

Haverford College
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**“I am the Senate.”: An Overview of Practical
Applications of Dependent Types with
Potential Election Integrity Guarantees**

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Contents

1	Literature Review	1
1.1	Background	1
1.2	Dependent Types: A History	2
1.3	Implementing Domain-Specific Languages with Dependent Types	3
1.3.1	Cryptol: A DSL for Cryptography	3
1.3.2	PBM: Generating Parsers with Data Description Languages	3
1.3.3	Safer Databases: Relational Algebras	6
1.4	Well-Typed Unit Measurements with Dependent Types	6
1.5	Distributed Computing	8
1.6	Proposal for Future Work	8
1.7	Conclusion	8
	Bibliography	8

List of Tables

List of Figures

1.1	Using a Vect data type.	1
1.2	Universe declaration in Idris [Oury and Swiestra, 2008], Idris implementation by [Bailey, 2016].	4
1.3	Format data type in Idris [Oury and Swiestra, 2008], Idris implementation by [Bailey, 2016].	5
1.4	Example for Dependent Pairs taken from the Idris documentation.	5
1.5	Format declaration of PBM [Oury and Swiestra, 2008], Idris implementation by [Bailey, 2016].	5
1.6	Basic SI unit declarations in adapted from Dependent Haskell to Idris [Gundry, 2013]	7
1.7	Quantity as a type (dependent Haskell with Inch) [Gundry, 2013]	7

Chapter 1

Literature Review

1.1 Background

A dependently typed programming language can have functions with types that depend on a value. A function, at its core, is a map from a domain to a co-domain. In other words, we expect there to be a certain set of elements in the universe for which our function can give us a corresponding output. A way to remove certain bugs in programs is to ensure that a function in a program is indeed mapping from the correct set of potential inputs to the set of potential outputs.

One can consider static type systems as a way to narrow down the set of potential inputs to the set of possible outputs. For example, a function that takes in a string and outputs an integer gives certain compile-time guarantees to its programmer. If compilation succeeds, the domain of this function will be strictly limited to an element in the set of all possible strings in the universe and the output will be limited to an element of the set of all possible integers.

However, consider, for example, a function that appends an item to a list. Under a regular type system, we would say that this function takes in a list of elements of type `a`, an element of type `a`, and returns elements of type `a`. A Haskell type signature for this function would look like this:

```
append :: [a] -> a -> [a].
```

Let's imagine that we have a list data type signature that contains information not only about the type of the elements that the list contains, but also about the length of the list. That is to say, the type signature of a `Vect` (list with length-in-type) can be expressed as:

Now that we've introduced the length of the list type as part of its type signature, we can

Figure 1.1: Using a `Vect` data type.

```
Vect :: Int -> Type
— A list has an integer denoting length, and the type of its element
[1,2,3] :: Vect 3 Int
```

write a much more strict and bug-free type signature for our append function. Essentially, any append function would take any list with length n and type a . It also takes in an element of type a to append. It outputs a list of length $n + 1$ and type a . This type signature looks like:

$$\text{append} :: \text{List } n \ a \rightarrow a \rightarrow \text{List } (n+1) \ a$$

What’s peculiar about this is that the co-domain of this function is not particularly fixed. In fact, it depends on the value of its input. For example, if a list of length 3 and type `Int` is inputted, the co-domain of our function is the set of all lists with length 4 and type `integer`. This is an example application of dependent types. What we’ve done is created a function where the co-domain varies as the input value varies. The guarantee of type safety provided by this type signature is substantial.

The goal of dependent types is to write programs with extreme guarantees of compile-time safety. We can use the types of the parameters of a function to place tighter limits on the set that consists of its co-domain, with the co-domain varying depending on the values of the input parameters.

The goal of my literature review is to explore existing literature around the potential practical applications for dependent types. A spring semester research component of my thesis would involve using a dependently typed language to solve a problem where type safety and guarantees of correctness are critical.

1.2 Dependent Types: A History

Dependent types in programming languages have their roots in intuitionist type theory or Martin Lof Type Theory (CITATION NEEDED). This type theory serves as a foundation for *constructive mathematics* (CITATION NEEDED). Per Martin Lof was interested in a type theory that could be used as a programming language, where all well-typed programs must terminate [Nordstrom et al., 1990]. Sets in Martin-Lof Type Theory are viewed as problems and elements potential solutions to a problem. Since all programs terminate in Martin-Lof Type Theory and a set contains all potential solutions to a problem, the requirements for total correctness are satisfied [Nordstrom et al., 1990].

A dependently typed programming language based on foundations in Martin-Lof Type Theory called NuPrl was first released in 1984 [Constable et al., 1985]. NuPrl is used as a “proof assistant” that helps mathematicians and programmers formalize proofs [prl,]. Dependently typed proof assistants like NuPrl found a home at the intersection between programming language enthusiasts interested in total program correctness and constructive mathematicians interested in systems where mathematical formalisms could be systematically encoded. Other proof assistants with support for dependent types followed: Coq (1989) [Girard et al., 1989], ALF (1990), Cayenne (1998), Agda (1999). (CITATIONS NEEDED)

While there are now robust theorem provers that incorporate dependent types, work now is primarily concerned with bringing them into mainstream programming and software development. Idris (2011) was designed with general purpose programming in mind [Brady, 2017]. F (2011) was introduced by Microsoft as a dependently typed language specifically designed

around solving problems in secure distributed programming [Swamy et al., 2011]. In addition to the development of new programming languages with dependent type systems built into the language by design, work exists to mainstream dependent types into more prominent programming languages. The most active and promising mainstreaming work is on Haskell [Eisenberg, 2016, Gundry, 2013].

1.3 Implementing Domain-Specific Languages with Dependent Types

1.3.1 Cryptol: A DSL for Cryptography

Dependent type systems have potential applications in easily implementing domain specific languages (DSLs). Cryptol, for example, is a domain-specific language designed around cryptography ([Inc, 2002]). Problems inherent in implementing a Cryptol compiler or interpreter can be solved through dependent types ([Oury and Swiestra, 2008]). Cryptol is a functional programming language with advanced support for pattern matching. Since cryptography commonly requires dealing with low-level bit manipulation, it follows that Cryptol is designed around facilitating these operations and making them safe. A function that does this sort of low-level manipulation is the `swab` function, which takes in a 32-bit word and swaps the first two bytes ([Inc, 2002]).:

```
swab :: Word 32 -> Word 32
swab [a b c d] = [b a c d]
```

Ideally, a word would be represented by a vector of 32-bits. We would be able to declare a pattern match with `swab` that looks similar to the declaration presented by Oury and Swiestra above. How then does the compiler understand that this pattern match declaration means we expect the input vector to be divided into 4 separate vectors of 8 bits? This is where dependent types serve a practical purpose. By specifying types that split the length of the vector up into a multiple of two scalars, we can effectively implement this clever pattern match, allowing for powerful pattern matching required by the Cryptol language ([Oury and Swiestra, 2008]).

1.3.2 PBM: Generating Parsers with Data Description Languages

Work also exists to use dependent types in creating embedded data description languages, which are languages where a programmer can describe the structure of data and quickly generate a working parser [Oury and Swiestra, 2008]. For example, consider the portable bitmap (pbm) file format, which consists simply of “P4”, followed by the dimensions of the image in pixels as n, m integers separated by a space. After a newline, the image is described as a string of $n \times m$ bits where 1 is black and 0 is white [pmb,]. If a parser were generated from a data description language, we expect the parser to either return well-typed data (a vector of bits and the dimensions of the image) or to signal that the data is not well-formed. In other words, if we want to generate parsers through embedded data description languages, we could specify the

Figure 1.2: Universe declaration in Idris [Oury and Swiestra, 2008], Idris implementation by [Bailey, 2016].

```

data Bit : Type where
  O : Bit
  I : Bit

data U: Type where
  BIT : U
  CHAR : U
  NAT : U
  VECT : Nat -> U -> U

el : U -> Type
  el BIT = Bit
  el CHAR = Char
  el NAT = Nat
  el (VECT n u) = Vect n (el u)

```

file format as a value. The type of the generated parser then, would depend on the file format as a value, making this an appropriate area to apply dependent types [Oury and Swiestra, 2008].

We can start by defining our *universe* (see Figure 1.2), which contains all the types that our parser will be manipulating in some way. We also define a function *el*, which will take any value of type *U* and convert it to an appropriate type. The combination of this data type declaration and this *el* function is a definition of the relevant universe for this problem domain [Oury and Swiestra, 2008].

From here, we can define a *Format* data type that enables us to describe the format of our data. When sequencing formats, we want two binary operators that either read or skip. To skip means to skip over the first parameter and generate a type for the file format from the second parameter. To read means to build a type from both parameters before moving on. We will need to define both these operations, a base operation that gives us a type, a terminal, and rejection if the input data is badly formed. We can declare such a type as follows:

In the code of Figure 1.3 one thing noteworthy is the *(**)* operator, which is Idris syntactic sugar for a dependent pair. A dependent pair $(a : A ** P)$ means that the type variable *a* is of type *A* and can also occur in the type *P*. For example, consider Figure 1.4. The example will only type check correctly if the natural number present in the first element of the pair is the same as the length of the list.

Given that Having defined this mutually recursive data type for format, we can then specify a format for the PBM data type.

Having described the file format of PBM, we are now able to write a parser that takes in a *Format* as a data type, and allows

Figure 1.3: Format data type in Idris [Oury and Swiestra, 2008], Idris implementation by [Bailey, 2016].

```

data Format : Type where
Bad : Format
End : Format
Base : U -> Format
Plus : Format -> Format -> Format
Skip : Format -> Format -> Format
Read : (f : Format) -> (Fmt f -> Format) -> Format

Fmt : Format -> Type
Fmt Bad = Void
Fmt End = Unit
Fmt (Base u) = el u
Fmt (Plus f1 f2) = Either (Fmt f1) (Fmt f2)
Fmt (Read f1 f2) = (x : Fmt f1 ** Fmt (f2 x))
Fmt (Skip _ f) = Fmt f

(>>) : Format -> Format -> Format
f1 >> f2 = Skip f1 f2

(>>=) : (f : Format) -> (Fmt f -> Format) -> Format
x >>= f = Read x f

```

Figure 1.4: Example for Dependent Pairs taken from the Idris documentation.

```

vec : (n : Nat ** Vect n Int)
vec = (2 ** [3, 4])

```

Figure 1.5: Format declaration of PBM [Oury and Swiestra, 2008], Idris implementation by [Bailey, 2016].

```

export
pbm : Format
pbm = char 'P' >>
      char '4' >>
      char ' ' >>
      Base NAT >>= \n =>
      char ' ' >>
      Base NAT >>= \m =>
      char '\n' >>
      Base (VECT n (VECT m BIT)) >>= \bs =>
End

```

1.3.3 Safer Databases: Relational Algebras

Additional work exists on relational algebras in dependent types [Oury and Swiestra, 2008], [Eisenberg, 2016]. A relational algebra would allow a programmer to interface with a database where all queries are guaranteed at compile time to be type safe. This eliminates a class of potential database errors and improves performance since runtime typechecking does not need to occur.

1.4 Well-Typed Unit Measurements with Dependent Types

A practical application for dependent types from Gundry’s thesis is to eliminate bugs that can arise from improper unit conversions. Units of measurement are already implemented in Microsoft’s F# Programming Language ([Kennedy, 2009]). If numbers carry a type denoting their unit of measurement with them, we can ensure at compile time that improper unit conversions are not going to occur at runtime. These bugs can be catastrophic, as made evident by NASA’s loss of a \$125-million “Mars Climate Orbiter” when “spacecraft engineers failed to convert from English to Metric units of measurement” [Hotz, 1999].

Currently, in F#, units of measure are defined with the [`<measure>`] attribute followed by the `type` reserved keyword (see below); CITATION NEEDED. We can define simple, non-derived units and also derived units.

```
[<measure>] type inch
[<measure>] type kg
[<measure>] type m
[<measure>] type s
```

Derived units are built out of more elemental definitions of units of measurement. See the definition of Newtons provided below:

```
[<measure>] type N = kg * m/s
```

The benefits of a type system that support units of measurement should become apparent from this quick tour of F#’s support for such a system. These types support decidable equality by definition. Two typed variables can only be equal because they have the same unit of measurement or derived unit of measurement and the same value. This means that errors of conversion between units will now be caught by our compiler, like the one below:

```
let distanceTraveled = 1.5<inch>
let velocityOfSpaceCraft : float<m/s> = distanceTraveled/60<s>
```

While units of measurement are implemented as a feature in the F# language, which is not dependently typed, a dependently typed programming language would allow for a units of measurement system to be implemented [Gundry, 2013]. Gundry invites us to consider a system for describing units in terms of a constructor that allows us to both enumerate elementary units and also express derived units in terms of one another.

For now, unit only supports three elementary units (metres, seconds, kilograms), but one can imagine a full library implementing the entire SI Units system. Each elementary unit is

Figure 1.6: Basic SI unit declarations in adapted from Dependent Haskell to Idris [Gundry, 2013]

```
data Unit : Int -> Int -> Int -> Type

Dimensionless : Type
Dimensionless = Unit 0 0 0

Metres : Type
Metres = Unit 1 0 0

Seconds : Type
Seconds = Unit 0 1 0

Kilograms : Type
Kilograms = Unit 0 0 1
```

implemented as a single 1 in the call to the `Unit` constructor with all entries as zero. Thus, we can express derived units in a call to the `Unit` constructor where negative integers would represent elementary units present in the denominator. Newtons, a derived unit of $\frac{kg \times m}{s^2}$ can be expressed as it is above.

We can define quantities as a type containing a `Unit` and an integer. This then allows us to write simple constructors for the quantity type. We can then define well-typed multiplication and addition operations giving us similar guarantees to that which is given by units of measurement in `F#`.

Figure 1.7: Quantity as a type (dependent Haskell with `Inch`) [Gundry, 2013]

```
newtype Quantity u a = Q { value :: a}
metres :: a -> Quantity Metres a
seconds :: a -> Quantity Seconds a
kilograms :: a -> Quantity Kilograms a
(dimensionless, metres, seconds, kilograms) = (Q,Q,Q,Q)

plus :: Num a => Quantity u a -> Quantity u a -> Quantity u a
plus (Q x) (Q y) = Q (x + y)
```

As defined above, this enforces well-typed addition, requiring that two additions be of the same type. Additional work exists to incorporate prefixes (milli, centi, etc.) in such a way that adding units with different prefixes can be easily done [Gundry, 2013]. What we've shown here is that while units of measurement can be first-class features in a programming language like `F#`, a dependently typed language allows us to build certain functionality easily into the language without changing the language specification whatsoever.

1.5 Distributed Computing

1.6 Proposal for Future Work

While I'm still uncertain about the direction to proceed, I'm interested in looking at elections and e-voting and whether or not we can provide guarantees of correctness to vote counting software written in dependently typed languages. I take a particular interest in the Australian Senate voting verification process because verification of vote count is an NP-complete problem ([Chilingirian et al., 2016]).

If we were able to verify that vote counting software is correct at compile-time, we would sidestep the need to run verification code that is trying to solve an np-complete problem. Currently, the Australian government uses proprietary code to count Australian senate ballots and has refused to release the source code after a Freedom of Information Act request ([Taylor, 2014]). If an open-sourced, verifiably correct counting program were devised, we could greatly protect the integrity of Australian elections.

1.7 Conclusion

While many literature reviews begin by looking examining a problem and looking for existing solutions, this literature review takes an opposite approach. The broader problem we are trying to answer is one that crosses various domains and engineering fields. To put it quite simply, *programs crash*. Dependent typed languages, long a toy for theoretical computer scientists and constructivist mathematicians, are increasingly becoming realistic tools to write code with necessary guarantees of correctness. In other words, dependent types are a solution in search of a problem. In this literature review, I offered a brief summary as to what dependent types are and what languages exist where dependent type functionality is available. I then moved on to describe problem domains where a dependently typed languages would offer tangible solutions.

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