number of words and d is the dimension of the word embeddings.

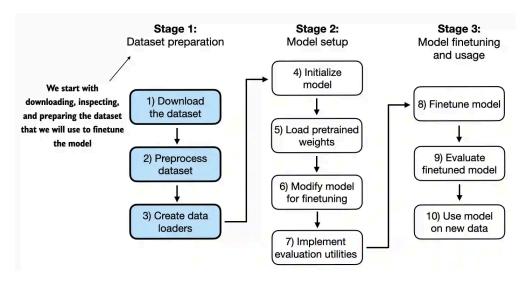
Computing Attention Scores: For each word, we compute attention scores with respect to all other words. Since there are n words and for each word, we perform a dot product with n key vectors, the complexity of this step is  $O(n^2d)$ .

Computing the Weighted Sum: This step involves a weighted sum operation for each word, which has a complexity of **0(nd)**.

When we sum up the complexities of all three steps, the dominant term is the second step, which grows quadratically with the length of the sequence (n). Therefore, the overall complexity of the self-attention mechanism in the vanilla transformer architecture is  $O(n^2d)$  or squared compute cost. This quadratic growth becomes a bottleneck for long sequences, making it less scalable compared to architectures with linear or sublinear complexities.

The critical point is that the number of computations grows with the product (Np \* Nci) of the sequence length (Np) in the previous layer. Here, Nci represent the current token in the sequence and we compute attention scores for each token in the current sequence. In mathematical terms, this product represents the square of the sequence length  $(N^2)$ .

# Fine-Tuning Mistral-7b



Mistral 7B v0.1, with 7.3 billion parameters, is the first LLM introduced by Mistral AI. The main novel techniques used in Mistral 7B's architecture are:

• Sliding Window Attention: Replace the full attention (square compute cost) with a sliding window based attention where each token can attend to at most 4,096 tokens from the previous layer (linear compute cost). This mechanism enables

- Mistral 7B to handle longer sequences, where higher layers can access historical information beyond the window size of 4,096 tokens.
- Grouped-query Attention: used in Llama 2 as well, the technique optimizes the inference process (reduce processing time) by caching the key and value vectors for previously decoded tokens in the sequence.

https://magazine.sebastianraschka.com/p/finetuning-large-language-models

### 1. Split the dataset in Train and Test

```
In [46]: # check device
          if torch.cuda.is_available():
              device = torch.device('cuda')
          else:
              device = torch.device('cpu')
          f"Device: {device}"
Out[46]: 'Device: cuda'
In [47]: # Let's print out data first
          df2.sample(5)
Out[47]:
                                                             label
                                                     texts
          1485
                     Less: Other Other - Check Processing Fees Expense
          1380
                                          Header BAD DEBT
                                                            Income
          1921 Plus: Misc. Other Income Additional Other Income
                                                            Income
                          Plus: Misc. Other Income Deposit Fee
          1410
                                                            Income
          2037
                      Less: Office Expenses Employee Relations Expense
In [48]: # define a dict to encode labels
          labels = {
                    'Expense': 0,
                    'Income': 1
                   }
In [49]: # Now, let's update the labels with 0 or 1
          df2["label"] = df2["label"].map(labels)
          df2["label"].value_counts()
Out[49]: label
          1
               3000
               3000
          Name: count, dtype: int64
In [50]: df2 = df2.sample(frac=1, random state=42).reset index(drop=True)
          df2.head(5)
```

```
Out[50]:
                                               texts label
         0
                                Less: Gas Gas - Vacancy
                                                        0
          1
                                   Total TOTAL INCOME
          2
                       Less: Marketing Permanent Signage
                                                        0
                        Gross Potential Rent Potential Rent
          3
                                                         1
         4 Less: Unit Turnover RR - Appliance - Dishwasher
                                                        0
In [51]: |train_df = df2.sample(frac=0.75, random_state=200)
         rem_df = df2.drop(train_df.index)
         # validation set
         val_df = rem_df.sample(frac=0.5, random_state=200)
         test_df = rem_df.drop(val_df.index)
         train_df.to_csv("train.csv", index=None)
         val_df.to_csv("validation.csv", index=None)
         test_df.to_csv("test.csv", index=None)
         2. Create data loader
In [52]: # Tokenizer
         tokenizer = AutoTokenizer.from_pretrained("mistralai/Mistral-7B-v0.1")
         tokenizer.pad token = tokenizer.eos token
                                                | 0.00/967 [00:00<?, ?B/s]
        tokenizer config.json:
                                  0%|
        tokenizer.model:
                                          | 0.00/493k [00:00<?, ?B/s]
                            0%|
        tokenizer.json:
                           0%|
                                        | 0.00/1.80M [00:00<?, ?B/s]
        special_tokens_map.json:
                                    0%|
                                                  | 0.00/72.0 [00:00<?, ?B/s]
In [53]: tokenizer.eos_token_id
Out[53]: 2
In [54]: class customDataset(Dataset):
             def __init__(self, csv_file, tokenizer, max_length=None):
                  self.data = pd.read csv(csv file)
                  # as string
                  self.data['texts'] = self.data['texts'].astype(str)
                  self.encoded_texts = [tokenizer.encode(text) for text in self.data['
                  # If max_length is not specified, use the longest text length
                  if max_length is None:
                      self.max_length = max(len(text) for text in self.encoded_texts)
                  else:
                      self.max_length = max_length
                  # Pad sequences to the longest sequence
```

self.encoded\_texts = [

```
encoded_text + [tokenizer.eos_token_id] * (self.max_length - length)
                      for encoded_text in self.encoded_texts
                 1
             def __getitem__(self, index):
                  encoded = self.encoded_texts[index]
                  label = self.data.iloc[index]["label"]
                  return (
                      torch.tensor(encoded, dtype=torch.long),
                      torch.tensor(label, dtype=torch.long)
             def __len__(self):
                  return len(self.data)
In [55]: train_dataset = customDataset(
                                          csv_file="train.csv",
                                          tokenizer=tokenizer,
                                          max_length=None
         print(train_dataset.max_length)
        25
In [56]: val_dataset = customDataset(
                                          csv_file="validation.csv",
                                          tokenizer=tokenizer,
                                          max_length=None
         print(val_dataset.max_length)
        23
In [57]: test_dataset = customDataset(
                                          csv_file="test.csv",
                                          tokenizer=tokenizer,
                                          max_length=None
         print(test_dataset.max_length)
        25
         Next, we use the dataset to instantiate the data loaders
In [58]: from torch.utils.data import DataLoader
         num_workers = 2
         batch_size = 25
         torch.manual_seed(123)
         train_loader = DataLoader(
                                      dataset=train_dataset,
```

```
batch_size=batch_size,
                                      shuffle=True,
                                      num workers=num workers,
                                      drop_last=True,
         val loader = DataLoader(
                                      dataset=val dataset,
                                      batch_size=batch_size,
                                      num_workers=num_workers,
                                      drop last=False,
                                  )
         test_loader = DataLoader(
                                      dataset=test_dataset,
                                      batch_size=batch_size,
                                      num_workers=num_workers,
                                      drop_last=False,
                                  )
In [59]: print("Train loader:")
         for input_batch, target_batch in train_loader:
             pass
         print("Input batch dimensions:", input_batch.shape)
         print("Label batch dimensions", target_batch.shape)
        Train loader:
        huggingface/tokenizers: The current process just got forked, after paralleli
        sm has already been used. Disabling parallelism to avoid deadlocks...
        To disable this warning, you can either:
                - Avoid using `tokenizers` before the fork if possible
                - Explicitly set the environment variable TOKENIZERS_PARALLELISM=(tr
        ue | false)
        huggingface/tokenizers: The current process just got forked, after paralleli
        sm has already been used. Disabling parallelism to avoid deadlocks...
        To disable this warning, you can either:
                - Avoid using `tokenizers` before the fork if possible
                - Explicitly set the environment variable TOKENIZERS_PARALLELISM=(tr
        ue | false)
        Input batch dimensions: torch.Size([25, 25])
        Label batch dimensions torch.Size([25])
In [130... print(f"{len(train_loader)} training batches")
         print(f"{len(val loader)} validation batches")
         print(f"{len(test_loader)} test batches")
        180 training batches
        30 validation batches
```

## 3. Initializing an LLM with pre-trained weights

30 test batches

Let's load a pre-trained LLM with 4-bit quantization precision for its weights.

- load\_in\_4bit=True: This flag indicates that the model's weights should be loaded using 4-bit precision. Using 4-bit precision reduces the model's memory footprint significantly compared to standard 32-bit or 16-bit precision.
- bnb\_4bit\_quant\_type="nf4": Specifies the type of 4-bit quantization to use.

  "nf4" stands for Normal Float 4-bit. This quantization method aims to maintain a
  normal distribution of floating-point values, which helps preserve the model's
  performance despite the lower precision.
- bnb\_4bit\_compute\_dtype=torch.bfloat16: Sets the computation data type to bfloat16 (Brain Floating Point 16). While the model's weights are stored in 4-bit precision, the computations during inference or training will be carried out in bfloat16. This format is a 16-bit floating-point type that balances computational efficiency and numerical stability, providing a good trade-off between precision and performance.
- bnb\_4bit\_quant\_storage=torch.bfloat16: Specifies that the storage format for the quantized weights should be bfloat16. This helps in maintaining a balance between reduced memory usage and preserving enough information to ensure model accuracy.

## Key difference between load\_in\_4bit and bnb\_4bit\_compute\_dtype :

Weight Storage: load\_in\_4bit=True ensures that the model's weights are stored in 4-bit precision, reducing memory usage.

Computation Precision: bnb\_4bit\_compute\_dtype=torch.bfloat16 sets the precision for the computations. While weights are in 4-bit, the activations and intermediate results during forward and backward passes will use torch.bfloat16, combining the benefits of quantization with the advantages of higher precision computations.

- Mistral-7b @ 32-bit (4-bytes) precision would be 28gb memory. (7bx4) | 1 byte = 8 bit
- Quantized Mistral-7b @ 4-bit (0.5 bytes) precision would be 3.5gb memory. (7bx0.5)

```
bnb_config
Out[131... BitsAndBytesConfig {
            "_load_in_4bit": true,
            "_load_in_8bit": false,
            "bnb_4bit_compute_dtype": "bfloat16",
            "bnb_4bit_quant_storage": "bfloat16",
            "bnb 4bit quant type": "nf4",
            "bnb_4bit_use_double_quant": true,
            "llm_int8_enable_fp32_cpu_offload": false,
            "llm_int8_has_fp16_weight": false,
            "llm int8 skip modules": null,
            "llm int8 threshold": 6.0,
            "load_in_4bit": true,
            "load_in_8bit": false,
            "quant_method": "bitsandbytes"
          }
In [132... # Set the device (replace 'cuda:0' with the appropriate GPU if you have mult
         device = torch.device('cuda:0' if torch.cuda.is_available() else 'cpu')
         # Set the device for PyTorch
         torch.cuda.set_device(device)
In [133... device
Out[133... device(type='cuda', index=0)
In [134... # Before clearing GPU memory
         print(torch.cuda.memory_allocated())
         # Clear GPU memory
         ac.collect()
         torch.cuda.empty_cache()
         gc.collect()
         # After clearing GPU memory
         print(torch.cuda.memory_allocated())
        6089384448
        4973572608
In [135... | if torch.cuda.memory_allocated() == 0:
             model_4bit = AutoModelForCausalLM.from_pretrained(
                                                                   "mistralai/Mistral-7
                                                                   quantization config=
                                                                   device_map="cuda:0"
             model_4bit
In [136... model_4bit.hf_device_map
Out[136... {'': device(type='cuda', index=0)}
```

We run into 00M error, when attempting to load mistral—7b via HF Transformers. As the Mistral model has 7 billion parameters, that would require about 14GB of GPU RAM in half precision (float16), since each parameter is stored in 2 bytes. However, one can shrink down the size of the model using quantization. If the model is quantized to 4 bits (or half a byte per parameter), that requires only about 3.5GB of RAM.

```
In [137... # Print model configuration attributes
    print(f"Model configuration: {model_4bit.config}")
```

```
],
          "attention dropout": 0.0,
          "bos_token_id": 1,
          "eos_token_id": 2,
          "hidden act": "silu",
          "hidden_size": 4096,
          "initializer_range": 0.02,
          "intermediate_size": 14336,
          "max_position_embeddings": 32768,
          "model_type": "mistral",
          "num attention heads": 32,
          "num_hidden_layers": 32,
          "num_key_value_heads": 8,
          "quantization_config": {
            "_load_in_4bit": true,
            "_load_in_8bit": false,
            "bnb_4bit_compute_dtype": "bfloat16",
            "bnb_4bit_quant_storage": "bfloat16",
            "bnb_4bit_quant_type": "nf4",
            "bnb_4bit_use_double_quant": true,
            "llm_int8_enable_fp32_cpu_offload": false,
            "llm_int8_has_fp16_weight": false,
            "llm_int8_skip_modules": null,
            "llm int8 threshold": 6.0,
            "load_in_4bit": true,
            "load_in_8bit": false,
            "quant_method": "bitsandbytes"
          "rms_norm_eps": 1e-05,
          "rope_theta": 10000.0,
          "sliding_window": 4096,
          "tie_word_embeddings": false,
          "torch_dtype": "bfloat16",
          "transformers_version": "4.41.2",
          "use_cache": true,
          "vocab_size": 32000
        }
In [138... | def print_trainable_parameters(model):
             Prints the number of trainable parameters in the model.
             trainable params = 0
             all_param = 0
             for , param in model.named parameters():
                  all_param += param.numel()
                  if param.requires_grad:
                      trainable_params += param.numel()
              print(
                  f"trainable params: {trainable_params} || all params: {all_param} ||
              )
```

Model configuration: MistralConfig {

"architectures": [

"MistralForCausalLM"

"\_name\_or\_path": "mistralai/Mistral-7B-v0.1",

```
print_trainable_parameters(model_4bit)
```

trainable params: 18874368 || all params: 1895047168 || trainable%: 0.995984 07462964

Let's test / prompt the model.

```
In [139... # Test
   inputs = tokenizer("Do you have time", return_tensors="pt").to(0)
   inputs
```

Output is a vector of logits (one for each input token), we convert to a probability distn with a softmax, and can then convert this to a token (eg taking the largest logit, or sampling).

Convert the logits to a distribution with a softmax

[0.2212]]], device='cuda:0')

[0.2467],

```
In [141...
For multi-class problem, we use softmax.

For binary classification problem, we use sigmoid activation.

#log_probs = outputs.logits.log_softmax(dim=-1)
#print(log_probs.shape) # shape = batch x position x d_vocab

probs = torch.sigmoid(outputs.logits)
probs
```

```
Out[141... tensor([[[0.6204],
                    [0.5959],
                    [0.5806],
                    [0.5614],
                    [0.5551]]], device='cuda:0')
In [142...] next token = probs[0, -1].argmax(dim=-1)
          # reshape
          next_token = next_token.view(1,1)
          next token
Out[142... tensor([[0]], device='cuda:0')
          Append next_token to input tokens
In [143... | appended = torch.cat((inputs['input_ids'], next_token), dim=-1)
          appended
Out[143... tensor([[
                      1, 2378, 368,
                                       506, 727,
                                                      0]], device='cuda:0')
In [144... tokenizer.decode(appended.squeeze())
Out[144... '<s> Do you have time<unk>'
```

For binary classification problem,

- The goal is to replace and finetune the output layer lm head
- To achieve this, we first freeze the model, meaning that we make all layers nontrainable

```
In [145... for param in model_4bit.parameters():
    param.requires_grad = False
```

- Then, we replace the output layer (model.lm\_head), which originally maps the layer inputs to 32,000 dimensions (the size of the vocabulary)
- Since we finetune the model for binary classification (predicting 2 classes, "Income" and "Expense"), we can replace the output layer as shown below, which will be trainable by default.

```
In [146... model_4bit.lm_head
Out[146... Linear(in_features=4096, out_features=1, bias=False)
In [147... model_4bit.get_input_embeddings().embedding_dim
Out[147... 4096
```

BCELoss function expects inputs to be probabilities (values between 0 and 1). The loss function measures the discrepancy between predicted probs and true binary labels. By apply sigmoid function to the logits, we transform them into probabilities, making them suitable inputs for nn.BCELoss.

Let's update num\_classes to 1 so that it is compatible with nn.BCELoss function.

```
In [148... num_classes = 1
    model_4bit.lm_head = torch.nn.Linear(in_features=model_4bit.get_input_embedd
model_4bit.lm_head

Out[148... Linear(in_features=4096, out_features=1, bias=False)

In [149... model_4bit
```

```
Out[149... MistralForCausalLM(
            (model): MistralModel(
              (embed tokens): Embedding(32000, 4096)
              (layers): ModuleList(
                (0-31): 32 x MistralDecoderLayer(
                  (self_attn): MistralSdpaAttention(
                    (q proj): lora.Linear4bit(
                      (base_layer): Linear4bit(in_features=4096, out_features=4096, b
          ias=False)
                      (lora dropout): ModuleDict(
                        (default): Dropout(p=0.01, inplace=False)
                      (lora A): ModuleDict(
                        (default): Linear(in_features=4096, out_features=32, bias=Fal
          se)
                      )
                      (lora B): ModuleDict(
                        (default): Linear(in_features=32, out_features=4096, bias=Fal
          se)
                      (lora_embedding_A): ParameterDict()
                      (lora_embedding_B): ParameterDict()
                    (k_proj): lora.Linear4bit(
                      (base layer): Linear4bit(in features=4096, out features=1024, b
          ias=False)
                      (lora_dropout): ModuleDict(
                        (default): Dropout(p=0.01, inplace=False)
                      )
                      (lora A): ModuleDict(
                        (default): Linear(in_features=4096, out_features=32, bias=Fal
          se)
                      )
                      (lora_B): ModuleDict(
                        (default): Linear(in_features=32, out_features=1024, bias=Fal
          se)
                      (lora embedding A): ParameterDict()
                      (lora_embedding_B): ParameterDict()
                    (v proj): lora.Linear4bit(
                      (base_layer): Linear4bit(in_features=4096, out_features=1024, b
          ias=False)
                      (lora dropout): ModuleDict(
                        (default): Dropout(p=0.01, inplace=False)
                      (lora A): ModuleDict(
                        (default): Linear(in_features=4096, out_features=32, bias=Fal
          se)
                      (lora B): ModuleDict(
                        (default): Linear(in_features=32, out_features=1024, bias=Fal
          se)
                      )
                      (lora_embedding_A): ParameterDict()
                      (lora_embedding_B): ParameterDict()
```

```
(o_proj): Linear4bit(in_features=4096, out_features=4096, bias=Fa
lse)
          (rotary_emb): MistralRotaryEmbedding()
        (mlp): MistralMLP(
          (gate_proj): Linear4bit(in_features=4096, out_features=14336, bia
s=False)
          (up proj): Linear4bit(in features=4096, out features=14336, bias=
False)
          (down_proj): Linear4bit(in_features=14336, out_features=4096, bia
s=False)
          (act fn): SiLU()
        (input layernorm): MistralRMSNorm()
        (post_attention_layernorm): MistralRMSNorm()
    )
    (norm): MistralRMSNorm()
  (lm_head): Linear(in_features=4096, out_features=1, bias=False)
)
4. Apply LoRA to reduce memory footprint
1.1.1
```

```
In [150...
         pre-processing to prepare model for training
         https://huggingface.co/docs/peft/v0.11.0/en/package reference/peft model#pef
         from peft import prepare_model_for_kbit_training
         model_4bit.gradient_checkpointing_enable()
         #model 4bit.gradient checkpointing enable(gradient checkpointing kwargs={"us
         model 4bit = prepare model for kbit training(model 4bit)
         0.000
In [151...
         For quidelines / general rule of thumb on setting `r` and `lora alpha`:
         A good heuristic is setting alpha at twice the rank's value.
         Reference: https://magazine.sebastianraschka.com/p/practical-tips-for-finetu
         000
         config = LoraConfig(
                                  r=32, # Defines the size of the low-rank matrices.
                                  lora_alpha=64, # Scaling factor for the low-rank mat
                                  lora_dropout=0.01, # Dropout rate for the LoRA layer
                                  target_modules=["q_proj", "k_proj", "v_proj"], # upd
                                  bias="none", # Whether to include biases in the LoRA
                                  task type="CAUSAL LM", # Indicates the type of task
                             )
```

QLoRA compute-memory Trade-offs: One can save ~33% of GPU memory when using QLoRA. However, this comes at a 39% increased training runtime caused by the

additional quantization and dequantization of the pretrained model weights in QLoRA.

```
In [152... peft_model = get_peft_model(model_4bit, config)
    peft_model
```

```
Out[152... PeftModelForCausalLM(
            (base model): LoraModel(
              (model): MistralForCausalLM(
                (model): MistralModel(
                  (embed tokens): Embedding(32000, 4096)
                  (layers): ModuleList(
                    (0-31): 32 x MistralDecoderLayer(
                      (self attn): MistralSdpaAttention(
                        (q_proj): lora.Linear4bit(
                          (base_layer): Linear4bit(in_features=4096, out_features=409
          6, bias=False)
                          (lora dropout): ModuleDict(
                            (default): Dropout(p=0.01, inplace=False)
                          (lora_A): ModuleDict(
                            (default): Linear(in features=4096, out features=32, bias
          =False)
                          )
                          (lora B): ModuleDict(
                            (default): Linear(in_features=32, out_features=4096, bias
          =False)
                          (lora embedding A): ParameterDict()
                          (lora_embedding_B): ParameterDict()
                        )
                        (k proj): lora.Linear4bit(
                          (base_layer): Linear4bit(in_features=4096, out_features=102
          4, bias=False)
                          (lora dropout): ModuleDict(
                            (default): Dropout(p=0.01, inplace=False)
                          (lora A): ModuleDict(
                            (default): Linear(in_features=4096, out_features=32, bias
          =False)
                          (lora B): ModuleDict(
                            (default): Linear(in_features=32, out_features=1024, bias
          =False)
                          (lora_embedding_A): ParameterDict()
                          (lora embedding B): ParameterDict()
                        )
                        (v proj): lora.Linear4bit(
                          (base_layer): Linear4bit(in_features=4096, out_features=102
          4, bias=False)
                          (lora dropout): ModuleDict(
                            (default): Dropout(p=0.01, inplace=False)
                          (lora_A): ModuleDict(
                            (default): Linear(in_features=4096, out_features=32, bias
          =False)
                          (lora B): ModuleDict(
                            (default): Linear(in features=32, out features=1024, bias
          =False)
                          )
```

```
(lora_embedding_A): ParameterDict()
                (lora_embedding_B): ParameterDict()
              (o_proj): Linear4bit(in_features=4096, out_features=4096, bia
s=False)
              (rotary emb): MistralRotaryEmbedding()
            )
            (mlp): MistralMLP(
              (gate proj): Linear4bit(in features=4096, out features=14336,
bias=False)
              (up_proj): Linear4bit(in_features=4096, out_features=14336, b
ias=False)
              (down_proj): Linear4bit(in_features=14336, out_features=4096,
bias=False)
              (act_fn): SiLU()
            (input_layernorm): MistralRMSNorm()
            (post_attention_layernorm): MistralRMSNorm()
          )
        )
        (norm): MistralRMSNorm()
      (lm_head): Linear(in_features=4096, out_features=1, bias=False)
    )
 )
)
```

In [153... peft\_model.print\_trainable\_parameters()

trainable params: 18,874,368 || all params: 7,129,538,560 || trainable%: 0.2 647

Notice, the drop in % of trainable parameters from 13.07% to 0.26%. LoRa allows you to fine-tune large language models using a much smaller set of training parameters while preserving the performance levels typically achieved through full fine-tuning.

```
In [154... # Move the PEFT model to the device
    peft_model = peft_model.to(device)
    peft_model.device

Out[154... device(type='cuda', index=0)

In [87]: peft_model.config.__dict__
```

```
Out[87]: {'vocab_size': 32000,
           'max_position_embeddings': 32768,
           'hidden size': 4096,
           'intermediate_size': 14336,
           'num_hidden_layers': 32,
           'num_attention_heads': 32,
           'sliding window': 4096,
           'num_key_value_heads': 8,
           'hidden_act': 'silu',
           'initializer_range': 0.02,
           'rms_norm_eps': 1e-05,
           'use_cache': True,
           'rope theta': 10000.0,
           'attention_dropout': 0.0,
           'return_dict': True,
           'output_hidden_states': False,
           'output_attentions': False,
           'torchscript': False,
           'torch dtype': torch.bfloat16,
           'use_bfloat16': False,
           'tf_legacy_loss': False,
           'pruned_heads': {},
           'tie_word_embeddings': False,
           'chunk_size_feed_forward': 0,
           'is encoder decoder': False,
           'is_decoder': False,
           'cross_attention_hidden_size': None,
           'add_cross_attention': False,
           'tie_encoder_decoder': False,
           'max_length': 20,
           'min_length': 0,
           'do_sample': False,
           'early_stopping': False,
           'num_beams': 1,
           'num_beam_groups': 1,
           'diversity_penalty': 0.0,
           'temperature': 1.0,
           'top k': 50,
           'top_p': 1.0,
           'typical_p': 1.0,
           'repetition_penalty': 1.0,
           'length_penalty': 1.0,
           'no_repeat_ngram_size': 0,
           'encoder_no_repeat_ngram_size': 0,
           'bad_words_ids': None,
           'num_return_sequences': 1,
           'output_scores': False,
           'return dict in generate': False,
           'forced_bos_token_id': None,
           'forced_eos_token_id': None,
           'remove_invalid_values': False,
           'exponential_decay_length_penalty': None,
           'suppress_tokens': None,
           'begin_suppress_tokens': None,
           'architectures': ['MistralForCausalLM'],
           'finetuning_task': None,
```

```
'id2label': {0: 'LABEL_0', 1: 'LABEL_1'},
'label2id': {'LABEL_0': 0, 'LABEL_1': 1},
'tokenizer class': None,
'prefix': None,
'bos_token_id': 1,
'pad_token_id': None,
'eos token id': 2,
'sep token id': None,
'decoder start token id': None,
'task_specific_params': None,
'problem_type': None,
' name or path': 'mistralai/Mistral-7B-v0.1',
'_attn_implementation_internal': 'sdpa',
'transformers version': '4.34.0.dev0',
'model_type': 'mistral',
'quantization_config': BitsAndBytesConfig {
  "_load_in_4bit": true,
 " load in_8bit": false,
 "bnb 4bit compute dtype": "bfloat16",
 "bnb_4bit_quant_storage": "bfloat16",
 "bnb 4bit quant type": "nf4",
 "bnb_4bit_use_double_quant": true,
 "llm_int8_enable_fp32_cpu_offload": false,
 "llm int8 has fp16 weight": false,
 "llm int8 skip modules": null,
 "llm_int8_threshold": 6.0,
 "load in 4bit": true,
 "load_in_8bit": false,
 "quant_method": "bitsandbytes"
},
' pre quantization dtype': torch.float16}
```

#### 5. Let's test the model

```
In [91]: #inputs['attention mask'] = inputs['attention mask'].to(device)
          #inputs['attention_mask'].device
In [157... # check device: cuda
          peft model.device
Out[157... device(type='cuda', index=0)
In [93]: peft_model.hf_device_map
Out[93]: {'': device(type='cuda', index=0)}
In [94]: peft_model.lm_head.weight.dtype
Out[94]: torch.float32
In [158... with torch.no_grad(): # No gradients accumulation during inference
              outputs = peft_model(**inputs)
          print("Outputs:\n", outputs.logits.shape)
          print("Outputs Logits:\n", outputs.logits)
        Outputs:
          torch.Size([1, 6, 1])
        Outputs Logits:
         tensor([[[-0.0126],
                  [-4.3610],
                  [-3.1300],
                  [-8.8063],
                  [-6.1398],
                  [-3.6898]], device='cuda:0')
          shape indicates:
           1. Batch Size (1)
           2. Sequence Length: # tokens
           3. Binary classification, 2 as the output.
          In this modified output layer, the model is not predicting the next token in the sequence
```

In this modified output layer, the model is not predicting the next token in the sequence as it would in language modeling but rather classifying each token in the input sequence into one of two classes.

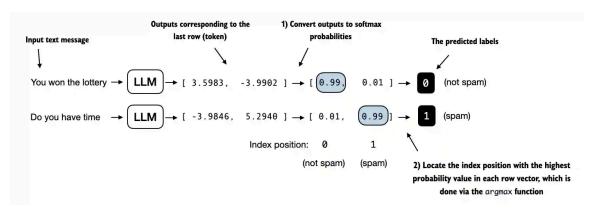
```
In [159... print("Last output token:", outputs.logits[:, -1, :])
Last output token: tensor([[-3.6898]], device='cuda:0')
```

Convert the outputs (logits) into probability scores via the sigmoid function and then obtain the index position of the largest probability value via the argmax function

```
In [160... probs = torch.sigmoid(outputs.logits[:, -1, :])
    probs
```

In [162... predicted\_labels = get\_label(probs)
 print(f"Class label: {predicted\_labels}")

Class label: 0



# 6. Classification accuracy without Fine-tuning

```
In [6]: # for i, (input_batch, target_batch) in enumerate(train_loader):
    # print(i)
    # print('input batch:', input_batch)
    # print('target labels:', target_batch)

# if i == 4:
    # break;
```

```
for i, (input_batch, target_batch) in enumerate(data_loader):
                  input_batch, target_labels = input_batch.to(device), target_batch.to
                 with torch.no grad():
                     outputs = model(input batch)
                  .....
                 Convert raw logits to a probability distribution with softmax
                  `outputs.logits[:, -1, :]`: Apply sigmoid to last token in each segul
                  `dim=-1`: Apply along a specific dimension. For classification, rand
                  probs = torch.sigmoid(outputs.logits[:, -1, :])
                 Locate index with highest probability value using argmax if using so
                 predicted_labels = get_label(probs)
                 num examples += input batch.size(0)
                 correct_preds += torch.eq(predicted_labels, target_labels).sum().ite
                 if i == 5:
                     break;
             print('num examples', num_examples)
             print('correct preds', correct_preds)
             return round(correct preds / num examples,2)
In [104... | train_accuracy = calc_accuracy(train_loader, peft_model, device)
         print(f"Training accuracy: {train accuracy*100:.2f}%")
         test accuracy = calc accuracy(test loader, peft model, device)
         print(f"Test accuracy: {test_accuracy*100:.2f}%")
        huggingface/tokenizers: The current process just got forked, after paralleli
        sm has already been used. Disabling parallelism to avoid deadlocks...
        To disable this warning, you can either:
                - Avoid using `tokenizers` before the fork if possible

    Explicitly set the environment variable TOKENIZERS PARALLELISM=(tr

        ue | false)
        huggingface/tokenizers: The current process just got forked, after paralleli
        sm has already been used. Disabling parallelism to avoid deadlocks...
        To disable this warning, you can either:
                - Avoid using `tokenizers` before the fork if possible

    Explicitly set the environment variable TOKENIZERS PARALLELISM=(tr

        ue | false)
        num examples 150
        correct preds 77
        Training accuracy: 51.00%
```

```
huggingface/tokenizers: The current process just got forked, after paralleli sm has already been used. Disabling parallelism to avoid deadlocks...

To disable this warning, you can either:

- Avoid using `tokenizers` before the fork if possible

- Explicitly set the environment variable TOKENIZERS_PARALLELISM=(tr ue | false)
huggingface/tokenizers: The current process just got forked, after paralleli sm has already been used. Disabling parallelism to avoid deadlocks...

To disable this warning, you can either:

- Avoid using `tokenizers` before the fork if possible

- Explicitly set the environment variable TOKENIZERS_PARALLELISM=(tr ue | false)
num examples 150
correct preds 75
Test accuracy: 50.00%
```

# 7. Fine-tuning

Define a custom class

```
In [163... class BinaryClassification(nn.Module):
             def init (self, base model):
                 super().__init__()
                 self.base_model = base_model
                 #self.dropout = nn.Dropout(0.05)
                 #self.classifier = nn.Linear(hidden size, 1)
                 #self.relu = nn.ReLU()
                 #self.sigmoid = nn.Sigmoid()
             def forward(self, x):
                 outputs = self.base_model(x)
                 #dropout output = self.dropout(outputs.logits[:, -1, :])
                 #relu output = self.relu(dropout output[:, -1, :])
                 Apply sigmoid to logits to get probabilities
                 sigmoid(x) = 1 / (1 + exp(-x))
                 #probs = self.sigmoid(relu output)
                 #print('forward probs', probs)
                 return outputs.logits[:, -1, :]
```

```
In [7]: # Test the custom BinaryClassificationHead class
    model_init = BinaryClassification(peft_model)
    #model_init
```

Loss function and optimizer

• optimizer:

learning rate controls the step size at each iteration while moving toward a minimum of the loss function.

weight decay is (L2 penalty). Adds a small penalty for larger weights, which can help prevent overfitting

• Learning Rate Scheduler:

ReduceLROnPlateau is used to reduce the learning rate when the loss has stopped improving.

factor=0.1 means the learning rate will be reduced by a factor of 10.

patience=1 means the scheduler will wait for 1 epochs before reducing the learning rate if there is no improvement.

• In BCELoss() we pass the probabilities directly. The 0.5 threshold is only required for converting the probabilities into class labels when you want to calculate the accuracy and return the predictions.

The BCEWithLogitsLoss() (Binary Cross Entropy with Logits Loss) function combines a Sigmoid layer and the BCELoss in one single class. This version is more numerically stable than using a plain Sigmoid followed by a BCELoss for several reasons:

- It uses the log-sum-exp trick for numerical stability.
- It combines the operations internally which can be optimized by the framework.

```
In [166... #criterion = torch.nn.BCELoss()
         Given that we observe vanishing gradient problem with sigmoid; let's remove
         criterion = torch.nn.BCEWithLogitsLoss(reduction='mean')
         optimizer = torch.optim.AdamW(model_init.parameters(), lr=0.0001, eps=1e-08)
         scheduler = ReduceLROnPlateau(optimizer, mode='min', factor=0.1, patience=1,
In [167... | def calc_loss_batch(model, input_batch, target_batch):
             output = model(input batch)
             # Reshape target to match the shape of probs
             target batch = target batch.unsqueeze(1)
             if output.shape != target_batch.shape:
                 print('model output shape', output.shape)
                 print('target labels shape', target_batch.shape)
                 raise Exception("Shape mismatch between input logits and target labe
             # Logits of last output token
             loss = criterion(output, target_batch)
             return loss, output
```

```
In [168... val losses = []
         val accuracies = []
         def validate(model, data_loader):
             # Set the model to evaluation mode
             model.eval()
             correct_preds = 0
             num_examples = 0
             total val loss = 0
             with torch.no_grad(): # No need to track gradients during validation
                 for i, (input_batch, target_batch) in enumerate(data_loader):
                     input_batch, target_labels = input_batch.to(device), target_batc
                     print('iteration {}'.format(i))
                     # calculate loss
                     val_loss, output = calc_loss_batch(model, input_batch, target_la
                     # Record loss per batch
                     total_val_loss += val_loss.item()
                     val losses.append(val loss)
                     if i%50==0:
                          print(f'iteration: {i}, validation loss: {val_loss.item()}'
                     # Calculate Accuracy
                     predicted_labels = get_label(output)
                     correct_preds += torch.eq(predicted_labels, target_labels).sum()
                     num_examples += input_batch.size(0)
             val_accuracy = correct_preds / num_examples
             # Record Validation Accuracy per epoch
             val accuracies.append(val accuracy)
             avg_val_loss = total_val_loss / len(data_loader)
             return val_accuracy, avg_val_loss
In [171... train_losses = []
         train_accuracies = []
         train batch idx = []
         train_epochs = []
         def train(epoch):
             # set the model to training mode
             model init.train()
             correct_preds = 0
             num_examples = 0
             best_val_loss = float('inf')
             best model path = ''
```

```
for i, (input_batch, target_batch) in enumerate(train_loader):
    input_batch, target_labels = input_batch.to(device), target_batch.td
    optimizer.zero grad() # Reset loss gradients from previous batch
    print('iteration {}'.format(i))
    # calculate loss
    loss, output = calc_loss_batch(model_init, input_batch, target_label
    if i%50==0:
        print(f'epoch: {epoch}, training loss: {loss.item()}')
    loss.backward() # Backpropogation - calculate loss gradients
    # print gradients
      for name, param in model_init.named_parameters():
          if param.grad is not None:
              print(f'Gradient for {name}: {param.grad.norm()}')
    # Clip gradients
    \max norm = 1
    torch.nn.utils.clip grad norm (model init.parameters(), max norm)
    # update model weights using loss gradients
    optimizer.step()
    # Calculate Accuracy
    predicted labels = get label(output)
    correct_preds += torch.eq(predicted_labels, target_labels).sum().ite
    num_examples += input_batch.size(0)
    # Record Training Loss per batch
    train_losses.append(loss.item())
    train batch idx.append(i)
   # early stopping
    #if i == 2:
    # break:
# Calculate and Record Accuracy per epoch
train epochs.append(epoch)
train_accuracy = correct_preds / num_examples
train_accuracies.append(train_accuracy)
avg_train_loss = sum(train_losses)/len(train_loader)
print(f"Epoch {epoch} - Avg. Training Loss: {avg_train_loss:.2f}, Traini
# Record validation loss and accuracy
val_accuracy, avg_val_loss = validate(model_init, val_loader)
print(f"Epoch {epoch} - Avg. Validation Loss: {avg_val_loss:.2f}, Valida
```

```
# Step the scheduler after epoch completion
scheduler.step(loss)

# Save best model
if avg_val_loss < best_val_loss:
   best_val_loss = avg_val_loss
   torch.save(model_init.state_dict(), 'classifier.pth')
   print(f"Saved best model with validation loss: {best_val_loss:.2f}")

return model_init</pre>
```

```
In []: # Start the Training run
    start_time = time.time()

EPOCHS = 4

for epoch in range(1, EPOCHS+1):
    print('Epoch:', epoch)
    model = train(epoch)

end_time = time.time()

exec_time_mins = (end_time - start_time)/60
print(f"Training completed in {exec_time_mins:.2f} minutes.")
```

### Epoch: 1

huggingface/tokenizers: The current process just got forked, after paralleli sm has already been used. Disabling parallelism to avoid deadlocks...
To disable this warning, you can either:

- Avoid using `tokenizers` before the fork if possible
- Explicitly set the environment variable TOKENIZERS\_PARALLELISM=(true | false)

huggingface/tokenizers: The current process just got forked, after paralleli sm has already been used. Disabling parallelism to avoid deadlocks...
To disable this warning, you can either:

- Avoid using `tokenizers` before the fork if possible
- Explicitly set the environment variable TOKENIZERS\_PARALLELISM=(tr ue  $\mid$  false)

`use\_cache=True` is incompatible with gradient checkpointing. Setting `use\_c ache=False`...

iteration 0

/home/ec2-user/anaconda3/envs/python3/lib/python3.10/site-packages/torch/utils/checkpoint.py:464: UserWarning: torch.utils.checkpoint: the use\_reentrant parameter should be passed explicitly. In version 2.4 we will raise an exception if use\_reentrant is not passed. use\_reentrant=False is recommended, but if you need to preserve the current default behavior, you can pass use\_reentrant=True. Refer to docs for more details on the differences between the two variants.

warnings.warn(

#### Plot loss curves

Evaluate on test data loader

```
In [211... model_path = os.getcwd() + '/classifier.pth'

model = BinaryClassification(peft_model)
model.load_state_dict(torch.load(model_path))
model.to(device)
```

```
Out[211... BinaryClassification(
            (base model): PeftModelForCausalLM(
              (base model): LoraModel(
                (model): MistralForCausalLM(
                  (model): MistralModel(
                    (embed tokens): Embedding(32000, 4096)
                    (layers): ModuleList(
                      (0-31): 32 x MistralDecoderLayer(
                        (self_attn): MistralSdpaAttention(
                          (q proj): lora.Linear4bit(
                            (base_layer): Linear4bit(in_features=4096, out_features=4
          096, bias=False)
                            (lora dropout): ModuleDict(
                              (default): Dropout(p=0.01, inplace=False)
                            )
                            (lora_A): ModuleDict(
                              (default): Linear(in_features=4096, out_features=32, bi
          as=False)
                            (lora B): ModuleDict(
                              (default): Linear(in_features=32, out_features=4096, bi
          as=False)
                            (lora embedding A): ParameterDict()
                            (lora embedding B): ParameterDict()
                          (k_proj): lora.Linear4bit(
                            (base_layer): Linear4bit(in_features=4096, out_features=1
          024, bias=False)
                            (lora dropout): ModuleDict(
                              (default): Dropout(p=0.01, inplace=False)
                            (lora_A): ModuleDict(
                              (default): Linear(in_features=4096, out_features=32, bi
          as=False)
                            )
                            (lora_B): ModuleDict(
                              (default): Linear(in features=32, out features=1024, bi
          as=False)
                            (lora embedding A): ParameterDict()
                            (lora embedding B): ParameterDict()
                          (v proj): lora.Linear4bit(
                            (base_layer): Linear4bit(in_features=4096, out_features=1
          024, bias=False)
                            (lora dropout): ModuleDict(
                              (default): Dropout(p=0.01, inplace=False)
                            )
                            (lora_A): ModuleDict(
                              (default): Linear(in_features=4096, out_features=32, bi
          as=False)
                            (lora B): ModuleDict(
                              (default): Linear(in_features=32, out_features=1024, bi
          as=False)
```

```
(lora embedding A): ParameterDict()
                            (lora embedding B): ParameterDict()
                          (o_proj): Linear4bit(in_features=4096, out_features=4096, b
          ias=False)
                          (rotary_emb): MistralRotaryEmbedding()
                        )
                        (mlp): MistralMLP(
                          (gate_proj): Linear4bit(in_features=4096, out_features=1433
          6, bias=False)
                          (up proj): Linear4bit(in features=4096, out features=14336,
          bias=False)
                          (down_proj): Linear4bit(in_features=14336, out_features=409
          6, bias=False)
                          (act_fn): SiLU()
                        (input_layernorm): MistralRMSNorm()
                        (post attention layernorm): MistralRMSNorm()
                      )
                    )
                    (norm): MistralRMSNorm()
                  (lm_head): Linear(in_features=4096, out_features=1, bias=False)
              )
           )
          )
In [212... | test_accuracy, avg_test_loss = validate(model, test_loader)
         print(f"Avg. test loss: {avg_test_loss:.2f}, Test Accuracy: {test_accuracy*1
        huggingface/tokenizers: The current process just got forked, after paralleli
        sm has already been used. Disabling parallelism to avoid deadlocks...
        To disable this warning, you can either:
                - Avoid using `tokenizers` before the fork if possible
                - Explicitly set the environment variable TOKENIZERS_PARALLELISM=(tr
        ue | false)
        huggingface/tokenizers: The current process just got forked, after paralleli
        sm has already been used. Disabling parallelism to avoid deadlocks...
        To disable this warning, you can either:
                - Avoid using `tokenizers` before the fork if possible
                - Explicitly set the environment variable TOKENIZERS_PARALLELISM=(tr
        ue | false)
```

```
iteration 0
iteration: 0, validation loss: 9.630246495362371e-06
iteration 1
iteration 2
iteration 3
iteration 4
iteration 5
iteration 6
iteration 7
iteration 8
iteration 9
iteration 10
iteration 11
iteration 12
iteration 13
iteration 14
iteration 15
iteration 16
iteration 17
iteration 18
iteration 19
iteration 20
iteration 21
iteration 22
iteration 23
iteration 24
iteration 25
iteration 26
iteration 27
iteration 28
iteration 29
Avg. test loss: 0.01, Test Accuracy: 99.73%
```

### Some notes and observations from Training Loop:

1.

- When the probs tensor contains all 1s, it means that the model is predicting the positive class with very high confidence for all the samples in the batch.
- In the BinaryClassification class, the forward method takes the input x and passes it through the base\_model to obtain the outputs. The outputs.logits tensor contains the unnormalized log probabilities (logits) for each token in the sequence.
- The line probs = self.sigmoid(outputs.logits[:, -1, :]) applies the sigmoid activation function to the logits of the last token in each sequence. The sigmoid function maps the logits to probabilities between ∅ and 1. If probs is all 1s, it suggests that the model is extremely confident in predicting the positive class for all the samples.

This could happen due to several reasons:

**Overfitting:** The model may have overfit to the training data, learning to predict the positive class with high confidence for all the samples, even if they don't truly belong to the positive class. Overfitting can occur when the model is too complex relative to the amount of training data or when the training process continues for too long.

**Imbalanced dataset:** If the training data is heavily imbalanced, with a significantly higher number of positive samples compared to negative samples, the model may learn to predict the positive class by default. This can lead to high probabilities for the positive class, even for negative samples.

Insufficient regularization: Regularization techniques, such as dropout or weight decay, help prevent overfitting by introducing noise or constraints during training. If the model lacks proper regularization, it may become overconfident in its predictions.

2.

Vanishing gradients problem commonly observed with sigmoid activation

- The vanishing gradient problem is a significant issue when using the sigmoid activation function in deep neural networks due to the multiplicative nature of backpropagation and the small derivative values of the sigmoid function, especially in the saturated regions.
- The intuition behind the saturated region is that when the output of the sigmoid function becomes saturated (i.e., remains constant), it means that the input to the sigmoid function is either a large positive or negative value. In such cases, even if the weights are updated, the output of the sigmoid function will remain almost the same, as it is already saturated at either 0 or 1.

3.

### Interpreting gradients

- Gradient Magnitude: Large gradients (e.g., > 1) might indicate unstable learning or potential exploding gradients. Very small gradients (e.g., < 1e-5) could suggest vanishing gradients or that the model has converged. Moderate, non-zero gradients typically indicate active learning. Gradients should generally be non-zero but not too large (e.g., between 1e-5 and 1).

#### - Gradient Direction:

 Positive gradients mean the loss increases as the parameter increases.

- Negative gradients mean the loss decreases as the parameter increases.
- Gradient Variability:
- If gradients vary significantly between batches, it might indicate high variance in your data or unstable learning.
- Consistent gradients across batches suggest stable learning.
- LoRA Architecture: LoRA adds small, trainable rank decomposition matrices to the original model layers, typically attention layers. The original model parameters remain frozen, and only these new LoRA parameters are trained.
- Gradient Flow: Gradients will only flow through the LoRA parameters, not the original model parameters. This means you'll only see non-zero gradients for these new parameters.

# Deploy to AWS SageMaker

- https://sagemakerexamples.readthedocs.io/en/latest/frameworks/pytorch/get\_started\_mnist\_deploy.html
- https://github.com/aws/amazon-sagemaker-examples/blob/main/sagemakerpython-sdk/pytorch\_batch\_inference/sagemaker\_batch\_inference\_torchserve.ipynb
- https://sagemaker.readthedocs.io/en/stable/frameworks/pytorch/using\_pytorch.html#de pytorch-models
- https://github.com/aws/amazon-sagemakerexamples/blob/main/sagemaker\_batch\_transform/pytorch\_mnist\_batch\_transform/pytor mnist-batch-transform.ipynb

s3\_client.upload\_file('model.pth', bucket\_name, f'{model\_name}/model.pth
print(f"Model\_uploaded to s3://{bucket\_name}/{model\_name}/model.pth")

Instance type: g4dn.xlarge , 1 NVIDIA T4 Tensor Core GPU, 4 vCPUs: https://aws.amazon.com/ec2/instance-types/g4/

```
In []: import boto3
   import sagemaker
   from sagemaker.pytorch import PyTorchModel
   from sagemaker.serializers import JSONSerializer
   from sagemaker.deserializers import JSONDeserialize
In []: # Step 2: Upload the model to S3
   def upload_to_s3(bucket_name, model_name):
        s3_client = boto3.client('s3')
```

```
# Step 3: Create a SageMaker model and deploy to an endpoint
def deploy to sagemaker(bucket name, model name, role arn):
   sagemaker_session = sagemaker.Session()
   # Create PyTorch model
   pytorch_model = PyTorchModel(
       model_data=f's3://{bucket_name}/{model_name}/model.pth',
        role=role arn,
       entry_point='inference.py', # create this file
        framework_version='1.8.1', # Adjust as needed
       py version='py3',
       predictor_cls=sagemaker.predictor.Predictor,
       serializer=JSONSerializer(),
       deserializer=JSONDeserializer()
   )
   # Deploy the model to an endpoint
   predictor = pytorch_model.deploy(
        initial_instance_count=1,
        instance_type='ml.m5.large', # Adjust as needed
       endpoint name=f'{model name}-endpoint'
   print(f"Model deployed to endpoint: {predictor.endpoint_name}")
   return predictor
if name == " main ":
   package_model()
```

```
In []: # Main execution
if __name__ == "__main__":
    package_model()

bucket_name = 'your-s3-bucket-name'
    model_name = 'your-model-name'
    role_arn = 'your-sagemaker-role-arn'

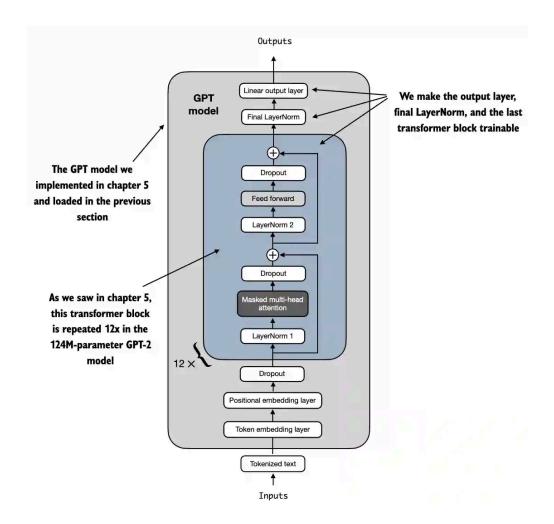
upload_to_s3(bucket_name, model_name)
    predictor = deploy_to_sagemaker(bucket_name, model_name, role_arn)

# Test the endpoint
    test_data = {"input": [1.0, 2.0, 3.0, 4.0]} # Adjust based on your mode
    result = predictor.predict(test_data)
    print("Prediction result:", result)
```

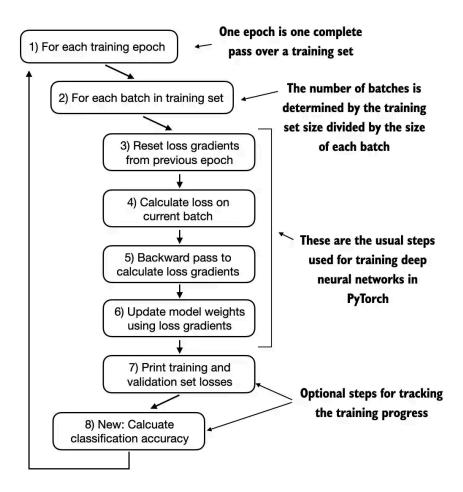
In []:

While, finetuning only the last layer could also be considered a parameter-efficient finetuning technique, techniques such as prefix tuning, adapters, and low-rank adaptation, all of which "modify" multiple layers, namely attention layers and feed-forward to achieve much better predictive performance (at a low cost).

So, we can also make the last transformer block and the final LayerNorm module connecting the last transformer block to the output layer trainable.



4. Fine-tuning Training Loop



Before explaining the loss calculation, let's have a brief look at how the model outputs are turned into class labels

