

# Counting

## Formula Sheet

### 1. The Rules of Sum and Product:

**The Rule of Sum** – If a sequence of tasks  $T_1, T_2, \dots, T_m$  can be done in  $w_1, w_2, \dots, w_m$  ways respectively (the condition is that no tasks can be performed simultaneously), then the number of ways to do one of these tasks is  $w_1 + w_2 + \dots + w_m$ . If we consider two tasks A and B which are disjoint (i.e.  $A \cap B = \emptyset$ ), then mathematically  $|A \cup B| = |A| + |B|$

**The Rule of Product** – If a sequence of tasks  $T_1, T_2, \dots, T_m$  can be done in  $w_1, w_2, \dots, w_m$  ways respectively and every task arrives after the occurrence of the previous task, then there are  $w_1 \times w_2 \times \dots \times w_m$  ways to perform the tasks. Mathematically, if a task B arrives after a task A, then  $|A \times B| = |A| \times |B|$

### 2. Pigeonhole Principle:

For any positive integer k, if  $k + 1$  objects (pigeons) are placed in k boxes (pigeonholes), then at least one box contains two or more objects.

### 3. Extended Pigeonhole Principle:

It states that if n pigeons are assigned to m pigeonholes (The number of pigeons is very large than the number of pigeonholes), then one of the pigeonholes must contain at least  $\lceil (n-1)/m \rceil + 1$  pigeon.

### 4. Permutation and Combination:

A **permutation** is an arrangement of some elements in which order matters. In other words, a Permutation is an ordered Combination of elements.

The number of permutations of 'n' different things taken 'r' at a time is denoted by  $n P_r$

$$n P_r = \frac{n!}{(n-r)!}$$

where  $n! = 1.2.3. \dots (n-1).n$

A **combination** is selection of some given elements in which order does not matter.

The number of all combinations of  $n$  things, taken  $r$  at a time is –

$${}^nC_r = \frac{n!}{r!(n-r)!}$$

## 5. Inclusion and Exclusion Principle:

The **Inclusion-exclusion principle** computes the cardinal number of the union of multiple non-disjoint sets. For two sets  $A$  and  $B$ , the principle states –

$$|A \cup B| = |A| + |B| - |A \cap B|$$

For three sets  $A$ ,  $B$  and  $C$ , the principle states –

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$

The generalized formula –

$$|\bigcup_{i=1}^n A_i| = \sum_{1 \leq i < j \leq n} |A_i \cap A_j| + \sum_{1 \leq i < j < k \leq n} |A_i \cap A_j \cap A_k| - \dots + (-1)^{n-1} |A_1 \cap \dots \cap A_n|$$

## 6. Linear Recurrence:

A recurrence relation is a functional relation between the independent variable  $x$ , dependent variable  $f(x)$  and the differences of various order of  $f(x)$ . A recurrence relation is also called a difference equation, and we will use these two terms interchangeably.

### Linear Recurrence Relations with Constant Coefficients:

A Recurrence Relations is called linear if its degree is one.

The general form of linear recurrence relation with constant coefficient is

$$C_0 y_{n+r} + C_1 y_{n+r-1} + C_2 y_{n+r-2} + \dots + C_r y_n = R(n)$$

Where  $C_0, C_1, C_2, \dots, C_n$  are constant and  $R(n)$  is same function of independent variable  $n$ .

A solution of a recurrence relation in any function which satisfies the given equation.

## Linear Homogeneous Recurrence Relations with Constant Coefficients:

A linear homogeneous difference equation with constant coefficients is given by

$$C_0 y_n + C_1 y_{n-1} + C_2 y_{n-2} + \dots + C_r y_{n-r} = 0 \dots \dots \text{equation (i)}$$

Where  $C_0, C_1, C_2, \dots, C_n$  are constants.

The solution of the equation (i) is of the form  $A\alpha_1^K$ , where  $\alpha_1$  is the characteristics root and A is constant.

Substitute the values of  $A\alpha^K$  for  $y_n$  in equation (1), we have

$$C_0 A\alpha^K + C_1 A\alpha^{K-1} + C_2 A\alpha^{K-2} + \dots + C_r A\alpha^{K-r} = 0 \dots \dots \text{equation (ii)}$$

After simplifying equation (ii), we have

$$C_0 \alpha^r + C_1 \alpha^{r-1} + C_2 \alpha^{r-2} + \dots + C_r = 0 \dots \dots \text{equation (iii)}$$

The equation (iii) is called the characteristics equation of the difference equation.

If  $\alpha_1$  is one of the roots of the characteristics equation, then  $A\alpha_1^K$  is a homogeneous solution to the difference equation.

To find the solution of the linear homogeneous difference equations, we have the four cases that are discussed as follows:

**Case1:** If the characteristic equation has n distinct real roots  $\alpha_1, \alpha_2, \alpha_3, \dots, \alpha_n$ .

Thus,  $\alpha_1^K, \alpha_2^K, \dots, \alpha_n^K$  are all solutions of equation (i).

Also, we have  $A_1 \alpha_1^K, A_2 \alpha_1^K, \dots, A_n \alpha_n^K$  are all solutions of equation (i). The sums of solutions are also solutions.

Hence, the homogeneous solutions of the difference equation are

$$y_k = A_1 \alpha_1^K, A_2 \alpha_2^K, \dots, A_n \alpha_n^K.$$

**Case2:** If the characteristics equation has repeated real roots.

If  $\alpha_1 = \alpha_2$ , then  $(A_1 + A_2 K) \alpha_1^K$  is also a solution.

If  $\alpha_1 = \alpha_2 = \alpha_3$  then  $(A_1 + A_2 K + A_3 K^2) \alpha_1^K$  is also a solution.

Similarly, if root  $\alpha_1$  is repeated  $n$  times, then.

$$(A_1 + A_2 K + A_3 K^2 + \dots + A_n K^{n-1}) \alpha_1^K$$

The solution to the homogeneous equation.

**Case3:** If the characteristics equation has one imaginary root.

If  $\alpha + i\beta$  is the root of the characteristics equation, then  $\alpha - i\beta$  is also the root, where  $\alpha$  and  $\beta$  are real.

Thus,  $(\alpha + i\beta)^K$  and  $(\alpha - i\beta)^K$  are solutions of the equations. This implies

$$(\alpha + i\beta)^K A_1 + (\alpha - i\beta)^K A_2$$

Is also a solution to the characteristics equation, where  $A_1$  and  $A_2$  are constants which are to be determined.

**Case4:** If the characteristics equation has repeated imaginary roots.

When the characteristics equation has repeated imaginary roots,

$$(C_1 + C_2 K) (\alpha + i\beta)^K + (C_3 + C_4 K) (\alpha - i\beta)^K$$

Is the solution to the homogeneous equation.

### **Non-Homogeneous Recurrence Relation and Particular Solutions:**

A recurrence relation is called non-homogeneous if it is in the form

$$F_n = AF_{n-1} + BF_{n-2} + f(n) \quad \text{where} \quad f(n) \neq 0$$

Its associated homogeneous recurrence relation is  $F_n = AF_{n-1} + BF_{n-2}$

The solution  $(a_n)$  of a non-homogeneous recurrence relation has two parts.

First part is the solution  $(a_h)$  of the associated homogeneous recurrence relation and the second part is the particular solution  $(a_t)$ .

$$a_n = a_h + a_t$$

Solution to the first part is done using the procedures discussed in the previous section.

To find the particular solution, we find an appropriate trial solution.

Let  $f(n) = cx^n$ ; let  $x^2 = Ax + B$  be the characteristic equation of the associated homogeneous recurrence relation and let  $x_1$  and  $x_2$  be its roots.

▣ If  $x \neq x_1$  and  $x \neq x_2$ , then  $a_t = Ax^n$

▣ If  $x = x_1$ ,  $x \neq x_2$ , then  $a_t = Anx^n$

▣ If  $x = x_1 = x_2$ , then  $a_t = An^2x^n$