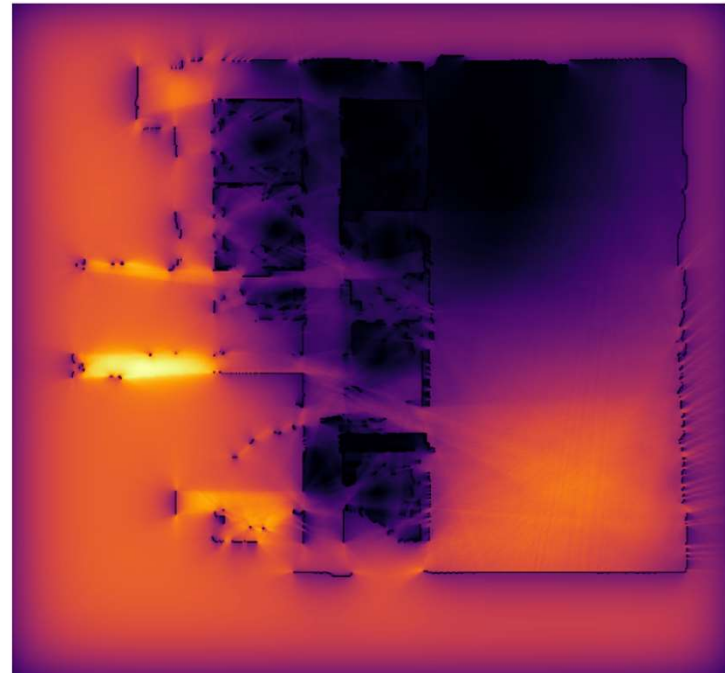
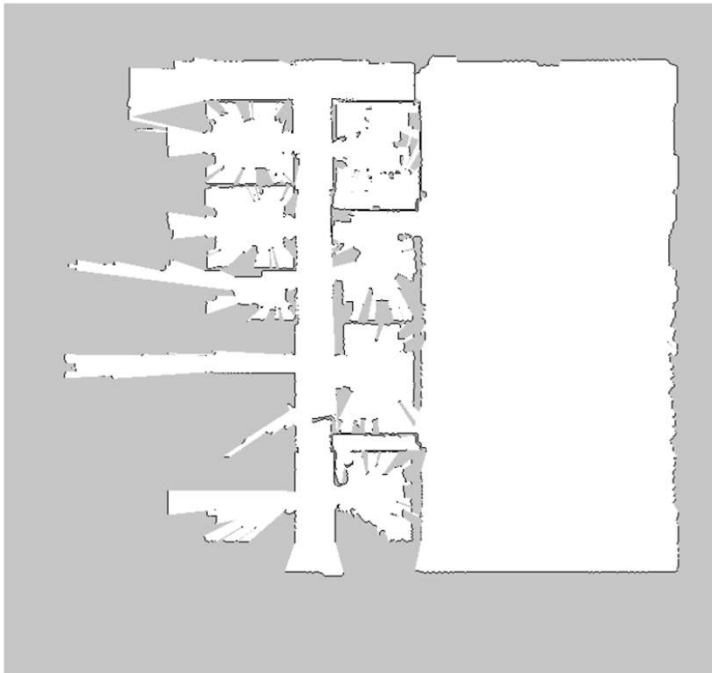


EECE 5550: Mobile Robotics



Lecture 21: Robotic Exploration

Plan of the day

- The exploration problem
- Frontier-based exploration
- A brief introduction to information theory
- Information-based exploration

References

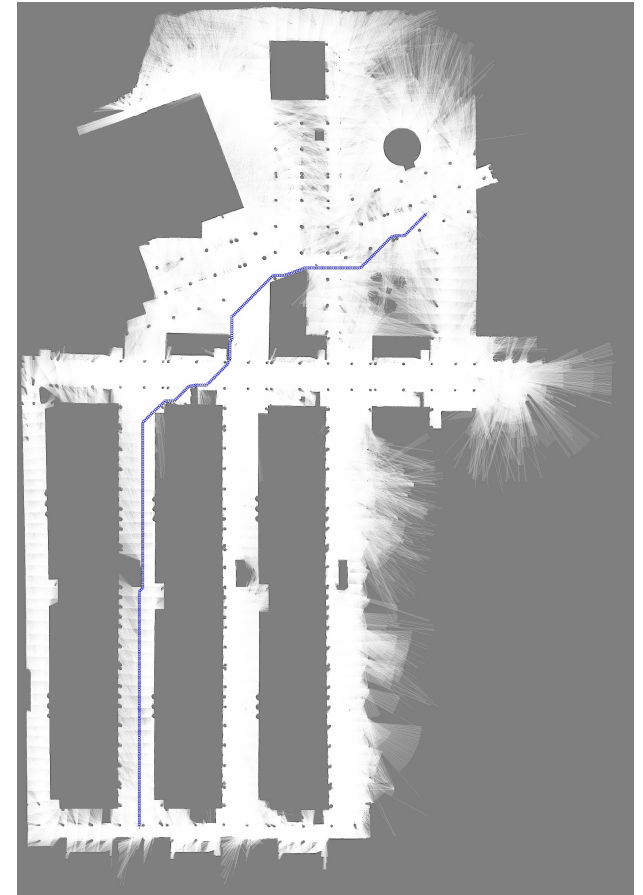
- B. Yamauchi: “A Frontier-Based Approach for Autonomous Exploration”
- F. Bourgault, A.A. Makarenko, S.B. Williams: “Information Based Adaptive Exploration”
- H. Carrillo, P. Dames, V. Kumar, J.A. Castellanos: “Autonomous Robotic Exploration Using Occupancy Grid Maps and Graph SLAM Based on Shannon and Renyi Entropy”
- C.E. Shannon: “A Mathematical Theory of Communication”

The Exploration Problem

Goal: Build a **complete** map of the environment **as quickly as possible**.

Recall: SLAM and mapping algorithms enable us to build a map from sensor measurements, but they don't directly tell us ***what measurements to collect***.

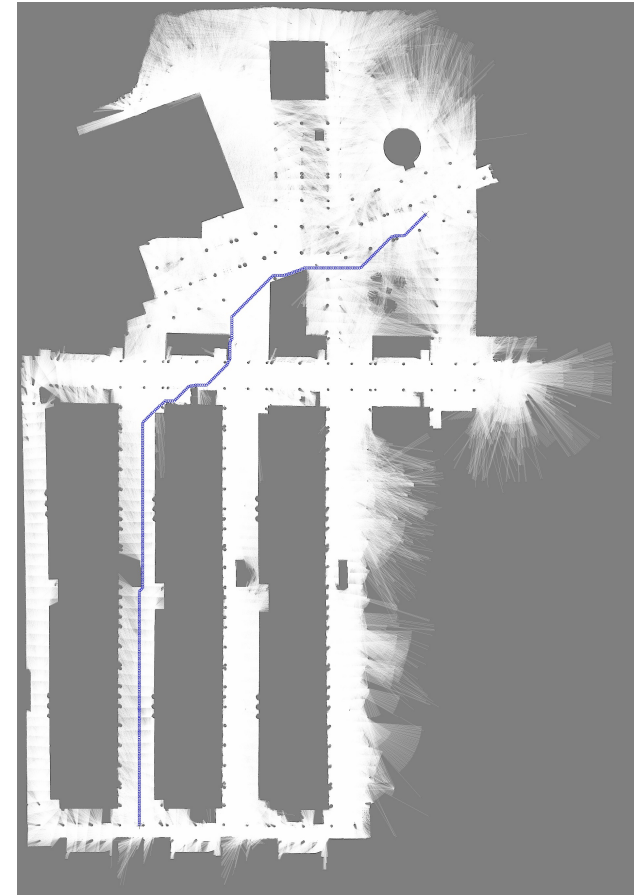
Fundamental question: How should we decide ***what measurements to collect***, given that we only have partial knowledge of the environment as we are building the map?



Frontier-based exploration

Main idea [Yamauchi]: To gain the most new information about the world, move to the **boundary** between the open space and unexplored territory.

Key question: How can we formalize this notion of a “boundary” between free and unexplored space?



Frontier extraction in occupancy grids

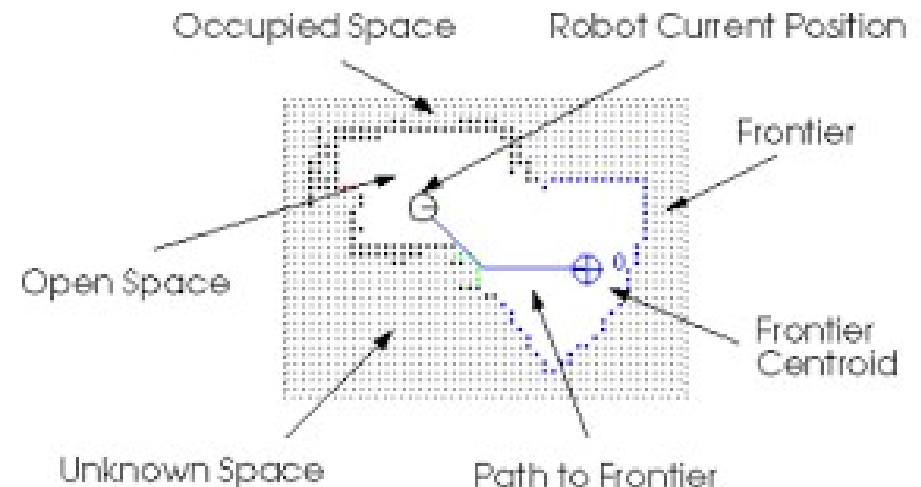
Occupancy grids are particularly nice for frontier-based exploration, because they admit a simple approach to **classifying** space as **free**, **occupied**, and **unknown**:

- **Free:** $p(\text{occ}) < \text{prior}$
- **Occupied:** $p(\text{occ}) > \text{prior}$
- **Unknown:** $p(\text{occ}) = \text{prior}$

We then define the set of **boundary cells** to be the set of all grid cells that are:

- Unoccupied
- Adjacent to an unknown cell

Finally, we define a **frontier** to be a maximal connected set of boundary cells

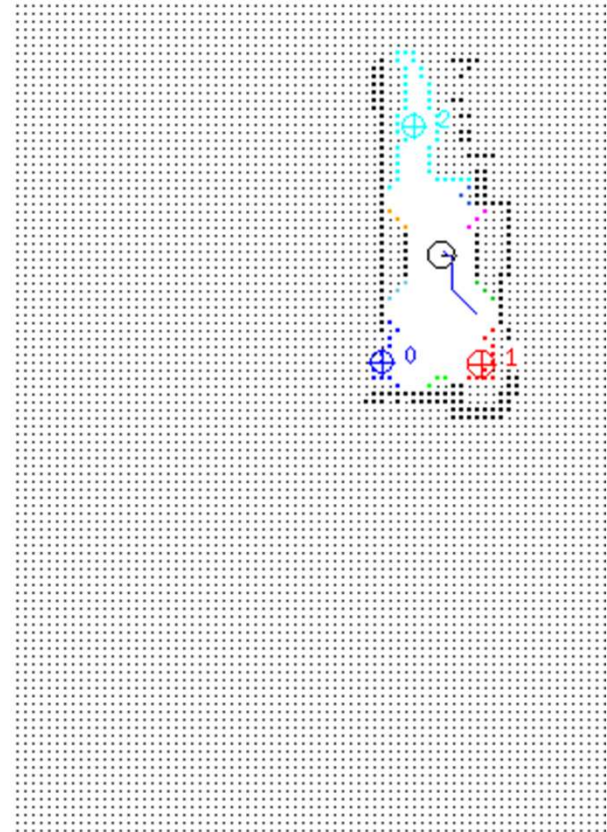


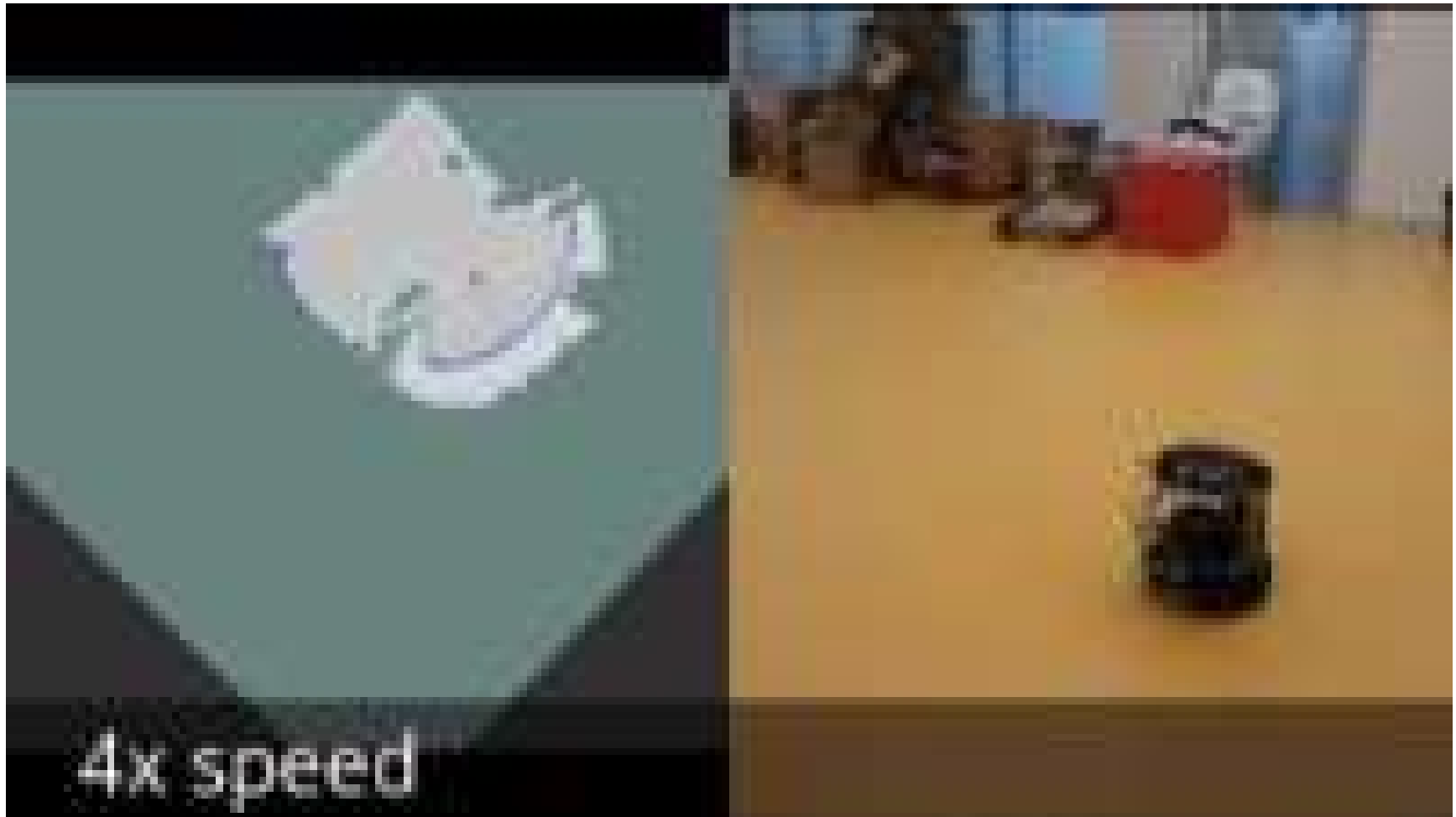
A (Very) Simple Frontier-Based Exploration Algorithm

while frontiers exist, repeat:

1. Plan a path to the nearest one
2. Follow the plan to collect measurements
3. Update map & frontiers

end while





<https://youtu.be/op0L0LyGNwY>

Frontier-Based Exploration in Occupancy Grids

Pro: Super simple 😊!

But: This approach doesn't directly address map *quality*: that is, how *(un)certain* we are about the final map

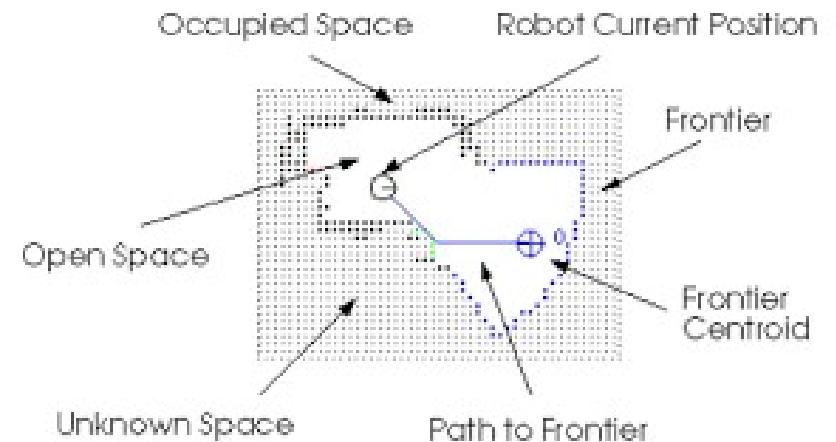
We might like to control this directly ...

Frontier-Based Exploration

while frontiers exist, repeat:

1. Plan a path to the nearest one
2. Follow the plan to collect measurements
3. Update map & frontiers

end while



Measuring map quality

Goal: We would like to develop a measure of “quality” for occupancy grid maps.

Key question: What should this actually *be*?

One possible approach: Recall that (probabilistic) occupancy grids encode a *belief* $p(m|z_{1:m})$ over the map m given measurements $z_{1:m}$

- **Initially:** We (typically) have a uniform prior $p(m_i) = .5$ for all cells
⇒ Intuitively: we are *completely ignorant* about the state of the world
- **After mapping:** Ideally, we want either $p(m_i) \approx 1$ $p(m_i) \approx 0$ for all reachable m_i
⇒ Intuitively: We are *highly certain* about the status of all cells

⇒ This suggests that we think about “quality” in terms of *posterior uncertainty*

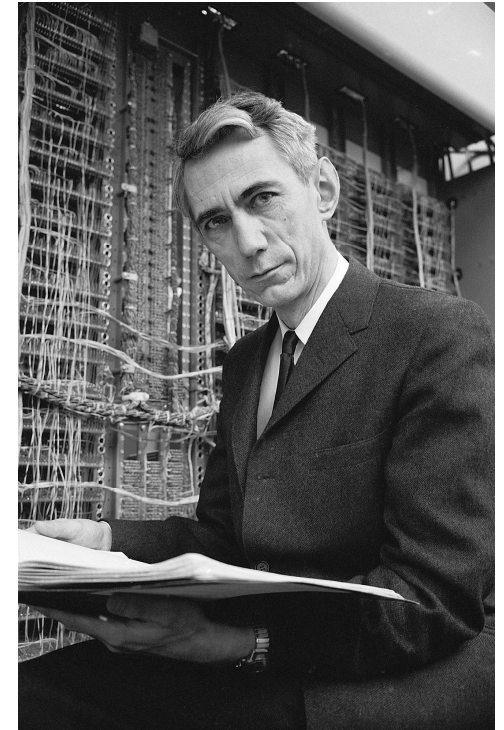
But: How to do *this*?

Quantifying Uncertainty: Entropy

In information theory, the canonical measure of “uncertainty” associated with a random variable X is *entropy*.

If X is a discrete random variable taking values in the set $\{x_1, \dots, x_N\}$, its entropy is given by:

$$H(X) = - \sum_{i=1}^N P(x_i) \log P(x_i)$$



Claude E. Shannon

How does entropy measure “uncertainty”?

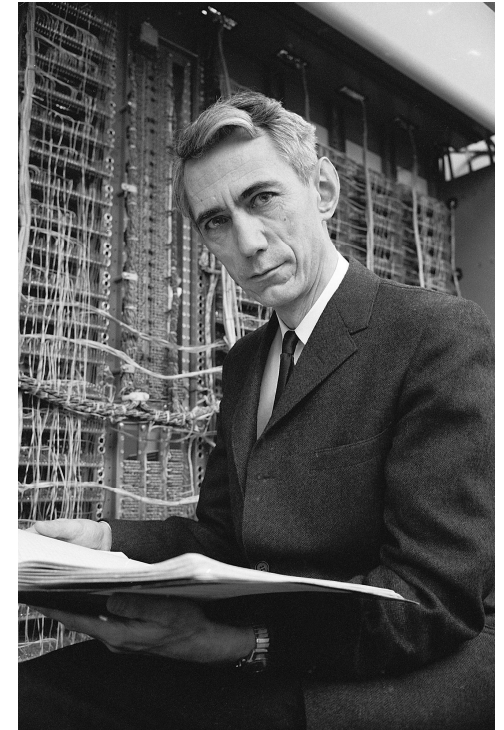
Shannon’s key insight: The amount of “**information**” obtained by observing the outcome of a random process is directly related to how **unlikely** (or “surprising”) that outcome is.

Intuition: If I know that $P(X = x_i) = 1$, then drawing a random realization of X equal to x_i is not very interesting ...

(Conversely, finding out that you just won the lottery is **very** interesting!)

Key question: Can we make this intuition **quantitative**?

(That is: can we come up with a good way of **measuring** the “information content” $I(x)$ of a random event x ?)



Claude E. Shannon

How does entropy measure “uncertainty”?

We would like to develop a measure $I(A)$ that assigns to a random event A a measure of how “informative” observing that event is.

One approach: Let's **specify** what properties might we like I to possess

Information axioms: Let A be a random event.

1. Nonnegativity: $I(A) \geq 0$
2. Monotonicity: $I(A)$ is **monotonically decreasing** in $p(A)$.
(Observing a *more likely* event is *less informative / surprising*).
3. If $p(A) = 1$ then $I(A) = 0$
(Observing an almost-sure outcome provides *zero* information)

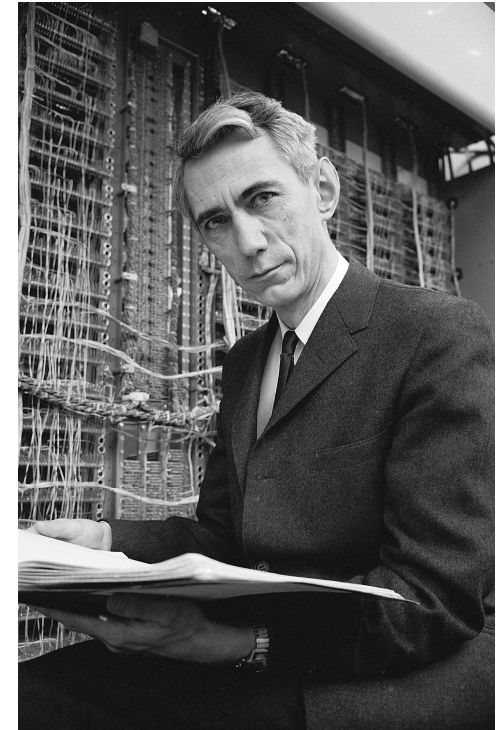
4. **Additivity:** If B is another event independent of A , then:

$$I(A \cap B) = I(A) + I(B)$$

Key point: Shannon showed that **any function** I satisfying 1-4 must be of the form:

$$I(A) = k \log p(A)$$

for $k > 0$.



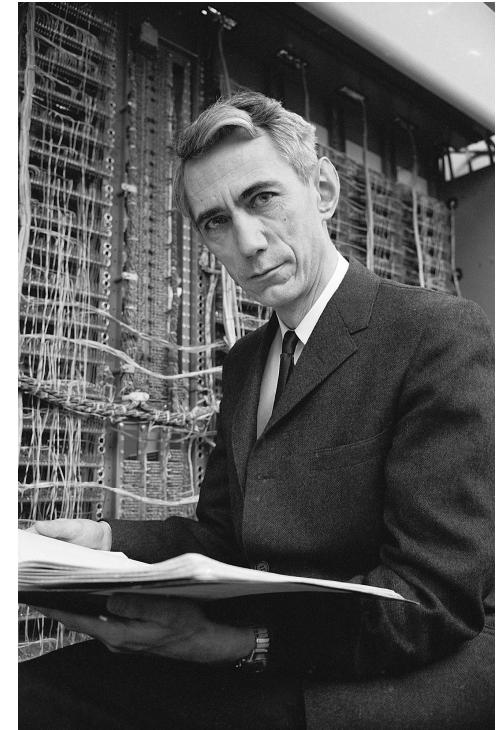
Claude E. Shannon

How does entropy measure “uncertainty”?

Now let's recall the definition of entropy:

$$H(X) = - \sum_{i=1}^N P(x_i) \log P(x_i)$$
$$= \underbrace{\sum_{i=1}^N P(x_i)}_{\text{Expectation over all possible realizations of } X} \cdot \underbrace{(-\log P(x_i))}_{\text{Information / surprise of observing realization } X = x_i}$$

Therefore: The entropy $H(X)$ of a random variable X is measuring the *expected information / surprise* of observing a realization of X .



Claude E. Shannon

Conditional entropy and mutual information

We can also use the notion of entropy to measure how “informative” one random variable is with respect to another.

Suppose that X and Y are jointly distributed, with $P(X, Y) = P(Y|X)P(X)$, and that I measure $Y = y$. How could I quantify how much **observing Y** has reduced my uncertainty **about X** ?

Before measurement: My belief over X was simply the **prior** $p(X)$.

After measurement: My belief over X is the **posterior** $p(X|Y = y)$.

Therefore: The **reduction in my uncertainty** over X due to measuring $Y = y$ is:

$$H(X) - H(X|Y = y)$$

It follows that the **expected reduction** in X 's uncertainty after observing Y is:

$$H(X) - E_Y[H(X|Y = y)]$$

Conditional entropy and mutual information

Recall: The *expected reduction* in X 's uncertainty after observing Y is:

$$H(X) - E_Y[H(X|Y = y)]$$

The second term above is called the *conditional entropy*, denoted $H(X|Y)$:

$$H(X|Y) \triangleq E_Y[H(X|Y = y)]$$

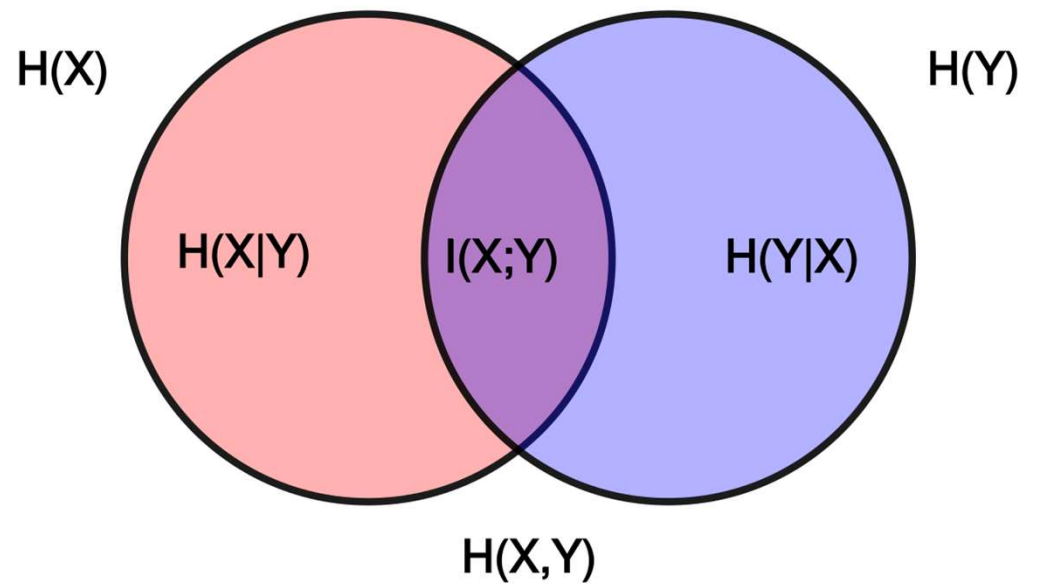
Similarly, the *expected reduction in X 's uncertainty after observing Y* is called the *mutual information* between X and Y , denoted $I(X; Y)$:

$$I(X; Y) \triangleq H(X) - H(X|Y)$$

⇒ The mutual information $I(X; Y)$ quantifies how much *observing Y tells me about X* .

Conditional entropy and mutual information

$$I(X; Y) \triangleq H(X) - H(X|Y)$$



Entropy in occupancy grid maps

Recall: We wanted to measure the “quality” of an occupancy grid map using a notion of map *uncertainty*.

The preceding discussion suggests that we use *entropy* as a performance measure.

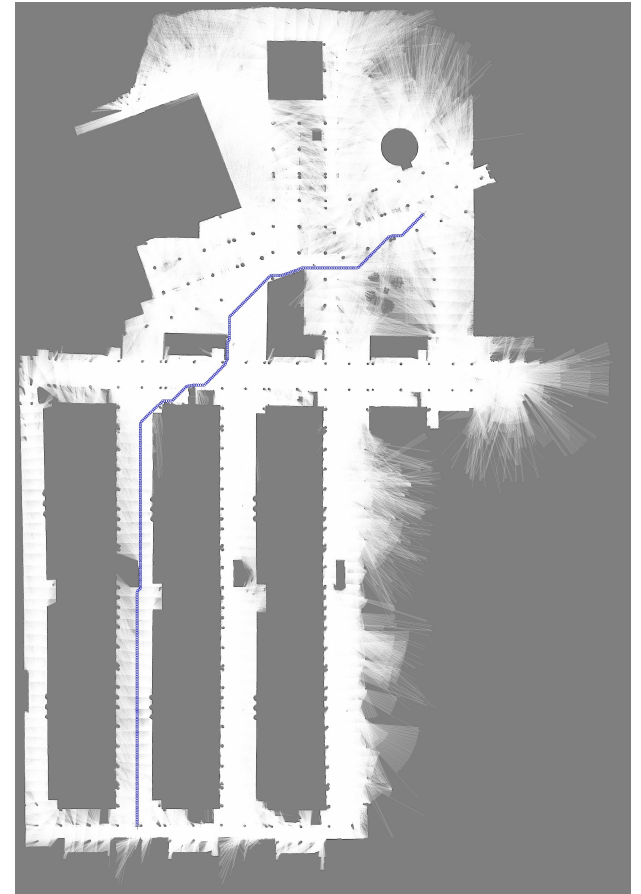
NB: The independence assumption

$$p(m) = \prod_i p(m_i)$$

implies:

$$H(m) = \sum_i H(m_i)$$

Intuitively: the uncertainty of the *entire map* is the *sum* of the uncertainties of each of its cells



Information-based exploration

Recall: The goal of exploration is to **quickly** build a **high-quality** map.

Given a *current* belief $p(M)$ for the map, how do we determine where to scan next?

Simple greedy strategy: Select the scan that *maximizes the decrease in map entropy*:

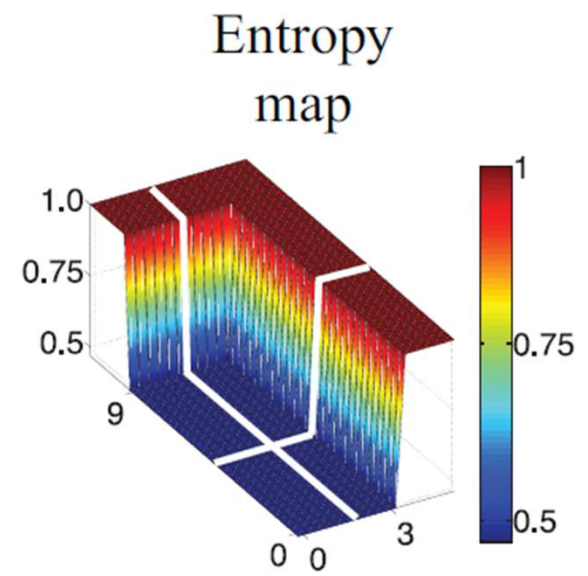
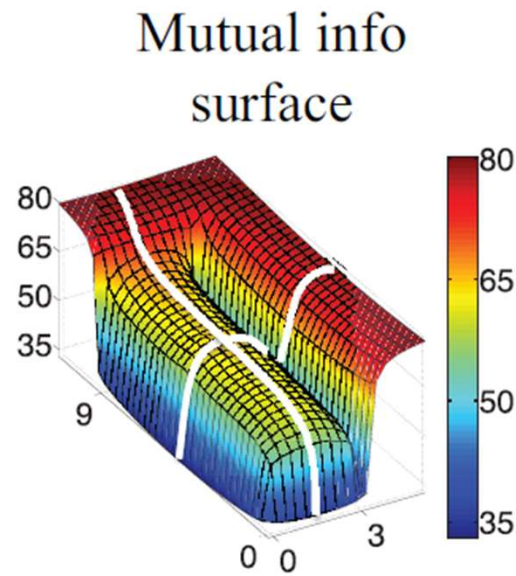
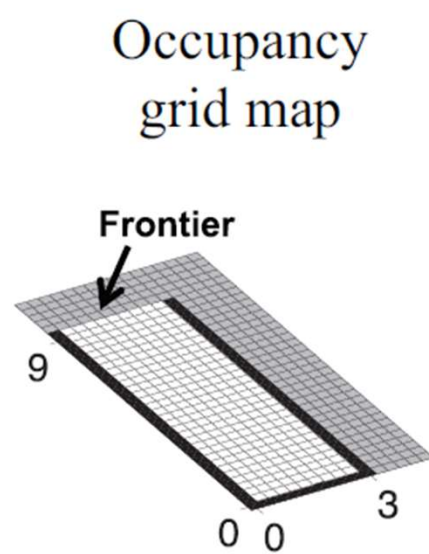
$$x_k^* = \operatorname{argmax}_{x \in SE(d)} \boxed{H(M) - H(M|Z(x))} \longleftarrow I(M; Z(x))$$

where here “ $Z(x)$ ” means “a scan taken at pose x ”.

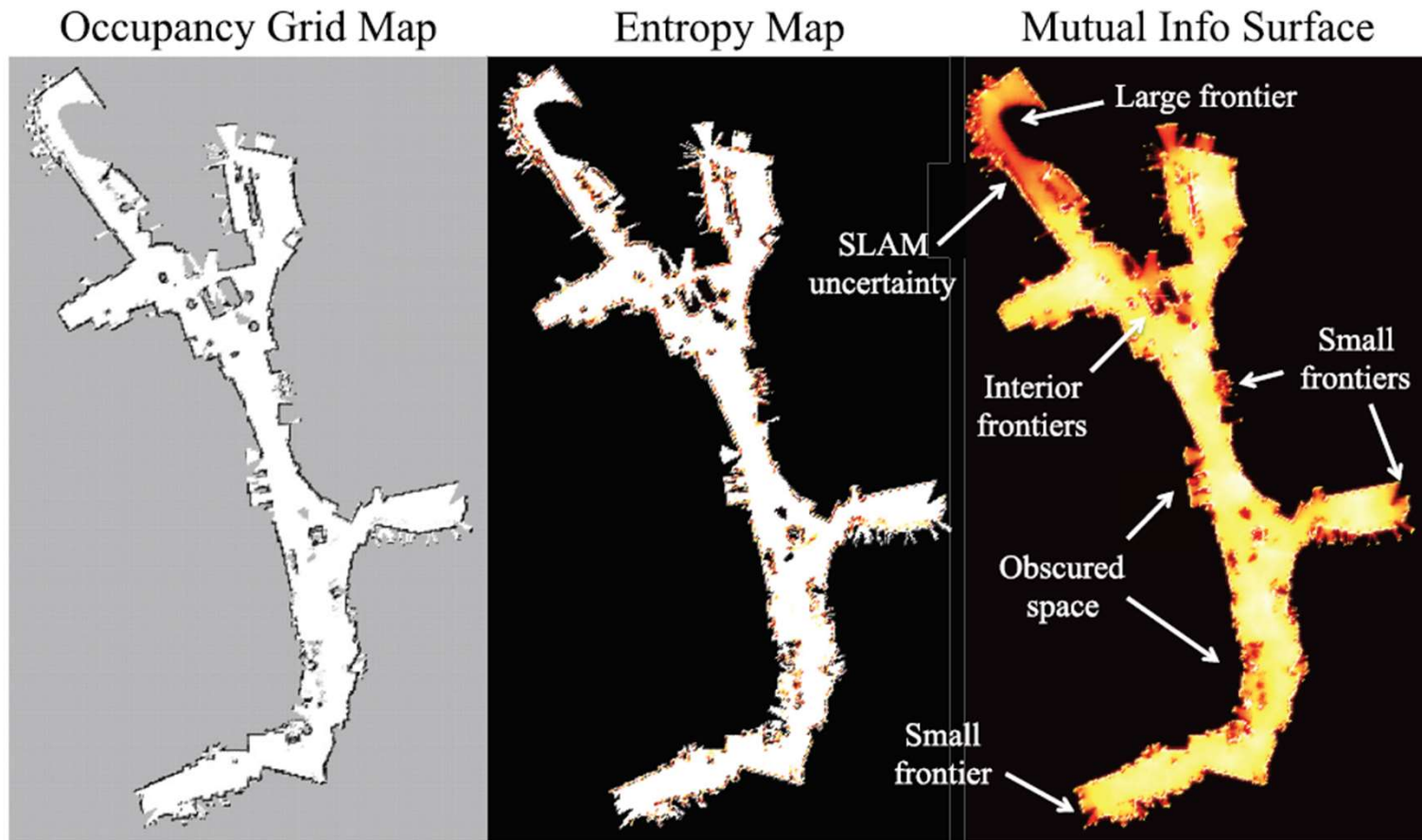
Equivalently: Choose the scan that *maximizes mutual information*:

$$x_k^* = \operatorname{argmax}_{x \in SE(d)} I(M; Z(x))$$

Information-based exploration

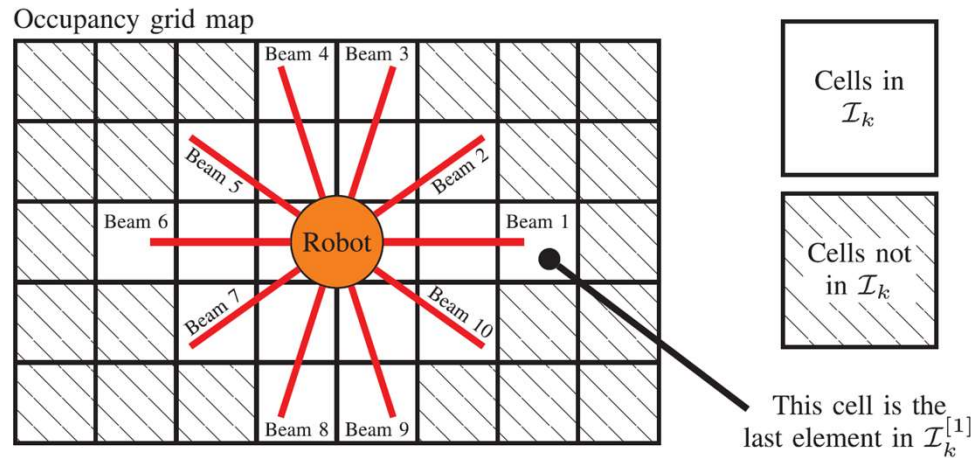


Information-based exploration



Sensor footprints

Recall: We only update the occupancy probabilities of cells that lie in the sensor footprint



Therefore: Decrease in **map** entropy is equal to decrease in entropy of **cells in the sensor footprint**:

$$H(M) - H(M|Z(x_k)) = H(M_{I_k}) - H(M_{I_k}|Z(x_k)) = I(M_{I_k}; Z(x_k))$$

Payoff: To calculate the value of a scan, we only need to consider the (relatively few) cells **in the scan's sensor footprint**

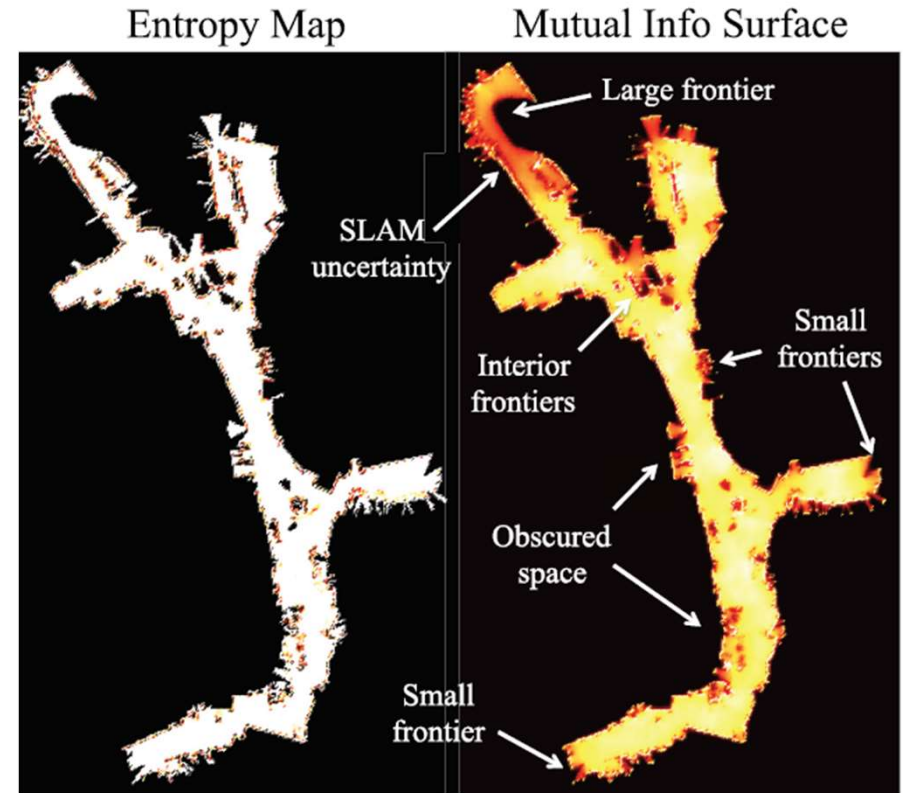
A simple information-based exploration algorithm

repeat

1. Calculate the next **most-informative pose** x_k^* at which to scan:

$$x_k^* = \operatorname{argmax}_{x \in SE(d)} I(M_{I_k}; Z(x))$$

2. Collect measurements
 3. Update map
- until (termination condition)



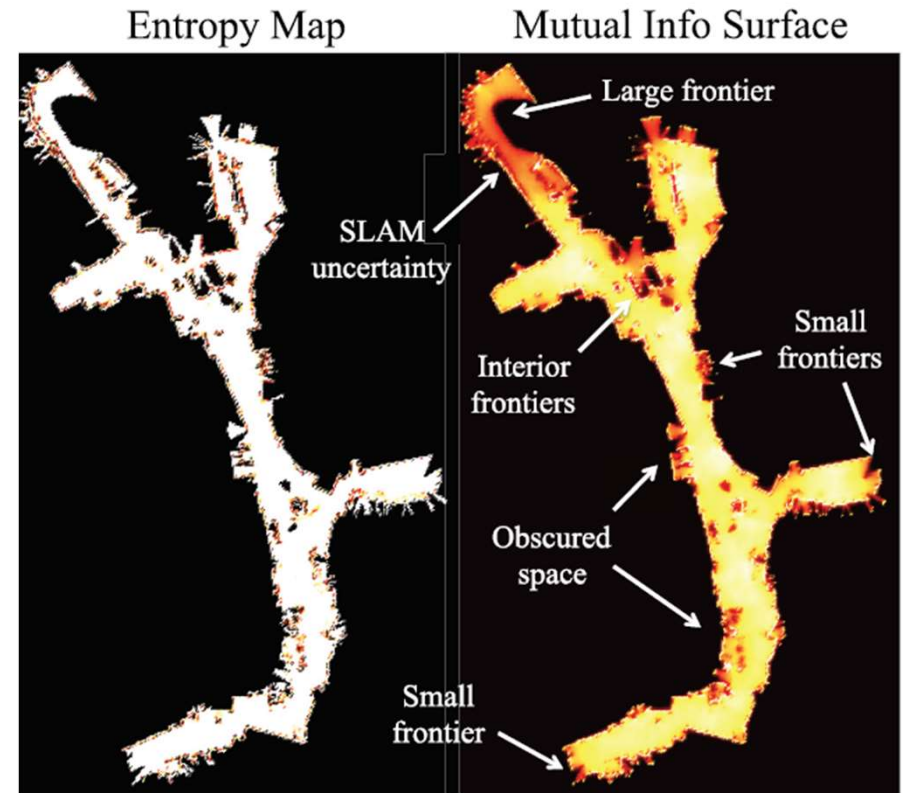
Practicalities

1. Computing the *exact* most-informative pose requires searching over *every possible* pose:

$$x_k^* = \operatorname{argmax}_{x \in SE(d)} I(M_{I_k}; Z(x))$$

This might get expensive ...

2. Rather than planning for *single* measurements, we might like to design (approximately) optimal *trajectories*



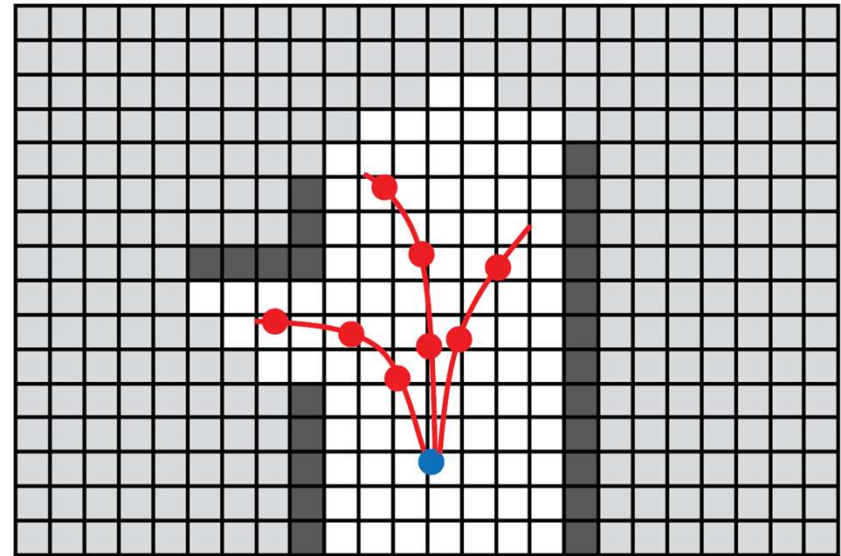
MPC for information-based exploration

repeat

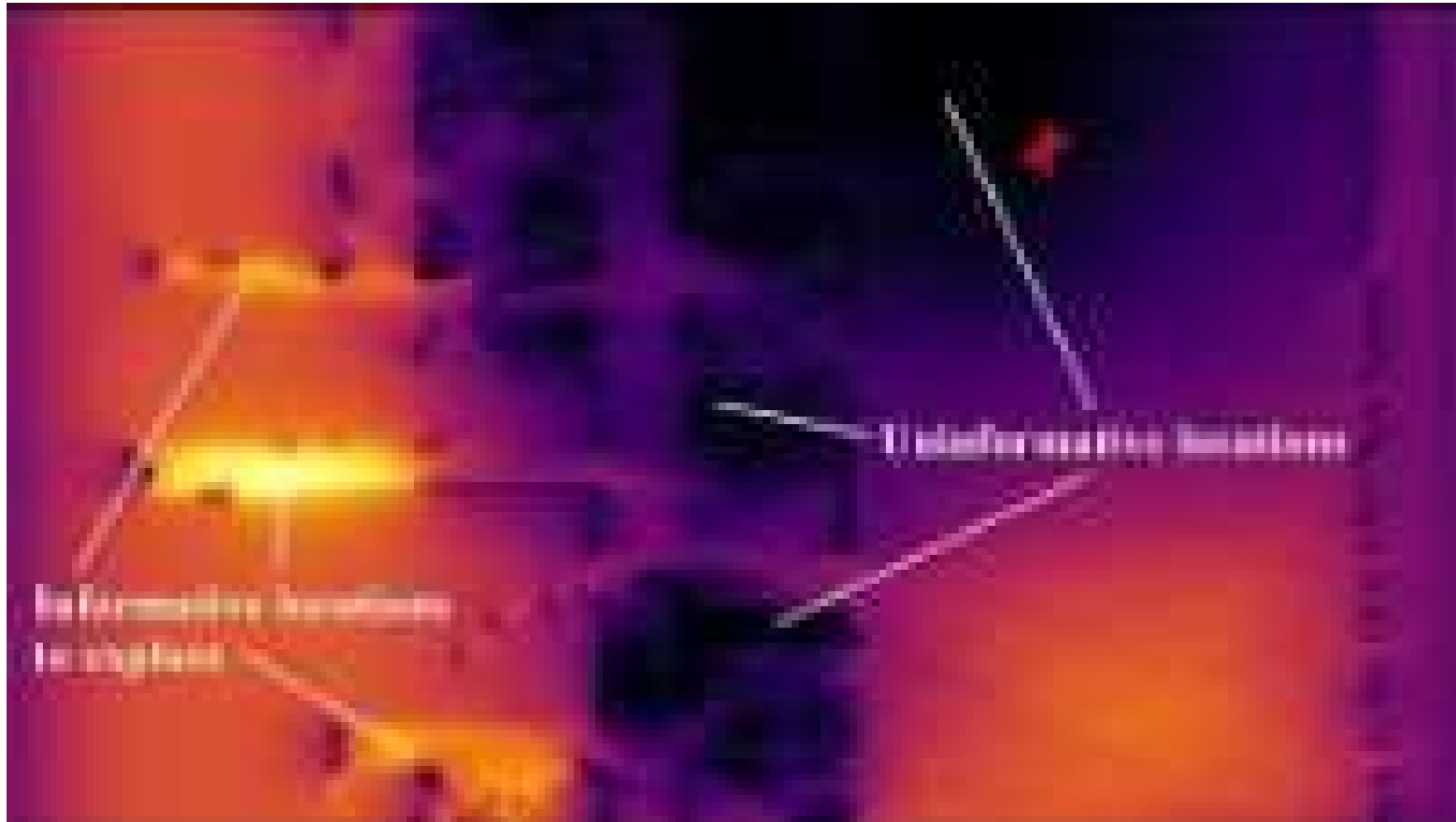
1. Calculate a (k -step, feasible) *trajectory* $x_{1:k}$ that (approximately) maximizes MI:

$$x_{1:k}^* = \operatorname{argmax}_{x_i \in SE(d)} I(M; Z(x_{1:k}))$$

2. Execute first stage of plan
 3. Update map
- until (termination condition)



Information-based exploration



https://youtu.be/j_O1vOCrUME

Information-based exploration on MIT RACECAR



<https://youtu.be/6la0conjKMQ>