

## 3.1 Radial Basis Function Networks

# Non Linear Problem

- MLPs are highly non-linear in the parameter space  
⇒ gradient descent ⇒ local minima
- RBF networks solve this problem by dividing the learning into two independent processes.

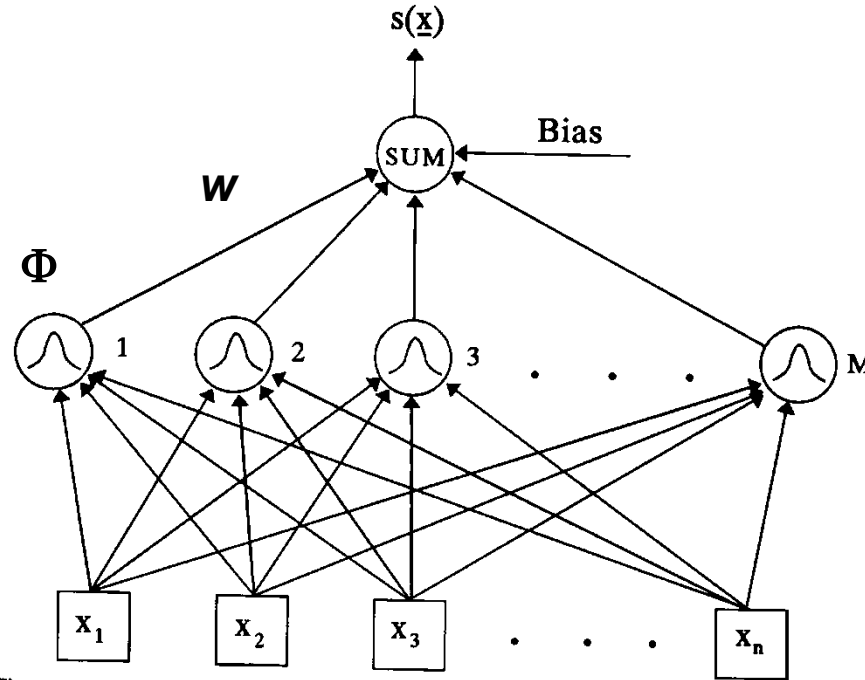
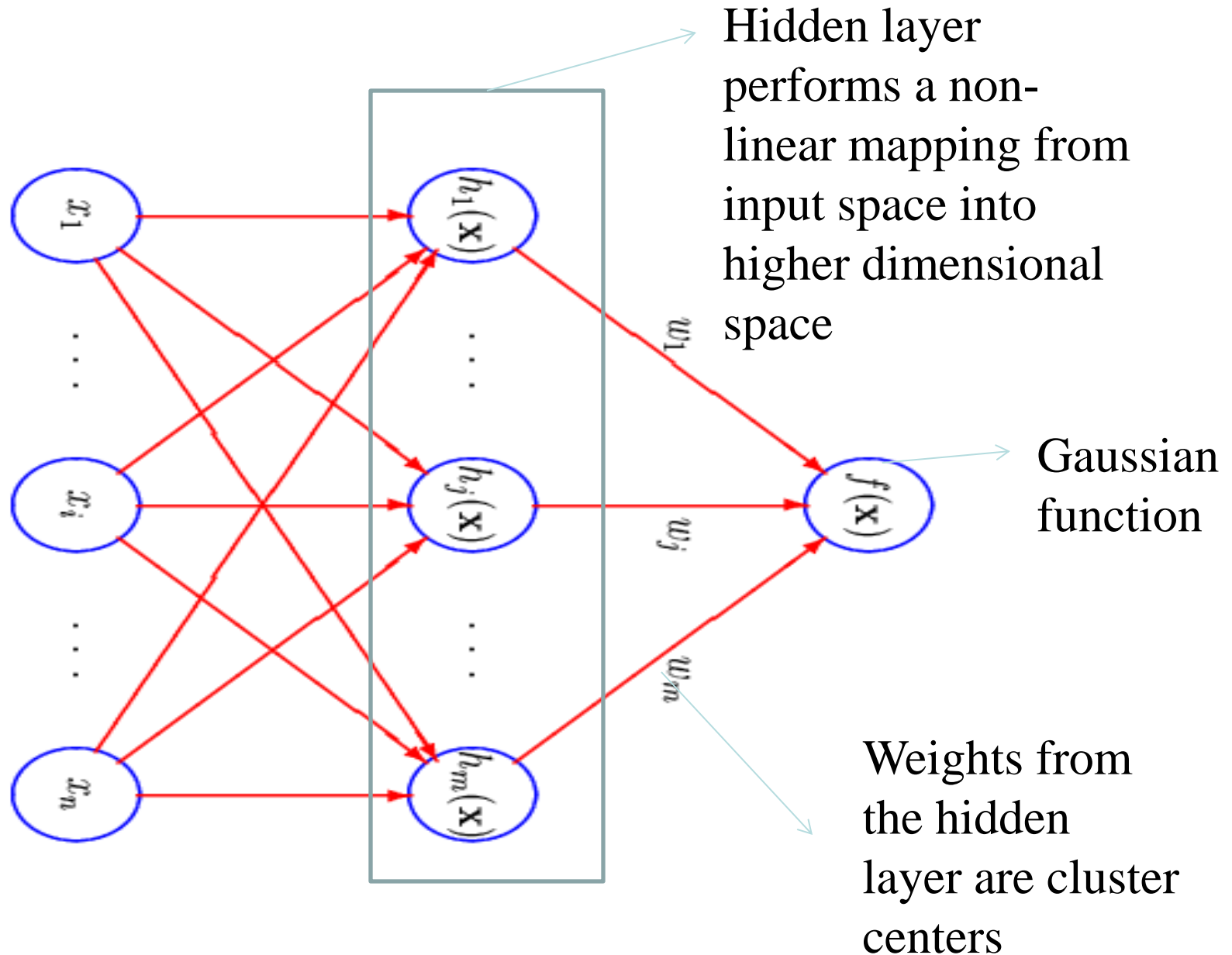


Figure 1. Structure of an RBF network with a linear output layer.

# Basic Architecture



# Covers Theorem

*“A complex pattern-classification problem cast in high-dimensional space nonlinearly is more likely to be linearly separable than in a low dimensional space”*

*(Cover, 1965).*

# Covers Theorem

- Let  $X$  denote a set of  $N$  patterns (points)  
 $x_1, x_2, x_3, \dots, x_N$
- Each point is assigned to one of two classes:  
 $X^+$  and  $X^-$
- This dichotomy is **separable** if there exist a surface that separates these two classes of points.

# Covers Theorem

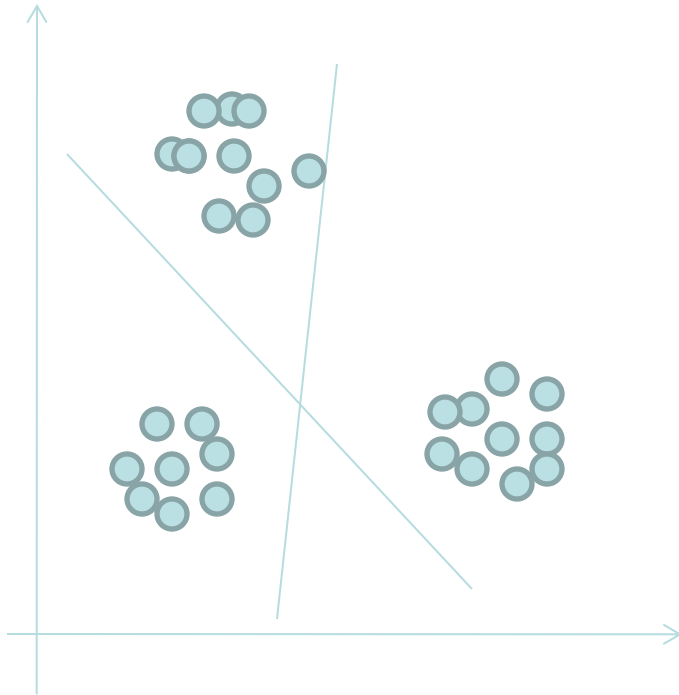
- For each pattern  $x \in X$  define the next vector:  
$$\varphi(x) = [\varphi_1(x), \varphi_2(x), \dots, \varphi_M(x)]^T$$
- The vector  $\varphi(x)$  maps points in a  $p$ -dimensional input space into corresponding points in a new space of dimension  $m$ .
- Each  $\varphi_i(x)$  is a hidden function, i.e., a **hidden unit**

# Covers Theorem

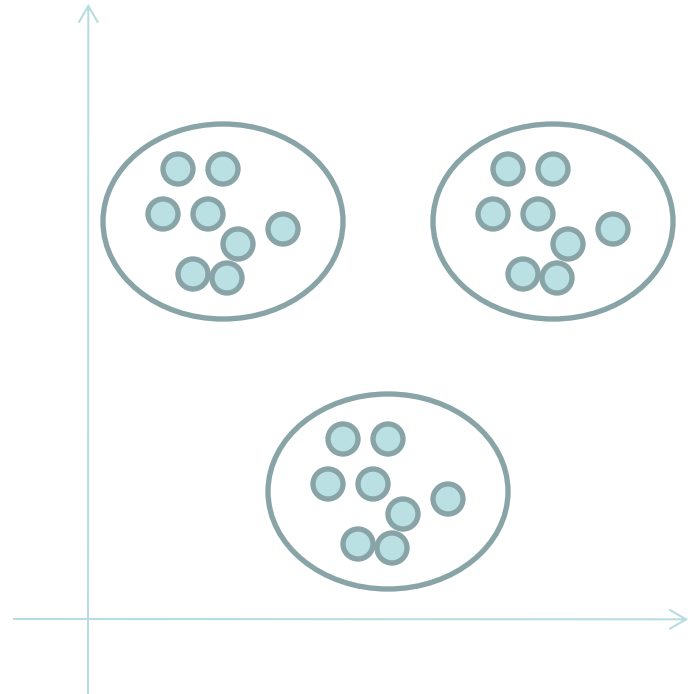
- A dichotomy  $\{X^+, X^-\}$  is said to be  $\phi$ -separable if there exist a  $m$ -dimensional vector  $w$  such that we may write
- (Cover, 1965):
  - $w^T \phi(x) \geq 0, x \in X^+$
  - $w^T \phi(x) < 0, x \in X^-$
  - The hyperplane defined by  $w^T \phi(x) = 0$ , is the separating surface between the two classes.

# RBF Networks for classification

- MLP



- RBF





# RBF Networks for classification Contd...

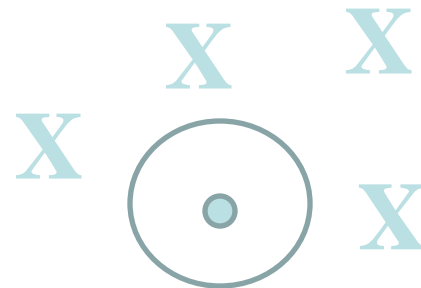
- An MLP naturally separates the classes with hyperplanes in the Input space
- RBF would be to separate class distributions by localizing radial basis functions
- Types of separating surfaces are
  - Hyperplane-linearly separable
  - Spherically separable-Hypersphere
  - Quadratically separable-Quadrics

Hyperplane-linearly  
separable

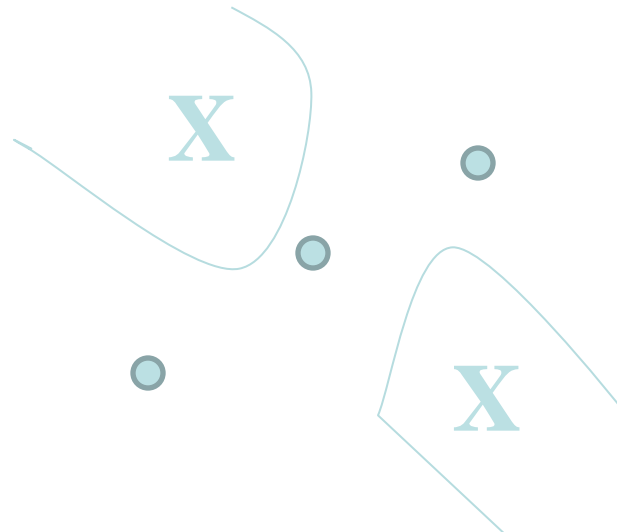
X X



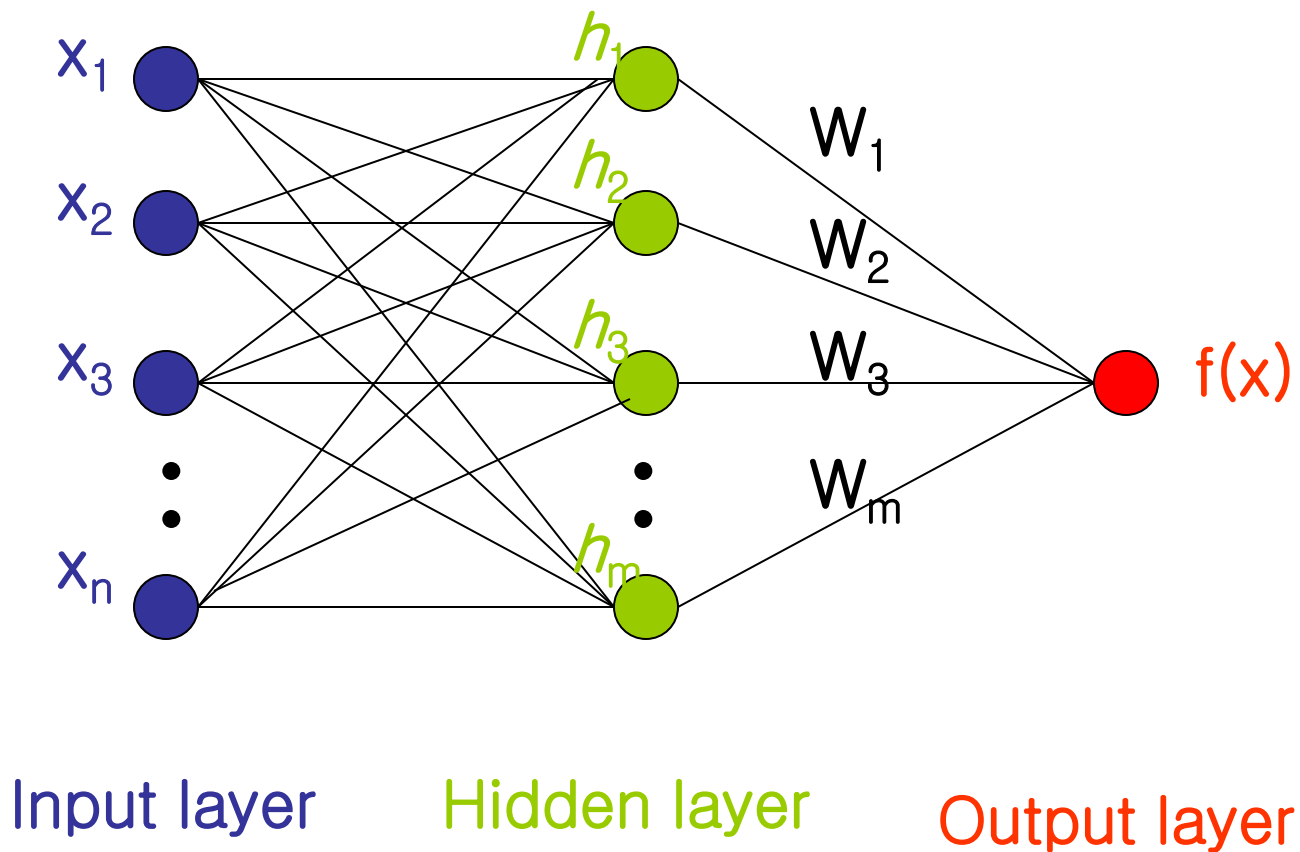
Hypersphere-  
spherically  
separable



Quadratically  
separable- Quadrics



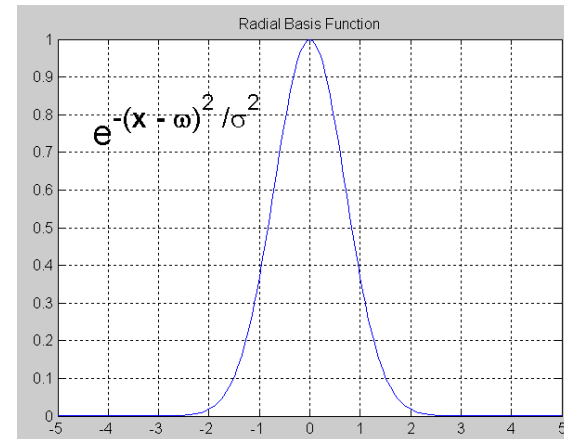
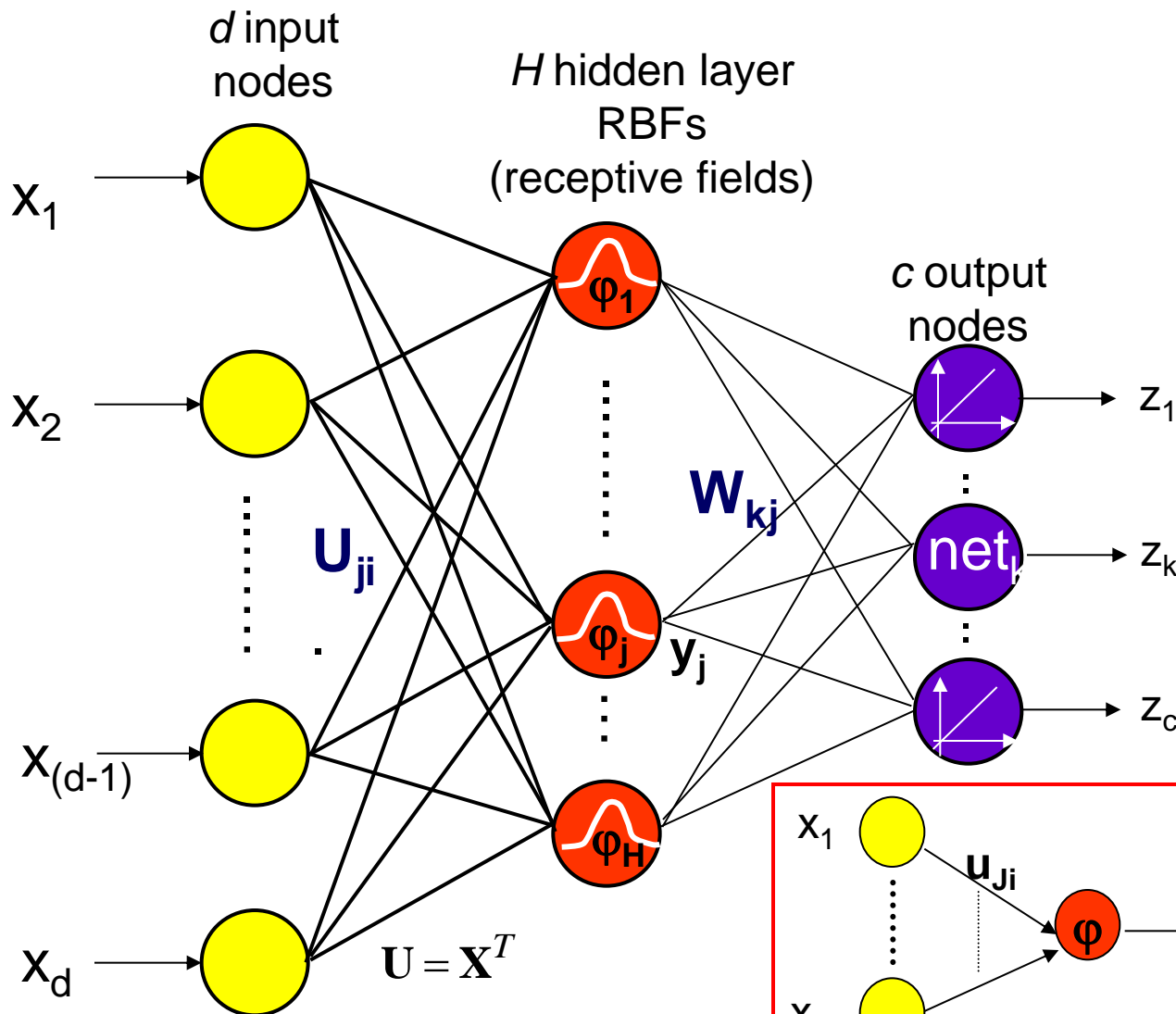
# RBF Architecture



# Three layers

- Input layer
  - Source nodes that connect to the network to its environment
- Hidden layer
  - Hidden units provide a set of basis function
  - High dimensionality
- Output layer
  - Linear combination of hidden functions

# RBF Networks



$$z_k = f(net_k) = f\left(\sum_{j=1}^H w_{kj} y_j\right) = \sum_{j=1}^H w_{kj} y_j$$

Linear act. function

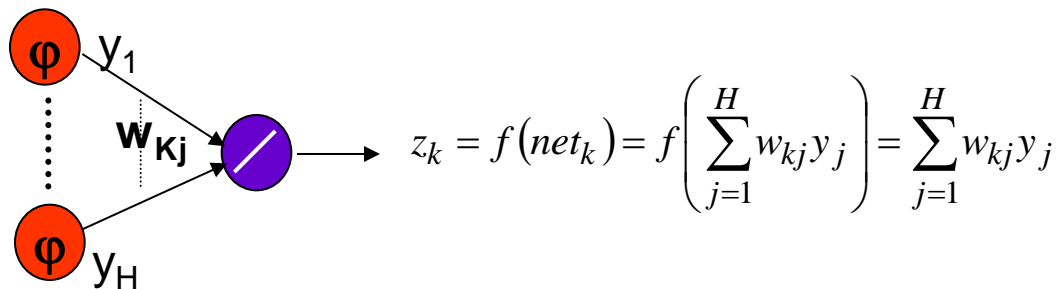
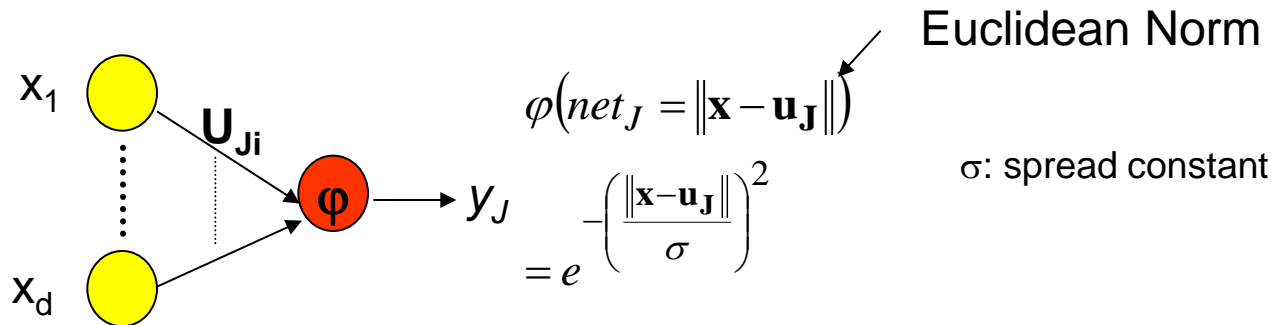
The diagram shows the calculation of the radial basis function for a specific node  $\phi$ . The input vector  $\mathbf{x}$  (with components  $x_1, \dots, x_d$ ) is compared to the center vector  $\mathbf{u}_j$  to calculate the squared distance  $\|\mathbf{x} - \mathbf{u}_j\|^2$ . This distance is then divided by the spread constant  $\sigma^2$  and the result is exponentiated to produce the RBF value  $\phi$ .

$$\phi(net_j = \|\mathbf{x} - \mathbf{u}_j\|)$$

$$= e^{-\left(\frac{\|\mathbf{x} - \mathbf{u}_j\|}{\sigma}\right)^2}$$

$\sigma$ : spread constant

# Principle of Operation



Unknowns:  $\mathbf{u}_{ji}$ ,  $\mathbf{w}_{kj}$ ,  $\sigma$

# Radial basis function

$$f(x) = \sum_{j=1}^m w_j h_j(x)$$

$$h_j(x) = \exp( -(x-c_j)^2 / \sigma_j^2 )$$

Where  $c_j$  is center of a region,  
 $\sigma_j$  is width of the receptive field

# designing

- Require
  - Selection of the radial basis function width parameter
  - Number of radial basis neurons



# Selection of the RBF width para.

- Not required for an MLP
- smaller width
  - alerting in untrained test data
- Larger width
  - network of smaller size & faster execution

# Number of radial basis neurons

- By designer
- Max of neurons = number of input
- Min of neurons = ( experimentally determined)
- More neurons
  - More complex, but smaller tolerance

# learning strategies

- Two levels of Learning
  - Center and spread learning (or determination)
  - Output layer Weights Learning
- Make # ( parameters) small as possible
  - Principles of Dimensionality

# Various learning strategies

- how the centers of the radial-basis functions of the network are specified.
  1. Fixed centers selected at random
  2. Self-organized selection of centers
  3. Supervised selection of centers

# Fixed centers selected at random(1)

- Fixed RBFs of the hidden units
- The locations of the centers may be chosen randomly from the training data set.
- We can use different values of centers and widths for each radial basis function -> experimentation with training data is needed.

# Fixed centers selected at random(1)

- Only output layer weight is need to be learned.
- Obtain the value of the output layer weight by pseudo-inverse method
- Main problem
  - Require a large training set for a satisfactory level of performance

# Self-organized selection of centers(2)

- Hybrid learning
  - self-organized learning to estimate the centers of RBFs in hidden layer
  - supervised learning to estimate the linear weights of the output layer
- Self-organized learning of centers by means of clustering.
- Supervised learning of output weights by LMS algorithm.

# Self-organized selection of centers(2)

- k-means clustering
  1. Initialization
  2. Sampling
  3. Similarity matching
  4. Updating
  5. Continuation



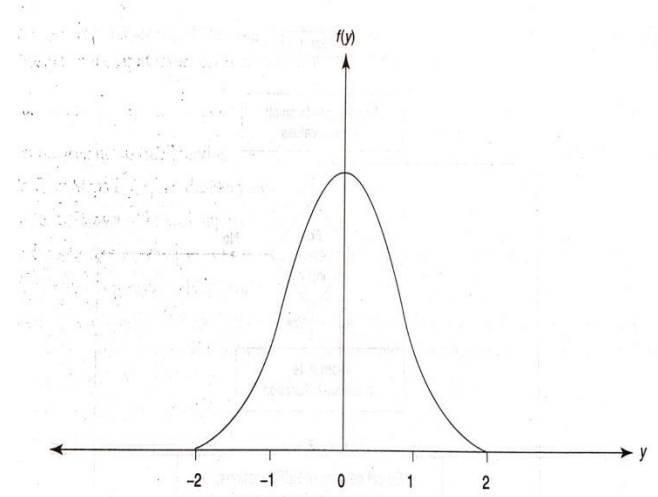
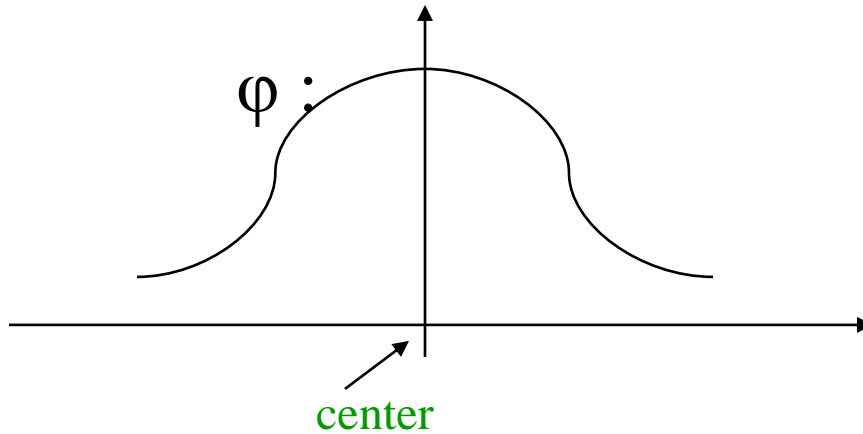
## **Supervised selection of centers(3)**

- All free parameters of the network are changed by supervised learning process.
- Error-correction learning using LMS algorithm.

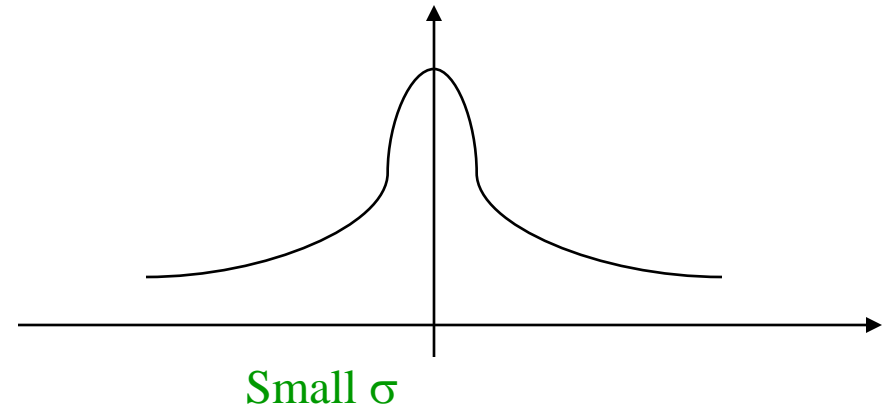
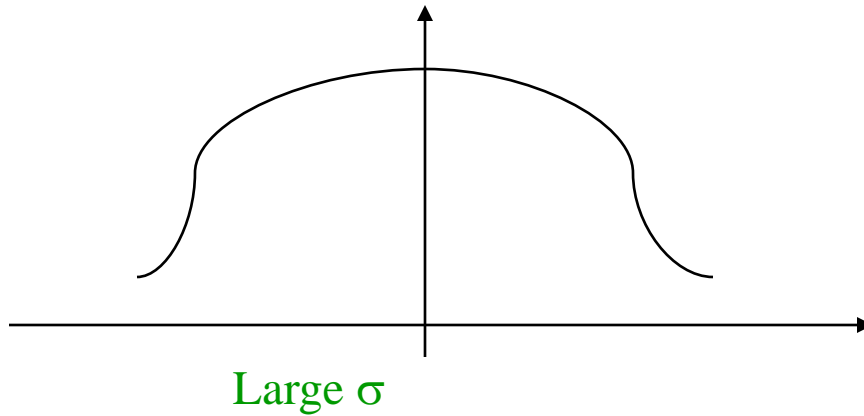
# What happens in Hidden layer?

- The patterns in the input space form clusters
- If the centers of these clusters are known then the distance from the cluster center can be measured
- The most commonly used radial basis function is a Gaussian function
- In a RBF network  $r$  is the distance from the cluster centre

# Gaussian RBF $\phi$



$\sigma$  is a measure of how spread the curve is:



# Distance measure

- The distance measured from the cluster center is usually the Euclidean distance
- For each neuron in the hidden layer, the weights represent the co-ordinates from the center of the cluster
- When the neuron receives an input pattern  $X$ , the distance is found using the equation

$$r_j = \sqrt{\sum_{i=1}^n (x_i - w_{ij})^2}$$

# Width of hidden unit

$$\phi_j = \exp\left(-\frac{\sum_{i=1}^n (x_i - \mu_j)^2}{2\sigma^2}\right) \quad 1$$

where  $\sigma = \frac{d_{\max}}{\sqrt{2M}} \quad 2$

$\sigma$  Is the width or radius of the bell shape and has to be determined empirically

M=no. of basis function  $\mu_j$  =basis function centre

$D_{\max}$ =distance between them

$$\phi_j = \exp\left(-\frac{M}{d_{\max}^2} \frac{\sum_{i=1}^n (x_i - \mu_j)^2}{2}\right) \quad 3$$

# Training of the hidden layer

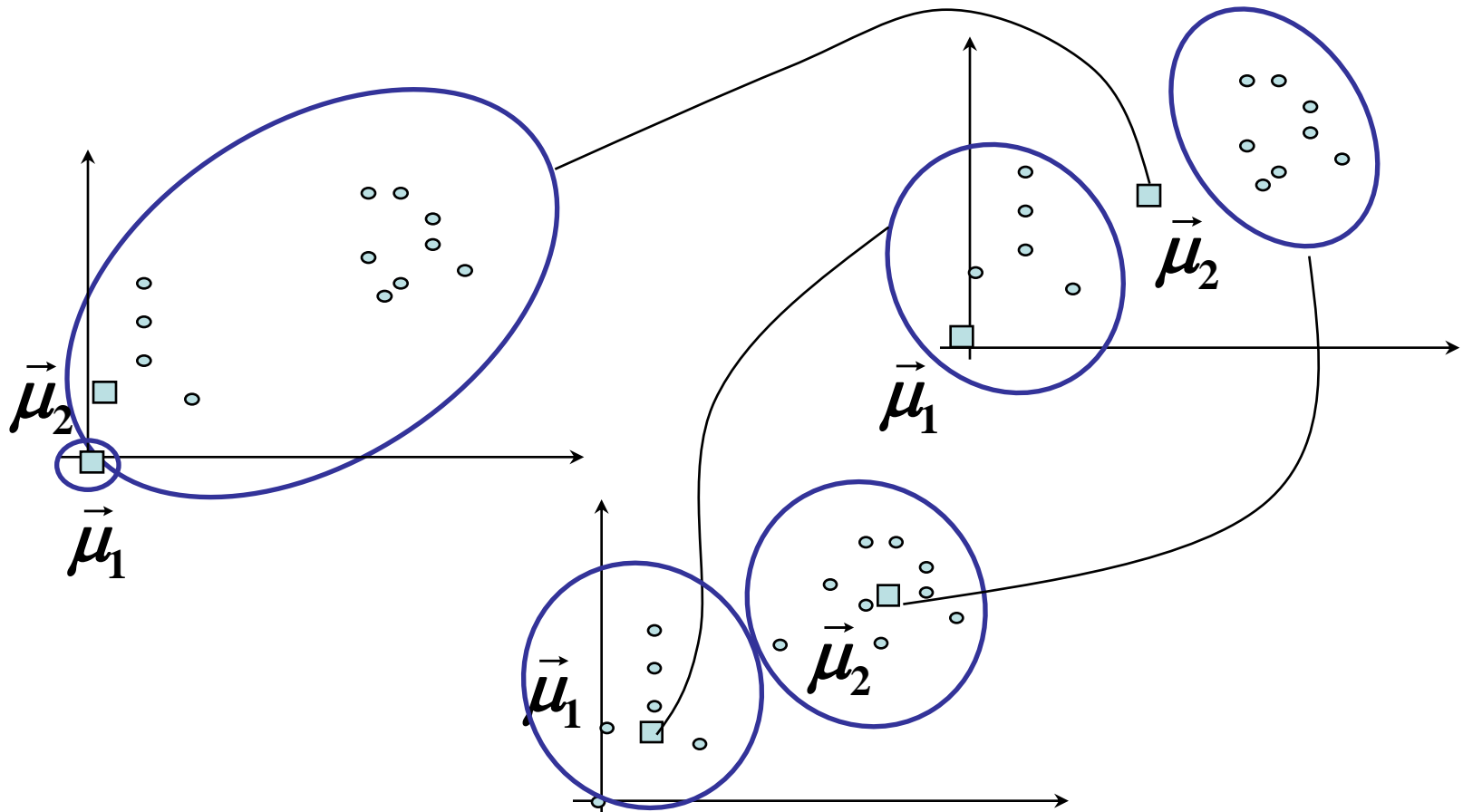
- The hidden layer in a RBF network has units which have weights corresponding to the vector representation of the center of the cluster
- These weights are found either by k-means clustering algo or kohonen's algorithm
- Training is unsupervised but the no. of clusters is set in advance. The algorithms finds the best fit to these clusters.

# K-means algorithm

- Initially 'k' points in the pattern space are randomly set
- Then for each item of data in the training set, the distances are found from all of the 'k' centers
- The closest centre is chosen for each item of data. This is the initial classification, so all items of data will be assigned a class from 1 to 'k'
- Then for all data which has been found to be in class 1, the average or mean values are found for each of the co-ordinates
- These become the new values for the centre corresponding to class 1
- This is repeated till class k-which generates k-new centers
- This process is repeated until there is no further change

## 2. Finding the RBF Parameters

- Use the *K*-mean algorithm to find  $c_i$





## K-mean Algorithm

- step1: K initial clusters are chosen randomly from the samples to form K groups.
- step2: Each new sample is added to the group whose mean is the closest to this sample.
- step3: Adjust the mean of the group to take account of the new points.
- step4: Repeat step2 until the distance between the old means and the new means of all clusters is smaller than a predefined tolerance.

Outcome: There are  $K$  clusters with means representing the centroid of each clusters.

Advantages: (1) A fast and simple algorithm.

(2) Reduce the effects of noisy samples.

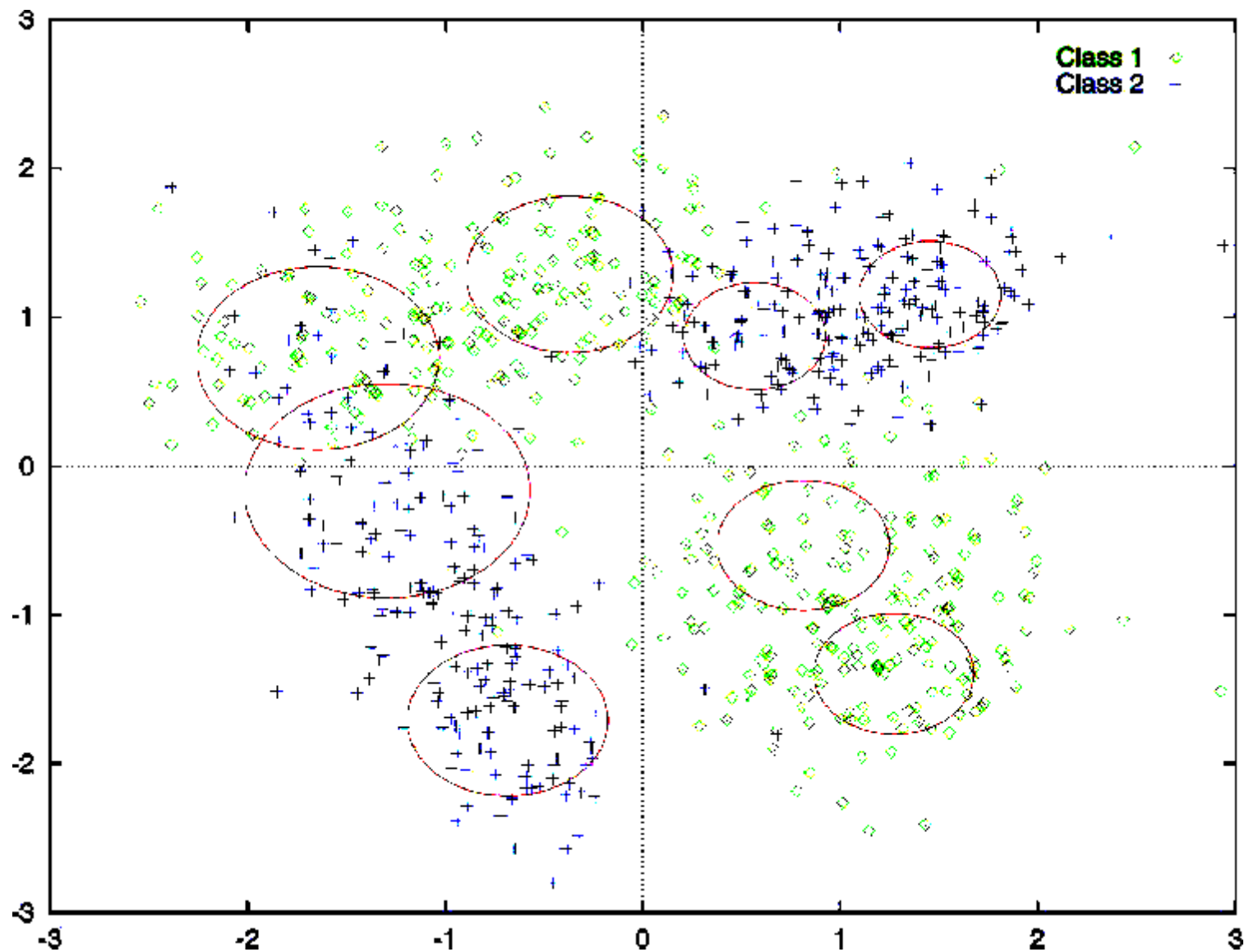
- Use  $K$  nearest neighbor rule to find the function width  $\sigma$

$$\sigma_i = \sqrt{\frac{1}{K} \sum_{k=1}^K \|\vec{c}_k - \vec{c}_i\|^2}$$

$k$ -th nearest neighbor of  $c_i$

- The objective is to cover the training points so that a smooth fit of the training samples can be achieved

## Centers and widths found by K-means and K-NN



# Adaptive k-means algorithm

- Similar to kohonen learning.
- Input patterns are presented to all of the cluster centers one at a time and the cluster centers adjusted after each one
- Cluster center that is nearest to the input data wins, and is shifted slightly towards the new data
- Online training can be done using kohonen algo.

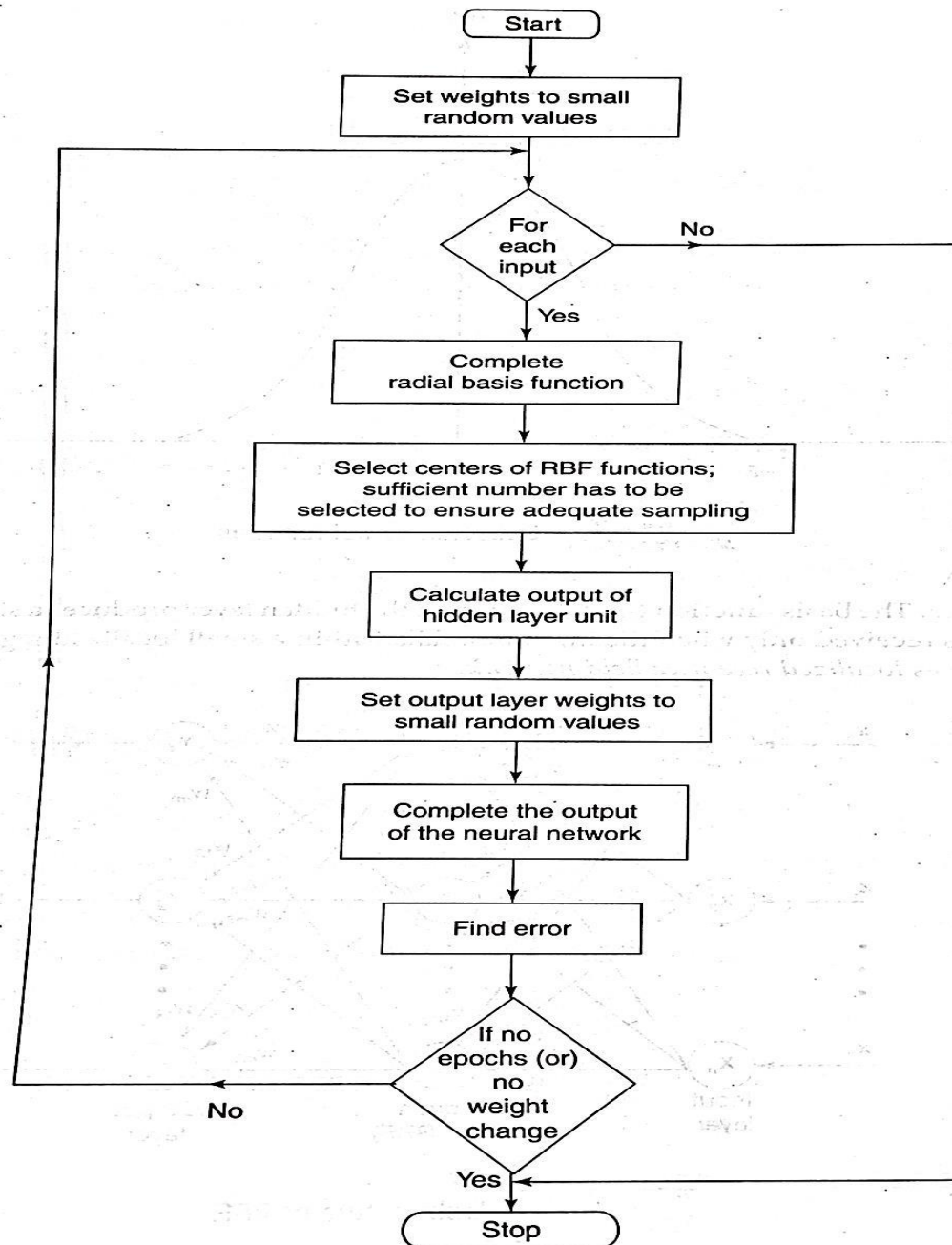
# Training the output layer

- The output layer is trained using the least mean square algorithm, which is a gradient descent technique
- Given input signal vector  $x(n)$  and desired response  $d(n)$
- Set initial weights  $w(x)=0$
- For  $n=1,2,\dots\dots\dots$

Compute

$$e(n)=\text{error}=d - w^t x$$

$$w(n+1)=w(n)+c.x(n).e(n)$$



- Step 0:** Set the weights to small random values.
- Step 1:** Perform Steps 2–8 when the stopping condition is false.
- Step 2:** Perform Steps 3–7 for each input.
- Step 3:** Each input unit ( $x_i$  for all  $i = 1$  to  $n$ ) receives input signals and transmits to the next hidden layer unit.
- Step 4:** Calculate the radial basis function.
- Step 5:** Select the centers for the radial basis function. The centers are selected from the set of input vectors. It should be noted that a sufficient number of centers have to be selected to ensure adequate sampling of the input vector space.
- Step 6:** Calculate the output from the hidden layer unit:

$$v_i(x_i) = \frac{\exp\left[-\sum_{j=1}^r (x_{ji} - \hat{x}_{ji})^2\right]}{\sigma_i^2}$$

where  $\hat{x}_{ji}$  is the center of the RBF unit for input variables;  $\sigma_i$  the width of  $i$ th RBF unit;  $x_{ji}$  the  $j$ th variable of input pattern.

- Step 7:** Calculate the output of the neural network:

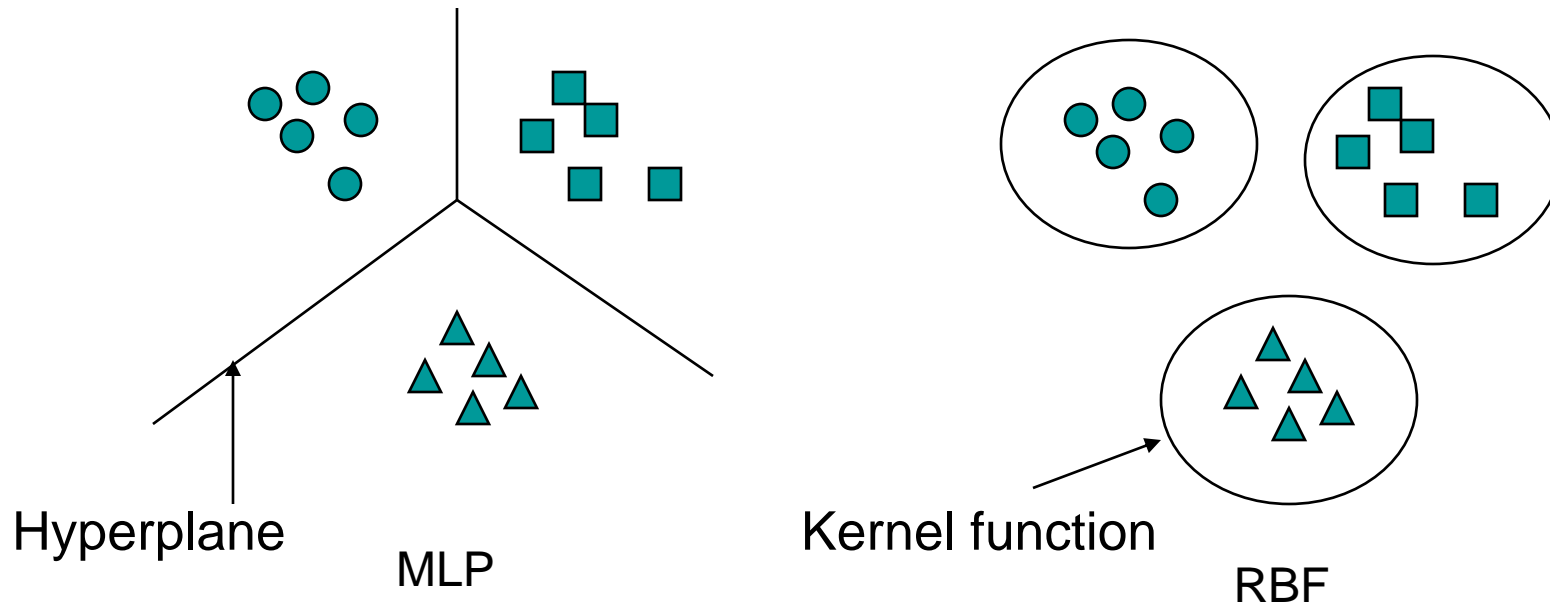
$$y_{net} = \sum_{i=1}^k w_{im} v_i(x_i) + w_0$$

where  $k$  is the number of hidden layer nodes (RBF function);  $y_{net}$  the output value of  $m$ th node in output layer for the  $n$ th incoming pattern;  $w_{im}$  the weight between  $i$ th RBF unit and  $m$ th output node;  $w_0$  the biasing term at  $n$ th output node.

- Step 8:** Calculate the error and test for the stopping condition. The stopping condition may be number of epochs or to a certain extent weight change.



# RBFN for Pattern Classification



The probability density function (also called conditional density function or likelihood) of the k-th class is defined as

$$p(\vec{x} | C_k)$$

# MLP

1. The activation function can be any non linear function which can serve the purpose.
2. The final layer in MLP also uses the activation function before linearly combining it.
3. There can be more than one hidden layer in MLP.

# RBF

1. The activation function is a function of the euclidean distance of input vector and a certain vector.
2. The final layer of RBF don't use activation function, it rather linearly combines the output of the previous neuron.
3. There is only one hidden layer in RBF and one output layer.
4. The final layer have only one neuron.
  - Typically RBF and MLP share a common neuron model.

# Similarities between RBF and MLP

- Both are feedforward
- Both are universal approximators
- Both are used in similar application areas

# Comparison with multilayer NN

RBF-Networks are used for regression and for performing complex (non-linear) pattern classification tasks.

Comparison between RBF networks and FFNN:

- Both are examples of *non-linear layered feed-forward* networks.
- Both are *universal approximators*.

# Comparison with multilayer NN

- Architecture:
  - RBF networks have one *single* hidden layer.
  - FFNN networks may have *more* hidden layers.
- Neuron Model:
  - In RBF the neuron model of the hidden neurons is *different* from the one of the output nodes.
  - Typically in FFNN hidden and output neurons share a *common* neuron model.
  - The hidden layer of RBF is *non-linear*, the output layer of RBF is *linear*.
  - Hidden and output layers of FFNN are usually *non-linear*.

# Comparison with multilayer NN

- Activation functions:
  - The argument of activation function of each hidden neuron in a RBF NN computes the *Euclidean distance* between input vector and the center of that unit.
  - The argument of the activation function of each hidden neuron in a FFNN computes the *inner product* of input vector and the synaptic weight vector of that neuron.
- Approximation:
  - RBF NN using Gaussian functions construct *local* approximations to non-linear I/O mapping.
  - FF NN construct *global* approximations to non-linear I/O mapping.

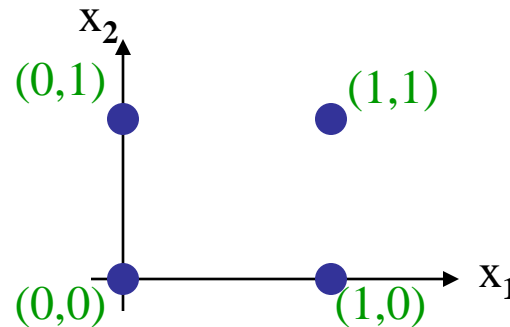
# Differences between MLP and RBF

Parameter	MLP	RBF
Hidden layer	Can have any number of hidden layer	Can have only one hidden layer
Connectivity	Can be fully or partially connected	Has to be mandatorily completely connected
Processing Nodes	Processing nodes in different layers shares a common neural model	Hidden nodes operate very differently and have a different purpose
Activation Function	Argument of hidden function activation function is the inner product of the inputs and the weights	The argument of each hidden unit activation function is the distance between the input and the weights
Local/Global optimization	Trained with a single global supervised algorithm	RBF networks are usually trained one later at a time ,Local
Convergence	Not Guarantee	Almost guarantee
Training speed	Training is slower compared to RBF	Training is comparitely faster than MLP
Response time	After training MLP is much faster than RBF	After training RBF is much slower than MLP
Memory requirement	Very Small	Very large
Generalization	Usually poor	Usually better



# Example: XOR problem

- Input space:



- Output space:



- Construct an RBF pattern classifier such that:  
 $(0,0)$  and  $(1,1)$  are mapped to 0, class C1  
 $(1,0)$  and  $(0,1)$  are mapped to 1, class C2

# Example: XOR problem

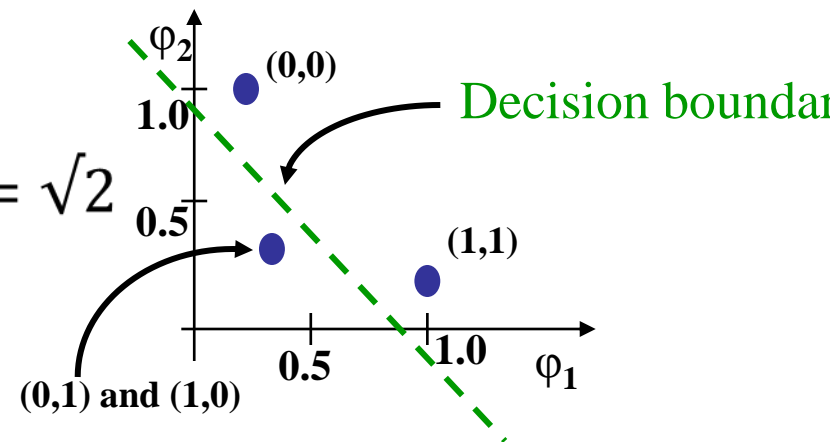
- In the feature (hidden layer) space:

no.of patterns=4

$M=2$

$\mu_1=(0,0); \mu_2=(1,1)$

$$d_{\max} = \sqrt{((0 - 1)^2 + (0 - 1)^2)} = \sqrt{2}$$



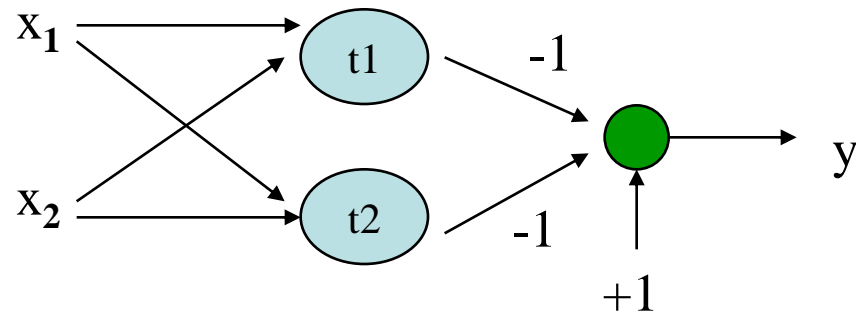
- When mapped into the feature space  $\langle \phi_1, \phi_2 \rangle$  (hidden layer), C1 and C2 become *linearly separable*. So a linear classifier with  $\phi_1(x)$  and  $\phi_2(x)$  as inputs can be used to solve the XOR problem.

# Example: XOR problem

$$\varphi_1(x) = e^{-\|x - \mu_1\|^2}$$

with  $\mu_1 = (0,0)$  and  $\mu_2 = (1,1)$

$$\varphi_2(x) = e^{-\|x - \mu_2\|^2}$$

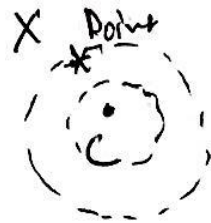


Pattern	X1	X2	$\varphi_1$	$\varphi_2$
1	0	0		
2	0	1		
3	1	0		
4	1	1		

## RBF XOR.

$\phi_1, \phi_2, \dots$  Radial Basis function

What is RBF  $\leftarrow$  Receptor (Centroid)  
has more radiating from this  
Spread



$\phi$  is center

multiquadric

$$\phi(r) = (r^2 + c^2)^{1/2} \quad c > 0$$

Inverse multiquadrics

$$\phi(r) = \frac{1}{(r^2 + c^2)^{1/2}} \quad \text{for } c > 0$$

or Gaussian function:

$$\phi(r) = \exp\left[-\frac{r^2}{2\sigma^2}\right] \quad \text{for } \sigma > 0$$

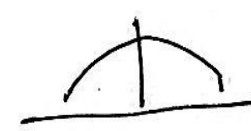
$r$  is the measure of distance of point  $c$   
... ..

at Point  $x$   $r = \|x - c\|$   
 $\downarrow$  Point  $\rightarrow$  Center

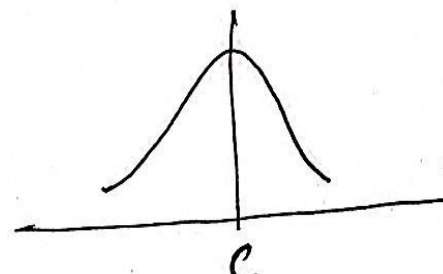
as  $r \uparrow$   $\phi(r) \uparrow$   
 $\swarrow$   
 Multi Quadrad



Inverses  $\rightarrow r \uparrow \phi(r) \downarrow$



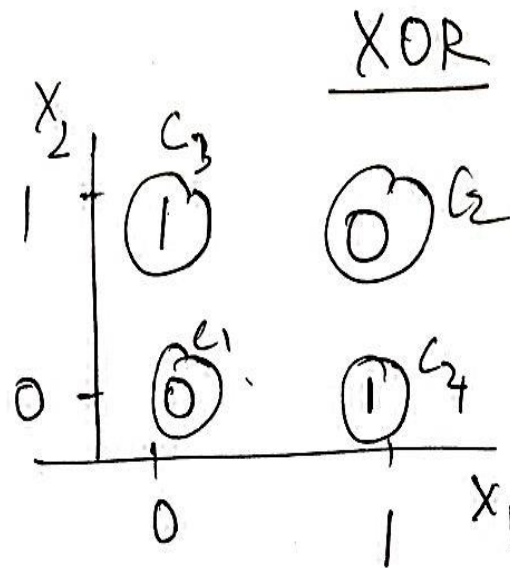
⊗ Gaussian



at  $c$   $\phi$  Max.  
 as move  $\phi \downarrow$

Most Commonly Used RBF

Non Linear  $\rightarrow$  Linear



RBF Gaussian

$$\phi(x) = e^{-\|x - c\|^2}$$

↳ Receptive or Center

Consider two  $c$  ( 1 & 1 )

Consider two  $C(1, 1)$

Non linear transformation

	$\phi_1$ <small><math>\phi/p(00)</math></small>	$\phi_2$ <small><math>\phi/p(\text{center})</math></small>
00	1	0.1
01	0.4	0.4
10	0.4	0.4
11	0.1	1

00 mapped to 1, 0.1

01 mapped to 0.4, 0.4

HP

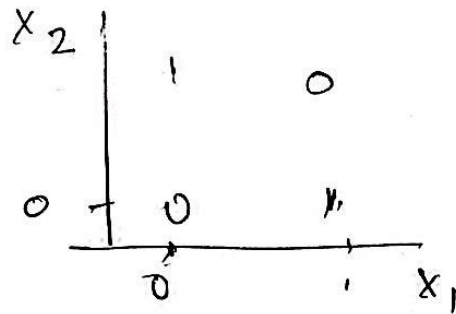
$$\phi_1(x) = e^{-\|x - c_1\|^2}$$

$$\phi_2(x) = e^{-\|x - c_2\|^2}$$

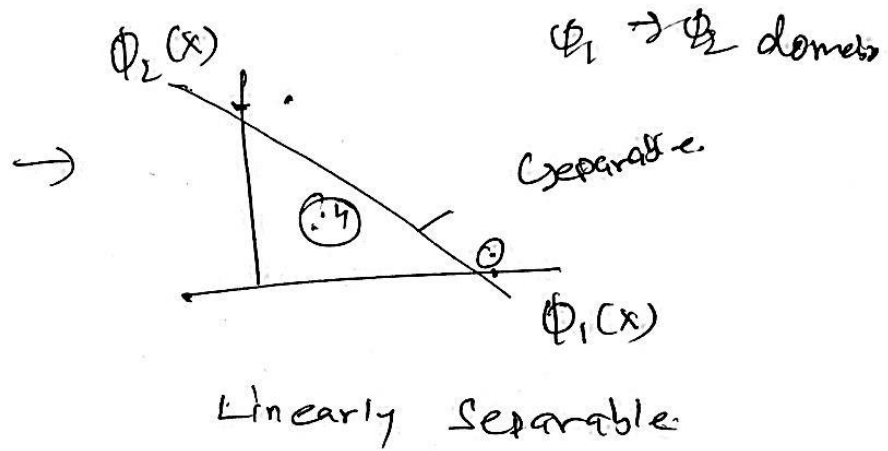
norm  $2^{-2} = 1$

$$\phi_1(00) = \phi_1 = e^0 = 1$$

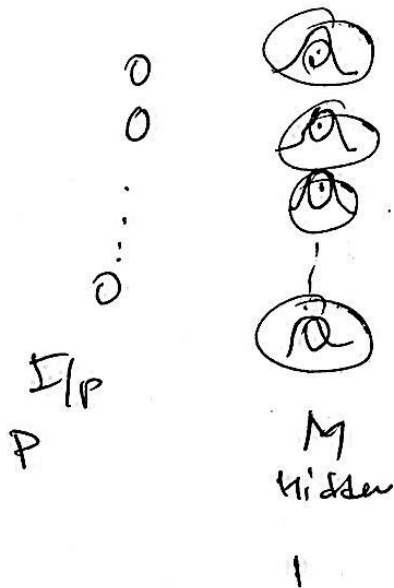
Plot



Non Linear.



Arch



O/P  
Classes NO. of

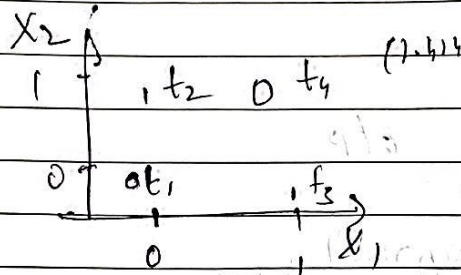


$$\phi_i(x) = e^{-\frac{\|x - t_i\|^2}{2\sigma_i^2}}$$

RBF

by using this concept we can make  
a linear classification function.

XOR Operation



two dimensional  
binary feature  
Vector  
↓ Cast into 4 Dimensional

<u>RBF</u>	<u>RBF</u>	<u>Spread</u>
$\phi_1$	$t_1 = 0, 0$	Receptor of RBF $\sigma_1$
$\phi_2$	$t_2 = 0, 1$	" " " $\sigma_2$
$\phi_3$	$t_3 = 1, 0$	" " " $\sigma_3$
$\phi_4$	$t_4 = 1, 1$	" " " $\sigma_4$

for each receptor we have to  
find out  $K$  Nearest Neighbors

take  $\underline{P=2}$  two Nearest Neighbors

read  $\sigma_1 = 1, \sigma_2 = 1, \sigma_3 = 1, \sigma_4 = 1$

$$\phi_1(x) = e^{-\frac{\|x - t_1\|^2}{2 \cdot \sigma_1^2}}$$

$$\phi_2(x) = e^{-\frac{\|x - t_2\|^2}{2 \cdot \sigma_2^2}}$$

$$\phi_3(x) = e^{-\frac{\|x - t_3\|^2}{2 \cdot \sigma_3^2}}$$

$$\phi_4(x) = e^{-\frac{\|x - t_4\|^2}{2 \cdot \sigma_4^2}}$$

2 to 4 Dimin

$\frac{1}{P}$   $t_i$

I/P	$\phi_1$	$\phi_2$	$\phi_3$	$\phi_4$	$\sum w_i \phi_i$
0 0	1.0	0.6	0.6	0.4	-0.2
0 1	0.6	1.0	0.4	0.6	0.2
1 0	0.6	0.4	1.0	0.6	0.2
1 1	0.4	0.6	0.6	1.0	-0.2

2 dim  
input vector

$-1$   $+1$   $+1$   $-1$

Vector

hidden layer 2 dim IP layer 4 dim feature

$$\phi_2 = x_1 = 00,$$

$$\phi_2 = x_2 = 00, t_2 = 01$$

using 4 RBF

Linear Combination o/p

$-1 \quad +1 \quad +1 \quad -1$

Decision at o/p layer

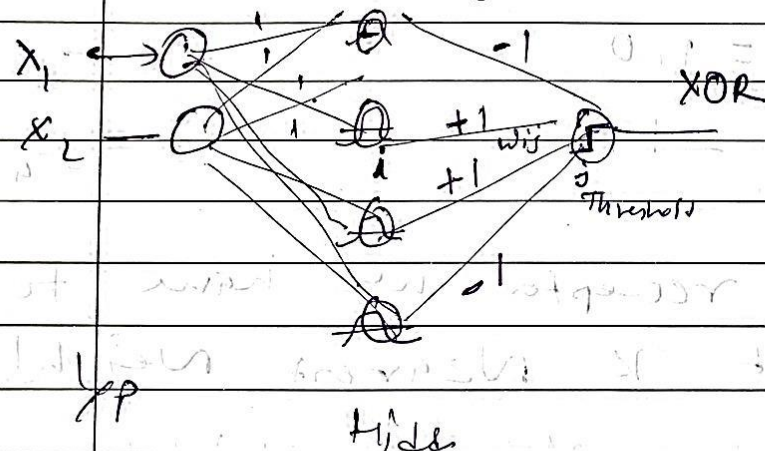
$$-0.2 = 0$$

$$0.2 = 1$$

$$0.2 = 1$$

$$-0.2 = 0$$

So Architecture of RBF



So By using RBF single layer Perceptron  
Can solve the prob. of XOR type



This we can write in matrix

$$\begin{bmatrix} \phi_{11} & \phi_{21} & \phi_{31} & \dots & \phi_{m1} \\ \phi_{22} & \phi_{22} & \phi_{32} & \dots & \phi_{m2} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \phi_{1m} & \phi_{2m} & \phi_{3m} & \dots & \phi_{mm} \end{bmatrix} \begin{bmatrix} w_{1j} \\ w_{2j} \\ \vdots \\ w_{mj} \end{bmatrix} = \begin{bmatrix} b_{1j} \\ b_{2j} \\ \vdots \\ b_{mj} \end{bmatrix}$$

$\phi$                        $w$                       o/p of the net

This indicate linear equation of H2O

$$b_{ij} = 1 \text{ if } x_i \in w_j$$

$$= 0 \text{ " " } \notin w_j$$

$$b_{ij} = 1 \text{ if } x_i \in w_j$$

$$= 0 \text{ if } x_i \notin w_j$$

This matrix eq. can be written as

$$\Phi w_j = b_j$$

if all  $w_j$  got trained

if not satisfied

$$\text{define error } e = \Phi w_j - b_j$$

weight adaptation so that  
 $e$  will be minimum

$$\text{Define } j(w_j) = \|\Phi w_j - b_j\|^2 \quad \text{Norm}$$

$$\nabla j(w_j) = 2\Phi^t (\Phi w_j - b_j) = 0$$

gradient

$$w_j = (\underbrace{\Phi^t \Phi}_{\text{eigenvalues } \Phi^t}}^{-1} \Phi^t b_j$$

# Example: XOR problem

- **What do we have to learn for a RBF NN with a given architecture?**
  - The centers of the RBF activation functions
  - the spreads of the Gaussian RBF activation functions
  - the weights from the hidden to the output layer
- Different learning algorithms may be used for learning the RBF network parameters. We describe three possible methods for learning centers, spreads and weights.

# Learning Algorithm 1

- **Centers:** are selected at random
  - **centers** are chosen randomly from the training set

- $$\sigma = \frac{\text{Maximum distance between any 2 centers}}{\sqrt{\text{number of centers}}} = \frac{d_{\max}}{\sqrt{m_1}}$$

*i*

- Then the activation function of hidden neuron becomes:

$$\varphi_i(\|x\|) = \exp\left(-\frac{m_1}{d_{\max}^2} \|x - \mu_i\|^2\right)$$



# Learning Algorithm 1

- **Weights:** are computed by means of the **pseudo-inverse method**.
  - For an example  $(x_i, d_i)$  consider the output of the network

$$y(x_i) = w_1 \varphi_1(\|x_i - t_1\|) + \dots + w_{m1} \varphi_{m1}(\|x_i - t_{m1}\|)$$

- We would like  $y(x_i) = d_i$  for each example, that is

$$w_1 \varphi_1(\|x_i - t_1\|) + \dots + w_{m1} \varphi_{m1}(\|x_i - t_{m1}\|) = d_i$$

# Learning Algorithm 1

- This can be re-written in matrix form for one example

$$[\varphi_1(\|x_i - t_1\|) \dots \varphi_{m_1}(\|x_i - t_{m_1}\|)] [w_1 \dots w_{m_1}]^T = d_i$$

and

$$\begin{bmatrix} \varphi_1(\|x_1 - t_1\|) \dots \varphi_{m_1}(\|x_1 - t_{m_1}\|) \\ \dots \\ \varphi_1(\|x_N - t_1\|) \dots \varphi_{m_1}(\|x_N - t_{m_1}\|) \end{bmatrix} [w_1 \dots w_{m_1}]^T = [d_1 \dots d_N]^T$$

for all the examples at the same time

# Learning Algorithm 1

let

$$\Phi = \begin{bmatrix} \varphi_1(\|x_1 - t_1\|) & \dots & \varphi_{m1}(\|x_N - t_{m1}\|) \\ \vdots & \ddots & \vdots \\ \varphi_1(\|x_N - t_1\|) & \dots & \varphi_{m1}(\|x_N - t_{m1}\|) \end{bmatrix}$$

then we can write

$$\Phi \begin{bmatrix} w_1 \\ \vdots \\ w_{m1} \end{bmatrix} = \begin{bmatrix} d_1 \\ \vdots \\ d_N \end{bmatrix}$$

If  $\Phi^+$  is the pseudo-inverse of the matrix  $\Phi$ ,  
we obtain the weights using the following  
formula

$$[w_1 \dots w_{m1}]^T = \Phi^+ [d_1 \dots d_N]^T$$

# Learning Algorithm 1

1. Choose the centers randomly from the training set.
2. Compute the spread for the RBF function using the normalization method.
3. Find the weights using the pseudo-inverse method.

# Exercise

- Check what happens if you choose two different basis function centres

# Output weights

- We have one output  $y(x)$  with one weight  $w_j$  to each hidden unit  $j$ , and one bias  $-\theta$
- $y(x) = w_1\phi_1(x) + w_2\phi_2(x) - \theta$

Based on the values computed for RBF and the patterns we get 4 equations from which the weights  $w_1$  and  $w_2$  can be computed

# Learning Algorithm 2

- ***Hybrid Learning Process:***
  - **Clustering** for finding the **centers**.
  - **Spreads** chosen by normalization.
  - **LMS algorithm ( Adaline)** for finding the **weights**.

# Application: FACE RECOGNITION

- The problem:
  - Face recognition of persons of a known group in an indoor environment.
- The approach:
  - Learn face classes over a wide range of poses using an RBF network.

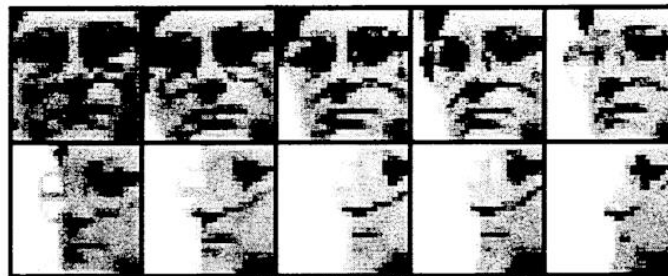


# Dataset

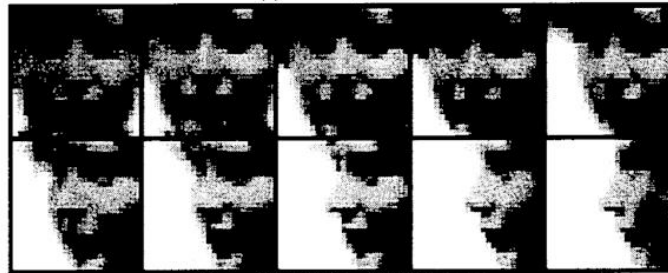
- **database**
  - **100 images of 10 people** (8-bit grayscale, resolution 384 x 287)
  - for each individual, 10 images of head in different pose **from face-on to profile**
  - Designed to assess performance of **face recognition techniques** when pose variations occur

# Datasets

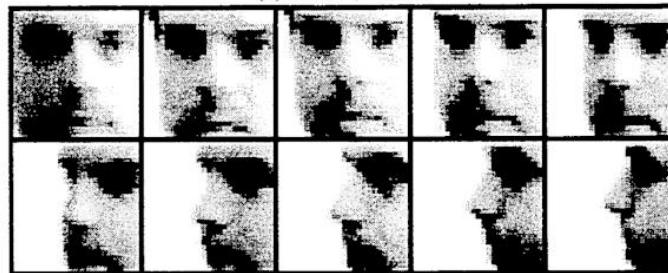
All ten images for  
classes 0-3 from  
the Sussex  
database, nose-  
centred and  
subsampled to  
25x25 before  
preprocessing



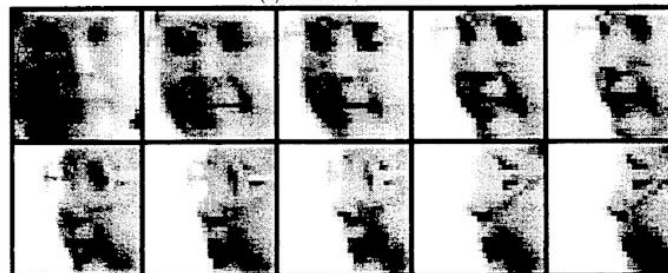
(a) Class 0, 25x25



(b) Class 1, 25x25



(c) Class 2, 25x25



(d) Class 3, 25x25

# Approach: Face unit RBF

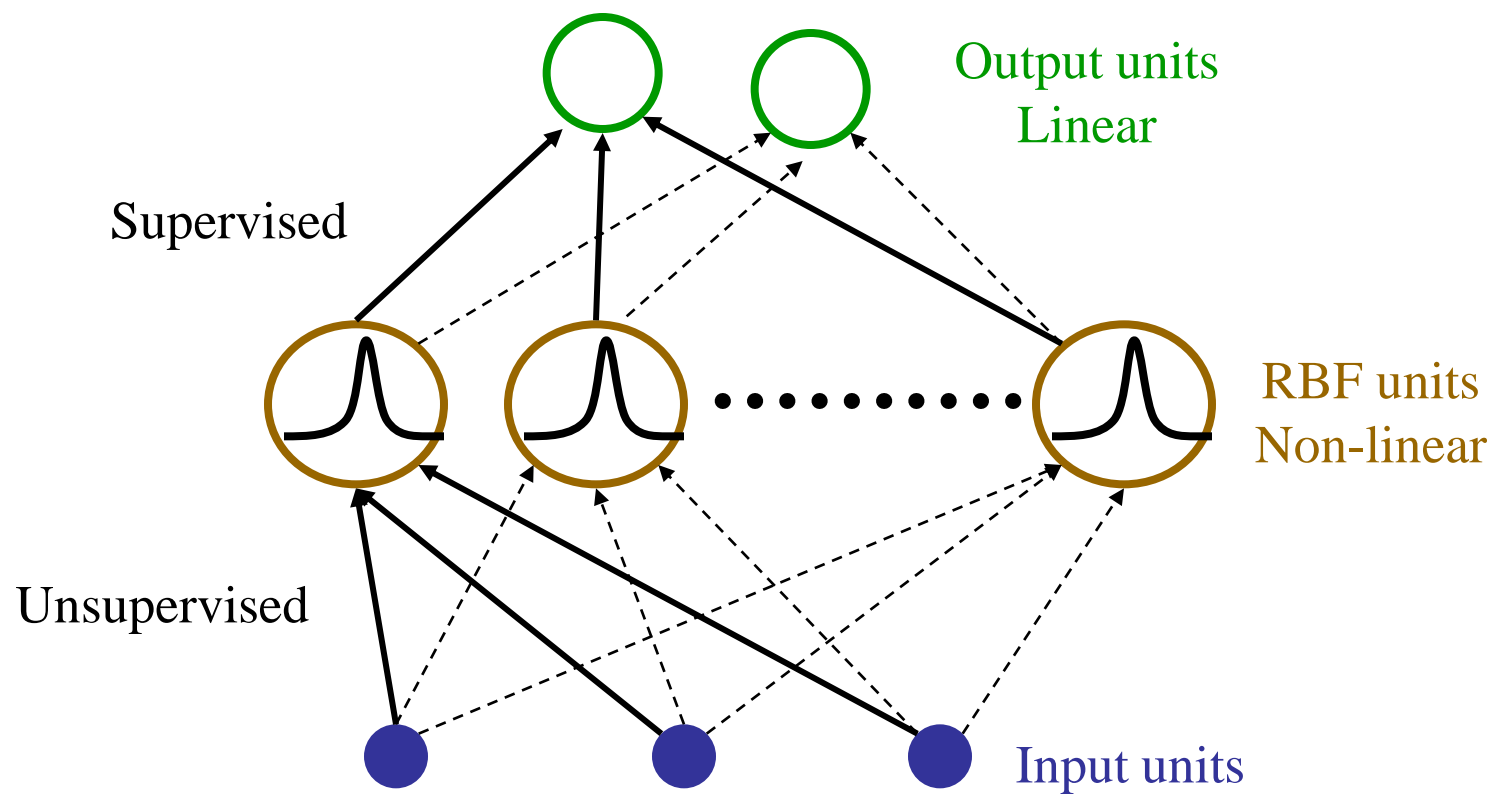
- A **face recognition** unit RBF neural networks is trained to recognize a single person.
- Training uses examples of images of the person to be recognized as positive evidence, together with selected confusable images of other people as negative evidence.

# Network Architecture

- Input layer contains  $25 \times 25$  inputs which represent the pixel intensities (normalized) of an image.
- Hidden layer contains  $p+a$  neurons:
  - **p** hidden pro neurons (receptors for positive evidence)
  - **a** hidden anti neurons (receptors for negative evidence)
- Output layer contains two neurons:
  - One for the particular person.
  - One for all the others.

The output is discarded if the absolute difference of the two output neurons is smaller than a parameter  $R$ .

# RBF Architecture for one face recognition



# Hidden Layer

- Hidden nodes can be:
  - Pro neurons: Evidence for that person.
  - Anti neurons: Negative evidence.
- The number of pro neurons is equal to the positive examples of the training set. For each pro neuron there is either one or two anti neurons.
- Hidden neuron model: Gaussian RBF function.

# Training and Testing

- **Centers:**
  - of a pro neuron: the corresponding positive example
  - of an anti neuron: the negative example which is most similar to the corresponding pro neuron, with respect to the Euclidean distance.
- **Spread:** average distance of the center from all other centers. So the spread  $\sigma_n$  of a hidden neuron n is

$$\sigma_n = \frac{1}{H\sqrt{2}} \sum_h \|t^n - t^h\|$$

where H is the number of hidden neurons and  $t^i$  is the center of neuron  $i$ .

- **Weights:** determined using the pseudo-inverse method.
- A RBF network with 6 pro neurons, 12 anti neurons, and R equal to 0.3, discarded 23 pro cent of the images of the test set and classified correctly 96 pro cent of the non discarded images.

# References

- <https://www.cc.gatech.edu/~isbell/tutorials/rbf-intro.pdf>
- <https://www.slideshare.net/sheetalkatkar/radial-basis-function-network>
- <https://anuradhasrinivas.files.wordpress.com/2012/08/rbf.ppt>
- <https://slideplayer.com/slide/6641131/>
- <http://users.rowan.edu/~shreek/spring02/ann/lectures/RBFlecture1.ppt>