# University of Waterloo



# PMATH 348 FIELDS AND GALOIS THEORY

Prof. Yu-Ru Liu • Winter 2018

# Contents

1	Introduction	
	1.1 Polynomial Equations	1
	1.2 Cubic Equations	1
	1.3 Quartic Equations	
	1.4 Quintic Equations	]
2	FIELD EXTENSIONS	•
	2.1 Degree of Extensions	3
	2.2 Algebraic and Transcendental Extensions	4
	2.3 Eisenstein's Criterion	7
3	Splitting Fields	(
	3.1 Existence of Splitting Fields	Ç
	3.2 Uniqueness of Splitting Fields	1(
	3.3 Degree of Splitting Fields	1.
4	FINITE FIELDS	12
	4.1 Prime Fields	12
	4.2 Formal Derivatives and Repeated Roots	12
	4.3 Finite Fields	14
	4.4 Separable Polynomials	15
5	THE SYLOW THEOREMS	1
6	Solvable Groups	2
7	Automorphism Groups	$2^{2}$
	7.1 Automorphism Groups	$2^{2}$
	7.2 Fixed Fields	25
8	SEPARABLE AND NORMAL EXTENSIONS	26
	8.1 Separable Extensions	26

#### 1 Introduction

#### 1.1 Polynomial Equations

Consider the quadratic equation. Let  $ax^2 + bx + c = 0$  with the leading coefficient  $a \neq 0$ , then we have that,

$$x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

We notice immediately that there are a couple of operations that are involved in this equation.

**Definition 1.1.1.** An expression involving only addition, subtraction, multiplication, division and radicals is called a <u>radical</u>. These operations are denoted by  $+, -, \times, \div$  and  $\sqrt[n]{\cdot}$ 

The natural question that is raised is the extension to higher dimensions.

#### 1.2 Cubic Equations

All cubic equations can be reduced to the following equation,

$$x^3 + px = q$$

for some  $p, q \in \mathbb{C}$ . A solution to the above equation is of the form

$$x = \sqrt[3]{\frac{q}{2} + \sqrt{\frac{p^3}{27} + \frac{q^2}{4}}} + \sqrt[3]{\frac{q}{2} - \sqrt{\frac{p^3}{27} + \frac{q^2}{4}}}$$
 (Cardano's Formula)

#### 1.3 Quartic Equations

A radical solution can be obtained by reducing a quartic to a cubic equation.

#### 1.4 Quintic Equations

- General radical solutions were attempted by Euler, Bézout and Lagrange without success
- In 1799, Ruffini gave a 516 page proof about the insolvability of quintic equations. His Proof was "almost right"
- In 1824, Abel filled the gap in Ruffini's proof.

We can now ask ourselves, given a quintic equation, is it solvable by radicals? This question seems to be too hard, so we ask, suppose that a radical solution exists. How does its associated quintic equation look like?

#### Two main steps in Galois Theory

1. Link a root of a quintic equation, say  $\alpha$  to  $\mathbb{Q}(\alpha)$ , the smallest field containing  $\mathbb{Q}$  and  $\alpha$ .  $\mathbb{Q}(\alpha)$  is a field. So it has more structures to be played with than  $\alpha$ ; however, our knowledge of  $\mathbb{Q}(\alpha)$  is still too little to answer the question. For example, we do not know how many intermediate fields, E between  $\mathbb{Q}$  and  $\mathbb{Q}(\alpha)$ . What we mean is how many fields E satisfy

$$\mathbb{Q} \subseteq E \subseteq \mathbb{Q}(\alpha)$$
.

2. Link the field  $\mathbb{Q}(\alpha)$  to a group. More precisely, we associate  $\mathbb{Q}(\alpha)/\mathbb{Q}$  to the group

$$\operatorname{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha)) = \left\{ \Psi : \mathbb{Q}(\alpha) \to \mathbb{Q}(\alpha) \text{ an isomorphism and } \Psi|_{\mathbb{Q}} = 1_{\mathbb{Q}} \right\}$$

It can be shown that if  $\alpha$  is "good", say algebraic,  $\operatorname{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$  is finite. If  $\alpha$  is "very good", say constructable, the order of  $\operatorname{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$  is in certain forms. Moreover, there is a one-to-one correspondence between the intermediate fields between  $\mathbb{Q}(\alpha)$  and  $\mathbb{Q}$  and the subgroups of  $\operatorname{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$ .

It follows that given some "good"  $\alpha$ , we have that the intermediate fields of  $\mathbb{Q}(\alpha)$  and  $\mathbb{Q}$  are indeed finitely many. This introduces Galois Theory; the interplay between fields and groups.

#### 2 Field Extensions

#### 2.1 Degree of Extensions

**Definition 2.1.1.** If E is a field containing another field F, we say E is a field extension of F, denoted by  $E_{/F}$ .

If  $E_{F}$  if a field extension, we can view E as a vector space over F.

- 1. Addition: For  $e_1, e_2 \in E$ ,  $e_1 + e_2 := e_1 + e_2$  (addition in E)
- 2. Scalar Multiplication: For  $c \in F, e \in E, c \cdot e := ce$  (multiplication in E)

**Definition 2.1.2.** The dimension of E over F (viewed as a vector space) called the <u>degree</u> of E over F, denoted by [E:F]. If  $[E:F] < \infty$ , we say E/F is a <u>finite extension</u>. Otherwise, E/F is an infinite extension.

**Example 2.1.3.**  $[\mathbb{C}:\mathbb{R}]=2$  is a finite extension since  $\mathbb{C}\cong\mathbb{R}+\mathbb{R}i$ , with  $i^2=-1$ .

**Example 2.1.4.** Let F be a field. Then [F(x):F] is  $\infty$  since  $\{1,x,x^2,\dots\}$  are linearly independent over F.

Remark.  $F[x] = \{f(x) = a_0 + a_1x + \dots + a_nx^n : a_i \in F, n \in \mathbb{N} \cup \{0\}\}$ , the polynomial ring of F. Remark.  $F(x) = \{\frac{f(x)}{g(x)} : f(x), g(x) \in F[x]\}$ , the fraction field of the polynomial ring of F.

**Theorem 1.** If E/K and K/F are finite field extensions, then E/F is a finite field extension and

$$[E:F] = [E:K][K:F]$$

In particular, K is an intermediate field of an field extension  $E_F$ , then  $[K:F] \mid [E:F]$ .

*Proof.* Suppose [E:K]=m and [K:F]=n. Let  $\{a_i,\ldots,a_m\}$  be a basis of E/K and  $\{b_1,\ldots,b_n\}$  be a basis of K/F. It suffices to show  $\{a_ib_j:1\leq i\leq m,1\leq j\leq n\}$  is a basis of E/F.

**Claim.** Every element of E is a linear combination of  $\{a_ib_j\}$  over F.

For  $e \in E$ , we have

$$e = \sum_{i=1}^{m} k_i a_i$$

with  $k_i \in K$ . Also, for each  $k_i \in K$ , we have

$$k_i = \sum_{j=1}^{n} c_{ij} b_j$$

with  $c_{ij} \in F$ . Thus,

$$e = \sum_{i=1}^{m} \sum_{j=1}^{n} c_{ij} b_j a_i.$$

**Claim.** The set  $\{a_ib_j: 1 \leq i \leq m, 1 \leq j \leq n\}$  is linearly independent over F.

Suppose that

$$\sum_{i=1}^{m} \sum_{j=1}^{n} c_{ij} b_j a_i = 0$$

with  $c_{ij} \in F$ . Since  $\sum_{j=1}^{n} c_{ij}b_j \in K$  and  $\{a_1, \ldots, a_m\}$  are independent over K. We have

$$\sum_{j=1}^{n} c_{ij}b_j = 0.$$

Since  $\{b_1, \ldots, b_n\}$  are independent over F, we have  $c_{ij} = 0$ .

Combining both claims, we see that  $\{a_ib_j, 1 \leq i \leq m, 1 \leq j \leq n\}$  is a basis of  $E_F$  and we have [E:F]=[E:K][K:F].

#### 2.2 Algebraic and Transcendental Extensions

**Definition 2.2.1.** Let  $E_F$  be a field extension and  $\alpha \in E$ . We say  $\alpha$  is <u>algebraic over F</u> if there exists  $f(x) \in F[x] \setminus \{0\}$  with  $f(\alpha) = 0$ . Otherwise,  $\alpha$  is <u>transcendental over F</u>.

**Example 2.2.2.**  $\frac{c}{d} \in \mathbb{Q}$ ,  $\sqrt{2} \sqrt[3]{7} + 2i$  are algebraic over  $\mathbb{Q}$  (see Assignment 1) but e (Hermite, 1873) and  $\pi$  (Lindemann, 1882) are transcendental over  $\mathbb{Q}$ .

Let  $E_{F}$  be a field extension and  $\alpha \in E$ . Let  $F[\alpha]$  denote the smallest subfield of E containing F and  $\alpha$ . For  $\alpha, \beta \in E$ , we define  $F[\alpha, \beta]$  and  $F(\alpha, \beta)$  similarly.

**Definition 2.2.3.** If  $F = F(\alpha)$  for some  $\alpha \in E$ , we say E is a <u>simple extension</u> of F.

**Definition 2.2.4.** Let  $R_1$  and  $R_2$  be two rings which contain a field F. A ring homomorphism  $\Psi: R_1 \to R_2$  is said to be a F-homomorphism if  $\Psi|_F = 1_F$ .

**Theorem 2.** Let  $E_{F}$  be a field extension and  $\alpha \in E$ . If  $\alpha$  is transcendental over F, then

$$F[\alpha] \cong F[x]$$
 and  $F(\alpha) \cong F(x)$ 

In particular,  $F[\alpha] \neq F(\alpha)$ .

*Remark.* In fact, if  $\alpha$  is algebraic, indeed  $F[\alpha] = F(\alpha)$ .

*Proof.* Let  $\Psi: F(x) \to F(\alpha)$  be the unique F-homomorphism defined by  $\Psi(x) = \alpha$ . Thus, for  $f(x), g(x) \in F[x], g(x) \neq 0$ ,

$$\Psi\left(\frac{f(x)}{g(x)}\right) = \frac{f(\alpha)}{g(\alpha)} \in F(\alpha).$$

Notice that this is indeed a well-defined map as  $g(x) \neq 0$  implies  $g(\alpha) \neq 0$  since  $\alpha$  is transcendental. Since F(x) is a field and  $\ker(\Psi)$  is an ideal of F(x), we have  $\ker(\Psi) = F(x)$  or trivial. Thus  $\Psi = 0$  or  $\Psi$  is injective. Since  $\Psi(x) = \alpha \neq 0$ ,  $\Psi$  must be injective. Also, since F(x) is a field,  $\operatorname{im}(\Psi)$  contains a field generated by F and  $\alpha$ , in other words,  $F(\alpha) \subseteq \operatorname{im}(\Psi)$ . Thus,  $\operatorname{im}(\Psi) = F(\alpha)$  and  $\Psi$  is surjective. It follows that  $\Psi$  is an isomorphism and we have

$$F[\alpha] \cong F[x]$$
 and  $F(\alpha) \cong F(x)$ .

**Theorem 3.** Let  $E_{/F}$  be a field extension and  $\alpha \in E$ . If  $\alpha$  is algebraic over F, there exists a unique monic irreducible polynomial  $p(x) \in F[x]$  such that there exists a F-homomorphism

$$\Psi: F[x]/\langle p(x)\rangle \to F[\alpha] \quad with \ \Psi(x) = \alpha$$

from which we conclude  $F[\alpha] \cong F(\alpha)$ .

*Proof.* Consider the unique F-homomorphism  $\Psi: F[x] \to F[\alpha]$  defined by  $\Psi(x) = \alpha$ . Thus, for  $f(x) \in F[x]$ , we have  $\Psi(f) = f(\alpha)$ . Since F[x] is a ring,  $\operatorname{im}(\Psi)$  contains a ring generated by F and  $\alpha$ , in other words,  $F[\alpha] \subseteq \operatorname{im}(\Psi)$ . Thus,  $\operatorname{im}(\Psi) = F[\alpha]$ . Let

$$I = \ker(\Psi) = \{ f(x) \in F[x] : f(\alpha) = 0 \}.$$

Since  $\alpha$  is algebraic,  $I \neq \{0\}$ . We have  $F[x]/I \cong \operatorname{im}(\Psi) = F[\alpha] \subseteq F(\alpha)$ , a subring of a field  $F(\alpha)$ . Thus, F[x]/I is an integral domain so I is a prime ideal. It follows that  $I = \langle p(x) \rangle$ , where p(x) is irreducible. If we assume p(x) is monic, then it is unique. It follows that

$$F[x]/\langle p(x)\rangle \cong F[\alpha].$$

Since p(x) is irreducible,  $F[x]/\langle p(x)\rangle$  is a field. So  $F[\alpha]$  is a field. It follows that  $F[\alpha] = F(\alpha)$ .  $\square$ 

**Definition 2.2.5.** If  $\alpha$  is algebraic over a field F, the unique monic polynomial irreducible polynomial p(x) in Theorem 3 is called the minimal polynomial of  $\alpha$  over F.

*Remark.* From the proof of Theorem 3, if  $f(x) \in F[x]$  with  $f(\alpha) = 0$ , then p(x)|f(x).

**Theorem 4.** Let  $E_{/F}$  be a field extension and  $\alpha \in E$ .

- 1.  $\alpha$  is transcendental over F if and only if  $[F(\alpha):F]$  is  $\infty$ .
- 2.  $\alpha$  is algebraic over F if and only if  $[F(\alpha):F] < \infty$ .

Moreover, if p(x) is the minimal polynomial of  $\alpha$  over F, we have  $[F[\alpha]: F] = \deg(p)$  and  $\{1, \alpha, \alpha^2, \ldots, \alpha^{\deg(p)-1}\}$  is a basis of  $F(\alpha)/F$ .

*Proof.* It suffices to prove the forward direction for each statement as the inverse direction implies the other statement.

- 1. Forwards: From Theorem 2, if  $\alpha$  is transcendental over F, then  $F(x) \cong F(\alpha)$ . In F(x), the elements  $\{1, x, x^2, \dots\}$  are linearly independent over F. Thus,  $[F(\alpha) : F]$  is  $\infty$ .
- 2. Forwards: From Theorem 3, if  $\alpha$  is algebraic over F,  $F[x]/\langle p(x)\rangle\cong F(x)$  with the map  $x\mapsto \alpha$ . Note that,

$$F[x]/\langle p(x)\rangle \cong \{r(x) \in F[x] : \deg(r) < \deg(p)\} \tag{deg}(0) = -\infty)$$

Thus,  $\{1, x, x^2, \dots, x^{\deg(p)-1}\}$  forms a basis for  $F[x]/\langle p(x)\rangle$ . It follows that  $[F(\alpha): F] = \deg(p)$  and  $\{1, \alpha, \alpha^2, \dots, \alpha^{\deg(p)-1}\}$  is a basis of  $F(\alpha)/F$ .

**Theorem 5.** Let  $E_{f}$  be a field extension. If  $[E:F] < \infty$ , then there exists  $\alpha_1, \ldots, \alpha_n \in E$  such that

$$F \subsetneq F(\alpha_1) \subsetneq \cdots \subsetneq F(\alpha_1, \dots, \alpha_n) = E.$$

*Proof.* We proceed with induction on [E:F]. If [E:F]=1, E=F. Suppose that [E:F]>1 and the statement holds for any field extension  $\widetilde{E}_{\widetilde{F}}$  with  $[\widetilde{E}:\widetilde{F}]<[E:F]$ . Let  $\alpha_1\in E_{\widetilde{F}}$ . By Theorem 1,

$$[E:F] = [E:F(\alpha_1)][F(\alpha_1):F].$$

Since  $[F(\alpha):F]>1$ , we have  $[E:F]>[E:F(\alpha_1)]$ . By induction hypothesis, there exists  $\alpha_2,\ldots,\alpha_n$  such that

$$F(\alpha_1) \subseteq \cdots \subseteq F(\alpha_1, \dots, \alpha_n) = E$$
.

Thus, we have

$$F \subseteq F(\alpha_1) \subseteq \cdots \subseteq F(\alpha_1, \dots, \alpha_n) = E$$
.

as desired.  $\Box$ 

**Definition 2.2.6.** A field extension  $E_{/F}$  is <u>algebraic</u> if every  $\alpha \in E$  is algebraic over F. Otherwise, it is transcendental.

**Theorem 6.** Let  $E_F$  be a field extension. If  $[E:F] < \infty$ , then  $E_F$  is algebraic.

*Proof.* Suppose [E:F]=n. For  $\alpha \in E$ , the elements  $\{1,\alpha,\ldots,\alpha^n\}$  are not linearly independent over F. Thus, there exists  $c_i \in F$  for all  $i=0,\ldots,n$ , not all 0, such that

$$\sum_{i=0}^{n} c_i \alpha^i = 0$$

Thus,  $\alpha$  is a root of the polynomial  $\sum_{i=0}^{n} c_i \alpha^i \in F[x]$  so it is algebraic over F.

**Theorem 7.** Let  $E_{/F}$  be a field extension. Define,

$$L \coloneqq \{\alpha \in E : [F(\alpha) : F] < \infty\}.$$

Then L is an intermediate field of  $E_{/F}$ .

*Proof.* If  $\alpha, \beta \in L$  with  $\beta \neq 0$ , we need to show that  $\alpha \pm \beta, \alpha\beta, \frac{\alpha}{\beta} \in L$ . By definition of L, we have  $[F(\alpha)] < \infty$  and  $[F(\beta) : F] < \infty$ . Consider the field  $F(\alpha, \beta)$ . Since the minimal polynomial of  $\alpha$  over  $F(\beta)$  divides the minimal polynomial of  $\alpha$  over F (the minimal polynomial  $\alpha$  over F, say  $p(x) \in F[x]$ , is also a polynomial over  $F(\beta)$ . In otherwords,  $p(x) \in F(\beta)[x]$  such that  $p(\alpha) = 0$ ), we have

$$[F(\alpha, \beta) : F(\beta)] \le [F(\alpha) : F].$$

Combining this with Theorem 1, we have

$$[F(\alpha, \beta) : F] = [F(\alpha, \beta) : F(\beta)][F(\beta) : F]$$
  
 
$$\leq [F(\alpha) : F][F(\beta) : F]$$

Since  $\alpha + \beta \in F(\alpha, \beta)$ , it follows that

$$[F(\alpha + \beta) : F] \le [F(\alpha, \beta) : F] < \infty,$$

so  $a+b\in L$ . We can follow a similar line to show  $\alpha-\beta,\alpha\beta,\frac{\alpha}{\beta}\in L$ . So L is a field.

**Definition 2.2.7.** Let  $E_{/F}$  be a field extension. The set,

$$L := \{ \alpha \in E : [F(\alpha) : F] < \infty \}$$

is called the algebraic closure of F in E.

**Definition 2.2.8.** A field F is <u>algebraically closed</u> if for any algebraic extension  $E_{F}$ , we have E = F.

**Example 2.2.9.** By the Fundamental Theorem of Algebra,  $\mathbb{C}$  is algebraically closed.

#### 2.3 Eisenstein's Criterion

**Definition 2.3.1.** Let  $f(x) = a_n x^n + \dots + a_1 x + a_0 \in \mathbb{Z}[x]$ . We say f(x) is <u>primitive</u> if  $a_n > 0$  and  $gcd(a_0, \dots, a_n) = 1$ .

**Lemma.** Every non-zero polynomial  $f(x) \in \mathbb{Q}[x]$  can be written uniquely as a product  $F(x) = cf_0(x)$  where  $c \in \mathbb{Q}$  and  $f_0(x)$  is a primitive polynomial on  $\mathbb{Z}[x]$ . Moreover,  $f(x) \in \mathbb{Z}[x]$  if and only if  $c \in \mathbb{Z}$ . If so, then |c| is the greatest common divisor of the coefficients of f(x) and the sign of c is the sign of the leading coefficient of f(x).

**Theorem** (Gauss' Lemma for  $\mathbb{Z}[x]$ ). Let  $f(x) \in \mathbb{Z}[x]$  be non-constant. If f(x) is irreducible in  $\mathbb{Z}[x]$ , then it is irreducible in  $\mathbb{Q}[x]$ .

**Example 2.3.2.** The converse of Section 2.3 is not true. Consider the polynomial 2x + 8 is irreducible in  $\mathbb{Q}[x]$ , but 2x + 8 = 2(x + 4) is reducible in  $\mathbb{Z}[x]$ .

Remark.  $f(x) \in \mathbb{Z}[x]$  is irreducible in  $\mathbb{Z}[x]$  if and only if either

- 1. f(x) is a prime integer
- 2. f(x) is a primitive polynomial which is irreducible in  $\mathbb{Q}[x]$

**Theorem 8** (Eisenstein's Criterion for  $\mathbb{Z}[x]$ ). Let  $f(x) = a_n x^n + \cdots + a_1 x + a_0 \in \mathbb{Z}[x]$  and let p be a prime integer. Suppose that  $p \nmid a_n$ ,  $p \mid a_i$  for all  $0 \le i \le (n-1)$  and  $p^2 \nmid a_0$ , then f(x) is irreducible in  $\mathbb{Q}[x]$ . In particular, if f(x) is primitive, then it is irreducible in  $\mathbb{Z}[x]$ .

*Proof.* Consider the map  $f: \mathbb{Z}[x] \to \mathbb{Z}_p[x]$  defined by

$$f(x) \mapsto \overline{f}(x) = \overline{a}_n x^n + \dots + \overline{a}_1 x + \overline{a}_0$$

where  $\bar{a}_i = a_i \pmod{p} \in \mathbb{Z}_p$ . Since  $p \nmid a_n$  and  $p \mid a_i$  for all  $0 \leq i(n-1)$ , we have  $\bar{f}(x) = \bar{a}_n x^n$  with  $\bar{a}_n \neq 0$ . If f(x) is reducible in  $\mathbb{Q}[x]$ , then it can be factored in  $\mathbb{Z}[x]$  into polynomials of positive degree, say f(x) = g(x)h(x) with  $g(x), h(x) \in \mathbb{Z}[x]$  and  $\deg(g), \deg(h) \geq 1$ . It follows that  $\bar{a}_n x^n = \bar{g}(x)\bar{h}(x)$  from which we see that  $\bar{g}(x)$  and  $\bar{h}(x)$  have no constant terms in  $\mathbb{Z}_p[x]$ , as  $\mathbb{Z}_p[x]$  is a UFD. Since the constants of both g(x) and h(x) are divisible by p, this implies that the constant of f(x) is divisible by  $p^2$ , which leads to a contradiction. So, f(x) is irreducible in  $\mathbb{Q}[x]$ 

**Example 2.3.3.** The polynomial  $2x^7 + 3x^4 + 6x^2 + 12$  is irreducible in  $\mathbb{Q}[x]$  by applying Eisenstein's Criterion with p = 3.

**Example 2.3.4.** Consider the  $n^{\text{th}}$  cyclotomic polynomial defined by

$$\Phi_n(x) = \sum_{\substack{1 \le k \le n \\ \gcd(k,n)=1}} \left( x - e^{2i\pi \frac{k}{n}} \right).$$

If n = p where p is a prime number, then  $\xi_p = e^{\frac{2i\pi}{p}} = \cos \frac{2\pi}{p} + i \sin \frac{2\pi}{p}$  (the  $p^{\text{th}}$  root of 1) is a root of the  $p^{\text{th}}$  cyclotomic polynomial. Notice here, since p is co-prime with all  $1 \le k \le p$ , we have

$$\Phi_p(x) = x^{p-i} + x^{p-2} + \dots + x + 1 = \frac{x^p - 1}{x - 1}$$

Eisenstein's Criterion does not imply the irreducibility of  $\Phi_p(x)$  immediately; however, consider

$$\Phi_p(x+1) = \frac{(x+1)^p - 1}{x} = x^{p-1} + \binom{p}{1}x^{p-2} + \dots + \binom{p}{p-2}x + \binom{p}{p-1} \in \mathbb{Z}[x]$$

with the Binomial Theorem. Since p is prime,  $p \nmid 1$ ,  $p \mid \binom{p}{i}, \forall i \in \{1, \dots, p-1\}$  and  $p^2 \nmid \binom{p}{p-1}$ . Here, Eisenstein's Criterion gives that  $\Phi_p(x+1)$  is irreducible in  $\mathbb{Q}[x]$ , but if  $\Phi_p(x) = g(x)f(x)$ , then  $\Phi_p(x+1) = g(x+1)h(x+1)$  gives a factorization for  $\Phi_p(x+1)$ , so  $\Phi_p(x)$  must be irreducible in  $\mathbb{Q}[x]$  as well. Furthermore, since  $\Phi_p(x)$  is primitive,  $\Phi_p(x)$  is also irreducible in  $\mathbb{Z}[x]$ .

**Example 2.3.5.** Let p be prime and  $\xi_p = e^{\frac{2i\pi}{p}}$ . Since it is a root of  $\Phi_p(x)$ , which is irreducible, by Theorem 4,

$$[\mathbb{Q}(\xi_p):\mathbb{Q}] = \deg(\Phi_p(x)) = p - 1.$$

The field  $\mathbb{Q}(\xi_p)$  is called the  $p^{\text{th}}$  cyclotomic extension on  $\mathbb{Q}$ .

**Example 2.3.6.** Let  $\overline{\mathbb{Q}}$  be the algebraic closure of  $\mathbb{Q}$  in  $\mathbb{C}$ . Since  $\xi_p \in \mathbb{Q}$ , we have

$$[\overline{\mathbb{Q}}:\mathbb{Q}] \ge [\mathbb{Q}(\xi_p):\mathbb{Q}] = p-1.$$

Since  $p \to \infty$ , we have  $[\overline{\mathbb{Q}} : \mathbb{Q}]$  is  $\infty$ . We have seen in Theorem 6 that if  $E_F$  is finite, then  $E_F$  is algebraic. However, this example shows that the converse is false.

Now, let R be any unique factorization domain and let F be it's fraction field. Then R[x] is a subring of F[x].

**Lemma** (Gauss' Lemma). Let R be a UFD with the fraction field F. Let  $f(x) \in R[x]$  be non-constant. If f(x) is irreducible in R[x], then it is irreducible in F[x].

**Theorem 9** (Eisenstein's Criterion). Let R be a UFD with the fraction field F. Let  $\ell$  be an irreducible element of R. If  $f(x) = a_n x^n + \cdots + a_1 x + a_0 \in R[x]$  with  $n \ge 1$ ,  $\ell \nmid a_n$ ,  $\ell \mid a_i$ , for all  $0 \le i \ne (n-1)$  and  $\ell^2 \nmid a_0$ , then f(x) is irreducible in F[x].

# 3 Splitting Fields

**Definition 3.0.1.** Let  $E_F$  be a field extension. We say  $f(x) \in R[x]$  splits over E if E contains all roots of f(x). In other words, f(x) is a product of linear factors in E[x].

**Definition 3.0.2.** Let  $\widetilde{E}/_F$ ,  $f(x) \in F[x]$  and  $F \subseteq E \subseteq \widetilde{E}$ . If

- 1. f(x) splits over E
- 2. there is no proper subfield of E such that f(x) splits over E,

Then we say E is a splitting field of  $f(x) \in F[x]$  in  $\widetilde{E}$ .

#### 3.1 Existence of Splitting Fields

**Theorem 10.** Let  $p(x) \in F[x]$  be irreducible. The quotient ring  $F[x]/\langle p(x)\rangle$  is a field containing F and a root of p(x).

*Proof.* Since p(x) is irreducible, the ideal  $I = \langle p(x) \rangle$  is maximal. Thus, E = F[x]/I is a field. Consider the map

$$\Psi: F \to E, \quad a \mapsto a + I$$

Since F is a field and  $\Psi \neq 0$ ,  $\Psi$  is injective. Thus, be identifying F with  $\Psi(F)$ , F is a subfield of E. Claim. Let  $\alpha = x + I \in E$ . Then  $\alpha$  is a root of p(x).

Notice,

$$p(x) = a_0 + a_1 x + \dots + a_n x^n$$
  
=  $(a_0 + I) + (a_1 + I)x + \dots + (a_n + I)x^n$   
 $\in E[x].$ 

Thus, we have

$$p(\alpha) = (a_0 + I) + (a_1 + I)\alpha + \dots + (a_n + I)\alpha^n$$

$$= (a_0 + I) + (a_1 + I)(x + I) + \dots + (a_n + I)(x + I)^n$$

$$= (a_0 + a_1x + \dots + a_nx^n) + I \qquad (\text{since } (x + I)^i = x^i + I)$$

$$= p(x) + I$$

$$= 0 + I$$

$$= I$$

Thus,  $\alpha = x + I \in E$  is a root of p(x).

**Theorem 11** (Kronecker). Let  $f(x) \in F[x]$ . There exists a field E containing F such that f(x) splits over E.

Proof. We proceed with induction on  $\deg(f)$ . If  $\deg(f) = 1$ , let E = F and we are done. Suppose  $\deg(f) > 1$  and the statement holds for all g(x) with  $\deg(g) > \deg(f)$  (g(x) need not to be in F[x]). We write f(x) = p(x)h(x), where  $p(x), h(x) \in F[x]$  and p(x) is irreducible. By Theorem 10, there exists a field K such that  $F \subseteq K$  and K containing a root of p(x), say  $\alpha$ . Thus,  $p(x) = (x - \alpha)q(x)$  and  $f(x) = (x - \alpha)g(x)h(x)$  where  $q(x) \in K[x]$ . Since  $\deg(hq) < \deg(f)$ , by induction, there exists a field E containing K over which h(x)q(x) splits. It follows that f(x) splits over E.

**Theorem 12.** Every  $f(x) \in F[x]$  has a splitting field, which is a finite extension of F.

Proof. For  $f(x) \in F[x]$ , by Theorem 11, there exists a field extension  $E_{/F}$  over which f(x) splits, say  $\alpha_1, \alpha_2, \ldots, \alpha_n$  are roots of  $f(x) \in E$ . Consider  $F(\alpha_1, \ldots, \alpha_n)$ . The field contains all the roots of f(x) and f(x) does not split over any proper subfield of it. Thus,  $F(\alpha_1, \ldots, \alpha_n)$  is the splitting field of f(x) in E. In addition, since  $\alpha_i$  are all algebraic,  $F(\alpha_1, \ldots, \alpha_n)_{/F}$  is finite.

### 3.2 Uniqueness of Splitting Fields

We have seen from Theorem 12 that for a field extension  $\widetilde{E}_{F}$ , a splitting field of  $f(x) \in F[x]$  in E is of the form  $F(\alpha_1, \ldots, \alpha_n)$  where  $\alpha_i$  are roots of f(x) in  $\widetilde{E}$ . Thus, it is unique within  $\widetilde{E}$ .

If we change  $E_{/F}$  to a different field extension, sat  $E_{/F}$ , what is the relation between the splitting field of f(x) in E and the one in E'?

**Definition 3.2.1.** Let  $\phi: R \to R'$  be a ring homomorphism, and  $\Phi: R[x] \to R'[x]$  be the unique ring homomorphism satisfying  $\Phi|_R = \emptyset$  and  $\Phi(x) = x$ . In this case, we say  $\underline{\Phi}$  extends  $\underline{\phi}$ . More generally, if  $R \subseteq S$ ,  $R' \subseteq S'$ , and  $\Phi: S \to S'$  is a ring homomorphism with  $\overline{\Phi}|_R = \emptyset$ , we say  $\underline{\Phi}$  extends  $\underline{\phi}$ .

**Theorem 13.** Let  $\phi: F \to F'$  be an isomorphism of fields and  $f(x) \in F[x]$ . Let  $\Phi: F[x] \to F'[x]$  be the unique ring homomorphism which extends  $\phi$ . Let  $f'(x) = \Phi(f(x))$  and  $E_{F}$  and  $E'_{F'}$  be splitting fields of f(x) and f'(x) respectively. Then there exists an isomorphism  $\Psi: E \to E'$ .

Proof. We proceed with induction on [E:F]. If [E:F]=1, then f(x) is a product of linear factors in F[x], and so is f'(x) in F'[x]. Thus, E=F and E'=F' so take  $\Psi=\phi$  and we are done. Now, suppose [E:F]<1 and the statement is true for all field extensions  $\widetilde{E}_{\widetilde{F}}$  with  $[\widetilde{E}:\widetilde{F}]<[E:F]$ . Let  $p(x)\in F[x]$  be an irreducible factor of f(x) with  $\deg(p)>1$  and let  $p'(x)=\Phi(f(x))$  (such p(x) exists as if all irreducible factors of f(x) are of degree 1, then [E:F]=1). Let  $\alpha\in E$  and  $\alpha'\in E'$  be roots of p(x) and p'(x) respectively. From Theorem 3, we have an F-isomorphism,

$$F(\alpha) \cong F[x]/\langle p(x)\rangle, \quad \alpha \mapsto x + \langle p(x)\rangle$$

Similarly, there is an F'-isomorphism,

$$F'(\alpha) \cong F'[x]/\langle p'(x)\rangle, \quad \alpha \mapsto x + \langle p'(x)\rangle$$

Consider the isomorphism  $\Phi: F[x] \to F'[x]$  which extends  $\phi$ . Since  $p'(x) = \Phi(f(x))$ , there exists a field isomorphism,

$$\widetilde{\Phi}: F[x]/\langle p(x)\rangle \to F'[x]/\langle p'(x)\rangle, \quad x + \langle p(x)\rangle \mapsto x + \langle p'(x)\rangle$$

which extends  $\phi$ . It follows that there exists a field isomorphism.

$$\widetilde{\phi}: F(\alpha) \to F'(\alpha), \quad \alpha \mapsto \alpha'$$

which extends  $\phi$ . Note that since  $\deg(p) > 2$ ,  $[E:F[\alpha]] < [E:F]$ . Since E (respectively E') is the splitting field of  $f(x) \in F(\alpha)[x]$  (respectively  $f(x) \in F(\alpha)[x]$ ) over  $F(\alpha)$  (respectively  $F'(\alpha')$ ), by induction, there exists  $\Psi: E \to E'$  which extends  $\widetilde{\phi}$ . Thus,  $\Psi$  extends  $\phi$ .

**Corollary 14.** Any two splitting fields of  $f(x) \in F[x]$  over F are F-isomorphic. Thus, we say "the" splitting field of f(x) over F.

*Proof.* Let  $\phi: F \to F$  be the identity map and apply Theorem 13

#### 3.3 Degree of Splitting Fields

**Theorem 15.** If  $E_{f}$  is the splitting field of f(x), then  $[E:F] \mid \deg(f)!$ .

*Proof.* We proceed by induction on  $\deg(f)$ . If  $\deg(f) = 1$ , choose E = F and we have  $[E:F] \mid 1$ . Suppose  $\deg(f) < 1$  and the statement holds for all g(x) with  $\deg(g) < \deg(f)$ . We break this down into two cases.

Case 1: If  $f(x) \in F[x]$  is irreducible and  $\alpha \in E$  is a root of f(x), by Theorem 13,

$$F(\alpha) \cong F[x]/\langle f(x) \rangle$$
 and  $[F(\alpha) : F] = \deg(f) = n$ .

We write  $f(x) = (x - \alpha)g(x) \in F(\alpha)[x]$  with  $g(x) \in F(\alpha)[x]$ . Since E is the splitting field of g(x) over  $F[\alpha]$  and  $\deg(g) = n$ , by induction hypothesis,  $[E : F(\alpha)] \mid (n-1)!$ . Since  $[E : F] = [E : F(\alpha)][F(\alpha) : F]$ , it follows that  $[E : F] \mid n!$ .

<u>Case 2</u>: If f(x) is not irreducible, write f(x) = g(x)h(x) with  $g(x), h(x) \in F[x]$ ,  $\deg(g) = m, \deg(h) = k, 1 \le m, k, < n$  and m + k = n. Let K be the splitting field of g(x) over F. Since  $\deg(g) = m$ , by induction,  $[K : F] \mid m!$ . Since E is the splitting field of h(x) over K and  $\deg(h) = k$ , by induction hypothesis,  $[E : K] \mid k!$ . Thus,  $[E : F] \mid m! k!$ , which is a factor of n! as

$$\frac{n!}{m!\,k!} = \binom{n}{m} \in \mathbb{Z}$$

#### 4 Finite Fields

#### 4.1 Prime Fields

**Definition 4.1.1.** The prime field of a field F is the intersection of all subfields of F.

**Theorem 16.** If F is a field, then its prime field is isomorphic to either  $\mathbb{Q}$  or  $\mathbb{Z}_p := \mathbb{Z}/p\mathbb{Z}$  for some prime p.

*Proof.* Consider the ring map  $\chi: \mathbb{Z} \to F$  defined by

$$\chi(n) = n \cdot 1 = \underbrace{1 + \dots + 1}_{n \text{ times}}$$

Let  $I = \ker(\chi)$ , the kernel of  $\chi$ . Since  $\mathbb{Z}/I \cong \operatorname{im}(\chi)$ , a subring of F, it is an integral domain. Thus, I is a prime ideal. We break this down to two cases.

<u>Case 1</u>: If  $I = \langle 0 \rangle$ , then  $\mathbb{Z} \subseteq F$ . Since F is a field,  $\mathbb{Q} = \operatorname{Frac}(\mathbb{Z}) \subseteq F$ .

Case 2: If  $I = \langle p \rangle$ , then

$$\mathbb{Z}_p = \mathbb{Z}_{p\mathbb{Z}} \cong \operatorname{im} \chi \subseteq F$$

**Definition 4.1.2.** Given a field F, if its prime field is isomorphic to  $\mathbb{Q}$  (respectively  $\mathbb{Z}_p$ ), we say F has characteristic 0 (respectively characteristic p) denoted by ch(F) = 0 (respectively ch(F) = p).

Remark. Note that if ch(F) = p, for  $a, b \in F$ ,

$$(a+b)^p = a^p + b^p.$$

Using this property, the following proposition follows.

**Proposition 17.** Let F be a field with ch(F) = p and let  $n \in \mathbb{N}$ . Then, the map  $\phi : F \to F$  given by  $u \mapsto u^{p^n}$  is an injective  $\mathbb{Z}_p$ -homomorphism of fields. If F is finite, then  $\phi$  is a  $\mathbb{Z}_p$ -isomorphism of F.

#### 4.2 Formal Derivatives and Repeated Roots

**Definition 4.2.1.** If F is a field, the monomials  $\{1, x, x^2, \dots\}$  for a F-basis of F[x]. Define the linear operator

$$D: F[x] \to F[x]$$

by D(1) = 0 and  $D(x^i) = ix^{i-1}$  for all  $i \in \mathbb{N}$ . Thus for

$$f(x) = a_0 + a_1 x + \dots + a_n x^n, a_i \in F$$

we have

$$D(f)(x) = a_1 + 2a_2x + \dots + na_nx^{n-1}.$$

Note that

- 1. *D* is linear: D(f + g) = D(f) + D(g)
- 2. D respects the Leibniz Rule: D(fg) = (D(f))g + f(D(g)).

We call D(f) = f' the <u>formal derivative</u> of f.

**Theorem 18.** Let F be a field and  $f(x) \in F[x]$ .

- 1. If ch(F) = 0, then f'(x) = 0 if and only if f(x) = c for some  $c \in F$ .
- 2. If ch(F) = p, then f'(x) = 0 if and only if  $f(x) = g(x^p)$  for some  $g(x) \in F[x]$

Proof.

- 1. Backwards is trivial. Suppose we have  $f(x) = a_0 + a_1 x + \cdots + a_n x^n$ , then  $f'(x) = a_1 + 2a_2 x + \cdots + na_n x^{n-1} = 0$ . This implies that  $ia_i = 0$  for all  $1 \le i \le n$ , Since  $\operatorname{ch}(F) = 0$ ,  $i \ne 0$ . Thus,  $a_i = 0$  for all  $i \ge 1$ . This,  $f(x) = a_0 \in F$ .
- 2. Forwards. For  $f(x) = a_0 + a_1x + \cdots + a_nx^n$ ,  $f'(x) = a_1 + 2a_2x + \cdots + na_nx^{x-1} = 0$  implies that  $ia_i = 0$  for all  $1 \le i \le n$ . Since ch(F) = p,  $ia_i = 0$  implies that  $ia_i = 0$  implies that  $a_i = 0$  unless  $p \mid i$ . Thus,

$$f(x) = a_0 + a_p x^p + \dots + a_{mp} x^{mp} = g(x^p)$$

where  $g(x) = a_0 + a_p + \dots + a_{mp}x^m \in F[x]$ .

<u>Backwards</u>. Write  $g(x) = b_0 + b_1 x + \dots + b_m x^m \in F[x]$ . Then,

$$f(x) = g(x^p) = b_0 + b_1 x^p + \dots + b_m x^{mp}.$$

Thus,  $f'(x) = pb_1x^{p-1} + 2pb_2x^{2p-1} + \dots + mpb_m^{pm-1}$ . Since ch(F) = p, we have f'(x) = 0.

**Definition 4.2.2.** Let  $E_F$  is a field extension and  $f(x) \in F[x]$ . We say  $\alpha \in E$  is a repeated root of f(x) if  $f(x) = (x - \alpha)^2 g(x)$  for some  $g(x) \in E[x]$ .

**Theorem 19.** Let  $E_{f}$  is a field extension and  $f(x) \in F[x]$ . Then  $\alpha$  is a repeated root of f(x) if and only if  $(x - \alpha)$  divides both f and f'. In other words,  $(x - \alpha) \mid \gcd(f, f')$ .

*Proof.* Forwards. Suppose  $f(x) = (x - \alpha)^2 g(x)$ . Then,

$$f'(x) = 2(x - \alpha)g(x) + (x - \alpha)^2 g'(x)$$
  
=  $(x - a)(2g(x) + (x - \alpha)g'(x))$ 

Thus,  $(x - \alpha)$  divides both f and f'.

Backwards. Suppose  $(x-\alpha)$  divides both f and f'. We write  $f(x)=(x-\alpha)h(x)$  where  $h(x)\in E[x]$ . Then,  $f'(x)=h(x)+(x-\alpha)h'(x)$ . Since  $f'(\alpha)=0$ , we have  $h(\alpha)=0$ . Thus,  $(x-\alpha)$  is a factor of h(x) and  $f(x)=(x-\alpha)^2g(x)$  for some  $g(x)\in E[x]$ .

**Corollary 20.** Let F be a field and  $f(x) \in F[x]$ . Then f(x) has no repeated roots if and only if gcd(f, f') = 1.

#### 4.3 Finite Fields

**Proposition 21.** If F is a finite field, then  $ch(F) = p \neq 0$  for some prime p and  $|F| = p^n$  for some  $n \in \mathbb{N}$ .

*Proof.* Since F is a finite field, by Theorem 16, its prime field is  $\mathbb{Z}_p$ . Since F is a finite dimensional vector space over  $\mathbb{Z}_p$ , we have

$$F \cong \underbrace{\mathbb{Z}_p \times \cdots \times \mathbb{Z}_p}_{n \text{ summands}}.$$

Thus  $|F| = p^n$ .

**Theorem 22.** Let F be a field and  $F^* = F \setminus \{0\}$ , multiplicative group of nonzero elements of F. Let G be a finite subgroup of  $F^*$ , then G is a cyclic group. In particular, if F is a finite field, then  $F^*$  is a cyclic group.

*Proof.* If  $G = \langle 1 \rangle$ , the result follows immediately. Otherwise, since G is a finite abelian group,

$$G \cong \mathbb{Z}/_{n_1\mathbb{Z}} \times \cdots \times \mathbb{Z}/_{n_r\mathbb{Z}}$$

where  $n_i > 1$  and  $n_1 \mid \cdots \mid n_r$ . Since  $n_r \left( \mathbb{Z}/_{n_1 \mathbb{Z}} \times \cdots \times \mathbb{Z}/_{n_r \mathbb{Z}} \right) = 0$ , it follows that every  $u \in G$  is a root of  $x^{n_r} - 1 \in F[x]$ . Since the polynomial has at most  $n_r$  distinct roots in F, we have r = 1 and  $G \cong \mathbb{Z}/_{n_r \mathbb{Z}}$ .

By taking u to be a generator of the multiplicative group  $F^*$ , we have the following corollary.

**Corollary 23.** f F is a finite field, then F is a simple extension of  $\mathbb{Z}_p$ . In other words,  $F = \mathbb{Z}_p(u)$  for some  $u \in F$ .

**Proposition 24.** Let p be prime and  $n \in \mathbb{N}$ . Then F is a finite field with  $|F| = p^n$  if and only if F is a splitting field of  $x^{p^n} - x$  over  $\mathbb{Z}_p$ .

*Proof.* Forwards: If  $|F| = p^n$ , then  $|F^*| = p^n - 1$ . Thus, every  $u \in F$  satisfies  $u^{p^n - 1} = 1$  and thus is a root of  $x(x^{p^n - 1} - 1) = x^{p^n} - x \in \mathbb{Z}_p[x]$ . Since  $0 \in F$  is also a root of  $x^{p^n} - x$ , the polynomial  $x^{p^n} - x$  has distinct  $p^n$  distinct roots in F. In other words, it splits over F. Thus F is a splitting field of  $x^{p^n} - x$  over  $\mathbb{Z}_p$ .

<u>Backwards</u>: Suppose  $\hat{F}$  is a splitting field of  $f(x) = x^{p^n} - x$  over  $\mathbb{Z}_p$ . Since  $\operatorname{ch}(F) = p$ , we have

$$f'(x) = p^n x^{p^n - 1} - 1$$
$$\equiv -1 \pmod{p}.$$

Now, since  $\gcd(f, f') = 1$ , by Corollary 20, f(x) has  $p^n$  distinct roots in F. Let E be the set of the roots of f(x) in F. Let  $\varphi : F \to F$  be given by  $u \mapsto u^{p^n}$ . For  $u \in F$ , u is a root of f(x) if and only if  $\varphi(u) = u$ . Thus, the set E is a subfield of F of order  $p^n$  which contains  $\mathbb{Z}_p$ . Since F is a splitting field, it is generated over  $\mathbb{Z}_p$  by the roots of f(x), in other words, the elements of E. Thus,  $F = \mathbb{Z}_p(E) = E$ .

As a direct consequence of Proposition 24 and Corollary 14, we have the following corollary.

Corollary 25 (E. H. Moore). Let p be a prime and  $n \in \mathbb{N}$ . Then any two finite field of order  $p^n$  are isomorphic.

#### 4.4 Separable Polynomials

**Definition 4.4.1.** Let F be a field and  $f(x) \in F[x]$ ,  $f \neq 0$ . If f(x) is irreducible, we say f(x) is separable over F if it has no repeated root in any extension E of F. In the general case, we say  $\overline{f(x)}$  is separable over F if each irreducible factor of f is separable over F.

**Example 4.4.2.**  $f(x) = (x-2)^2$  is separable over  $\mathbb{Q}$ .

**Example 4.4.3.** Consider the polynomial  $f(x) = x^n - a \in F[x]$  with  $n \ge 2$ . We recall that if  $\gcd(f, f') = 1$ , then f(x) has no repeated root in any extension of F. In other words, f(x) is separable. Note that if a = 0, the only irreducible factor of f(x) is x and  $\gcd(x, 1) = 1$ . Thus, f(x) is separable. Now, assume  $a \ne 0$ . Note that  $f'(x) = nx^{n-1}$ .

1. If ch(F) = 0, we have gcd(f, f') = 1 since

$$1 = \frac{x}{na}nx^{n-1} - \frac{1}{a}(x^n - a)$$
$$= \frac{x}{na}f' - \frac{1}{a}f$$

Thus, f is separable.

- 2. If ch(F) = p and gcd(n, p), then by Fermat's Little Theorem, f(x) = x a and f'(x) = 1 so gcd(f, f') = 1 and f(x) is separable.
- 3. If  $\operatorname{ch}(F) = p$  and  $\gcd(n,p) \neq 1$ , consider  $f(x) = x^p a$ . Since  $f'(x) = px^{p-1} = 0$ , we have  $\gcd(f,f') \neq 1$ . However, it is still possible that all irreducible factors  $\ell(x)$  of f(x) has the property that  $\gcd(\ell,\ell') = 1$ . To decide if f(x) is separable, we need to find its irreducible factors first. Define

$$F^p := \{b^p : b \in F\}$$

which is a subfield of F.

3.1. If  $a \in F^p$ , say  $a = b^p$  for some  $b \in F$ , then

$$f(x) = x^p - b^p = (x - b)^p$$
 (by Binomial Theorem)

which is irreducible. Since each irreducible factor of f(x) is linear, thus separable. Thus, f(x) is separable.

3.2. Suppose  $a \notin F$ 

Claim.  $f(x) = x^p - a$  is irreducible in F[x].

We write  $x^p - a = g(x)h(x)$  where  $g(x), h(x) \in F[x]$  are monic polynomials. Let  $E_F$  be an extension where  $x^p - a$  has a root, say  $\beta \in E$  (i.e.  $\beta^p - a = 0$ ). Note that  $\beta \notin F$ , otherwise  $a = b^p \in F^p$ . We have

$$x^p - a = x^p - \beta^p = (x - \beta)^p$$
. (by Binomial Theorem)

Thus,  $g(x) = (x - \beta)^r$  and  $h(x) = (x - \beta)^s$  for some  $r, s \in \mathbb{N} \cup \{0\}$  and r + s = p. We write,

$$g(x) = x^r - r\beta x^{r-1} + \dots$$

then  $r\beta \in F$ . Since  $\beta \notin F$ , as an element of F, we have r = 0. Thus, as an integer, we have r = 0 or r = p. It follows that wither q(x) = 1 or h(x) = 1 in F[x]. Thus, f(x) is

irreducible.

Since f(x) is irreducible and  $f(x) = (x - \beta)^p \in E[x]$ , it is not separable. This type of polynomial is called a purely inseparable polynomial.

**Definition 4.4.4.** A field F is <u>perfect</u> if every irreducible polynomial  $r(x) \in F[x]$  is separable over F.

**Theorem 26.** Let F be a field.

- 1. If ch(F) = 0, then F is perfect.
- 2. If ch(F) = p and  $F = F^p$ , then F is perfect.

*Proof.* Let  $r(x) \in F[x]$  be irreducible. Then

$$\gcd(r, r') = \begin{cases} 1 & \text{, if } r' \neq 0 \\ 0 & \text{, if } r' = 0 \end{cases}.$$

Suppose r(x) is not separable. Then by Corollary 20,  $\gcd r, r' \neq 1$ , so it must be that  $\gcd(r, r') = 0$ .

- 1. If  $\operatorname{ch}(F) = 0$ , from Theorem 18, r'(x) = 0 implies that  $r(x) = c \in F$ , which is a contradiction since  $\operatorname{deg}(r) \geq 1$ . Thus, r(x) is separable and F is perfect.
- 2. If ch = p, from Theorem 18, r'(x) = 0 implies that

$$r(x) = a_0 + a_1 x^p + a_2 x^{2p} + \dots + a_m x^{mp}, a_i \in F$$

Since  $F = F^p$ , we can write  $a_i = b_i^p$  with  $b_i \in F$ . Thus,

$$r(x) = b_0^p + b_1^p x^p + b_2^p x^{2p} + \dots + b_m^p x^{mp}$$
  
=  $(b_0 + b_1 x + b_2 x^2 + \dots + b_m x^m)^p$ 

which raises a contradiction since r(x) is irreducible. Thus, r(x) must be separable and F is perfect.

Remark. Let  $\operatorname{ch}(F) = p$  and  $F \neq F^p$  (e.g.  $F = \mathbb{F}_p(x)$ ). If we take  $a \in F/F_p$ , then the polynomial  $x^p - a$  is not separable. Thus, if  $\operatorname{ch}(F) = p$ , F is perfect if and only if  $F^p = F$ .

Corollary 27. Every finite field is perfect.

*Proof.* Every finite field F with  $|F|=p^n$  is the splitting field of  $x^{p^n}-x$  over  $\mathbb{Z}_p$  for some prime p and  $n \in \mathbb{N}$ . Thus, for every  $a \in F$ ,  $a=a^{p^n}=(a^{p^{n-1}})^p$ . Since  $a^{p^{n-1}} \in F$ ,  $F=F^p$ . Thus, by Theorem 26, F is perfect.

*Remark.* It is possible that  $F^p = F$  and F is an infinite field, say  $F = \overline{\mathbb{F}_p}$ .

# 5 The Sylow Theorems

Recall the following definitions and theorems from Group Theory:

**Theorem** (Lagrange's Theorem). If H is a subgroup of a group G, then |G| = [G : H]|H|. In particular, if G is finite, and  $g \in G$ , then  $|\langle g \rangle|$  divides |G|.

We can ask the reverse question; if a positive integer m divides the order of a group G, does G have a subgroup of order m?

**Definition 5.0.1.** An <u>action</u> of a group G on a set S is a function  $G \times S \to S$  (usually denoted by  $(g,x) \mapsto gx$  such that for all  $x \in S$  and  $q_1, g, 2 \in G$ , we have ex = x and  $(g_1g_2)x = g_1(g_2x)$ ). We say G acts on S by a group action.

**Definition 5.0.2.** If G acts on S, for  $x \in S$ , we define the <u>orbit</u> of x by  $\bar{x} := \{gx : g \in G\}$ .

**Definition 5.0.3.** If G acts on S, for  $x \in S$ , we define the <u>stabilizer</u> of x by  $G_x := g \in G : gx = x$ .  $G_x$  is a subgroup of G and  $|\bar{x}| = [G : G_x]$ .

**Definition 5.0.4.** Let G be a group acting on itself by conjugation. Then, for  $x \in G$ , we define the <u>centralizer</u> of x by  $C_G(x) := G_x = \{g \in G : gxg^{-1} = x.$ 

**Definition 5.0.5.** Let S be all subgroups of G and let G act on S by conjugation. Then, for  $K \in S$ , we define the <u>normalizer</u> of K by  $N_G(K) := G_K = g \in G : gKg^{-1} = K$ .

**Definition 5.0.6.** Let G be a group. Then we define the <u>center</u> of G by  $C(G) := \{g \in G : gxg^{-1} = x, \forall x \in G\}.$ 

**Theorem** (Class Equation of a Group). Suppose G is a finite group acting on itself by conjugation, C(G) is the center of G, and  $C_1, C_2, \ldots, C_r$  are all the conjugacy classes in G comprising the elements outside the center. Let  $g_i$  be an element in  $C_i$  for each  $1 \le i \le r$ . Then, we have

$$|G| = |C(G)| + \sum_{i=1}^{r} |G : C_G(g_i)|.$$

**Lemma 28.** Let H be a group of order  $p^n$  for some prime p, which acts on a finite set S. Let  $S_0 = \{x \in S : hx = x, \forall h \in H\}$ . Then, we have  $|S| \equiv |S_0| \pmod{p}$ .

*Proof.* For  $x \in S$ ,  $|\bar{x}| = 1$  if and only if  $x \in S_0$ . Thus, S can be written as a disjoint union  $S = S_0 \cup \overline{x_1} \cup \cdots \cup \overline{x_n}$ . Thus,

$$|S| = |S_0| + \sum_{i=0}^n |\overline{x_i}|.$$

Since  $|\overline{x_i}| > 1$  and  $|\overline{x_i}| = [H: H_{x_i}]$  divides  $|H| = p^n$ , we have  $p \mid |\overline{x_i}|$  for all i. It follows that  $|S| \equiv |S_0| \pmod{p}$ .

**Theorem 29.** Let p be prime and G a finite group. If  $p \mid |G|$ , then G contains an element of order p.

*Proof.* Consider the set,

$$S = \{(a_1, \dots, a_p) : a_i \in G, a_1 \dots a_p = e\}.$$

Since  $a_p$  is uniquely determined and |G| = n, we have  $|S| = n^{p-1}$ . Since  $p \mid n$ , we have  $|S| \equiv 0 \pmod{p}$ . Let the group  $\mathbb{Z}_p$  act on S by cyclic permutation, in other words, for  $k \in \mathbb{Z}_p$ ,  $k(a_1, \ldots, a_p) = 0$ 

 $(a_{k+1}, a_{k+2}, \ldots, a_p, a_1, \ldots, a_k)$ . Since  $(a_1, \ldots, a_p) \in S_0$  if and only if  $a_1 = \cdots = a_p$ . Clearly,  $(e, \ldots, e) \in S_0$ , so  $|S_0| \ge 1$ . By Lemma 28, we have  $|S_0| \equiv |S| \equiv 0 \pmod{p}$ . So  $|S_0| \ge p$ . Thus, there exists  $a \ne e$  such that  $(a, \ldots, a) \in S_0$  which implies that  $a^p = e$ . Since p is prime, the order of a is p.

**Definition 5.0.7.** Let p be a prime. A group in which the order of every element is a non-negative power of p is called a p-group.

**Corollary 30.** A finite group G is a p-group if and only if |G| is a power of p.

*Proof.* This is a direct consequence of Theorem 29.

**Lemma 31.** The center C(G) of a nontrivial finite p-group G contains more than 1 element.

*Proof.* Since G is a p-group by Corollary 30, |G| is a power of p. Recall the class equation:

$$|G| = |C(G)| + \sum_{i=1}^{n} [G : C_G(x_i)], \text{ where } [G : C_G(x_i)] \ge 1.$$

Since |G| is a power of p,  $[G:C_G(x_i)] \mid |G|$ , and  $[G:C_G(x_i)] > 1$ . We see that  $p \mid [G:C_G(x_i)]$ . It follows that  $p \mid |C(G)|$  since  $|C(G)| \ge 1$  so C(G) has at least p elements.

**Definition 5.0.8.** If H is a subgroup of a group G, then the normalizer of H is defined by

$$N_G(H) = \{ g \in G : gHg^{-1} = H \}.$$

In particular,  $H \triangleleft N_G(H)$ .

**Lemma 32.** If p is prime and H is a p-subgroup of a finite group G, then  $[N_G(H):H] \equiv [G:H] \pmod{p}$ .

*Proof.* Let S be the set of all left cosets of H in G and let H act on S by left translation,  $G \times S \to S$  defined by,

$$h \cdot xH \mapsto (hx)H$$

which fixes on coset to another. Then, |S| = [G:H]. For some fixed  $x \in G$ , consider the set  $S_0$  defined by  $xH \in S_0 \iff hxH = xH$  for all  $h \in H$ . In other words, all elements of  $S_0$  are fixed by the group action. Now, we have,

$$xH \in S_0 \iff hxH = xH$$
  
 $\iff x^{-1}hxH = H$   
 $\iff x^{-1}Hx = H$   
 $\iff x \in N_G(H)$ 

So,  $|S_0| = [N_G(H): H]$ . By Lemma 28,

$$[N_G(H):H] = |S_0|$$

$$\equiv |S| \pmod{p}$$

$$= [G:H].$$

Corollary 33. If H is a p-subgroup of a finite group G such that  $p \mid [G:H]$ , then  $N_G(H) \neq H$ .

Proof. Since  $p \mid [G:H]$ , by Lemma 32 we have  $[H_G(H):H] \equiv [G:H] \equiv 0 \pmod{p}$ . Since  $p \mid [N_G(H):H]$  and  $[N_G(H):H] \geq 1$ , we have  $[N_G(H):H] \geq p$ , so  $N_G(H) \neq H$ .

**Theorem 34** (First Sylow Theorem). Let G be a group with order  $p^n m$  with p prime,  $n \geq 1$ , gcd(p,m) = 1. Then G contains a subgroup of order  $p^i$  for all  $1 \leq i \leq n$  which is normal under some subgroup of order  $p^{i+1}$ .

Proof. We proceed with induction on i. For i=1, since  $p\mid |G|$ , we have be Theorem 29 that G contains an element a of order p, so  $|\langle a\rangle|=p$ . Suppose that the statement holds for some  $1\leq i\leq n$ , say H is a subgroup of order  $p^i$ . Now, from Corollary 33, we have  $p\mid [N_G(H):H]$  and  $[N_G(H):H]\geq p$ , since  $H\triangleleft N_G(H)$ . Then, by Theorem 29,  $N_G(H)/H$  contains a subgroup of order p. Such a group is of the from H'/H where H' is a subgroup of  $N_G(H)$  containing H. Since  $H\triangleleft N_G(H)$ , we have  $H\triangleleft H'$ . Finally,  $|H'|=|H| |H'/H|=p^{i+1}$ .

**Definition 5.0.9.** A subgroup P of a group G is said to be a Sylow p-subgroup if P is a maximal p-subgroup of G. In other words, if  $P \subseteq H \subseteq G$  with H a p-subgroup of G, then P = H.

**Corollary 35.** Let G be a group of order  $p^n m$  where p is a prime,  $n \ge 1$ , gcd(p, m) = 1. Let H be a p-subgroup of G. Then, all the following hold:

- 1. H is a Sylow p-subgroup if and only if  $|H| = p^n$
- 2. Every conjugate of a Sylow p-subgroup is a Sylow p-subgroup
- 3. If there is only one Sylow p-subgroup, P, then  $P \triangleleft G$ .

**Theorem 36** (Second Sylow Theorem). If H is a p-subgroup of a finite group G, and P is any Sylow p-subgroup of G, then there exists  $g \in G$  such that  $H \subseteq gPg^{-1}$ . In particular, any two Sylow p-subgroups of G are conjugate.

*Proof.* Let S be the set of all left cosets of P in G, and let H act on S by left multiplication. By Lemma 28, we have  $|S_0| \equiv |S| = [G:P] \pmod{p}$ . Since  $p \nmid [G:P]$ , we have  $|S_0| \neq 0$ . There exists  $xP \in S_0$  for some  $x \in G$ . Note that

$$xP \in S_0 \iff hxP = xP, \quad \forall h \in H$$
  
 $\iff x^{-1}hxP = P, \quad \forall h \in H$   
 $\iff x^{-1}Hx \subseteq P$   
 $\iff H \subseteq xPx^{-1}.$ 

In particular, if H is a Sylow p-subgroup, then  $|H|=|P|=|xPx^{-1}$ . Thus,  $H=xPx^{-1}$ .

**Theorem 37** (Third Sylow Theorem). If G is a finite group and p is prime, then the number of Sylow p-subgroups of G divides |G| and is of the form kp + 1 for some  $k \in \mathbb{N} \cup \{0\}$ .

Proof. By the Second Sylow Theorem, the number of Sylow p-subgroups of G is the number of conjugates of any one of them, say P. This number is  $[G:N_G(P)]$  which is a divisor of |G|. Let S be the set of all Sylow p-subgroups of G and let P act on S by conjugation. Then,  $Q \in S_0$  if and only if  $xQx^{-1} = Q$  for all  $x \in P$ . The latter condition holds if and only if  $P \subseteq N_G(Q)$ . Both P and Q are Sylow p-subgroups of G and hence of  $N_G(Q)$ . Thus, by Corollary 35, the are conjugate in  $N_G(Q)$ . Since  $Q \triangleleft N_G(Q)$ , this can only occur if Q = P. Thus,  $S_0 = \{p\}$  and by Lemma 28,  $|S| \equiv |S_0| \equiv 1 \pmod{p}$ . Thus, |S| = kp + 1 for some  $k \in \mathbb{N} \cup \{0\}$ .

**Example 5.0.10.** Every group of order 15 is cyclic.

Let G be a group of order  $15 = 3 \cdot 5$ . Let  $n_p$  be the number of Sylow p-subgroups of G. By the Third Sylow Theorem, we have  $n_3 \mid 15$  and  $n_3 \equiv 1 \pmod{3}$ . Thus,  $n_3 = 1$ . Similarly, since  $n_5 \mid 15$  and  $n_5 \equiv 1 \pmod{5}$ ,  $n_5 = 1$ . It follows that there is only one Sylow 3-subgroup and one Sylow 5-subgroup in G, say  $P_3$  and  $P_5$  respectively. Thus,  $P_3 \triangleleft G$  and  $P_5 \triangleleft G$ . Consider  $|P_3 \cap P_5|$ , which divides 3 and 5. Thus,  $|P_3 \cap P_5| = 1$ . Also,  $|P_3 P_5| = 15 = |G|$ . It follows that

$$G \cong P_3 \times P_5 \cong \mathbb{Z}/\langle 3 \rangle \times \mathbb{Z}/\langle 5 \rangle \cong \mathbb{Z}/\langle 15 \rangle.$$

**Example 5.0.11.** There are exactly two isomorphism classes of groups of order 21.

Let G be a group of order  $21 = 7 \cdot 3$ . Let  $n_p$  be the number of Sylow p-subgroups of G. By the Third Sylow Theorem, we have  $n_3 \mid 21$  and  $n_3 \equiv 1 \pmod{3}$ . Thus,  $n_3 = 1$  or 7. Similarly, we have  $n_7 \mid 21$  and  $n_7 \equiv 1 \pmod{7}$ . Thus,  $n_7 = 1$ . It follows that G has a unique Sylow 7-subgroup, say  $P_7$  and note that  $P_7 \triangleleft G$  and  $P_7$  is cyclic, say  $P_7 = \langle x \rangle$  with  $x^7 = 1$ . Let H be a Sylow 3-subgroup. Since |H| = 3, |H| is cyclic and  $H = \langle y \rangle$  with  $y^3 = 1$ . Since  $P_7 \triangleleft G$ , we have  $yxy^{-1} = x^i$  for some power  $i \in [0, 6]$ . It follows that

$$x = y^{3}xy^{-3} = y^{2}yxy^{-1}y^{-2} = y^{2}x^{i}y^{-2} = yx^{i^{2}}y^{-1} = x^{i^{3}}.$$

Since  $x^{i^3} = x$  and  $x^7 = 1$ , we have  $i^3 - 1 \equiv 0 \pmod{7}$ . Then, it must be that i = 1, 2, 4. We break this down to three cases:

- 1. If i = 1, then  $yxy^{-1} = x$  or yx = xy. Thus, G is abelian and  $G \cong \mathbb{Z}/\langle 21 \rangle$ .
- 2. If i = 2, then  $yxy^{-1} = x^2$ . Thus,

$$G = \{x^i y^j : 0 \le i \le 6, \ 0 \le j \le 2, \ yxy^{-1} = x^2\}$$

which has 21 distinct elements. Here, G is generated by x and y, which are order 3 and 7 respectively, so  $G \cong \mathbb{Z}/\langle 3 \rangle \times \mathbb{Z}/\langle 7 \rangle$ .

3. If i = 4, then  $yxy^{-1} = x^4$ . Note that

$$y^2xy^{-2} = yx^4y^{-1} = x^{16} = x^2.$$

Note that  $y^2$  is also a generator of H. Thus, by replacing y by  $y^2$ , we get back to case 2.

## 6 Solvable Groups

**Definition 6.0.1.** A group G is <u>solvable</u> if there exists a tower of subgroups

$$\{1\} = G_0 < G_1 < \dots < G_k = G$$

such that  $G_i \triangleright G_{i+1}$  and  $G_i/G_{i+1}$  is abelian.

Remark.  $G_{i+1}$  is not necessarily a normal subgroup of G. However, if  $G_{i+1}$  is a normal subgroup of G, we get  $G_i \triangleright G_{i+1}$  for free.

**Example 6.0.2.** Consider the symmetric group  $S_4$ . Let  $A_4$  be the alternating subgroup of  $S_4$  and  $V \cong \mathbb{Z}/\langle 2 \rangle \times \mathbb{Z}/\langle 2 \rangle$  the Klein 4 group. Note that  $A_4$  and V are normal subgroup of  $S_4$ . We have

$$S_4 > A_4 > V > \{1\}.$$

Since  $S_4/_{A_4} \cong \mathbb{Z}/_{\langle 2 \rangle}$  and  $A_4/_V \cong \mathbb{Z}/_{\langle 3 \rangle}$ , we see that  $S_4$  is solvable.

**Theorem** (First Isomorphism Theorem). If G and H are groups and  $\phi: G \to H$  is a group homomorphism, then

- 1.  $\ker(\phi) \triangleleft G$
- 2.  $\operatorname{im}(\phi) \leq H$
- 3.  $G_{\ker(\phi)} \cong \operatorname{im}(\phi)$ .

In particular, if  $\phi$  is a surjective map, then  $H \cong G_{\ker(\phi)}$ .

**Theorem** (Second Isomorphism Theorem). If H and N are subgroups of a group G with  $N \triangleleft G$ , then  $H/H \cap N \cong NH/N$ .

**Theorem** (Third Isomorphism Theorem). If H and H are normal subgroups of a group G such that  $N \subseteq H$ , then  $H_{/N}$  is a normal subgroup of G and  $G_{/N} \cong G_{/N}/H_{/N}$ .

#### Theorem 38.

- 1. If G is a solvable group, every subgroup and every quotient group of G is solvable
- 2. Conversely, if N is a normal subgroup of a group G and both N and  $G_N$  are solvable, then G is solvable.

In particular, a direct product of finitely many solvable groups is solvable.

Proof.

1. Suppose that G is a solvable group with a tower

$$\{1\} = G_0 < G_1 < \cdots < G_k = G$$

with  $G_i \triangleright G_{i+1}$  and  $G_i/G_{i+1}$  is abelian.

Claim. Let H be a subgroup of G. Then, H is solvable.

Define  $H_i = H \cap G_i$ . Since  $G_{i+1} \triangleleft G_i$ , we have a tower

$$\{1\} = H_0 < H_1 < \dots < H_k = H$$

with  $H_{i+1} \triangleleft H_i$ . Note that both  $H_i$  and  $G_{i+1}$  are subgroups of  $G_i$  and  $H_{i+1} = H \cap G_{i+1} = H_i \cap G_{i+1}$ . Applying the Second Isomorphism Theorem to  $G_i$ , we have

$$H_{i/H_{i+1}} = H_{i/H_{i} \cap G_{i+1}} \cong H_{i}G_{i+1/G_{i+1}} \subset G_{i/G_{i+1}}.$$

Since  $G_{i/G_{i+1}}$  is abelian, so is  $H_{i/H_{i+1}}$ . So H is solvable.

Claim. Let N be a normal subgroup of G. Then  $G_N$  is solvable.

Consider the towers

$$N = G_0 N < G_1 N < \dots < G_k N = G$$

and

$$\{1\} = G_0 N_N < G_1 N_N < \dots < G_k N_N = G_N.$$

Since  $G_{i+1} \triangleleft G_i$  and  $N \triangleleft G$ , we have  $G_{i+1}N \triangleleft G_iN$ , which implies that  $G_{i+1}N/N \triangleleft G_iN/N$ . By the Third Isomorphism Theorem,

$$G_i N / N / G_{i+1} N / N \cong G_i N / G_{i+1} N$$

By the Second Isomorphism Theorem, we have

$$G_i N / G_{i+1} N \cong G_i / G_i \cap G_{i+1} N$$

Since  $G_{i+1} \subseteq (G_i \cap G_{i+1}N)$ , there is a natural injection  $G_{i/G_i \cap G_{i+1}N} \to G_{i/G_i + 1}$  defined by

$$g + (G_i \cap G_{i+1}N) \mapsto g + G_{i+1}.$$

Since  $G_{i/G_{i+1}}$  is abelian, so is  $G_{i/G_{i} \cap G_{i+1}N}$ . Thus,  $G_{i}N/N/G_{i+1}N/N$  is abelian. It follows that  $G_{N}$  is solvable.

Both these claims together show the first part of this theorem.

2. Suppose that N is a normal subgroup of a group G and both N and  $G_N$  are solvable. Since N is solvable, we have a tower

$$\{1\} = N_0 < N_1 < \dots < N_k = N$$

where  $N_i \triangleright N_{i+1}$  and  $N_{i+1}/N_i$  is abelian for all  $0 \le i \le (m-1)$ . For a subgroup  $H \le G$  with  $N \le H$ , which we denote by  $\overline{H} := H/N$ . Note  $N \triangleleft G$  and  $N \triangleleft H$ . Since G/N, we have a tower,

$$\{1\} = N/N = \overline{G}_0 < \overline{G}_1 < \dots < \overline{G}_r = \overline{G} = G/N$$

with  $\overline{G}_j \triangleright \overline{G}_{j+1}$  and  $\overline{G}_{j+1}/\overline{G}_j$  for all  $0 \le j \le (r-1)$ . Let  $\operatorname{Sub}_N(G)$  be the group of subgroups of G which contain N. Consider the map

$$\sigma: \operatorname{Sub}_N(G) \to \operatorname{Sub}_N\left(G/N\right)$$

$$H \mapsto \overline{H} \coloneqq H/N$$

which is trivially injective. Then, by First Isomorphism Theorem, this is a bijection. For all  $0 \le j \le r$ , define  $G_j := \sigma^{-1}(\overline{G}_j)$ . Since  $N \triangleleft G$ ,  $\overline{G}_{j+1} \triangleleft \overline{G}_j$ , we have  $G_{j+1} \triangleleft G_j$ . Now, by Third Isomorphism Theorem, we have

$$G_{j/G_{j+1}} \cong G_{j/N/G_{j+1/N}} \cong \overline{G}_{j/\overline{G}_{j+1}}$$

which is abilian. If follows that

$$\{1\} = N_0 < \dots < N_m = G_0 < \dots < G_r = G$$

so G is solvable.

**Example 6.0.3.**  $S_4$  contains subgroups isomorphic to  $S_3$  and  $S_2$ . Since  $S_4$  is solvable, by Theorem 38,  $S_3$  and  $S_4$  are solvable

**Definition 6.0.4.** A group G is  $\underline{\text{simple}}$  if it is not trivial and has no normal subgroups other than G and  $\{1\}$ .

Remark.  $S_n$  is not solvable for  $n \geq 5$ .

*Proof.* One can show that the alternating group  $A_5$  is simple. Since  $A_5 \supseteq \{1\}$  is the only tower and  $A_5/\{1\}$  is not abelian,  $A_5$  is not solvable. For all  $n \geq 5$ ,  $S_5$  is a subgroup of  $S_n$ . Then by Theorem 38,  $S_n$  is not solvable.

Corollary 39. G is a finite solvable group if and only if there exists a tower

$$\{1\} = G_0 < G_1 < \dots < G_m = G$$

with  $G_i \triangleright G_{i+1}$  and  $G_i/G_{i+1}$  is cyclic.

*Proof.* Let A be a finite abelian group. We have

$$A \cong C_{k_1} \times \cdots \times C_{k_r}$$

where  $C_k$  is a cyclic group of order k. For a finite cyclic group C, we have

$$C \cong \mathbb{Z}/\langle p_1^{\alpha_1} \rangle \times \cdots \times \mathbb{Z}/\langle p_r^{\alpha_r} \rangle$$

be the Chinese Remainder Theorem, with  $p_i$  distinct primes. For a cyclic group whose order is a prime power, say  $\mathbb{Z}/\langle p^{\alpha} \rangle$ , be the First Sylow Theorem, we have a tower of subgroups

$$\{1\} < \mathbb{Z}/\langle p \rangle < \dots < \mathbb{Z}/p^{\alpha-1} < \mathbb{Z}/p^{\alpha-1}$$

which concludes the proof.

# 7 Automorphism Groups

#### 7.1 Automorphism Groups

**Definition 7.1.1.** Let  $E_{F}$  be a field extension. If  $\psi$  is an automorphism of E, in other words  $\psi: E \to E$  is an isomorphism and  $\psi|_{F} = 1_{F}$ , we say  $\psi$  is an <u>F-automorphism</u>. By map composition, the set

$$\{\psi: E \to E \mid \psi \text{ is an } F\text{-automorphism}\}$$

is a group. We call it the automorphism group of  $E_F$  denoted by  $\operatorname{Aut}_F(E)$ .

**Lemma 40.** Let  $E_{f}$  be a field extension with  $f(x) \in F[x]$  and  $\psi \in \operatorname{Aut}_{F}(E)$ . If  $\alpha \in E$  is a root of f(x), then  $\psi(\alpha)$  is also a root of f(x).

*Proof.* Write  $f(x) = a_0 + a_1 x + \cdots + a_n x^n \in F[x]$ . We have

$$f(\psi(\alpha)) = a_0 + a_1 \psi(\alpha) + a_2 \psi^2(\alpha) + \dots + a_n \psi^n(\alpha)$$

$$= \psi(a_0) + \psi(a_1) \psi(\alpha) + \psi(a_2) \psi^2(\alpha) + \dots + \psi(a_n) \psi^n(\alpha)$$

$$= \psi(a_0 + a_1 \alpha + \dots + a_n \alpha^n)$$

$$= \psi(0)$$

$$= 0.$$

**Lemma 41.** Let  $E = F(\alpha_1, ..., \alpha_n)$  be a field extension of F. For  $\psi_1, \psi_2 \in \operatorname{Aut}_F(E)$ , if  $\psi_1(\alpha_i) = \psi_2(\alpha_i)$  for all  $\alpha_i$ ,  $1 \le i \le n$ , then  $\psi_1 = \psi_2$ .

*Proof.* Since each  $\alpha \in E$  is of the form  $\frac{f(\alpha_1, \ldots, \alpha_n)}{g(\alpha_1, \ldots, \alpha_n)}$  where  $f(x_1, \ldots, x_n), g(x_1, \ldots, x_n) \in F[x_1, \ldots, x_n]$ , the lemma follows.

Corollary 42. If  $E_{/F}$  is a finite extension, then  $Aut_F(E)$  is a finite group.

Proof. Since  $E_F$  is a finite extension, by Theorem 5,  $E = F(\alpha_1, \ldots, \alpha_n)$  where  $\alpha_i$  are algebraic over F for all  $1 \le i \le n$ . For  $\psi \in \operatorname{Aut}_F(E)$ , by Lemma 40,  $\psi(\alpha_i)$  is a root of the minimal polynomial of  $\alpha_i$ . Thus, there are finitely many choices for  $\psi(\alpha_i)$ . By Lemma 41, since  $\psi \in \operatorname{Aut}_F(E)$  is completely determined by  $\psi(\alpha_i)$ , there are finitely many choices for  $\psi$ . Thus,  $\operatorname{Aut}_F(E)$  is finite.

*Remark.* The converse to Corollary 42 is false. For example,  $[\mathbb{R} : \mathbb{Q}]$  in infinite, but  $\mathrm{Aut}_{\mathbb{Q}}(\mathbb{R}) = \{1\}$ .

**Definition 7.1.2.** Let F be a field and  $f(x) \in F[x]$ . The Automorphism Group of f(x) over F is defined to be the group  $\operatorname{Aut}_F(E)$ , where E is the splitting field of f(x) over F[x].

From Assignment 2, we proved that the number of automorphisms is at most [E:F] with equality if and only if f(x) is separable over F, we have the following theorem as a direct consequence.

**Theorem 43.** Let  $E_F$  be the splitting field of a nonzero polynomial  $f(x) \in F[x]$ . We have  $|\operatorname{Aut}_F(E)| \leq [E:F]$  with equality if and only if f(x) is separable.

**Example 7.1.3.** Let F be a field with  $\operatorname{ch}(F) = p$  for some prime p and  $F^p \neq F$ . Also consider  $f(x) = x^p - a$  with  $a \in F \setminus F^p$ . Let  $E_{/F}$  be the splitting field of f(x). We have seen before that  $f(x) = (x - \beta)$  for some  $\beta \in E \setminus F$ . Thus,  $E = F(\beta)$ , Since  $\beta$  can only map to  $\beta$ ,  $\operatorname{Aut}_F(E)$  is trivial. Note that  $|\operatorname{Aut}_F(E)| = 1$  but [E : F] = p. We have  $|\operatorname{Aut}_F(E)| \neq [E : F]$  because f(x) is not separable.

**Theorem 44.** If  $f(x) \in F[x]$  has n distinct roots in the splitting field E, then  $\operatorname{Aut}_F(E)$  is isomorphic to a subgroup of the symmetric group  $S_n$ . In particular,  $|\operatorname{Aut}_F(E)| | n!$ .

Proof. Let  $X = \{\alpha_1, \ldots, \alpha_n\}$  be distinct roots of f(x) in E. By Lemma 40, if  $\psi \in \operatorname{Aut}_E(F)$ , then  $\psi(X) = X$  Let  $\psi|_X$  be the restriction of  $\psi$  in X and  $S_X$  the permutation group of X. The map  $\operatorname{Aut}_F(E) \to S_X$  defined by  $\psi \mapsto \psi|_X$  is a group homomorphism. Moreover, by Lemma 41, it is injective. Thus,  $\operatorname{Aut}_F(E)$  is isomorphic to the subgroup of  $S_n$ .

**Example 7.1.4.** Let  $f(x) = x^3 - 2 \in \mathbb{Q}[x]$  and  $E_{\mathbb{Q}}$  be the splitting field of f(x). Thus,  $E = \mathbb{Q}(\sqrt[3]{2}, \xi_3)$  and  $[E : \mathbb{Q}] = 6$ . Since  $\operatorname{ch}(\mathbb{Q}) = 0$ , f(x) is separable. By Theorem 43,

$$|\operatorname{Aut}_{\mathbb{Q}}(E)| = [E : \mathbb{Q}] = 6.$$

Also, since f(x) has 3 distinct roots in E, by Theorem 44,  $\operatorname{Aut}_{\mathbb{Q}}(E)$  is a subgroup of  $S_3$ . Since the only subgroup of  $S_3$  which is of order 6 is  $S_3$ , we have

$$\operatorname{Aut}_{\mathbb{Q}}(E) \cong S_3.$$

Furthermore, since  $S_3$  is non-abelian,  $\operatorname{Aut}_{\mathbb{Q}}(E)$  is non-abelian. This shows Automorphism groups are need not to be abelian.

#### 7.2 Fixed Fields

**Definition 7.2.1.** Let  $E_F$  be a field extension and  $\psi \in \operatorname{Aut}_F(E)$ . Define

$$E^{\psi} := \{ a \in E : \psi(a) = a \}$$

which is a subfield of E containing F. We call  $E^{\psi}$  the fixed field of  $\psi$ .

**Definition 7.2.2.** If  $G \subseteq Aut_F(E)$ , the <u>fixed field of G</u> is defined by

$$E^G := \bigcap_{\psi \in G} E^{\psi} = \{ a \in E : \psi(a) = a, \ \forall \psi \in G \}.$$

**Theorem 45.** Let  $f(x) \in F[x]$  be a separable polynomial and  $E_{F}$  its splitting field. If  $G = \operatorname{Aut}_{F}(E)$ , then  $E^{G} = F$ .

*Proof.* Since  $F \subseteq E^G$ , we have  $\operatorname{Aut}_E^G(E) \subseteq \operatorname{Aut}_F(E)$ . On the other hand, if  $\psi \in \operatorname{Aut}_F(E)$ , be defintion of  $E^G$ , for all  $a \in E^G$ , we have  $\psi(a) = a$ . This implies that  $\phi \in \operatorname{Aut}_E^G(E)$ . Thus

$$\operatorname{Aut}_E^G(E) = \operatorname{Aut}_F(E).$$

Note that since f(x) is separable over F and splits over E, f(x) is also separable over  $E^G$  and has E as its splitting field over  $E^G$ . Thus, by Theorem 43, we have

$$|Aut_F(E)| = [E : F]$$
 and  $|Aut_F^G(E)| = [E : E^G]$ 

It follows that since  $[E:E^G]=[E:F]$ . Since  $[E:F]=[E:E^G]=[E^G:F]$ , we have  $[E^G:F]=1$ . In other words,  $E^G=F$ .

# 8 Separable and Normal Extensions

# 8.1 Separable Extensions

**Definition 8.1.1.** Let  $E_{/F}$  be an algebraic field extension. For  $\alpha \in E$ , let  $p(x) \in F[x]$  be the minimal polynomial of  $\alpha$ . We say  $\alpha$  is separable over F if p(x) is separable over F. If for all  $\alpha \in E$ ,  $\alpha$  is separable, we say  $E_{/F}$  is separable.

**Theorem 46.** Let  $E_{/F}$  be a splitting field of  $f(x) \in F[x]$ . If f(x) is separable, then  $E_{/F}$  is separable.