

# Finite State Methods

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Intro to NLP, Fall 2019

# Outline

1. Finite-State Automaton (FSA)
2. Finite-State Transducer (FST)
3. Applications in Morphology
4. Semiring

# Finite-State Methods in NLP

- Application of Automata Theory, focusing on
  - properties of **string sets** or **string relations**
  - with a notion of “**bounded dependency**”
    - e.g. phonology and morphology. some syntax

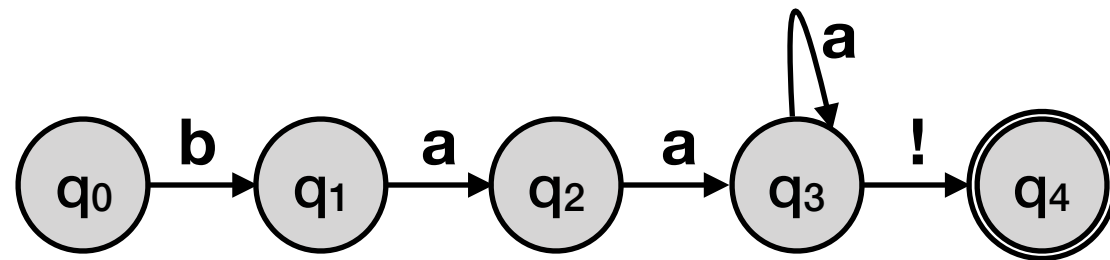
# Example: SheepTalk

- Here are some strings produced by a sheep:

- baa!

- baaa!

- baaaaa!



- Some strings not produced by a sheep:

- baabaa!

- We can model this with a regular expression `/baa*!/`
- We can also model this with a [finite-state automaton](#)

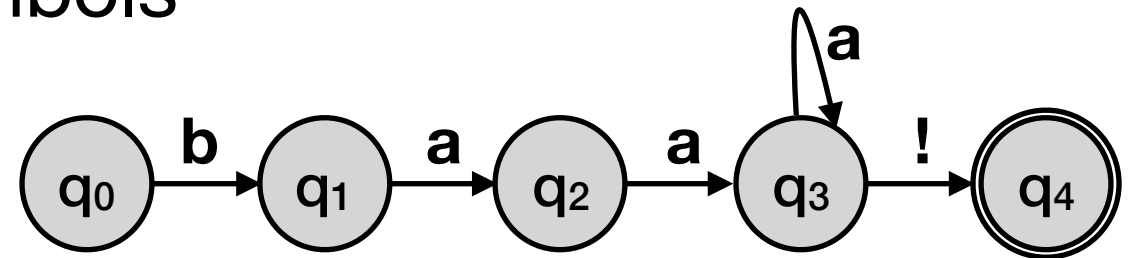
# Finite-State Automaton (FSA)

- Definition:

- $Q = q_0q_1, \dots, q_{N-1}$ : finite set of  $N$  states,  $q_0$  is start state

- $\Sigma$ : finite input alphabet of symbols

- $F$ : set of final states in  $Q$

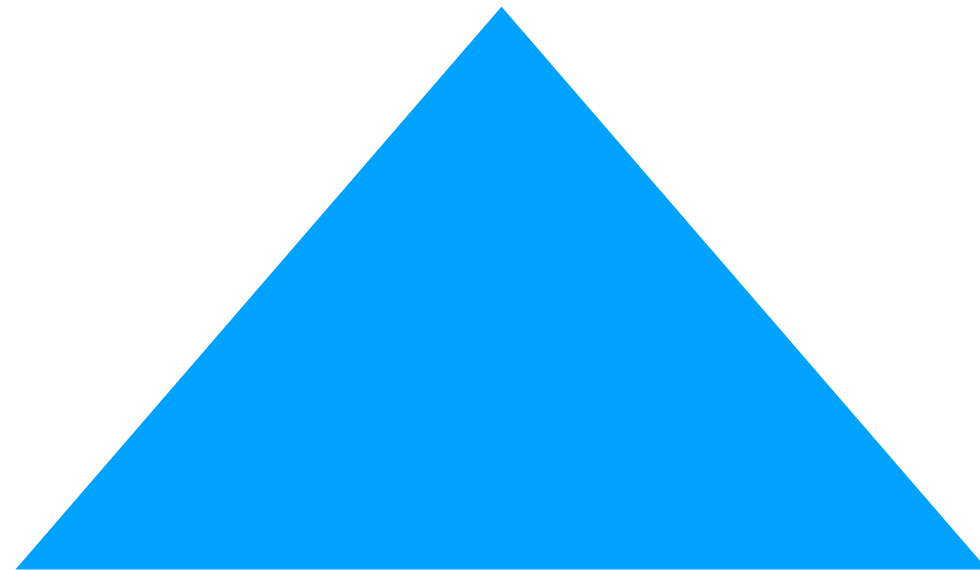


- Transition function: given **state**  $q$  and **input**  $i$ , returns **new state**  $q'$

- A string is accepted/recognized by the FSA if it starts in  $q_0$  and reaches a valid final state

# FSA, Regex, Regular Language

**Finite-State Automaton  
(Computational Device)**

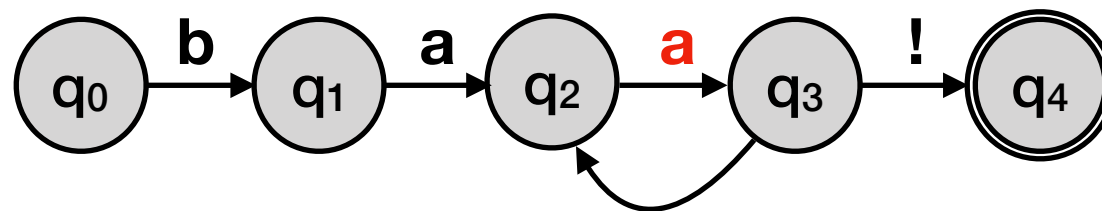
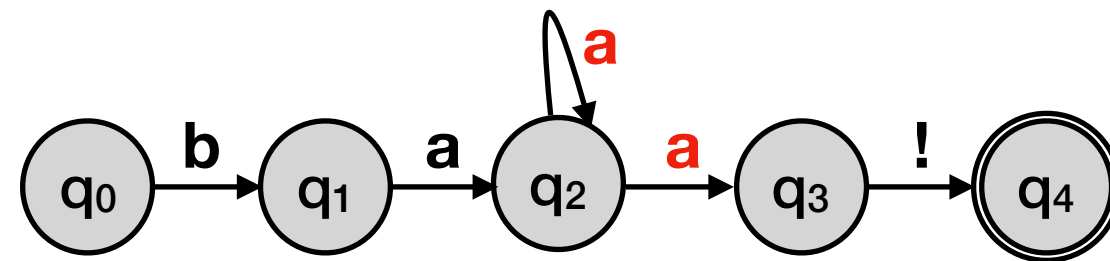


**Regular Expression  
(Descriptive Notation)**

**Regular Language  
(Set of accepted strings)**

*Note: A regular language (e.g. SheepTalk) can contain infinitely many strings, but modeled by one FSA*

# Non-Deterministic FSA



$\epsilon$  :epsilon arcs consume no symbols

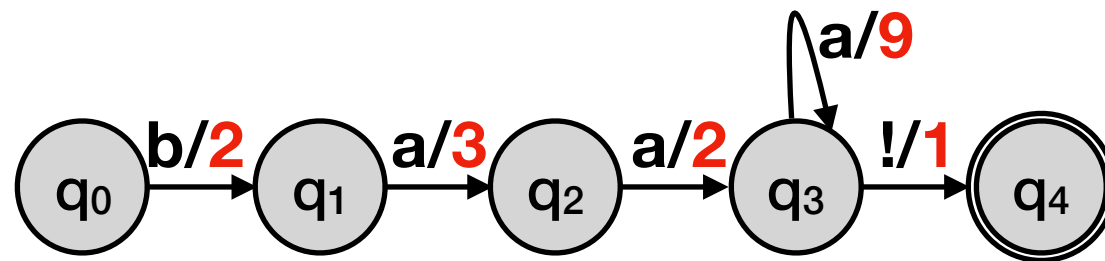
- There are ways to check if a string is recognized by a Non-Deterministic FSAs
- Also possible to convert Non-Deterministic FSAs to Deterministic ones

# Operations on Regular Languages

- Suppose  $L_1$  and  $L_2$  are regular languages
  - $L_1$  is sheeptalk — / $baa^*!$ /
  - $L_2$ : 3 strings —  $ba!$   $baba!$   $bababa!$
- **Intersection**  $L_1 \cap L_2$  : the language consisting of strings in both languages
- **Difference**  $L_1 - L_2$ : the language consisting of strings that are in  $L_1$  but not in  $L_2$
- **Complementation**:  $\Sigma^* - L_1$ , i.e. set of strings that aren't in  $L_1$



# Weighted Finite-State Automata (WFSA)

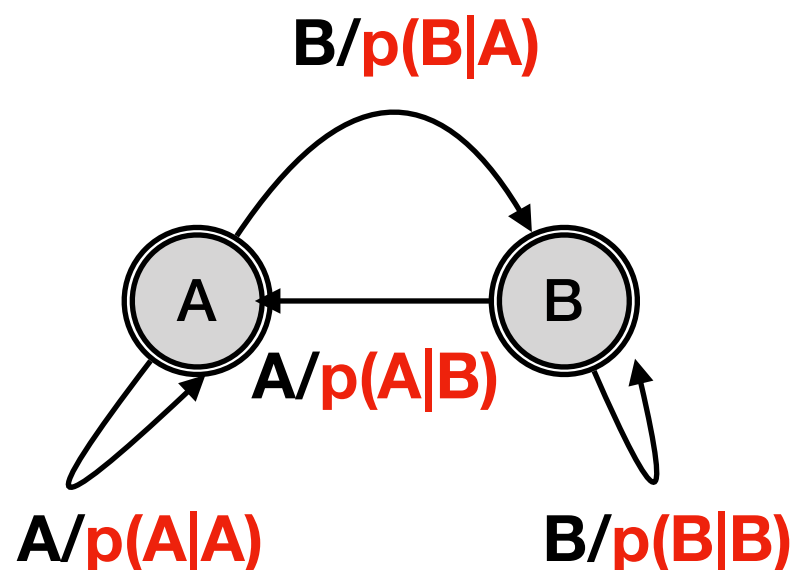


- Associates some weight (e.g. number) to each arc
- This can be useful!

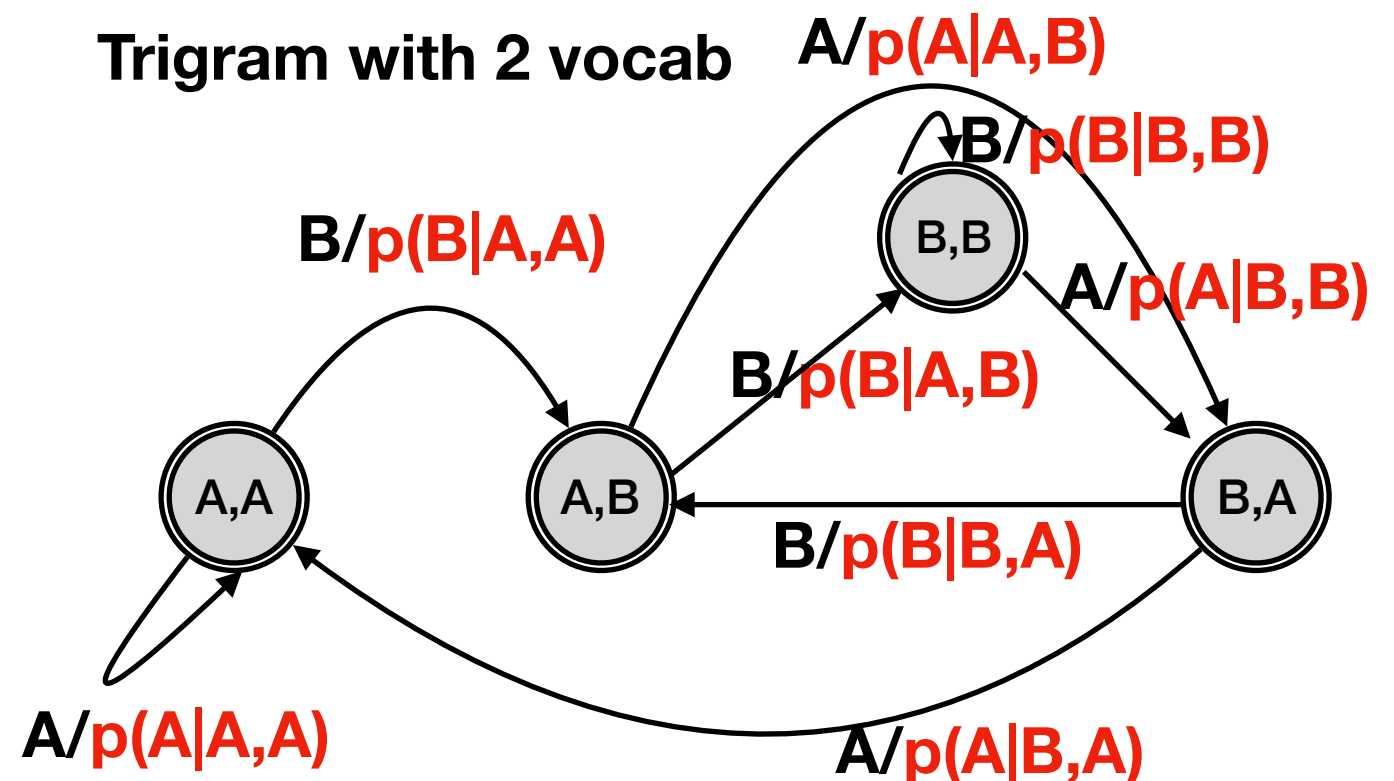
# Can N-grams be represented by a WFSA?

- Yes!
- One state for each (n-1)-gram history
- Each arc is a word & probability  $p(\text{word}|\text{history})$

Bigram with 2 vocab: A, B



Trigram with 2 vocab

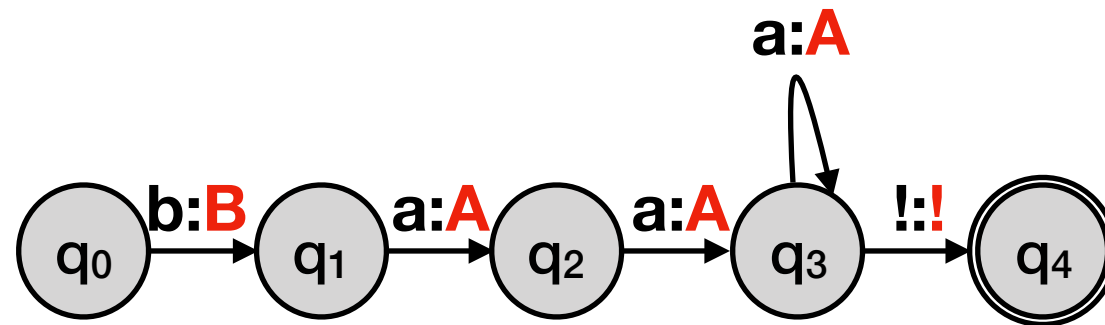


# Outline

1. Finite-State Automaton (FSA)
2. Finite-State Transducer (FST)
3. Applications in Morphology
4. Semiring

# Finite-State Transducer (FST)

- Maps between two sets of symbols and defines relation between sets of strings

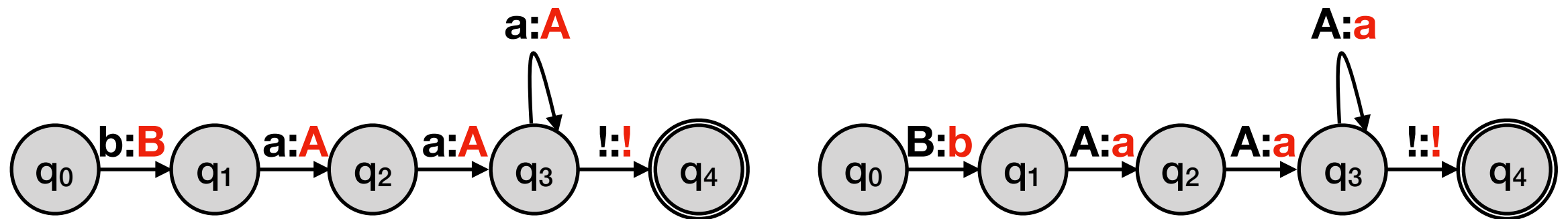


- Can view a recognizer of string pairs
  - Is baa! with BAA! recognized?
- Alternatively, can view as a translator
  - baa! => BAA!

**Note: FSA is a special case of FST where input=output**

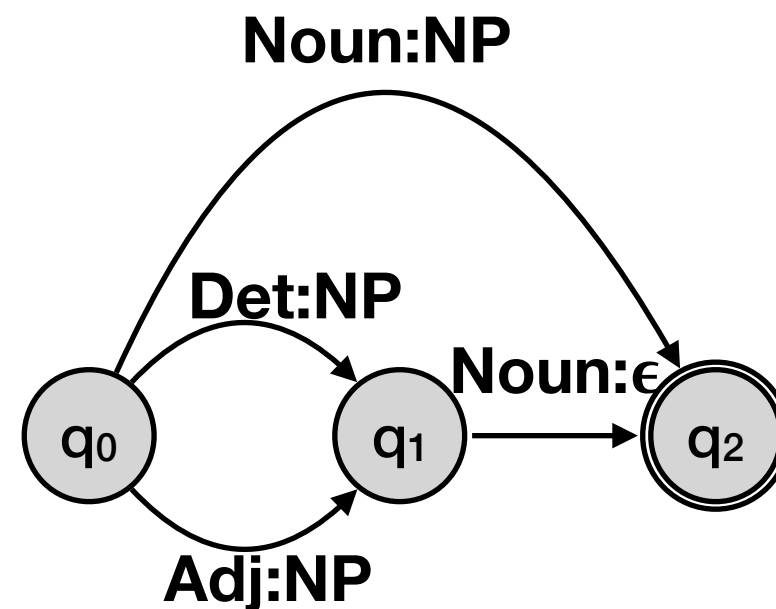
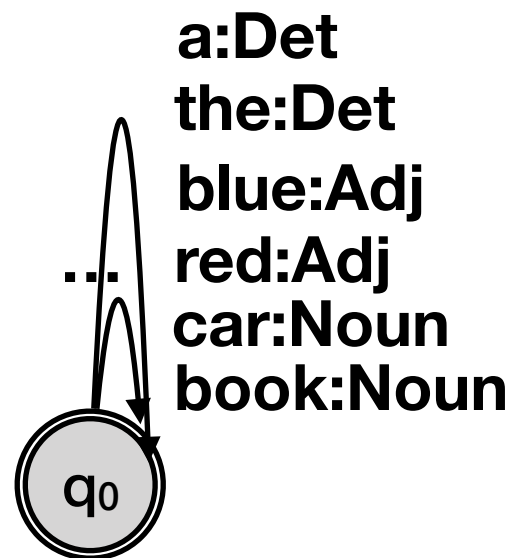
# Operations on FSTs

- Inversion: Flips the input and output symbols on each arc



- Composition:
  - $T_1$  is transducer from  $I_1$  to  $O_1$
  - $T_2$  is transducer from  $O_1$  to  $O_2$
  - $T_1 \circ T_2$  maps  $I_1$  to  $O_2$

# Composition is very useful!



*What happens when you compose these two transducers?*

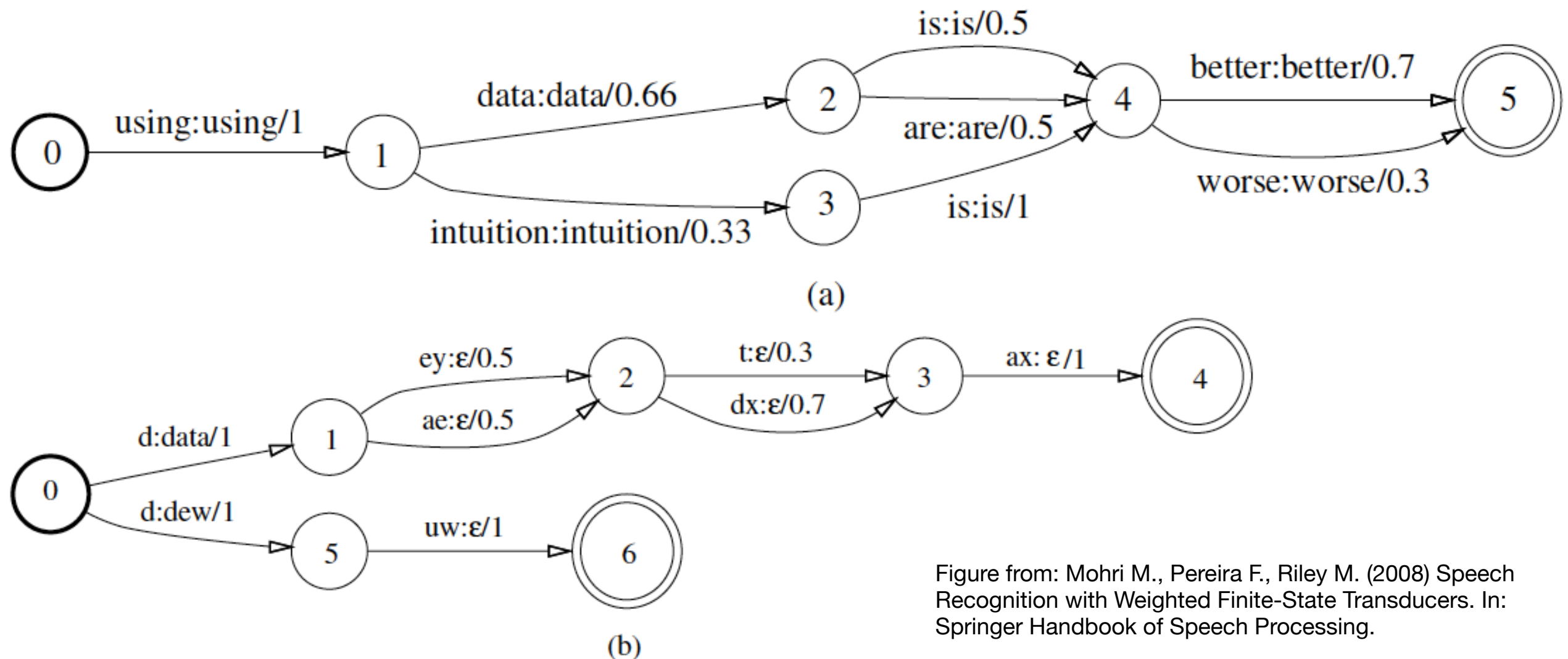


Figure from: Mohri M., Pereira F., Riley M. (2008) Speech Recognition with Weighted Finite-State Transducers. In: Springer Handbook of Speech Processing.

## Composition allows us to declaratively describe transform on a set of strings:

e.g. Speech Recognition:  $H \circ C \circ L \circ G$

$G$  = Language Model FSA

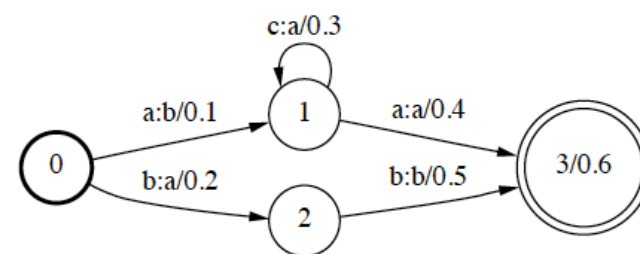
$L$  = Pronunciation Lexicon, FST mapping (context-independent) phones to words

$C$  = FST mapping context-dependent phone sequence to context-independent ones

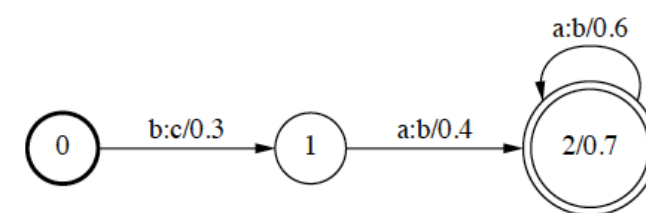
$H$  = FST mapping acoustic model states to context-dependent phones

# How to compose FSTs?

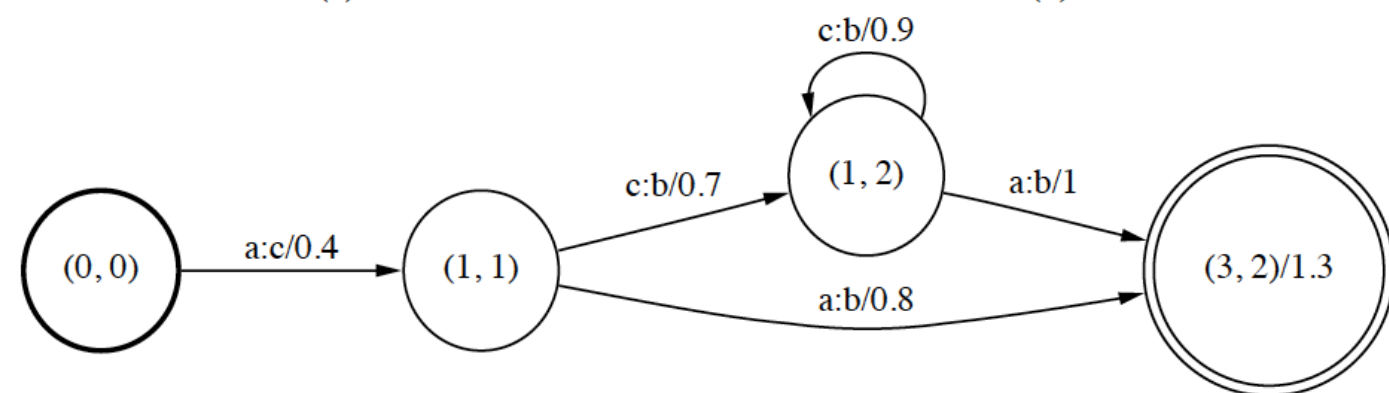
- For every state in  $s \in T_1$  and  $t \in T_2$ , create  $(s,t)$
- Create arc from  $(s_1, t_1)$  to  $(s_2, t_2)$  if
  - There's arc from  $s_1$  to  $s_2$  with output label  $i$
  - And there's arc from  $t_1$  to  $t_2$  with input label  $i$
- A little bit more complicated for epsilon arcs



(a)



(b)





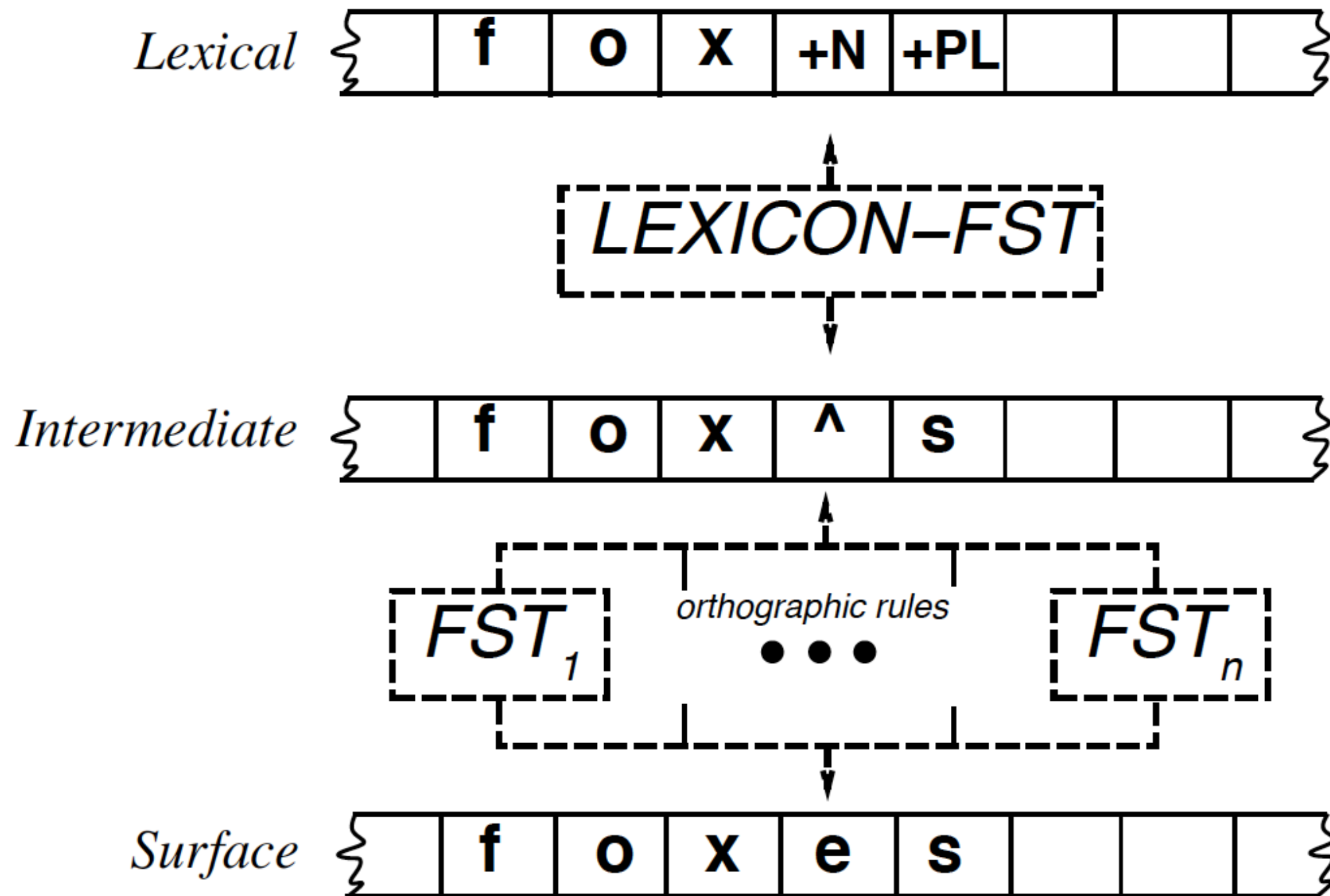
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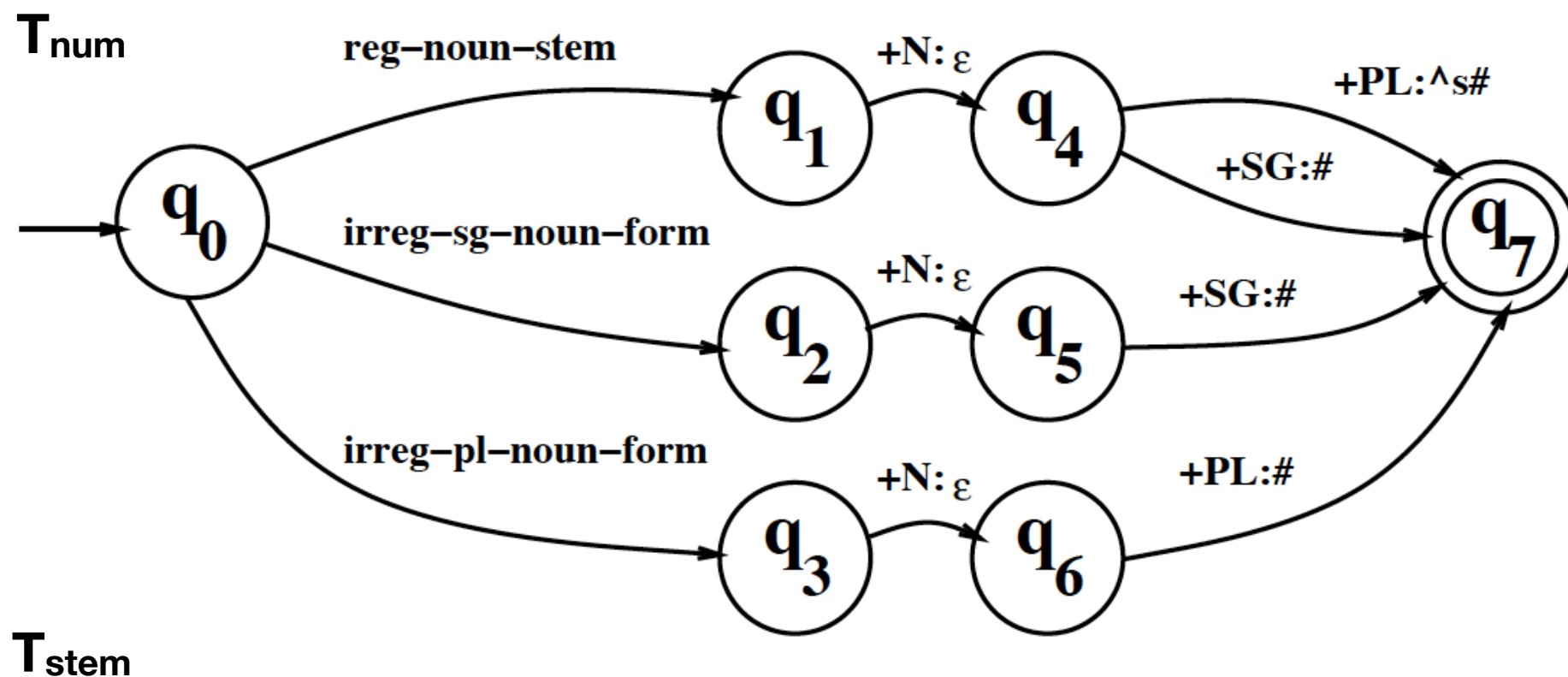
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# Morphological Parsing

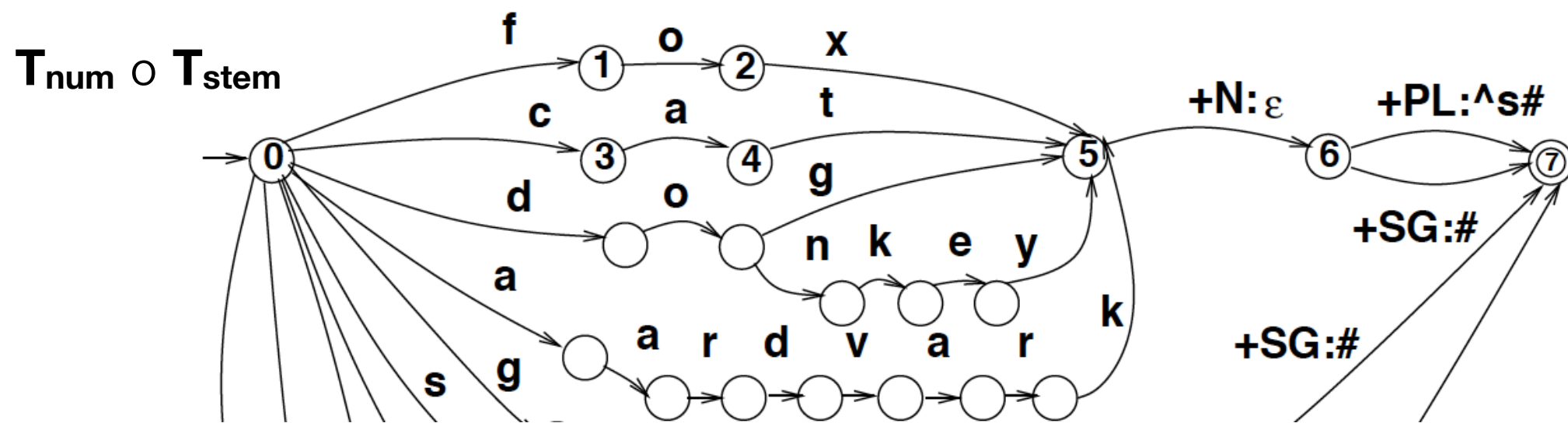
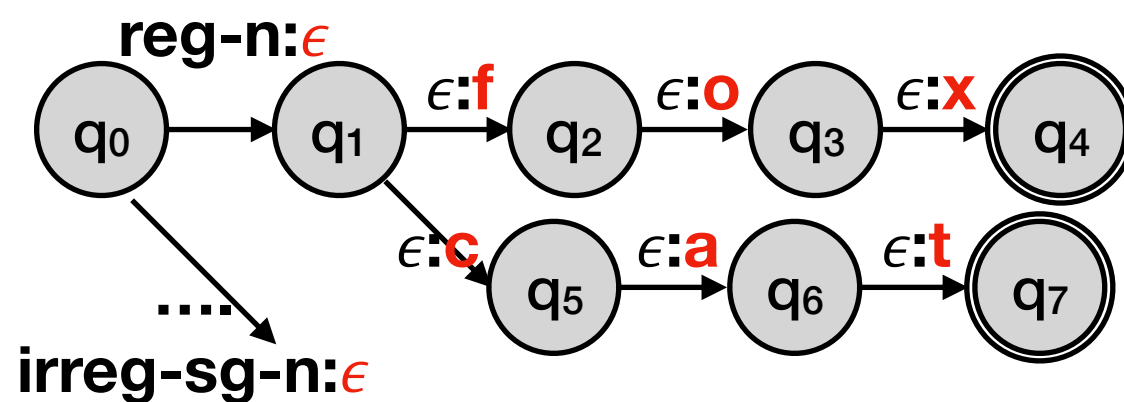
Input	Output
cats	cat +N +PL
cat	cat +N +SG
cities	city +N +PL
geese	goose +N +PL
caught	(catch +V +PAST-PART) or (catch +V +PAST)
merging	merge +V +PRES-PART
(word in surface form)	(lemma and morphological features)

# Morphological Parsing with FSTs



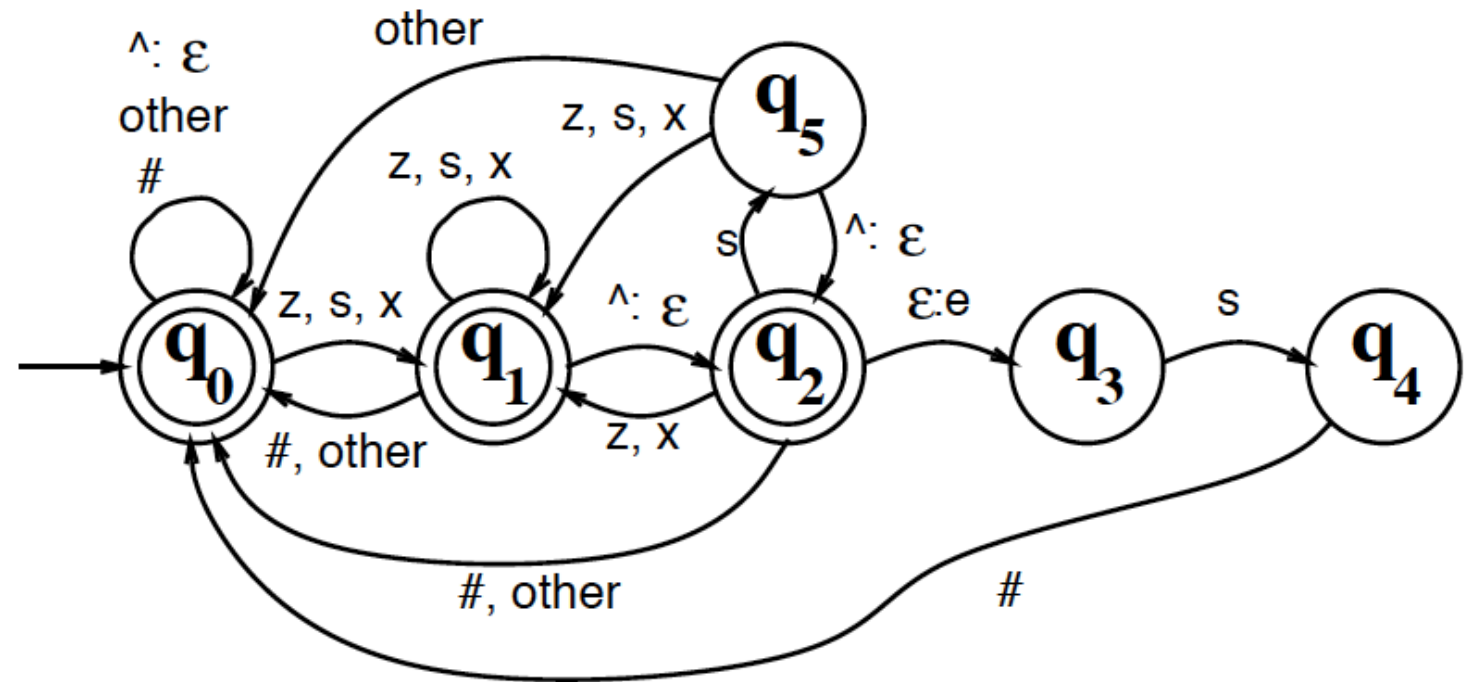


reg-noun-stem:fox  
 reg-noun-stem:cat  
 irreg-sg-noun-form:sheep  
 irreg-pl-noun-form:sheep  
 irreg-sg-noun-form:mouse  
 irreg-pl-noun-form:mice



# Orthography Rules: “foxs” is not correct

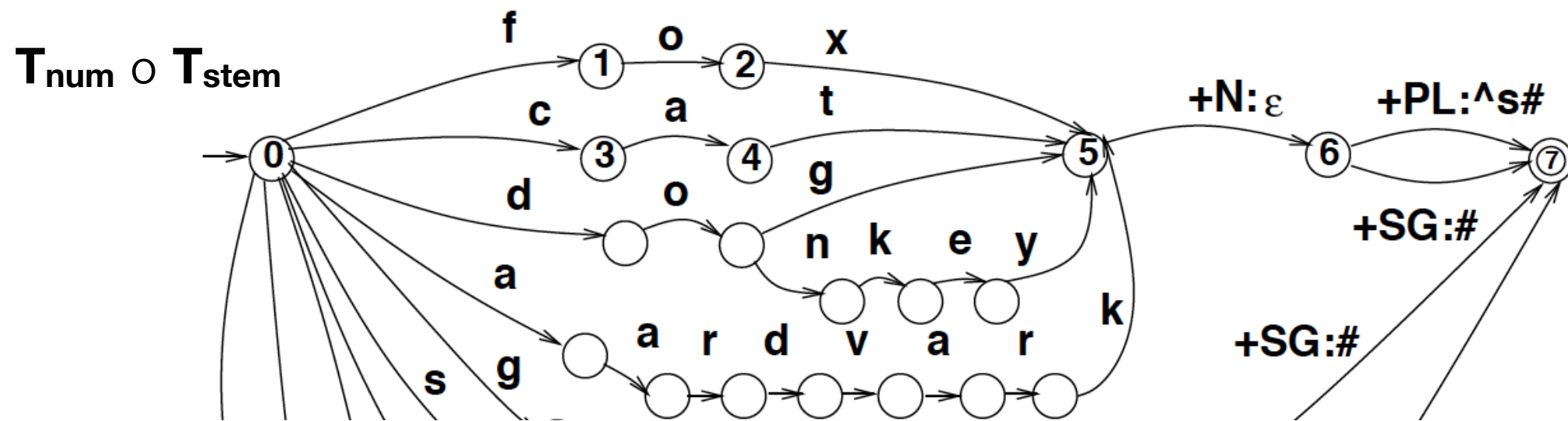
$$\varepsilon \rightarrow e / \left\{ \begin{array}{c} x \\ s \\ z \end{array} \right\} \wedge \text{---} s\#$$



Lexical [ f o x +N +Pl ]

Intermediate [ f o x ^ s # ]

Surface [ f o x e s ]



# Example in Turkish

[Oflazer (1993), Two-Level Description of Turkish Morphology]

Input

Morpheme Structure

Gloss

*English meaning*

çalışmanın

çalış+mA+Hn+nHn

[ V(çalış)+VtoN(ma)+2PS-POSS+GEN ]

*of your work(ing)*

çalış+mA+nHn

[ V(çalış)+VtoN(ma)+GEN ]

*of the work(ing)*

## Rules for Phonology/Orthography, e.g.

$H:0 \Rightarrow V(') +:0 \text{ \_\_\_}$

An H vowel is deleted if the last phoneme of the stem it is being affixed to is a vowel. For example:

**Lexical:** masa+Hm N(table)+1PS-POSS

**Surface:** masa00m masam

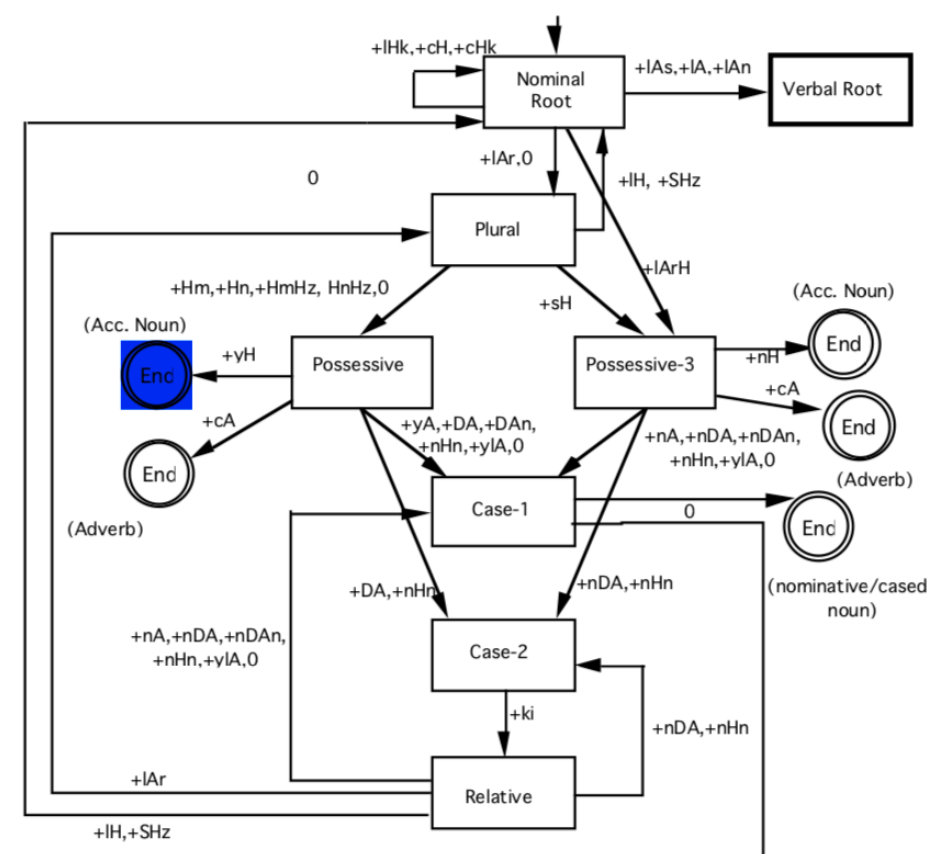
$X:0 \Leftrightarrow C(') +:0 \text{ \_\_\_ (C) V}$

This rule deletes the beginning s, n, or a y of a suffix when it gets affixed to a stem ending in a consonant.

**Lexical:** ev+nHn N(house)+GEN

**Surface:** ev00in evin

## Morphotactics FST: order of morphemes

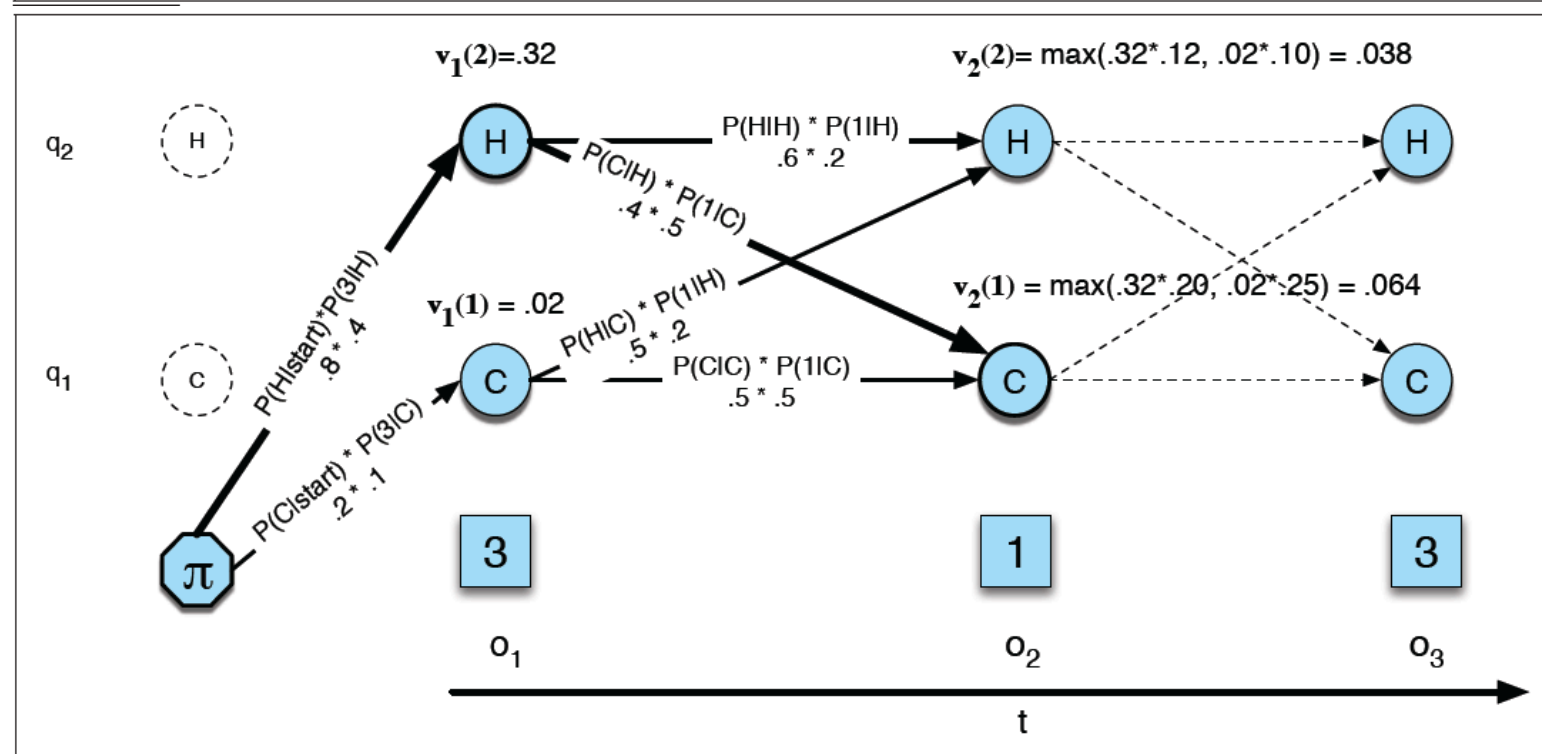
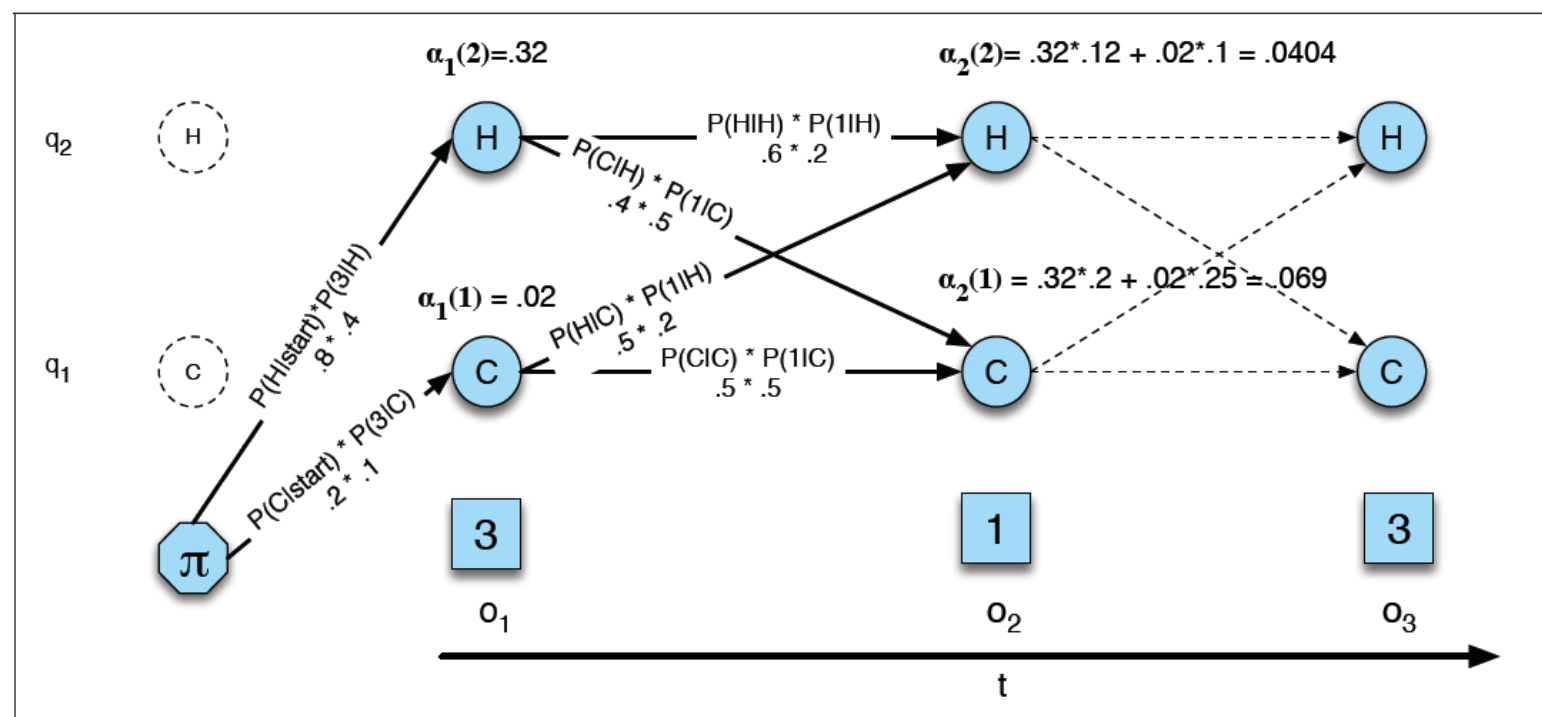


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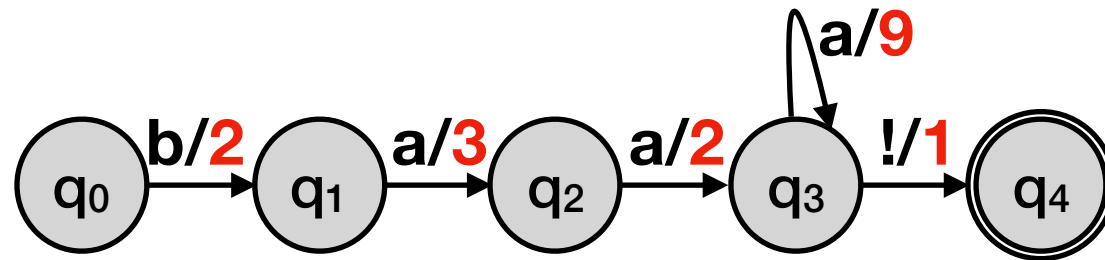
# Recall Viterbi vs Forward Algorithms in HMMs

- The way we compute is almost the same! Just swap max & sum
- Is there a way to generalize this on arbitrary FSA/FST?
  - Yes! We'll do so with semirings





# Semirings



- Define weights as a semiring:

- $K$ : set of values
- $\oplus$  : associative, commutative, has  $\underline{0}$  as identity
- $\otimes$  : associative, has 1 as identity, has  $\underline{0}$  as annihilator, distributes with respect to  $\oplus$
- $\underline{0}$  and  $\underline{1}$

- Example: Tropical

- $K$ : All Reals  $\mathbb{R}$ ,  $\pm\infty$
- $\oplus$  : min
- $\otimes$  : +
- $\underline{0}$ :  $\infty$
- $\underline{1}$ : 0

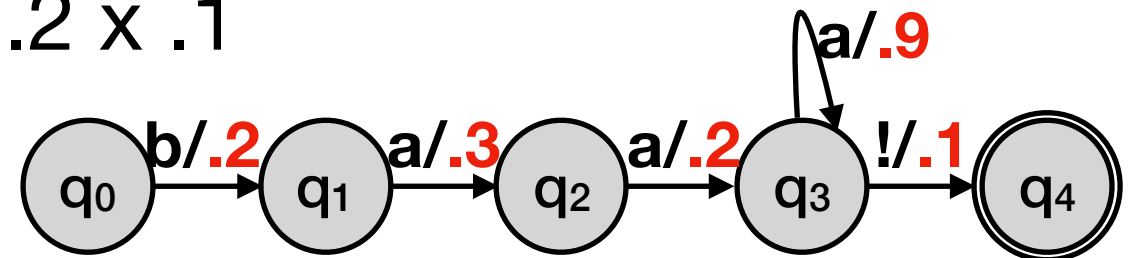
- Example: Boolean

- $K$ : {0,1}
- $\oplus$  : OR
- $\otimes$  : AND
- $\underline{0}$ : 0
- $\underline{1}$ : 1

*from Abstract Algebra: Semiring is like a ring but does not require additive inverse*

# Weighted FSA/FST

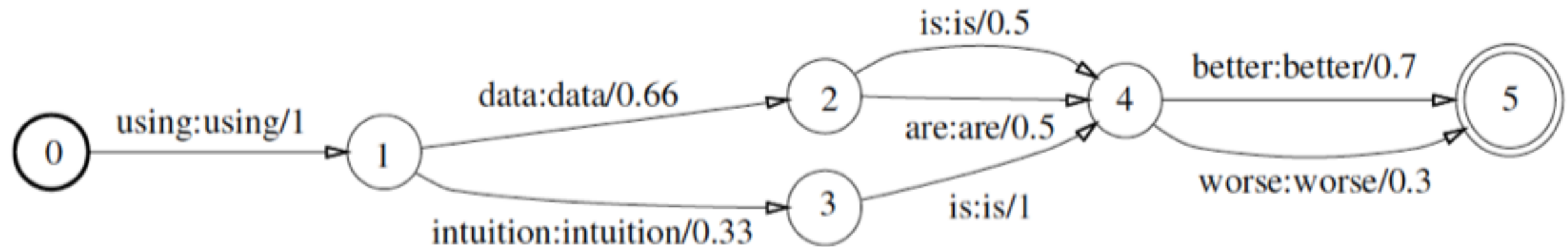
- We can use a general algorithm but change semirings on weights
- baa! in probability semiring:  $.2 \times .3 \times .2 \times .1$
- baa! in tropical semiring:  $.2 + .3 + .2 + .1$



SEMIRING	SET	$\oplus$	$\otimes$	$\bar{0}$	$\bar{1}$
Boolean	$\{0, 1\}$	$\vee$	$\wedge$	0	1
Probability	$\mathbb{R}_+$	$+$	$\times$	0	1
Log	$\mathbb{R} \cup \{-\infty, +\infty\}$	$\oplus_{\log}$	$+$	$+\infty$	0
Tropical	$\mathbb{R} \cup \{-\infty, +\infty\}$	min	$+$	$+\infty$	0

$$x \oplus_{\log} y = -\log(e^{-x} + e^{-y})$$

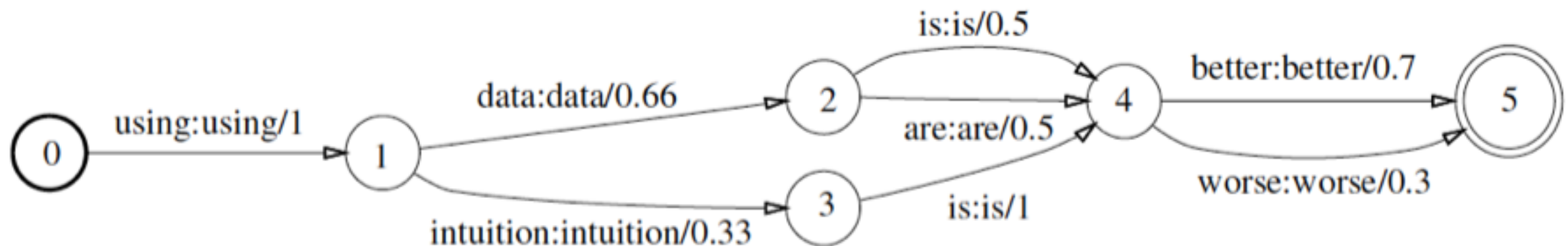
# Weighted FSA/FST



- We define two operators in a weighted graph
  - the weight of a path uses  $\otimes$  on arcs:
    - weight of path “using data is” =  $1 \otimes 0.66 \otimes 0.5$
  - the weight of a vertex with multiple incoming paths uses  $\oplus$ :
    - weight at state 4 =  $\text{weight}(\text{using data is}) \oplus \text{weight}(\text{“using data are”}) \oplus \text{weight}(\text{“using intuition is”})$

# Algorithms on WFST

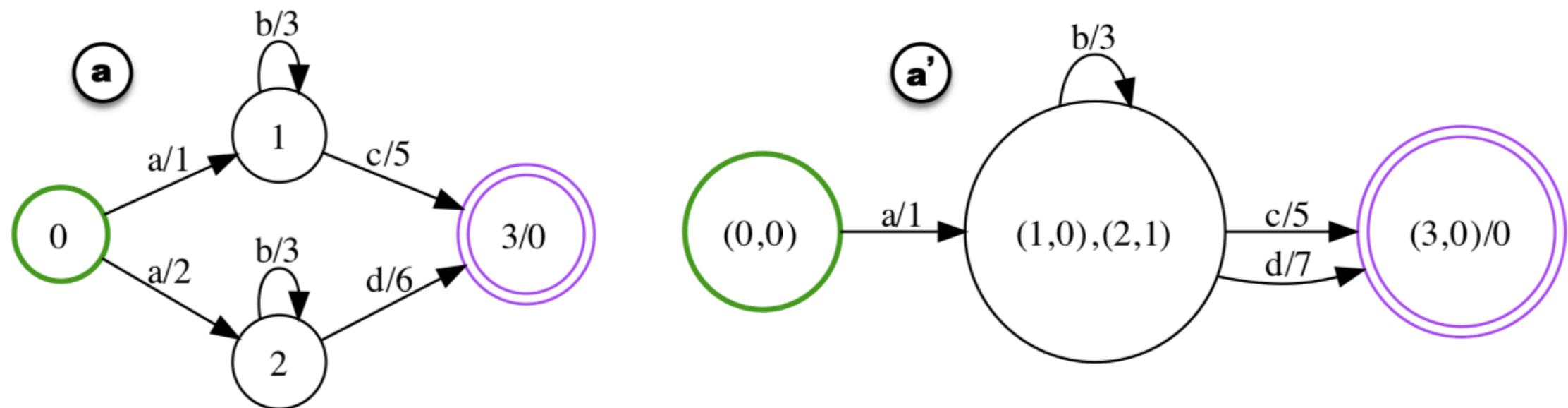
- Single-source shortest-path
  - Question: Which semiring is used in Viterbi Algo in HMM?  
How about Forward Algo?
- N shortest paths
- etc



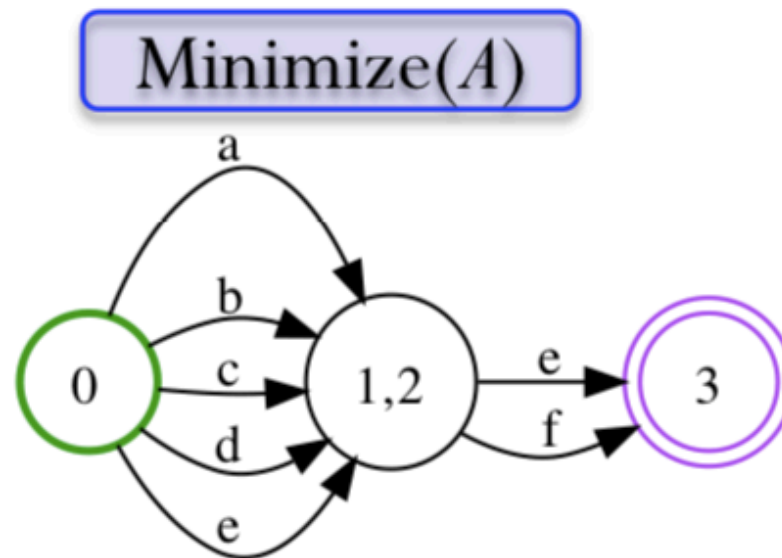
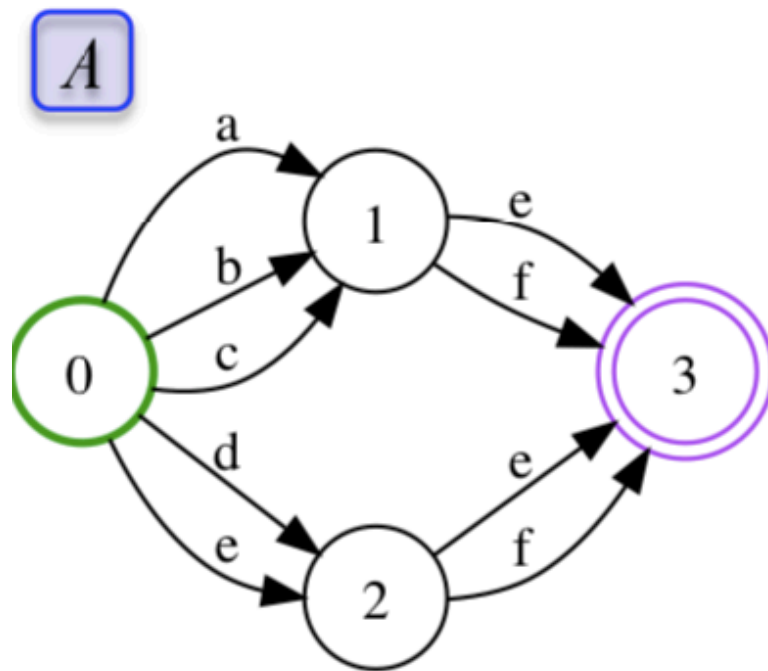
# Optimizing WFST

## Determinization:

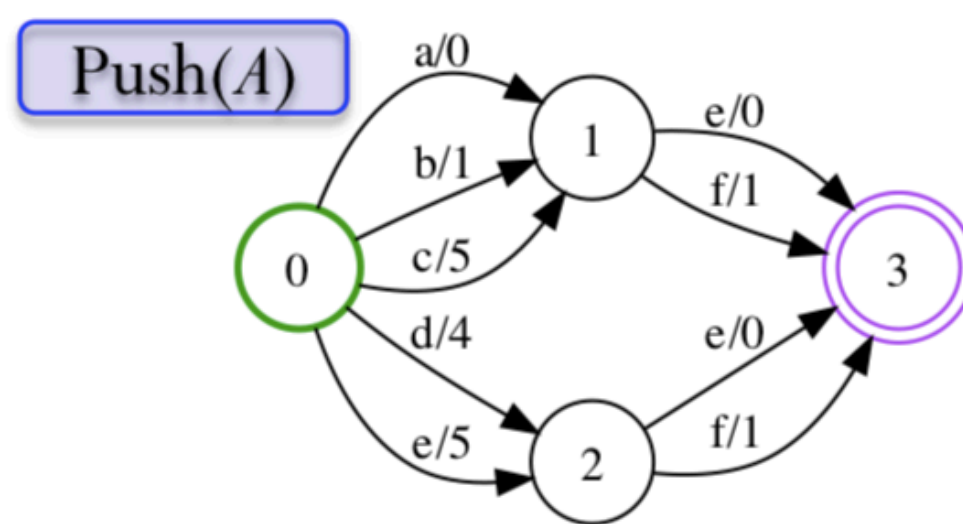
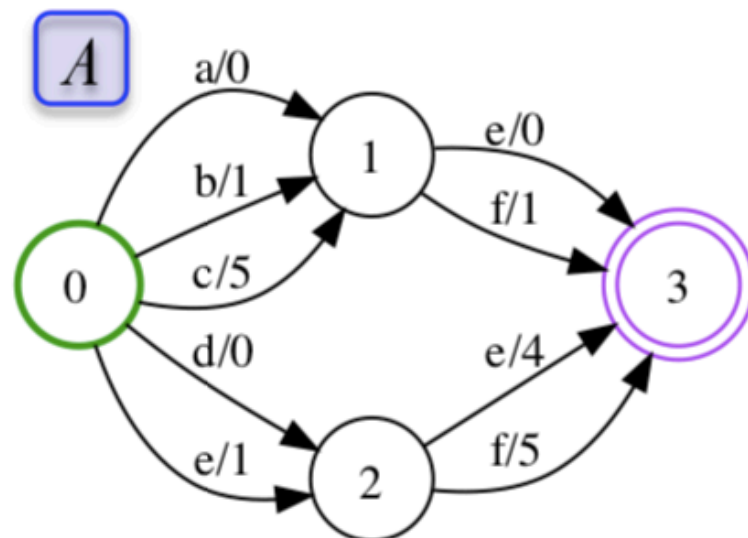
- Eliminates ambiguity in input paths, so each state won't have outgoing arc with same labels
- May improve efficiency of shortest-path



# Optimizing WFST



**Minimize #states**



**Push weights to beginning if possible**

# Summary

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