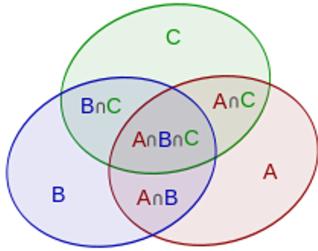


# Dis 4B: Counting II (Combinatorial Proofs, Stars and Bars, Inclusion-Exclusion)

Wednesday, 15 July 2020 10:43 PM

## Principle of Inclusion - Exclusion

You have 3 finite sets A, B, C. You want to find the number of elements in A or B or C. Visually, this is the total number of elements in the circles below:



$$|A \cap B \cap C| = |A| + |B| + |C| - |A \cap B| - |B \cap C| - |C \cap A| + |A \cap B \cap C|$$

**Theorem 11.3** (Inclusion-Exclusion). Let  $A_1, \dots, A_n$  be arbitrary subsets of the same finite set A. Then,

$$|A_1 \cup \dots \cup A_n| = \sum_{k=1}^n (-1)^{k-1} \sum_{S \subseteq \{1, \dots, n\}: |S|=k} |\cap_{i \in S} A_i|. \quad (5)$$

Remarks:

1. The inner summation in (5) is over all size- $k$  subsets of  $\{1, 2, \dots, n\}$ . More explicitly, (5) can be written as

$$\begin{aligned} |A_1 \cup \dots \cup A_n| &= \sum_{i=1}^n |A_i| - \sum_{i < j} |A_i \cap A_j| + \sum_{i < j < k} |A_i \cap A_j \cap A_k| - \sum_{i < j < k < l} |A_i \cap A_j \cap A_k \cap A_l| + \dots \\ &\quad + (-1)^{n-1} |A_1 \cap A_2 \cap \dots \cap A_n|, \end{aligned} \quad (6)$$

where  $\sum_{i < j}$  denotes summing over all  $i, j \in \{1, \dots, n\}$  such that  $i < j$ , and so on.

## 1 The Count

- How many of the first 100 positive integers are divisible by 2, 3, or 5?

(Skip for now)

Visualization (?)

## 3 Bit String

How many bit strings of length 10 contain at least five consecutive 0's?

Hint:

$$(A = B) \quad \underline{\binom{n}{k}} = \binom{n}{n-k}$$

Hint:

$$A = B$$
$$\binom{n}{k} = \binom{n}{n-k}$$

General Procedure:

1. Make up a 'story' for the quantity we're counting.

Sometimes the problem gives this to us, e.g. Kevin would like to select directors for his musical.  
Usually, one side of the identity is obvious, e.g.  $\binom{n}{2}$  means Kevin would like to select 2 directors for his

Common quantities:

- Number of ways to select  $k$  people from a group of  $n$  i.e.  $\binom{n}{k}$
- Number of ways to assign  $n$  people to  $k$  groups i.e.  $k^n$



2. Count the quantity using another method

Strategies:

- Can I interpret the algebra of the 'non-obvious' side to give me a hint?
- Can I count this quantity from another point of view?
  - e.g. rather than taking the perspective of the groups, take the perspective of the people (and vice versa)
- Can I consider an arbitrary "first" element, then consider the rest?
  - Nice way to do this is to DRAW A LINE
- Can I switch between equivalent algebraic forms to make the expression more interpretable?
- Can I split the quantity into disjoint cases?



[NB these strategies are often related]

Interpreting algebra:

- Sums: breaking up quantity into disjoint (i.e. non-overlapping) cases

(Let  $Cyc(k, n)$  denote the number of distinct cycles of length  $k$  in a complete graph  $K_n$ )

Number of distinct cycles in complete graph  $K_n$

$$A = Cyc(3, n) + Cyc(4, n) + \dots + Cyc(n, n)$$
$$= \sum_{k=3}^n Cyc(k, n)$$

e.g.

When  $n = 4$  in the previous setting, our sum has two elements. Same concept.

Number of distinct cycles in complete graph  $K_4$  =  $Cyc(3, 4) + Cyc(4, 4)$

- Nested sums: Fixing one quantity, then considering another e.g.  $\sum_{i=0}^n \sum_{j=0}^n i + j$
- Subtraction in choosing: denoting that we're choosing over the elements that "remain" e.g.  $\binom{n-i}{k}$
- Products: ways to make sequential choices  $x_1 x_2 \dots x_n$   $2 \times \binom{n}{k} = \binom{n}{k} + \binom{n}{k}$
- Exponentials: same as a product, except the ways to make each choice remain constant e.g.  $x^5$



## 2 CS70: The Musical

Edward, one of the previous head TA's, has been hard at work on his latest project, *CS70: The Musical*. It's now time for him to select a cast, crew, and directing team to help him make his dream a reality.

- (a) First, Edward would like to select directors for his musical. He has received applications from  $2n$  directors. Use this to provide a combinatorial argument that proves the following identity:

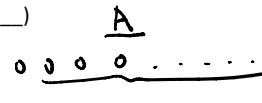
$$\binom{2n}{2} = \binom{n}{2} \binom{n}{2} + n^2$$

1. Make up a story for the quantity:

... words

2. Count Quantity using another method:

- To help us do this, interpret algebra on 'non-obvious' side  
Hint: DRAW A LINE (of \_\_\_\_\_)



choose 2 from  $A + B$  :

$\rightarrow$  ① 1 from  $A$ , 1 from  $B$

$\rightarrow$  ② 2 from  $B$   $\binom{n}{2}$

$\rightarrow$  ③ 2 from  $A$   $\binom{n}{2}$

Divide quantity into 3 disjoint cases : ①, ②, ③

$$B \dots = n^2 + 2\binom{n}{2}$$

$$n+n$$

$$I \text{ from } A, I \text{ from } B$$

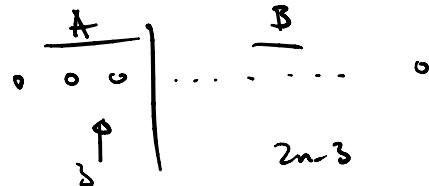
$$2 \text{ from } B \quad \binom{n}{2}$$

$$2 \text{ from } A \quad \binom{n}{2}$$

→ Q 2 from H (ii)



a') Prove the identity  $\binom{2n}{2} + \binom{2n-3}{2} = 3(2n-3)$



- (b) Edward would now like to select a crew out of  $n$  people. Use this to provide a combinatorial argument that proves the following identity:  $\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$  (this is called pascal's identity)

1. Make up a story for the quantity:
2. Count Quantity using another method:
  - o To help us do this, interpret algebra on 'non-obvious' side  
Hint: DRAW A LINE (of \_\_\_\_\_)

Alternative way to count quantity:  
 2 cases : i) don't choose person  $n$  for our group of  $k$   
 ii) do +  
 $\binom{n-1}{k-1}$

- c) There are  $n$  actors lined up outside of Edward's office, and they would like a role in the musical (including a lead role). However, he is unsure of how many individuals he would like to cast. Use this to provide a combinatorial argument that proves the following identity:  $\sum_{k=0}^{n-1} \binom{n}{k} = 2^n$  "yes" or "no"  
 What's the number of lead roles he'd like to cast? 1  
 What's the minimum number of individuals he'd like to cast? 1

1. Make up a story for the quantity: # of ways to choose a group of people where I of the group is a lead actor. (from a total of  $n$  ppl).
2. Count Quantity using another method:
  - o To help us do this, interpret algebra on 'non-obvious' side  
Hint: DRAW A LINE (of \_\_\_\_\_)

→ ① Sum across all possible values for the

→ ① Sum across all possible values for the # of people in the group chosen.

- Alternative way to count quantity:

(k)  $\binom{n}{k}$ . need to choose the 'lead'  $\binom{k}{1}$

$$\sum_{k=1}^n \binom{n}{k} \cdot \binom{k}{1}$$

② choose lead role, then determine count the ways to pick the 'rest'

- (d) Generalizing the previous part, provide a combinatorial argument that proves the following identity:  $\sum_k Q_j \binom{n}{k} \binom{k}{j} = 2^{n-j} \binom{n}{j}$ .

- ① find the group of actors first, then find leads  
 ② Find the  $j$  leads, then find remaining

- c) Abhi's choosing a 7 digit phone number and he wants the digits of his number to be strictly decreasing.  
 How many choices for a new phone number does he have?

Hint: what does Abhi know about the digits of his number given they're strictly decreasing?

$$3, 7, 5, 2, 1, 6, 8 \leftrightarrow 8765321$$

a choice  $\uparrow$   
 of 7  
 distinct numbers

bijection

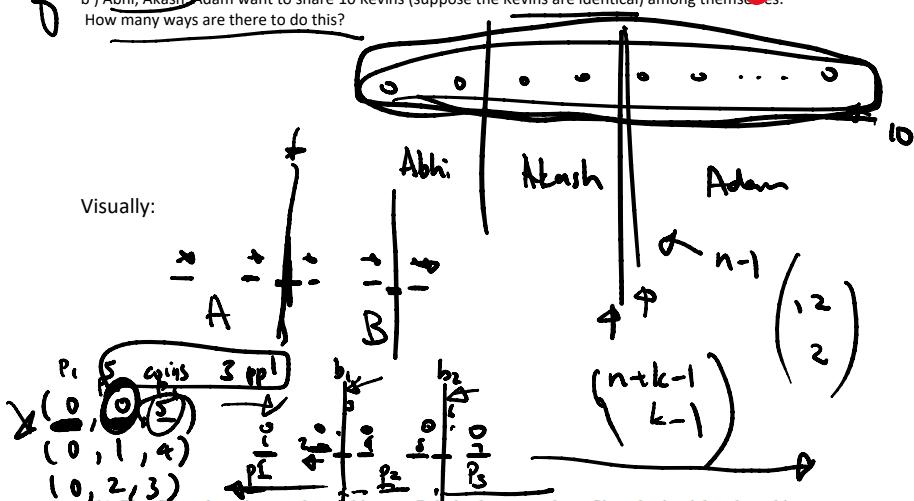
digit satisfying  
 strictly decreasing  
 property

3 cases: start of 9/8/

- 1: no wrap  
 2: ways to 'drop' a digit (6 places)  
 3: 2 steps or one 'double drop' in

9.65432  
 6 of them

- b') Abhi, Akash, Adam want to share 10 Kevins (suppose the Kevins are identical) among themselves.  
 How many ways are there to do this?



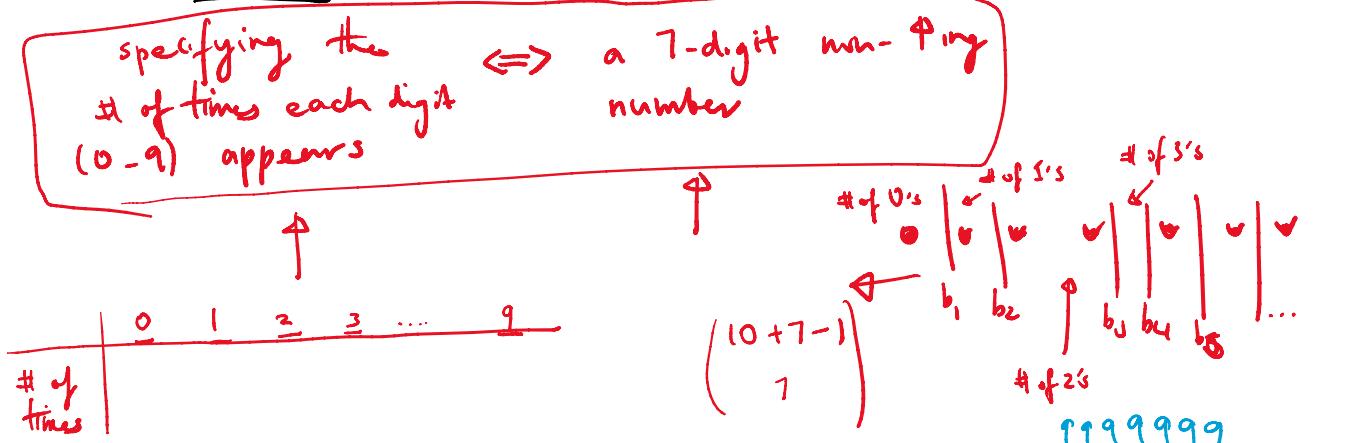
- (b) The Count is trying to choose his new 7-digit phone number. Since he is picky about his numbers, he wants it to have the property that the digits are non-increasing when read from left to right. For example, 9973220 is a valid phone number, but 9876543 is not. How many choices for a new phone number does he have?

Hint: for any choice of 7 numbers, how many permutations satisfy the property that the digits are non-increasing?

↓↓↓↑↑↑↑

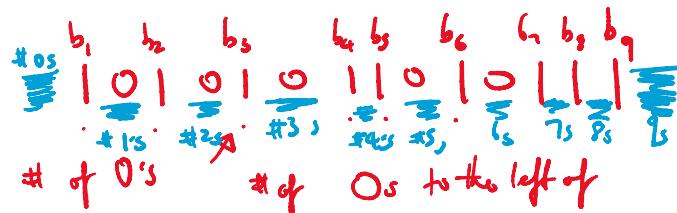
specifying the  $\rightarrow$  a 7-digit non-increasing

that the digits are non-increasing



stars & bars

5 coins & 3 ppl. Find # of ways to split.



•    ○    ○    ○    ○

this diagram  $\leftrightarrow$  one way of splitting the coins

$$\rightarrow \underline{\quad 0 \quad | \quad 1 \quad 0 \quad 0 \quad | \quad 0 \quad 0 \quad} \Leftrightarrow \underline{\frac{A}{1} \quad \frac{B}{2} \quad \frac{C}{2}}$$

$$\underline{\quad 0 \quad | \quad 1 \quad | \quad 0 \quad 0 \quad 0 \quad | \quad 0 \quad} \Leftrightarrow \underline{\frac{A}{1} \quad \frac{B}{0} \quad \frac{C}{4}}$$

Q: 2 coins, 51 people. Find # of ways to split the coins.