# Fall 2024 ESE 577 Final Project Report

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#### **Abstract**

In this project, we are building a Large Language Model chatbot focused on both supervised and unsupervised learning. We used the pre-trained model *Mistral-7B-Instruct-v0.2* as our starting point. Through careful fine-tuning and evaluation, we obtained a final model that performs better overall in the targeted area compared to the original model.

# 1 Introduction and Background

In this section, we will introduce the basic structure of our model and some important choices we have made during our project.

#### 1.1 Model and Techniques

Large Language Models (LLMs) are a type of AI model designed to process, understand, and manipulate human language. These models, built using deep learning techniques, are often trained on large amounts of data to learn the context.

However, we do not have that much memory to train from beginning, so we introduced a pre-trained model from hugging face, *Mistral-7B-Instruct-v0.2* as our basic model. But this model is still too large for our purpose (7 billion parameters), so we implemented LoRA and Quantization techniques.

**LoRA** (Low-Rank Adaptation) Low-Rank Adaptation is a technique for efficiently finetune big models by adding lower-rank matrices to layers of the model without modifying the original parameters significantly.

**Quantization** Quantization is a method of decreasing the precision of the model's weights and activations. By converting floating-point numbers to lower bits, it maintains most of the model's performance while improves efficiency in memory usage and computational cost.

# 1.2 Data Choice

Our team decided to make our chat bot focusing on the area of Artificial Intelligence, especially supervised and unsupervised learning. We draw our original training dataset from three distinct sources in order to improve the adaptability and robustness of the model.

Preprint. Under review.

**Hand-Written Q&As** We wrote 77 pairs of questions and answers by hand with our knowledge and understanding of supervised learning. These pairs of questions and answers are used to train our model and also served as examples for Google Gemini to generate more questions for training.

**AI Generation** We posted our original 77 Q&As to Chatgpt and asked it to generate 100 more Q&As based on its original knowledge and our hand-written data.

**Professional Articles** We used the class material fro 577 MIT 6390 Chapter Unsupervised Learning and applied tokenization to transform its words so that the model can process and utilize as part of the training set. And we write a prompt for the Google Gemini 1.5 to generate 149 Q&As based on that file.

#### 1.3 Evaluation Metrics

We performed both numeric and human evaluation, but we do value human evaluation much more towards the overall performance of our model.

**Numeric Evaluation** While creating and generating the training set, we also generated 41 unsupervised learning and 20 supervised learning multiple choice questions to perform numeric evaluation.

**Human Evaluation** We have been asking questions related to our topic to the original untrained model, partially trained model and fully trained and tuned model. The results showed a good amount of improvement.

#### 2 Data Methods

### 2.1 Data Transformation for Supervised Learning

In order for the Model to understand our handwritten data, we need to perform a data transformation. We formatted the hand-written and GPT-generated 177 questions into a different text form that can be processed by our model. Below is a comparison between our original data and transformed ones.

- Q: What is the difference between supervised and unsupervised learning?
- A: Supervised learning requires ground truth, namely labels in data, while unsupervised learning does not have a ground truth but finding the similarities between data points.
- Q: Under what scenarios would we want to use supervised learning?
- A: When you have a labeled dataset and your goal is to build a model that can learn from these labeled examples to make predictions on new, unseen data.

Figure 1: Data before Transformation

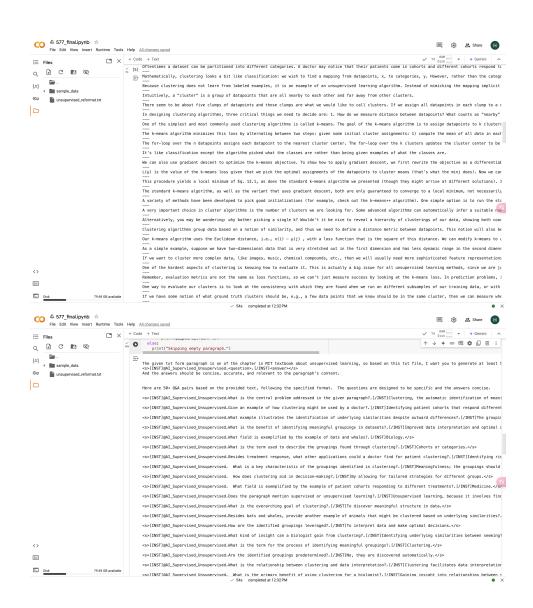
<a>[INS]8AI.
question>Q: What is the difference between supervised and unsupervised learning?</pr>
question>[/INS]</pr>
Answer>A: Supervised learning requires ground truth, namely labels in data, while unsupervised learning does not have a ground truth but finding the similarities between data points.
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When you have a labeled dataset and your goal is to build a model that can learn from these labeled examples to make predictions on new, unseen data.
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/(answer>A: When you have a labeled dataset and your goal is to build a model that on learn from these labeled examples to make predictions on new, unseen data.

Figure 2: Data after Transformation

The code for data transformation is in the appendix 9.

# 2.2 Gemini 1.5 Flash API for Unsupervised learning

In our project, we also used Google Gemini 1.5 Flash API to help us generate more Q-A pairs data, and it was based on the unsupervised learning chapter. First we transform the pdf into txt file, and then we manually fix the format of the text file and clean up some irrelevant data. Second, we write a prompt to tell Gemini to generate more specific and high-quality Q-A pairs based on our paragraph we just uploaded. For example, in the above figure, we let gemini generate 149 Q-A pairs based on unsupervised topic:



Beside the training data, we also need validation data, similarly we use Gemini to generate least 20 multiple choice Q-A pairs for better evaluation, shows above:



# 3 Training

**Parameter Fine-tuning** is the most significant procedure during our training process. We adjusted our parameters in order to make our training algorithm reach a balance between accuracy and efficiency.

#### 3.1 One Test Run

Before training through our whole dataset, we tried a test run on a single data point to make sure everything works properly. We did get colab pro to increase our training efficiency and memory usage.

Below are the data points we used for the test run:

Figure 3: Data for Test Run

# 3.1.1 training with 177 handwritten and AI-generated samples

We have reduced our training loss from 4.9 to 0.475. Below is the entire training loss figure from epoch 1 to 50:

Epoch	Training Loss	Validation Loss		
1	4.911600	No log	26	0.75
2	4.995400	No log	27	0.809
3	4.693400	No log	28	0.7492
4	4.551700	No log	29	0.72640
5	4.352900	No log	30	0.65710
6	3.925800	No log	31	0.64120
7	3.605800	No log	32	0.641200
8	3.143700	No log	33	0.603600
9	2.758200	No log	34	0.559800
10	2.079500	No log	35	0.542100
11	1.885000	No log	36	0.553200
12	1.757800	No log	37	0.526600
13	1.587600	No log	38	0.540700
14	1.510300	No log	39	0.525000
15	1.348100	No log	40	0.498000
16	1.230800	No log	41	0.497600
17	1.177200	No log	42	0.491600
18	1.173700	No log	43	0.485200
19	1.086900	No log	44	0.504800
20	1.108700	No log	45	0.475800
21	1.011500	No log	46	0.472700
22	0.914500	No log	47	0.482600
23	0.850300	No log	48	0.455900
24	0.907000	No log	49	0.463600
25	0.865200	No log	50	0.473900

Figure 4: Training and validation loss from epochs 1 to 50.

# 3.1.2 Training with all Data

At last, we trained with all the data we have from both supervised and unsupervised fields. We experimented with various values for all the parameters. We realized that the quantization loading bit is the most significant hyperparameter affecting training, which we tuned to 4 bit instead of 8 bit. Specifically, we tested the following configurations:

• load\_in\_bit: Set to 4 and 8 bits.

• LoRA rank r: Set to 8 and 16.

• Batch size: Tested from 1 to 4.

• Number of epochs: Varying from 3, 6, to 50 epochs.

• Max output length: Tested with values of 512, 1024, and 2048.

Down here is the value for the fine-tuned parameters we included for our last training:

```
output dir="./results",
                                                           eval strategy="epoch",
                                                           per device train batch size=4,
                                                           per_device_eval_batch_size=4,
                                                           num_train_epochs=50,
                                                           logging_dir="./logs",
                                                           logging_steps=500,
                                                           save_steps=1000,
load_in_4bit = True #8 bit #4 bit #effect most significantly
                                                           learning rate=2e-5,
                                                           weight decay=0.01,
  = prepare_model_for_kbit_training(model)
                                                           warmup_steps=500,
ft_config = LoraConfig(
 =8, #8 or 16 #<mark>1</mark>6 #8 #16 #ch
                                                           max_grad_norm=1.0,
lora_alpha=16, #LoRA
                                                           fp16=True,
lora_dropout=0.1, #LoRA dropout rate
                                                           gradient_accumulation_steps=2,
```

Figure 5: Final Tuned Parameters

Below is the training loss figure, we can see the trend of convergence.

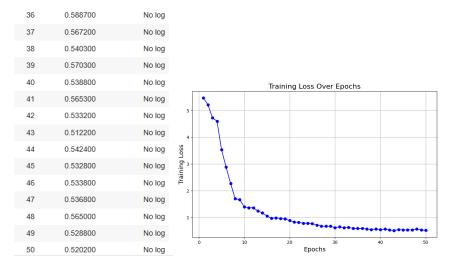


Figure 6: **Training Loss Convergence:** we reached the minimum at epoch 43, where the training loss is 0.512200

# 4 Evaluation and Results

We recorded the numeric and human evaluation results on the original test and the most recent test.

## 4.1 Numeric Evaluation

We have 41 multiple choice questions from unsupervised learning and 20 from supervised learning. The generated validation problems are easier on the first few questions and harder when it gets to the end.

For the original Model, it did well on the first few questions, but when the problems becomes harder and requires more professional knowledge, it starts to make mistakes. While the trained model shows the opposite, it can get some easy problems wrong while getting most of the hard problems correct.

Below is the sample question the untrained model got correct:

Question: <s>[INST]\$AI\_Supervised\_Unsupervised.What is the primary goal of clustering in unsupervised learning?.[/INST]A. To predict a categorical outcome variable. B. To group similar data points together. C. To reduce the dimensionality of the data. D. To find the optimal hyperplane separating different classes.</s>
Predicted Answer: [INST]\$AI\_Supervised\_Unsupervised.What is the primary goal of clustering in unsupervised learning?.[/INST]A. To predict a categorical outcome variable. B. To group similar data points together. C. To reduce the dimensionality of the data. D. To find the optimal hyperplane separating different classes. I. The primary goal of clustering in unsupervised learning is B. To group similar data points together.
Clustering is a type of unsupervised learning where the algorithm groups similar data points together based on their features or characteristics. The number of clusters and the definition of similarity are not know beforehand, and the algorithm aims to find patterns and structure in the data by identifying groups that are densely populated with data points that are close to each other. This can be useful for exploratory data analysis, oustomer segmentation, image segmentation, and other applications where we want to discover hidden patterns or structures in the data.

Figure 7: Question the Untrained Model Did Correctly

Below is a picture of the problems that the original model gets wrong but the trained model did it correctly:

Question: <s>[INST]@Al\_Supervised\_Unsupervised.The overall aim is to achieve. (/INST]A. Maximum variance within clusters. B. Minimum variance within clusters. C. Maximum variance between clusters. D. Both B and C.</s>
Predicted Answer: [INST]@Al\_Supervised\_Unsupervised.The overall aim is to achieve.://INST]A. Maximum variance within clusters. B. Minimum variance within clusters. B. Minimum variance within clusters. B. Maximum variance between clusters. D. Both B and C.

Answer: C. Both B and C are important in clustering, but the ultimate goal depends on the specific use case. In unsupervised learning, we generally aim for compact clusters with minimal variance within each cluster (B), as this makes the clusters well-defined and distinct. However, in some cases, we may also want to maximize the variance between clusters (C) to ensure that they are meaningfully different from each other. For example, in image segmentation, we might want to maximize the variance between clusters to ensure that each cluster represents a distinct object or region. In general, the goal is to find a balance between compactness within clusters and separability between clusters.

Correct Answer: D

Figure 8: Question only the Trained Model Did Correctly

Our trained model has achieved an accuracy of 63%, with 38 questions correct, 13 not on the point (deviated away from the topic), and 9 wrong. We found out that in the validation questions generated, a good amount of question descriptions are like "This passage talks about ...", which would makes no sense to the model and thus it cannot get it correct.

In general, the numeric evaluation only gives us some insignificant evidence on how exactly did our model perform, so we mainly focused on human evaluation.

#### 4.2 Human Evaluation

We have asked in total 6 questions and collected the outputs from the model that hasn't been trained, been trained only on 77 supervised learning Q&As, 177 Q&As, and all Q&As. We picked 3 to summarize the general model performance here.

**Q1:** We start by a very simple question: How are you? Here is all the results in the appendix 10.

**Conclusion:** The results of the final model are quite shocking: it answered in a humorous way while still focusing on unsupervised learning. We tried several times asking the identical question and it outputs similar jokes in related fields. We can also see from all outputs that the training is making effort towards the model while training with more data, making it realize it is specialized in the direction towards supervised and unsupervised learning.

**Q2:** Then we asked the model more professional questions regarding our topic. Here are some results in the appendix 11.

**Conclusion:** Here we can see the context changing among different models. The expression becomes less wordy and verbose while the answer is still correct and precise.

**Q3:** We also chose a more subjective and open-ended question to compare different outcomes. View the full context in the appendix 12.

**Conclusion:** The interesting part is that from the third model where we trained with 177 data points, the model starts to output much specific details and for our final model, it gives a very short answer at first with reference to a paper that we never mentioned in our training data. Then when we asked for detail, it expands its theory. This is a very different responding style compared to the popular models like ChatGPT.

**Overall Conclusion:** The trained model shows a different style which it responds more precisely and sometimes with humor while still maintaining the accuracy of the answers. It did show that the training worked such it has improvements in the focused field of supervised and unsupervised learning.

# **References and Citations**

- [1] MIT Chapter 12: unsupervised learning, from ESE 577 course material (lecture 12).
- [2] Google Gemini for generating 149 unsupervised questions according to the prompt.
- [3] ChatGPT for creating 100 Q&As based on our original question.

# A Appendix / supplemental material

- A.1 Loss Values
- A.2 Human Evaluation

```
from google.colab import drive
drive.mount('/content/drive')
file_path = '/content/drive/MyDrive/ESE 577 Project/HandWrittenQ&A.txt'
# Open and read
with open(file_path, 'r', encoding='utf-8') as f:
   lines = f.readlines()
print("File content:")
print("".join(lines[:9]))
# Initialize
qa_pairs_train = []
# Process the file content into question-answer pairs
for i in range(0, len(lines), 3):
    question = lines[i].strip()
    answer = lines[i+1].strip()
    formatted_qa = f"<s>[INS]@AI.<question>{question>(/INS]<answer></answer></s>"
    qa_pairs_train.append(formatted_qa)
# Print Length
print(f"\nTotal number of Q&A pairs: {len(qa_pairs_train)}")
# Print formatted Q&A pairs
print("\nFormatted Q&A pairs:")
for qa in qa_pairs_train[:5]:
    print(qa)
    print()
# Save the formatted Q&A pairs into a file
output_file_path = '/content/drive/MyDrive/ESE 577 Project/formatted_qa_pairs.txt'
with open(output_file_path, 'w', encoding='utf-8') as f_out:
    for qa in qa_pairs_train:
       f_out.write(qa + '\n')
# Confirm
print(f"\nFormatted Q&A pairs have been saved to: {output_file_path}")
```

Figure 9: Python Code for Data Transformation for Q&As

### Q: How are you?

#### Original untrained Model:

I'm just a computer program, I don't have the ability to feel emotions or have a physical presence. I'm here to help answer any questions you might have to the best of my ability. How can I assist you today?

Trained with 77 hand-written supervised questions:

I don't have the ability to feel emotions or have a physical presence, so I don't have a state of being. I'm here to help answer any questions you have to the best of my ability. I can process information using machine learning algorithms, and can be classified as a supervised or unsupervised learning model depending on the type of data I'm trained on and the tasks I'm designed to perform. How can I assist you today?

After training with 177 supervised questions:

3rd

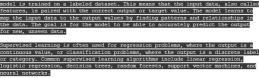
I'm just a computer program. I don't have the ability to be supervised or unsupervised. But in supervised learning, a model is trained with labeled data, and in unsupervised learning, a model finds patterns in unlabeled data.

After training with all surprised and unsupervised questions:

[/INST]I'm feeling great, just like clusters should be visually distinct.<./p>

Figure 10: Question Asked: How are you?

# In machine learning, supervised learning is a type of learning method where the model is trained on a labeled dataset. A labeled dataset is one where each example consists of an input and the corresponding correct output, also known as a label. The goal of supervised learning is for the model to learn the mapping function from the input to the output based on the training data. Once the model is trained, it can then make predictions on new, unseen data. Supervised learning is used when we have a clear understanding of the relationship between the input features and the output label. It is widely used in various applications such as image classification, speech recognition, and text classification. The most common supervised learning algorithms include linear regression, logistic regression, decision trees, random forests, support vector machines, and neural networks. After training with 77 hand-written questions:



#### After training with 177 hand-written questions:

Q1: What is supervised learning?



This is consistent with the definition of supervised learning, where the algorithm learns a mapping between inputs and outputs based on labeled training data.

4th detailed the learning based on its connection to unsupervised learning. The term "detailed" is subjective. Here, I'll provide a more extensive explanation of supervised learning based on its connection to unsupervised learning based on its connection to unsupervised learning to the supervised learning based on its connection to unsupervised learning its contensive the supervised learning is supervised learning its contensive the supervised learning, where no labels are provided, and the goal is to find patterns or structure in the data.)

[/INS)Supervised learning is about finding a mapping from inputs to outputs based on labeled examples, while unsupervised learning is about finding patterns or structure in unlabeled data. (CHNSS)upervised algorithms/INS) include linear regression, logistic regression, support vector machines, and neural networks.)

Figure 11: Question Asked: What is supervised Learning?

# 1. Email Spam Filtering: Supervised learning algorithms are widely used in email It must span filtering. Supervised rearring apportunish the musty used in a span filtering to identify and filter out unwanted emails. The algorithm is trained on a labeled dataset of span and non-span emails, and then uses this knowledge to classify new incoming emails as span or not. Image Recognition: Supervised learning is used extensively in image recognition applications such as facial recognition, object detection, and self-driving cars. The algorithm is trained on a large dataset of labeled images, and then uses this knowledge to identify and classify new images. Sentiment Analysis: Supervised learning is used in sentiment analysis to determine the emotional tone of text data, such as customer reviews or social media <u>postsings</u>. The algorithm is trained on a labeled dataset of positive After training with 77 hand-written questions: Supervised learning is a type of machine learning where the model is trained on labeled date, that is, date that has known outcomes or targets. This makes it particularly useful in various real-world applications where we have a clear understanding of the desired output. Here are some common applications of supervised learning: After training with 177 hand-written questions: After training with 300 hand-written questions: finance, supervised learning is used to detect fraud and manage risk. For mple, support vector machines are used to distinguish between normal and udulent transactions based on their features. (Domingo et al., 2011) image recognition, supervised learning is used to identify objects and classify ages. For example, convolutional neural networks are used to recognize admritten digits and classify them as numbers. (LeCun et al., 2010) natural language processing, supervised learning is used to understand text at tract meaning. For example, naive Bayes classifiers are used to classify text situe or negative based on its sentiment. (Ldablaz, 2014) hese are just a few examples of how supervised learning is used in various leids. The common theme is that supervised learning is used to make predictions ased on labeled data.

Q3: What are some real-world applications of supervised learning?

Figure 12: Question Asked: What are some real-world applications of supervised learning?