

# 1 Complete Machine Learning Numericals - Step-by-Step Solutions

## 1.1 Comprehensive Study Guide for BTech Final Year Exams

### 1.1.1 All Formulas & Detailed Calculations

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## 1.2 PART 1: ACTIVATION FUNCTIONS

### 1.2.1 1. Sigmoid Activation Function

**Formula:**

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$

**Properties:** - Output range: (0, 1) - Used for binary classification output layers - Smooth, differentiable curve

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**Example 1: Calculate sigmoid(2)**

**Given:**  $x = 2$

**Step-by-Step Solution:**

1. Calculate the exponent:  $-x = -2$

2. Compute  $e^{-2}$  (where  $e \approx 2.71828$ ):

$$e^{-2} = \frac{1}{e^2} = \frac{1}{7.389} \approx 0.1353$$

3. Add 1 to the result:

$$1 + e^{-2} = 1 + 0.1353 = 1.1353$$

4. Calculate reciprocal:

$$\sigma(2) = \frac{1}{1.1353} \approx 0.8808$$

**Final Result:**

$$\boxed{\sigma(2) \approx 0.8808}$$

**Interpretation:** 88.08% probability (high activation)

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**Example 2: Calculate sigmoid(-1)****Given:**  $x = -1$ **Step-by-Step Solution:**

1. Calculate the exponent:  $-x = -(-1) = 1$

2. Compute  $e^1$ :

$$e^1 \approx 2.7183$$

3. Add 1:

$$1 + e^1 = 1 + 2.7183 = 3.7183$$

4. Calculate reciprocal:

$$\sigma(-1) = \frac{1}{3.7183} \approx 0.2689$$

**Final Result:**

$\sigma(-1) \approx 0.2689$

**Interpretation:** 26.89% probability (low activation)**1.2.2 2. Tanh (Hyperbolic Tangent) Activation Function****Formula:**

$$\tanh(x) = \frac{e^x - e^{-x}}{e^x + e^{-x}}$$

**Properties:** - Output range: (-1, 1) - Centered at zero (zero mean) - Better for hidden layers than sigmoid**Example 1: Calculate tanh(1)****Given:**  $x = 1$ **Step-by-Step Solution:**

1. Compute exponentials:

- $e^1 \approx 2.7183$
- $e^{-1} = \frac{1}{e} \approx 0.3679$

2. Calculate numerator:

$$e^1 - e^{-1} = 2.7183 - 0.3679 = 2.3504$$

3. Calculate denominator:

$$e^1 + e^{-1} = 2.7183 + 0.3679 = 3.0862$$

4. Divide:

$$\tanh(1) = \frac{2.3504}{3.0862} \approx 0.7616$$

**Final Result:**

$$\boxed{\tanh(1) \approx 0.7616}$$

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**Example 2: Calculate  $\tanh(0.5)$**

**Given:**  $x = 0.5$

**Step-by-Step Solution:**

1. Compute exponentials:

- $e^{0.5} \approx 1.6487$
- $e^{-0.5} \approx 0.6065$

2. Calculate numerator:

$$e^{0.5} - e^{-0.5} = 1.6487 - 0.6065 = 1.0422$$

3. Calculate denominator:

$$e^{0.5} + e^{-0.5} = 1.6487 + 0.6065 = 2.2552$$

4. Divide:

$$\tanh(0.5) = \frac{1.0422}{2.2552} \approx 0.4621$$

**Final Result:**

$$\boxed{\tanh(0.5) \approx 0.4621}$$

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### 1.2.3 3. ReLU (Rectified Linear Unit) Activation Function

**Formula:**

$$\text{ReLU}(x) = \begin{cases} 0 & \text{if } x < 0 \\ x & \text{if } x \geq 0 \end{cases} = \max(0, x)$$

**Properties:** - Output range:  $[0, \infty)$  - Most popular in modern deep networks  
- Computationally efficient

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**Example: Calculate ReLU for  $x = -3, 0, 4$**

**Solution:**

- **For  $x = -3$ :** Since  $-3 < 0$ ,

$$\text{ReLU}(-3) = 0$$

- **For  $x = 0$ :** Since  $0 \geq 0$ ,

$$\text{ReLU}(0) = 0$$

- **For  $x = 4$ :** Since  $4 > 0$ ,

$$\text{ReLU}(4) = 4$$

**Final Results:**

$\text{ReLU}(-3) = 0, \text{ReLU}(0) = 0, \text{ReLU}(4) = 4$
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#### 1.2.4 4. Softmax Activation Function

**Formula:** For input vector  $\mathbf{z} = [z_1, z_2, \dots, z_n]$ :

$$\text{softmax}(z_i) = \frac{e^{z_i}}{\sum_{j=1}^n e^{z_j}}$$

**Properties:** - Output range:  $(0, 1)$  for each element  
- Sum of all outputs = 1 (probability distribution)  
- Used for multi-class classification

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**Example 1: Calculate softmax([1, 2, 3])**

**Given:**  $\mathbf{z} = [1, 2, 3]$

**Step-by-Step Solution:**

1. Compute exponentials for each element:

- $e^1 \approx 2.7183$
- $e^2 \approx 7.3891$
- $e^3 \approx 20.0855$

2. Sum all exponentials:

$$\sum_{j=1}^3 e^{z_j} = 2.7183 + 7.3891 + 20.0855 = 30.1929$$

3. Calculate softmax for each element:

- $\text{softmax}(1) = \frac{2.7183}{30.1929} = 0.0901$

- $\text{softmax}(2) = \frac{7.3891}{30.1929} = 0.2447$
- $\text{softmax}(3) = \frac{20.0855}{30.1929} = 0.6652$

**Final Result:**

$$\boxed{\text{softmax}([1, 2, 3]) = [0.0901, 0.2447, 0.6652]}$$

**Verification:**  $0.0901 + 0.2447 + 0.6652 = 1.0000$

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**Example 2: Calculate softmax([0, 0, 0])**

**Given:**  $\mathbf{z} = [0, 0, 0]$  (equal values)

**Step-by-Step Solution:**

1. Compute exponentials:

- $e^0 = 1$
- $e^0 = 1$
- $e^0 = 1$

2. Sum:

$$\sum e^{z_j} = 1 + 1 + 1 = 3$$

3. Calculate softmax:

- $\text{softmax}(0) = \frac{1}{3} \approx 0.3333$
- $\text{softmax}(0) = \frac{1}{3} \approx 0.3333$
- $\text{softmax}(0) = \frac{1}{3} \approx 0.3333$

**Final Result:**

$$\boxed{\text{softmax}([0, 0, 0]) = [0.3333, 0.3333, 0.3333]}$$

**Interpretation:** Uniform probability (no preference, equal likelihood)

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## 1.3 PART 2: LOSS FUNCTIONS

### 1.3.1 1. Mean Squared Error (MSE) Loss

**Formula:**

$$L_{\text{MSE}} = \frac{1}{n} \sum_{i=1}^n (y_i - \hat{y}_i)^2$$

Where: -  $n$  = number of samples -  $y_i$  = actual value -  $\hat{y}_i$  = predicted value

**Properties:** - Used for regression tasks - Penalizes large errors more heavily

- Range:  $[0, \infty)$

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**Example: Multiple Predictions**

**Given:** - Target outputs:  $y = [0.4, 0.8, 0.1, 0.7]$  - Predicted outputs:  $\hat{y} = [0.5, 0.7, 0.2, 0.6]$  - Number of samples:  $n = 4$

**Step-by-Step Solution:**

1. Calculate error for each sample:

- Sample 1:  $y_1 - \hat{y}_1 = 0.4 - 0.5 = -0.1$
- Sample 2:  $y_2 - \hat{y}_2 = 0.8 - 0.7 = 0.1$
- Sample 3:  $y_3 - \hat{y}_3 = 0.1 - 0.2 = -0.1$
- Sample 4:  $y_4 - \hat{y}_4 = 0.7 - 0.6 = 0.1$

2. Square each error:

- $(-0.1)^2 = 0.01$
- $(0.1)^2 = 0.01$
- $(-0.1)^2 = 0.01$
- $(0.1)^2 = 0.01$

3. Sum squared errors:

$$\sum_{i=1}^4 (y_i - \hat{y}_i)^2 = 0.01 + 0.01 + 0.01 + 0.01 = 0.04$$

4. Divide by number of samples:

$$L_{\text{MSE}} = \frac{0.04}{4} = 0.01$$

**Final Result:**

$$L_{\text{MSE}} = 0.01$$

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### 1.3.2 2. Mean Absolute Error (MAE) Loss

**Formula:**

$$L_{\text{MAE}} = \frac{1}{n} \sum_{i=1}^n |y_i - \hat{y}_i|$$

**Properties:** - Used for regression tasks - Robust to outliers - Less sensitive to large errors than MSE

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**Example: Multiple Predictions (Same Data)**

**Given:** - Target outputs:  $y = [0.4, 0.8, 0.1, 0.7]$  - Predicted outputs:  $\hat{y} = [0.5, 0.7, 0.2, 0.6]$  - Number of samples:  $n = 4$

**Step-by-Step Solution:**

1. Calculate absolute error for each sample:

- $|0.4 - 0.5| = |-0.1| = 0.1$
- $|0.8 - 0.7| = |0.1| = 0.1$
- $|0.1 - 0.2| = |-0.1| = 0.1$
- $|0.7 - 0.6| = |0.1| = 0.1$

2. Sum absolute errors:

$$\sum_{i=1}^4 |y_i - \hat{y}_i| = 0.1 + 0.1 + 0.1 + 0.1 = 0.4$$

3. Divide by number of samples:

$$L_{\text{MAE}} = \frac{0.4}{4} = 0.1$$

**Final Result:**

$$L_{\text{MAE}} = 0.1$$

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### 1.3.3 3. Binary Cross-Entropy (BCE) Loss

**Formula:**

$$L_{\text{BCE}} = -[y \cdot \log(\hat{y}) + (1 - y) \cdot \log(1 - \hat{y})]$$

Where: -  $y \in \{0, 1\}$  (binary target) -  $\hat{y} \in (0, 1)$  (predicted probability)

**Properties:** - Used for binary classification - Natural for probability outputs  
- Range:  $[0, \infty)$

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**Example 1: Positive Case (y=1)****Given:** - Target:  $y = 1$  - Prediction:  $\hat{y} = 0.72$ **Step-by-Step Solution:**

1. Note that  $(1 - y) = 1 - 1 = 0$ , so the second term becomes zero:

$$L_{\text{BCE}} = -[1 \cdot \log(0.72) + 0 \cdot \log(1 - 0.72)]$$

$$L_{\text{BCE}} = -[1 \cdot \log(0.72)]$$

2. Calculate  $\log(0.72)$  (natural logarithm):

$$\ln(0.72) \approx -0.3285$$

3. Apply formula:

$$L_{\text{BCE}} = -(-0.3285) = 0.3285$$

**Final Result:**

$$L_{\text{BCE}} = 0.3285$$

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**Interpretation:** Low loss (good prediction - model predicted high probability for positive class)

**Example 2: Negative Case (y=0)****Given:** - Target:  $y = 0$  - Prediction:  $\hat{y} = 0.15$ **Step-by-Step Solution:**

1. Note that  $y = 0$ , so first term becomes zero:

$$L_{\text{BCE}} = -[0 \cdot \log(0.15) + (1 - 0) \cdot \log(1 - 0.15)]$$

$$L_{\text{BCE}} = -[\log(0.85)]$$

2. Calculate  $\log(0.85)$ :

$$\ln(0.85) \approx -0.1625$$

3. Apply formula:

$$L_{\text{BCE}} = -(-0.1625) = 0.1625$$

**Final Result:**

$$L_{\text{BCE}} = 0.1625$$

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**Interpretation:** Low loss (good prediction - model predicted low probability for negative class)

## 1.4 PART 3: NLP TECHNIQUES

### 1.4.1 1. Bag of Words (BoW)

**Concept:** Count frequency of each word in a document

**Formula:**

$$\text{BoW}[i] = \text{count of word}_i \text{ in document}$$

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**Example: Three Sentences**

**Corpus:** 1. “Text processing is necessary” 2. “Text processing is necessary and important” 3. “Text processing is easy”

**Step-by-Step Solution:**

1. Create vocabulary (unique words):

$$\text{Vocabulary} = \{\text{text, processing, is, necessary, and, important, easy}\}$$

$$|V| = 7 \text{ words}$$

2. Create BoW vector for each document by counting:

**Document 1:** “Text processing is necessary”

- text: 1, processing: 1, is: 1, necessary: 1, and: 0, important: 0, easy: 0

$$\text{BoW}_1 = [1, 1, 1, 1, 0, 0, 0]$$

**Document 2:** “Text processing is necessary and important”

- text: 1, processing: 1, is: 1, necessary: 1, and: 1, important: 1, easy: 0

$$\text{BoW}_2 = [1, 1, 1, 1, 1, 1, 0]$$

**Document 3:** “Text processing is easy”

- text: 1, processing: 1, is: 1, necessary: 0, and: 0, important: 0, easy: 1

$$\text{BoW}_3 = [1, 1, 1, 0, 0, 0, 1]$$

**Final Result:**

```
[@ ip() * 0.1562 ip() * 0.0938 ip() * 0.1875 ip() * 0.0625 ip() * 0.1719 ip() *
0.0625 ip() * 0.1719 ip() * 0.0938@ Document
text
processing
is
necessary
and
important
```

easy

1	1	1	1	1	0	0	0
2	1	1	1	1	1	1	0
3	1	1	1	0	0	0	1

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#### 1.4.2 2. TF-IDF (Term Frequency - Inverse Document Frequency)

Formulas:

$$TF(t, d) = \frac{\text{count of term } t \text{ in document } d}{\text{total terms in document } d}$$

$$IDF(t) = \log \left( \frac{\text{total documents}}{\text{documents containing term } t} \right)$$

$$\text{TF-IDF}(t, d) = TF(t, d) \times IDF(t)$$


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#### Example: Two Documents

**Corpus:** - Doc 1: “Text processing is necessary” (4 words) - Doc 2: “Text processing is necessary and important” (6 words) - Total documents:  $N = 2$

##### Step 1: Calculate TF (Term Frequency)

For each word, calculate:  $TF = \frac{\text{word count}}{\text{total words in doc}}$

[@lllll@ Term Count Doc 1 TF Doc 1 Count Doc 2 TF Doc 2

text 1 1/4 = 0.25 1 1/6 ≈ 0.167

processing11/4 = 0.2511/6 ≈ 0.167

is11/4 = 0.2511/6 ≈ 0.167

necessary11/4 = 0.2511/6 ≈ 0.167

and0011/6 ≈ 0.167

important0011/6 ≈ 0.167

##### Step 2: Calculate IDF (Inverse Document Frequency)

For each word:  $IDF = \log \left( \frac{N}{\text{doc count}} \right)$

[@lll@ Term Doc Count IDF = log(2/count)

text 2 (in both) log(2/2) = log(1) = 0

processing 2 (in both) log(2/2) = 0

is 2 (in both) log(2/2) = 0

necessary 2 (in both) log(2/2) = 0

and 1 (only Doc 2) log(2/1) = log(2) ≈ 0.693

important1(onlyDoc2)log(2/1) ≈ 0.693

##### Step 3: Calculate TF-IDF = TF × IDF

```

]@lll@ Term TF-IDF Doc 1 TF-IDF Doc 2
text  $0.25 \times 0 = 0$   $0.167 \times 0 = 0$ 
processing  $0.25 \times 0 = 0$   $0.167 \times 0 = 0$ 
is  $0.25 \times 0 = 0$   $0.167 \times 0 = 0$ 
necessary  $0.25 \times 0 = 0$   $0.167 \times 0 = 0$ 
and  $0 \times 0.693 = 0$   $0.167 \times 0.693 \approx 0.116$ 
important  $0.693 = 0$   $0.167 \times 0.693 \approx 0.116$ 

```

**Final Result:** - Common words (text, processing, is, necessary) have TF-IDF = 0 - Unique words (and, important) to Doc 2 have highest scores -  $\text{TF-IDF}_{\text{Doc1}} = [0, 0, 0, 0, 0, 0]$  -  $\text{TF-IDF}_{\text{Doc2}} = [0, 0, 0, 0, 0.116, 0.116]$

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#### 1.4.3 3. Co-occurrence Matrix

**Concept:** Count how often words appear together within a context window

**Formula:**

$$\text{Co-occurrence}[i, j] = \text{count of times word}_i \text{ appears near word}_j$$


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**Example: Context Window = 1**

**Sentences:** - “India won the match.” - “I like the match.”

**Words:** [India, won, the, match, I, like]

**Step-by-Step Solution:**

For each word, count neighbors within distance 1:

**Building the matrix:**

Neighbors of “India”: [won] Neighbors of “won”: [India, the] Neighbors of “the”: [won, match] (from sentence 1), [I, match] (from sentence 2) Neighbors of “match”: [the] (twice) Neighbors of “I”: [like, the] Neighbors of “like”: [I, the]

**Co-occurrence Matrix (6×6):**

]@llllll@ India won the match I like

<b>India</b>	0	1	0	0	0	0
<b>won</b>	1	0	1	0	0	0
<b>the</b>	0	1	0	2	1	1
<b>match</b>	0	0	2	0	0	0
<b>I</b>	0	0	1	0	0	1
<b>like</b>	0	0	1	0	1	0

**Interpretation:** - “India” appears near “won” 1 time - “the” appears near “match” 2 times (appears in both sentences) - “I” appears near “like” 1 time

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#### 1.4.4 4. Word Embedding (Cosine Similarity)

**Concept:** Measure semantic similarity between word vectors

**Formula:**

$$\text{Cosine Similarity}(A, B) = \frac{A \cdot B}{\|A\| \times \|B\|} = \frac{\sum A_i B_i}{\sqrt{\sum A_i^2} \times \sqrt{\sum B_i^2}}$$

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**Example: Similarity between “India” and “country”**

**Given Word Embeddings:** -  $V(\text{India}) = [0.2, -0.5, 0.3, 0.1]$  -  $V(\text{country}) = [0.3, -0.4, 0.2, 0.2]$

**Step-by-Step Solution:**

1. Calculate dot product ( $A \cdot B$ ):

$$\begin{aligned} A \cdot B &= (0.2)(0.3) + (-0.5)(-0.4) + (0.3)(0.2) + (0.1)(0.2) \\ &= 0.06 + 0.20 + 0.06 + 0.02 = 0.34 \end{aligned}$$

2. Calculate magnitude of A:  $\|A\| = \sqrt{\sum A_i^2}$

$$\begin{aligned} \|A\| &= \sqrt{(0.2)^2 + (-0.5)^2 + (0.3)^2 + (0.1)^2} \\ &= \sqrt{0.04 + 0.25 + 0.09 + 0.01} = \sqrt{0.39} \approx 0.624 \end{aligned}$$

3. Calculate magnitude of B:  $\|B\| = \sqrt{\sum B_i^2}$

$$\begin{aligned} \|B\| &= \sqrt{(0.3)^2 + (-0.4)^2 + (0.2)^2 + (0.2)^2} \\ &= \sqrt{0.09 + 0.16 + 0.04 + 0.04} = \sqrt{0.33} \approx 0.574 \end{aligned}$$

4. Calculate cosine similarity:

$$\text{Similarity} = \frac{0.34}{0.624 \times 0.574} = \frac{0.34}{0.358} \approx 0.949$$

**Final Result:**

Cosine Similarity $\approx 0.949$ (very high - semantically similar)
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## 1.5 PART 4: GRADIENT DESCENT

### 1.5.1 Gradient Descent - Weight Update Algorithm

**Formula:**

$$w_{\text{new}} = w_{\text{old}} - \eta \cdot \frac{\partial L}{\partial w}$$

Where: -  $w$  = weight parameter -  $\eta$  = learning rate (step size) -  $\frac{\partial L}{\partial w}$  = gradient (partial derivative of loss with respect to weight)

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#### Complete Example: Linear Regression with 3 Iterations

**Setup:** - Model:  $\hat{y} = w \cdot x + b$  (simple linear) - Training data point:  $x = 2$ ,  $y = 4$  (actual) - Initial parameters:  $w_0 = 0.5$ ,  $b_0 = 0.1$  - Learning rate:  $\eta = 0.01$  - Loss function:  $L = (y - \hat{y})^2$  (MSE)

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#### ITERATION 1:

##### Step 1: Forward Pass - Calculate Prediction

$$\hat{y} = w \cdot x + b = 0.5 \times 2 + 0.1 = 1.0 + 0.1 = 1.1$$

##### Step 2: Calculate Loss

$$L = (y - \hat{y})^2 = (4 - 1.1)^2 = (2.9)^2 = 8.41$$

##### Step 3: Compute Gradients using Chain Rule

Gradient of loss w.r.t. prediction:

$$\frac{\partial L}{\partial \hat{y}} = -2(y - \hat{y}) = -2(4 - 1.1) = -2(2.9) = -5.8$$

Gradient of prediction w.r.t. weight:

$$\frac{\partial \hat{y}}{\partial w} = x = 2$$

Gradient of loss w.r.t. weight (chain rule):

$$\frac{\partial L}{\partial w} = \frac{\partial L}{\partial \hat{y}} \times \frac{\partial \hat{y}}{\partial w} = -5.8 \times 2 = -11.6$$

Gradient of loss w.r.t. bias:

$$\frac{\partial \hat{y}}{\partial b} = 1$$

$$\frac{\partial L}{\partial b} = -5.8 \times 1 = -5.8$$

##### Step 4: Update Parameters

Update weight:

$$w_{\text{new}} = w_{\text{old}} - \eta \cdot \frac{\partial L}{\partial w}$$

$$w_1 = 0.5 - 0.01 \times (-11.6) = 0.5 + 0.116 = 0.616$$

Update bias:

$$b_{\text{new}} = b_{\text{old}} - \eta \cdot \frac{\partial L}{\partial b}$$

$$b_1 = 0.1 - 0.01 \times (-5.8) = 0.1 + 0.058 = 0.158$$

**Iteration 1 Results:**  $w = 0.616, b = 0.158, \hat{y} = 1.39, L = 6.81$

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## ITERATION 2:

**Step 1: Forward Pass**

$$\hat{y} = 0.616 \times 2 + 0.158 = 1.232 + 0.158 = 1.39$$

**Step 2: Calculate Loss**

$$L = (4 - 1.39)^2 = (2.61)^2 = 6.8121$$

**Step 3: Compute Gradients**

$$\frac{\partial L}{\partial \hat{y}} = -2(4 - 1.39) = -5.22$$

$$\frac{\partial L}{\partial w} = -5.22 \times 2 = -10.44$$

$$\frac{\partial L}{\partial b} = -5.22 \times 1 = -5.22$$

**Step 4: Update Parameters**

$$w_2 = 0.616 - 0.01 \times (-10.44) = 0.616 + 0.1044 = 0.7204$$

$$b_2 = 0.158 - 0.01 \times (-5.22) = 0.158 + 0.0522 = 0.2102$$

**Iteration 2 Results:**  $w = 0.7204, b = 0.2102, \hat{y} = 1.641, L = 5.564$

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## ITERATION 3:

**Step 1: Forward Pass**

$$\hat{y} = 0.7204 \times 2 + 0.2102 = 1.4408 + 0.2102 = 1.6510$$

**Step 2: Calculate Loss**

$$L = (4 - 1.6510)^2 = (2.349)^2 = 5.517$$

### Step 3: Compute Gradients

$$\frac{\partial L}{\partial \hat{y}} = -2(4 - 1.6510) = -4.698$$

$$\frac{\partial L}{\partial w} = -4.698 \times 2 = -9.396$$

### Step 4: Update Parameters

$$w_3 = 0.7204 - 0.01 \times (-9.396) = 0.7204 + 0.09396 = 0.8144$$

$$b_3 = 0.2102 - 0.01 \times (-4.698) = 0.2102 + 0.04698 = 0.2572$$

**Iteration 3 Results:**  $w = 0.8144, b = 0.2572, \hat{y} = 1.889, L = 4.428$

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### Summary Table: Gradient Descent Convergence

	Iteration				Weight (w)	Bias (b)	Prediction ( $\hat{y}$ )	Loss
0 (Initial)	0.5	0.1	1.1	8.41				
1	0.616	0.158	1.39	6.81				
2	0.7204	0.2102	1.641	5.564				
3	0.8144	0.2572	1.889	4.428				

**Observations:** - Loss decreases at each iteration: 8.41 → 6.81 → 5.564 → 4.428 - Prediction moves toward target (4): 1.1 → 1.39 → 1.641 → 1.889 - Weights update in correct direction to minimize loss

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## 1.6 PART 5: CONVOLUTIONAL NEURAL NETWORKS (CNN)

### 1.6.1 VGG-19 Forward Propagation - Complete Step-by-Step

**Architecture Overview:** - Input:  $224 \times 224 \times 3$  (RGB image) - 5 Convolutional Blocks with MaxPooling - 3 Fully Connected Layers - Output: 1000 classes (ImageNet)

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#### BLOCK 1: EDGE DETECTION

**Layer 1.1: Convolution (64 filters, 3×3, padding=SAME)** - Input shape:  $224 \times 224 \times 3$  - Filters: 64 different  $3 \times 3 \times 3$  kernels - Padding: SAME (preserve dimensions) - Activation: ReLU - Output shape: **224 × 224 × 64**  
Calculation:

$$\text{Output Height} = \frac{\text{Input Height} - \text{Kernel Size} + 2 \times \text{Padding}}{\text{Stride}} + 1$$

$$= \frac{224 - 3 + 2 \times 1}{1} + 1 = \frac{223}{1} + 1 = 224$$

**Layer 1.2: Convolution (64 filters, 3×3)** - Input:  $224 \times 224 \times 64$  - Output:  $224 \times 224 \times 64$

**Layer 1.3: MaxPooling (2×2, stride 2)** - Input:  $224 \times 224 \times 64$  - Pool size:  $2 \times 2$  - Stride: 2 - Output Height:  $\frac{224}{2} = 112$  - Output:  $112 \times 112 \times 64$

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### BLOCK 2: TEXTURE DETECTION

**Layers 2.1-2.2: Convolution (128 filters, 3×3)** - Input:  $112 \times 112 \times 64$  - Output:  $112 \times 112 \times 128$

**Layer 2.3: MaxPooling (2×2, stride 2)** - Input:  $112 \times 112 \times 128$  - Output Height:  $\frac{112}{2} = 56$  - Output:  $56 \times 56 \times 128$

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### BLOCK 3: PATTERN RECOGNITION

**Layers 3.1-3.4: Convolution (256 filters, 3×3) × 4** - Input:  $56 \times 56 \times 128$  - After Conv 1:  $56 \times 56 \times 256$  - After Conv 2:  $56 \times 56 \times 256$  - After Conv 3:  $56 \times 56 \times 256$  - After Conv 4:  $56 \times 56 \times 256$

**Layer 3.5: MaxPooling (2×2, stride 2)** - Output Height:  $\frac{56}{2} = 28$  - Output:  $28 \times 28 \times 256$

---

### BLOCK 4: OBJECT PART DETECTION

**Layers 4.1-4.4: Convolution (512 filters, 3×3) × 4** - Input:  $28 \times 28 \times 256$  - Output:  $28 \times 28 \times 512$

**Layer 4.5: MaxPooling (2×2, stride 2)** - Output Height:  $\frac{28}{2} = 14$  - Output:  $14 \times 14 \times 512$

---

### BLOCK 5: SEMANTIC FEATURE EXTRACTION

**Layers 5.1-5.4: Convolution (512 filters, 3×3) × 4** - Input:  $14 \times 14 \times 512$  - Output:  $14 \times 14 \times 512$

**Layer 5.5: MaxPooling (2×2, stride 2)** - Output Height:  $\frac{14}{2} = 7$  - Output:  $7 \times 7 \times 512$

---

### FLATTENING LAYER

**Operation:** Convert 3D tensor to 1D vector

Flattened Size = Height × Width × Channels

$$= 7 \times 7 \times 512 = 49 \times 512 = 25,088$$

- Input:  $7 \times 7 \times 512$  (3D)
  - Output:  $1 \times 25,088$  (1D vector)
- 

### FULLY CONNECTED LAYERS

**FC Layer 1:** - Input:  $1 \times 25,088$  - Neurons: 4,096 - Activation: ReLU -  
Output:  $1 \times 4,096$

Calculation for first neuron:

$$FC_1[0] = \text{ReLU} \left( \sum_{i=0}^{25087} w_i \cdot x_i + b_0 \right)$$

$$= \max(0, w_1 \cdot x_1 + w_2 \cdot x_2 + \dots + w_{25088} \cdot x_{25088} + b)$$

**FC Layer 2:** - Input:  $1 \times 4,096$  - Neurons: 4,096 - Activation: ReLU -  
Output:  $1 \times 4,096$

**Output Layer (Classification):** - Input:  $1 \times 4,096$  - Neurons: 1,000  
(ImageNet classes) - Activation: Softmax - Output:  $1 \times 1,000$

$$\text{Output} = \text{softmax} \left( \sum_{j=0}^{4095} w_j \cdot FC_2[j] + b \right)$$

Result: Probability distribution over 1,000 classes - Example: [0.02, 0.01, 0.85, 0.05, ...] = 85% dog

---

### 1.6.2 Complete VGG-19 Dimension Tracking

```
[@llllll@ Layer Type Filters Operation Input Size Output Size
0 Input - RGB Image - 224x224x3
1.1-1.2 Conv 64x3x3 2 layers, ReLU 224x224x3 224x224x64
1.3 MaxPool 2x2 Stride 2 224x224x64 112x112x64
2.1-2.2 Conv 128x3x3 2 layers, ReLU 112x112x64 112x112x128
2.3 MaxPool 2x2 Stride 2 112x112x128 56x56x128
3.1-3.4 Conv 256x3x3 4 layers, ReLU 56x56x128 56x56x256
3.5 MaxPool 2x2 Stride 2 56x56x256 28x28x256
4.1-4.4 Conv 512x3x3 4 layers, ReLU 28x28x256 28x28x512
4.5 MaxPool 2x2 Stride 2 28x28x512 14x14x512
5.1-5.4 Conv 512x3x3 4 layers, ReLU 14x14x512 14x14x512
5.5 MaxPool 2x2 Stride 2 14x14x512 7x7x512
Flatten - - Reshape 7x7x512 1x25,088
FC1 Dense 4,096 ReLU 25,088 1x4,096
FC2 Dense 4,096 ReLU 4,096 1x4,096
Output Dense 1,000 Softmax 4,096 1x1,000
```

---

### 1.6.3 Convolution Numerical Example

Input Feature Map ( $5 \times 5$ ):

$$\begin{matrix} 2 & 4 & 1 & 3 & 2 \\ 1 & 3 & 5 & 2 & 1 \\ 4 & 2 & 1 & 3 & 4 \\ 2 & 3 & 4 & 1 & 2 \\ 1 & 2 & 3 & 4 & 5 \end{matrix}$$

**3×3 Filter (Edge Detector):**

$$\begin{matrix} 1 & 0 & -1 \\ 1 & 0 & -1 \\ 1 & 0 & -1 \end{matrix}$$

**Step 1: Apply filter at position (0,0) with stride 1**

Multiply corresponding elements:

$$\begin{aligned} 2 \times 1 + 4 \times 0 + 1 \times (-1) &= 2 + 0 - 1 = 1 \\ 1 \times 1 + 3 \times 0 + 5 \times (-1) &= 1 + 0 - 5 = -4 \\ 4 \times 1 + 2 \times 0 + 1 \times (-1) &= 4 + 0 - 1 = 3 \end{aligned}$$

Sum:  $1 + (-4) + 3 = 0$

**Step 2: Slide filter across entire feature map**

Output positions:  $(5-3+1) \times (5-3+1) = 3 \times 3$

**Convolution Output (before activation):**

$$\begin{matrix} 0 & -2 & 1 \\ 2 & 1 & 2 \\ 1 & 3 & 0 \end{matrix}$$

**After ReLU Activation ( $\max(0, x)$ ):**

$$\begin{matrix} 0 & 0 & 1 \\ 2 & 1 & 2 \\ 1 & 3 & 0 \end{matrix}$$

---

### 1.6.4 Max Pooling Numerical Example

Input ( $4 \times 4$ ):

$$\begin{matrix} 1 & 2 & 3 & 4 \\ 5 & 6 & 7 & 8 \\ 9 & 10 & 11 & 12 \\ 13 & 14 & 15 & 16 \end{matrix}$$

**2×2 Max Pooling with stride 2:**

Take maximum from each 2×2 window:

**Window 1 (top-left):**

1	2
5	6

Max = 6

**Window 2 (top-right):**

3	4
7	8

Max = 8

**Window 3 (bottom-left):**

9	10
13	14

Max = 14

**Window 4 (bottom-right):**

11	12
15	16

Max = 16

**Pooling Output (2×2):**

6	8
14	16

**Dimension:**  $\frac{4}{2} = 2 \times 2$ **1.6.5 Parameter Calculation for VGG-19****Convolution Layer Formula:**

$$\text{Params} = (\text{Height} \times \text{Width} \times \text{Input Channels} + 1) \times \text{Output Filters}$$

**Example - Block 1, Layer 1.1:** - Kernel size:  $3 \times 3$  - Input channels: 3 (RGB) - Output filters: 64 - Bias: 1 per filter

$$\text{Params} = (3 \times 3 \times 3 + 1) \times 64 = (27 + 1) \times 64 = 28 \times 64 = 1,792$$


---

### Fully Connected Layer Formula:

$$\text{Params} = (\text{Input Neurons} + 1) \times \text{Output Neurons}$$

**Example - FC Layer 1:** - Input neurons: 25,088 (from flattened  $7 \times 7 \times 512$ )  
- Output neurons: 4,096 - Bias: 1 per neuron

$$\text{Params} = (25,088 + 1) \times 4,096 = 25,089 \times 4,096 = 102,764,544$$

---

### Total VGG-19 Parameters (approximate):

Total  $\approx$  144 million parameters

Note: Majority of parameters ( $\sim 119M$ ) are in FC layers!

---

## 1.7 IMPORTANT EXAM NOTES

### Key Concepts to Remember:

#### 1. Activation Functions:

- Sigmoid: Output (0,1), used for binary classification
- Tanh: Output (-1,1), better for hidden layers
- ReLU: Output [0,), most efficient for deep networks
- Softmax: Probability distribution, multi-class output

#### 2. Loss Functions:

- MSE: For regression, penalizes large errors heavily
- MAE: For regression, robust to outliers
- BCE: For binary classification, uses probabilities

#### 3. NLP Techniques:

- BoW: Simple counting, sparse vectors
- TF-IDF: Weights words by importance
- Word2Vec/CBOW: Dense semantic representations

#### 4. Gradient Descent:

- Always shows gradients step-by-step
- Loss should decrease monotonically

- Learning rate affects convergence speed

#### 5. CNN Forward Pass:

- Track dimensions at each layer
  - Conv preserves dimensions (with padding)
  - Pooling halves spatial dimensions
  - Flattening converts 3D to 1D
  - FC layers for classification
- 

*Complete Study Guide with All Formulas & Step-by-Step Calculations Prepared for BTech Final Year - Advanced Machine Learning K. J. Somaiya College of Engineering, Mumbai November 25, 2025*