



# **Shadows**

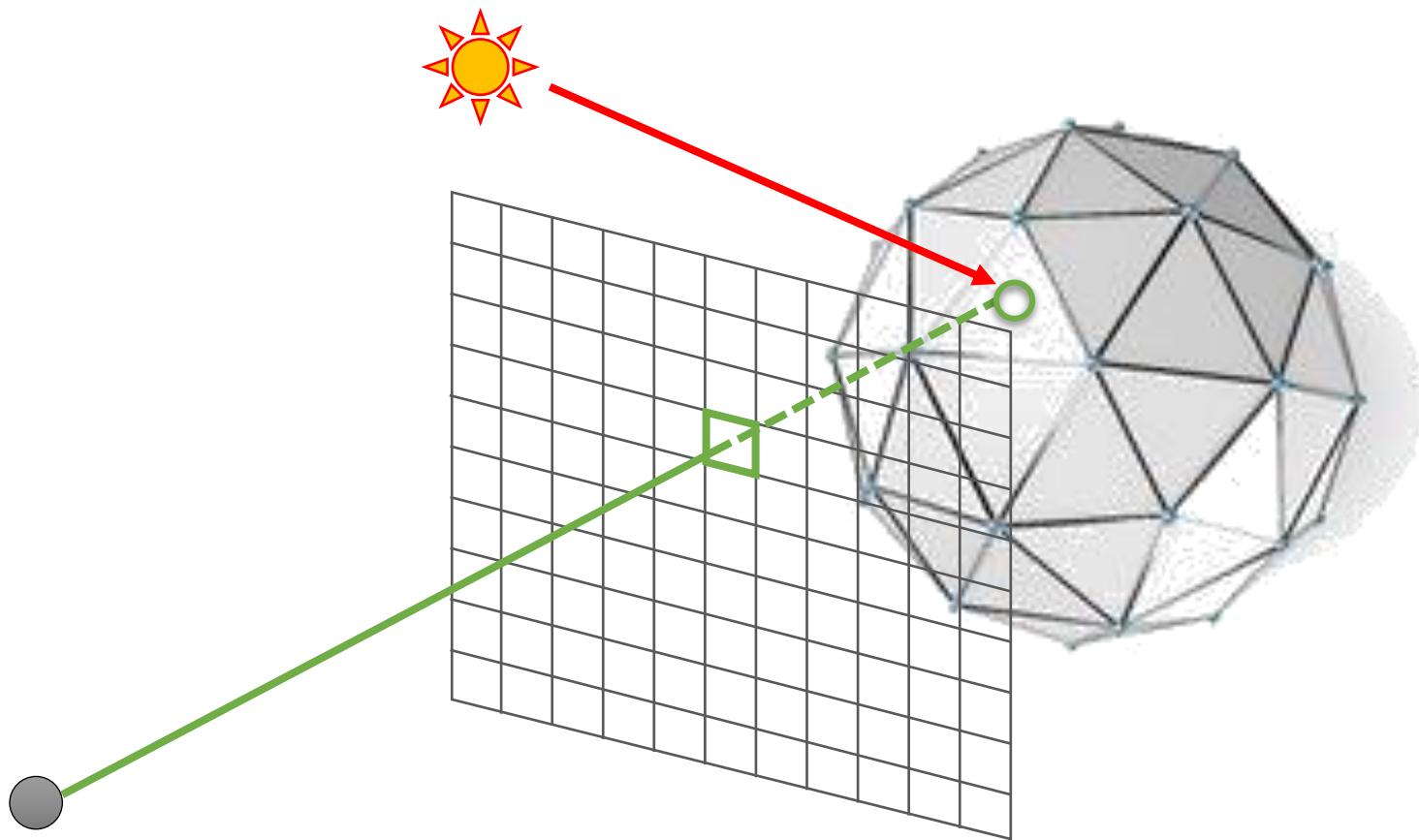
**Computer Graphics**

**Yu-Ting Wu**

# Shadow Map

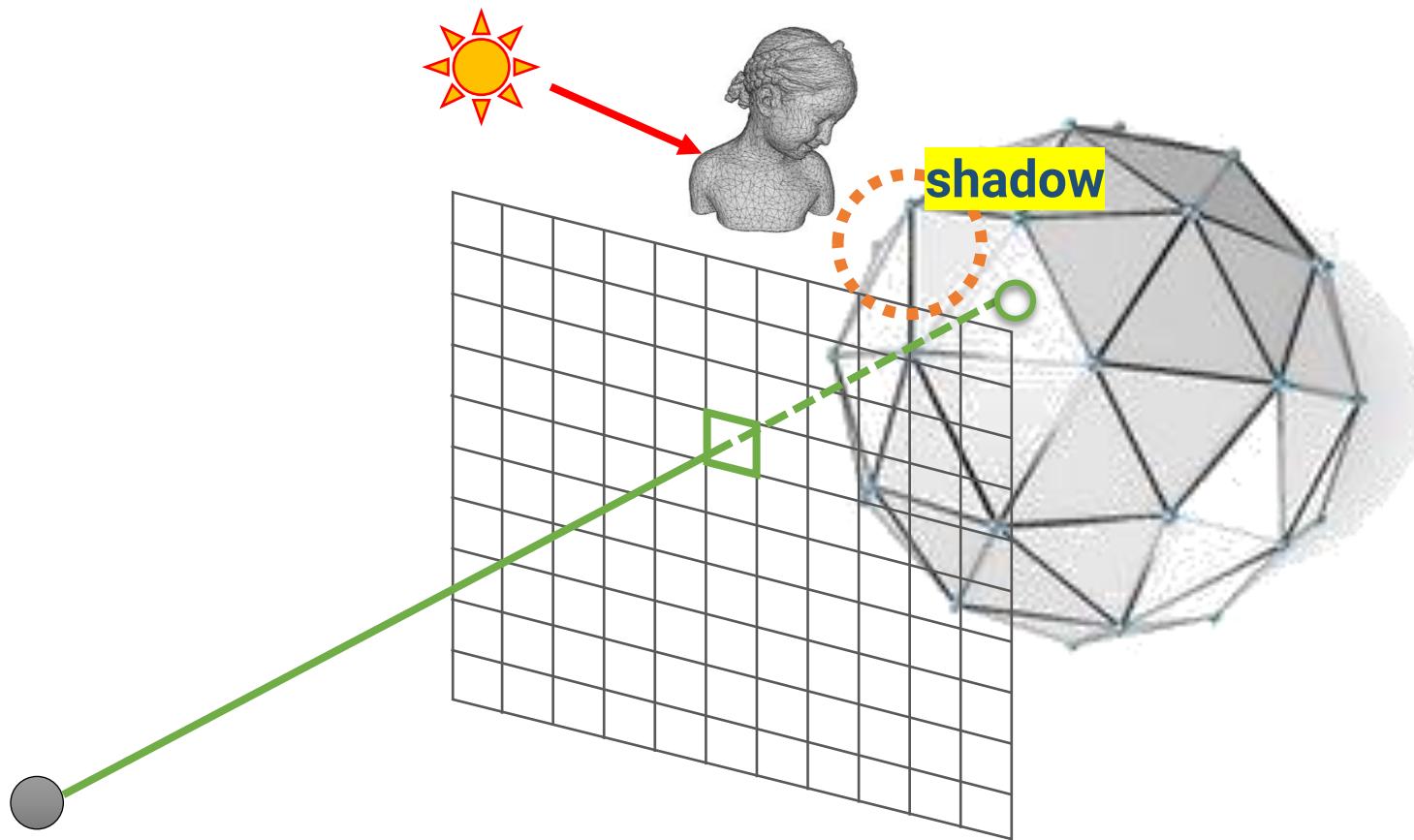
# Shadow

- So far, we consider the light to be fully visible to a shading point



# Shadow (cont.)

- It is common that a lighting direction is occluded by some other objects



# Shadow (cont.)

- Shadows are very important to provide depth cues

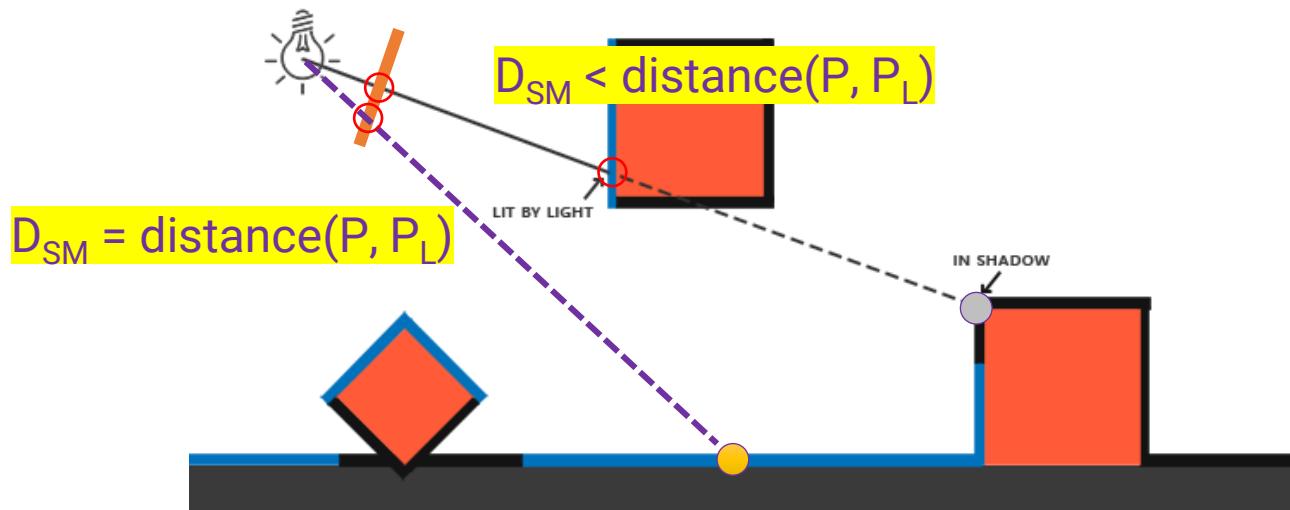


# Shadow Map Overview

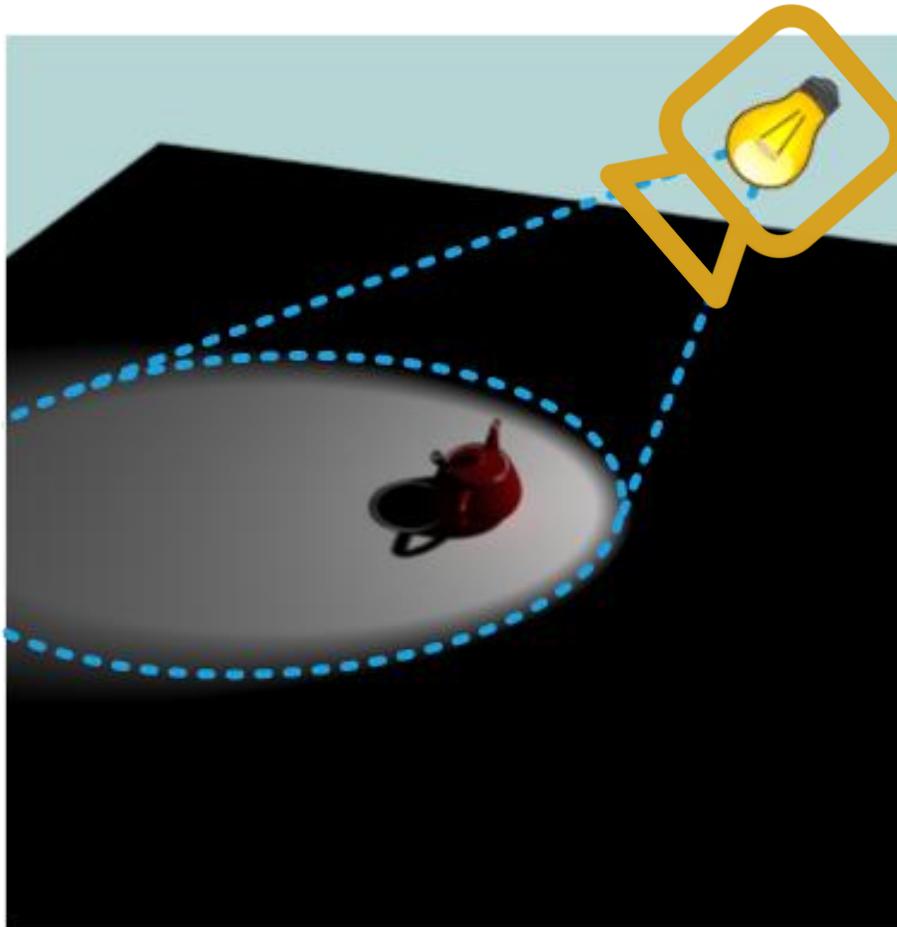
- Like the case of transparency, rendering shadows is difficult for rasterization because **each polygon only has its own information**
  - It does not know which triangle blocks the light, so it cannot determine the shadow attenuation in its fragment shader
- **Shadow map** is a two-pass rendering technique for simulating shadows using rasterization

# Shadow Map Overview (cont.)

- Major concept
  - **First pass: rendering a depth map from the light position**
    - Record the closest surface from the light and generate a **shadow map**
  - **Second pass: rendering from the camera**
    - During lighting computation, lookup the shadow map to determine the shadow



# Shadow Map Overview (cont.)



shadow map  
(rendering from the light view)

# Shadow Map Overview (cont.)

- Major concept
  - <https://learnopengl.com/Advanced-Lighting/Shadows/Shadow-Mapping>

rendering from the light view

```
// 1. first render to depth map
glViewport(0, 0, SHADOW_WIDTH, SHADOW_HEIGHT);
glBindFramebuffer(GL_FRAMEBUFFER, depthMapFBO);
    glClear(GL_DEPTH_BUFFER_BIT);
    ConfigureShaderAndMatrices();
    RenderScene();
glBindFramebuffer(GL_FRAMEBUFFER, 0);
// 2. then render scene as normal with shadow mapping (using depth map)
glViewport(0, 0, SCR_WIDTH, SCR_HEIGHT);
glClear(GL_COLOR_BUFFER_BIT | GL_DEPTH_BUFFER_BIT);
ConfigureShaderAndMatrices();
glBindTexture(GL_TEXTURE_2D, depthMap);
RenderScene();
```

rendering from the camera view

# Shadow Map for Directional Lights

- First pass: shadow map generation

rendering from the light view

```
// 1. first render to depth map
glViewport(0, 0, SHADOW_WIDTH, SHADOW_HEIGHT);
glBindFramebuffer(GL_FRAMEBUFFER, depthMapFBO);
    glClear(GL_DEPTH_BUFFER_BIT);
    ConfigureShaderAndMatrices(); rendering from the light view
    RenderScene();
glBindFramebuffer(GL_FRAMEBUFFER, 0); bind to default screen
// 2. then render scene as normal with shadow mapping (using depth map)
glViewport(0, 0, SCR_WIDTH, SCR_HEIGHT);
glClear(GL_COLOR_BUFFER_BIT | GL_DEPTH_BUFFER_BIT);
ConfigureShaderAndMatrices();
glBindTexture(GL_TEXTURE_2D, depthMap);
RenderScene();
```

bind the render target  
to shadow map FBO

rendering from the light view

# Shadow Map for Directional Lights (cont.)

- First pass: shadow map generation
  - Create a FBO for the shadow map

```
// configure depth map FBO
// -----
const unsigned int SHADOW_WIDTH = 1024, SHADOW_HEIGHT = 1024; shadow map resolution
unsigned int depthMapFBO;
 glGenFramebuffers(1, &depthMapFBO);
// create depth texture
unsigned int depthMap;
 glGenTextures(1, &depthMap);
 glBindTexture(GL_TEXTURE_2D, depthMap);
 glTexImage2D(GL_TEXTURE_2D, 0, GL_DEPTH_COMPONENT, SHADOW_WIDTH, SHADOW_HEIGHT, 0, GL_DEPTH_COMPONENT, GL_FLOAT, NULL);
 glTexParameteri(GL_TEXTURE_2D, GL_TEXTURE_MIN_FILTER, GL_NEAREST);
 glTexParameteri(GL_TEXTURE_2D, GL_TEXTURE_MAG_FILTER, GL_NEAREST);
 glTexParameteri(GL_TEXTURE_2D, GL_TEXTURE_WRAP_S, GL_CLAMP_TO_BORDER);
 glTexParameteri(GL_TEXTURE_2D, GL_TEXTURE_WRAP_T, GL_CLAMP_TO_BORDER);
 float borderColor[] = { 1.0, 1.0, 1.0, 1.0 };
 glTexParameterfv(GL_TEXTURE_2D, GL_TEXTURE_BORDER_COLOR, borderColor);
// attach depth texture as FBO's depth buffer
 glBindFramebuffer(GL_FRAMEBUFFER, depthMapFBO);
 glFramebufferTexture2D(GL_FRAMEBUFFER, GL_DEPTH_ATTACHMENT, GL_TEXTURE_2D, depthMap, 0);
glDrawBuffer(GL_NONE); tell OpenGL we don't need a color buffer
glReadBuffer(GL_NONE);
 glBindFramebuffer(GL_FRAMEBUFFER, 0);
```

# Shadow Map for Directional Lights (cont.)

- First pass: shadow map generation
  - Choose a proper resolution



2048x2048



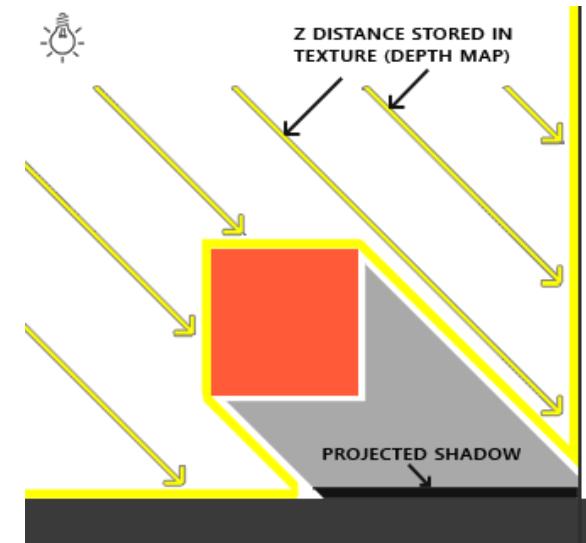
256x256



# Shadow Map for Directional Lights (cont.)

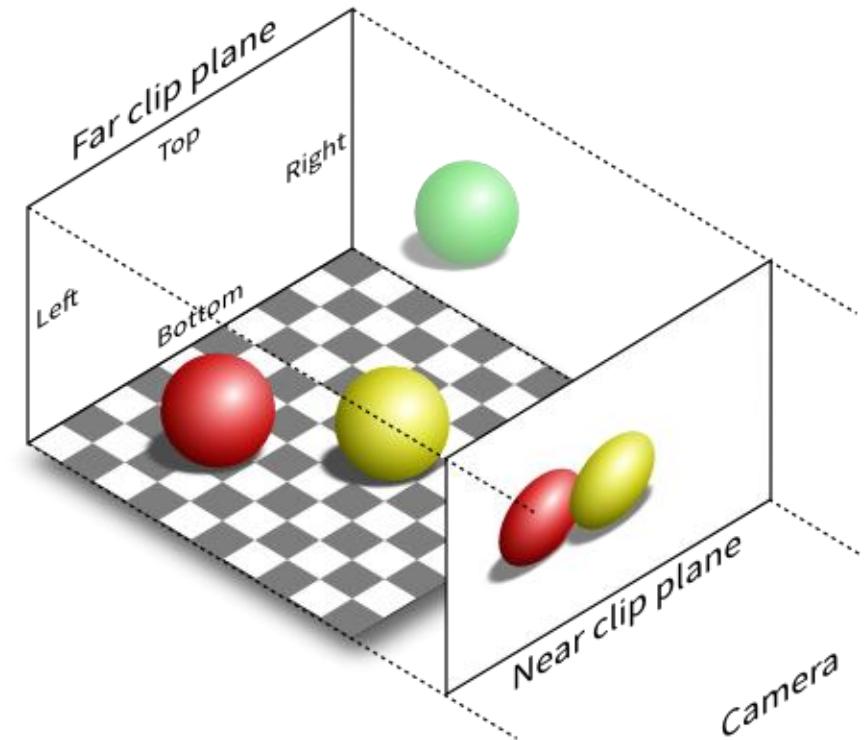
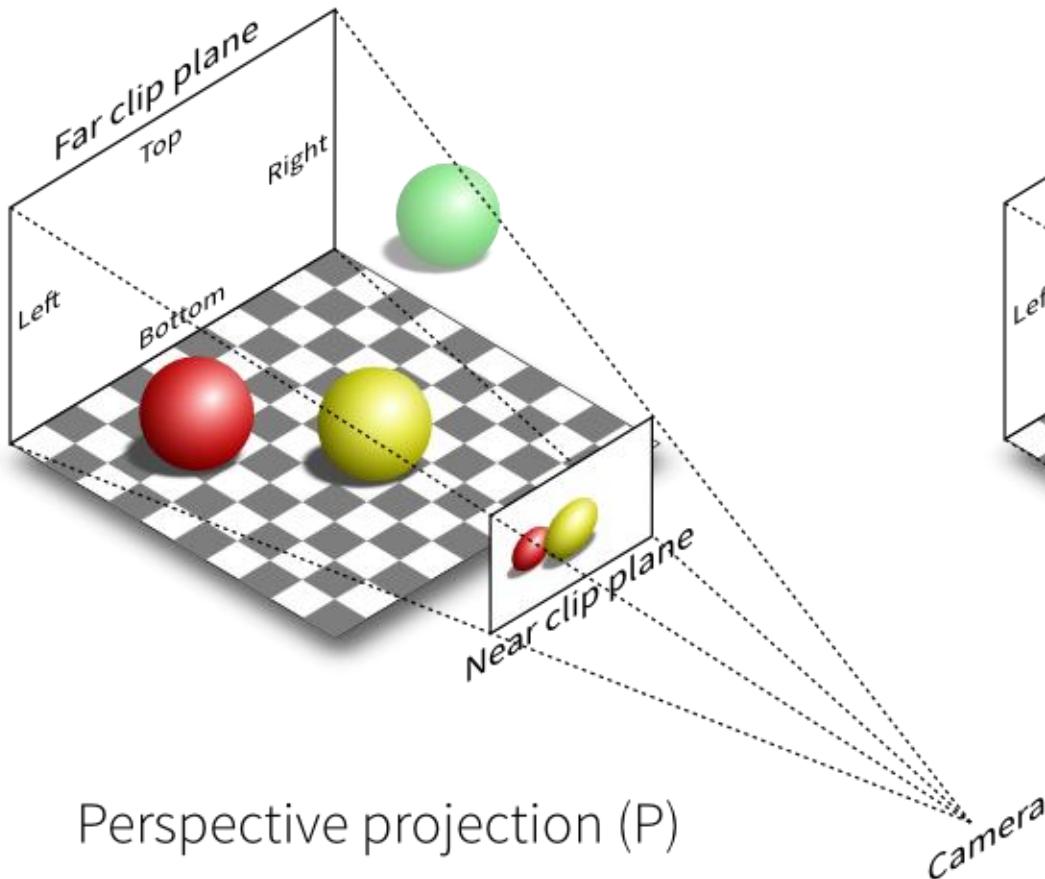
- **First pass: shadow map generation**

- A directional light does not have a light position
- We set the camera to a position somewhere **along the lines of the light direction**
- Use **orthographic projection**



```
glm::mat4 lightProjection, lightView;  
glm::mat4 lightSpaceMatrix;  
float near_plane = 1.0f, far_plane = 7.5f;  
//lightProjection = glm::perspective(glm::radians(45.0f), (GLfloat)SHADOW_WIDTH / (GLfloat)SHADOW_HEIGHT, near_plane, far_plane);  
lightProjection = glm::ortho(-10.0f, 10.0f, -10.0f, 10.0f, near_plane, far_plane);  
lightView = glm::lookAt(lightPos, glm::vec3(0.0f), glm::vec3(0.0, 1.0, 0.0));  
lightSpaceMatrix = lightProjection * lightView;
```

# Recap: Projective Camera Models



Perspective projection (P)

Orthographic projection (O)

# Shadow Map for Directional Lights (cont.)

- First pass: shadow map generation

- Vertex Shader

```
#version 330 core
layout (location = 0) in vec3 aPos;

uniform mat4 lightSpaceMatrix;
uniform mat4 model;

void main()
{
    gl_Position = lightSpaceMatrix * model * vec4(aPos, 1.0);
}
```

- Fragment Shader (do nothing)

```
#version 330 core

void main()
{
    // gl_FragDepth = gl_FragCoord.z;
}
```



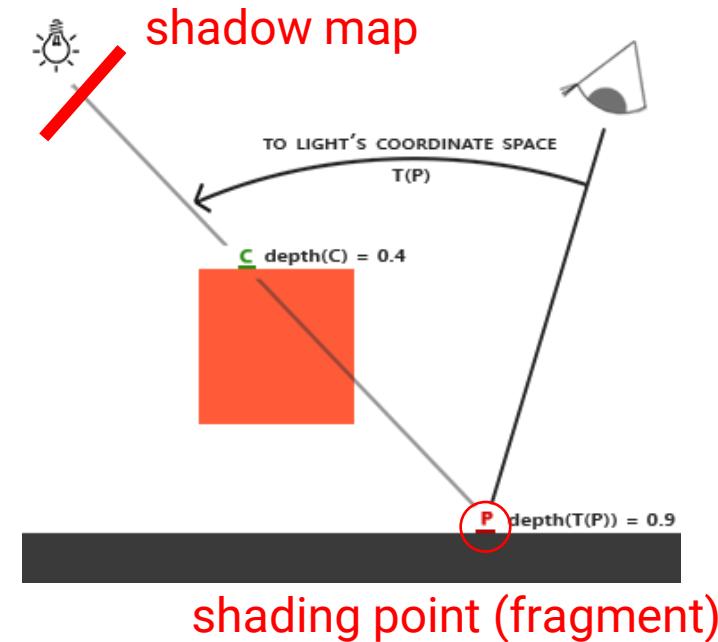
# Shadow Map for Directional Lights (cont.)

- **Second pass: normal rendering**

- Render the scene from the camera
- Look up the shadow map to determine shadows during lighting computation

```
// 1. first render to depth map
glViewport(0, 0, SHADOW_WIDTH, SHADOW_HEIGHT);
glBindFramebuffer(GL_FRAMEBUFFER, depthMapFBO);
    glClear(GL_DEPTH_BUFFER_BIT);
    ConfigureShaderAndMatrices();
    RenderScene();
glBindFramebuffer(GL_FRAMEBUFFER, 0);
// 2. then render scene as normal with shadow mapping (using depth map)
glViewport(0, 0, SCR_WIDTH, SCR_HEIGHT);
glClear(GL_COLOR_BUFFER_BIT | GL_DEPTH_BUFFER_BIT);
ConfigureShaderAndMatrices();
glBindTexture(GL_TEXTURE_2D, depthMap);
RenderScene();
```

rendering from the camera view



# Shadow Map for Directional Lights (cont.)

- Second pass: normal rendering

- Vertex Shader

```
#version 330 core
layout (location = 0) in vec3 aPos;
layout (location = 1) in vec3 aNormal;
layout (location = 2) in vec2 aTexCoords;

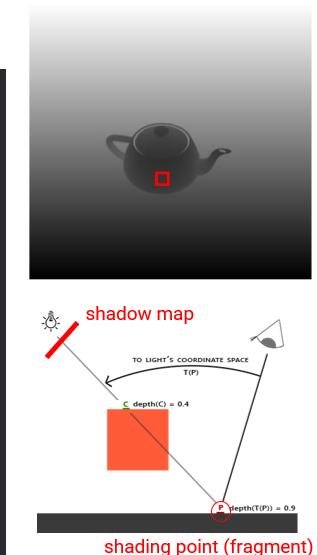
out VS_OUT {
    vec3 FragPos;
    vec3 Normal;
    vec2 TexCoords;
    vec4 FragPosLightSpace;
} vs_out;

uniform mat4 projection;
uniform mat4 view;
uniform mat4 model;
uniform mat4 lightSpaceMatrix;

void main()
{
    vs_out.FragPos = vec3(model * vec4(aPos, 1.0));
    vs_out.Normal = transpose(inverse(mat3(model))) * aNormal;
    vs_out.TexCoords = aTexCoords;
    vs_out.FragPosLightSpace = lightSpaceMatrix * vec4(vs_out.FragPos, 1.0);
    gl_Position = projection * view * vec4(vs_out.FragPos, 1.0);
}
```

**data to be interpolated**

**Clip Space coordinate of light space  
(for looking up the shadow map)**



# Shadow Map for Directional Lights (cont.)

- Second pass: normal rendering

- Fragment Shader

```
#version 330 core
out vec4 FragColor;

in VS_OUT {
    vec3 FragPos;
    vec3 Normal;
    vec2 TexCoords;
    vec4 FragPosLightSpace;
} fs_in;

uniform sampler2D diffuseTexture;
uniform sampler2D shadowMap;

uniform vec3 lightPos;
uniform vec3 viewPos;

float ShadowCalculation(vec4 fragPosLightSpace)
{
    [...] func to calc. shadow
}

void main()
{
    ...
    FragColor = vec4(lichting, 1.0);
}
```

interpolated  
data

# Shadow Map for Directional Lights (cont.)

- **Second pass: normal rendering**

- Fragment Shader

```
void main()
{
    vec3 color = texture(diffuseTexture, fs_in.TexCoords).rgb;
    vec3 normal = normalize(fs_in.Normal);
    vec3 lightColor = vec3(1.0);
    // ambient
    vec3 ambient = 0.15 * lightColor;
    // diffuse
    vec3 lightDir = normalize(lightPos - fs_in.FragPos);
    float diff = max(dot(lightDir, normal), 0.0);
    vec3 diffuse = diff * lightColor;
    // specular
    vec3 viewDir = normalize(viewPos - fs_in.FragPos);
    float spec = 0.0;
    vec3 halfwayDir = normalize(lightDir + viewDir);
    spec = pow(max(dot(normal, halfwayDir), 0.0), 64.0);
    vec3 specular = spec * lightColor;
    // calculate shadow
    float shadow = ShadowCalculation(fs_in.FragPosLightSpace);
    vec3 lighting = (ambient + (1.0 - shadow) * (diffuse + specular)) * color;

    FragColor = vec4(lighting, 1.0);
}
```

# Shadow Map for Directional Lights (cont.)

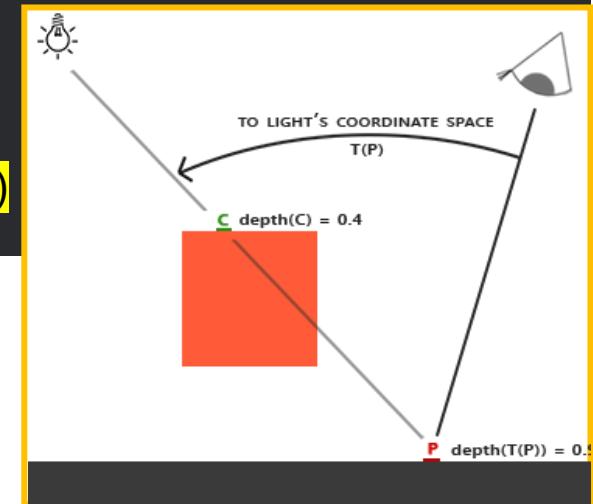
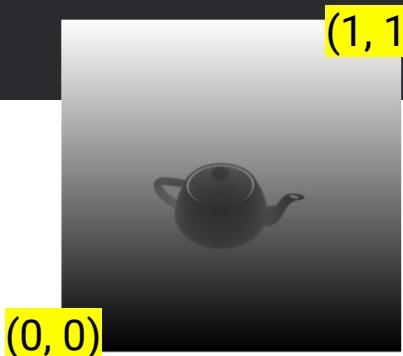
- Second pass: normal rendering
  - Fragment Shader

```

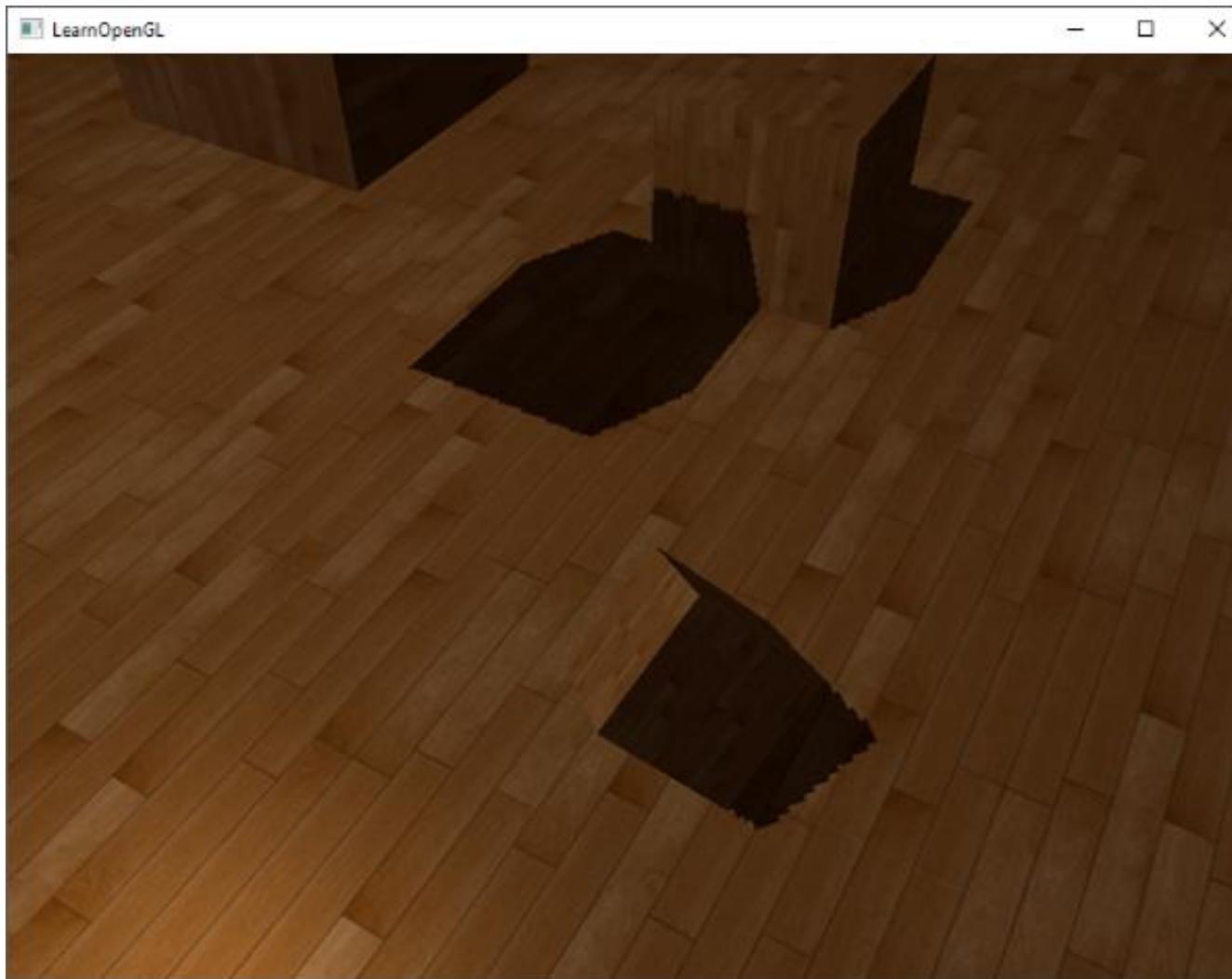
float ShadowCalculation(vec4 fragPosLightSpace)
{
    // perform perspective divide
    vec3 projCoords = fragPosLightSpace.xyz / fragPosLightSpace.w; to NDC [-1, 1]
    // transform to [0,1] range
    projCoords = projCoords * 0.5 + 0.5; to [0, 1] for looking up the shadow map
    // get closest depth value from light's perspective (using [0,1] range fragPosLight as coords)
    float closestDepth = texture(shadowMap, projCoords.xy).r;
    // get depth of current fragment from light's perspective
    float currentDepth = projCoords.z;
    // check whether current frag pos is in shadow
    float shadow = currentDepth > closestDepth ? 1.0 : 0.0;

    return shadow;
}

```

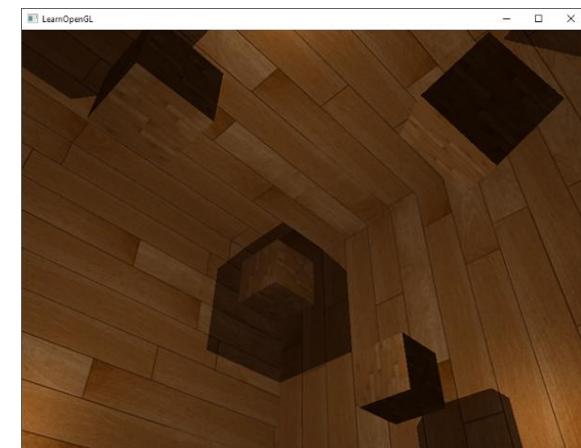
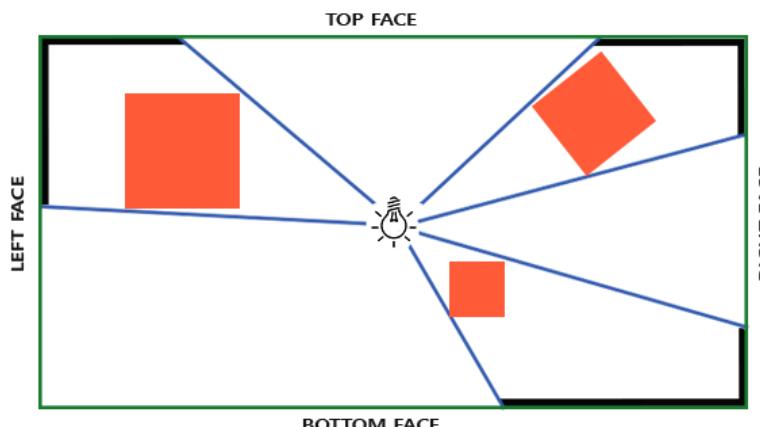


# Shadow Map for Directional Lights (cont.)



# Shadow Map for Point / Spot Lights

- Generate a shadow map for a **spotlight** is intuitive
  - Locate the camera at the position of the spotlight
  - Use the direction of the spotlight for viewing direction
  - Use **perspective** projection instead of orthogonal projection
- For a point light, you need to render the scene depth into a **cubemap** because the light emits in omni directions
  - <https://learnopengl.com/Advanced-Lighting/Shadows/Point-Shadows>



# Percentage Closer Filtering

- The shadow map has a fixed (and limited) resolution
- A single lookup of a shadow map often produces jagged blocky edges

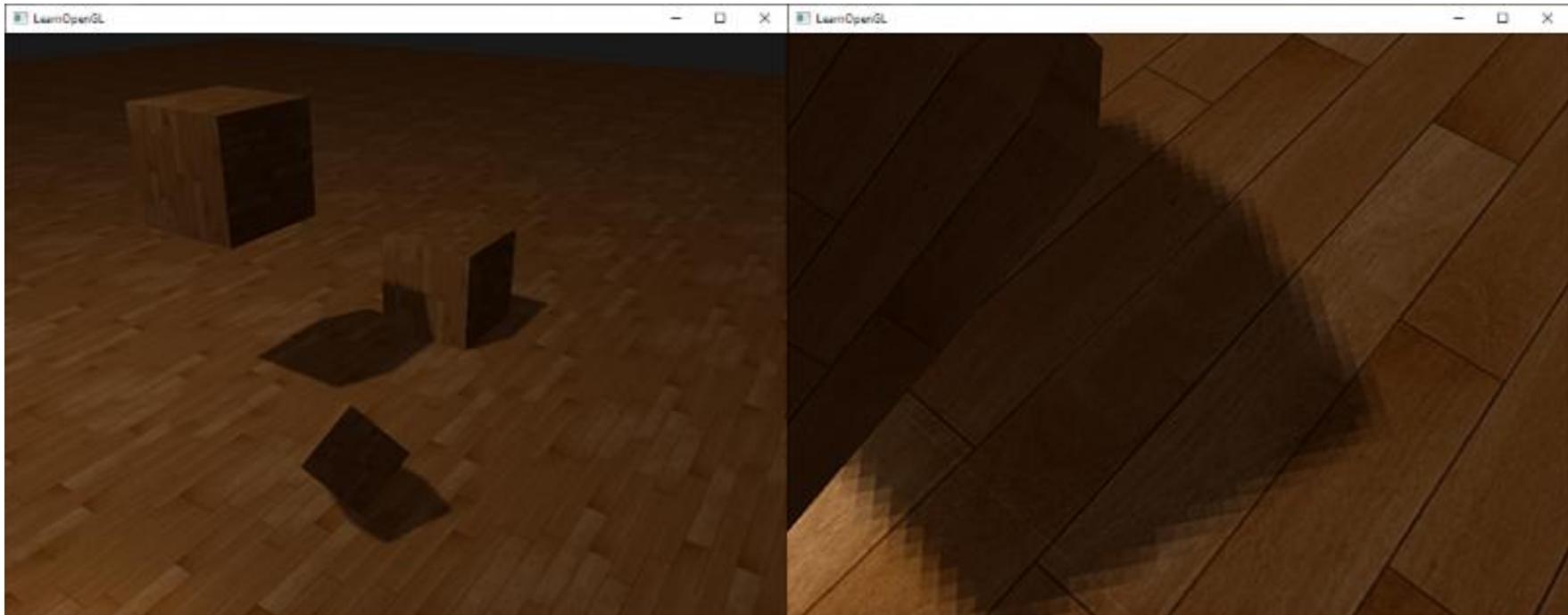


- We can reduce these blocky shadows by increasing the depth map resolution, or
- **Sampling more than once** from the depth map, each time with slightly different texture coordinates, and **averaging** the results

# Percentage Closer Filtering



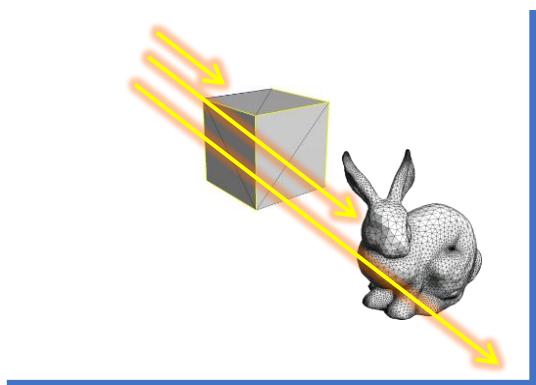
```
float shadow = 0.0;
vec2 texelSize = 1.0 / textureSize(shadowMap, 0);
for(int x = -1; x <= 1; ++x)
{
    for(int y = -1; y <= 1; ++y)
    {
        float pcfDepth = texture(shadowMap, projCoords.xy + vec2(x, y) * texelSize).r;
        shadow += currentDepth - bias > pcfDepth ? 1.0 : 0.0;
    }
}
shadow /= 9.0;
```



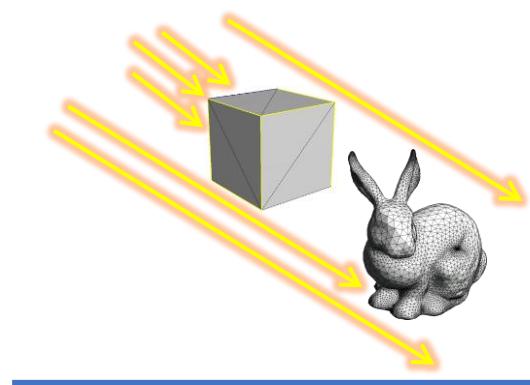
# Ambient Occlusion

# Recap: Global Illumination

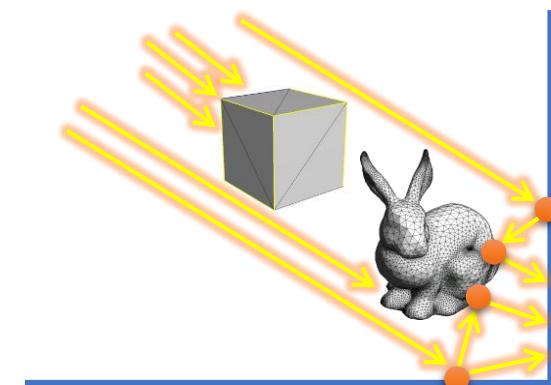
- Global illumination includes multi-bounce lighting
- Very expensive to compute
- In Phong lighting model, a **constant ambient term** is used to account for disregarded illumination
  - However, this produces a “flat”, “non-photo-realistic” appearance



local illumination



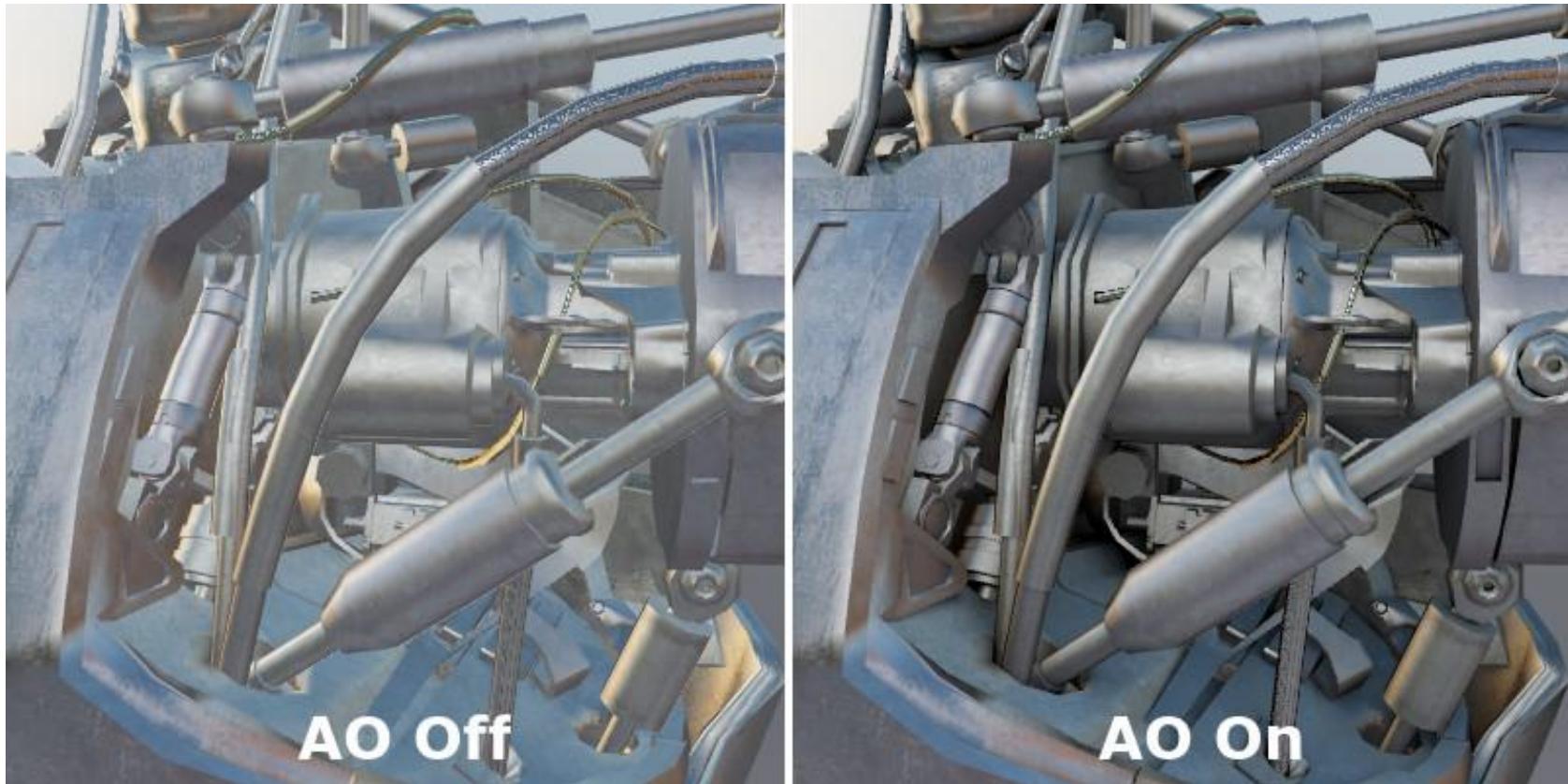
direct illumination



global illumination

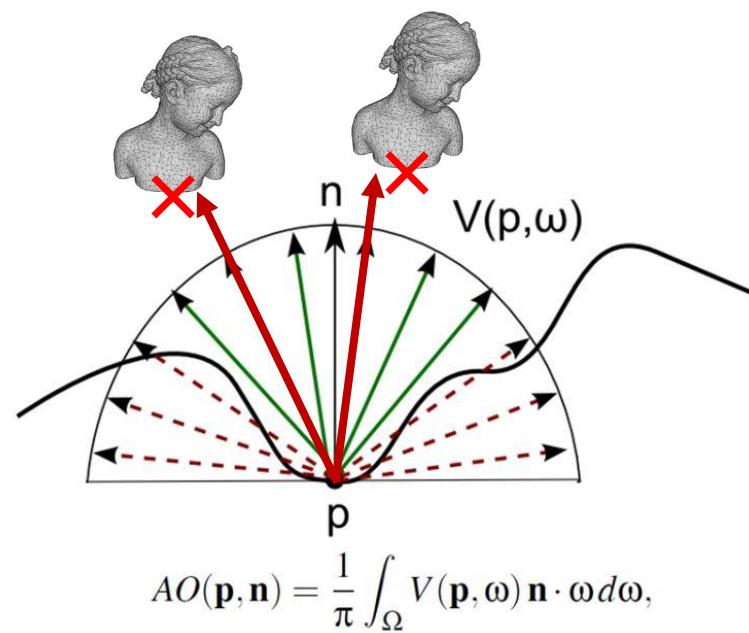
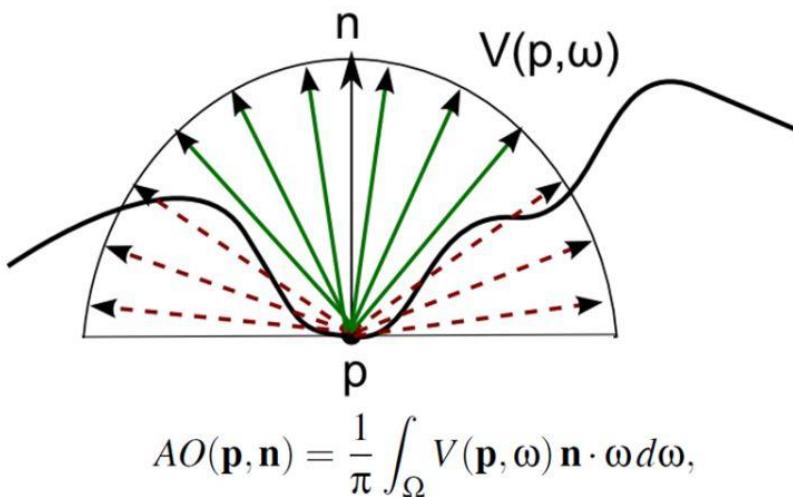
# Ambient Occlusion

- Ambient occlusion (AO) is a popular technique to approximate global illumination

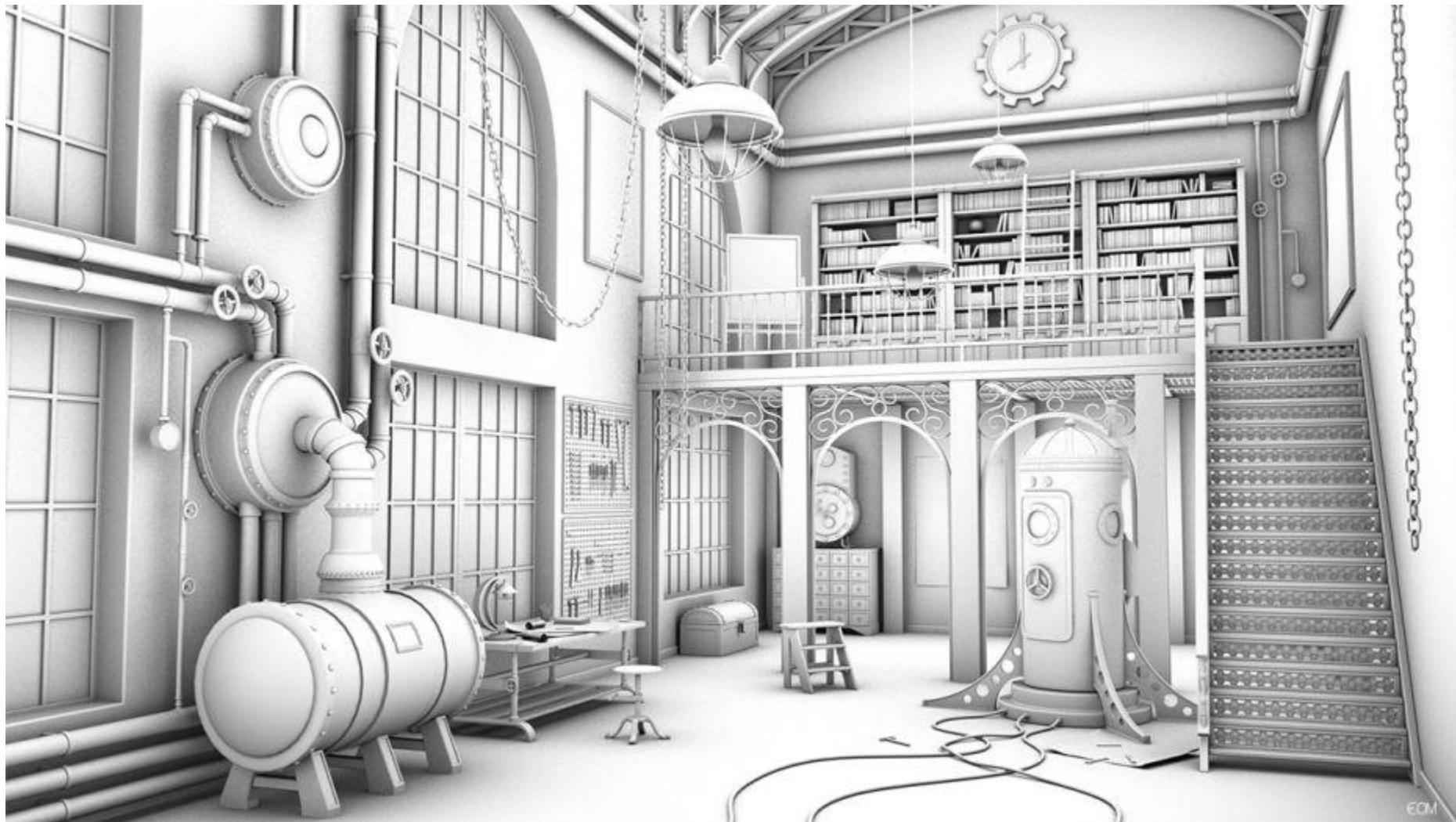


# Ambient Occlusion (cont.)

- **Ambient occlusion (AO)** is a popular technique to approximate global illumination
  - Modulate ambient light by the surface's **accessibility**
  - Greatly enhance **depth perception** with a relatively low cost



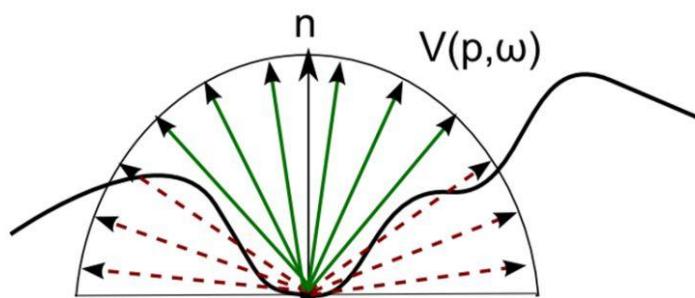
# Ambient Occlusion (cont.)



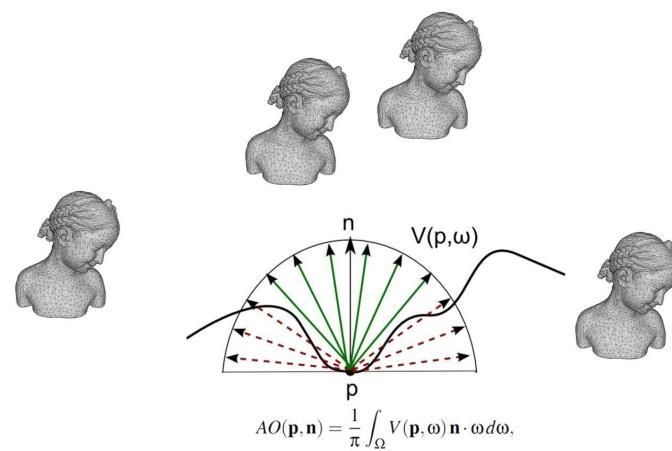
# Ambient Occlusion (cont.)

# Ambient Occlusion

- To compute AO, you need to know whether the ambient light is occluded in a direction
- In ray tracing, you can **trace rays** to determine the visibility
- For rasterization; however, this is difficult because **each polygon only knows its information** (again!)
  - Performance is also an issue!



$$AO(\mathbf{p}, \mathbf{n}) = \frac{1}{\pi} \int_{\Omega} V(\mathbf{p}, \omega) \mathbf{n} \cdot \omega d\omega,$$



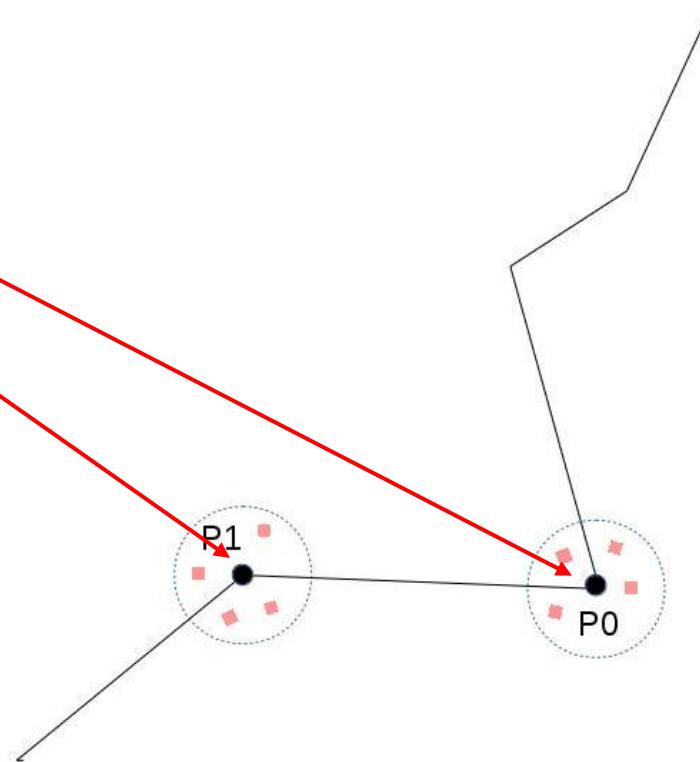
# Screen-space Ambient Occlusion

- Crytek implemented a real-time solution for *Crysis*
  - Quickly became the yardstick for game graphics
  - Known as screen-space ambient occlusion (**SSAO**)
- Major idea
  - Find nearby occluders in the **depth buffer (screen-space)**



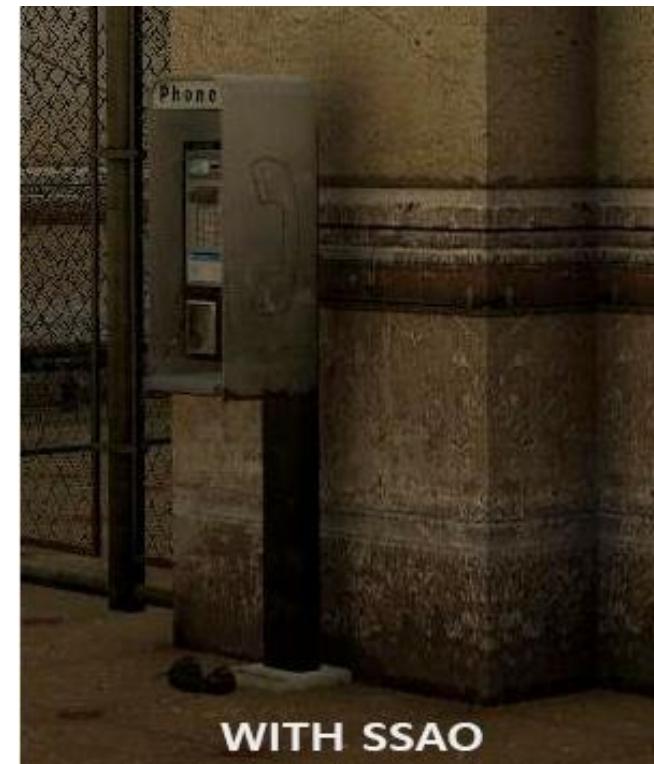
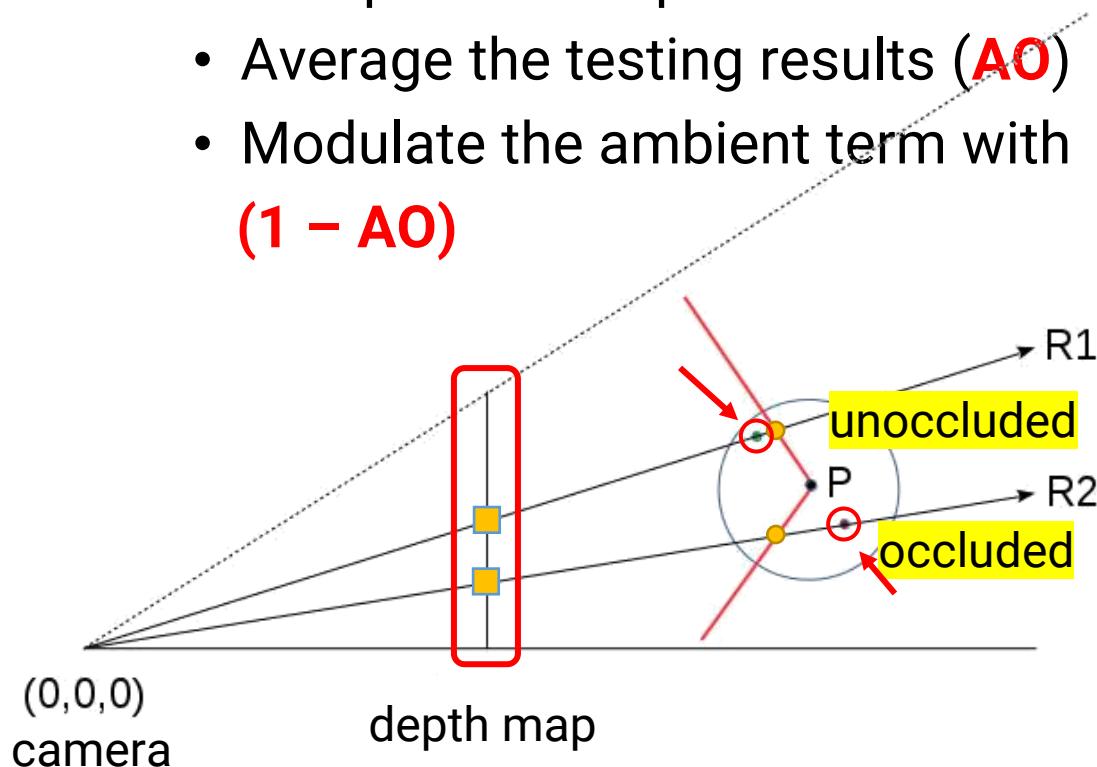
# Screen-space Ambient Occlusion (cont.)

- Method
  - Generate samples within a sphere around the shading point (fragment)



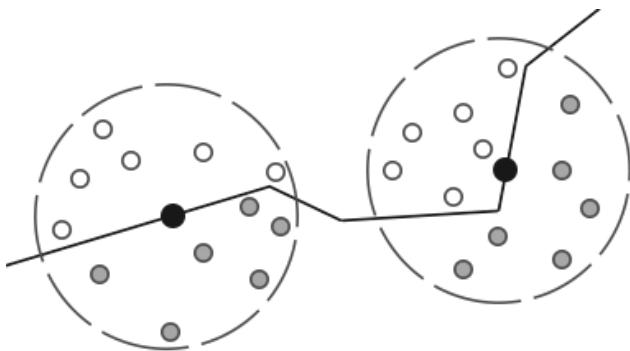
# Screen-space Ambient Occlusion (cont.)

- Method
  - Project the samples back to the depth map from the camera
  - Compare the depth values
  - Average the testing results (**AO**)
  - Modulate the ambient term with  
**(1 – AO)**



# Screen-space Ambient Occlusion (cont.)

- Strike a balance for the sample count (a compromise between **quality** and **performance**)
- Use some techniques to trade artifacts (banding) with noise, and later removed them by filtering
  - Obtain acceptable results with few samples



low sample 'banding'



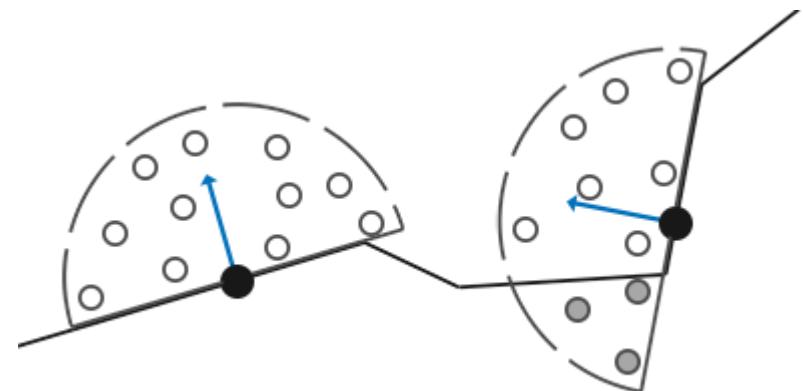
random rotation = noise



+ blur = acceptable

# Screen-space Ambient Occlusion (cont.)

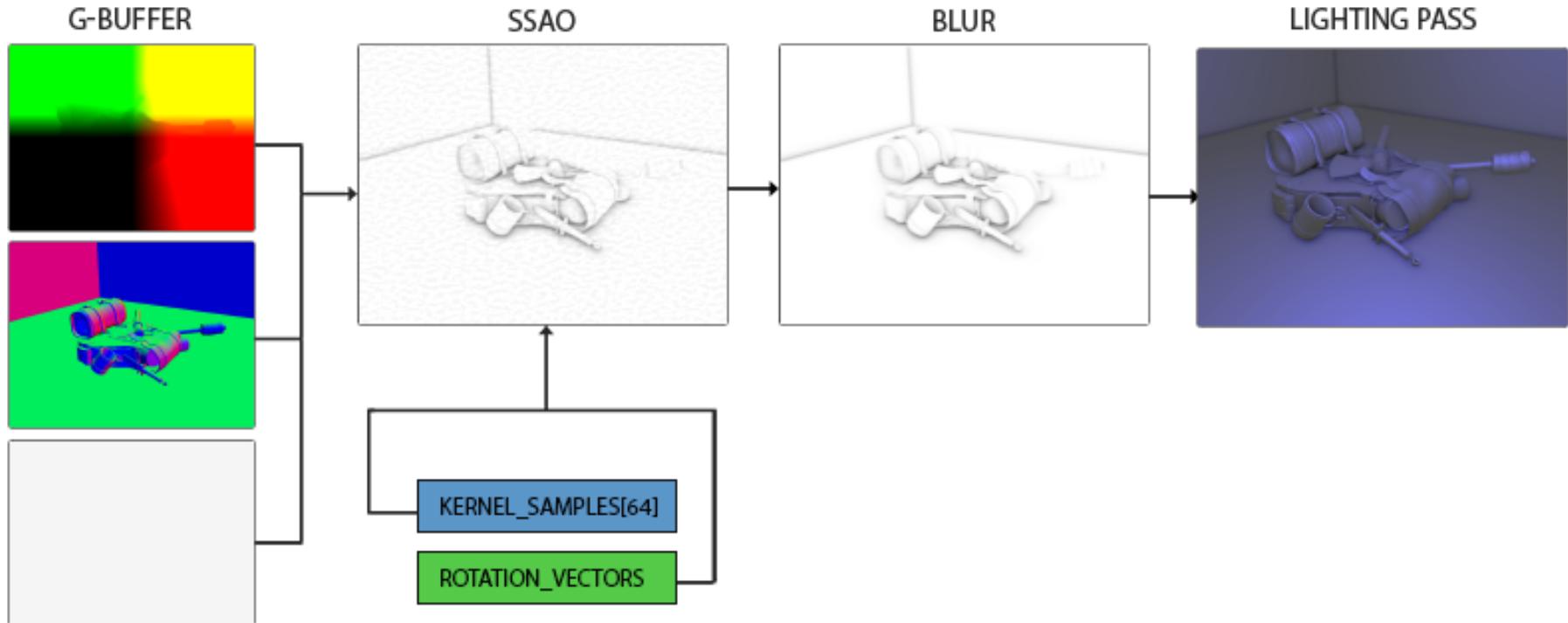
- Problem and improvement
  - Generate samples within a sphere produces results that are too dark
    - Why? Half of the samples are underneath the surface
  - Solution: use **hemisphere** (oriented by **normal**) instead



rotate by the TBN matrix!

# Screen-space Ambient Occlusion (cont.)

- An implementation
  - <https://learnopengl.com/Advanced-Lighting/SSAO>



# SSAO in Games

SSAO off



# SSAO in Games (cont.)

SSAO on



