

CS 154

**coNP, Oracles,
Space Complexity**

What's next?

A few possibilities...

CS161 – Design and Analysis of Algorithms

CS254 – Complexity Theory (next year)

CS354 – Topics in Circuit Complexity

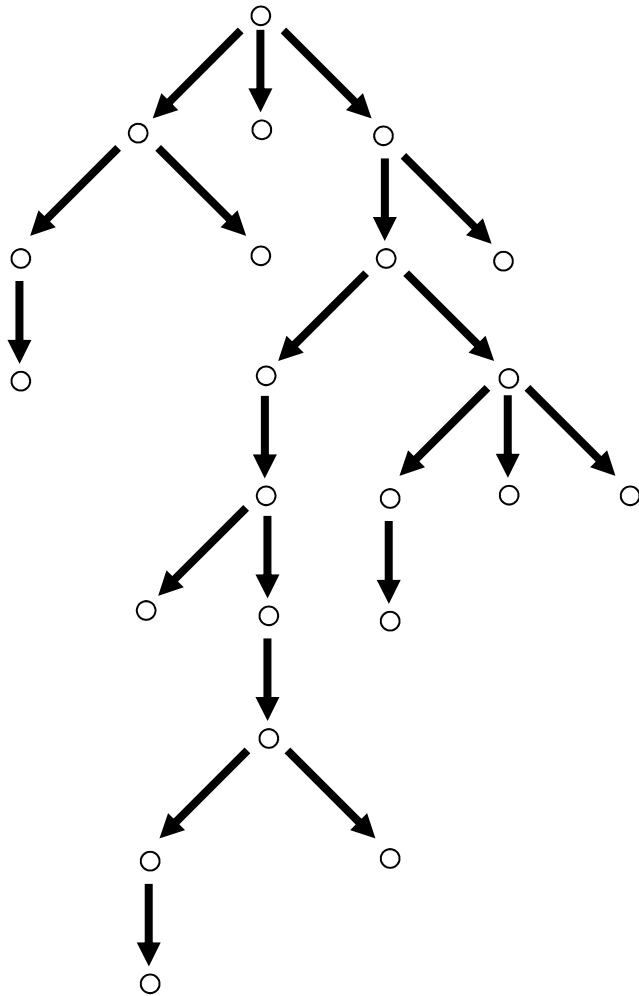
VOTE VOTE VOTE

**For your favorite course on
automata and complexity**

**Please complete the online course
evaluation**

Definition: $\text{coNP} = \{ L \mid \neg L \in \text{NP} \}$

What does a coNP computation look like?

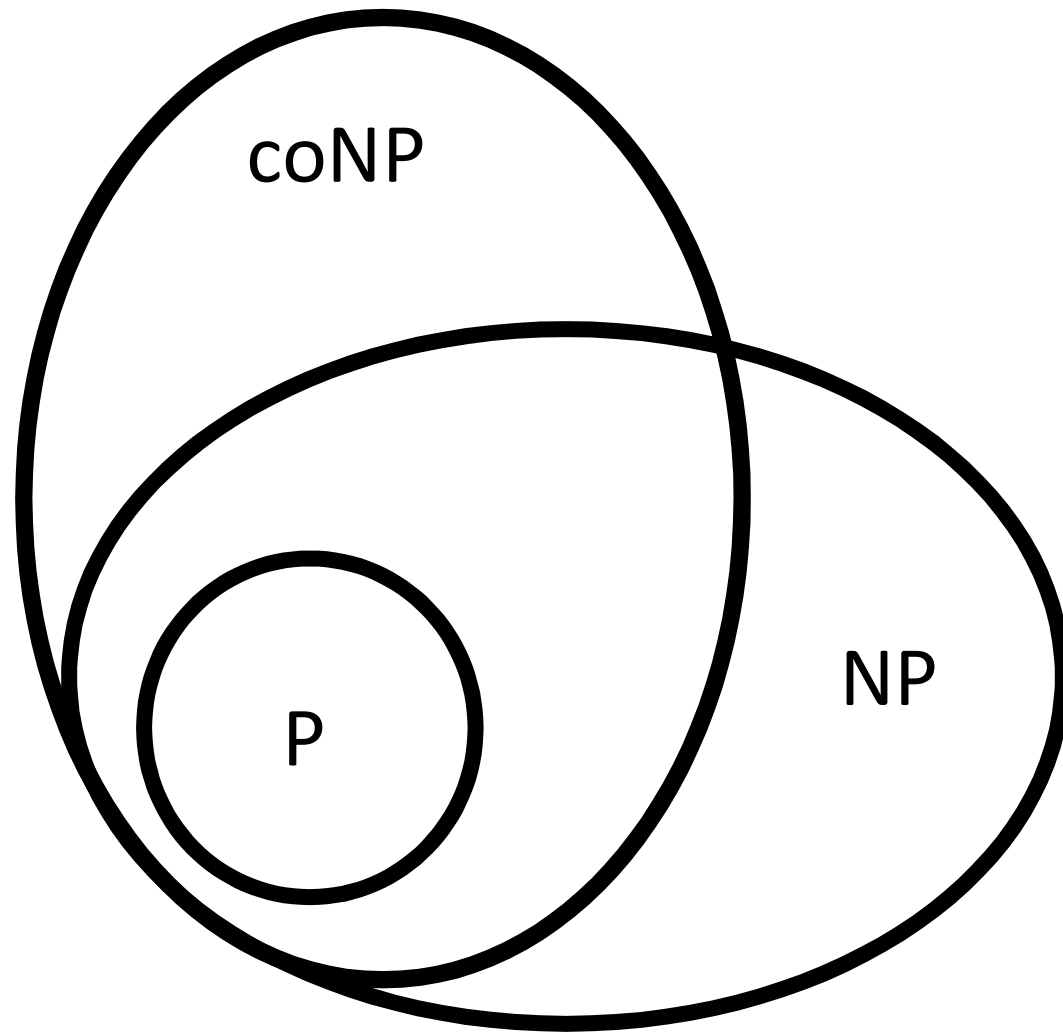


In NP algorithms, we can use a “guess” instruction in pseudocode:
Guess string y of $|x|^k$ length...
and the machine accepts if some y leads to an accept state

In coNP algorithms, we can use a “try all” instruction:

Try all strings y of $|x|^k$ length...

and the machine accepts if every y leads to an accept state



Definition: A language B is coNP-complete if

1. $B \in \text{coNP}$

**2. For every A in coNP, there is a
polynomial-time reduction from A to B
(B is coNP-hard)**

UNSAT = { ϕ | ϕ is a Boolean formula and *no* variable assignment satisfies ϕ }

Theorem: UNSAT is coNP-complete

Proof: UNSAT \in coNP because \neg UNSAT \approx SAT

(2) UNSAT is coNP-hard:

Let $A \in$ coNP. We show $A \leq_p$ UNSAT

On input w , transform w into a formula ϕ using the Cook-Levin Theorem and an NP machine N for $\neg A$

$$w \in \neg A \Rightarrow \phi \in \text{SAT}$$

$$w \notin A \Rightarrow \phi \notin \text{UNSAT}$$

$$w \notin \neg A \Rightarrow \phi \notin \text{SAT}$$

$$w \in A \Rightarrow \phi \in \text{UNSAT}$$

UNSAT = $\{ \phi \mid \phi \text{ is a Boolean formula and } \textit{no} \text{ variable assignment satisfies } \phi \}$

Theorem: UNSAT is coNP-complete

**TAUTOLOGY = $\{ \phi \mid \phi \text{ is a Boolean formula and } \textit{every} \text{ variable assignment satisfies } \phi \}$
= $\{ \phi \mid \neg \phi \in \text{UNSAT} \}$**

Theorem: TAUTOLOGY is coNP-complete

(1) TAUTOLOGY \in coNP (already shown)

(2) TAUTOLOGY is coNP-hard:

**UNSAT \leq_p TAUTOLOGY:
Given formula ϕ , output $\neg \phi$**

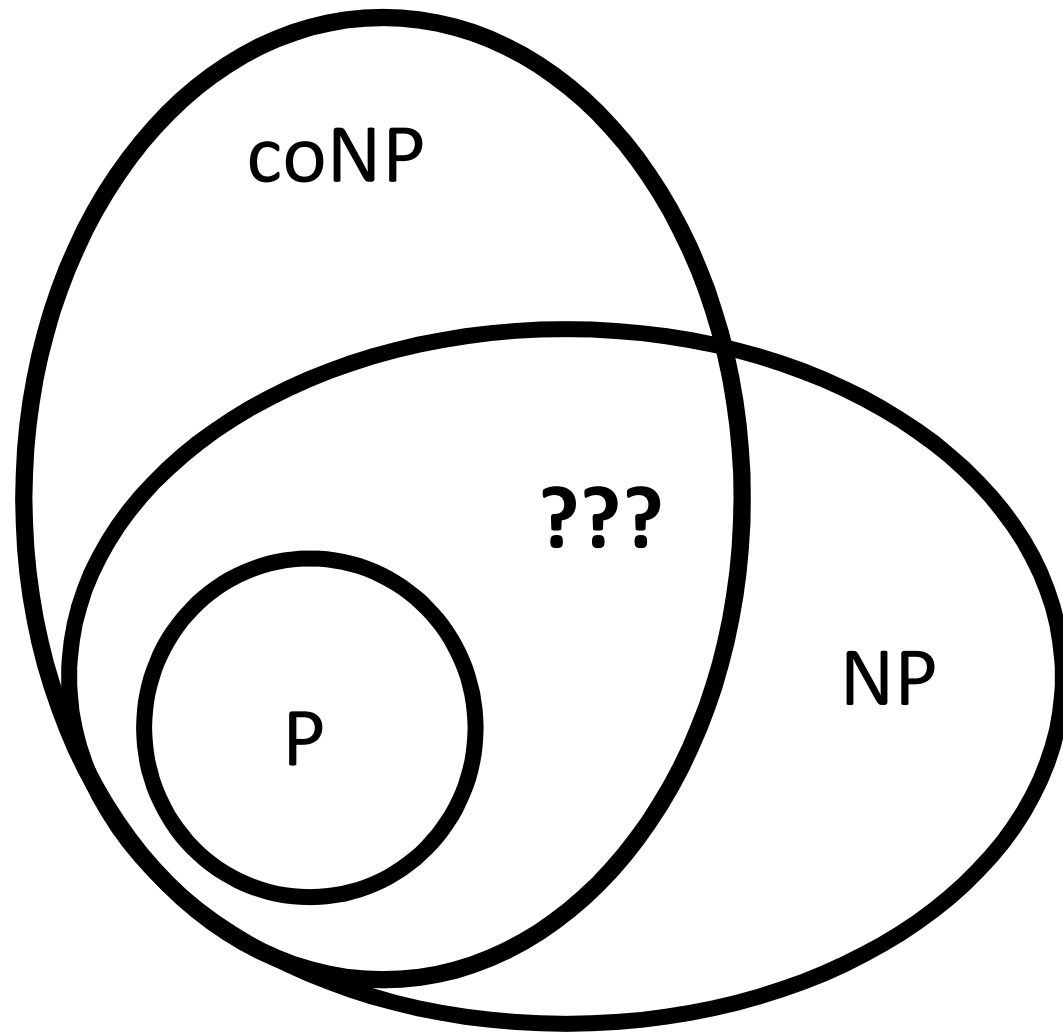
**Every NP-complete problem has a
coNP-complete counterpart**

NP-complete problems:

SAT, 3SAT, CLIQUE, VC, SUBSET-SUM, ...

coNP-complete problems:

UNSAT, TAUTOLOGY, NOCLIQUE, ...



Is $P = NP \cap \text{coNP}$?

THIS IS AN OPEN QUESTION!

An Interesting Problem in $\text{NP} \cap \text{coNP}$

FACTORING

= $\{ (m, n) \mid m > n > 1 \text{ are integers,}$
there is a prime factor p of m where $n \leq p < m \}$

If **FACTORING** $\in \text{P}$, then we could break most
public-key cryptography currently in use!

Theorem: FACTORING $\in \text{NP} \cap \text{coNP}$

To show that **FACTORING** \in **NP** \cap **coNP**, we'll use

PRIMES = { n | n is a prime integer}

PRIMES is in P

Manindra Agrawal, Neeraj Kayal and Nitin Saxena

Ann. of Math. Volume 160, Number 2 (2004), 781-793.

Abstract

We present an unconditional deterministic polynomial-time algorithm that determines whether an input number is prime or composite.

FACTORING

**= $\{ (m, n) \mid m, n > 1 \text{ are integers,}$
there is a prime factor p of m where $n \leq p < m \}$**

Theorem: FACTORING \in NP \cap coNP

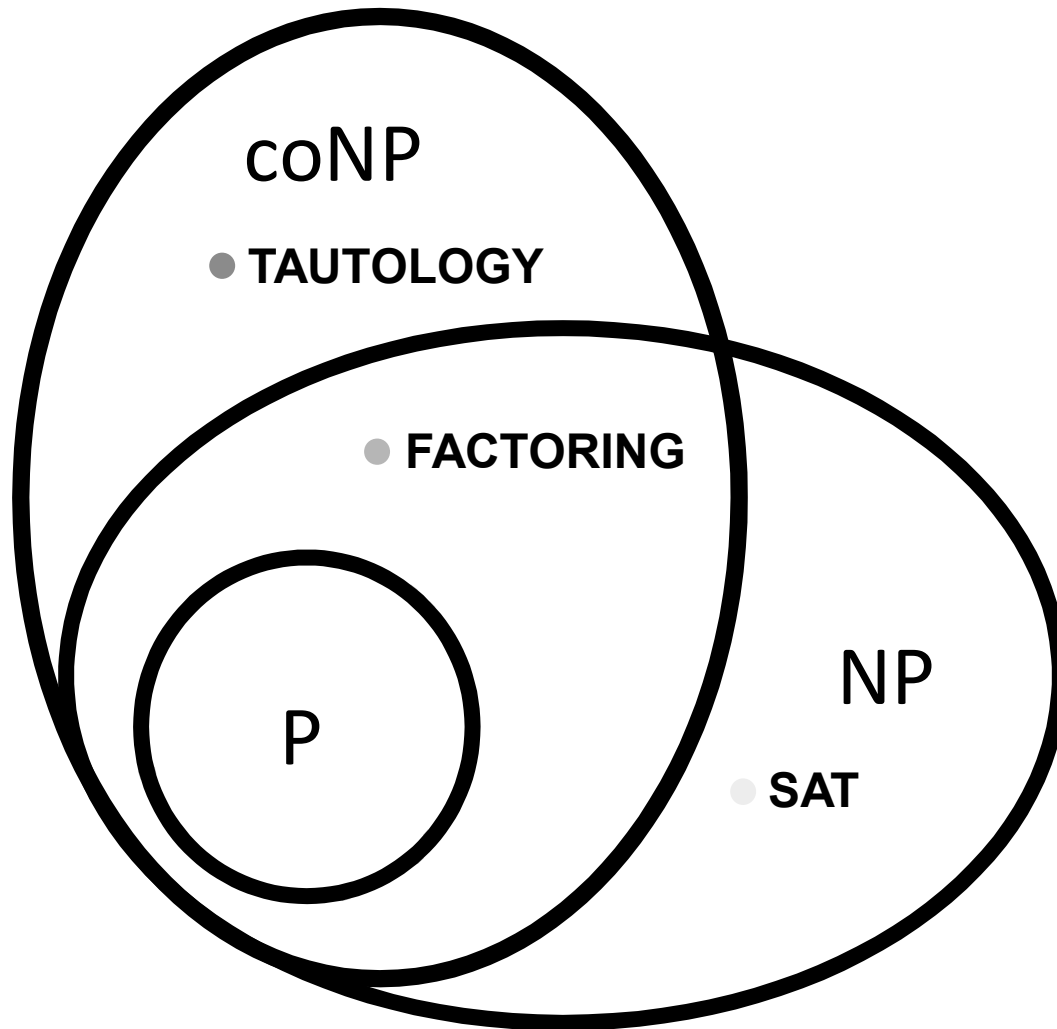
Proof:

**The prime factorization $p_1^{e_1} \dots p_k^{e_k}$ of m can be used to
efficiently prove that either (m,n) is in FACTORING
or (m,n) is not in FACTORING:**

First *verify* each p_i is prime and $p_1^{e_1} \dots p_k^{e_k} = m$

If there is a $p_i \geq n$ then (m,n) is in FACTORING

If for all i , $p_i < n$ then (m,n) is not in FACTORING



Polynomial Time With Oracles



***We do not condone smoking. Don't do it. It's bad. Kthxbye**

How to Think about Oracles?

Think in terms of Turing Machine pseudocode!

An oracle Turing machine M with oracle $B \subseteq \Gamma^*$ lets you include the following kind of branching instructions:

**“if (z in B) then <do something>
else <do something else>”**

**where z is some string defined earlier in pseudocode.
By definition, the oracle TM can always check the
condition (z in B) in one step**

This notion makes sense even if B is not decidable!

Some Complexity Classes With Oracles

P^B = { L | L can be decided by some *polynomial-time* TM with an oracle for B }

P^{SAT} = the class of languages decidable in polynomial time with an oracle for SAT

P^{NP} = the class of languages decidable by *some* polynomial-time oracle TM with an oracle for *some* B in NP

Is $P^{SAT} \subseteq P^{NP}$?

Yes! By definition...

Is $P^{NP} \subseteq P^{SAT}$?

Yes!

Every NP language can be reduced to SAT!

**For every poly-time TM M with oracle $B \in NP$,
we can simulate every query z to oracle B by
reducing z to a formula ϕ in poly-time,
then asking an oracle for SAT instead**

$P^B = \{ L \mid L \text{ can be decided by a polynomial-time TM with an oracle for } B \}$

Suppose B is in P .

Is $P^B \subseteq P$?

Yes!

For every poly-time TM M with oracle $B \in P$, we can simulate every query z to oracle B by simply running a polynomial-time decider for B .

The resulting machine runs in polynomial time!

Is $NP \subseteq P^{NP}$?

Yes!

Just ask the oracle for the answer!

For every $L \in NP$ define an oracle TM M^L which asks the oracle if the input is in L .

Is $\text{coNP} \subseteq \text{P}^{\text{NP}}$?

Yes!

Again, just ask the oracle for the answer!

For every $L \in \text{coNP}$ we know $\neg L \in \text{NP}$

Define an oracle TM $M^{\neg L}$ which asks the oracle if the input is in $\neg L$

accept if the answer is no,

reject if the answer is yes

In general, we have $\text{P}^{\text{NP}} = \text{P}^{\text{coNP}}$

**P^{NP} = the class of languages decidable by
some polynomial-time oracle TM M^B for
some B in NP**

**Informally: P^{NP} is the class of
problems you can solve in polynomial
time, assuming SAT solvers work**

$NP^B = \{ L \mid L \text{ can be decided by a polynomial-time nondeterministic TM with an oracle for } B \}$

$coNP^B = \{ L \mid L \text{ can be decided by a poly-time co-nondeterministic TM with an oracle for } B \}$

Is $NP = NP^{NP}$?

Is $coNP^{NP} = NP^{NP}$?

THESE ARE OPEN QUESTIONS!

It is believed that the answers are NO

Logic Minimization is in coNP^{NP}

Two Boolean formulas ϕ and ψ over the variables x_1, \dots, x_n are equivalent if they have the same value on every assignment to the variables

Are x and $x \vee x$ equivalent? Yes

Are x and $x \vee \neg x$ equivalent? No

Are $(x \vee \neg y) \wedge \neg(\neg x \wedge y)$ and $x \vee \neg y$ equivalent? Yes

A Boolean formula ϕ is minimal if no smaller formula is equivalent to ϕ

$\text{MIN-FORMULA} = \{ \phi \mid \phi \text{ is minimal} \}$

Theorem: MIN-FORMULA \in coNP^{NP}

Proof:

Define NEQUIV = { (ϕ, ψ) | ϕ and ψ are not equivalent }

Observation: NEQUIV \in NP (Why?)

Here is a coNP^{NEQUIV} machine for MIN-FORMULA:

Given a formula ϕ ,

Try all formulas ψ smaller than ϕ :

If $(\phi, \psi) \in$ NEQUIV then *accept* else *reject*

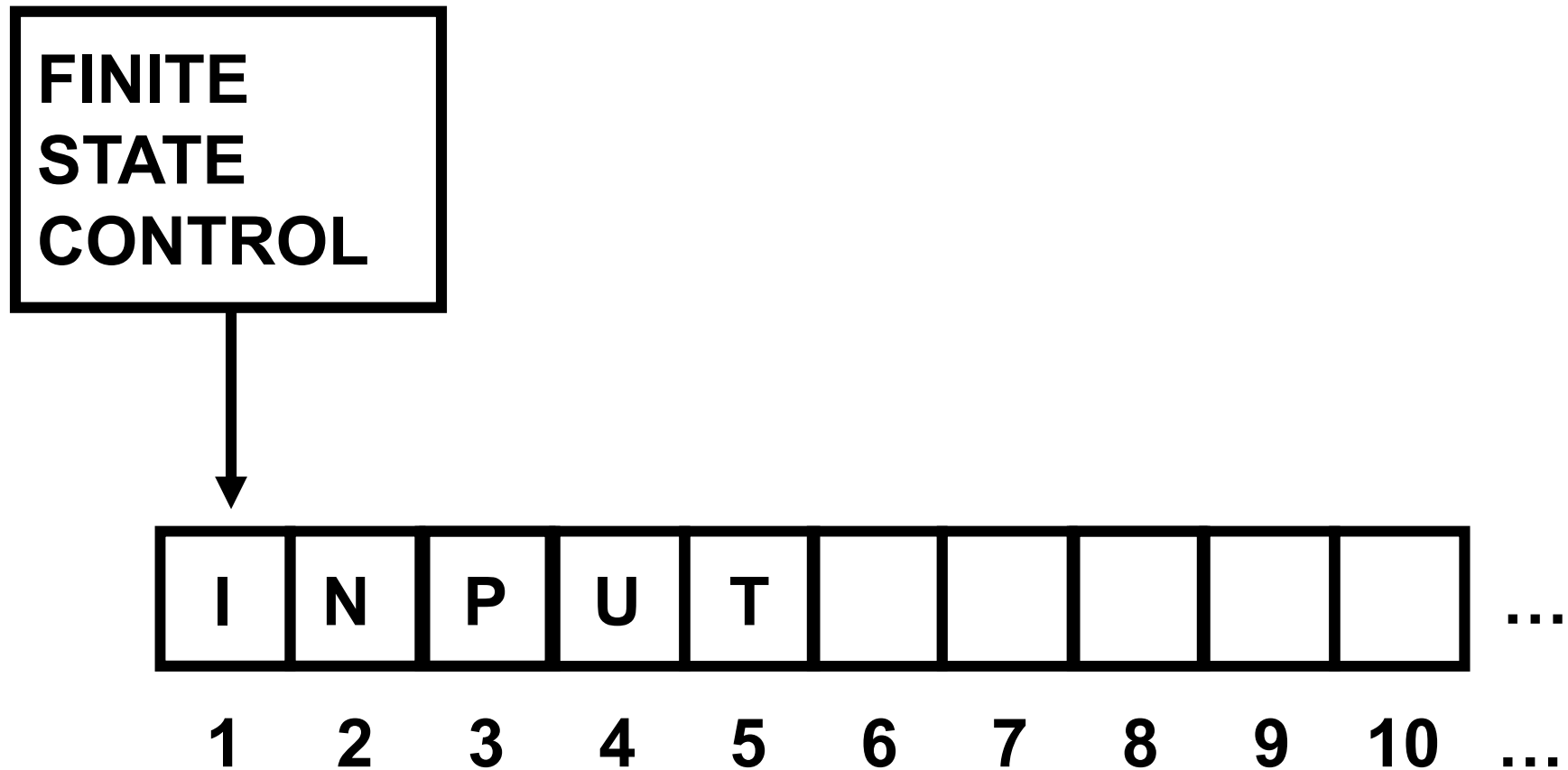
MIN-FORMULA is not known to be in coNP!



Space Complexity



Measuring Space Complexity



We measure *space* complexity by looking at the largest tape index reached during the computation

Let M be a deterministic TM.

Definition: The space complexity of M is the function $S : \mathbb{N} \rightarrow \mathbb{N}$, where $S(n)$ is the largest tape index reached by M on any input of length n .

**Definition: $\text{SPACE}(S(n)) =$
 $\{ L \mid L \text{ is decided by a Turing machine with } O(S(n)) \text{ space complexity} \}$**

Theorem: $3SAT \in SPACE(n)$

“Proof”: Try all possible assignments to the (at most n) variables in a formula of length n . This can be done in $O(n)$ space.

Theorem: $NTIME(t(n))$ is in $SPACE(t(n))$

“Proof”: Try all possible computation paths of $t(n)$ steps for an NTM on length- n input. This can be done in $O(t(n))$ space.

The class $\text{SPACE}(s(n))$ formalizes the class of problems solvable by computers with *bounded memory*.

**Fundamental (Unanswered) Question:
How does time relate to space, in computing?**

$\text{SPACE}(n^2)$ problems could potentially take much longer than n^2 steps to solve!

***Intuition: You can always re-use space,
but how can you re-use time?***

Time Complexity of SPACE($S(n)$)

Let M be a halting TM that on input x , uses S space

How many time steps can $M(x)$ possibly take?

Is there an upper bound?

The number of time steps is at most
the total number of possible *configurations*!

(If a configuration repeats, the machine is looping.)

A configuration of M specifies a head position, state, and S cells of tape content. The total number of configurations is at most:

$$S |Q| |\Gamma|^S = 2^{O(S)}$$

Corollary:
**Space $S(n)$ computations can be
decided in $2^{O(S(n))}$ time**

$$\text{SPACE}(s(n)) \subseteq \bigcup_{c \in \mathbb{N}} \text{TIME}(2^c \cdot s(n))$$

**Idea: After $2^{O(s(n))}$ time steps, a $s(n)$ -space bounded
computation must have repeated a configuration, so
then it will never halt...**

$$\text{PSPACE} = \bigcup_{k \in \mathbb{N}} \text{SPACE}(n^k)$$

$$\text{EXPTIME} = \bigcup_{k \in \mathbb{N}} \text{TIME}(2^{n^k})$$

$$\text{PSPACE} \subseteq \text{EXPTIME}$$

Is $P \subseteq PSPACE$?

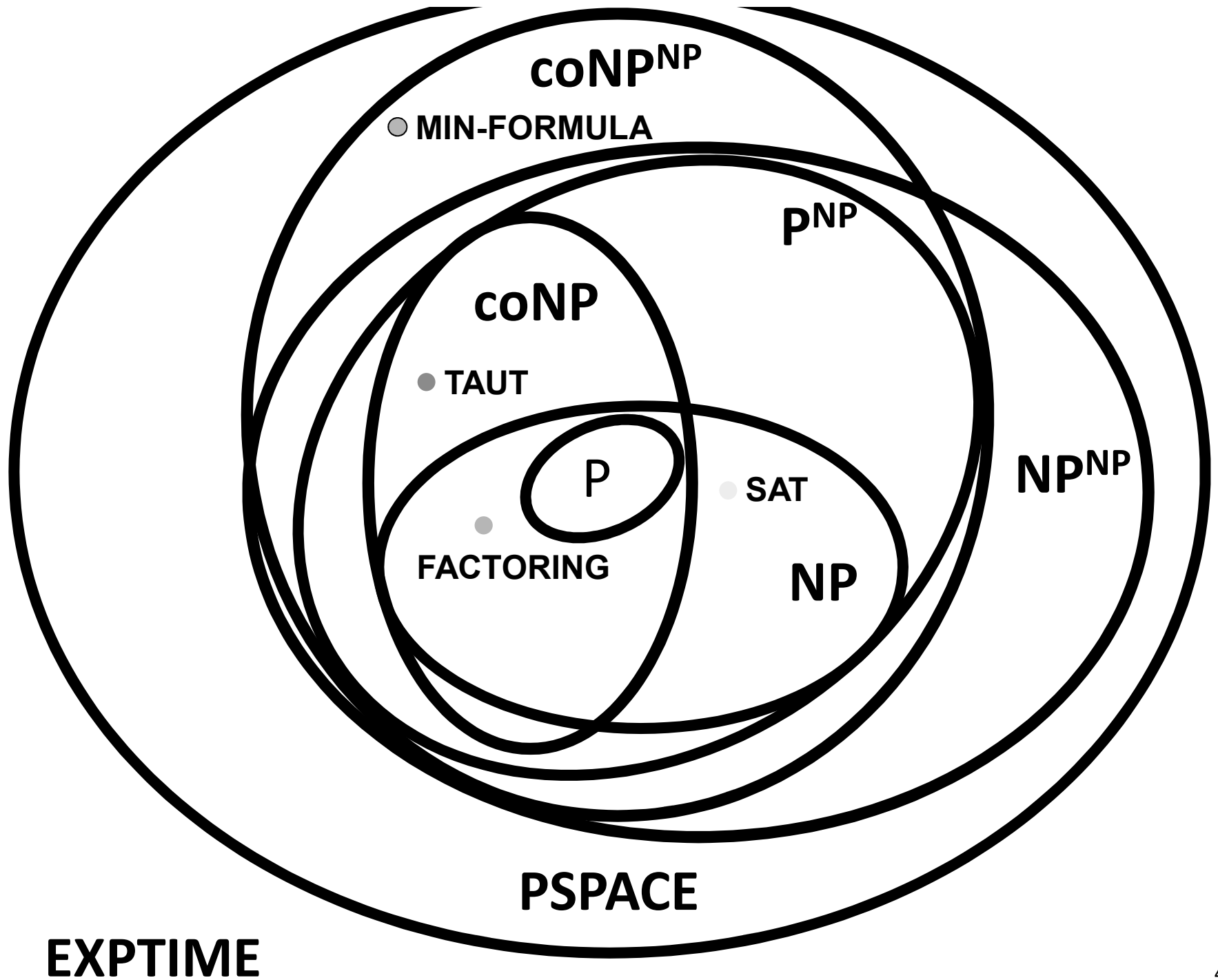
YES

Is $\text{NP} \subseteq \text{PSPACE}$?

YES

Is $NP^{NP} \subseteq PSPACE$?

YES



Thank you!

For being a great class!