


COMP5046
Natural Language Processing

Lecture 4: Word Classification and Machine Learning 2

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Semester 1, 2021
School of Computer Science,
University of Sydney



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0 LECTURE PLAN

Lecture 4: Word Classification and Machine Learning 2

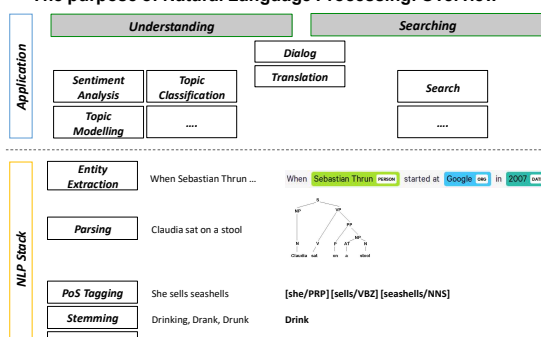
1. Machine Learning and NLP: Finish
2. Seq2Seq Learning
3. Seq2Seq Deep Learning
 1. RNN (Recurrent Neural Network)
 2. LSTM (Long Short-Term Memory)
 3. GRU (Gated Recurrent Unit)
4. Data Transformation for Deep Learning NLP
5. Next Week Preview
 - Natural Language Processing Stack

.... And some interesting notice in the end of the lecture!

2

1 Machine Learning and NLP

The purpose of Natural Language Processing: Overview



Application

- Understanding**
 - Sentiment Analysis
 - Topic Classification
 - Topic Modelling
 -
- Searching**
 - Dialog
 - Translation
 - Search
 -

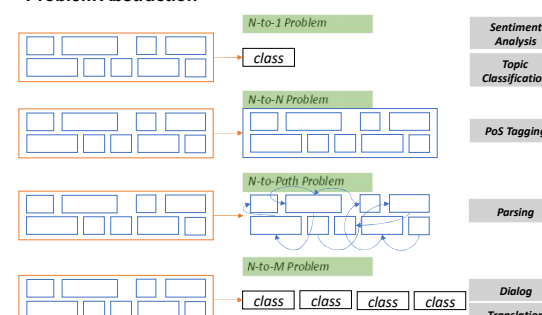
NLP Stack

- Entity Extraction**: When Sebastian Thrun ...
- Parsing**: Claudia sat on a stool
- PoS Tagging**: She sells seashells [she/PRP] [sells/VRZ] [seashells/NNS]
- Stemming**: Drinking, Drank, Drunk Drink
- Tokenisation**: How is the weather today [How] [is] [the] [weather] [today]

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1 Machine Learning and NLP

Problem Abstraction



N-to-1 Problem: class

N-to-N Problem: class

N-to-Path Problem: class

N-to-M Problem: class class class class

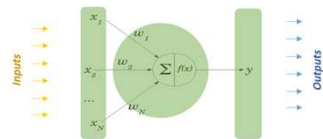
Applications

- Sentiment Analysis
- Topic Classification
- PoS Tagging
- Parsing
- Dialog
- Translation

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1 Machine Learning and NLP

Prediction

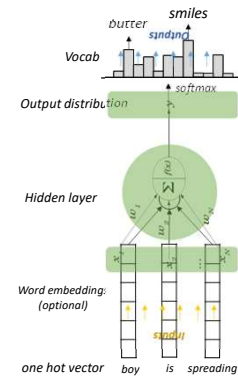


x_i	Inputs	Features words (indices or vectors), context windows, sentences, documents, etc.
y_i	Outputs (labels)	What we try to predict/classify • E.g. word meaning, sentiment, name entity

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1 Machine Learning and NLP

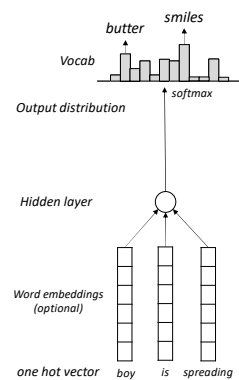
Prediction



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1 Machine Learning and NLP

Prediction



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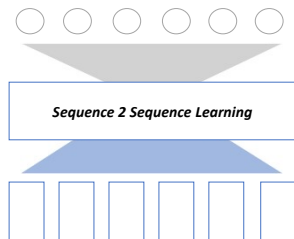
Lecture 4: Word Classification and Machine Learning 2

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2 Sequence 2 Sequence Learning

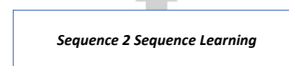
Illustration



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2 Sequence 2 Sequence Learning

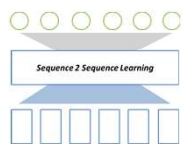
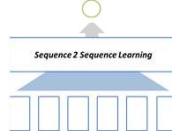
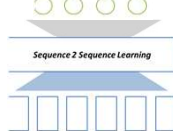
Running time

 $M = \# \text{ of } \bigcirc$

 $N = \# \text{ of } \square$

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2 Sequence 2 Sequence Learning

Sequence 2 Sequence Learning

 $N = M$

 $N \neq M$
 $M = 1$

 $M > 1$


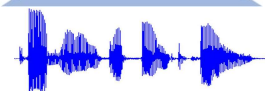
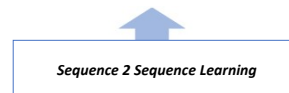
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2 Sequence 2 Sequence Learning

Seq2Seq – Speech Recognition

How is the weather today

Output: Text



Input: Speech Signal

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2 Sequence 2 Sequence Learning

Seq2Seq – Movie Frame Labelling

Swing Swing Hit Bat_Broken



Output: Scene Labels

Sequence 2 Sequence Learning



Input: Video Frame

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2 Sequence 2 Sequence Learning

Seq2Seq – PoS Tagging

ADV VERB DET NOUN NOUN

Output: Part of Speech

Sequence 2 Sequence Learning

How is the weather today

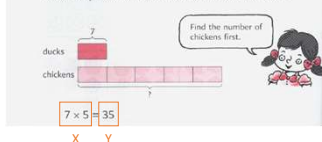
Input: Text

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2 Sequence 2 Sequence Learning

Seq2Seq – Arithmetic Calculation

4. A farmer has 7 ducks.
He has 5 times as many chickens as ducks.
How many more chickens than ducks does he have?



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2 Sequence 2 Sequence Learning

Seq2Seq – Arithmetic Calculation

3 5

Output: Numbers

Sequence 2 Sequence Learning

7 x 5

Input: Math Expression

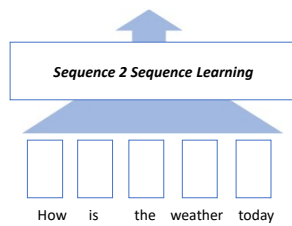
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2 Sequence 2 Sequence Learning

Seq2Seq – Machine Translation

今天 天气 怎么 样?

Output: Chinese Text



How is the weather today

Input: English Text

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2 Sequence 2 Sequence Learning

Seq2Seq – Sentence Completion

How is the weather today?
How long does it take?
Let's go to the opera house
It is quite hot inside
I may need to stop by Darling Harbour
When is the dinner appointment
Change the schedule
Text him that I cannot meet at 6:30pm
I like learning Natural Language Processing



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2 Sequence 2 Sequence Learning

Seq2Seq – Sentence Completion

	How is the weather today?	
	How long does it take?	
	Let's go to the opera house	
	It is quite hot inside	
	I may need to stop by Darling Harbour	
	When is the dinner appointment	
	Change the schedule	
	Text him that I cannot meet at 6:30pm	
X	I like learning Natural Language Processing	Y

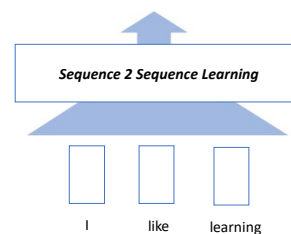
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2 Sequence 2 Sequence Learning

Seq2Seq – Sentence Completion

I like learning Natural Language Processing

Output: Partial Sentence



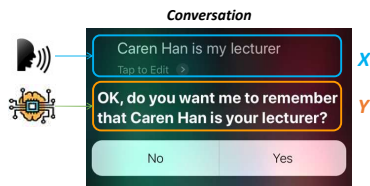
I like learning

Input: Partial Sentence

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2 Sequence 2 Sequence Learning

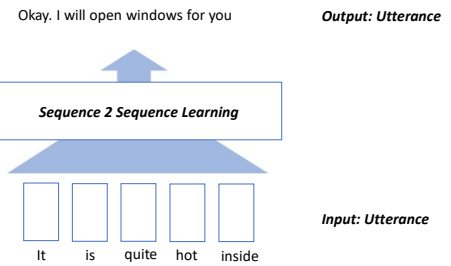
Seq2Seq – Conversation Modelling



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2 Sequence 2 Sequence Learning

Seq2Seq – Conversation Modelling



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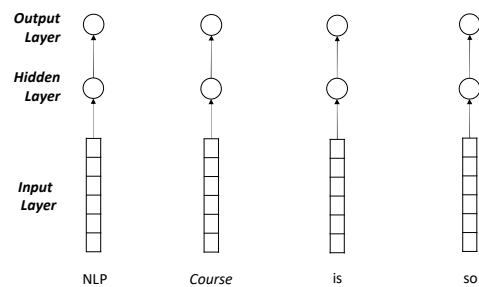
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3 Seq2Seq with Deep Learning

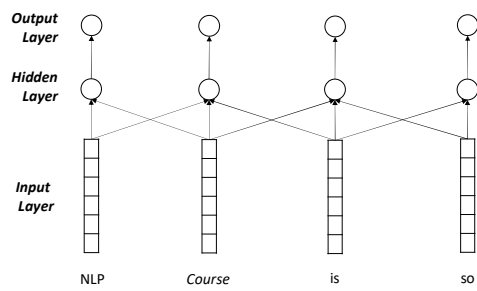
Prediction



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3 Seq2Seq with Deep Learning

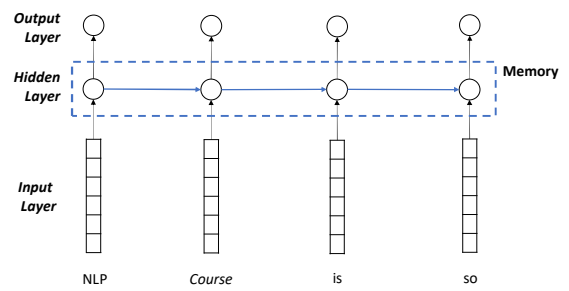
Prediction + Convolution Idea



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3 Seq2Seq with Deep Learning

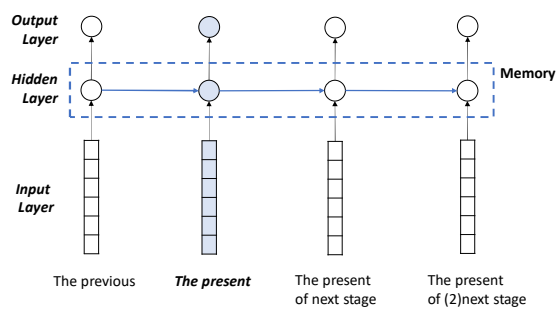
Prediction + Memory = Sequence Modelling



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3 Seq2Seq with Deep Learning

Prediction + Memory = Sequence Modelling

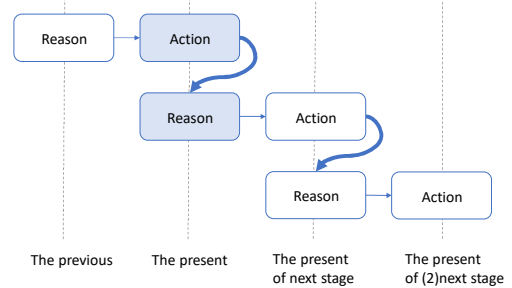


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3 Seq2Seq with Deep Learning

Neural Network + Memory

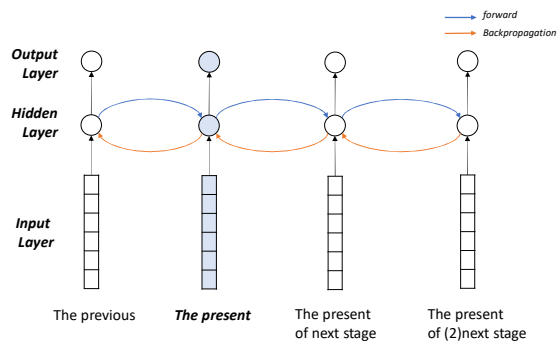
Memory is vital to experiences, it is the retention of information over time for the purpose of influencing future action



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3 Seq2Seq with Deep Learning

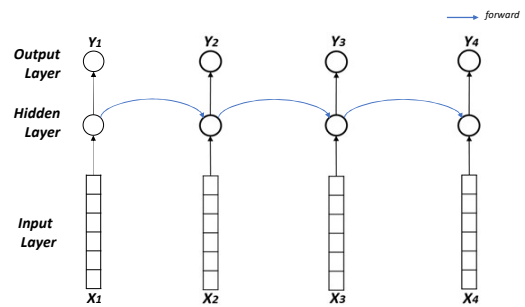
Neural Network + Memory



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3 Seq2Seq with Deep Learning

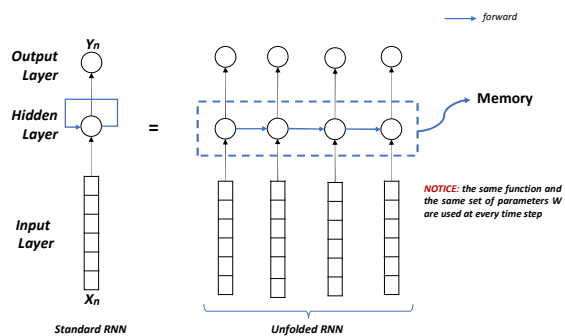
Neural Network + Memory = Recurrent Neural Network



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3 Seq2Seq with Deep Learning

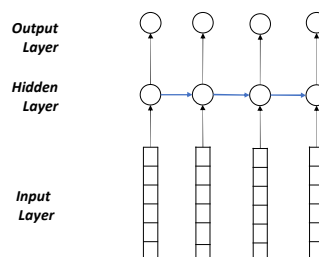
Neural Network + Memory = Recurrent Neural Network (RNN)



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3 Seq2Seq with Deep Learning

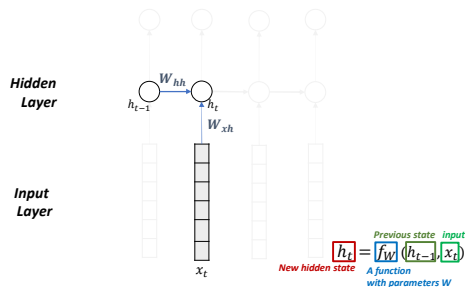
Neural Network + Memory = Recurrent Neural Network (RNN)



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3 Seq2Seq with Deep Learning

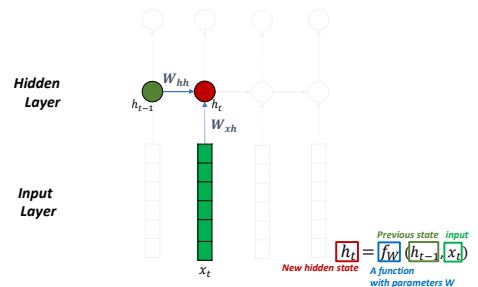
Neural Network + Memory = Recurrent Neural Network



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3 Seq2Seq with Deep Learning

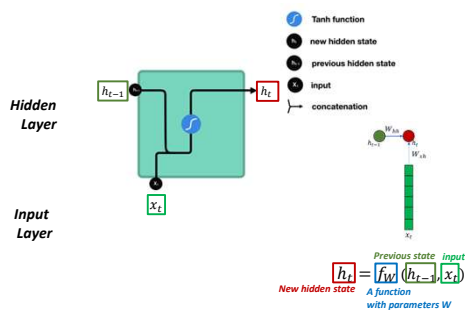
Neural Network + Memory = Recurrent Neural Network



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3 Seq2Seq with Deep Learning

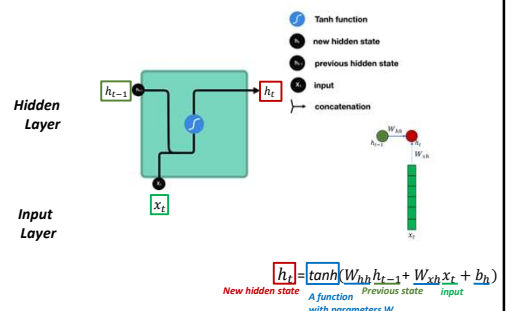
Neural Network + Memory = Recurrent Neural Network



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3 Seq2Seq with Deep Learning

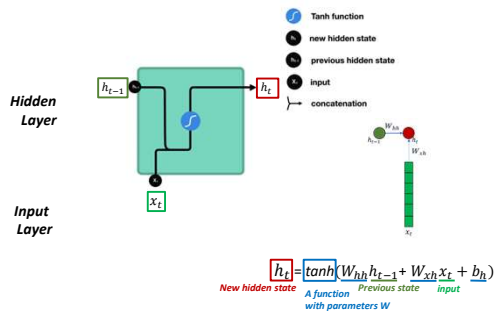
Neural Network + Memory = Recurrent Neural Network



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3 Seq2Seq with Deep Learning

Neural Network + Memory = Recurrent Neural Network

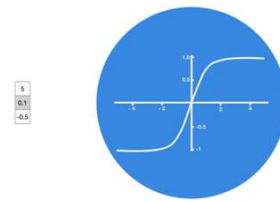


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3 Seq2Seq with Deep Learning

Tanh activation

The tanh activation is used to help regulate the values flowing through the network. The tanh function squishes values to always be between -1 and 1.

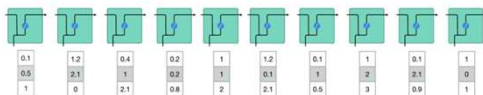


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3 Seq2Seq with Deep Learning

Neural Network + Memory = Recurrent Neural Network

With Sequence Input

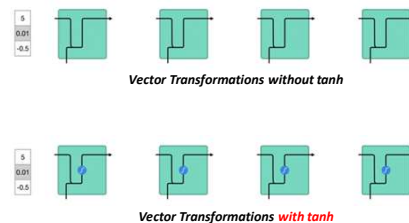


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3 Seq2Seq with Deep Learning

Neural Network + Memory = Recurrent Neural Network

Q: Why do we need tanh function?

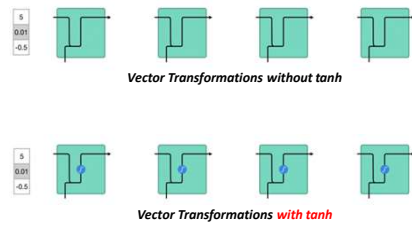


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3 Seq2Seq with Deep Learning

Neural Network + Memory = Recurrent Neural Network

Q: Why do we need tanh function?

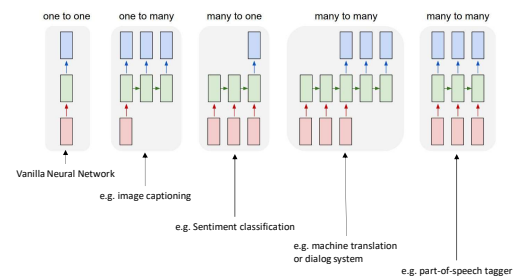


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3 Seq2Seq with Deep Learning

Neural Network + Memory = Recurrent Neural Network

Several Variants of RNN

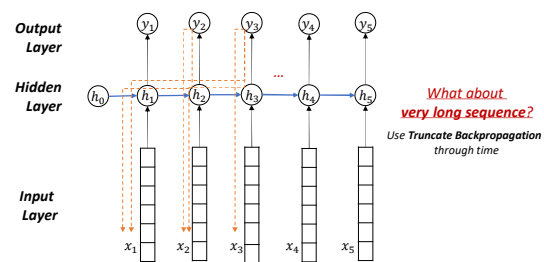


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3 Seq2Seq with Deep Learning

Neural Network + Memory = Recurrent Neural Network

Backpropagation through time



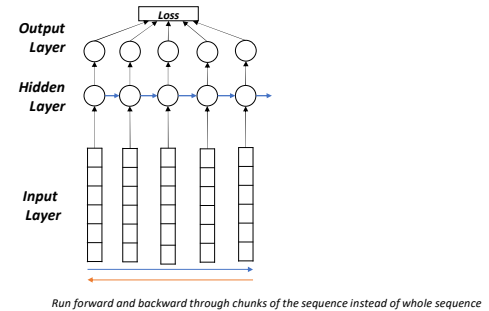
- Similar as standard backpropagation on unrolled network
- Similar as training very deep networks with tied parameters

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3 Seq2Seq with Deep Learning

Neural Network + Memory = Recurrent Neural Network

Truncated Backpropagation through time

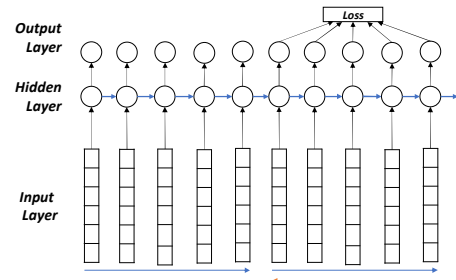


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3 Seq2Seq with Deep Learning

Neural Network + Memory = Recurrent Neural Network

Truncated Backpropagation through time



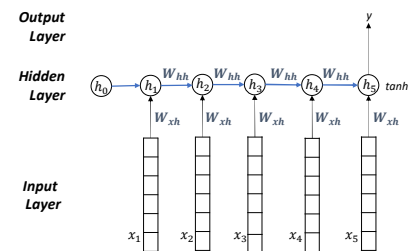
Carry hidden states forward in time forever, but only backpropagate for some smaller number of steps

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3 Seq2Seq with Deep Learning

Neural Network + Memory = Recurrent Neural Network

Many to 1

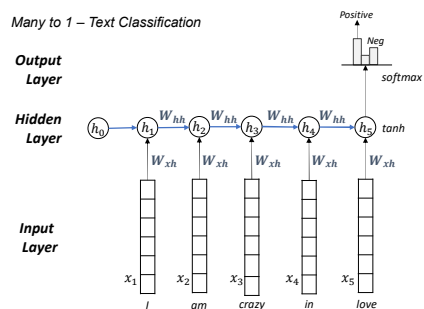


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3 Seq2Seq with Deep Learning

Neural Network + Memory = Recurrent Neural Network

Many to 1 – Text Classification

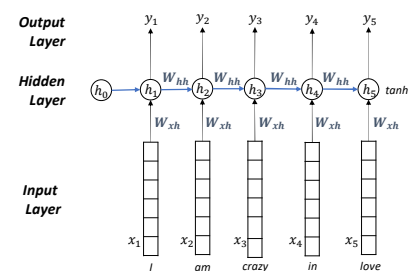


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3 Seq2Seq with Deep Learning

Neural Network + Memory = Recurrent Neural Network

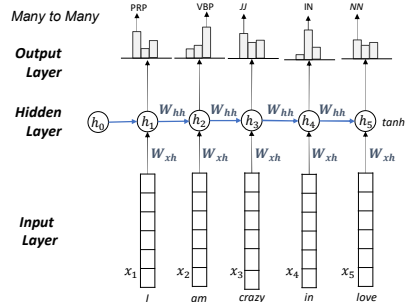
Many to Many



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3 Seq2Seq with Deep Learning

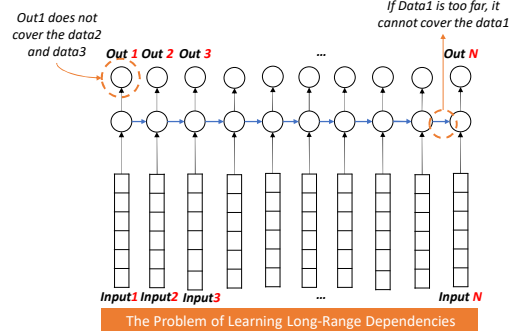
Neural Network + Memory = Recurrent Neural Network



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3 Seq2Seq with Deep Learning

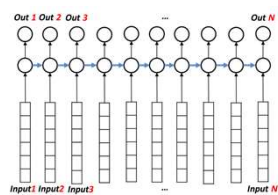
Limitation of Vanilla RNN



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3 Seq2Seq with Deep Learning

Limitation of Vanilla RNN

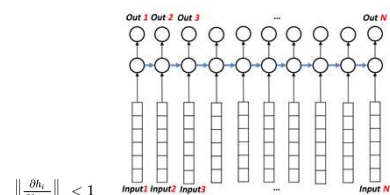


"I grew up in Italy ... (5 more sentences) ...
My grandma's house was very cosy and ...
(5 more sentences) ... I speak fluent ____"

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3 Seq2Seq with Deep Learning

Limitation of Vanilla RNN



$$\left\| \frac{\partial h_t}{\partial h_{t-1}} \right\|_2 < 1$$

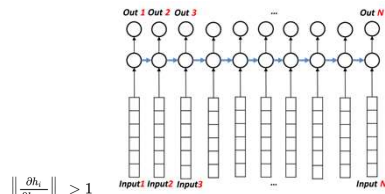
Limitation1: Vanishing Gradient Issue

During back-propagation and calculating gradients, it tends to get smaller and smaller as we keep on moving backward in the Network. This means that the neurons in the Earlier layers learn very slowly as compared to the neurons in the later layers in the Hierarchy.

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3 Seq2Seq with Deep Learning

Limitation of Vanilla RNN



$$\left\| \frac{\partial h_t}{\partial h_{t-1}} \right\|_2 > 1$$

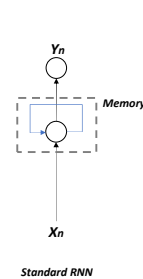
Limitation2: Exploding Gradient

In RNN, error gradients can accumulate during an update and result in very large gradients. These in turn result in large updates to the network weights, and an unstable network. At an extreme, the values of weights can become so large as to overflow and result in NaN weight values that can no longer be updated.

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3 Seq2Seq with Deep Learning

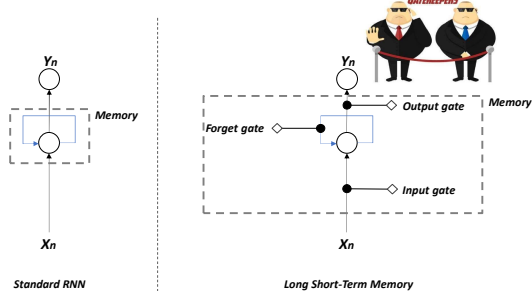
LSTM (Long Short-Term Memory) - Idea



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3 Seq2Seq with Deep Learning

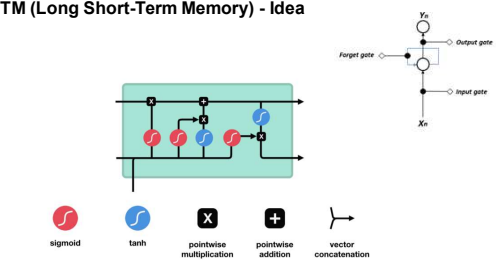
LSTM (Long Short-Term Memory) - Idea



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3 Seq2Seq with Deep Learning

LSTM (Long Short-Term Memory) - Idea



- 4 times more parameters than RNN
- Mitigates **vanishing gradient** problem through **gating**
- Widely used and was **SOTA** in many sequence learning problems

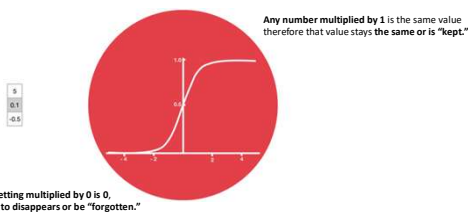
State-Of-The-Art

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3 Seq2Seq with Deep Learning

Sigmoid activation

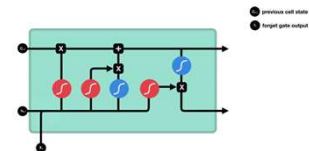
A sigmoid activation is similar to the tanh activation. Instead of squishing values between -1 and 1, it squishes values between 0 and 1.



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3 Seq2Seq with Deep Learning

LSTM (Long Short-Term Memory) – Forget Gate



$$f_t = \sigma(W_f[h_{t-1}, x_t] + b_f)$$

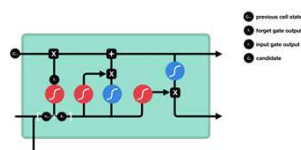
Decides what information should be thrown away or kept

Information from the **previous hidden state** and information from the **current input** is passed through the **sigmoid function**. Values come out between 0 and 1. The closer to 0 means to forget, and the closer to 1 means to keep.

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3 Seq2Seq with Deep Learning

LSTM (Long Short-Term Memory) – Input Gate



$$i_t = \sigma(W_i[h_{t-1}, x_t] + b_i)$$

$$\tilde{C}_t = \tanh(W_c[h_{t-1}, x_t] + b_c)$$

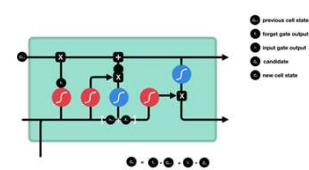
1. Pass the previous hidden state and current input into a sigmoid function
2. Pass the hidden state and current input into the tanh function to squish values between -1 and 1 to help regulate the network
3. Multiply the tanh output with the sigmoid output

*sigmoid output will decide which information is important to keep from the tanh output

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3 Seq2Seq with Deep Learning

LSTM (Long Short-Term Memory) – Cell States



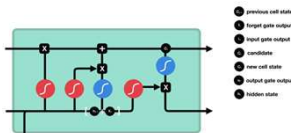
$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

- the cell state gets pointwise multiplied by the forget vector
- take the output from the input gate and do a pointwise addition which updates the cell state to new values that the neural network finds relevant
- That gives us our new cell state

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3 Seq2Seq with Deep Learning

LSTM (Long Short-Term Memory) – Output Gate



$$o_t = \sigma(W_o[h_{t-1}, x_t] + b_o)$$

$$h_t = o_t * \tanh(C_t)$$

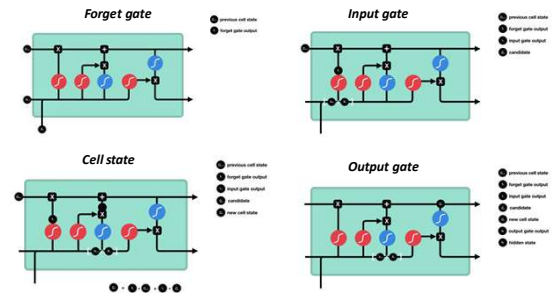
decides what the next hidden state should be.

- pass the previous hidden state and the current input into a sigmoid function
- pass the newly modified cell state to the tanh function
- multiply the tanh output with the sigmoid output to decide what information the hidden state should carry

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3 Seq2Seq with Deep Learning

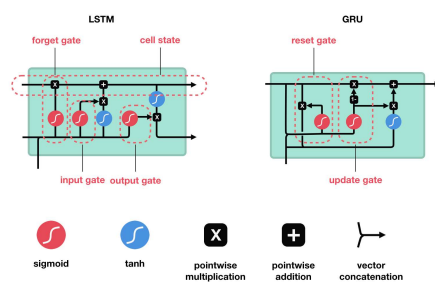
LSTM (Long Short-Term Memory) - Overall



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3 Seq2Seq with Deep Learning

Gated Recurrent Unit

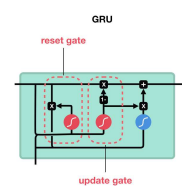


63

3 Seq2Seq with Deep Learning

Gated Recurrent Unit

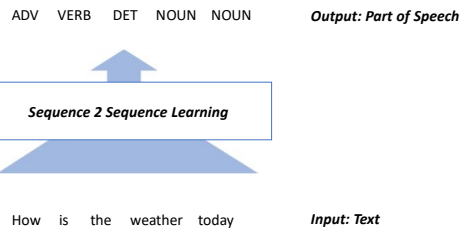
- GRU first computes an **update gate** based on **current input word vector** and **hidden state**
- Compute reset gate similarly but with different weights
 - If reset gate unit is "0, then this ignores previous memory and only stores the new word information"
- Final memory at time step combines current and previous time steps



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3 Seq2Seq Modelling

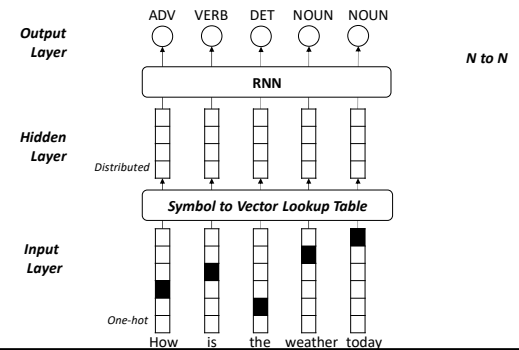
Seq2Seq – PoS tagger



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3 Seq2Seq Modelling

Sequence Modelling for POS Tagging



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0 LECTURE PLAN

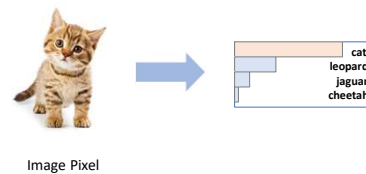
Lecture 4: Word Classification and Machine Learning 2

1. Machine Learning and NLP: Finish
2. Seq2Seq Learning
3. Seq2Seq Deep Learning
 1. RNN (Recurrent Neural Network)
 2. LSTM (Long Short-Term Memory)
 3. GRU (Gated Recurrent Unit)
4. Data Transformation for Deep Learning NLP
5. Next Week Preview
 - Natural Language Processing Stack

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4 Data Transformation for Deep Learning NLP

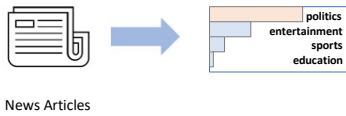
ImageNet: Image Classification



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4 Data Transformation for Deep Learning NLP

Topic Classification



69

4 Data Transformation for Deep Learning NLP

Visual Question Answering



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4 Data Transformation for Deep Learning NLP

Visual Question Answering



What color of the shirt does he wear?

Submit

Predicted top-5 answers with confidence:

orange	88.900%
yellow	0.001%
orange and white	0.000%
yellow and orange	0.000%
orange and black	0.000%

71

4 Data Transformation for Deep Learning NLP

Visual Question Answering



Where is he sitting?

Submit

Predicted top-5 answers with confidence:

couch	71.669%
chair	21.159%
sofa	2.0%
living room	1.76%
room	2.76%

72

4 Data Transformation for Deep Learning NLP

Visual Question Answering



Why is he surprised

Submit

Predicted top-5 answers with confidence:

playing game	36.794%
game	13.713%
playing video games	5.4%
playing wii	4%
hungry	3%

73

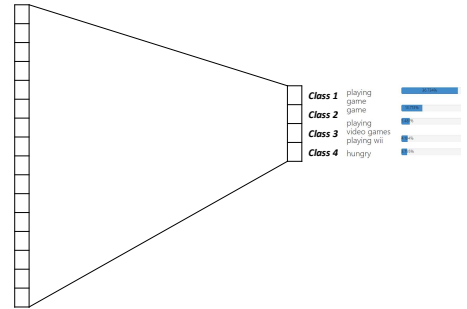
4 Data Transformation for Deep Learning NLP

Classification Formulation



Input

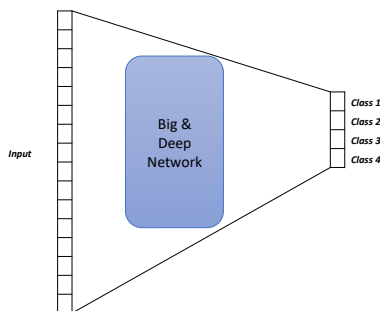
Why is he surprised



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4 Data Transformation for Deep Learning NLP

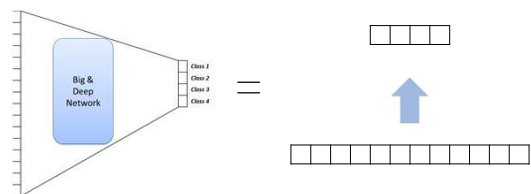
Classification Formulation



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4 Data Transformation for Deep Learning NLP

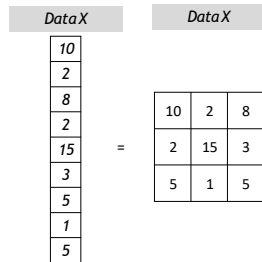
Classification



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4 Data Transformation for Deep Learning NLP

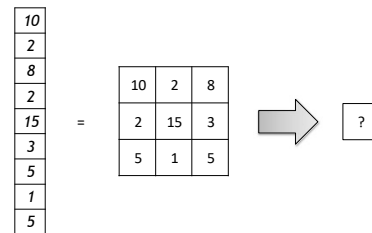
Graphical Notation for Data



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4 Data Transformation for Deep Learning NLP

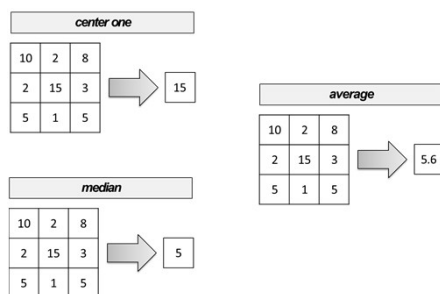
V to 1



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4 Data Transformation for Deep Learning NLP

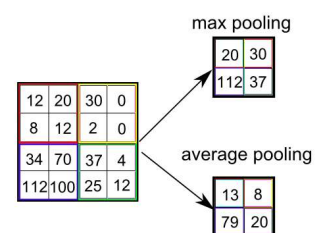
V to 1 – Simple Method



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4 Data Transformation for Deep Learning NLP

V to 1 – Simple Method



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4 Data Transformation for Deep Learning NLP

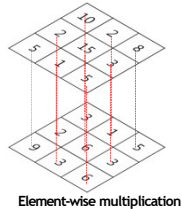
V to 1 – Weighted Method

Weighted Sum					
10	2	8	3	1	5
2	15	3	2	6	3
5	1	5	9	3	6

253

Weighted Average					
10	2	8	3/9	1/9	5/9
2	15	3	2/9	6/9	3/9
5	1	5	9/9	3/9	6/9

6.65



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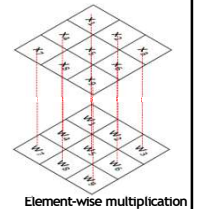
4 Data Transformation for Deep Learning NLP

V to 1 – General Form

Weighted Sum					
x_1	x_2	x_3	w_1	w_2	w_3
x_4	x_5	x_6	w_4	w_5	w_6
x_7	x_8	x_9	w_7	w_8	w_9

~

$$v = x_1 * w_1 + x_2 * w_2 + \dots + x_9 * w_9$$



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4 Data Transformation for Deep Learning NLP

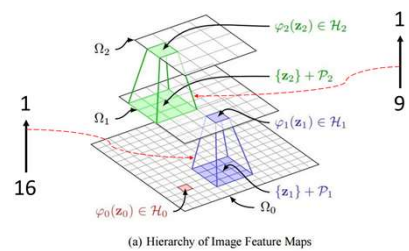
V to 1 – Linear Algebra

$$\begin{array}{c} \text{[1 x 9] matrix} \\ x_1 \ x_2 \ x_3 \ x_4 \ x_5 \ x_6 \ x_7 \ x_8 \ x_9 \end{array} \times \begin{array}{c} \text{[9x1] matrix} \\ w_1 \\ w_2 \\ w_3 \\ w_4 \\ w_5 \\ w_6 \\ w_7 \\ w_8 \\ w_9 \end{array} = \begin{array}{c} \text{[1x1] matrix} \\ \sum_{i=1}^9 x_i * w_i \end{array}$$

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4 Data Transformation for Deep Learning NLP

Convolution Neural Network (1)



Data Abstraction

Mairal et al., Convolutional Kernel Networks, 2014

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4 Data Transformation for Deep Learning NLP

Convolution Neural Network (2)

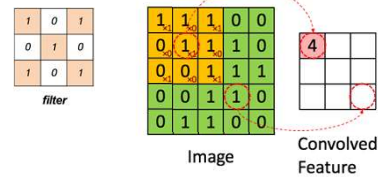


Mairal et al., Convolutional Kernel Networks, 2014

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4 Data Transformation for Deep Learning NLP

Convolution Neural Network (2)

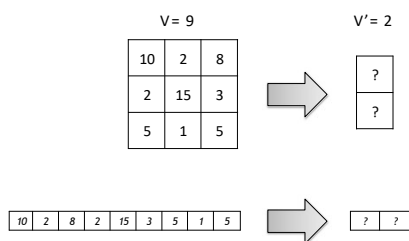


Mairal et al., Convolutional Kernel Networks, 2014

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4 Data Transformation for Deep Learning NLP

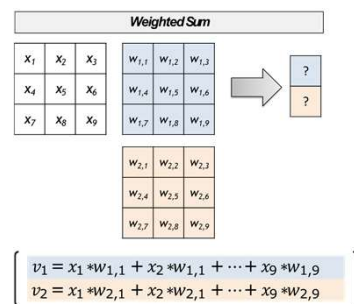
V to V'



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4 Data Transformation for Deep Learning NLP

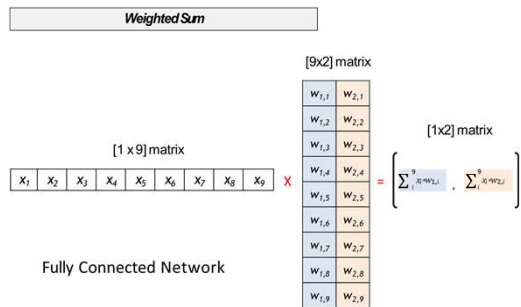
V to V' – generalized method



88

4 Data Transformation for Deep Learning NLP

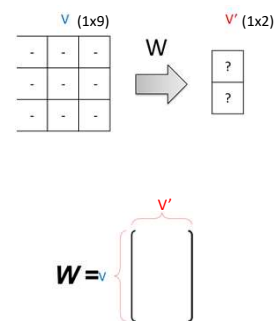
V to V' – generalized method



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4 Data Transformation for Deep Learning NLP

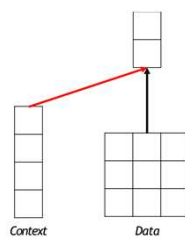
V to V' – Projection Notation



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4 Data Transformation for Deep Learning NLP

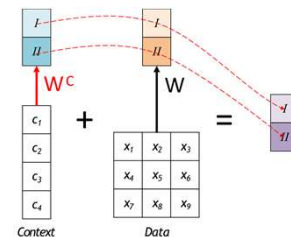
V to V' – Projection with Context (1)



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4 Data Transformation for Deep Learning NLP

V to V' – Projection with Context (2)



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4 Data Transformation for Deep Learning NLP

V to V' with Context - Linear Algebra

Diagram illustrating the transformation of vector V to V' with context using linear algebra. The input vector V is a $[1 \times 9]$ matrix: $[x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_8, x_9]$. The context vector C is a $[1 \times 4]$ matrix: $[c_1, c_2, c_3, c_4]$. The transformation involves two weight matrices, W and W' , each a $[9 \times 2]$ matrix. The output vector V' is a $[1 \times 2]$ matrix. The transformation is defined by the equation:

$$V' = \sum_{i=1}^9 x_i w_{i,1} + \sum_{j=1}^4 c_j w'_{j,1}$$

The diagram shows the calculation of V' as a weighted sum of the input vector V and the context vector C .

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4 Data Transformation for Deep Learning NLP

V to V' with Context - Linear Algebra (Simplified)

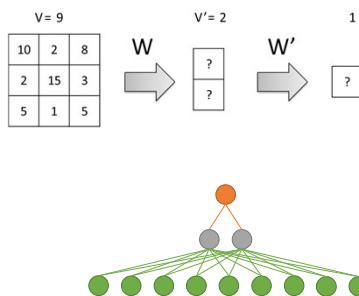
Diagram illustrating the transformation of vector V to V' with context using linear algebra (simplified). The input vector V is a $[1 \times (9+4)]$ matrix: $[x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_8, x_9, c_1, c_2, c_3, c_4]$. The transformation involves a single weight matrix W , which is a $[(9+4) \times 2]$ matrix. The output vector V' is a $[1 \times 2]$ matrix. The transformation is defined by the equation:

$$V' = \sum_{i=1}^{9+4} v_i w_{i,1} + \sum_{j=1}^2 w_{j,2}$$

The diagram shows the calculation of V' as a weighted sum of the concatenated input vector V and the context vector C .

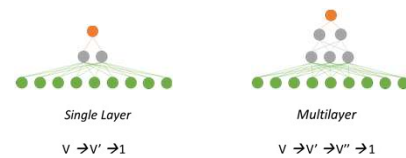
94

4 Data Transformation for Deep Learning NLP

 $V \rightarrow V' \rightarrow 1$ 

95

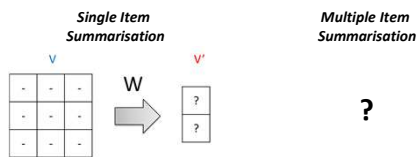
4 Data Transformation for Deep Learning NLP

 $V \rightarrow V' \rightarrow 1$ 

96

4 Data Transformation for Deep Learning NLP

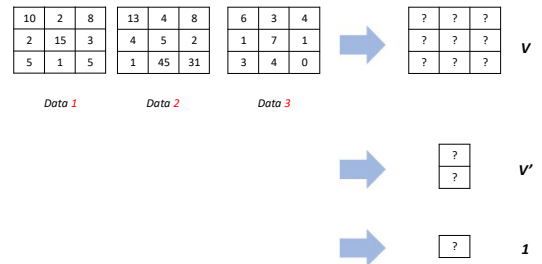
Seq2Seq Encoding



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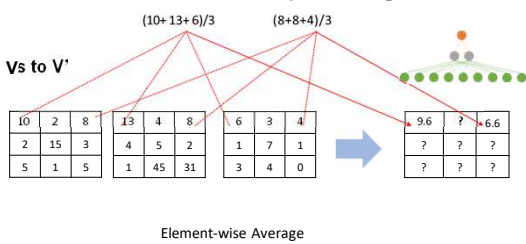
4 Data Transformation for Deep Learning NLP

Multiple Item Summarisation



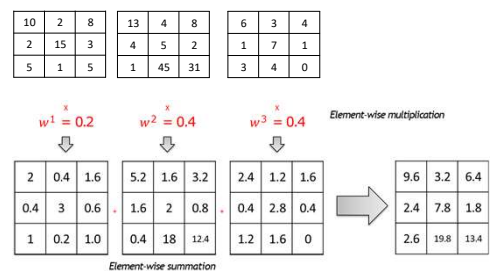
98

4 Data Transformation for Deep Learning NLP

 V_s to V' 

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4 Data Transformation for Deep Learning NLP

 V_s to V' 

100

4 Data Transformation for Deep Learning NLP

Temporal Summarisation

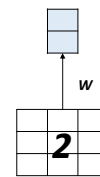
How to include Temporal information?

Context

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4 Data Transformation for Deep Learning NLP

$$V_s \rightarrow V's \rightarrow V'$$

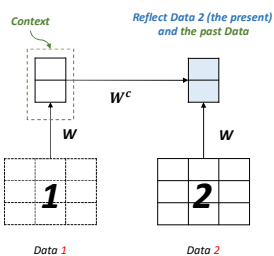


Data 2

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4 Data Transformation for Deep Learning NLP

$$V_s \rightarrow V's \rightarrow V'$$



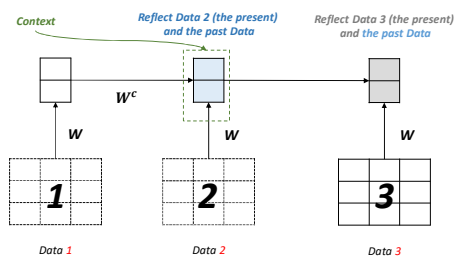
Data 1

Data 2

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4 Data Transformation for Deep Learning NLP

$$V_s \rightarrow V's \rightarrow V'$$



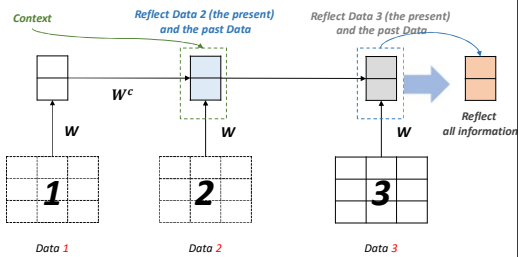
Data 1

Data 2

Data 3

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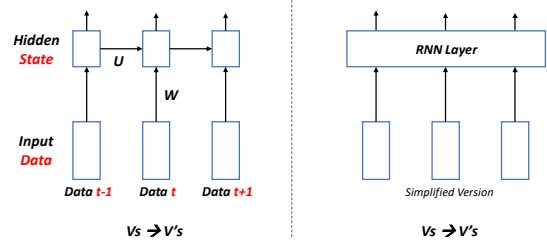
4 Data Transformation for Deep Learning NLP

 $V_s \rightarrow V's \rightarrow V'$ 

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4 Data Transformation for Deep Learning NLP

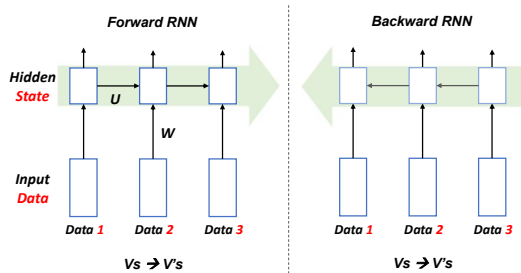
Graphical Notation



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4 Data Transformation for Deep Learning NLP

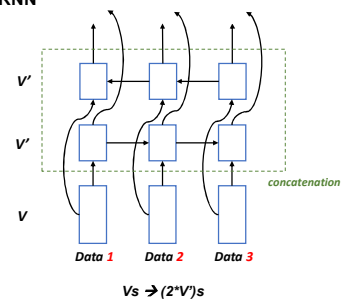
Forward/Backward RNN



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4 Data Transformation for Deep Learning NLP

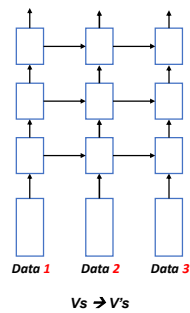
Bidirectional RNN



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4 Data Transformation for Deep Learning NLP

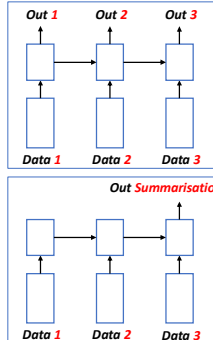
Stacking RNN



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4 Data Transformation for Deep Learning NLP

RNN: Input and Output



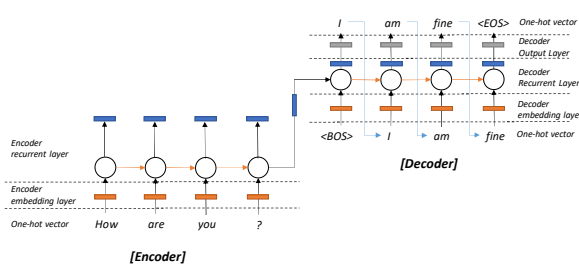
- ✓ $V_s \rightarrow V's$
- ✓ $Len(V_s) \rightarrow Len(V's)$

- ✓ $V_s \rightarrow 1$

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4 Data Transformation for Deep Learning NLP

Seq2Seq Encoding and Decoding- Dialog System



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0 LECTURE PLAN

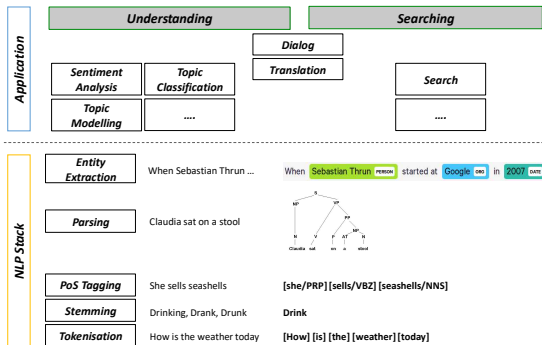
Lecture 4: Word Classification and Machine Learning 2

1. Machine Learning and NLP: Finish
2. Seq2Seq Learning
3. Seq2Seq Deep Learning
 1. RNN (Recurrent Neural Network)
 2. LSTM (Long Short-Term Memory)
 3. GRU (Gated Recurrent Unit)
4. Data Transformation for Deep Learning NLP
5. **Next Week Preview**
 - Natural Language Processing Stack

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5 Next Week Preview

The purpose of Natural Language Processing: Overview



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/ Reference

Reference for this lecture

- Deng, L., & Liu, Y. (Eds.). (2018). Deep Learning in Natural Language Processing. Springer.
- Rao, D., & McMahan, B. (2019). Natural Language Processing with PyTorch: Build Intelligent Language Applications Using Deep Learning. "O'Reilly Media, Inc."
- Manning, C. D., Manning, C. D., & Schütze, H. (1999). Foundations of statistical natural language processing. MIT press.
- Blunsom, P 2017, Deep Natural Language Processing, lecture notes, Oxford University
- Manning, C 2017, Natural Language Processing with Deep Learning, lecture notes, Stanford University
- Sordani, A., Bengio, Y., Vahabi, H., Lioma, C., Grue Simonsen, J., & Nie, J. Y. (2015, October). A hierarchical recurrent encoder-decoder for generative context-aware query suggestion. In Proceedings of the 24th ACM International on Conference on Information and Knowledge Management (pp. 553-562). ACM.

Figure Reference

- <https://towardsdatascience.com/types-of-optimization-algorithms-used-in-neural-networks-and-ways-to-optimize-gradient-95ae5d39529f>
- <https://towardsdatascience.com/illustrated-guide-to-lstms-and-gru-s-a-step-by-step-explanation-44e9eb85bf21>

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