

Exercise 2.4

Part a

$$X = \begin{Bmatrix} x_{00} & x_{01} & x_{02} & x_{03} & \dots & x_{0d+1} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ x_{d+10} & x_{d+11} & x_{d+12} & x_{d+13} & \dots & x_{d+1d+1} \end{Bmatrix}$$

$$y = [y_1, y_2, y_3 \dots y_{d+1}]$$

We have $xw = y$, since X is invertible so we can also get $w = yx^{-1}$. We can use equation " $w = yx^{-1}$ " to get all w s, so there must be a w for each y . Since we have $d+1$ y s, means will have $d+1$ w s, so there is at least $d+1$ data point can be shattered

Part b:

We know $y = \text{sign}((w^T)x)$

assume that x_{d+2} can be linearly represented as its $d+2$ points in a $d+1$ dimension.

So we will have:

$$x_{d+2} = \sum_{i=1}^{d+1} c_i x_i$$

$$x_{d+2} w^T = \sum_{i=1}^{d+1} c_i x_i w^T$$

$$\text{sign}(x_{d+2} w^T) = \text{sign}\left(\sum_{i=1}^{d+1} c_i x_i w^T\right)$$

So the result of $\text{sign}(x_{d+2} w^T)$ won't be affected by whatever input we have for x_{d+2} , it will only depend on the first $d+1$ data. Which means there are some dichotomies that won't be able to be implemented.

Problem 2.3:

Part a:

With N points, the line can split into $N+1$ regions for each of the positive and negative rays.

$mH(N) = 2N+2$, consider there is situation where points are all -1 or $+1$, so $mH(N) = 2N$

$$mH(2) = 2 \cdot 2 = 4 = 2^2$$

$$mH(3) = 2 \cdot 3 = 6 < 2^3$$

$$d_{vc} = 2$$

Part b:

With N points, we need choose 2 spot that is in between data points, so there is $N+1$ spot can be choose from, but if we choose the 2 same spot will be the same as choose from the start of

the data set to the end of the data set (data points are all +1 or all -1), so we need to subtract $2N$ case, also the end regions fall in the same religion, so need to add 2. We have:

$$2\left(\frac{N+1}{2}\right) - 2N + 2 \quad (\text{can't do } (N+1) \text{ choose 2 on docs so just show like this})$$

$$\left(\frac{N+1}{2}\right) = (N+1)N - 2N + 2 = N^2 - N + 2,$$

$$mH(3) = 9 - 3 + 2 = 8 = 2^3$$

$$mH(4) = 4 \cdot 4 - 4 + 2 = 14 < 2^4$$

$$d_{vc} = 3$$

Part c:

Similar to part c, but in part c interval can be +1 or -1, but for this one it will always be -1 in the interval.

$$mH(2) = (2^2 - 2 + 2)/2 = 4 = 2^2$$

$$mH(3) = (3^2 - 3 + 2)/2 < 2^3$$

$$d_{vc} = 2$$

Problem 2.8:

$$m_{\mathcal{H}}(N) \leq N^{d_{vc}} + 1.$$

We have

$$2^{\lfloor \sqrt{N} \rfloor}; \quad 2^{\lfloor N/2 \rfloor}$$

For $2^{\lfloor \sqrt{N} \rfloor}$, since they are not polynomials about N and they are not 2^N , so they can't be possible growth functions.

$$1 + N: mH(1) = 2 = 2^1, mH(2) = 3 < 2^2$$

$$\text{So } d_{vc} = 1, mH(N) \leq N^{d_{vc}} + 1 \leq N + 1 \text{ true.}$$

it is growing function

$$1 + N + N(N-1)/2: mH(1) = 2 = 2^1, mH(2) = 4 = 2^2, mH(3) = 7 < 2^3$$

$$\text{So } d_{vc} = 2, mH(N) \leq N^{d_{vc}} + 1 \leq N^2 + 1 \text{ true.}$$

it is growing function

2^N will always = 2^N

So d_{vc} = infinite, it is growing function

$$2^{\lfloor \sqrt{N} \rfloor}$$

$$: mH(1) = 2 = 2^1, mH(2) = 2 < 2^2$$

$$\text{So } d_{vc} = 1, \text{ but } mH(N) \not\leq N^{d_{vc}},$$

So it can't be a growth function

$$2^{\lfloor N/2 \rfloor}$$

$$: mH(1) = 1 < 2^1$$

So $d_{vc} = 0$, it can't be a growth function

$$1 + N + \frac{N(N-1)(N-2)}{6}$$

$$mH(1) > 2^1, mH(2) < 2^2$$

So $d_{vc} = 1$, but $1 + N + N(N-1)(N-2)/6 > N^{d_{vc}} + 1 = N + 1$

So it can't be a growth function.

Therefore $1 + N$, $1 + N + N(N-1)/2$, 2^N are the possible growth function

Problem 2.10

We can separate $2N$ points into 2 separated data sets each with N points. The max dichotomy for each N points data set is $mH(N)$, so the combination of $2N$ points will be max $mH(N)^2$.

So, $mH(2N) \leq mH(N)^2$

$$\text{Generalization bound: } E_{out}(g) \leq E_{in}(g) + \sqrt{\frac{8}{N} \ln \frac{4m_H(N)^2}{\delta}}$$

Problem 2.12

Form:

Theorem 2.5 (VC generalization bound). For any tolerance $\delta > 0$,

$$E_{out}(g) \leq E_{in}(g) + \sqrt{\frac{8}{N} \ln \frac{4m_{\mathcal{H}}(2N)}{\delta}}$$

with probability $\geq 1 - \delta$.

So we have $\delta = 0.05$, and $d_{vc} = 10$,

$$m_{\mathcal{H}}(N) \leq N^{d_{vc}} + 1.$$

Also

$$\text{So } P(E_{out}(g) \leq E_{in}(g) + \sqrt{\frac{8}{N} \ln \frac{4m_{\mathcal{H}}(2N)^2}{\delta}}) \geq 0.95$$

$$P(E_{out}(g) \leq E_{in}(g) + \sqrt{\frac{8}{N} \ln \frac{4((20)^2 + 1)}{0.05}}) \geq 0.95$$

After iterator in python (code submitted), I got $N = 452957$