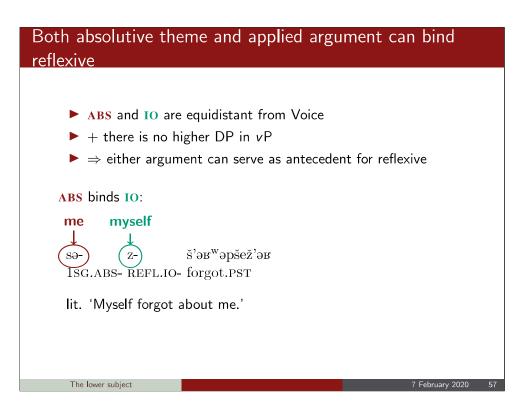


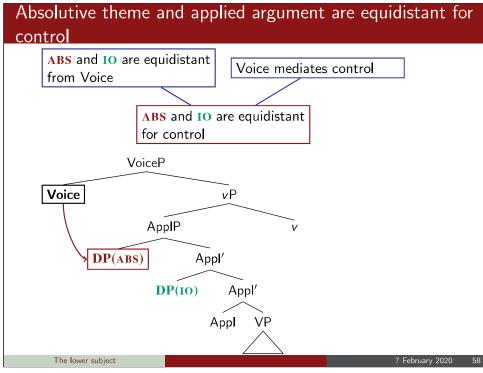


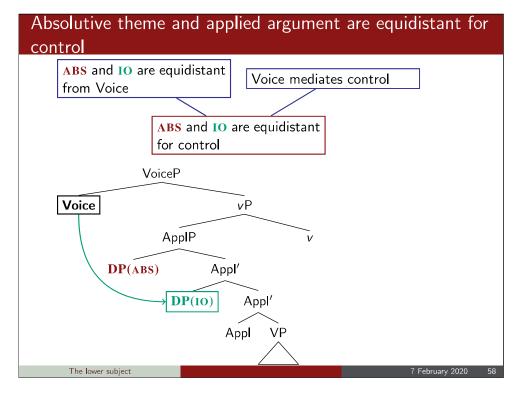


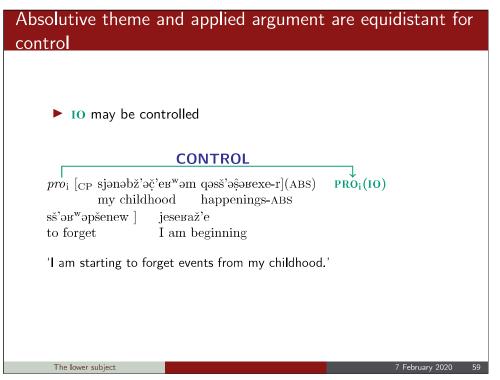
Absolutive theme and applied argument are equidistant from Voice ► Absolutive theme moves to Spec, ApplP (McGinnis 2000, 2001) ▶ both ABS and IO are in Spec, ApplP ▶ ⇒ they are equidistant from Voice VoiceP Voice νP ApplP **DP**(ABS) Appl' DP(IO) Appl' $\langle DP(ABS) \rangle V$ The lower subject











Absolutive theme and applied argument are equidistant for control

- ▶ 10 may be controlled
- ► ABS may be controlled

$\begin{array}{c|c} & & & \\ \hline & & & \\ g^w \\ \hline \text{os'} \\ \text{olog words} & & & \\ \hline \end{array} \\ \begin{array}{c|c} & & \\ \hline \text{CP PRO}_{\mathbf{i}}(\mathbf{ABS}) & \\ \hline \text{ss'} \\ \hline \text{orget} \\ \end{array}$

rasež'as] they are beginning

lit. 'Long words are beginning for me to be forgetting [them].'

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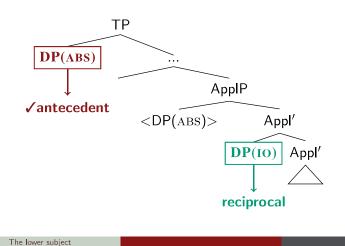
Control and reflexives versus reciprocals

- control and reflexives are constrained by Voice
- ▶ in two-place unaccusative verbs, ABS and IO are equidistant to Voice
- ▶ ⇒ either argument can be controlled or bind a reflexive
- ightharpoonup Contrast with reciprocals ightharpoonup only sensitive to full clause structure

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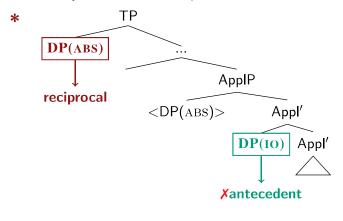
Reciprocals: absolutive theme binds applied argument

- ▶ at level of TP, ABS asymmetrically c-commands IO
- ► ⇒ ABS may bind IO reciprocal



Reciprocals: absolutive theme binds applied argument

- ▶ at level of TP, ABS asymmetrically c-commands IO
- ► ⇒ ABS may bind IO reciprocal
- ► IO may not bind ABS reciprocal



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Reciprocals: absolutive theme binds applied argument

Two-place unaccusative verbs:

► ABS can bind reciprocal in IO position

we each other

tə-IPL.ABS- REC.IO- forget.PST

'We forgot about each other.'

The lower subject

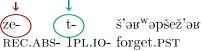
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Reciprocals: absolutive theme binds applied argument

Two-place unaccusative verbs:

- ► ABS can bind reciprocal in IO position
- ► IO cannot bind reciprocal in ABS position
- ▶ ⇒ reciprocals are only sensitive to clause-level c-command
- ► CONTRAST with reflexives and control

each other we



Intended: 'We forgot about each other.'

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Voice and subjecthood: Recap

- ightharpoonup sensitivity to c-command in theta-domain (vP) is conditioned by **Voice**
- Control and reflexives employ Voice ⇒ single out highest DP in vP as subject

Implications:

- ▶ confirms importance of **Voice** for subjecthood diagnostics
- accounts for distribution of subjecthood properties across several positions
- ▶ possible explanation for rarity of syntactic ergativity in control and binding (see e.g. Dixon 1994; Deal 2016; Polinsky 2016)

Subject is not a theoretically meaningful notion

Roadmap: distributed subjecthood in West Circassian

- reciprocals
- ▶ parasitic gaps ✓
- reflexives
- ► control ✓

Conclusion

A-domain

theta-domain

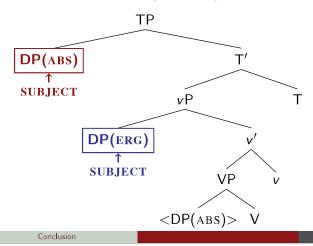
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Conclusion

In West Circassian, there are at least two subject positions:

- ▶ highest DP in the A-domain (TP)
- \blacktriangleright highest DP in the theta-domain (ν P)

E.g. for a transitive (ERG-ABS) verb:



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. . .

Implications for syntactic ergativity

The high position of the absolutive argument is:

- derived
- ► a **subject** position (=A-position)
- ▶ support for previous analyses of derived high absolutive (Bittner and Hale 1996; Manning 1996; Baker 1997; Aldridge 2008; Coon et al. 2014; Yuan 2018, a.o.)
- ▶ novel evidence for A-position status of high absolutive

Subject defined in terms of structural prominence

Correlates with how subjecthood diagnostics operate:

- ► Anaphors must be bound by a **c-commanding antecedent**: antecedent cannot be defined by semantic role of specific syntactic position
- ► Conditions on parasitic gap licensing are stated in terms of c-command
- ► Control is sensitive to **structural prominence** rather than syntactic position.

The notion of subjecthood

As a syntactically ergative language, West Circassian:

provides support for theories of distributed subjecthood

- ▶ in syntactically accusative languages, the same nominal moves through the different subject positions
 - ⇒ effects of distributed subjecthood only observable in limited contexts
- ▶ in syntactically ergative languages, the different subject positions are systematically occupied by distinct nominals
 - \Rightarrow fruitful testing ground for distribution of subjecthood properties

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The notion of subjecthood

As a syntactically ergative language, West Circassian:

provides evidence for a radical decomposition of subjecthood

2 subject positions + occupied by 2 distinct nominals

SUBJECT is not a theoretically meaningful notion

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The role of Voice in subjecthood diagnostics

Voice plays an important role in a two classic subjecthood diagnostics:

- reflexives
- ▶ control
- ▶ best observed in syntactically ergative languages
- ▶ in syntactically accusative languages, can be seen in limited contexts:
 - ► local subject oriented reflexives (Labelle 2008; Sportiche 2014; Ahn 2015, a.o.)
 - quirky subjects (Poole 2015)

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Local subject orientation and locality

Local subject orientation of reflexives is reduced to constraints on locality.

- ▶ support for locality-based analyses (Sportiche 2014; Ahn 2015; Bhatia and Poole 2016, a.o.): any argument may be antecedent, if it is the highest in the theta-domain
- ▶ confirms implicit prediction of Voice-based analyses:
 - ▶ in syntactically accusative languages, antecedent must be both deep and surface subject
 - this is epiphenomenal to syntax of Voice
 - ▶ in syntactically ergative languages, antecedent need not be the surface subject

Control and syntactic ergativity

- cross-linguistically, control very rarely (if at all) displays syntactic ergativity effects
 See e.g. Dixon (1994); Deal (2016); Polinsky (2016).
- explained by role of Voice in control: control is only sensitive to the organization of arguments in vP

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Broader connections: typology of anaphor binding

- contrast between reflexives and reciprocals in binding restrictions is common:
 even in same language, reflexives are often subject-oriented and reciprocals are not
- ► West Circassian anaphors fit into this general typology
- ► The bigger question: why this contrast between reflexives and reciprocals?

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Thank you!

- ► West Circassian consultants: Svetlana K. Alishaeva, Saida Gisheva, Susana K. Khatkova, and Zarema Meretukova
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Broader connections: Austronesian subjects

- ► Tagalog: subjecthood properties are distributed across two nominals
- ightharpoonup \Rightarrow two types of subjects:
 - ▶ grammatical subject
 - ► argument structure subject

See e.g. Schachter (1977); Guilfoyle et al. (1992); Manning (1996).

Current proposal:

- ► contribution to the empirical landscape
- dichotomy of subject types is not necessary
- subjecthood properties are defined by structural prominence and syntactic domain, not as primitives

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