

CS660: Algorithms - Lecture 1

Instructor: Hoa Vu

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Course administrivia

- Instructor: Hoa Vu
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- Textbook: Algorithms by Jeff Erickson. The book is available online for free at <http://jeffe.cs.illinois.edu/teaching/algorithms/>.
- You can also buy a hard copy on Amazon for about \$30 (some additional chapters are not in the hard copy).
- **Please do the reading assignment before class.** The reading assignment for each lecture can be found on the course's blackboard.

- Topics:
 - Divide and conquer
 - Dynamic programming
 - Greedy algorithms
 - Graph algorithms (shortest paths, bipartite matching, maximum flow, etc.)
 - NP-Completeness and approximation algorithms
 - Randomized algorithms.

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- An introduction course in data structures and algorithms is strongly advised. You should be familiar with basic data structures and algorithms.
- A good background in algebra and discrete math is also necessary. In particular, you should be familiar with how to write and read proofs.

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- There will be 2 midterm exams, 1 final exam, and homework. The course grade is broken down as follows:
 - Midterms (25 % each)
 - Final exam (35 %)
 - Homework (40%)
- See the university's website for the final exam schedule.

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- The lowest score homework will be dropped. **No late homework will be accepted.** If you need to do make-up exams, please notify me in advance.
- Do not search for solutions to the homework online.
- You may discuss the homework with other students. However, you must write up the solutions on your own.
- Cheating and plagiarism will be dealt with according to the university policy.

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What is this course about?

- Design efficient algorithms
 - Efficiency is measured by **running time**, memory use, communication & number of rounds (in distributed algorithms).
 - In this course, we will mostly focus on the running time.
- What are the steps to design an algorithm?
 - 1 Specify the algorithm (the best way to describe an algorithm is via structured English & pseudo-code). Read page 13 in the textbook and the examples we cover in class. You should describe algorithms in this fashion.
 - 2 Show that the algorithm is correct.
 - 3 Analyze the running time.

A bit about myself

- I do research in theoretical computer science, mostly algorithms.
- If you are interested in doing research or an MS project with me, please talk to me (I do have funding).
- Some topics: graph algorithms, data science algorithms, or applying algorithms to suitable applications.

Abstraction: Makes it easier to study efficiency

- Making an algorithm fast involves many considerations that are architecture specific.
- For the sake of simplicity and generality, we will assume that: any location in memory can be accessed a unit cost and measure running time in basic steps such as pairwise arithmetic operation and memory accesses.

Example: Selection sort

- Suppose we want to sort a list of integers $A[1], A[2], \dots, A[n]$.
- Selection sort algorithms:
 - ① For $i = 1, \dots, n$:
 - ① Scan through $A[i], A[i + 1], \dots, A[n]$ to find the smallest number.
 - ② Let $A[j]$ be the smallest among $A[i], A[i + 1], \dots, A[n]$.
 - ③ Swap $A[j]$ and $A[i]$.
- Proof of correctness: After the t -th iteration, $A[i]$ is the i -th smallest number for $1 \leq i \leq t$. Therefore, after the n -th iteration, the list is sorted.
- Running time: in the i -th iteration, we need to access $A[i], A[i + 1], \dots, A[n]$ to find the next smallest element. This takes $n - i + 1$ unit time cost. The swapping step can also be done in 3 unit time cost. Therefore, the running time is

$$\sum_{i=1}^n ((n - i + 1) + 3) = 3n + \sum_{i=1}^n i = 3n + \frac{n(n + 1)}{2} = O(n^2) .$$

- To simplify the running time analysis, we do not count the steps exactly.
- The running time is measured “asymptotically”.
- Definition: $f(n) = O(g(n))$ if there exists constants c and n_0 such that

$$f(n) \leq c \cdot g(n) \text{ for all } n \geq n_0.$$

- Selection sort running time:

$$3 + \frac{n(n+1)}{2} = O(n^2) .$$

- Exercise: show the above by definition.

- Definition: $f(n) = o(g(n))$ if there exists **constants** c and n_0 such that for all $k > 0$

$$f(n) \leq ck \cdot g(n) \text{ for all } n \geq n_0.$$

- Exercise: Show that $n^2 = o(n^{2.5})$ by definition.

Slightly easier characterization

- $f(n) = O(g(n))$ if

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} < \infty.$$

- $f(n) = o(g(n))$ if

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0.$$

Big-Oh exercises

- Show that $100 = O(1)$.
- Show that $3n^3 + n = O(n^3)$.
- Show that $n \log_2 n = O(n^{0.01})$.
- Show that $\log_b n = O(\log_a n)$ for constants a, b .
- Show that $f(n) = O(g(n))$ and $\ell(n) = O(k(n))$ then $f(n) + \ell(n) = O(g(n) + k(n))$.

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L'Hospital's rule

- If f' and g' exist, then

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{f'(n)}{g'(n)}.$$

- Use L'Hospital rule to show that $10n^{10} - 1000n^9 = O(n^{10})$.
- Exercise (will be in homework 1) Show that $n^3 = O(e^{\sqrt{n}})$.

Other notations

- $f(n) = \Theta(g(n))$ if $f(n) = O(g(n))$ and $g(n) = O(f(n))$.
- $f(n) = \Omega(g(n))$ if $g(n) = O(f(n))$.
- $f(n) = \omega(g(n))$ if $g(n) = o(f(n))$.

Divide and Conquer (Recursion) - Exponential

- Assuming n is a power of 2. We want to compute $A(n) = 3^n$.
- Algorithm 1: Set x to 1. For $i = 1$ to n : $x \leftarrow 3x$.
- Algorithm 2:
 - ① If $n = 1$, return 3.
 - ② Else $x = A(n/2)$. Return $x \cdot x$.
- Assuming multiplication takes $O(1)$ time, the second algorithm runs in $O(\log n)$ time. Why?

Divide and Conquer (Recursion) - Tower of Hanoi

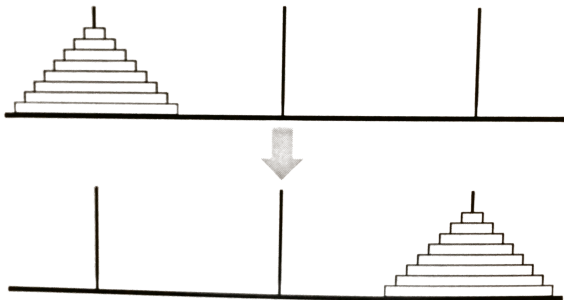


Figure 1.1. The (8-disk) Tower of Hanoi puzzle

- Move the discs from the original needle to the target needle.
- During the process, no bigger disc can be on top of a smaller disc.
- Design an algorithm that output a solution to the problem.

Divide and Conquer (Recursion) - Tower of Hanoi

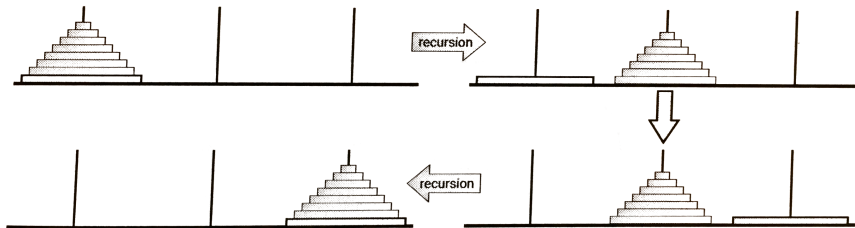


Figure 1.2. The Tower of Hanoi algorithm; ignore everything but the bottom disk.

- First, move the $n - 1$ smallest discs to the non-target needle.
- Move the largest disc to the target needle.
- Move the $n - 1$ smallest discs to the target needle.

Divide and Conquer (Recursion) - Tower of Hanoi

HANOI(n, src, dst, tmp):

if $n > 0$

HANOI($n - 1, src, tmp, dst$) $\langle\langle \text{Recurse!} \rangle\rangle$

move disk n from src to dst

HANOI($n - 1, tmp, dst, src$) $\langle\langle \text{Recurse!} \rangle\rangle$

Figure 1.4. A recursive algorithm to solve the Tower of Hanoi

Divide and Conquer (Recursion) - Tower of Hanoi

- The running time is given by the recurrence

$$T(n) = 2T(n-1) + 1$$

- Claim: $T(n) = 2^n - 1$. Prove by induction.
- $T(1) = 2^1 - 1 = 1$ which is true since we only need to move one disc.
- Suppose $T(i) = 2^i - 1$. Then
$$T(i+1) = 2T(i) + 1 = 2(2^i - 1) + 1 = 2^{i+1} - 1.$$
- Therefore, the running time is $O(2^n + 1) = O(2^n)$.