University of Waterloo

PMATH 348

Fields and Galois Theory

Prof. Yu-Ru Liu • Winter 2018

Contents

1 Introduction

1.1 Polynomial Equations

Consider the quadratic equation. Let $ax^2 + bx + c = 0$ with the leading coefficient $a \neq 0$, then we have that,

$$x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

We notice immediately that there are a couple of operations that are involved in this equation.

Definition 1.1.1. An expression involving only addition, subtraction, multiplication, division and radicals is called a <u>radical</u>. These operations are denoted by $+, -, \times, \div$ and $\sqrt[n]{\cdot}$

The natural question that is raised is the extension to higher dimensions.

1.2 Cubic Equations

All cubic equations can be reduced to the following equation,

$$x^3 + px = q$$

for some $p, q \in \mathbb{C}$. A solution to the above equation is of the form

$$x = \sqrt[3]{\frac{q}{2} + \sqrt{\frac{p^3}{27} + \frac{q^2}{4}}} + \sqrt[3]{\frac{q}{2} - \sqrt{\frac{p^3}{27} + \frac{q^2}{4}}} \qquad \text{(Cardano's Formula)}$$

1.3 Quartic Equations

A radical solution can be obtained by reducing a quartic to a cubic equation.

1.4 Quintic Equations

- General radical solutions were attempted by Euler, Bézout and Lagrange without success
- In 1799, Ruffini gave a 516 page proof about the insolvability of quintic equations. His Proof was "almost right"
- In 1824, Abel filled the gap in Ruffini's proof.

We can now ask ourselves, given a quintic equation, is it solvable by radicals? This question seems to be too hard, so we ask, suppose that a radical solution exists. How does its associated quintic equation look like?

<u>Two main steps in Galois Theory</u>

1. Link a root of a quintic equation, say α to $\mathbb{Q}(\alpha)$, the smallest field containing $\mathbb{Q}$ and α. $\mathbb{Q}(\alpha)$ is a field. So it has more structures to be played with than α; however, our knowledge of $\mathbb{Q}(\alpha)$ is still too little to answer the question. For example, we do not know how many intermediate fields, E between $\mathbb{Q}$ and $\mathbb{Q}(\alpha)$. What we mean is how many fields E satisfy

$$\mathbb{Q} \subseteq E \subseteq \mathbb{Q}(\alpha).$$

2. Link the field $\mathbb{Q}(\alpha)$ to a group. More precisely, we associate $\mathbb{Q}(\alpha)/\mathbb{Q}$ to the group

$$\mathrm{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha)) = \left\{ \Psi : \mathbb{Q}(\alpha) \to \mathbb{Q}(\alpha) \text{ an isomorphism and } \Psi|_{\mathbb{Q}} = 1_{\mathbb{Q}} \right\}$$

   It can be shown that if α is "good", say algebraic, $\mathrm{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$ is finite. If α is "very good", say constructable, the order of $\mathrm{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$ is in certain forms. Moreover, there is a one-to-one correspondence between the intermediate fields between $\mathbb{Q}(\alpha)$ and $\mathbb{Q}$ and the subgroups of $\mathrm{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$.

It follows that given some "good" α, we have that the intermediate fields of $\mathbb{Q}(\alpha)$ and $\mathbb{Q}$ are indeed finitely many. This introduces Galois Theory; the interplay between fields and groups.

2 Field Extensions

2.1 Degree of Extensions

Definition 2.1.1. If E is a field containing another field F, we say E is a field extension of F, denoted by ${}^{E}\!/_{F}$.

If ${}^{E}\!/_{F}$ if a field extension, we can view E as a vector space over F.

1. Addition: For $e_1, e_2 \in E$, $e_1 + e_2 \coloneqq e_1 + e_2$ (addition in E)

2. Scalar Multiplication: For $c \in F, e \in E$, $c \cdot e \coloneqq ce$ (multiplication in E)

Definition 2.1.2. The dimension of E over F (viewed as a vector space) called the degree of E over F, denoted by $[E : F]$. If $[E : F] < \infty$, we say ${}^{E}\!/_{F}$ is a finite extension. Otherwise, ${}^{E}\!/_{F}$ is an infinite extension.

Example 2.1.3. $[\mathbb{C} : \mathbb{R}] = 2$ is a finite extension since $\mathbb{C} \cong \mathbb{R} + \mathbb{R}i$, with $i^2 = -1$.

Example 2.1.4. Let F be a field. Then $[F(x) : F]$ is ∞ since $\{1, x, x^2, \dots\}$ are linearly independent over F.

Remark. $F[x] = \{f(x) = a_0 + a_1 x + \cdots + a_n x^n : a_i \in F, n \in \mathbb{N} \cup \{0\}\}$, the polynomial ring of F.

Remark. $F(x) = \{\frac{f(x)}{g(x)} : f(x), g(x) \in F[x]\}$, the fraction field of the polynomial ring of F.

Theorem 1. *If E/K and K/F are finite field extensions, then ${}^{E}\!/_{F}$ is a finite field extension and*

$$[E : F] = [E : K][K : F]$$

In particular, K is an intermediate field of an field extension ${}^{E}\!/_{F}$, then $[K : F] \mid [E : F]$.

Proof. Suppose $[E : K] = m$ and $[K : F] = n$. Let $\{a_i, \dots, a_m\}$ be a basis of E/K and $\{b_1, \dots, b_n\}$ be a basis of K/F. It suffices to show $\{a_i b_j : 1 \le i \le m, 1 \le j \le n\}$ is a basis of ${}^{E}\!/_{F}$.

Claim. *Every element of E is a linear combination of $\{a_i b_j\}$ over F.*

For $e \in E$, we have

$$e = \sum_{i=1}^{m} k_i a_i$$

with $k_i \in K$. Also, for each $k_i \in K$, we have

$$k_i = \sum_{j=1}^{n} c_{ij} b_j$$

with $c_{ij} \in F$. Thus,

$$e = \sum_{i=1}^{m} \sum_{j=1}^{n} c_{ij} b_j a_i.$$

Claim. *The set $\{a_i b_j : 1 \le i \le m, 1 \le j \le n\}$ is linearly independent over F.*

Suppose that

$$\sum_{i=1}^{m}\sum_{j=1}^{n} c_{ij}b_j a_i = 0$$

with $c_{ij} \in F$. Since $\sum_{j=1}^{n} c_{ij}b_j \in K$ and $\{a_1, \ldots, a_m\}$ are independent over K. We have

$$\sum_{j=1}^{n} c_{ij}b_j = 0.$$

Since $\{b_1, \ldots, b_n\}$ are independent over F, we have $c_{ij} = 0$.

Combining both claims, we see that $\{a_i b_j, 1 \le i \le m, 1 \le j \le n\}$ is a basis of ${}^{E}\!/_{F}$ and we have $[E : F] = [E : K][K : F]$. □

2.2 Algebraic and Transcendental Extensions

Definition 2.2.1. Let ${}^{E}\!/_{F}$ be a field extension and $\alpha \in E$. We say α is algebraic over F if there exists $f(x) \in F[x] \setminus \{0\}$ with $f(\alpha) = 0$. Otherwise, α is transcendental over F.

Example 2.2.2. $\frac{c}{d} \in \mathbb{Q}$, $\sqrt{2}$ $\sqrt[3]{7}+2i$ are algebraic over $\mathbb{Q}$ (see Assignment 1) but e (Hermite, 1873) and π (Lindemann, 1882) are transcendental over $\mathbb{Q}$.

Let ${}^{E}\!/_{F}$ be a field extension and $\alpha \in E$. Let $F[\alpha]$ denote the smallest subfield of E containing F and α. For $\alpha, \beta \in E$, we define $F[\alpha, \beta]$ and $F(\alpha, \beta)$ similarly.

Definition 2.2.3. If $F = F(\alpha)$ for some $\alpha \in E$, we say E is a simple extension of F.

Definition 2.2.4. Let R_1 and R_2 be two rings which contain a field F. A ring homomorphism $\Psi : R_1 \to R_2$ is said to be a F-homomorphism if $\Psi|_F = 1_F$.

Theorem 2. *Let ${}^{E}\!/_{F}$ be a field extension and $\alpha \in E$. If α is transcendental over F, then*

$$F[\alpha] \cong F[x] \quad \textit{and} \quad F(\alpha) \cong F(x)$$

In particular, $F[\alpha] \neq F(\alpha)$.

Remark. In fact, if α is algebraic, indeed $F[\alpha] = F(\alpha)$.

Proof. Let $\Psi : F(x) \to F(\alpha)$ be the unique F-homomorphism defined by $\Psi(x) = \alpha$. Thus, for $f(x), g(x) \in F[x], g(x) \neq 0$,

$$\Psi\left(\frac{f(x)}{g(x)}\right) = \frac{f(\alpha)}{g(\alpha)} \in F(\alpha).$$

Notice that this is indeed a well-defined map as $g(x) \neq 0$ implies $g(\alpha) \neq 0$ since α is transcendental. Since $F(x)$ is a field and $\ker(\Psi)$ is an ideal of $F(x)$, we have $\ker(\Psi) = F(x)$ or trivial. Thus $\Psi = 0$ or Ψ is injective. Since $\Psi(x) = \alpha \neq 0$, Ψ must be injective. Also, since $F(x)$ is a field, $\mathrm{im}(\Psi)$ contains a field generated by F and α, in other words, $F(\alpha) \subseteq \mathrm{im}(\Psi)$. Thus, $\mathrm{im}(\Psi) = F(\alpha)$ and Ψ is surjective. It follows that Ψ is an isomorphism and we have

$$F[\alpha] \cong F[x] \quad \text{and} \quad F(\alpha) \cong F(x).$$

□

Theorem 3. *Let ${}^{E}\!/_{F}$ be a field extension and $\alpha \in E$. If α is algebraic over F, there exists a unique monic irreducible polynomial $p(x) \in F[x]$ such that there exists a F-homomorphism*

$$\Psi : {}^{F[x]}\!/_{\langle p(x)\rangle} \to F[\alpha] \quad \textit{with } \Psi(x) = \alpha$$

from which we conclude $F[\alpha] \cong F(\alpha)$.

Proof. Consider the unique F-homomorphism $\Psi : F[x] \to F[\alpha]$ defined by $\Psi(x) = \alpha$. Thus, for $f(x) \in F[x]$, we have $\Psi(f) = f(\alpha)$. Since $F[x]$ is a ring, $\mathrm{im}(\Psi)$ contains a ring generated by F and α, in other words, $F[\alpha] \subseteq \mathrm{im}(\Psi)$. Thus, $\mathrm{im}(\Psi) = F[\alpha]$.
Let

$$I = \ker(\Psi) = \{f(x) \in F[x] : f(\alpha) = 0\}.$$

Since α is algebraic, $I \neq \{0\}$. We have ${}^{F[x]}\!/_{I} \cong \mathrm{im}(\Psi) = F[\alpha] \subseteq F(\alpha)$, a subring of a field $F(\alpha)$. Thus, ${}^{F[x]}\!/_{I}$ is an integral domain so I is a prime ideal. It follows that $I = \langle p(x)\rangle$, where $p(x)$ is irreducible. If we assume $p(x)$ is monic, then it is unique. It follows that

$${}^{F[x]}\!/_{\langle p(x)\rangle} \cong F[\alpha].$$

Since $p(x)$ is irreducible, ${}^{F[x]}\!/_{\langle p(x)\rangle}$ is a field. So $F[\alpha]$ is a field. It follows that $F[\alpha] = F(\alpha)$. □

Definition 2.2.5. If α is algebraic over a field F, the unique monic polynomial irreducible polynomial $p(x)$ in Theorem 3 is called the minimal polynomial of α over F.

Remark. From the proof of Theorem 3, if $f(x) \in F[x]$ with $f(\alpha) = 0$, then $p(x) \big| f(x)$.

Theorem 4. *Let ${}^{E}\!/_{F}$ be a field extension and $\alpha \in E$.*

(1) α is transcendental over F if and only if $[F(\alpha) : F]$ is ∞.

(2) α is algebraic over F if and only if $[F(\alpha) : F] < \infty$.

Moreover, if $p(x)$ is the minimal polynomial of α over F, we have $[F[\alpha] : F] = \deg(p)$ and $\{1, \alpha, \alpha^2, \dots, \alpha^{\deg(p)-1}\}$ is a basis of ${}^{F(\alpha)}\!/_{F}$.

Proof. It suffices to prove the forward direction for each statement as the inverse direction implies the other statement.

(1) **Forwards**: From Theorem 2, if α is transcendental over F, then $F(x) \cong F(\alpha)$. In $F(x)$, the elements $\{1, x, x^2, \dots\}$ are linearly independent over F. Thus, $[F(\alpha) : F]$ is ∞.

(2) **Forwards**: From Theorem 3, if α is algebraic over F, ${}^{F[x]}\!/_{\langle p(x)\rangle} \cong F(x)$ with the map $x \mapsto \alpha$. Note that,

$${}^{F[x]}\!/_{\langle p(x)\rangle} \cong \{r(x) \in F[x] : \deg(r) < \deg(p)\} \qquad (\deg(0) = -\infty)$$

Thus, $\{1, x, x^2, \dots, x^{\deg(p)-1}\}$ forms a basis for ${}^{F[x]}\!/_{\langle p(x)\rangle}$. It follows that $[F(\alpha) : F] = \deg(p)$ and $\{1, \alpha, \alpha^2, \dots, \alpha^{\deg(p)-1}\}$ is a basis of ${}^{F(\alpha)}\!/_{F}$.

□

Theorem 5. *Let ${}^{E}\!/_{F}$ be a field extension. If $[E : F] < \infty$, then there exists $\alpha_1, \ldots, \alpha_n \in E$ such that*

$$F \subsetneq F(\alpha_1) \subsetneq \cdots \subsetneq F(\alpha_1, \ldots, \alpha_n) = E.$$

Proof. We proceed with induction on $[E : F]$. If $[E : F] = 1$, $E = F$. Suppose that $[E : F] > 1$ and the statement holds for any field extension ${}^{\widetilde{E}}\!/_{\widetilde{F}}$ with $[\widetilde{E} : \widetilde{F}] < [E : F]$. Let $\alpha_1 \in {}^{E}\!/_{F}$. By Theorem 1,

$$[E : F] = [E : F(\alpha_1)][F(\alpha_1) : F].$$

Since $[F(\alpha) : F] > 1$, we have $[E : F] > [E : F(\alpha_1)]$. By induction hypothesis, there exists $\alpha_2, \ldots, \alpha_n$ such that

$$F(\alpha_1) \subsetneq \cdots \subsetneq F(\alpha_1, \ldots, \alpha_n) = E.$$

Thus, we have

$$F \subsetneq F(\alpha_1) \subsetneq \cdots \subsetneq F(\alpha_1, \ldots, \alpha_n) = E.$$

as desired. □

Definition 2.2.6. A field extension ${}^{E}\!/_{F}$ is algebraic if every $\alpha \in E$ is algebraic over F. Otherwise, it is transcendental.

Theorem 6. *Let ${}^{E}\!/_{F}$ be a field extension. If $[E : F] < \infty$, then ${}^{E}\!/_{F}$ is algebraic.*

Proof. Suppose $[E : F] = n$. For $\alpha \in E$, the elements $\{1, \alpha, \ldots, \alpha^n\}$ are not linearly independent over F. Thus, there exists $c_i \in F$ for all $i = 0, \ldots, n$, not all 0, such that

$$\sum_{i=0}^{n} c_i \alpha^i = 0$$

Thus, α is a root of the polynomial $\sum_{i=0}^{n} c_i \alpha^i \in F[x]$ so it is algebraic over F. □

Theorem 7. *Let ${}^{E}\!/_{F}$ be a field extension. Define,*

$$L \coloneqq \{\alpha \in E : [F(\alpha) : F] < \infty\}.$$

Then L is an intermediate field of ${}^{E}\!/_{F}$.

Proof. If $\alpha, \beta \in L$ with $\beta \neq 0$, we need to show that $\alpha \pm \beta, \alpha\beta, \frac{\alpha}{\beta} \in L$. By definition of L, we have $[F(\alpha)] < \infty$ and $[F(\beta) : F] < \infty$. Consider the field $F(\alpha, \beta)$. Since the minimal polynomial of α over $F(\beta)$ divides the minimal polynomial of α over F (the minimal polynomial α over F, say $p(x) \in F[x]$, is also a polynomial over $F(\beta)$. In otherwords, $p(x) \in F(\beta)[x]$ such that $p(\alpha) = 0$), we have

$$[F(\alpha, \beta) : F(\beta)] \leq [F(\alpha) : F].$$

Combining this with Theorem 1, we have

$$\begin{aligned} [F(\alpha, \beta) : F] &= [F(\alpha, \beta) : F(\beta)][F(\beta) : F] \\ &\leq [F(\alpha) : F][F(\beta) : F] \end{aligned}$$

Since $\alpha + \beta \in F(\alpha, \beta)$, it follows that

$$[F(\alpha + \beta) : F] \leq [F(\alpha, \beta) : F] < \infty,$$

so $a + b \in L$. We can follow a similar line to show $\alpha - \beta, \alpha\beta, \frac{\alpha}{\beta} \in L$. So L is a field. □

Definition 2.2.7. Let ${}^{E}\!/_{F}$ be a field extension. The set,

$$L \coloneqq \{\alpha \in E : [F(\alpha) : F] < \infty\}$$

is called the algebraic closure of F in E.

Definition 2.2.8. A field F is algebraically closed if for any algebraic extension ${}^{E}\!/_{F}$, we have $E = F$.

Example 2.2.9. By the Fundamental Theorem of Algebra, $\mathbb{C}$ is algebraically closed.

2.3 Eisenstein's Criterion

Definition 2.3.1. Let $f(x) = a_n x^n + \cdots + a_1 x + a_0 \in \mathbb{Z}[x]$. We say $f(x)$ is primitive if $a_n > 0$ and $\gcd(a_0, \ldots, a_n) = 1$.

Lemma. *Every non-zero polynomial $f(x) \in \mathbb{Q}[x]$ can be written uniquely as a product $F(x) = cf_0(x)$ where $c \in \mathbb{Q}$ and $f_0(x)$ is a primitive polynomial on $\mathbb{Z}[x]$. Moreover, $f(x) \in \mathbb{Z}[x]$ if and only if $c \in \mathbb{Z}$. If so, then $|c|$ is the greatest common divisor of the coefficients of $f(x)$ and the sign of c is the sign of the leading coefficient of $f(x)$.*

Theorem (Gauss' Lemma for $\mathbb{Z}[x]$)**.** *Let $f(x) \in \mathbb{Z}[x]$ be non-constant. If $f(x)$ is irreducible in $\mathbb{Z}[x]$, then it is irreducible in $\mathbb{Q}[x]$.*

Example 2.3.2. The converse of Section 2.3 is not true. Consider the polynomial $2x + 8$ is irreducible in $\mathbb{Q}[x]$, but $2x + 8 = 2(x + 4)$ is reducible in $\mathbb{Z}[x]$.

Remark. $f(x) \in \mathbb{Z}[x]$ is irreducible in $\mathbb{Z}[x]$ if and only if either

1. $f(x)$ is a prime integer
2. $f(x)$ is a primitive polynomial which is irreducible in $\mathbb{Q}[x]$

Theorem 8 (Eisenstein's Criterion for $\mathbb{Z}[x]$)**.** *Let $f(x) = a_n x^n + \cdots + a_1 x + a_0 \in \mathbb{Z}[x]$ and let p be a prime integer. Suppose that $p \nmid a_n$, $p \mid a_i$ for all $0 \le i \le (n-1)$ and $p^2 \nmid a_0$, then $f(x)$ is irreducible in $\mathbb{Q}[x]$. In particular, if $f(x)$ is primitive, then it is irreducible in $\mathbb{Z}[x]$.*

Proof. Consider the map $f : \mathbb{Z}[x] \to \mathbb{Z}_p[x]$ defined by

$$f(x) \mapsto \overline{f}(x) = \bar{a}_n x^n + \cdots + \bar{a}_1 x + \bar{a}_0$$

where $\bar{a}_i = a_i \pmod{p} \in \mathbb{Z}_p$. Since $p \nmid a_n$ and $p \mid a_i$ for all $0 \le i(n-1)$, we have $\overline{f}(x) = \bar{a}_n x^n$ with $\bar{a}_n \neq 0$. If $f(x)$ is reducible in $\mathbb{Q}[x]$, then it can be factored in $\mathbb{Z}[x]$ into polynomials of positive degree, say $f(x) = g(x)h(x)$ with $g(x), h(x) \in \mathbb{Z}[x]$ and $\deg(g), \deg(h) \ge 1$. It follows that $\bar{a}_n x^n = \bar{g}(x)\bar{h}(x)$ from which we see that $\bar{g}(x)$ and $\bar{h}(x)$ have no constant terms in $\mathbb{Z}_p[x]$, as $\mathbb{Z}_p[x]$ is a UFD. Since the constants of both $g(x)$ and $h(x)$ are divisible by p, this implies that the constant of $f(x)$ is divisible by p^2, which leads to a contradiction. So, $f(x)$ is irreducible in $\mathbb{Q}[x]$ $\square$

Example 2.3.3. The polynomial $2x^7 + 3x^4 + 6x^2 + 12$ is irreducible in $\mathbb{Q}[x]$ by applying Eisenstein's Criterion with $p = 3$.

Example 2.3.4. Consider the n^{th} cyclotomic polynomial defined by

$$\Phi_n(x) = \sum_{\substack{1\leq k\leq n \\ \gcd(k,n)=1}} \left(x - e^{2i\pi\frac{k}{n}}\right).$$

If $n = p$ where p is a prime number, then $\xi_p = e^{\frac{2i\pi}{p}} = \cos\frac{2\pi}{p} + i\sin\frac{2\pi}{p}$ (the p^{th} root of 1) is a root of the p^{th} cyclotomic polynomial. Notice here, since p is co-prime with all $1 \leq k \leq p$, we have

$$\Phi_p(x) = x^{p-i} + x^{p-2} + \cdots + x + 1 = \frac{x^p - 1}{x - 1}$$

Eisenstein's Criterion does not imply the irreducibility of $\Phi_p(x)$ immediately; however, consider

$$\Phi_p(x+1) = \frac{(x+1)^p - 1}{x} = x^{p-1} + \binom{p}{1}x^{p-2} + \cdots + \binom{p}{p-2}x + \binom{p}{p-1} \in \mathbb{Z}[x]$$

with the Binomial Theorem. Since p is prime, $p \nmid 1$, $p \mid \binom{p}{i}, \forall i \in \{1, \ldots, p-1\}$ and $p^2 \nmid \binom{p}{p-1}$. Here, Eisenstein's Criterion gives that $\Phi_p(x+1)$ is irreducible in $\mathbb{Q}[x]$, but if $\Phi_p(x) = g(x)f(x)$, then $\Phi_p(x+1) = g(x+1)h(x+1)$ gives a factorization for $\Phi_p(x+1)$, so $\Phi_p(x)$ must be irreducible in $\mathbb{Q}[x]$ as well. Furthermore, since $\Phi_p(x)$ is primitive, $\Phi_p(x)$ is also irreducible in $\mathbb{Z}[x]$.

Example 2.3.5. Let p be prime and $\xi_p = e^{\frac{2i\pi}{p}}$. Since it is a root of $\Phi_p(x)$, which is irreducible, by Theorem 4,

$$[\mathbb{Q}(\xi_p) : \mathbb{Q}] = \deg(\Phi_p(x)) = p - 1.$$

The field $\mathbb{Q}(\xi_p)$ is called the p^{th} cyclotomic extension on $\mathbb{Q}$.

Example 2.3.6. Let $\overline{\mathbb{Q}}$ be the algebraic closure of $\mathbb{Q}$ in $\mathbb{C}$. Since $\xi_p \in \mathbb{Q}$, we have

$$[\overline{\mathbb{Q}} : \mathbb{Q}] \geq [\mathbb{Q}(\xi_p) : \mathbb{Q}] = p - 1.$$

Since $p \to \infty$, we have $[\overline{\mathbb{Q}} : \mathbb{Q}]$ is ∞. We have seen in Theorem 6 that if E/F is finite, then E/F is algebraic. However, this example shows that the converse is false.

Now, let R be any unique factorization domain and let F be it's fraction field. Then $R[x]$ is a subring of $F[x]$.

Lemma (Gauss' Lemma). *Let R be a UFD with the fraction field F. Let $f(x) \in R[x]$ be non-constant. If $f(x)$ is irreducible in $R[x]$, then it is irreducible in $F[x]$.*

Theorem 9 (Eisenstein's Criterion). *Let R be a UFD with the fraction field F. Let ℓ be an irreducible element of R. If $f(x) = a_n x^n + \cdots + a_1 x + a_0 \in R[x]$ with $n \geq 1$, $\ell \nmid a_n$, $\ell \mid a_i$, for all $0 \leq i \neq (n-1)$ and $\ell^2 \nmid a_0$, then $f(x)$ is irreducible in $F[x]$.*

3 Splitting Fields

Definition 3.0.1. Let ${}^{E}\!/_{F}$ be a field extension. We say $f(x) \in R[x]$ <u>splits over E</u> if E contains all roots of $f(x)$. In other words, $f(x)$ is a product of linear factors in $E[x]$.

Definition 3.0.2. Let ${}^{\widetilde{E}}\!/_{F}$, $f(x) \in F[x]$ and $F \subseteq E \subseteq \widetilde{E}$. If

1. $f(x)$ splits over E
2. there is no proper subfield of E such that $f(x)$ splits over E,

Then we say E is a <u>splitting field of $f(x) \in F[x]$ in $\widetilde{E}$</u>.

3.1 Existence of Splitting Fields

Theorem 10. *Let $p(x) \in F[x]$ be irreducible. The quotient ring ${}^{F[x]}\!/_{\langle p(x)\rangle}$ is a field containing F and a root of $p(x)$.*

Proof. Since $p(x)$ is irreducible, the ideal $I = \langle p(x)\rangle$ is maximal. Thus, $E = {}^{F[x]}\!/_{I}$ is a field. Consider the map

$$\Psi : F \to E, \quad a \mapsto a + I$$

Since F is a field and $\Psi \neq 0$, Ψ is injective. Thus, be identifying F with $\Psi(F)$, F is a subfield of E.

Claim. *Let $\alpha = x + I \in E$. Then α is a root of $p(x)$.*

Notice,

$$\begin{aligned} p(x) &= a_0 + a_1 x + \cdots + a_n x^n \\ &= (a_0 + I) + (a_1 + I)x + \ldots (a_n + I)x^n \\ &\in E[x]. \end{aligned}$$

Thus, we have

$$\begin{aligned} p(\alpha) &= (a_0 + I) + (a_1 + I)\alpha + \cdots + (a_n + I)\alpha^n \\ &= (a_0 + I) + (a_1 + I)(x + I) + \cdots + (a_n + I)(x + I)^n \\ &= (a_0 + a_1 x + \cdots + a_n x^n) + I && \text{(since } (x+I)^i = x^i + I) \\ &= p(x) + I \\ &= 0 + I \\ &= I \end{aligned}$$

Thus, $\alpha = x + I \in E$ is a root of $p(x)$. □

Theorem 11 (Kronecker)**.** *Let $f(x) \in F[x]$. There exists a field E containing F such that $f(x)$ splits over E.*

Proof. We proceed with induction on $\deg(f)$. If $\deg(f) = 1$, let $E = F$ and we are done. Suppose $\deg(f) > 1$ and the statement holds for all $g(x)$ with $\deg(g) > \deg(f)$ ($g(x)$ need not to be in $F[x]$). We write $f(x) = p(x)h(x)$, where $p(x), h(x) \in F[x]$ and $p(x)$ is irreducible. By Theorem 10, there exists a field K such that $F \subseteq K$ and K containing a root of $p(x)$, say α. Thus, $p(x) = (x - \alpha)q(x)$ and $f(x) = (x - \alpha)g(x)h(x)$ where $q(x) \in K[x]$. Since $\deg(hq) < \deg(f)$, by induction, there exists a field E containing K over which $h(x)q(x)$ splits. It follows that $f(x)$ splits over E. □

Theorem 12. *Every $f(x) \in F[x]$ has a splitting field, which is a finite extension of F.*

Proof. For $f(x) \in F[x]$, by Theorem 11, there exists a field extension ${}^{E}\!/_{F}$ over which $f(x)$ splits, say $\alpha_1, \alpha_2, \ldots, a_n$ are roots of $f(x) \in E$. Consider $F(\alpha_1, \ldots, \alpha_n)$. The field contains all the roots of $f(x)$ and $f(x)$ does not split over any proper subfield of it. Thus, $F(\alpha_1, \ldots, \alpha_n)$ is the splitting field of $f(x)$ in E. In addition, since α_i are all algebraic, ${}^{F(\alpha_1, \ldots, \alpha_n)}\!/_{F}$ is finite. $\square$

3.2 Uniqueness of Splitting Fields

We have seen from Theorem 12 that for a field extension ${}^{\widetilde{E}}\!/_{F}$, a splitting field of $f(x) \in F[x]$ in E is of the form $F(\alpha_1, \ldots, \alpha_n)$ where α_i are roots of $f(x)$ in $\widetilde{E}$. Thus, it is unique within $\widetilde{E}$.

If we change ${}^{E}\!/_{F}$ to a different field extension, sat ${}^{E'}\!/_{F}$, what is the relation between the splitting field of $f(x)$ in E and the one in E'?

Definition 3.2.1. Let $\phi : R \to R'$ be a ring homomorphism, and $\Phi : R[x] \to R'[x]$ be the unique ring homomorphism satisfying $\Phi\big|_R = \emptyset$ and $\Phi(x) = x$. In this case, we say <u>Φ extends ϕ</u>. More generally, if $R \subseteq S$, $R' \subseteq S'$, and $\Phi : S \to S'$ is a ring homomorphism with $\Phi\big|_R = \emptyset$, we say <u>Φ extends ϕ</u>.

Theorem 13. *Let $\phi : F \to F'$ be an isomorphism of fields and $f(x) \in F[x]$. Let $\Phi : F[x] \to F'[x]$ be the unique ring homomorphism which extends ϕ. Let $f'(x) = \Phi(f(x))$ and ${}^{E}\!/_{F}$ and ${}^{E'}\!/_{F'}$ be splitting fields of $f(x)$ and $f'(x)$ respectively. Then there exists an isomorphism $\Psi : E \to E'$.*

Proof. We proceed with induction on $[E : F]$. If $[E : F] = 1$, then $f(x)$ is a product of linear factors in $F[x]$, and so is $f'(x)$ in $F'[x]$. Thus, $E = F$ and $E' = F'$ so take $\Psi = \phi$ and we are done. Now, suppose $[E : F] < 1$ and the statement is true for all field extensions ${}^{\widetilde{E}}\!/_{\widetilde{F}}$ with $[\widetilde{E} : \widetilde{F}] < [E : F]$. Let $p(x) \in F[x]$ be an irreducible factor of $f(x)$ with $\deg(p) > 1$ and let $p'(x) = \Phi(f(x))$ (such $p(x)$ exists as if all irreducible factors of $f(x)$ are of degree 1, then $[E : F] = 1$). Let $\alpha \in E$ and $\alpha' \in E'$ be roots of $p(x)$ and $p'(x)$ respectively. From Theorem 3, we have an F-isomorphism,

$$F(\alpha) \cong {}^{F[x]}\!/_{\langle p(x) \rangle}, \quad \alpha \mapsto x + \langle p(x) \rangle$$

Similarly, there is an F'-isomorphism,

$$F'(\alpha) \cong {}^{F'[x]}\!/_{\langle p'(x) \rangle}, \quad \alpha \mapsto x + \langle p'(x) \rangle$$

Consider the isomorphism $\Phi : F[x] \to F'[x]$ which extends ϕ. Since $p'(x) = \Phi(f(x))$, there exists a field isomorphism,

$$\widetilde{\Phi} : {}^{F[x]}\!/_{\langle p(x) \rangle} \to {}^{F'[x]}\!/_{\langle p'(x) \rangle}, \quad x + \langle p(x) \rangle \mapsto x + \langle p'(x) \rangle$$

which extends ϕ. It follows that there exists a field isomorphism,

$$\widetilde{\phi} : F(\alpha) \to F'(\alpha), \quad \alpha \mapsto \alpha'$$

which extends ϕ. Note that since $\deg(p) \geq 2$, $[E : F[\alpha]] < [E : F]$. Since E (respectively E') is the splitting field of $f(x) \in F(\alpha)[x]$ (respectively $f(x) \in F(\alpha)[x]$) over $F(\alpha)$ (respectively $F'(\alpha')$), by induction, there exists $\Psi : E \to E'$ which extends $\widetilde{\phi}$. Thus, Ψ extends ϕ. $\square$

Corollary 14. *Any two splitting fields of $f(x) \in F[x]$ over F are F-isomorphic. Thus, we say "the" splitting field of $f(x)$ over F.*

Proof. Let $\phi : F \to F$ be the identity map and apply Theorem 13 $\square$

3.3 Degree of Splitting Fields

Theorem 15. *If* ${}^{E}\!\diagup_{F}$ *is the splitting field of* $f(x)$*, then* $[E:F] \mid \deg(f)!$.

Proof. We proceed by induction on $\deg(f)$. If $\deg(f)=1$, choose $E=F$ and we have $[E:F] \mid 1$. Suppose $\deg(f)<1$ and the statement holds for all $g(x)$ with $\deg(g)<\deg(f)$. We break this down into two cases.
Case 1: If $f(x)\in F[x]$ is irreducible and $\alpha \in E$ is a root of $f(x)$, by Theorem 13,

$$F(\alpha) \cong {}^{F[x]}\!\diagup_{\langle f(x)\rangle} \quad \text{and}[F(\alpha):F]=\deg(f)=n.$$

We write $f(x)=(x-\alpha)g(x)\in F(\alpha)[x]$ with $g(x)\in F(\alpha)[x]$. Since E is the splitting field of $g(x)$ over $F[\alpha]$ and $\deg(g)=n$, by induction hypothesis, $[E:F(\alpha)] \mid (n-1)!$. Since $[E:F]=[E:F(\alpha)][F(\alpha):F]$, it follows that $[E:F] \mid n!$.
Case 2: If $f(x)$ is not irreducible, write $f(x)=g(x)h(x)$ with $g(x),h(x)\in F[x]$, $\deg(g)=m, \deg(h)=k$, $1\le m,k,<n$ and $m+k=n$. Let K be the splitting field of $g(x)$ over F. Since $\deg(g)=m$, by induction, $[K:F] \mid m!$. Since E is the splitting field of $h(x)$ over K and $\deg(h)=k$, by induction hypothesis, $[E:K] \mid k!$. Thus, $[E:F] \mid m!\,k!$, which is a factor of $n!$ as

$$\frac{n!}{m!\,k!}=\binom{n}{m}\in\mathbb{Z}$$

□

4 Finite Fields

4.1 Prime Fields

Definition 4.1.1. The prime field of a field F is the intersection of all subfields of F.

Theorem 16. *If F is a field, then its prime field is isomorphic to either $\mathbb{Q}$ or $\mathbb{Z}_p := \mathbb{Z}/p\mathbb{Z}$ for some prime p.*

Proof. Consider the ring map $\chi : \mathbb{Z} \to F$ defined by

$$\chi(n) = n \cdot 1 = \underbrace{1 + \cdots + 1}_{n \text{ times}}$$

Let $I = \ker(\chi)$, the kernel of χ. Since $\mathbb{Z}/I \cong \operatorname{im}(\chi)$, a subring of F, it is an integral domain. Thus, I is a prime ideal. We break this down to two cases.
Case 1: If $I = \langle 0 \rangle$, then $\mathbb{Z} \subseteq F$. Since F is a field, $\mathbb{Q} = \operatorname{Frac}(\mathbb{Z}) \subseteq F$.
Case 2: If $I = \langle p \rangle$, then

$$\mathbb{Z}_p = \mathbb{Z}/p\mathbb{Z} \cong \operatorname{im}\chi \subseteq F$$

$\square$

Definition 4.1.2. Given a field F, if its prime field is isomorphic to $\mathbb{Q}$ (respectively $\mathbb{Z}_p$), we say F has characteristic 0 (respectively characteristic p) denoted by $\operatorname{ch}(F) = 0$ (respectively $\operatorname{ch}(F) = p$).

Remark. Note that if $\operatorname{ch}(F) = p$, for $a, b, \in F$,

$$(a + b)^p = a^p + b^p.$$

Using this property, the following proposition follows.

Proposition 17. *Let F be a field with $\operatorname{ch}(F) = p$ and let $n \in \mathbb{N}$. Then, the map $\phi : F \to F$ given by $u \mapsto u^{p^n}$ is an injective $\mathbb{Z}_p$-homomorphism of fields. If F is finite, then ϕ is a $\mathbb{Z}_p$-isomorphism of F.*

4.2 Formal Derivatives and Repeated Roots

Definition 4.2.1. If F is a field, the monomials $\{1, x, x^2, \dots\}$ for a F-basis of $F[x]$. Define the linear operator

$$D : F[x] \to F[x]$$

by $D(1) = 0$ and $D(x^i) = ix^{i-1}$ for all $i \in \mathbb{N}$. Thus for

$$f(x) = a_0 + a_1 x + \cdots + a_n x^n, a_i \in F$$

we have

$$D(f)(x) = a_1 + 2a_2 x + \cdots + n a_n x^{n-1}.$$

Note that

1. D is linear: $D(f + g) = D(f) + D(g)$
2. D respects the Leibniz Rule: $D(fg) = \big(D(f)\big)g + f\big(D(g)\big)$.

We call $D(f) = f'$ the formal derivative of f.

Theorem 18. *Let F be a field and $f(x) \in F[x]$.*

(1) If $\mathrm{ch}(F) = 0$, then $f'(x) = 0$ if and only if $f(x) = c$ for some $c \in F$.

(2) If $\mathrm{ch}(F) = p$, then $f'(x) = 0$ if and only if $f(x) = g(x^p)$ for some $g(x) \in F[x]$

Proof.

(1) Backwards is trivial. Suppose we have $f(x) = a_0 + a_1 x + \cdots + a_n x^n$, then $f'(x) = a_1 + 2a_2 x + \cdots + na_n x^{n-1} = 0$. This implies that $ia_i = 0$ for all $1 \leq i \leq n$, Since $\mathrm{ch}(F) = 0$, $i \neq 0$. Thus, $a_i = 0$ for all $i \geq 1$. This, $f(x) = a_0 \in F$.

(2) Forwards. For $f(x) = a_0 + a_1 x + \cdots + a_n x^n$, $f'(x) = a_1 + 2a_2 x + \cdots + na_n x^{x-1} = 0$ implies that $ia_i = 0$ for all $1 \leq i \leq n$. Since $\mathrm{ch}(F) = p$, $ia_i = 0$ implies that $ia_i = 0$ implies that $a_i = 0$ unless $p \mid i$. Thus,

$$f(x) = a_0 + a_p x^p + \ldots a_{mp} x^{mp} = g(x^p)$$

where $g(x) = a_0 + a_p + \cdots + a_{mp} x^m \in F[x]$.
Backwards. Write $g(x) = b_0 + b_1 x + \cdots + b_m x^m \in F[x]$. Then,

$$f(x) = g(x^p) = b_0 + b_1 x^p + \cdots + b_m x^{mp}.$$

Thus, $f'(x) = pb_1 x^{p-1} + 2pb_2 x^{2p-1} + \cdots + mpb_m^{pm-1}$. Since $ch(F) = p$, we have $f'(x) = 0$.

□

Definition 4.2.2. Let E/F is a field extension and $f(x) \in F[x]$. We say $\alpha \in E$ is a repeated root of $f(x)$ if $f(x) = (x - \alpha)^2 g(x)$ for some $g(x) \in E[x]$.

Theorem 19. *Let E/F is a field extension and $f(x) \in F[x]$. Then α is a repeated root of $f(x)$ if and only if $(x - \alpha)$ divides both f and f'. In other words, $(x - \alpha) \mid \gcd(f, f')$.*

Proof. Forwards. Suppose $f(x) = (x - \alpha)^2 g(x)$. Then,

$$\begin{aligned} f'(x) &= 2(x-\alpha)g(x) + (x-\alpha)^2 g'(x) \\ &= (x-a)\big(2g(x) + (x-\alpha)g'(x)\big) \end{aligned}$$

Thus, $(x - \alpha)$ divides both f and f'.
Backwards. Suppose $(x-\alpha)$ divides both f and f'. We write $f(x) = (x-\alpha)h(x)$ where $h(x) \in E[x]$. Then, $f'(x) = h(x) + (x - \alpha)h'(x)$. Since $f'(\alpha) = 0$, we have $h(\alpha) = 0$. Thus, $(x - \alpha)$ is a factor of $h(x)$ and $f(x) = (x - \alpha)^2 g(x)$ for some $g(x) \in E[x]$. □

Corollary 20. *Let F be a field and $f(x) \in F[x]$. Then $f(x)$ has no repeated roots if and only if* $\gcd(f, f') = 1$.

4.3 Finite Fields

Proposition 21. *If F is a finite field, then* $\mathrm{ch}(F) = p \neq 0$ *for some prime p and $|F| = p^n$ for some $n \in \mathbb{N}$.*

Proof. Since F is a finite field, by Theorem 16, its prime field is $\mathbb{Z}_p$. Since F is a finite dimensional vector space over $\mathbb{Z}_p$, we have

$$F \cong \underbrace{\mathbb{Z}_p \times \cdots \times \mathbb{Z}_p}_{n \text{ summands}}.$$

Thus $|F| = p^n$. □

Theorem 22. *Let F be a field and $F^* = F \setminus \{0\}$, multiplicative group of nonzero elements of F. Let G be a finite subgroup of F^*, then G is a cyclic group. In particular, if F is a finite field, then F^* is a cyclic group.*

Proof. If $G = \langle 1 \rangle$, the result follows immediately. Otherwise, since G is a finite abelian group,

$$G \cong \mathbb{Z}/n_1\mathbb{Z} \times \cdots \times \mathbb{Z}/n_r\mathbb{Z}$$

where $n_i > 1$ and $n_1 \mid \cdots \mid n_r$. Since $n_r\left(\mathbb{Z}/n_1\mathbb{Z} \times \cdots \times \mathbb{Z}/n_r\mathbb{Z}\right) = 0$, it follows that every $u \in G$ is a root of $x^{n_r} - 1 \in F[x]$. Since the polynomial has at most n_r distinct roots in F, we have $r = 1$ and $G \cong \mathbb{Z}/n_r\mathbb{Z}$. □

By taking u to be a generator of the multiplicative group F^*, we have the following corollary.

Corollary 23. *f F is a finite field, then F is a simple extension of $\mathbb{Z}_p$. In other words, $F = \mathbb{Z}_p(u)$ for some $u \in F$.*

Proposition 24. *Let p be prime and $n \in \mathbb{N}$. Then F is a finite field with $|F| = p^n$ if and only if F is a splitting field of $x^{p^n} - x$ over $\mathbb{Z}_p$.*

Proof. Forwards: If $|F| = p^n$, then $|F^*| = p^n - 1$. Thus, every $u \in F$ satisfies $u^{p^n-1} = 1$ and thus is a root of $x(x^{p^n-1} - 1) = x^{p^n} - x \in \mathbb{Z}_p[x]$. Since $0 \in F$ is also a root of $x^{p^n} - x$, the polynomial $x^{p^n} - x$ has distinct p^n distinct roots in F. In other words, it splits over F. Thus F is a splitting field of $x^{p^n} - x$ over $\mathbb{Z}_p$.
Backwards: Suppose F is a splitting field of $f(x) = x^{p^n} - x$ over $\mathbb{Z}_p$. Since $\mathrm{ch}(F) = p$, we have

$$\begin{aligned} f'(x) &= p^n x^{p^n-1} - 1 \\ &\equiv -1 \pmod{p}. \end{aligned}$$

Now, since $\gcd(f, f') = 1$, by Corollary 20, $f(x)$ has p^n distinct roots in F. Let E be the set of the roots of $f(x)$ in F. Let $\varphi : F \to F$ be given by $u \mapsto u^{p^n}$. For $u \in F$, u is a root of $f(x)$ if and only if $\varphi(u) = u$. Thus, the set E is a subfield of F of order p^n which contains $\mathbb{Z}_p$. Since F is a splitting field, it is generated over $\mathbb{Z}_p$ by the roots of $f(x)$, in other words, the elements of E. Thus, $F = \mathbb{Z}_p(E) = E$. □

As a direct consequence of Proposition 24 and Corollary 14, we have the following corollary.

Corollary 25 (E. H. Moore)**.** *Let p be a prime and $n \in \mathbb{N}$. Then any two finite field of order p^n are isomorphic.*

4.4 Separable Polynomials

Definition 4.4.1. Let F be a field and $f(x) \in F[x]$, $f \neq 0$. If $f(x)$ is irreducible, we say $f(x)$ is separable over F if it has no repeated root in any extension E of F. In the general case, we say $f(x)$ is separable over F if each irreducible factor of f is separable over F.

Example 4.4.2. $f(x) = (x-2)^2$ is separable over $\mathbb{Q}$.

Example 4.4.3. Consider the polynomial $f(x) = x^n - a \in F[x]$ with $n \geq 2$. We recall that if $\gcd(f, f') = 1$, then $f(x)$ has no repeated root in any extension of F. In other words, $f(x)$ is separable. Note that if $a = 0$, the only irreducible factor of $f(x)$ is x and $\gcd(x, 1) = 1$. Thus, $f(x)$ is separable. Now, assume $a \neq 0$. Note that $f'(x) = nx^{n-1}$.

1. If $\mathrm{ch}(F) = 0$, we have $\gcd(f, f') = 1$ since
$$\begin{aligned} 1 &= \frac{x}{na} nx^{n-1} - \frac{1}{a}(x^n - a) \\ &= \frac{x}{na} f' - \frac{1}{a} f \end{aligned}$$
Thus, f is separable.

2. If $\mathrm{ch}(F) = p$ and $\gcd(n, p)$, then by Fermat's Little Theorem, $f(x) = x - a$ and $f'(x) = 1$ so $\gcd(f, f') = 1$ and $f(x)$ is separable.

3. If $\mathrm{ch}(F) = p$ and $\gcd(n, p) \neq 1$, consider $f(x) = x^p - a$. Since $f'(x) = px^{p-1} = 0$, we have $\gcd(f, f') \neq 1$. However, it is still possible that all irreducible factors $\ell(x)$ of $f(x)$ has the property that $\gcd(\ell, \ell') = 1$. To decide if $f(x)$ is separable, we need to find its irreducible factors first. Define
$$F^p := \{b^p : b \in F\}$$
which is a subfield of F.

   3.1. If $a \in F^p$, say $a = b^p$ for some $b \in F$, then
$$f(x) = x^p - b^p = (x - b)^p \qquad \text{(by Binomial Theorem)}$$
which is irreducible. Since each irreducible factor of $f(x)$ is linear, thus separable. Thus, $f(x)$ is separable.

   3.2. Suppose $a \notin F$

   Claim. *$f(x) = x^p - a$ is irreducible in $F[x]$.*

   We write $x^p - a = g(x)h(x)$ where $g(x), h(x) \in F[x]$ are monic polynomials. Let E/F be an extension where $x^p - a$ has a root, say $\beta \in E$ (i.e. $\beta^p - a = 0$). Note that $\beta \notin F$, otherwise $a = b^p \in F^p$. We have
$$x^p - a = x^p - \beta^p = (x - \beta)^p. \qquad \text{(by Binomial Theorem)}$$
Thus, $g(x) = (x - \beta)^r$ and $h(x) = (x - \beta)^s$ for some $r, s \in \mathbb{N} \cup \{0\}$ and $r + s = p$. We write,
$$g(x) = x^r - r\beta x^{r-1} + \dots$$
then $r\beta \in F$. Since $\beta \notin F$, as an element of F, we have $r = 0$. Thus, as an integer, we have $r = 0$ or $r = p$. It follows that wither $g(x) = 1$ or $h(x) = 1$ in $F[x]$. Thus, $f(x)$ is

irreducible.

Since $f(x)$ is irreducible and $f(x) = (x - \beta)^p \in E[x]$, it is not separable. This type of polynomial is called a purely inseparable polynomial.

Definition 4.4.4. A field F is perfect if every irreducible polynomial $r(x) \in F[x]$ is separable over F.

Theorem 26. *Let F be a field.*

(1) If $\text{ch}(F) = 0$, then F is perfect.

(2) If $\text{ch}(F) = p$ and $F = F^p$, then F is perfect.

Proof. Let $r(x) \in F[x]$ be irreducible. Then

$$\gcd(r, r') = \begin{cases} 1 & , \text{if } r' \neq 0 \\ 0 & , \text{if } r' = 0 \end{cases}.$$

Suppose $r(x)$ is not separable. Then by Corollary 20, $\gcd(r, r') \neq 1$, so it must be that $\gcd(r, r') = 0$.

(1) If $\text{ch}(F) = 0$, from Theorem 18, $r'(x) = 0$ implis that $r(x) = c \in F$, which is a contradiction since $\deg(r) \geq 1$. Thus, $r(x)$ is separable and F is perfect.

(2) If $\text{ch} = p$, from Theorem 18, $r'(x) = 0$ implies that

$$r(x) = a_0 + a_1 x^p + a_2 x^{2p} + \cdots + a_m x^{mp}, a_i \in F$$

Since $F = F^p$, we can write $a_i = b_i^p$ with $b_i \in F$. Thus,

$$\begin{aligned} r(x) &= b_0^p + b_1^p x^p + b_2^p x^{2p} + \cdots + b_m^p x^{mp} \\ &= (b_0 + b_1 x + b_2 x^2 + \cdots + b_m x^m)^p \end{aligned}$$

which raises a contradiction since $r(x)$ is irreducible. Thus, $r(x)$ must be separable and F is perfect.

$\square$

Remark. Let $\text{ch}(F) = p$ and $F \neq F^p$ (e.g. $F = \mathbb{F}_p(x)$). If we take $a \in F/F_p$, then the polynomial $x^p - a$ is not separable. Thus, if $\text{ch}(F) = p$, F is perfect if and only if $F^p = F$.

Corollary 27. *Every finite field is perfect.*

Proof. Every finite field F with $|F| = p^n$ is the splitting field of $x^{p^n} - x$ over $\mathbb{Z}_p$ for some prime p and $n \in \mathbb{N}$. Thus, for every $a \in F$, $a = a^{p^n} = (a^{p^{n-1}})^p$. Since $a^{p^{n-1}} \in F$, $F = F^p$. Thus, by Theorem 26, F is perfect. $\square$

Remark. It is possible that $F^p = F$ and F is an infinite field, say $F = \overline{\mathbb{F}_p}$.

5 The Sylow Theorems

Recall the following definitions and theorems from Group Theory:

Theorem (Lagrange's Theorem). *If H is a subgroup of a group G, then $|G| = [G : H]|H|$. In particular, if G is finite, and $g \in G$, then $|\langle g \rangle|$ divides $|G|$.*

We can ask the reverse question; if a positive integer m divides the order of a group G, does G have a subgroup of order m?

Definition 5.0.1. An <u>action</u> of a group G on a set S is a function $G \times S \to S$ (usually denoted by $(g, x) \mapsto gx$ such that for all $x \in S$ and $q_1, g, 2 \in G$, we have $ex = x$ and $(g_1 g_2)x = g_1(g_2 x)$). We say G acts on S by a group action.

Definition 5.0.2. If G acts on S, for $x \in S$, we define the <u>orbit</u> of x by $\bar{x} := \{gx : g \in G\}$.

Definition 5.0.3. If G acts on S, for $x \in S$, we define the <u>stabilizer</u> of x by $G_x := g \in G : gx = x$. G_x is a subgroup of G and $|\bar{x}| = [G : G_x]$.

Definition 5.0.4. Let G be a group acting on itself by conjugation. Then, for $x \in G$, we define the <u>centralizer</u> of x by $C_G(x) := G_x = \{g \in G : gxg^{-1} = x$.

Definition 5.0.5. Let S be all subgroups of G and let G act on S by conjugation. Then, for $K \in S$, we define the <u>normalizer</u> of K by $N_G(K) := G_K = g \in G : gKg^{-1} = K$.

Definition 5.0.6. Let G be a group. Then we define the <u>center</u> of G by $C(G) := \{g \in G : gxg^{-1} = x, \forall x \in G\}$.

Theorem (Class Equation of a Group). *Suppose G is a finite group acting on itself by conjugation, $C(G)$ is the center of G, and $C_1, C_2, \ldots, C_r$ are all the conjugacy classes in G comprising the elements outside the center. Let g_i be an element in C_i for each $1 \leq i \leq r$. Then, we have*

$$|G| = |C(G)| + \sum_{i=1}^{r} |G : C_G(g_i)|.$$

Lemma 28. *Let H be a group of order p^n for some prime p, which acts on a finite set S. Let $S_0 = \{x \in S : hx = x, \forall h \in H\}$. Then, we have $|S| \equiv |S_0| \pmod{p}$.*

Proof. For $x \in S$, $|\bar{x}| = 1$ if and only if $x \in S_0$. Thus, S can be written as a disjoint union $S = S_0 \cup \overline{x_1} \cup \cdots \cup \overline{x_n}$. Thus,

$$|S| = |S_0| + \sum_{i=0}^{n} |\overline{x_i}|.$$

Since $|\overline{x_i}| > 1$ and $|\overline{x_i}| = [H : H_{x_i}]$ divides $|H| = p^n$, we have $p \mid |\overline{x_i}|$ for all i. It follows that $|S| \equiv |S_0| \pmod{p}$. $\square$

Theorem 29. *Let p be prime and G a finite group. If $p \mid |G|$, then G contains an element of order p.*

Proof. Consider the set,

$$S = \{(a_1, \ldots, a_p) : a_i \in G, a_1 \cdots a_p = e\}.$$

Since a_p is uniquely determined and $|G| = n$, we have$|S| = n^{p-1}$. Since $p \mid n$, we have $|S| \equiv 0 \pmod{p}$. Let the group $\mathbb{Z}_p$ act on S by cyclic permutation, in other words, for $k \in \mathbb{Z}_p, k(a_1, \ldots, a_p) =$

$(a_{k+1}, a_{k+2}, \ldots, a_p, a_1, \ldots, a_k)$. Since $(a_1, \ldots, a_p) \in S_0$ if and only if $a_1 = \cdots = a_p$. Clearly, $(e, \ldots, e) \in S_0$, so $|S_0| \geq 1$. By Lemma 28, we have $|S_0| \equiv |S| \equiv 0 \pmod{p}$. So $|S_0| \geq p$. Thus, there exists $a \neq e$ such that $(a, \ldots, a) \in S_0$ which implies that $a^p = e$. Since p is prime, the order of a is p. $\square$

Definition 5.0.7. Let p be a prime. A group in which the order of every element is a non-negative power of p is called a p-group.

Corollary 30. *A finite group G is a p-group if and only if $|G|$ is a power of p.*

Proof. This is a direct consequence of Theorem 29. $\square$

Lemma 31. *The center $C(G)$ of a nontrivial finite p-group G contains more than 1 element.*

Proof. Since G is a p-group by Corollary 30, $|G|$ is a power of p. Recall the class equation:

$$|G| = |C(G)| + \sum_{i=1}^{n} [G : C_G(x_i)], \quad \text{where } [G : C_G(x_i)] \geq 1.$$

Since $|G|$ is a power of p, $[G : C_G(x_i)] \mid |G|$, and $[G : C_G(x_i)] > 1$. We see that $p \mid [G : C_G(x_i)]$. It follows that $p \mid |C(G)|$ since $|C(G)| \geq 1$ so $C(G)$ has at least p elements. $\square$

Definition 5.0.8. If H is a subgroup of a group G, then the <u>normalizer</u> of H is defined by

$$N_G(H) = \{g \in G : gHg^{-1} = H\}.$$

In particular, $H \triangleleft N_G(H)$.

Lemma 32. *If p is prime and H is a p-subgroup of a finite group G, then $[N_G(H) : H] \equiv [G : H] \pmod{p}$.*

Proof. Let S be the set of all left cosets of H in G and let H act on S by left translation, $G \times S \to S$ defined by,

$$h \cdot xH \mapsto (hx)H$$

which fixes on coset to another. Then, $|S| = [G : H]$. For some fixed $x \in G$, consider the set S_0 defined by $xH \in S_0 \iff hxH = xH$ for all $h \in H$. In other words, all elements of S_0 are fixed by the group action. Now, we have,

$$\begin{aligned} xH \in S_0 &\iff hxH = xH \\ &\iff x^{-1}hxH = H \\ &\iff x^{-1}Hx = H \\ &\iff x \in N_G(H) \end{aligned}$$

So, $|S_0| = [N_G(H) : H]$. By Lemma 28,

$$\begin{aligned} [N_G(H) : H] &= |S_0| \\ &\equiv |S| \pmod{p} \\ &= [G : H]. \end{aligned}$$

$\square$

Corollary 33. *If H is a p-subgroup of a finite group G such that $p \mid [G : H]$, then $N_G(H) \neq H$.*

Proof. Since $p \mid [G : H]$, by Lemma 32 we have $[H_G(H) : H] \equiv [G : H] \equiv 0 \pmod{p}$. Since $p \mid [N_G(H) : H]$ and $[N_G(H) : H] \geq 1$, we have $[N_G(H) : H] \geq p$, so $N_G(H) \neq H$. ☐

Theorem 34 (First Sylow Theorem)**.** *Let G be a group with order $p^n m$ with p prime, $n \geq 1$, $\gcd(p, m) = 1$. Then G contains a subgroup of order p^i for all $1 \leq i \leq n$ which is normal under some subgroup of order p^{i+1}.*

Proof. We proceed with induction on i. For $i = 1$, since $p \mid |G|$, we have be Theorem 29 that G contains an element a of order p, so $|\langle a \rangle| = p$. Suppose that the statement holds for some $1 \leq i \leq n$, say H is a subgroup of order p^i. Now, from Corollary 33, we have $p \mid [N_G(H) : H]$ and $[N_G(H) : H] \geq p$, since $H \triangleleft N_G(H)$. Then, by Theorem 29, ${N_G(H)}/{H}$ contains a subgroup of order p. Such a group is of the from ${H'}/{H}$ where H' is a subgroup of $N_G(H)$ containing H. Since $H \triangleleft N_G(H)$, we have $H \triangleleft H'$. Finally, $|H'| = |H| \left| {H'}/{H} \right| = p^{i+1}$. ☐

Definition 5.0.9. A subgroup P of a group G is said to be a <u>Sylow p-subgroup</u> if P is a maximal p-subgroup of G. In other words, if $P \subseteq H \subseteq G$ with H a p-subgroup of G, then $P = H$.

Corollary 35. *Let G be a group of order $p^n m$ where p is a prime, $n \geq 1$, $\gcd(p, m) = 1$. Let H be a p-subgroup of G. Then, all the following hold:*

(1) H is a Sylow p-subgroup if and only if $|H| = p^n$

(2) Every conjugate of a Sylow p-subgroup is a Sylow p-subgroup

(3) If there is only one Sylow p-subgroup, P, then $P \triangleleft G$.

Theorem 36 (Second Sylow Theorem)**.** *If H is a p-subgroup of a finite group G, and P is any Sylow p-subgroup of G, then there exists $g \in G$ such that $H \subseteq gPg^{-1}$. In particular, any two Sylow p-subgroups of G are conjugate.*

Proof. Let S be the set of all left cosets of P in G, and let H act on S by left multiplication. By Lemma 28, we have $|S_0| \equiv |S| = [G : P] \pmod{p}$. Since $p \nmid [G : P]$, we have $|S_0| \neq 0$. There exists $xP \in S_0$ for some $x \in G$. Note that

$$\begin{aligned} xP \in S_0 &\iff hxP = xP, \quad \forall h \in H \\ &\iff x^{-1}hxP = P, \quad \forall h \in H \\ &\iff x^{-1}Hx \subseteq P \\ &\iff H \subseteq xPx^{-1}. \end{aligned}$$

In particular, if H is a Sylow p-subgroup, then $|H| = |P| = |xPx^{-1}|$. Thus, $H = xPx^{-1}$. ☐

Theorem 37 (Third Sylow Theorem)**.** *If G is a finite group and p is prime, then the number of Sylow p-subgroups of G divides $|G|$ and is of the form $kp + 1$ for some $k \in \mathbb{N} \cup \{0\}$.*

Proof. By the Second Sylow Theorem, the number of Sylow p-subgroups of G is the number of conjugates of any one of them, say P. This number is $[G : N_G(P)]$ which is a divisor of $|G|$. Let S be the set of all Sylow p-subgroups of G and let P act on S by conjugation. Then, $Q \in S_0$ if and only if $xQx^{-1} = Q$ for all $x \in P$. The latter condition holds if and only if $P \subseteq N_G(Q)$. Both P and Q are Sylow p-subgroups of G and hence of $N_G(Q)$. Thus, by Corollary 35, the are conjugate in $N_G(Q)$. Since $Q \triangleleft N_G(Q)$, this can only occur if $Q = P$. Thus, $S_0 = \{p\}$ and by Lemma 28, $|S| \equiv |S_0| \equiv 1 \pmod{p}$. Thus, $|S| = kp + 1$ for some $k \in \mathbb{N} \cup \{0\}$. ☐

Example 5.0.10. Every group of order 15 is cyclic.

Let G be a group of order $15 = 3 \cdot 5$. Let n_p be the number of Sylow p-subgroups of G. By the Third Sylow Theorem, we have $n_3 \mid 15$ and $n_3 \equiv 1 \pmod 3$. Thus, $n_3 = 1$. Similarly, since $n_5 \mid 15$ and $n_5 \equiv 1 \pmod 5$, $n_5 = 1$. It follows that there is only one Sylow 3-subgroup and one Sylow 5-subgroup in G, say P_3 and P_5 respectively. Thus, $P_3 \lhd G$ and $P_5 \lhd G$. Consider $|P_3 \cap P_5|$, which divides 3 and 5. Thus, $|P_3 \cap P_5| = 1$. Also, $|P_3P_5| = 15 = |G|$. It follows that

$$G \cong P_3 \times P_5 \cong \mathbb{Z}/\langle 3\rangle \times \mathbb{Z}/\langle 5\rangle \cong \mathbb{Z}/\langle 15\rangle.$$

Example 5.0.11. There are exactly two isomorphism classes of groups of order 21.

Let G be a group of order $21 = 7 \cdot 3$. Let n_p be the number of Sylow p-subgroups of G. By the Third Sylow Theorem, we have $n_3 \mid 21$ and $n_3 \equiv 1 \pmod 3$. Thus, $n_3 = 1$ or 7. Similarly, we have $n_7 \mid 21$ and $n_7 \equiv 1 \pmod 7$. Thus, $n_7 = 1$. It follows that G has a unique Sylow 7-subgroup, say P_7 and note that $P_7 \lhd G$ and P_7 is cyclic, say $P_7 = \langle x\rangle$ with $x^7 = 1$. Let H be a Sylow 3-subgroup. Since $|H| = 3$, $|H|$ is cyclic and $H = \langle y\rangle$ with $y^3 = 1$. Since $P_7 \lhd G$, we have $yxy^{-1} = x^i$ for some power $i \in [0, 6]$. It follows that

$$\begin{aligned}
&x\\
&= y^3xy^{-3}\\
&= y^2yxy^{-1}y^{-2}\\
&= y^2x^iy^{-2}\\
&= yx^{i^2}y^{-1}\\
&= x^{i^3}.
\end{aligned}$$

Since $x^{i^3} = x$ and $x^7 = 1$, we have $i^3 - 1 \equiv 0 \pmod 7$. Then, it must be that $i = 1, 2, 4$. We break this down to three cases:

1. If $i = 1$, then $yxy^{-1} = x$ or $yx = xy$. Thus, G is abelian and $G \cong \mathbb{Z}/\langle 21\rangle$.

2. If $i = 2$, then $yxy^{-1} = x^2$. Thus,

   $$G = \{x^iy^j : 0 \le i \le 6,\ 0 \le j \le 2,\ yxy^{-1} = x^2\}$$

   which has 21 distinct elements. Here, G is generated by x and y, which are order 3 and 7 respectively, so $G \cong \mathbb{Z}/\langle 3\rangle \times \mathbb{Z}/\langle 7\rangle$.

3. If $i = 4$, then $yxy^{-1} = x^4$. Note that

   $$y^2xy^{-2} = yx^4y^{-1} = x^{16} = x^2.$$

   Note that y^2 is also a generator of H. Thus, by replacing y by y^2, we get back to case 2.

6 Solvable Groups

Definition 6.0.1. A group G is <u>solvable</u> if there exists a tower of subgroups

$$\{1\} = G_0 < G_1 < \cdots < G_k = G$$

such that $G_i \triangleright G_{i+1}$ and ${}^{G_i}\!\diagup_{G_{i+1}}$ is abelian.

Remark. G_{i+1} is not necessarily a normal subgroup of G. However, if G_{i+1} is a normal subgroup of G, we get $G_i \triangleright G_{i+1}$ for free.

Example 6.0.2. Consider the symmetric group S_4. Let A_4 be the alternating subgroup of S_4 and $V \cong {}^{\mathbb{Z}}\!\diagup_{\langle 2\rangle} \times {}^{\mathbb{Z}}\!\diagup_{\langle 2\rangle}$ the Klein 4 group. Note that A_4 and V are normal subgroup of S_4. We have

$$S_4 > A_4 > V > \{1\}.$$

Since ${}^{S_4}\!\diagup_{A_4} \cong {}^{\mathbb{Z}}\!\diagup_{\langle 2\rangle}$ and ${}^{A_4}\!\diagup_{V} \cong {}^{\mathbb{Z}}\!\diagup_{\langle 3\rangle}$, we see that S_4 is solvable.

Theorem (First Isomorphism Theorem)**.** *If G and H are groups and $\phi : G \to H$ is a group homomorphism, then*

(1) $\ker(\phi) \triangleleft G$

(2) $\operatorname{im}(\phi) \leq H$

(3) ${}^{G}\!\diagup_{\ker(\phi)} \cong \operatorname{im}(\phi)$.

In particular, if ϕ is a surjective map, then $H \cong {}^{G}\!\diagup_{\ker(\phi)}$.

Theorem (Second Isomorphism Theorem)**.** *If H and N are subgroups of a group G with $N \triangleleft G$, then ${}^{H}\!\diagup_{H \cap N} \cong {}^{NH}\!\diagup_{N}$.*

Theorem (Third Isomorphism Theorem)**.** *If H and H are normal subgroups of a group G such that $N \subseteq H$, then ${}^{H}\!\diagup_{N}$ is a normal subgroup of G and ${}^{G}\!\diagup_{N} \cong {}^{{}^{G}\!\diagup_{N}}\!\diagup_{{}^{H}\!\diagup_{N}}$.*

Theorem 38.

(1) If G is a solvable group, every subgroup and every quotient group of G is solvable

(2) Conversely, if N is a normal subgroup of a group G and both N and ${}^{G}\!\diagup_{N}$ are solvable, then G is solvable.

In particular, a direct product of finitely many solvable groups is solvable.

Proof.

(1) Suppose that G is a solvable group with a tower

$$\{1\} = G_0 < G_1 < \cdots < G_k = G$$

with $G_i \triangleright G_{i+1}$ and ${}^{G_i}\!\diagup_{G_{i+1}}$ is abelian.

Claim. *Let H be a subgroup of G. Then, H is solvable.*

Define $H_i = H \cap G_i$. Since $G_{i+1} \lhd G_i$, we have a tower

$$\{1\} = H_0 < H_1 < \cdots < H_k = H$$

with $H_{i+1} \lhd H_i$. Note that both H_i and G_{i+1} are subgroups of G_i and $H_{i+1} = H \cap G_{i+1} = H_i \cap G_{i+1}$. Applying the Second Isomorphism Theorem to G_i, we have

$$H_i/H_{i+1} = H_i/H_i \cap G_{i+1} \cong H_iG_{i+1}/G_{i+1} \subset G_i/G_{i+1}.$$

Since G_i/G_{i+1} is abelian, so is H_i/H_{i+1}. So H is solvable.

Claim. *Let N be a normal subgroup of G. Then G/N is solvable.*

Consider the towers

$$N = G_0N < G_1N < \cdots < G_kN = G$$

and

$$\{1\} = G_0N/N < G_1N/N < \cdots < G_kN/N = G/N.$$

Since $G_{i+1} \lhd G_i$ and $N \lhd G$, we have $G_{i+1}N \lhd G_iN$, which implies that $G_{i+1}N/N \lhd G_iN/N$. By the Third Isomorphism Theorem,

$$G_iN/N \Big/ G_{i+1}N/N \cong G_iN/G_{i+1}N.$$

By the Second Isomorphism Theorem, we have

$$G_iN/G_{i+1}N \cong G_i/G_i \cap G_{i+1}N.$$

Since $G_{i+1} \subseteq (G_i \cap G_{i+1}N)$, there is a natural injection $G_i/G_i \cap G_{i+1}N \to G_i/G_i + 1$ defined by

$$g + (G_i \cap G_{i+1}N) \mapsto g + G_{i+1}.$$

Since G_i/G_{i+1} is abelian, so is $G_i/G_i \cap G_{i+1}N$. Thus, $G_iN/N \Big/ G_{i+1}N/N$ is abelian. It follows that G/N is solvable.

Both these claims together show the first part of this theorem.

(2) Suppose that N is a normal subgroup of a group G and both N and G/N are solvable. Since N is solvable, we have a tower

$$\{1\} = N_0 < N_1 < \cdots < N_k = N$$

where $N_i \rhd N_{i+1}$ and N_{i+1}/N_i is abelian for all $0 \leq i \leq (m-1)$. For a subgroup $H \leq G$ with $N \leq H$, which we denote by $\overline{H} :- H/N$. Note $N \lhd G$ and $N \lhd H$. Since G/N, we have a tower,

$$\{1\} = N/N = \overline{G}_0 < \overline{G}_1 < \cdots < \overline{G}_r = \overline{G} = G/N$$

with $\overline{G}_j \rhd \overline{G}_{j+1}$ and $\overline{G}_{j+1}/\overline{G}_j$ for all $0 \leq j \leq (r-1)$. Let $\mathrm{Sub}_N(G)$ be the group of subgroups of G which contain N. Consider the map

$$\sigma : \mathrm{Sub}_N(G) \to \mathrm{Sub}_N\left(G/N\right)$$

$$H \mapsto \overline{H} := {}^{H}\!/_{N}$$

which is trivially injective. Then, by First Isomorphism Theorem, this is a bijection. For all $0 \leq j \leq r$, define $G_j := \sigma^{-1}(\overline{G}_j)$. Since $N \triangleleft G$, $\overline{G}_{j+1} \triangleleft \overline{G}_j$, we have $G_{j+1} \triangleleft G_j$. Now, by Third Isomorphism Theorem, we have

$$G_j/G_{j+1} \cong {}^{G_j/N}\!/_{G_{j+1}/N} \cong \overline{G}_j/\overline{G}_{j+1}$$

which is abilian. If follows that

$$\{1\} = N_0 < \cdots < N_m = G_0 < \cdots < G_r = G$$

so G is solvable.

$\square$

Example 6.0.3. S_4 contains subgroups isomorphic to S_3 and S_2. Since S_4 is solvable, by Theorem 38, S_3 and S_4 are solvable

Definition 6.0.4. A group G is simple if it is not trivial and has no normal subgroups other than G and $\{1\}$.

Remark. S_n is not solvable for $n \geq 5$.

Proof. One can show that the alternating group A_5 is simple. Since $A_5 \supsetneq \{1\}$ is the only tower and $A_5/\{1\}$ is not abelian, A_5 is not solvable. For all $n \geq 5$, S_5 is a subgroup of S_n. Then by Theorem 38, S_n is not solvable. $\square$

Corollary 39. *G is a finite solvable group if and only if there exists a tower*

$$\{1\} = G_0 < G_1 < \cdots < G_m = G$$

with $G_i \triangleright G_{i+1}$ and G_i/G_{i+1} is cyclic.

Proof. Let A be a finite abelian group. We have

$$A \cong C_{k_1} \times \cdots \times C_{k_r}$$

where C_k is a cyclic group of order k. For a finite cyclic group C, we have

$$C \cong \mathbb{Z}/\langle p_1^{\alpha_1}\rangle \times \cdots \times \mathbb{Z}/\langle p_r^{\alpha_r}\rangle$$

be the Chinese Remainder Theorem, with p_i distinct primes. For a cyclic group whose order is a prime power, say $\mathbb{Z}/\langle p^{\alpha}\rangle$, be the First Sylow Theorem, we have a tower of subgroups

$$\{1\} < \mathbb{Z}/\langle p\rangle < \cdots < \mathbb{Z}/p^{\alpha-1} < \mathbb{Z}/p^{\alpha-1}$$

which concludes the proof. $\square$

7 Automorphism Groups

7.1 Automorphism Groups

Definition 7.1.1. Let E/F be a field extension. If ψ is an automorphism of E, in other words $\psi : E \to E$ is an isomorphism and $\psi|_F = 1_F$, we say ψ is an F-automorphism. By map composition, the set

$$\{\psi : E \to E \mid \psi \text{ is an } F\text{-automorphism}\}$$

is a group. We call it the automorphism group of E/F denoted by $\mathrm{Aut}_F(E)$.

Lemma 40. *Let E/F be a field extension with $f(x) \in F[x]$ and $\psi \in \mathrm{Aut}_F(E)$. If $\alpha \in E$ is a root of $f(x)$, then $\psi(\alpha)$ is also a root of $f(x)$.*

Proof. Write $f(x) = a_0 + a_1 x + \cdots + a_n x^n \in F[x]$. We have

$$\begin{aligned} f(\psi(\alpha)) &= a_0 + a_1\psi(\alpha) + a_2\psi^2(\alpha) + \cdots + a_n\psi^n(\alpha) \\ &= \psi(a_0) + \psi(a_1)\psi(\alpha) + \psi(a_2)\psi^2(\alpha) + \cdots + \psi(a_n)\psi^n(\alpha) \\ &= \psi(a_0 + a_1\alpha + \cdots + a_n\alpha^n) \\ &= \psi(0) \\ &= 0. \end{aligned}$$

□

Lemma 41. *Let $E = F(\alpha_1, \ldots, \alpha_n)$ be a field extension of F. For $\psi_1, \psi_2 \in \mathrm{Aut}_F(E)$, if $\psi_1(\alpha_i) = \psi_2(\alpha_i)$ for all α_i, $1 \le i \le n$, then $\psi_1 = \psi_2$.*

Proof. Since each $\alpha \in E$ is of the form $\dfrac{f(\alpha_1, \ldots, \alpha_n)}{g(\alpha_1, \ldots, \alpha_n)}$ where $f(x_1, \ldots, x_n), g(x_1, \ldots, x_n) \in F[x_1, \ldots, x_n]$, the lemma follows. □

Corollary 42. *If E/F is a finite extension, then $\mathrm{Aut}_F(E)$ is a finite group.*

Proof. Since E/F is a finite extension, by Theorem 5, $E = F(\alpha_1, \ldots, \alpha_n)$ where α_i are algebraic over F for all $1 \le i \le n$. For $\psi \in \mathrm{Aut}_F(E)$, by Lemma 40, $\psi(\alpha_i)$ is a root of the minimal polynomial of α_i. Thus, there are finitely many choices for $\psi(\alpha_i)$. By Lemma 41, since $\psi \in \mathrm{Aut}_F(E)$ is completely determined by $\psi(\alpha_i)$, there are finitely many choices for ψ. Thus, $\mathrm{Aut}_F(E)$ is finite. □

Remark. The converse to Corollary 42 is false. For example, $[\mathbb{R} : \mathbb{Q}]$ in infinite, but $\mathrm{Aut}_{\mathbb{Q}}(\mathbb{R}) = \{1\}$.

Definition 7.1.2. Let F be a field and $f(x) \in F[x]$. The Automorphism Group of $f(x)$ over F is defined to be the group $\mathrm{Aut}_F(E)$, where E is the splitting field of $f(x)$ over $F[x]$.

From Assignment 2, we proved that the number of automorphisms is at most $[E : F]$ with equality if and only if $f(x)$ is separable over F, we have the following theorem as a direct consequence.

Theorem 43. *Let E/F be the splitting field of a nonzero polynomial $f(x) \in F[x]$. We have $|\mathrm{Aut}_F(E)| \le [E : F]$ with equality if and only if $f(x)$ is separable.*

Example 7.1.3. Let F be a field with $\mathrm{ch}(F) = p$ for some prime p and $F^p \neq F$. Also consider $f(x) = x^p - a$ with $a \in F \setminus F^p$. Let E/F be the splitting field of $f(x)$. We have seen before that $f(x) = (x - \beta)$ for some $\beta \in E \setminus F$. Thus, $E = F(\beta)$, Since β can only map to β, $\mathrm{Aut}_F(E)$ is trivial. Note that $|\mathrm{Aut}_F(E)| = 1$ but $[E : F] = p$. We have $|\mathrm{Aut}_F(E)| \neq [E : F]$ because $f(x)$ is not separable.

Theorem 44. *If $f(x) \in F[x]$ has n distinct roots in the splitting field E, then $\mathrm{Aut}_F(E)$ is isomorphic to a subgroup of the symmetric group S_n. In particular, $|\mathrm{Aut}_F(E)| \mid n!$.*

Proof. Let $X = \{\alpha_1, \ldots, \alpha_n\}$ be distinct roots of $f(x)$ in E. By Lemma 40, if $\psi \in \mathrm{Aut}_E(F)$, then $\psi(X) = X$ Let $\psi|_X$ be the restriction of ψ in X and S_X the permutation group of X. The map $\mathrm{Aut}_F(E) \to S_X$ defined by $\psi \mapsto \psi|_X$ is a group homomorphism. Moreover, by Lemma 41, it is injective. Thus, $\mathrm{Aut}_F(E)$ is isomorphic to the subgroup of S_n. □

Example 7.1.4. Let $f(x) = x^3 - 2 \in \mathbb{Q}[x]$ and ${}^{E}\!/_{\mathbb{Q}}$ be the splitting field of $f(x)$. Thus, $E = \mathbb{Q}(\sqrt[3]{2}, \xi_3)$ and $[E : \mathbb{Q}] = 6$. Since $\mathrm{ch}(\mathbb{Q}) = 0$, $f(x)$ is separable. By Theorem 43,

$$|\mathrm{Aut}_{\mathbb{Q}}(E)| = [E : \mathbb{Q}] = 6.$$

Also, since $f(x)$ has 3 distinct roots in E, by Theorem 44, $\mathrm{Aut}_{\mathbb{Q}}(E)$ is a subgroup of S_3. Since the only subgroup of S_3 which is of order 6 is S_3, we have

$$\mathrm{Aut}_{\mathbb{Q}}(E) \cong S_3.$$

Furthermore, since S_3 is non-abelian, $\mathrm{Aut}_{\mathbb{Q}}(E)$ is non-abelian. This shows Automorphism groups are need not to be abelian.

7.2 Fixed Fields

Definition 7.2.1. Let ${}^{E}\!/_{F}$ be a field extension and $\psi \in \mathrm{Aut}_F(E)$. Define

$$E^{\psi} \coloneqq \{a \in E : \psi(a) = a\}$$

which is a subfield of E containing F. We call E^{ψ} the fixed field of ψ.

Definition 7.2.2. If $G \subseteq \mathrm{Aut}_F(E)$, the fixed field of G is defined by

$$E^G \coloneqq \bigcap_{\psi \in G} E^{\psi} = \{a \in E : \psi(a) = a, \ \forall \psi \in G\}.$$

Theorem 45. *Let $f(x) \in F[x]$ be a separable polynomial and ${}^{E}\!/_{F}$ its splitting field. If $G = \mathrm{Aut}_F(E)$, then $E^G = F$.*

Proof. Since $F \subseteq E^G$, we have $\mathrm{Aut}_E^G(E) \subseteq \mathrm{Aut}_F(E)$. On the other hand, if $\psi \in \mathrm{Aut}_F(E)$, be defintion of E^G, for all $a \in E^G$, we have $\psi(a) = a$. This implies that $\psi \in \mathrm{Aut}_{E^G}(E)$. Thus

$$\mathrm{Aut}_{E^G}(E) = \mathrm{Aut}_F(E).$$

Note that since $f(x)$ is separable over F and splits over E, $f(x)$ is also separable over E^G and has Eas its splitting field over E^G. Thus, by Theorem 43, we have

$$|\mathrm{Aut}_F(E)| = [E : F] \quad \text{and} \quad |\mathrm{Aut}_{E^G}(E)| = [E : E^G]$$

It follows that $[E : E^G] = [E : F]$. Since $[E : F] = [E : E^G] = [E^G : F]$, we have $[E^G : F] = 1$. In other words, $E^G = F$. □

8 Separable and Normal Extensions

8.1 Separable Extensions

Definition 8.1.1. Let ${}^{E}\!/_{F}$ be an algebraic field extension. For $\alpha \in E$, let $p(x) \in F[x]$ be the minimal polynomial of α. We say α is separable over F if $p(x)$ is separable over F. If for all $\alpha \in E$, α is separable, we say ${}^{E}\!/_{F}$ is separable.

Theorem 46. *Let ${}^{E}\!/_{F}$ be a splitting field of $f(x) \in F[x]$. If $f(x)$ is separable, then ${}^{E}\!/_{F}$ is separable.*