

# CS 154

**Finish up K-Complexity,  
Time Complexity,  
P and NP**

# **CS 154**

**Midterms back today!**

**Thank you for your feedback!**

# There Exist Incompressible Strings

**Theorem: For all  $n$ , there is an  $x \in \{0,1\}^n$  such that  
 $K(x) \geq n$**

*“There are incompressible strings of every length”*

**Proof: (Number of binary strings of length  $n$ ) =  $2^n$   
but (Number of descriptions of length  $< n$ )  
 $\leq$  (Number of binary strings of length  $< n$ )  
 $= 1 + 2 + 4 + \dots + 2^{n-1} = 2^n - 1$**

**Therefore, there is at least one  $n$ -bit string  $x$  that  
does *not* have a description of length  $< n$**

# Random Strings Are Incompressible!

**Theorem:** For all  $n$  and  $c \geq 1$ ,

$$\Pr_{x \in \{0,1\}^n} [ K(x) \geq n-c ] \geq 1 - 1/2^c$$

*“Most strings are highly incompressible”*

**Proof:** (Number of binary strings of length  $n$ ) =  $2^n$

but (Number of descriptions of length  $< n-c$ )

$\leq$  (Number of binary strings of length  $< n-c$ )

$= 2^{n-c} - 1$

Hence the probability that a *random* string  $x$  satisfies

$K(x) < n-c$

is at most  $(2^{n-c} - 1)/2^n < 1/2^c$ .

# Kolmogorov Complexity: Try it!

**Give short algorithms for generating the strings:**

**1. 010001101100000101001110010111011100000001**

**2. 1235813213455891442333776109871597**

**3. 12624120720504040320362880362880039916800**

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# Kolmogorov Complexity: Try it!

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This seems hard to determine in general. Why?



# Determining Compressibility?

Can an algorithm perform optimal compression?

Can algorithms tell us if a given string is compressible?

$$\text{COMPRESS} = \{ (x,c) \mid K(x) \leq c \}$$

<b>Theorem: COMPRESS is undecidable!</b>
--

**Idea:** If decidable, we could design an algorithm that prints the **shortest incompressible string of length  $n$**

*But such a string could then be succinctly described, by providing the algorithm code and  $n$  in binary!*

**Berry Paradox:** “The smallest integer that cannot be defined in less than thirteen words.”

# Determining Compressibility?

$$\text{COMPRESS} = \{(x, c) \mid K(x) \leq c\}$$

**Theorem: COMPRESS is undecidable!**

**Proof: Suppose it's decidable. Consider the TM:**

**M = “On input  $x \in \{0,1\}^*$ , let  $N = 2^{|x|}$ .**

**For all  $y \in \{0,1\}^*$  in lexicographical order,**

**If  $(y, N) \notin \text{COMPRESS}$  then print  $y$  and halt.”**

**M(x) prints the shortest string  $y'$  with  $K(y') > 2^{|x|}$ .**

**$\langle M, x \rangle$  is a description of  $y'$ , and  $|\langle M, x \rangle| \leq d + |x|$**

**So  $2^{|x|} < K(y') \leq d + |x|$ . CONTRADICTION for large  $x$ !**

# **Computational Complexity Theory**

# **Computational Complexity Theory**

**What can and can't be computed with limited resources on computation, such as time, space, and so on**

**Captures many of the significant issues in practical problem solving**

**The field is rich with important open questions that no one has any idea how to begin answering!**

**We'll start with: Time complexity**

# Very Quick Review of Big-O

Let  $f$  and  $g$  be functions  $f, g : \mathbb{N} \rightarrow \mathbb{N}$ .

We say that  $f(n) = O(g(n))$  if there are positive integers  $c$  and  $n_0$  so that for every integer  $n \geq n_0$

$$f(n) \leq c g(n)$$

We say  $g(n)$  is an upper bound on  $f(n)$  if

$$f(n) = O(g(n))$$

$$5n^3 + 2n^2 + 22n + 6 = O(n^3)$$

If  $c = 6$  and  $n_0 = 10$ , then  $5n^3 + 2n^2 + 22n + 6 \leq cn^3$

$$2n^{4.1} + 200283n^4 + 2 = O(n^{4.1})$$

$$3n \log_2 n + 5n \log_2 \log_2 n = O(n \log_2 n)$$

$$n \log_{10} n^{78} = O(n \log_{10} n)$$

$$\log_{10} n = \log_2 n / \log_2 10$$

$$O(n \log_2 n) = O(n \log_{10} n) = O(n \log n)$$

Big-O can help isolate the “dominant” term of a function

# Measuring Time Complexity of a TM

**We measure time complexity by counting the steps taken for a Turing machine to halt**

**Consider the language  $A = \{ 0^k 1^k \mid k \geq 0 \}$**

**Here's a TM for A. On input of length n:**

- $O(n)$  1. Scan across the tape and reject if the string is not of the form  $0^i 1^j$**
- $O(n^2)$  2. Repeat the following if both 0s and 1s remain on the tape:  
Scan across the tape, crossing off a single 0 and a single 1**
- $O(n)$  3. If 0s remain after all 1s have been crossed off, or vice-versa, reject. Otherwise accept.**

**Let  $M$  be a TM that halts on all inputs.  
(We will only consider decidable languages now!)**

**Definition:**

**The running time or time complexity of  $M$  is the function  $T : \mathbb{N} \rightarrow \mathbb{N}$  such that**

**$T(n)$  = maximum number of steps taken by  $M$   
over all inputs of length  $n$**



# Time-Bounded Complexity Classes

**Definition:**

**$\text{TIME}(t(n)) = \{ L' \mid \text{there is a Turing machine } M \text{ with time complexity } O(t(n)) \text{ so that } L' = L(M) \}$**   
 **$= \{ L' \mid L' \text{ is a language decided by a Turing machine with } O(t(n)) \text{ running time} \}$**

**We just showed:  $A = \{ 0^k 1^k \mid k \geq 0 \} \in \text{TIME}(n^2)$**

$$A = \{ 0^k 1^k \mid k \geq 0 \} \in \text{TIME}(n \log n)$$

$M(w) :=$  If  $w$  is not of the form  $0^*1^*$ , reject.

Repeat until all bits of  $w$  are crossed out:

If (parity of 0's)  $\neq$  (parity of 1's), reject.

Cross out every other 0. Cross out every other 1.

Once all bits are crossed out, accept.

```

00000000000000001111111111111111
x0x0x0x0x0x0xx1x1x1x1x1x1x1x
xxx0xxx0xxx0xxxx1xxx1xxx1x
xxxxxxxx0xxxxxxxxxxxxxxxx1xxxxx
xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx

```

**It can be proved that a (one-tape)  
Turing Machine *cannot* decide A  
in *less* than  $O(n \log n)$  time!**

**Extra Credit Problem:**

**Let  $f(n) = O\left(\frac{n \log n}{\alpha(n)}\right)$  where  $\alpha(n)$  is unbounded.**

**Prove:  $\text{TIME}(f(n))$  contains only regular languages(!)**

**For example,  $\text{TIME}(n \log \log n)$   
contains only regular languages!**

## Two Tapes Can Be More Efficient

**Theorem:**  $A = \{ 0^k 1^k \mid k \geq 0 \}$  can be decided in  $O(n)$  time with a *two-tape* TM.

**Proof Idea:**

**Scan all 0s, copy them to the second tape.**

**Scan all 1s. For each 1 scanned, cross off a 0 from the second tape.**

**Different models of computation  
can yield different running times  
for the same language!**

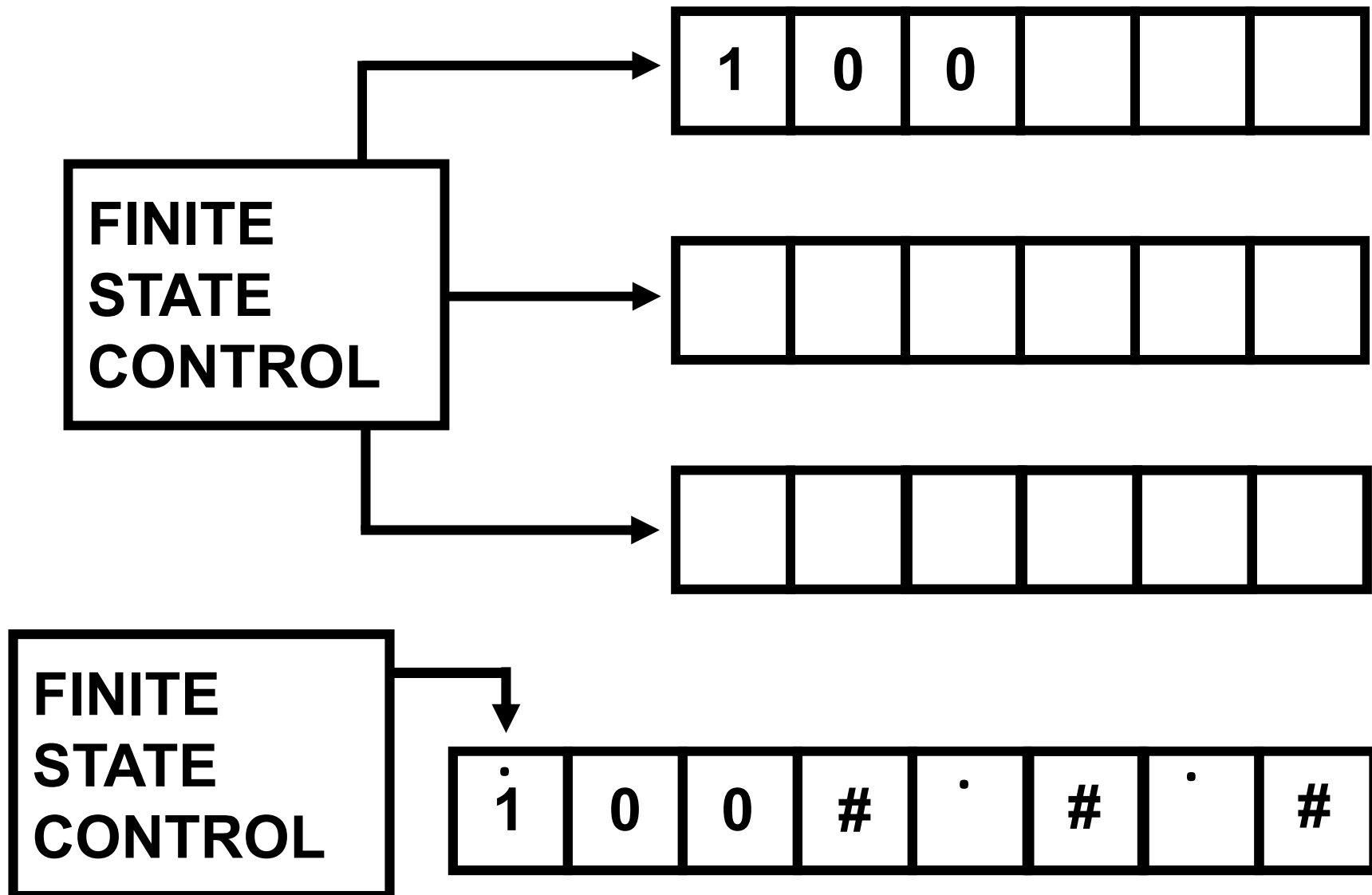
**Let's revisit some of the key concepts from  
computability theory...**

**Theorem: Let  $t : \mathbb{N} \rightarrow \mathbb{N}$  satisfy  $t(n) \geq n$ , for all  $n$ .  
Then every  $t(n)$  time multi-tape TM has an  
equivalent  $O(t(n)^2)$  time one-tape TM**

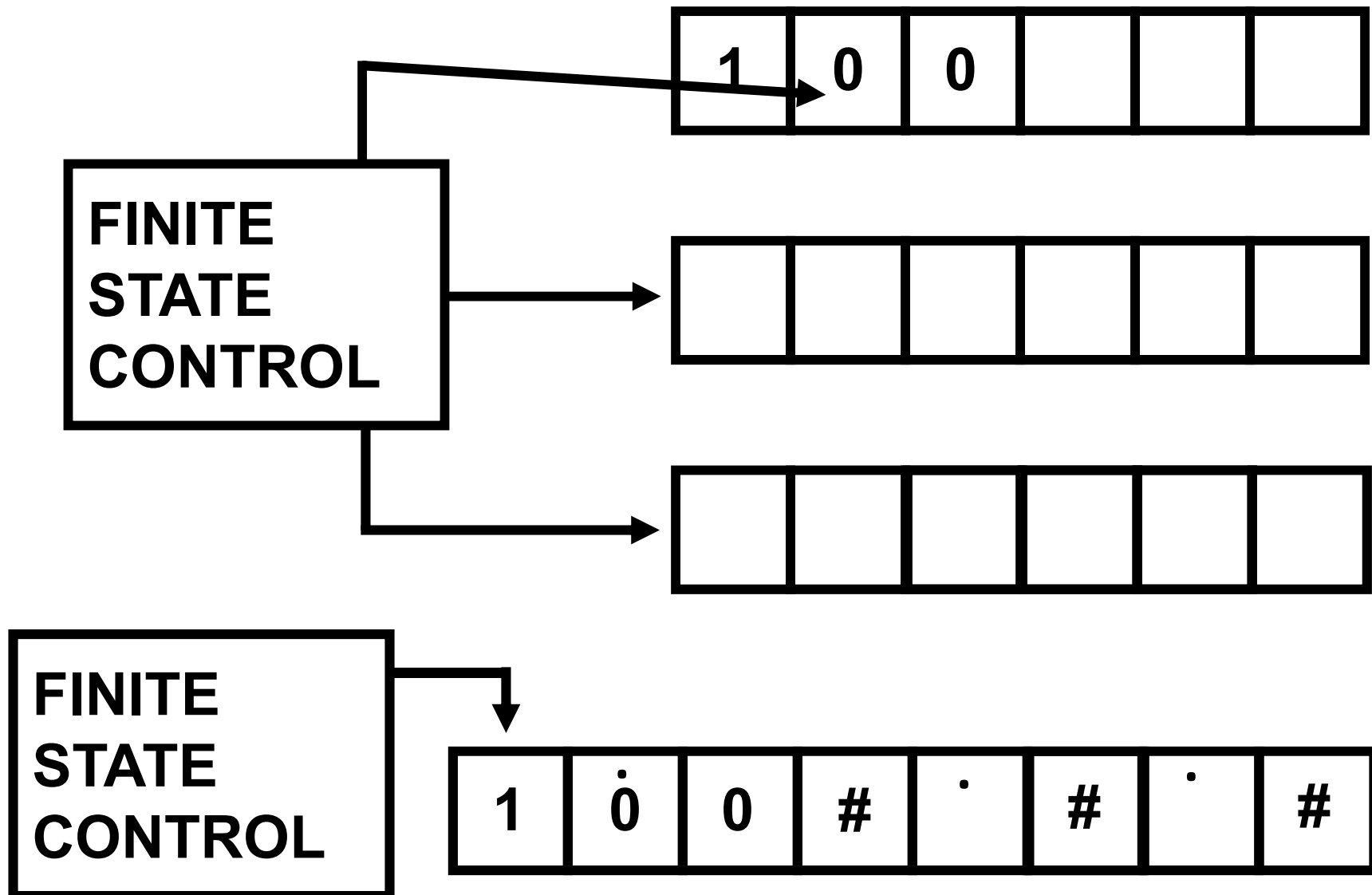
**Our simulation of multitape TMs  
by one-tape TMs achieves this!**

**Corollary: Suppose language  $A$  can be decided by a  
multi-tape TM in  $p(n)$  steps, for some polynomial  $p$ .  
Then  $A$  can be decided by a one-tape TM in  $q(n)$   
steps, for some polynomial  $q(n)$ .**

**Theorem: For every  $t(n)$  time multi-tape TM, there is an equivalent  $O(t(n)^2)$  time one-tape TM**

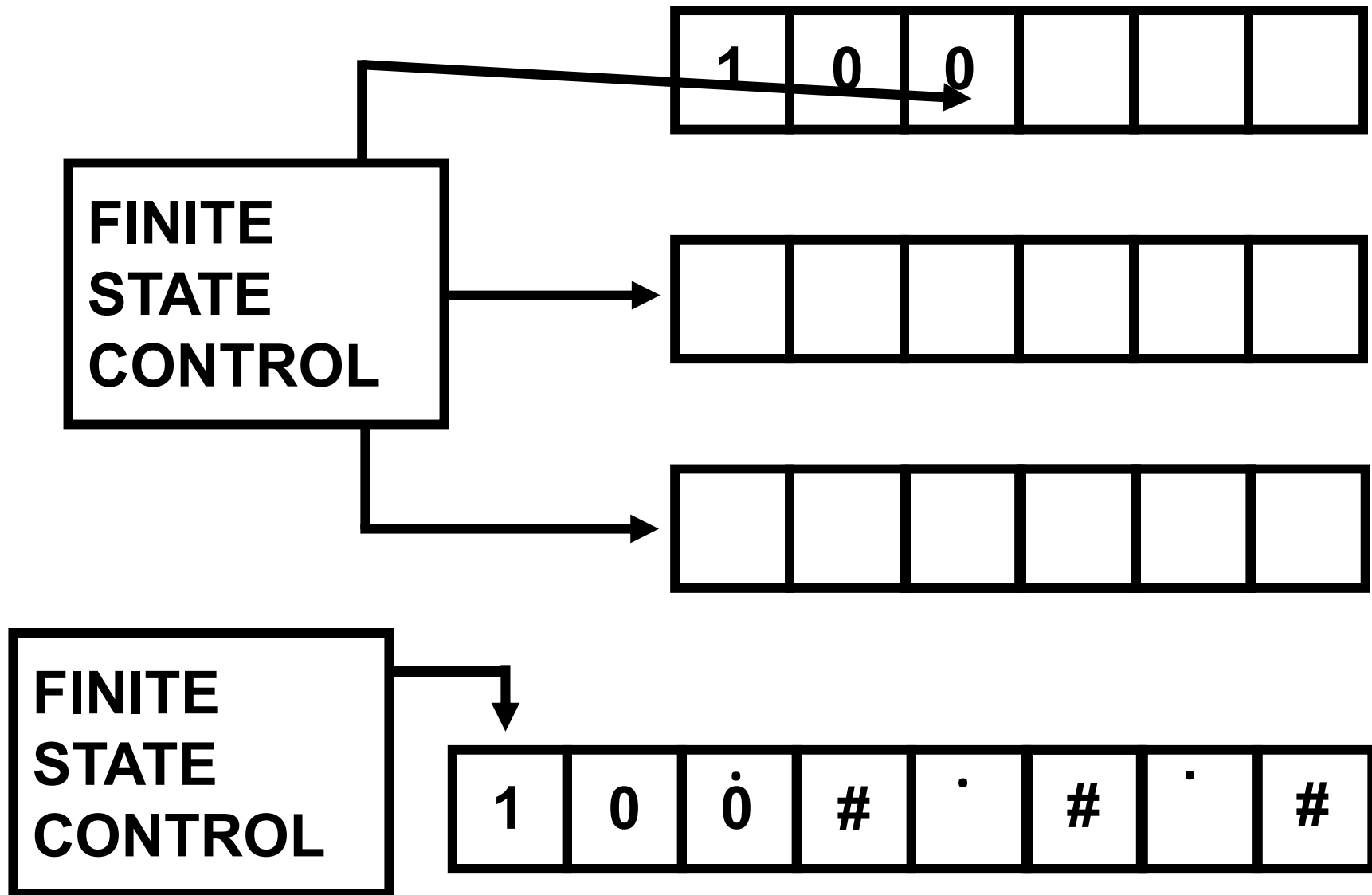


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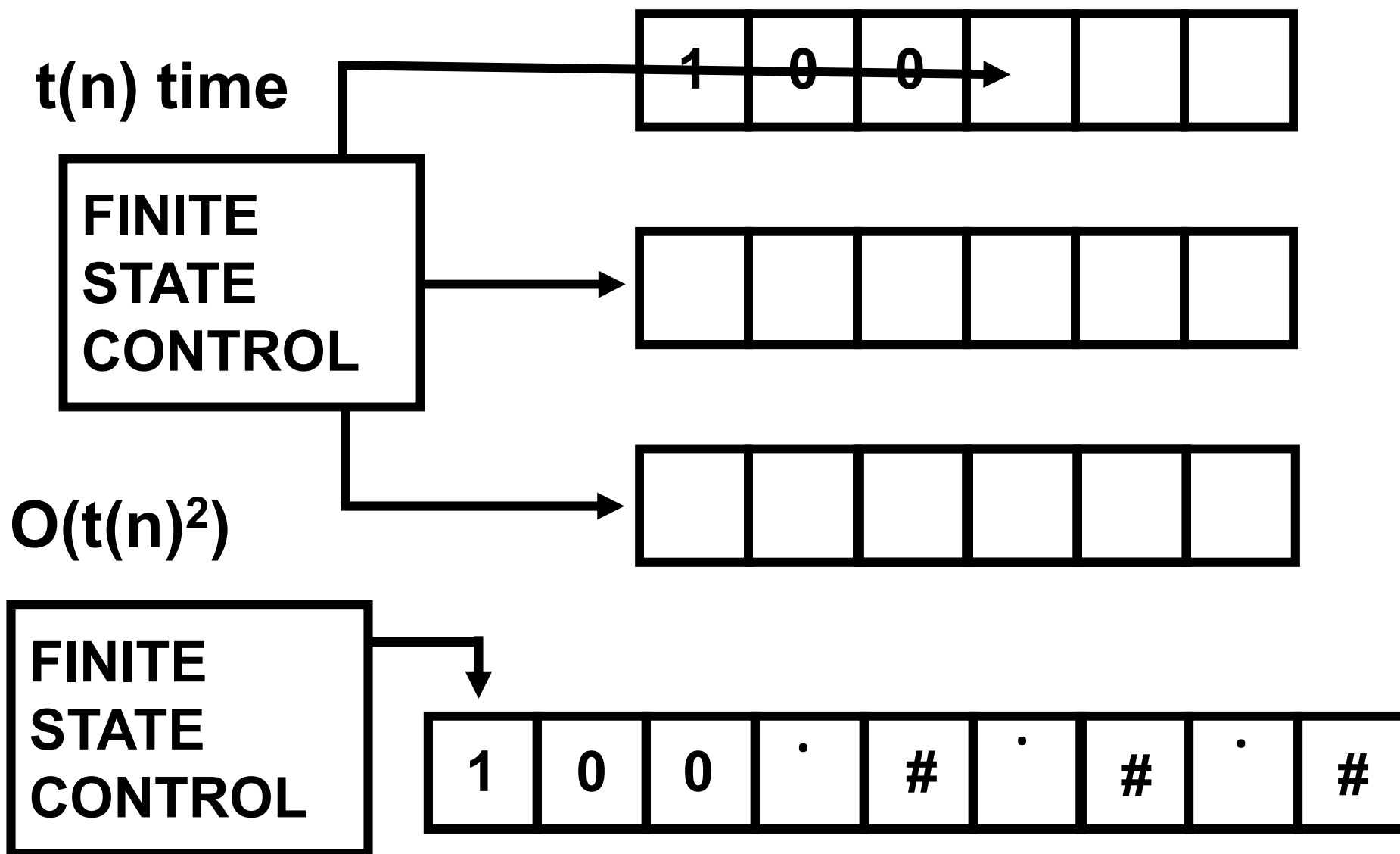




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# Time Complexity of the Universal TM

**Theorem:** There is a (one-tape) Turing machine  $U$  which takes as input:

- the code of an arbitrary TM  $M$
- an input string  $w$
- and a string of  $t$  1s,  $t > |w|$

such that  $U(M, w, 1^t)$  halts in  $O(|M|^2 t^2)$  steps  
and  $U$  accepts  $(M, w, 1^t) \Leftrightarrow M$  accepts  $w$  in  $t$  steps

## The Universal TM with a Clock

**Idea:** Make a multi-tape TM  $U'$  that does the above,  
and runs in  $O(|M| t)$  steps

# The Time Hierarchy Theorem

**Intuition:** If you get more time to compute, then you can solve strictly more problems.

**Theorem:** For all “reasonable”  $f, g : \mathbb{N} \rightarrow \mathbb{N}$  where for all  $n$ ,  $g(n) > n^2 f(n)^2$ ,  $\text{TIME}(f(n)) \subsetneq \text{TIME}(g(n))$

**Proof Idea:** Diagonalization with a clock.

Make a TM  $N$  that on input  $M$ ,  
simulates the TM  $M$  on input  $M$  for  $f(|M|)$  steps,  
then flips the answer.

Then,  $L(N)$  cannot have time complexity  $f(n)$

# The Time Hierarchy Theorem

**Theorem:** For “reasonable”  $f, g$  where  $g(n) > n^2 f(n)^2$ ,  
 **$\text{TIME}(f(n)) \subsetneq \text{TIME}(g(n))$**

**Proof Sketch:** Define a TM  $N$  as follows:

**$N(M) = \text{Compute } t = f(|M|)$**

**Run  $U(M, M, 1^t)$  and output the opposite answer.**

**Claim:**  $L(N)$  does not have time complexity  $f(n)$ .

**Proof:** Assume  $N'$  runs in  $f(n)$  time, and  $L(N') = L(N)$ .

**By assumption,  $N'(N')$  runs in  $f(|N'|)$  time and**

**outputs the *opposite* answer of  $U(N', N', 1^{f(|N'|)})$**

**But by definition of  $U$ ,  $U(N', N', 1^{f(|N'|)})$  accepts**

**$\Leftrightarrow N'(N')$  accepts in  $f(|N'|)$  steps.**

**This is a contradiction!**

# The Time Hierarchy Theorem

**Theorem:** For “reasonable”  $f, g$  where  $g(n) > n^2 f(n)^2$ ,  
 **$\text{TIME}(f(n)) \subsetneq \text{TIME}(g(n))$**

**Proof Sketch:** Define a TM  $N$  as follows:

**$N(M)$  = Compute  $t = f(|M|)$**

**Run  $U(M, M, 1^t)$  and output the opposite answer.**

**So,  $L(N)$  does *not* have time complexity  $f(n)$ .**

**What do we need in order for  $N$  to run in  $O(g(n))$  time?**

- 1. Compute  $f(|M|)$  in  $O(g(|M|))$  time [“reasonable”]**
- 2. Simulate  $U(M, M, 1^t)$  in  $O(g(|M|))$  time**

**Recall:  $U(M, w, 1^t)$  halts in  $O(|M|^2 t^2)$  steps**

**Set  $g(n)$  so that  $g(|M|) > |M|^2 f(|M|)^2$  for all  $n$ . QED**

**Remark: Time hierarchy also holds for multitape TMs!**

# A Better Time Hierarchy Theorem

Theorem: For “reasonable”  $f, g$  where  
 $g(n) > f(n) \log^2 f(n)$ ,  $\text{TIME}(f(n)) \subsetneq \text{TIME}(g(n))$

Corollary:  $\text{TIME}(n) \subsetneq \text{TIME}(n^2) \subsetneq \text{TIME}(n^3) \subsetneq \dots$

There is an infinite hierarchy of  
increasingly more time-consuming problems

Question: Are there important everyday problems  
that are high up in this time hierarchy?

*A natural problem that needs exactly  $n^{10}$  time?*

**THIS IS AN OPEN QUESTION!**

$$P = \bigcup_{k \in \mathbb{N}} \text{TIME}(n^k)$$

**Polynomial Time**