# University of Waterloo



# PMATH 348 FIELDS AND GALOIS THEORY

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## 1 Introduction

# 1.1 Polynomial Equations

Consider the quadratic equation. Let  $ax^2 + bx + c = 0$  with the leading coefficient  $a \neq 0$ , then we have that,

$$x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

We notice immediately that there are a couple of operations that are involved in this equation.

**Definition 1.1.1.** An expression involving only addition, subtraction, multiplication, division and radicals is called a <u>radical</u>. These operations are denoted by  $+, -, \times, \div$  and  $\sqrt[n]{\cdot}$ 

The natural question that is raised is the extension to higher dimensions.

# 1.2 Cubic Equations

All cubic equations can be reduced to the following equation,

$$x^3 + px = q$$

for some  $p, q \in \mathbb{C}$ . A solution to the above equation is of the form

$$x = \sqrt[3]{\frac{q}{2} + \sqrt{\frac{p^3}{27} + \frac{q^2}{4}}} + \sqrt[3]{\frac{q}{2} - \sqrt{\frac{p^3}{27} + \frac{q^2}{4}}}$$
 (Cardano's Formula)

# 1.3 Quartic Equations

A radical solution can be obtained by reducing a quartic to a cubic equation.

### 1.4 Quintic Equations

- General radical solutions were attempted by Euler, Bézout and Lagrange without success
- In 1799, Ruffini gave a 516 page proof about the insolvability of quintic equations. His Proof was "almost right"
- In 1824, Abel filled the gap in Ruffini's proof.

We can now ask ourselves, given a quintic equation, is it solvable by radicals? This question seems to be too hard, so we ask, suppose that a radical solution exists. How does its associated quintic equation look like?

#### Two main steps in Galois Theory

1. Link a root of a quintic equation, say  $\alpha$  to  $\mathbb{Q}(\alpha)$ , the smallest field containing  $\mathbb{Q}$  and  $\alpha$ .  $\mathbb{Q}(\alpha)$  is a field. So it has more structures to be played with than  $\alpha$ ; however, our knowledge of  $\mathbb{Q}(\alpha)$  is still too little to answer the question. For example, we do not know how many intermediate fields, E between  $\mathbb{Q}$  and  $\mathbb{Q}(\alpha)$ . What we mean is how many fields E satisfy

$$\mathbb{Q} \subseteq E \subseteq \mathbb{Q}(\alpha)$$
.

2. Link the field  $\mathbb{Q}(\alpha)$  to a group. More precisely, we associate  $\mathbb{Q}(\alpha)/\mathbb{Q}$  to the group

$$\operatorname{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha)) = \left\{ \Psi : \mathbb{Q}(\alpha) \to \mathbb{Q}(\alpha) \text{ an isomorphism and } \Psi|_{\mathbb{Q}} = 1_{\mathbb{Q}} \right\}$$

It can be shown that if  $\alpha$  is "good", say algebraic,  $\operatorname{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$  is finite. If  $\alpha$  is "very good", say constructable, the order of  $\operatorname{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$  is in certain forms. Moreover, there is a one-to-one correspondence between the intermediate fields between  $\mathbb{Q}(\alpha)$  and  $\mathbb{Q}$  and the subgroups of  $\operatorname{Aut}_{\mathbb{Q}}(\mathbb{Q}(\alpha))$ .

It follows that given some "good"  $\alpha$ , we have that the intermediate fields of  $\mathbb{Q}(\alpha)$  and  $\mathbb{Q}$  are indeed finitely many. This introduces Galois Theory; the interplay between fields and groups.

# 2 Field Extensions

# 2.1 Degree of Extensions

**Definition 2.1.1.** If E is a field containing another field F, we say E is a field extension of F, denoted by  $E/_F$ .

If  $E_{F}$  if a field extension, we can view E as a vector space over F.

- 1. Addition: For  $e_1, e_2 \in E$ ,  $e_1 + e_2 := e_1 + e_2$  (addition in E)
- 2. Scalar Multiplication: For  $c \in F, e \in E, c \cdot e := ce$  (multiplication in E)

**Definition 2.1.2.** The dimension of E over F (viewed as a vector space) called the <u>degree</u> of E over F, denoted by [E:F]. If  $[E:F] < \infty$ , we say  $E/_F$  is a <u>finite extension</u>. Otherwise,  $E/_F$  is an infinite extension

**Example 2.1.3.**  $[\mathbb{C}:\mathbb{R}]=2$  is a finite extension since  $\mathbb{C}\cong\mathbb{R}+\mathbb{R}i$ , with  $i^2=-1$ .

**Example 2.1.4.** Let F be a field. Then [F(x):F] is  $\infty$  since  $\{1,x,x^2,\dots\}$  are linearly independent over F.

Remark.  $F[x] = \{f(x) = a_0 + a_1x + \dots + a_nx^n : a_i \in F, n \in \mathbb{N} \cup \{0\}\}$ , the polynomial ring of F. Remark.  $F(x) = \{\frac{f(x)}{g(x)} : f(x), g(x) \in F[x]\}$ , the fraction field of the polynomial ring of F.

**Theorem 1.** If E/K and K/F are finite field extensions, then E/F is a finite field extension and

$$[E:F] = [E:K][K:F]$$

In particular, K is an intermediate field of an field extension  $E_F$ , then  $[K:F] \mid [E:F]$ .

*Proof.* Suppose [E:K]=m and [K:F]=n. Let  $\{a_i,\ldots,a_m\}$  be a basis of E/K and  $\{b_1,\ldots,b_n\}$  be a basis of K/F. It suffices to show  $\{a_ib_j:1\leq i\leq m,1\leq j\leq n\}$  is a basis of E/F.

**Claim.** Every element of E is a linear combination of  $\{a_ib_j\}$  over F.

For  $e \in E$ , we have

$$e = \sum_{i=1}^{m} k_i a_i$$

with  $k_i \in K$ . Also, for each  $k_i \in K$ , we have

$$k_i = \sum_{j=1}^{n} c_{ij} b_j$$

with  $c_{ij} \in F$ . Thus,

$$e = \sum_{i=1}^{m} \sum_{j=1}^{n} c_{ij} b_j a_i.$$

**Claim.** The set  $\{a_ib_j: 1 \leq i \leq m, 1 \leq j \leq n\}$  is linearly independent over F.

Suppose that

$$\sum_{i=1}^{m} \sum_{j=1}^{n} c_{ij} b_j a_i = 0$$

with  $c_{ij} \in F$ . Since  $\sum_{j=1}^{n} c_{ij}b_j \in K$  and  $\{a_1, \ldots, a_m\}$  are independent over K. We have

$$\sum_{j=1}^{n} c_{ij}b_j = 0.$$

Since  $\{b_1, \ldots, b_n\}$  are independent over F, we have  $c_{ij} = 0$ .

Combining both claims, we see that  $\{a_ib_j, 1 \leq i \leq m, 1 \leq j \leq n\}$  is a basis of  $E_F$  and we have [E:F]=[E:K][K:F].

# 2.2 Algebraic and Transcendental Extensions

**Definition 2.2.1.** Let  $E_F$  be a field extension and  $\alpha \in E$ . We say  $\alpha$  is <u>algebraic over F</u> if there exists  $f(x) \in F[x] \setminus \{0\}$  with  $f(\alpha) = 0$ . Otherwise,  $\alpha$  is <u>transcendental over F</u>.

**Example 2.2.2.**  $\frac{c}{d} \in \mathbb{Q}$ ,  $\sqrt{2} \sqrt[3]{7} + 2i$  are algebraic over  $\mathbb{Q}$  (see Assignment 1) but e (Hermite, 1873) and  $\pi$  (Lindemann, 1882) are transcendental over  $\mathbb{Q}$ .

Let  $E_{F}$  be a field extension and  $\alpha \in E$ . Let  $F[\alpha]$  denote the smallest subfield of E containing F and  $\alpha$ . For  $\alpha, \beta \in E$ , we define  $F[\alpha, \beta]$  and  $F(\alpha, \beta)$  similarly.

**Definition 2.2.3.** If  $F = F(\alpha)$  for some  $\alpha \in E$ , we say E is a <u>simple extension</u> of F.

**Definition 2.2.4.** Let  $R_1$  and  $R_2$  be two rings which contain a field F. A ring homomorphism  $\Psi: R_1 \to R_2$  is said to be a F-homomorphism if  $\Psi|_F = 1_F$ .

**Theorem 2.** Let  $E_{F}$  be a field extension and  $\alpha \in E$ . If  $\alpha$  is transcendental over F, then

$$F[\alpha] \cong F[x]$$
 and  $F(\alpha) \cong F(x)$ 

In particular,  $F[\alpha] \neq F(\alpha)$ .

*Remark.* In fact, if  $\alpha$  is algebraic, indeed  $F[\alpha] = F(\alpha)$ .

*Proof.* Let  $\Psi: F(x) \to F(\alpha)$  be the unique F-homomorphism defined by  $\Psi(x) = \alpha$ . Thus, for  $f(x), g(x) \in F[x], g(x) \neq 0$ ,

$$\Psi\left(\frac{f(x)}{g(x)}\right) = \frac{f(\alpha)}{g(\alpha)} \in F(\alpha).$$

Notice that this is indeed a well-defined map as  $g(x) \neq 0$  implies  $g(\alpha) \neq 0$  since  $\alpha$  is transcendental. Since F(x) is a field and  $\ker(\Psi)$  is an ideal of F(x), we have  $\ker(\Psi) = F(x)$  or trivial. Thus  $\Psi = 0$  or  $\Psi$  is injective. Since  $\Psi(x) = \alpha \neq 0$ ,  $\Psi$  must be injective. Also, since F(x) is a field, im  $\Psi$  contains a field generated by F and  $\alpha$ , in other words,  $F(\alpha) \subseteq \operatorname{im} \Psi$ . Thus,  $\operatorname{im} \Psi = F(\alpha)$  and  $\Psi$  is surjective. It follows that  $\Psi$  is an isomorphism and we have

$$F[\alpha] \cong F[x]$$
 and  $F(\alpha) \cong F(x)$ .

**Theorem 3.** Let  $E_{/F}$  be a field extension and  $\alpha \in E$ . If  $\alpha$  is algebraic over F, there exists a unique monic irreducible polynomial  $p(x) \in F[x]$  such that there exists a F-homomorphism

$$\Psi: F[x]/\langle p(x)\rangle \to F[\alpha]$$
 with  $\Psi(x) = \alpha$ 

from which we conclude  $F[\alpha] \cong F(\alpha)$ .

*Proof.* Consider the unique F-homomorphism  $\Psi: F[x] \to F[\alpha]$  defined by  $\Psi(x) = \alpha$ . Thus, for  $f(x) \in F[x]$ , we have  $\Psi(f) = f(\alpha)$ . Since F[x] is a ring, im  $\Psi$  contains a ring generated by F and  $\alpha$ , in other words,  $F[\alpha] \subseteq \operatorname{im} \Psi$ . Thus,  $\operatorname{im} \Psi = F[\alpha]$ . Let

$$I = \ker \Psi = \{ f(x) \in F[x] : f(\alpha) = 0 \}.$$

Since  $\alpha$  is algebraic,  $I \neq \{0\}$ . We have  $F[x]/I \cong \operatorname{im} \Psi = F[\alpha] \subseteq F(\alpha)$ , a subring of a field  $F(\alpha)$ . Thus, F[x]/I is an integral domain so I is a prime ideal. It follows that  $I = \langle p(x) \rangle$ , where p(x) is irreducible. If we assume p(x) is monic, then it is unique. It follows that

$$F[x]/\langle p(x)\rangle \cong F[\alpha].$$

Since p(x) is irreducible,  $F[x]/\langle p(x)\rangle$  is a field. So  $F[\alpha]$  is a field. It follows that  $F[\alpha] = F(\alpha)$ .

**Definition 2.2.5.** If  $\alpha$  is algebraic over a field F, the unique monic polynomial irreducible polynomial p(x) in Theorem 3 is called the minimal polynomial of  $\alpha$  over F.

Remark. From the proof of Theorem 3, if  $f(x) \in F[x]$  with  $f(\alpha) = 0$ , then p(x)|f(x).

**Theorem 4.** Let  $E_{/F}$  be a field extension and  $\alpha \in E$ .

- 1.  $\alpha$  is transcendental over F if and only if  $[F(\alpha):F]$  is  $\infty$ .
- 2.  $\alpha$  is algebraic over F if and only if  $[F(\alpha):F] < \infty$ .

Moreover, if p(x) is the minimal polynomial of  $\alpha$  over F, we have  $[F[\alpha]: F] = \deg(p)$  and  $\{1, \alpha, \alpha^2, \dots, \alpha^{\deg(p)-1}\}$  is a basis of  $F(\alpha)/F$ .

*Proof.* It suffices to prove the forward direction for each statement as the inverse direction implies the other statement.

- (1) Forwards: From Theorem 2, if  $\alpha$  is transcendental over F, then  $F(x) \cong F(\alpha)$ . In F(x), the elements  $\{1, x, x^2, \dots\}$  are linearly independent over F. Thus,  $[F(\alpha) : F]$  is  $\infty$ .
- (2) **Forwards**: From Theorem 3, if  $\alpha$  is algebraic over F,  $F[x]/\langle p(x)\rangle \cong F(x)$  with the map  $x \mapsto \alpha$ . Note that,

$$F[x]/\langle p(x)\rangle \cong \{r(x) \in F[x] : \deg(r) < \deg(p)\} \tag{$\deg(0) = -\infty$}$$

Thus,  $\{1, x, x^2, \dots, x^{\deg(p)-1}\}$  forms a basis for  $F[x]/\langle p(x)\rangle$ . It follows that  $[F(\alpha): F] = \deg(p)$  and  $\{1, \alpha, \alpha^2, \dots, \alpha^{\deg(p)-1}\}$  is a basis of  $F(\alpha)/F$ .

**Theorem 5.** Let  $E_{/F}$  be a field extension. If  $[E:F] < \infty$ , then there exists  $\alpha_1, \ldots, \alpha_n \in E$  such that

$$F \subsetneq F(\alpha_1) \subsetneq \cdots \subsetneq F(\alpha_1, \dots, \alpha_n) = E.$$

*Proof.* We proceed with induction on [E:F]. If [E:F]=1, E=F. Suppose that [E:F]>1 and the statement holds for any field extension  $\widetilde{E}_{\widetilde{F}}$  with  $[\widetilde{E}:\widetilde{F}]<[E:F]$ . Let  $\alpha_1\in E_{\widetilde{F}}$ . By Theorem 1,

$$[E:F] = [E:F(\alpha_1)][F(\alpha_1):F].$$

Since  $[F(\alpha):F]>1$ , we have  $[E:F]>[E:F(\alpha_1)]$ . By induction hypothesis, there exists  $\alpha_2,\ldots,\alpha_n$  such that

$$F(\alpha_1) \subsetneq \cdots \subsetneq F(\alpha_1, \dots, \alpha_n) = E.$$

Thus, we have

$$F \subsetneq F(\alpha_1) \subsetneq \cdots \subsetneq F(\alpha_1, \dots, \alpha_n) = E.$$

as desired.

**Definition 2.2.6.** A field extension  $E_F$  is <u>algebraic</u> if every  $\alpha \in E$  is algebraic over F. Otherwise, it is transcendental.

**Theorem 6.** Let  $E_{/F}$  be a field extension. If  $[E:F] < \infty$ , then  $E_{/F}$  is algebraic.

*Proof.* Suppose [E:F]=n. For  $\alpha \in E$ , the elements  $\{1,\alpha,\ldots,\alpha^n\}$  are not linearly independent over F. Thus, there exists  $c_i \in F$  for all  $i=0,\ldots,n$ , not all 0, such that

$$\sum_{i=0}^{n} c_i \alpha^i = 0$$

Thus,  $\alpha$  is a root of the polynomial  $\sum_{i=0}^{n} c_i \alpha^i \in F[x]$  so it is algebraic over F.

Theorem 7. Let  $E_{/F}$  be a field extension. Define,

$$L \coloneqq \{\alpha \in E : [F(\alpha) : F] < \infty\}.$$

Then L is an intermediate field of  $E_{F}$ .

*Proof.* If  $\alpha, \beta \in L$  with  $\beta \neq 0$ , we need to show that  $\alpha \pm \beta, \alpha\beta, \frac{\alpha}{\beta} \in L$ . By definition of L, we have  $[F(\alpha)] < \infty$  and  $[F(\beta) : F] < \infty$ . Consider the field  $F(\alpha, \beta)$ . Since the minimal polynomial of  $\alpha$  over  $F(\beta)$  divides the minimal polynomial of  $\alpha$  over F (the minimal polynomial  $\alpha$  over F, say  $p(x) \in F[x]$ , is also a polynomial over  $F(\beta)$ . In otherwords,  $p(x) \in F(\beta)[x]$  such that  $p(\alpha) = 0$ ), we have

$$[F(\alpha,\beta):F(\beta)] \leq [F(\alpha):F].$$

Combining this with Theorem 1, we have

$$[F(\alpha, \beta) : F] = [F(\alpha, \beta) : F(\beta)][F(\beta) : F]$$
  
$$\leq [F(\alpha) : F][F(\beta) : F]$$

Since  $\alpha + \beta \in F(\alpha, \beta)$ , it follows that

$$[F(\alpha + \beta) : F(\beta)] \le [F(\alpha, \beta) : F] < \infty,$$

so  $a+b\in L$ . We can follow a similar line to show  $\alpha-\beta,\alpha\beta,\frac{\alpha}{\beta}\in L$ . So L is a field.

**Definition 2.2.7.** Let  $E_{/F}$  be a field extension. The set,

$$L \coloneqq \{\alpha \in E : [F(\alpha) : F] < \infty\}$$

is called the algebraic closure of F in E.

**Definition 2.2.8.** A field F is <u>algebraically closed</u> if for any algebraic extension  $E_{F}$ , we have E = F.

**Example 2.2.9.** By the Fundamental Theorem of Algebra,  $\mathbb{C}$  is algebraically closed.

# 2.3 Eisenstein's Criterion

**Definition 2.3.1.** Let  $f(x) = a_n x^n + \dots + a_1 x + a_0 \in \mathbb{Z}[x]$ . We say f(x) is <u>primitive</u> if  $a_n > 0$  and  $gcd(a_0, \dots, a_n) = 1$ .

**Lemma 8.** Every non-zero polynomial  $f(x) \in \mathbb{Q}[x]$  can be written uniquely as a product  $F(x) = cf_0(x)$  where  $c \in \mathbb{Q}$  and  $f_0(x)$  is a primitive polynomial on  $\mathbb{Z}[x]$ . Moreover,  $f(x) \in \mathbb{Z}[x]$  if and only if  $c \in \mathbb{Z}$ . If so, then |c| is the greatest common divisor of the coefficients of f(x) and the sign of c is the sign of the leading coefficient of f(x).

**Theorem 9** (Gauss' Lemma for  $\mathbb{Z}[x]$ ). Let  $f(x) \in \mathbb{Z}[x]$  be non-constant. If f(x) is irreducible in  $\mathbb{Z}[x]$ , then it is irreducible in  $\mathbb{Q}[x]$ .

**Example 2.3.2.** The converse of Theorem 9 is not true. Consider the polynomial 2x + 8 is irreducible in  $\mathbb{Q}[x]$ , but 2x + 8 = 2(x + 4) is reducible in  $\mathbb{Z}[x]$ .

Remark.  $f(x) \in \mathbb{Z}[x]$  is irreducible in  $\mathbb{Z}[x]$  if and only if either

- 1. f(x) is a prime integer
- 2. f(x) is a primitive polynomial which is irreducible in  $\mathbb{Q}[x]$

**Theorem 10** (Eisenstein's Criterion for  $\mathbb{Z}[x]$ ). Let  $f(x) = a_n x^n + \cdots + a_1 x + a_0 \in \mathbb{Z}[x]$  and let p be a prime integer. Suppose that  $p \nmid a_n$ ,  $p \mid a_i$  for all  $0 \le i \le (n-1)$  and  $p^2 \nmid a_0$ , then f(x) is irreducible in  $\mathbb{Q}[x]$ . In particular, if f(x) is primitive, then it is irreducible in  $\mathbb{Z}[x]$ .

*Proof.* Consider the map  $f: \mathbb{Z}[x] \to \mathbb{Z}_p[x]$  defined by

$$f(x) \mapsto \overline{f}(x) = \overline{a}_n x^n + \dots + \overline{a}_1 x + \overline{a}_0$$

where  $\bar{a}_i = a_i \pmod{p} \in \mathbb{Z}_p$ . Since  $p \nmid a_n$  and  $p \mid a_i$  for all  $0 \leq i(n-1)$ , we have  $\bar{f}(x) = \bar{a}_n x^n$  with  $\bar{a}_n \neq 0$ . If f(x) is reducible in  $\mathbb{Q}[x]$ , then it can be factored in  $\mathbb{Z}[x]$  into polynomials of positive degree, say f(x) = g(x)h(x) with  $g(x), h(x) \in \mathbb{Z}[x]$  and  $\deg(g), \deg(h) \geq 1$ . It follows that  $\bar{a}_n x^n = \bar{g}(x)\bar{h}(x)$  from which we see that  $\bar{g}(x)$  and  $\bar{h}(x)$  have no constant terms in  $\mathbb{Z}_p[x]$ , as  $\mathbb{Z}_p[x]$  is a UFD. Since the constants of both g(x) and h(x) are divisible by p, this implies that the constant of f(x) is divisible by  $p^2$ , which leads to a contradiction. So, f(x) is irreducible in  $\mathbb{Q}[x]$ 

**Example 2.3.3.** The polynomial  $2x^7 + 3x^4 + 6x^2 + 12$  is irreducible in  $\mathbb{Q}[x]$  by applying Eisenstein's Criterion with p = 3.

**Example 2.3.4.** Consider the  $n^{\text{th}}$  cyclotomic polynomial defined by

$$\Phi_n(x) = \sum_{\substack{1 \le k \le n \\ \gcd(k,n)=1}} \left( x - e^{2i\pi \frac{k}{n}} \right).$$

If n = p where p is a prime number, then  $\xi_p = e^{\frac{2i\pi}{p}} = \cos\frac{2\pi}{p} + i\sin\frac{2\pi}{p}$  (the  $p^{\text{th}}$  root of 1) is a root of the  $p^{\text{th}}$  cyclotomic polynomial. Notice here, since p is co-prime with all  $1 \le k \le p$ , we have

$$\Phi_p(x) = x^{p-i} + x^{p-2} + \dots + x + 1 = \frac{x^p - 1}{x - 1}$$

Eisenstein's Criterion does not imply the irreducibility of  $\Phi_p(x)$  immediately; however, consider

$$\Phi_p(x+1) = \frac{(x+1)^p - 1}{x} = x^{p-1} + \binom{p}{1}x^{p-2} + \dots + \binom{p}{p-2}x + \binom{p}{p-1} \in \mathbb{Z}[x]$$

with the Binomial Theorem. Since p is prime,  $p \nmid 1$ ,  $p \mid \binom{p}{i}, \forall i \in \{1, \dots, p-1\}$  and  $p^2 \nmid \binom{p}{p-1}$ . Here, Eisenstein's Criterion gives that  $\Phi_p(x+1)$  is irreducible in  $\mathbb{Q}[x]$ , but if  $\Phi_p(x) = g(x)f(x)$ , then  $\Phi_p(x+1) = g(x+1)h(x+1)$  gives a factorization for  $\Phi_p(x+1)$ , so  $\Phi_p(x)$  must be irreducible in  $\mathbb{Q}[x]$  as well. Furthermore, since  $\Phi_p(x)$  is primitive,  $\Phi_p(x)$  is also irreducible in  $\mathbb{Z}[x]$ .

**Example 2.3.5.** Let p be prime and  $\xi_p = e^{\frac{2i\pi}{p}}$ . Since it is a root of  $\Phi_p(x)$ , which is irreducible, by Theorem 4,

$$[\mathbb{Q}(\xi_p):\mathbb{Q}] = \deg(\Phi_p(x)) = p - 1.$$

The field  $\mathbb{Q}(\xi_p)$  is called the  $p^{\text{th}}$  cyclotomic extension on  $\mathbb{Q}$ .

**Example 2.3.6.** Let  $\overline{\mathbb{Q}}$  be the algebraic closure of  $\mathbb{Q}$  in  $\mathbb{C}$ . Since  $\xi_p \in \mathbb{Q}$ , we have

$$[\overline{\mathbb{Q}}:\mathbb{Q}] \ge [\mathbb{Q}(\xi_p):\mathbb{Q}] = p-1.$$

Since  $p \to \infty$ , we have  $[\overline{\mathbb{Q}} : \mathbb{Q}]$  is  $\infty$ . We have seen in Theorem 6 that if  $E_{/F}$  is finite, then  $E_{/F}$  is algebraic. However, this example shows that the converse is false.

Now, let R be any unique factorization domain and let F be it's fraction field. Then R[x] is a subring of F[x].

**Lemma** (Gauss' Lemma). Let R be a UFD with the fraction field F. Let  $f(x) \in R[x]$  be non-constant. If f(x) is irreducible in R[x], then it is irreducible in F[x].

**Theorem 11** (Eisenstein's Criterion). let R be a UFD with the fraction field F. Let  $\ell$  be an irreducible element of R. If  $f(x) = a_n x^n + \cdots + a_1 x + a_0 \in R[x]$  with  $n \ge 1$ ,  $\ell \nmid a_n$ ,  $\ell \mid a_i$ , for all  $0 \le i \ne (n-1)$  and  $\ell^2 \nmid a_0$ , then f(x) is irreducible in F[x].

# 3 Splitting Fields

**Definition 3.0.1.** Let  $E_F$  be a field extension. We say  $f(x) \in R[x]$  splits over E if E contains all roots of f(x). In other words, f(x) is a product of linear factors in E[x].

**Definition 3.0.2.** Let  $\widetilde{E}_{F}$ ,  $f(x) \in Fx$  and  $F \subseteq E \subseteq \widetilde{E}$ . If

- 1. f(x) splits over E
- 2. there is no proper subfield of E such that f(x) splits over E,

then we say E is a splitting field of  $f(x) \in F[x]$  in  $\widetilde{E}$ .

# 3.1 Existence of Splitting Fields

**Theorem 12.** Let  $p(x) \in F[x]$  be irreducible. The quotient ring  $F[x]/\langle p(x)\rangle$  is a field containing F and a root of p(x).

*Proof.* Since p(x) is irreducible, the ideal  $I = \langle p(x) \rangle$  is maximal. Thus, E = F[x]/I is a field. Consider the map

$$\Psi: F \to E, \quad a \mapsto a + I$$

Since F is a field and  $\Psi \neq 0$ ,  $\Psi$  is injective. Thus, be identifying F with  $\Psi(F)$ , F is a subfield of E. Claim. Let  $\alpha = x + I \in E$ . Then  $\alpha$  is a root of p(x).

Notice,

$$p(x) = a_0 + a_1 x + \dots + a_n x^n$$
  
=  $(a_0 + I) + (a_1 + I)x + \dots + (a_n + I)x^n$   
 $\in E[x].$ 

Thus, we have

$$p(\alpha) = (a_0 + I) + (a_1 + I)\alpha + \dots + (a_n + I)\alpha^n$$

$$= (a_0 + I) + (a_1 + I)(x + I) + \dots + (a_n + I)(x + I)^n$$

$$= (a_0 + a_1x + \dots + a_nx^n) + I \qquad (\text{since } (x + I)^i = x^i + I)$$

$$= p(x) + I$$

$$= 0 + I$$

$$= I$$

Thus,  $\alpha = x + I \in E$  is a root of p(x).

**Theorem 13** (Kronecker). Let  $f(x) \in F[x]$ . There exists a field E containing F such that f(x) splits over E.

Proof. We proceed with induction on  $\deg(f)$ . If  $\deg(f) = 1$ , let E = F and we are done. Suppose  $\deg(f) > 1$  and the statement holds for all g(x) with  $\deg(g) > \deg(f)$  (g(x) need not to be in F[x]). We write f(x) = p(x)h(x), where  $p(x), h(x) \in F[x]$  and p(x) is irreducible. By Theorem 12, there exists a field K such that  $F \subseteq K$  and K containing a root of p(x), say  $\alpha$ . Thus,  $p(x) = (x - \alpha)q(x)$  and  $f(x) = (x - \alpha)g(x)h(x)$  where  $q(x) \in K[x]$ . Since  $\deg(hq) < \deg(f)$ , by induction, there exists a field E containing K over which h(x)q(x) splits. It follows that f(x) splits over E.

**Theorem 14.** Every  $f(x) \in F[x]$  has a splitting field, which is a finite extension of F.

*Proof.* For  $f(x) \in F[x]$ , by Theorem 13, there exists a field extension  $E_F$  over which f(x) splits, say  $\alpha_1, \alpha_2, \ldots, a_n$  are roots of  $f(x) \in E$ . Consider  $F(\alpha_1, \ldots, \alpha_n)$ . The field contains all the roots of f(x) and f(x) does not split over any proper subfield of it. Thus,  $F(\alpha_1, \ldots, \alpha_n)$  is the splitting field of f(x) in E. In addition, since  $\alpha_i$  are all algebraic,  $F(\alpha_1, \ldots, \alpha_n)_F$  is finite.  $\square$