

# 自動機與形式語言 Homework 2

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(1)(2)

(a)		$b^*ab^*$
(b)		$a\Sigma^*a \cup a$
(c)		$\Sigma^*aba\Sigma^*$
(d)		$(ab \cup b)^*(\epsilon \cup a)$
(e)		$(ab \cup b)^*(\epsilon \cup a) \cup \Sigma^*bb$

(3)

(a)

Regular. Regex:  $b^*ab^*ab^*ab^*$

(b)

Regular. Regex:  $(b^*ab^*ab^*)^*$

(c)

Regular. Regex:  $(\Sigma\Sigma)^*$

(d)

Nonregular.

Suppose  $L$  is regular, and the pumping length is  $p$ . Take  $s = a^pba^p \in L$ . Clearly  $|s| = 2p + 1 \geq p$ , and pumping lemma says that there exists  $x, y, z$  such that  $s = xyz$ ,  $|y| > 0$ ,  $|xy| \leq p$ ,  $xy^iz \in L \forall i \geq 0$ . Thus  $y \neq \epsilon$  and  $y$  consists only of  $a$ 's.

If we examine  $xy^0z = xz$ , then there would be fewer  $a$ 's on the left side of  $b$  ( $|y| > 0$ ), implying that  $xz \notin L$ . Hence  $L$  is NOT regular.

(e)

Nonregular.

Suppose  $L$  is regular, and the pumping length is  $p$ . Take  $s = a^q \in L$ , where  $q \geq p$  is a chosen prime (possible since primes are unbounded). Clearly  $|s| = q \geq p$ , and pumping lemma says that there exists  $x, y, z$  such that  $s = xyz$ ,  $|y| > 0$ ,  $|xy| \leq p$ ,  $xy^iz \in L \forall i \geq 0$ . Thus  $y \neq \epsilon$  and  $y$  consists only of  $a$ 's.

Now let  $r = |x| + |z|$  and consider three cases:

- $r = 0$   
In this case,  $x = z = \epsilon$  and  $|y| = q$ . Examine  $xy^qz = y^q$ , whose length is  $q^2$ , not a prime ( $q$  is a prime so  $q > 1$ ). So  $xy^qz \notin L$ .
- $r = 1$   
Examine  $xy^0z = xz$ , whose length is  $|xz| = |x| + |z| = r = 1$ , not a prime. So  $xy^0z \notin L$ .
- $r > 1$   
Examine  $xy^rz$ , whose length is  $|x| + r|y| + |z| = (|y| + 1)r$ , not a prime ( $|y| > 0$  so  $|y| + 1 > 1$ ). So  $xy^rz \notin L$ .

In each case, pumping lemma fails, so  $L$  is NOT regular.

(4)

(a)

- Reflexivity: Fix one  $u \in \Sigma^*$ . Now  $\forall w \in \Sigma^*$ , since trivially  $uw = uw$ , we immediately have  $u \sim_L u$ .

- Symmetry:  $\forall u, v \in \Sigma^*$ ,  
 $u \sim_L v$   
 $\implies \forall w \in \Sigma^*, uw \in L \text{ iff } vw \in L$   
 $\implies \forall w \in \Sigma^*, vw \in L \text{ iff } uw \in L$   
 $\implies v \sim_L u.$
- Transitivity:  $\forall x, y, z \in \Sigma^*$ ,  
 $x \sim_L y \text{ and } y \sim_L z$   
 $\implies \forall w \in \Sigma^*, xw \in L \text{ iff } yw \in L \text{ and } yw \in L \text{ iff } zw \in L$   
 $\implies \forall w \in \Sigma^*, xw \in L \text{ iff } zw \in L$   
 $\implies x \sim_L z.$

(b)

Suppose  $u \sim_L v$ . Take  $w = \epsilon \in \Sigma^*$ , then  $uw = u$  and  $vw = v$ .

By definition we know  $u \in L$  iff  $v \in L$ , which implies either  $u, v \in L$  or  $u, v \notin L$ .

(c)

Assume  $\mathcal{A} = \langle \Sigma, Q, q_0, F, \delta \rangle$ .

Suppose  $u, v \in \Sigma^*$  with  $\mathcal{A}(u) = \mathcal{A}(v) = q$  for some  $q \in Q$ . Then we consider the two possible cases:

- $q \in F$   
In this case, both  $u$  and  $v$  are accepted by  $\mathcal{A}$ , so  $u, v \in L$ .
- $q \notin F$   
In this case, both  $u$  and  $v$  are rejected by  $\mathcal{A}$ , so  $u, v \notin L$ .

Thus  $u \in L$  iff  $v \in L$ , that is,  $u \sim_L v$ .

(d)

Let  $n = |Q|$ . Suppose  $\#(\sim_L) > n$ , then there are at least  $n + 1$  words  $s_1, s_2, \dots, s_{n+1}$  such that  $s_i \not\sim_L s_j, i \neq j$ . From (c) we can conclude that for  $u, v \in \Sigma^*$ , if  $u \not\sim_L v$ , then  $\mathcal{A}(u) \neq \mathcal{A}(v)$ . So  $s_1, \dots, s_{n+1}$  satisfies  $\mathcal{A}(s_i) \neq \mathcal{A}(s_j), i \neq j$ , which means that there are  $n + 1$  distinct states. But  $\mathcal{A}$  has only  $n$  states, a contradiction. So  $\#(\sim_L)$  is finite, and more specifically  $\#(\sim_L) \leq n = |Q|$ .

(5)

(a)

Let  $M = \{1, \dots, m\}$ . For each  $i \in M$ , take one arbitrary word  $s_i \in C_i$ . Then let  $S = \{i | s_i \in L\} \subseteq M$ . Now I shall show that  $L = \bigcup_{i \in S} C_i$ .

On one hand, pick any  $s \in L$ , clearly  $s \in C_j$  for some  $j \in M$ , since  $\sim_L$  partitions  $\Sigma^* \ni s$ . Also  $s_j \in C_j$ , hence  $s_j \sim_L s$ . By (4)(b) and  $s \in L$ , we know  $s_j \in L$ . So  $j \in S$  by definition of  $S$ , hence  $s \in C_j \subseteq \bigcup_{i \in S} C_i$ . Since the above holds for all  $s \in L$ , we have  $L \subseteq \bigcup_{i \in S} C_i$ .

On the other hand, pick any  $s \in \bigcup_{i \in S} C_i$ . Then  $s \in C_j$  for some  $j \in S$ . By  $j \in S$  and my definition of  $S$ ,  $s_j \in L$ . We already know  $s_j \in C_j$ , so again  $s_j \sim_L s$ . With  $s_j \in L$  and (4)(b),  $s \in L$ . Since the above holds for all  $s \in \bigcup_{i \in S} C_i$ , we have  $\bigcup_{i \in S} C_i \subseteq L$ .

Now rewrite  $S = \{i_1, \dots, i_k\}$  where  $k = |S|$ . Hence  $L = \bigcup_{i \in S} C_i = C_{i_1} \cup \dots \cup C_{i_k}$ . This completes the proof.

(b)

$\forall w_1, w_2 \in C_i, w_1 \sim_L w_2$ , which by definition guarantees that  $w_1x \in L$  iff  $w_2x \in L$  for all  $x \in \Sigma^*$ . This implies  $w_1ax \in L$  iff  $w_2ax \in L$  for all  $x \in \Sigma^*$ . Again by definition,  $w_1a \sim_L w_2a$ , and thus  $[w_1a] = [w_2a]$ . Because the above holds for any  $i$ , the proof is done.

(c)

**Claim** For  $s \in \Sigma^*, \mathcal{A}(s) = p_j$  if and only if  $s \in C_j, 1 \leq j \leq m$ .

- *Proof:* The proof is by induction on  $|s|$ .  
 Base case,  $|s| = 0$ : So  $s = \epsilon, \mathcal{A}(s) = q_0 = p_j$ , where  $s = \epsilon \in C_j$  as in the definition of  $\mathcal{A}$ .  
 Now suppose the claim holds for all  $s$  with  $|s| = n, n \geq 0$ . Then for any  $s$  with  $|s| = n + 1$ , say  $s = s_1s_2 \cdots s_{n+1}$ , we know the claim holds for  $s_1 \cdots s_n$ . So we can assume  $\mathcal{A}(s_1 \cdots s_n) = p_i$  and  $s_1 \cdots s_n \in C_i$  for some  $1 \leq i \leq m$ . As in the definition of  $\delta$ , take  $w = s_1 \cdots s_n \in C_i$  and  $a = s_{n+1}$ , then  $\delta(p_i, s_{n+1}) = p_j$  and  $[s] = [s_1 \cdots s_n s_{n+1}] = C_j$  for some  $1 \leq j \leq m$ . Thus  $\mathcal{A}(s) = \delta(\mathcal{A}(s_1 \cdots s_n), s_{n+1}) = \delta(p_i, s_{n+1}) = p_j$  and  $s \in C_j$ . This induction step completes the proof.

Now we can work on the two directions of the problem.

- $L(\mathcal{A}) \subseteq L$   
 For any  $s = s_1s_2 \cdots s_n \in L(\mathcal{A})$ , there is a run  $p_{j_0}s_1p_{j_1} \cdots s_{n-1}p_{j_{n-1}}s_np_{j_n}$  with  $\epsilon \in C_{j_0}$  and  $\mathcal{A}(s) = p_{j_n} \in F$ . With the above claim, we can conclude  $s \in C_{j_n}$ . And  $p_{j_n} \in F = \{p_{i_1}, \dots, p_{i_k}\}$ , so  $j_n \in \{i_1, \dots, i_k\}$ , hence  $s \in C_{i_1} \cup \cdots \cup C_{i_k} = L$ .
- $L \subseteq L(\mathcal{A})$   
 Suppose  $s \in L = C_{i_1} \cup \cdots \cup C_{i_k}$ . Then  $s \in C_i$  for some  $i \in \{i_1, \dots, i_k\}$ . With the claim,  $\mathcal{A}(s) = p_i \in \{p_{i_1}, \dots, p_{i_k}\} = F$ . Hence  $\mathcal{A}$  accepts  $s$ , or  $s \in L(\mathcal{A})$ .

This completes the proof.

(d)

If  $L$  is regular, then some DFA  $\mathcal{A}$  recognizes it, and thus  $\#(\sim_L) \leq |Q| < \infty$  by (4.d), where  $Q$  is the set of states of  $\mathcal{A}$ .

On the other hand, if  $\#(\sim_L) = m < \infty$ , then by the previous (5.abc) we can construct a DFA  $\mathcal{A}$  with  $m$  states such that  $L(\mathcal{A}) = L$ , implying  $L$  is regular.