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# Reinforcement Learning - A Browser Based Visualisation Tool

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# About this project

**Abstract** This project will help to explain the temporal difference reinforcement learning process by displaying an agents behaviour, performance and Q-Table as it interacts within its environment. The application is a browser based visual tool where a user can interact by tweaking parameters within a form. Once the form is submitted it will then make a request to run a main python script held on a flask server. Once the script has completed the user will be presented with an animation of the agent moving through its environment. In addition a graph of the agent performance and the q-table will be presented to the user for examination. This will aid the user in better understanding the concept of reinforcement learning.

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# Chapter 1

## Introduction

### Reinforcement learning

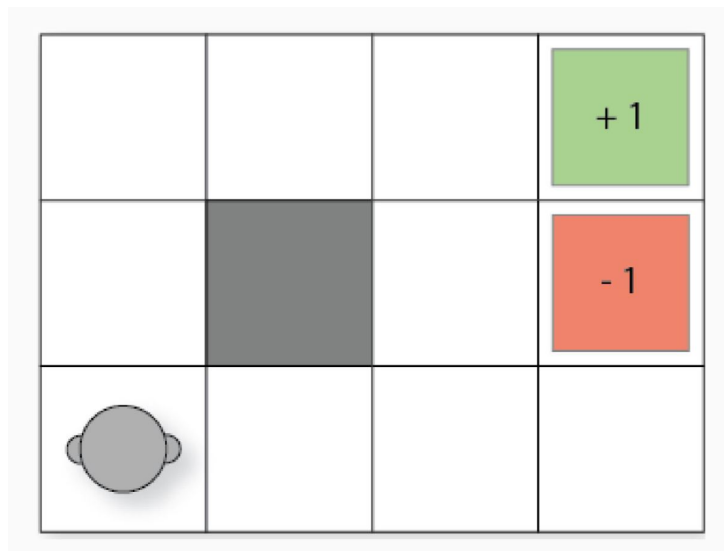


Figure 1.1

Reinforcement learning is the process of rewarding an agent for a decision made within its environment. The reward can be either positive or negative based on the decisions made by the agent as it transitions from one state to another.

For example, if a puppy has no knowledge of the sit command it will not perform the desired action on the first attempt. Each time the puppy sits when commanded its decision is reinforced with a positive treat/reward. If the puppy does not sit the reward is negative (no treat). Eventually after

many iterations of training, the dog will associate a treat/reward with that specific command and eventually learn that sitting will get them a treat. The puppy in essence is taking actions to maximise rewards while exploring an unknown environment.

With reinforcement machine learning, this technique is used to train an agent to learn about its environment through trial and error. The environment used for this project will be grid world. The grid world domain is a two dimensional grid with the agent starting at the bottom left of the grid, the goal state is at the top right of the grid in addition there are traps that the agent needs to avoid while travelling from the start state to it's goal state. Reinforcement Learning is an unsupervised machine-learning technique that allows an agent to explore and learn from its environment without any prior knowledge of the domain. With Reinforcement Learning there are the following main components.

**Agent:** The agent is an object within the environment that makes decisions based on it's current state space and possible rewards gained by choosing an action. For this application the agent is placed in a two dimensional environment. The possible actions that can be taken by the agent are up, down, left or right.

**The environment:** For the purpose of this application a  $6 * 6$  two dimensional grid environment will be used. As the script is running the agent will move from one square in the grid to the next adjacent square of up, below, to the left or the right of its current position.

As the agent moves through the environment it gains knowledge via reward signals gathered by transitioning from one state to another based on the action taken from its current state. With each step the agent is only concerned with its current state and what rewards it can gain from transitioning to it's next state. [] The agent chooses it's action decision based on what highest reward it can get from the next available states.

The purpose of this application is to demonstrate and explain reinforcement learning through a browser based visualisation tool.

The application will have the following elements on the Browser:

- The agent moving within its environment when the simulation is run. This will be displayed using HTML 5 canvas.
- User input to tweak parameters before each run of the simulation. The parameters that will be available to the user are:
  - The end goal reward
  - The negative trap reward

- The agent learning rate
  - The learning decay rate
  - The discount factor
  - The Exploration rate
  - The Exploration decay rate
  - The per step reward
  - The maximum number of episodes to be run
  - The maximum number of agent steps per episode
  - Choice of algorithm
- The agent's actions effect the environment by moving around and exploring.
- The state is what the agent can observe at a given time. In the grid above, the agent can occupy eleven possible squares. We can number theses states from 1 – 11 moving from left to right with the bottom left square being state number 1.
- In the agents initial state (State 1) it knows nothing about its environment and chooses an action of moving left, right, up or down.
- The Epsilon variable sets the probability of choosing a random action. When set to one it will always choose a random action. If set to .8 it will choose the a random action 80% of the time.

This will give the agent a chance to explore the environment depending on what the value is set to.

- Q values are a weighted score attached to an action of a particular state.
- There is a negative reward cost for each move the agent makes in this case -0.04. This will help in getting the best path to the end state.
- The learning rate (alpha) is a value between zero and one determines how much the Q value is updated for each action taken. It will be .5 for this example.
- The discount factor (Gamma) set to .9 is the immediate reward gained for an action taken. The higher the value the more the agent will take the immediate reward.

- The reward cost, gamma and alpha are hyper-parameters chosen by the user.
- There is a formula to follow to update the Q values of each action taken:  $Q(\text{current state, action}) += \alpha * [\text{reward} + \gamma * \max \text{value of } Q(\text{next state, all possible actions}) - Q(\text{current state, action})]$
- The Q table is a record of all of the agent's actions taken in a given state. This is the agent's memory and is set to zero when first run. If all Q values are equal, it will Choose one at random.

State	Action left	Action right	Action up	Action down
1	0	0	0	0
2	0	0	0	0
3	0	0	0	0
4	0	0	0	0
5	0	0	0	0
6	0	0	0	0
7	0	0	0	0
8	0	0	0	0
9	0	0	0	0
10	0	0	0	0
11	0	0	0	0

If the agent decides to move up one square to state 5 the Q table is updated using the formula above which looks like  $.5 * -.04 + .9 * 0 - 0 = -0.02$

State	Action left	Action right	Action up	Action down
1	0	0	-0.02	0
2	0	0	0	0
3	0	0	0	0
4	0	0	0	0
5	0	0	0	0
6	0	0	0	0
7	0	0	0	0
8	0	0	0	0
9	0	0	0	0
10	0	0	0	0
11	0	0	0	0

If the Agent decides to move down to state one again the value of moving down from state 5 to state 1 is updated to -0.02 also.

State	Action left	Action right	Action up	Action down
1	0	0	-0.02	0
2	0	0	0	0
3	0	0	0	0
4	0	0	0	0
5	0	0	0	-0.02
6	0	0	0	0
7	0	0	0	0
8	0	0	0	0
9	0	0	0	0
10	0	0	0	0

Then when back in state one the agent's best choice (highest value) is down, left or right as they are all 0 and higher the -0.02. Eventually all of the actions of a given state will have a value added. The agent will chose the highest value as the optimal path to take to the end goal. Once the agent gets to either end state, the episode is terminated and re-run. When episodes are re-run, the Q-Table will continually update until the optimal path is found and minimal updates will be performed.

references [1, 2, 3, 4]



# Chapter 2

## Context

### 2.1 Overview

The aim of this project is to provide a visual aid that further explains the concept of reinforcement learning. The basic fundamentals of the Q-Learning and SARSA temporal difference algorithms are reasonably straight forward but can seem overly complex and verbose when attempting to verbally explain the topic. This application will help to show the user where and how the Q-values are stored and how the decision making process is made for the two above algorithms.

### 2.2 Objectives

The Main objectives of this project are:

- Implement two different temporal difference algorithms SARSA and Q-learning written in python.
- Allow for user interaction via a web page form
- Using Flask server to handle request from the user
- Present the user with data generated by the main python script on the server
- Parsing Json, text and csv files generated via Ajax
- Use the parsed data to animate the agent in HTML canvas
- Google chart for graphing the agent performance

- Generate an dynamic table that updates from the csv file
- Add a heat map to the values of the table as it updates
- Deploying the application to Google Cloud Platform

## 2.3 Topics Covered

The chapters listed below will have the following elements examined.

- Methodology  
This chapter will explain what development process I used along with reasoning the technologies, algorithms and languages chosen.
- Technology Review  
This chapter will review each technological element of the application and provide justification for each technology discussed.
- System Design  
The overall architecture will be explained with diagrams of each component of the system supplied.
- System Evaluation  
The performance of the overall application will be evaluated here. In addition the limitations of the application discovered while in development will be discussed in detail.
- Conclusion  
In this chapter the results of the system evaluation will be discussed along with any new findings that may have occurred.

## 2.4 Github Repository

The below link is the url to the github repository holding my dissertation and software files.

<https://github.com/kevgleeson78/Reinforcement-Learning>. The contents of this repository are:

- Dissertation folder  
This folder holds the latex files for my dissertation developed using Tex Studio

- FlaskApp Folder  
This is the main application folder stored on a Flask server when deployed.
- FlaskApp / flaskTest.py  
This file is used to serve the main static html page and handle http form requests
- FlaskApp / Environment.py  
This file is the main file holding the logic and environment space for the application.  
All of the data files are generated from here once run.
- FlaskApp / app.yaml  
This file is used to deploy the application to a Google Cloud App engine instance.
- FlaskApp / requirements.txt  
This file is used to declare what resources are needed from the application to run on Google Cloud
- FlaskApp / Static / JavaScript  
Each of the files contained within this folder are the main JavaScript files controlling the HTML canvas environment, Google Chart and Q-Table data.
- FlaskApp / Static / Css  
The folder holding the styling script for the html pages
- FlaskApp / Static / gif  
The folder holding the gif animation for the loading page
- FlaskApp / Static / Data  
The folder holding the agents position coordinates as a .txt file  
A csv file for the agents Q-Table values A json file for the agent rewards gained for each algorithm
- FlaskApp / Templates  
This folder contains the the initial html page, the waiting page and result page. These pages are served to the view when http requests are made by the user.

# Chapter 3

## Methodology

### 3.1 Initial Planning

At the beginning of this project the over all problem set was broken down into the following areas to allow for a more manageable modular development process:

#### 3.1.1 Initial Meetings

After an initial meeting with Dr. Patrick Mannion a high level view of the project was explored. This gave me a grasp of what components would be needed for the high level structure of the project. The different components identified were:

- The Environment should be a two dimensional grid
- Environment constraints are in the form of a grid world game where the agent will attempt to navigate to an end goal state and avoid any traps present in the grid.
- Front end technologies used should be JavaScript based.
- User interactivity via a form
- Server side functionality to handle form requests

On further meetings with my project supervisor Mr. Martin Hynes the concepts were further broken down into fine grain units of work.

3.1.2 Which area of reinforcement learning?

After viewing lectures for Temporal Difference Reinforcement Learning I decided that the Q-Learning algorithm would be best suited to the grid world environment. If time permitted I would then implement the SARSA algorithm for a comparison between the performance of the two algorithms. The reason I chose these two algorithms for comparison was they are very similar in design but have vastly different outcomes depending on the environment the agent is in.

3.1.3 Mockup of application

Reinforcement learning

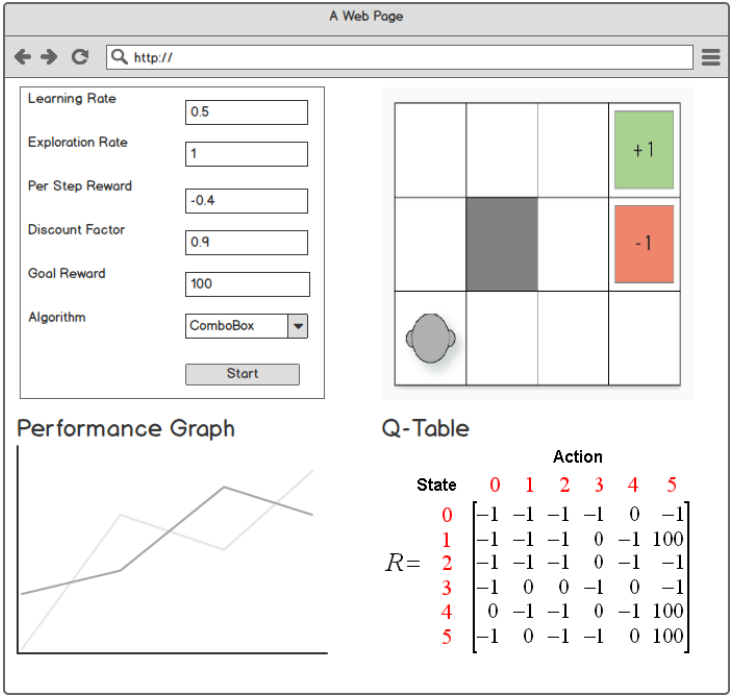


Figure 3.1

### **3.1.4 Requirements gathering**

### **3.1.5 Scheduled meetings**

## **3.2 Development Approach**

An incremental development approach was used through out the construction of this application. The first task was to

## **3.3 Testing**

No test suites were used to test this application however there was considerable manual testing done with each iteration completed. Any bugs found were recorded and given a priority. The bugs were then fixed based on priority

## **3.4 Use of GitHub**

## **3.5 Selection of Technologies to be used**

# Chapter 4

## Technology Review

About seven to ten pages.

- Describe each of the technologies you used at a conceptual level. Standards, Database Model (e.g. MongoDB, CouchDB), XML, WSDL, JSON, JAXP.
- Use references (IEEE format, e.g. [1]), Books, Papers, URLs (timestamp) – sources should be authoritative.

### 4.1 XML

Here's some nicely formatted XML:

```
<this>
  <looks lookswat="good">
    Good
  </looks>
</this>
```

# Chapter 5

## System Design

As many pages as needed.

- Architecture, UML etc. An overview of the different components of the system. Diagrams etc... Screen shots etc.

Column 1	Column 2
Rows 2.1	Row 2.2

Table 5.1: A table.



# Chapter 6

## System Evaluation

As many pages as needed.

- Prove that your software is robust. How? Testing etc.
- Use performance benchmarks (space and time) if algorithmic.
- Measure the outcomes / outputs of your system / software against the objectives from the Introduction.
- Highlight any limitations or opportunities in your approach or technologies used.

# Chapter 7

## Conclusion

About three pages.

- Briefly summarise your context and ob-jectives (a few lines).
- Highlight your findings from the evalua-tion section / chapter and any opportuni-ties identified.

# Bibliography

- [1] A. Einstein, “Zur Elektrodynamik bewegter Körper. (German) [On the electrodynamics of moving bodies],” *Annalen der Physik*, vol. 322, no. 10, pp. 891–921, 1905.
- [2] D. Knuth, “Knuth: Computers and typesetting.”
- [3] M. Goossens, F. Mittelbach, and A. Samarin, *The L<sup>A</sup>T<sub>E</sub>X Companion*. Reading, Massachusetts: Addison-Wesley, 1993.
- [4] “1 intro up to rl/td.key.” [https://login.cs.utexas.edu/sites/default/files/legacy\\_files/research/documents/1%20intro%20up%20to%20RL%3ATD.pdf](https://login.cs.utexas.edu/sites/default/files/legacy_files/research/documents/1%20intro%20up%20to%20RL%3ATD.pdf). (Accessed on 03/28/2019).