Homework 4 Partial Solutions

Notation: To keep notation simpler lets agree that

$$(x_1, x_2, \dots, x_n) = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{bmatrix}$$

and agree to write $L(x_1, x_2, ..., x_n)$ in place of the more correct $L((x_1, x_2, ..., x_n))$. This way we can write things like:

$$L(x_1, x_2, x_3) = (x_1 + x_2, x_3)$$

instead of the more cumbersome:

$$L([x_1, x_2, x_3]^T) = [x_1 + x_2, x_3]^T \text{ or } L\left(\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}\right) = \begin{bmatrix} x_1 + x_2 \\ x_3 \end{bmatrix}$$

Section 4.1

5. Determine if the following maps : $\mathbb{R}^3 \to \mathbb{R}^2$ are linear.

(a) (Linear): $L(\mathbf{x}) = (x_2, x_3)$ (projection onto the last two coordinates).

Clearly
$$L(\mathbf{x} + \alpha \mathbf{y}) = (x_2, x_3) + (\alpha y_2, \alpha y_3) = (x_2, x_3) + \alpha (y_2, y_3) = L(\mathbf{x}) + \alpha L(\mathbf{y}).$$

(b) (Linear) $L(\boldsymbol{x}) = (0,0)$ (constant **0** map)

$$L(\boldsymbol{x} + \alpha \boldsymbol{y}) = \boldsymbol{0} = \boldsymbol{0} + \alpha \boldsymbol{0} = L(\boldsymbol{x}) + \alpha L(\boldsymbol{y}).$$

(c) (Non-Linear) $L(x) = (1 + x_1, x_2)$.

 $L(\mathbf{0}) = (1,0) \neq \mathbf{0}$ so L is non-linear.

(d) (Linear) $L(x) = (x_3, x_1 + x_2)$.

$$L(\mathbf{x} + \alpha \mathbf{y}) = (x_3 + \alpha y_3, (x_1 + \alpha y_1) + (x_2 + \alpha y_2)) = (x_3, x_1 + x_2) + \alpha (y_3, y_1 + y_2) = L(\mathbf{x}) + \alpha L(\mathbf{y}).$$

6. Determine if $L: \mathbb{R}^2 \to \mathbb{R}^3$ is linear.

(a)
$$L(x_1, x_2) = (x_1, x_2, 1)$$

If L is linear, then $L(\mathbf{0}) = \mathbf{0}$, since $L(0\mathbf{x}) = 0L(\mathbf{x}) = \mathbf{0}$. For the given transformation, this fails, so the given L is not linear.

(b) $L(x_1, x_2) = (x_1, x_2, x_1 + 2x_2)$

Let $r \in \mathbb{R}$ and $(x_1, x_2), (y_1, y_2) \in \mathbb{R}^2$, then:

$$L((x_1, x_2) + r(y_1, y_2)) = L(x_1 + ry_1, x_2 + ry_2) = (x_1 + ry_1, x_2 + ry_2, x_1 + ry_1 + 2(x_2 + ry_2))$$
$$= (x_1, x_2, x_1 + 2x_2) + r(y_1, y_2, y_1 + 2y_2) = L(x_1, x_2) + rL(y_1, y_2).$$

So L is linear.

(c) $L(x_1, x_2) = (x_1, 0, 0)$

Let $r \in \mathbb{R}$ and $(x_1, x_2), (y_1, y_2) \in \mathbb{R}^2$, then:

$$L((x_1, x_2) + r(y_1, y_2)) = L(x_1 + ry_1, x_2 + ry_2) = (x_1 + ry_1, 0, 0)$$

= $(x_1, 0, 0) + r(y_1, 0, 0) = L(x_1, x_2) + rL(y_1, y_2).$

So L is linear.

(d)
$$L(x_1, x_2) = (x_1, x_2, x_1^2 + x_2^2)$$

L((0,1) + (0,1)) = L(0,2) = (0,2,4) whereas L(0,1) + L(0,1) = (0,1,1) + (0,1,1) = (0,2,2) so clearly $L(x + y) \neq L(x) + L(y)$ for x = y = (0,1). Hence L is not linear.

13. Let $x \in V$, then there are unique $a_i \in \mathbb{R}$ so that $x = \sum_{i=1}^n a_i v_i$. By linearity of L_1 and L_2 we have:

$$L_1(\mathbf{x}) = \sum_{i=1}^n a_i L_1(\mathbf{v}_i) = \sum_{i=1}^n a_i L_2(\mathbf{v}_i) = L_2(\mathbf{x})$$

So for all $x \in V$, $L_1(x) = L_2(x)$. Thus $L_1 = L_2$.

17.

- (a) Clearly $\ker(L) = \{0\}$ and $\operatorname{Img}(L) = \mathbb{R}^3$.
- **(b)** $\ker(L) = \{(0,0,x_3) \mid x_3 \in \mathbb{R}\} \text{ and } \operatorname{Img}(L) = \{(x_1,x_2,0) \mid x_1,x_2 \in \mathbb{R}\}.$
- (c) $\ker(L) = \{(0, x_2, x_3) \mid x_2, x_3 \in \mathbb{R}\}$ and $\operatorname{Img}(L) = \{(x_1, x_1, x_1) \mid x_1 \in \mathbb{R}\}.$
- **19** Find $\ker(L)$ for each linear $L: P_3 \to P_3$.
- (a) $L(f) = x \cdot f'$.

Clearly $x \cdot f' = 0$ iff f' = 0 for $x \neq 0$. But this means f is constant for x > 0 and being a polynomial, f must just be constant. So $\ker(L)$ is the set of all constant maps, and hence essentially, $\ker(L) = P_0 = \mathbb{R}$.

(b) L(p) = p - p'. Since p - p' = 0 iff p = p' we see this is equivalent to $\frac{p'}{p} = 1$ or $\frac{d}{dx} \ln(|p|) = 1$, so $\ln(|p|) = x + c$ or $|p| = e^{x+c} = Ke^x$. No polynomial satisfies this except when K = 0 and so p = 0. Thus $\ker(L) = \{0\}$.

(c)
$$L(p) = p(0)x + p(1)$$

L(p) = 0 iff p(0)x + p(1) = 0 so p(1) = p(0) = 0. Now $p(x) = a_3x^3 + a_2x^2 + a_1x + a_0$ and $p(0) = a_0 = 0$ and $p(1) = a_3 + a_2 + a_1 = 0$, so $a_1 = -(a_3 + a_2)$. Thus $p = a_3x^3 + a_2x^2 - (a_3 + a_2)x = a_3(x^3 - x) + a_2(x^2 - x)$. So $\ker(L) = \operatorname{span}\{x^3 - x, x^2 - x\}$.

Section 4.2

2. For each linear $L: \mathbb{R}^3 \to \mathbb{R}^2$ find A so that $L(\boldsymbol{x}) = A\boldsymbol{x}$.

A couple of things to note. The standard basis for \mathbb{R}^n will be $\mathcal{E} = \{e_1, \dots, e_n\}$ where n is clear from the context. When working in \mathbb{R}^n in the standard basis we have $[v]_{\mathcal{E}} = v$, this helps reduce notation. For example, $[L(e_i)]_{\mathcal{E}} = L(e_i)$ so long as everything is wrt the standard basis.

(b) $L((x_1, x_2, x_3)) = (x_1, x_2).$

$$A = egin{bmatrix} [L(oldsymbol{e}_1) & L(oldsymbol{e}_2) & L(oldsymbol{e}_3) \end{bmatrix} = egin{bmatrix} 1 & 0 & 0 \ 0 & 1 & 0 \end{bmatrix}$$

(c) $L((x_1, x_2, x_3)) = (x_2 - x_1, x_3 - x_2).$

$$A = \begin{bmatrix} [L(\boldsymbol{e}_1) & L(\boldsymbol{e}_2) & L(\boldsymbol{e}_3) \end{bmatrix} = \begin{bmatrix} -1 & 1 & 0 \\ 0 & -1 & 1 \end{bmatrix}$$

3. For each $L: \mathbb{R}^3 \to \mathbb{R}^3$ find A so that $A\mathbf{x} = L(\mathbf{x})$. (See (2) above for notation.)

(b)
$$L((x_1, x_2, x_3)) = (x_1, x_1 + x_2, x_1 + x_2 + x_3)$$

$$A = \begin{bmatrix} L(e_1) & L(e_2) & L(e_3) \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

(c) $L((x_1, x_2, x_3)) = (2x_3, x_2 + 3x_1, 2x_1 - x_3)$

$$A = \begin{bmatrix} L(e_1) & L(e_2) & L(e_3) \end{bmatrix} = \begin{bmatrix} 0 & 0 & 2 \\ 3 & 1 & 0 \\ 2 & 0 & -1 \end{bmatrix}$$

5. In each case $[L] = \begin{bmatrix} L(\boldsymbol{e}_1) & L(\boldsymbol{e}_2) \end{bmatrix}$

(a)
$$L(1,0) = (\sqrt{2}/2, -\sqrt{2}/2)$$
 and $L(0,1) = (\sqrt{2}/2, \sqrt{2}/2)$ so

$$[L] = \frac{\sqrt{2}}{2} \begin{bmatrix} 1 & 1 \\ -1 & 1 \end{bmatrix}$$

(b)
$$(1,0) \mapsto (1,0) \mapsto (0,1)$$
 and $(0,1) \mapsto (0,-1) \mapsto (1,0)$ so $L(1,0) = (0,1)$ and $L(0,1) = (1,0)$

$$[L] = [L(\boldsymbol{e}_1) \, L(\boldsymbol{e}_2)] = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

(c) The counter clockwise rotation is

$$[R_{30^{\circ}}] = \begin{bmatrix} \sqrt{3}/2 & -1/2\\ 1/2 & \sqrt{3}/2 \end{bmatrix}$$

Stretching by 2 is

$$[T_2] = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix} = 2I_2$$

SO

$$[L] = [R_{30^{\circ}} \circ T_2] = \begin{bmatrix} \sqrt{3}/2 & -1/2 \\ 1/2 & \sqrt{3}/2 \end{bmatrix} \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix} = \begin{bmatrix} \sqrt{3} & -1 \\ 1 & \sqrt{3} \end{bmatrix}$$

(d) Projection about $x_1 = x_2$ is

 $(1,0)\mapsto (0,1)\mapsto (0,0)$ and $(0,1)\mapsto (1,0)\mapsto (1,0)$ so the matrix is

$$[L] = [L(\boldsymbol{e}_1) \, L(\boldsymbol{e}_2)] = egin{bmatrix} 0 & 1 \ 0 & 0 \end{bmatrix}$$

8. Let

$$oldsymbol{y}_1 = egin{bmatrix} 1 \ 1 \ 1 \end{bmatrix} \quad oldsymbol{y}_2 = egin{bmatrix} 1 \ 1 \ 0 \end{bmatrix} \quad oldsymbol{y}_3 = egin{bmatrix} 1 \ 0 \ 0 \end{bmatrix}$$

 $L: \mathbb{R}^3 \to \mathbb{R}^3$ is given by

$$L(c_1y_1 + c_2y_2 + c_3y_3) = (c_1 + c_2 + c_3)y_1 + (2c_1 + c_3)y_2 - (2c_2 + c_3)y_3$$

Start by finding the matrix for L wrt $\mathcal{B} = \{y_1, y_2, y_3\}$. For this notice that $L(y_1) = y_1 + 2y_2$, $L(y_2) = y_1 - 2y_3$, and $L(y_3) = y_1 + y_2 - y_3$. So

$$[L(\boldsymbol{y}_1)]_{\mathcal{B}} = \begin{bmatrix} 1\\2\\0 \end{bmatrix} \quad [L(\boldsymbol{y}_2)]_{\mathcal{B}} = \begin{bmatrix} 1\\0\\-2 \end{bmatrix} \quad [L(\boldsymbol{y}_3)]_{\mathcal{B}} = \begin{bmatrix} 1\\1\\-1 \end{bmatrix}$$

So

$$[L]_{\mathcal{B}} = \begin{bmatrix} 1 & 1 & 1 \\ 2 & 0 & 1 \\ 0 & -2 & -1 \end{bmatrix}$$

Now if we want the matrix wrt the standard basis we need to do the change of basis

$$[\mathrm{id}]_{\mathcal{B},\mathcal{E}} = B = \begin{bmatrix} \boldsymbol{y}_1 & \boldsymbol{y}_2 & \boldsymbol{y}_3 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}$$

and

$$[id]_{\mathcal{E},\mathcal{B}} = ([id]_{\mathcal{B},\mathcal{E}})^{-1} = B^{-1} = \begin{bmatrix} 0 & 0 & 1\\ 0 & 1 & -1\\ 1 & -1 & 0 \end{bmatrix}$$

(a) $[L]_{\mathcal{B},\mathcal{B}}$ (above)

(b) Here you are essentially asked for $[L]_{\mathcal{E},\mathcal{B}}$, i.e., take a vector \boldsymbol{v} (in standard representation) and report $L(\boldsymbol{v})$ with respect to \mathcal{B} , that is we want $[L(\boldsymbol{v})]_{\mathcal{B}}$ and we know $[L]_{\mathcal{E},\mathcal{B}}[\boldsymbol{v}]_{\mathcal{E}} = [L(\boldsymbol{v})]_{\mathcal{B}}$.

$$[L]_{\mathcal{E},B} = [L]_{\mathcal{B}}[\mathrm{id}]_{\mathcal{E},B} = [L]_{\mathcal{B}}B^{-1} = \begin{bmatrix} 1 & 1 & 1 \\ 2 & 0 & 1 \\ 0 & -2 & -1 \end{bmatrix} \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & -1 \\ 1 & -1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 1 & -1 & 2 \\ -1 & -1 & 2 \end{bmatrix}$$

(i)
$$[L((7,5,2))]_{\mathcal{B}} = \begin{bmatrix} 1 & 0 & 0 \\ 1 & -1 & 2 \\ -1 & -1 & 2 \end{bmatrix} \begin{bmatrix} 7 \\ 5 \\ 2 \end{bmatrix} = \begin{bmatrix} 7 \\ 6 \\ -8 \end{bmatrix}.$$

You could also argue this way:

$$(7,5,2) = 2y_1 + 3y_2 + 2y_3,$$

so

$$L((7,5,2)) = L(2\mathbf{y}_1 + 3\mathbf{y}_2 + 2\mathbf{y}_3)$$

$$= (2+3+2)\mathbf{y}_1 + (2(2)+2)\mathbf{y}_2 - (2(3)+2)\mathbf{y}_3 = 7\mathbf{y}_1 + 6\mathbf{y}_2 - 8\mathbf{y}_3$$
So $[L(7,5,2)]_{\mathcal{B}} = (7,6,-8)$.

(ii)
$$[L((3,2,1))]_{\mathcal{B}} = \begin{bmatrix} 1 & 0 & 0 \\ 1 & -1 & 2 \\ -1 & -1 & 2 \end{bmatrix} \begin{bmatrix} 3 \\ 2 \\ 1 \end{bmatrix} = \begin{bmatrix} 3 \\ 3 \\ -3 \end{bmatrix}.$$

(iii)
$$[L((1,2,3))]_{\mathcal{B}} = \begin{bmatrix} 1 & 0 & 0 \\ 1 & -1 & 2 \\ -1 & -1 & 2 \end{bmatrix} \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix} = \begin{bmatrix} 1 \\ 5 \\ 3 \end{bmatrix}.$$

9. Here is one way of thinking about what

$$\begin{bmatrix} a & b & \alpha_1 \\ c & d & \alpha_2 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ 1 \end{bmatrix}$$

does geometrically. There is the linear operation and a translation by α :

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} \alpha_1 \\ \alpha_2 \end{bmatrix} = A\boldsymbol{x} + \boldsymbol{\alpha}$$

The homogeneous coordinates allow us to represent this as a linear transformation one dimension up:

$$\begin{bmatrix} a & b & \alpha_1 \\ c & d & \alpha_2 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ 1 \end{bmatrix} = \begin{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix} & \begin{bmatrix} \alpha_1 \\ \alpha_2 \end{bmatrix} \\ \begin{bmatrix} 0 & 0 \end{bmatrix} & 1 \end{bmatrix} \begin{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \\ 1 \end{bmatrix} = \begin{bmatrix} A & \boldsymbol{\alpha} \\ \boldsymbol{0}^T & 1 \end{bmatrix} \begin{bmatrix} \boldsymbol{x} \\ 1 \end{bmatrix} = \begin{bmatrix} A\boldsymbol{x} + \boldsymbol{\alpha} \\ 1 \end{bmatrix}$$

- (a) R is a unit square. (One vertex at origin, one side along x_1 axis and one along x_2 axis.)
- (b)
 - (i) This is the unit square shrunk by a factor of 1/2.
- (ii) This is the unit square rotated counterclockwise by 45°.
- (iii) This is the unit square shifted two units in the x_1 direction and -3 units in the x_2 direction.

Section 4.3

4. Given a basis $\mathcal{B} = \{v_1, v_2, v_3\}$ in \mathbb{R}^3 , the change of basis matrix from \mathcal{B} to the standard basis is just $B = \begin{bmatrix} v_1 & v_2 & v_3 \end{bmatrix}$, that is just write down the elements of \mathcal{B} as the columns. Then the change of basis matrix from the standard basis \mathcal{E} to \mathcal{B} is just B^{-1} . So $[L]_{\mathcal{B}} = B^{-1}[L]B$ and thus

$$[L]_{\mathcal{B}} = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 2 & -2 \\ 1 & 0 & 1 \end{bmatrix}^{-1} \begin{bmatrix} 3 & -1 & -2 \\ 2 & 0 & -2 \\ 2 & -1 & -1 \end{bmatrix} \begin{bmatrix} 1 & 1 & 0 \\ 1 & 2 & -2 \\ 1 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

It is clear now that with respect to the basis \mathcal{B} , L simply fixes \mathbf{v}_2 and \mathbf{v}_3 and kills \mathbf{v}_1 .

- **5.** Let : $P_3 \to P_3$ be L(p) = xp' + p''
- (a) Let $\mathcal{B} = \{1, x, x^2\}$, then

$$[L(1)]_{\mathcal{B}} = [0]_{\mathcal{B}} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} \qquad [L(x)]_{\mathcal{B}} = [x]_{\mathcal{B}} = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \qquad [L(x^2)]_{\mathcal{B}} = [2x^2 + 2]_{\mathcal{B}} = \begin{bmatrix} 2 \\ 0 \\ 2 \end{bmatrix}$$

So

$$A = [L]_{\mathcal{B},\mathcal{B}} = \begin{bmatrix} 0 & 0 & 2 \\ 0 & 1 & 0 \\ 0 & 0 & 2 \end{bmatrix}$$

(b) Let $C = \{1, x, x^2 + 1\}$, then

$$[L(1)]_{\mathcal{C}} = [0]_{\mathcal{C}} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} \qquad [L(x)]_{\mathcal{C}} = [x]_{\mathcal{C}} = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \qquad [L(x^2 + 1)]_{\mathcal{C}} = [2x^2 + 2]_{\mathcal{C}} = \begin{bmatrix} 0 \\ 0 \\ 2 \end{bmatrix}$$

So

$$B = [L]_{\mathcal{C},\mathcal{C}} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 2 \end{bmatrix}$$

(c) The matrix from C to B is

$$S = \begin{bmatrix} [1]_{\mathcal{B}} & [x]_{\mathcal{B}} & [x^2 + 1]_{\mathcal{B}} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

 S^{-1} transforms from \mathcal{B} to \mathcal{C}

$$S^{-1} = \begin{bmatrix} 1 & 0 & -1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

So

$$B = [L]_{\mathcal{C},\mathcal{C}} = [\mathrm{id}]_{\mathcal{B},\mathcal{C}}[L]_{\mathcal{B},\mathcal{B}}[\mathrm{id}]_{\mathcal{C},\mathcal{B}} = S^{-1}AS$$

(d) Compute $L^n(p)$ for $p = a_0 + a_1x + a_2(x^2 + 1)$, so $[p]_{\mathcal{C}} = (a_0, a_1, a_2)$

$$[L^{n}(p)]_{\mathcal{C}} = ([L]_{\mathcal{C},\mathcal{C}})^{n}[p]_{\mathcal{C}} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1^{n} & 0 \\ 0 & 0 & 2^{n} \end{bmatrix} \begin{bmatrix} a_{0} \\ a_{1} \\ a_{2} \end{bmatrix} = \begin{bmatrix} 0 \\ a_{1} \\ 2^{n}a_{3} \end{bmatrix}$$

So
$$L^n(p) = a_1 x + 2^n a_3 (x^2 + 1)$$

- 8. Suppose $A=S\Lambda S^{-1}$ and \boldsymbol{s}_i is the i^{th} column of S. Then
- (a) $AS = \Lambda S$. Since Λ is diagonal we have

$$AS = \begin{bmatrix} As_1 & \cdots & As_n \end{bmatrix} = \Lambda S = \begin{bmatrix} \Lambda s_1 & \cdots & \Lambda s_n \end{bmatrix} = \begin{bmatrix} \lambda_1 s_1 & \cdots & \lambda_n s_n \end{bmatrix}$$

Thus, clearly $As_i = \lambda_i s_i$.

- (b) If $\mathbf{x} = \alpha_1 \mathbf{s}_1 + \dots + \alpha_n \mathbf{s}_n$, then $L(\mathbf{x}) = \sum_{i=1}^n \alpha_i L(\mathbf{s}_i) = \sum_{i=1}^n \lambda_i \alpha_i \mathbf{s}_i$. So by a simple inductiom, $L^m(\mathbf{x}) = \sum_{i=1}^n \lambda_i^m \alpha_i \mathbf{s}_i$.
- (c) Clearly if $|\lambda_i| < 1$, then $\lambda_i^m \to 0$ as $m \to \infty$, so $L^m(x) \to 0$ as $m \to \infty$.