

# CS 7172

# Parallel and Distributed Computing

## Lock and Synchronization

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<https://kevinsuo.github.io/>

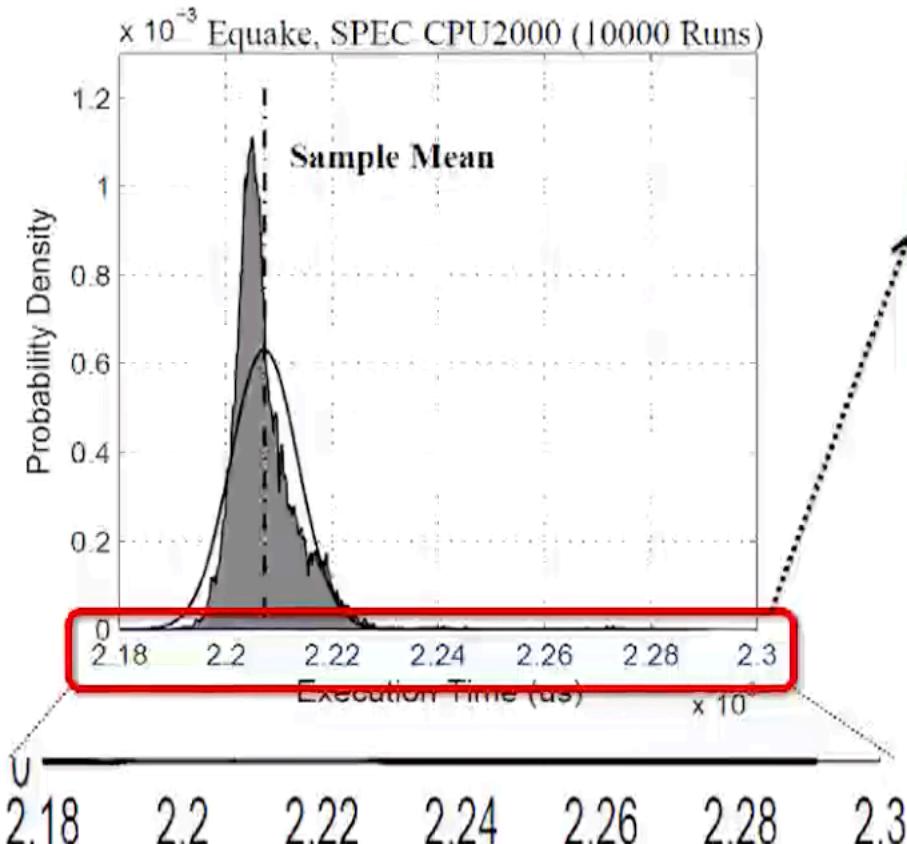
# Outline

---

- Start from examples
- Concurrency and synchronization
  - Execution models
  - Race condition
  - Critical section
- Mutual exclusion
  - Spinlock
  - Mutex lock
  - Semaphore
  - Deadlock and priority inversion



# Performance variation: run a program on a single server



<https://parsec.cs.princeton.edu/publications/chen14ieeetc.pdf>

Parameters: avg = 2.16, max=2.3, min=2.18

Variations (%):  $\frac{2.3 - 2.18}{2.16} * 100\% = 0.9\%$

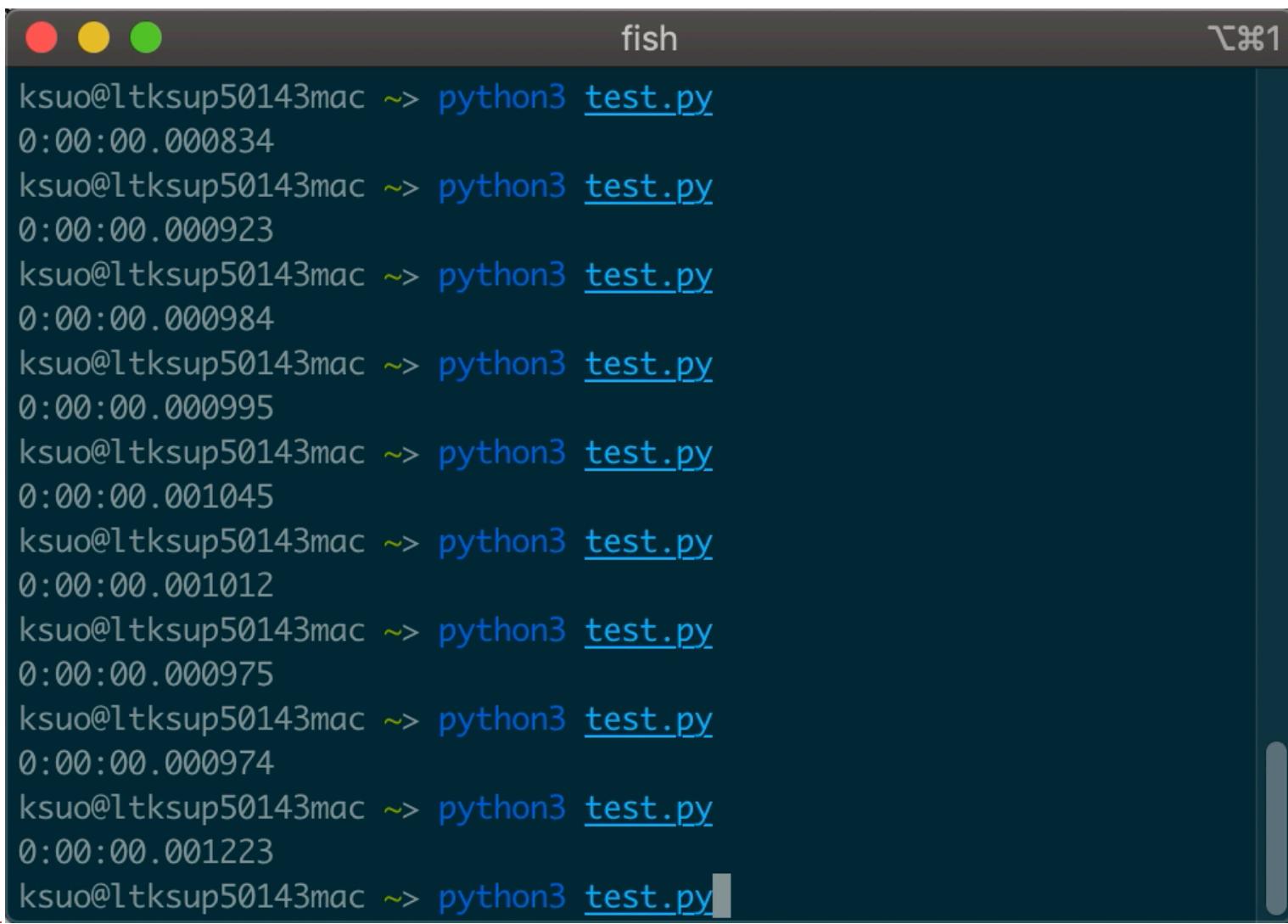
Performance variation on a single server: 1%

T: Chen et al., Statistical Performance Comparisons of Computers, HPCA 2012.

Statistical Performance Comparisons of Computers



# Performance variation: run a program on a single server



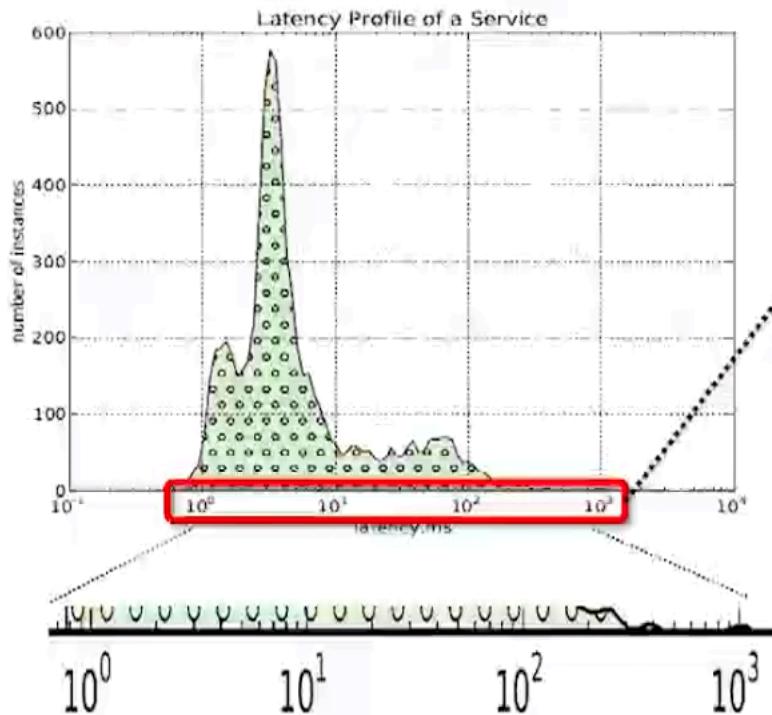
The screenshot shows a terminal window titled "fish". Inside the terminal, there are seven identical command-line entries, each starting with "ksuo@ltksup50143mac ~> python3 test.py". The timestamp for each entry is "0:00:00.000834", "0:00:00.000923", "0:00:00.000984", "0:00:00.000995", "0:00:00.001045", "0:00:00.001012", and "0:00:00.000975" respectively. This indicates that the script was run simultaneously seven times in parallel.

```
ksuo@ltksup50143mac ~> python3 test.py
0:00:00.000834
ksuo@ltksup50143mac ~> python3 test.py
0:00:00.000923
ksuo@ltksup50143mac ~> python3 test.py
0:00:00.000984
ksuo@ltksup50143mac ~> python3 test.py
0:00:00.000995
ksuo@ltksup50143mac ~> python3 test.py
0:00:00.001045
ksuo@ltksup50143mac ~> python3 test.py
0:00:00.001012
ksuo@ltksup50143mac ~> python3 test.py
0:00:00.000975
ksuo@ltksup50143mac ~> python3 test.py
0:00:00.000974
ksuo@ltksup50143mac ~> python3 test.py
0:00:00.001223
ksuo@ltksup50143mac ~> python3 test.py
```



# Performance variation: run a service on Google datacenter for 10k times

## Dist. of Res. Time



[http://www2013.w3c.br/  
proceedings/p703.pdf](http://www2013.w3c.br/proceedings/p703.pdf)

Parameters: avg  $\approx$  5, max > 300, min < 1

Variations (%):  $\frac{300-1}{5} * 100\% = 600\%$

Performance variation in a datacenter:  $\sim 10x$

D. Krushevskaja and M. Sandler, Understanding Latency Variations of Black Box Services, WWW 2013.



Services

Resource Groups



tonys

Ohio

Support

test

Throttle

Qualifiers

Actions

test

Test

Save



The section below shows the result returned by your function execution.

```
{  
  "statusCode": 200,  
  "number": 92  
}
```

## Summary

Code SHA-256

sGK910fBuTtqRilDvyMmBh5WRbACHBR3lwm4fY/EVEQ=

Request ID

85bd5671-6a88-4efb-ba3a-4d677a1007df

Duration

0.38 ms

Billed duration

100 ms

Resources configured

128 MB

Max memory used

23 MB

## Log output

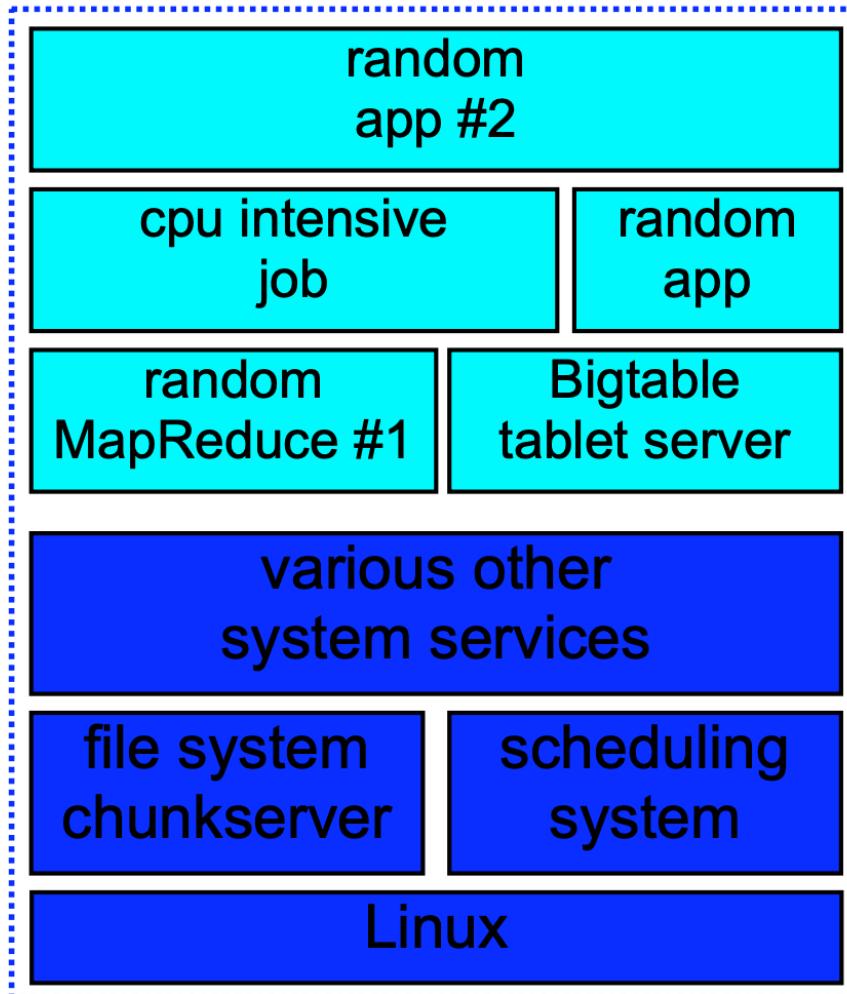
The section below shows the logging calls in your code. These correspond to a single row within the CloudWatch log group corresponding to this Lambda function. [Click here](#) to view the CloudWatch log group.

```
START RequestId: 85bd5671-6a88-4efb-ba3a-4d677a1007df Version: $LATEST  
END RequestId: 85bd5671-6a88-4efb-ba3a-4d677a1007df  
REPORT RequestId: 85bd5671-6a88-4efb-ba3a-4d677a1007df Duration: 0.38 ms          Billed  
Duration: 100 ms      Memory Size: 128 MB      Max Memory Used: 23 MB
```

00:01

Finish

# A typical Google server



Achieving Rapid Response  
Times in Large Online Services

## Sharing!

<https://static.googleusercontent.com/media/research.google.com/en/pubs/archive/44875.pdf>

J. Dean, Achieving Rapid Response Times in Large Online Services, talk at Berkeley, 2012.



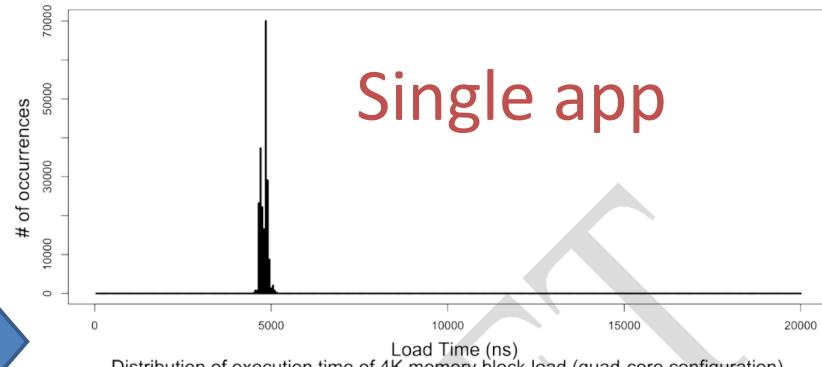
# Unmanaged sharing

Typical unmanaged sharing



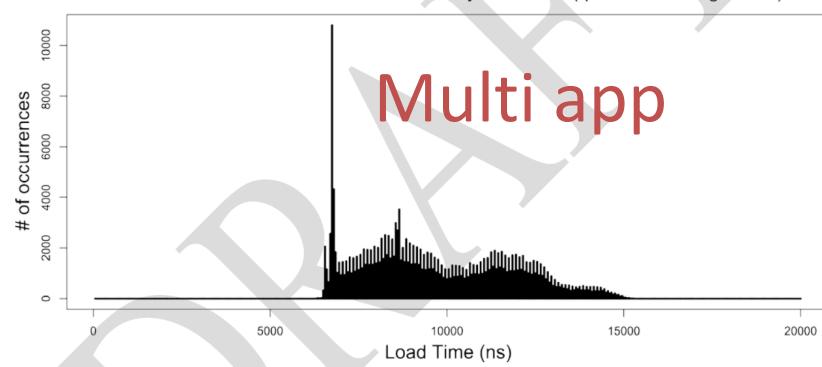
Read 4KB data from DRAM

Distribution of execution time of 4K memory block load (single-core configuration)



Single app

Distribution of execution time of 4K memory block load (quad-core configuration)



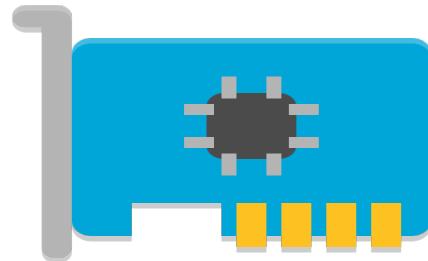
Multi app

[https://www.faa.gov/aircraft/air\\_cert/design\\_approvals/air\\_software/media/SDS\\_D0005\\_White\\_Paper.pdf](https://www.faa.gov/aircraft/air_cert/design_approvals/air_software/media/SDS_D0005_White_Paper.pdf)

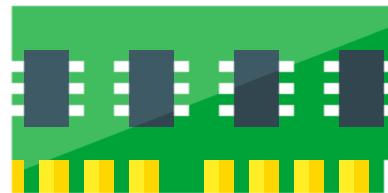
FAA, White Paper on Issues Associated with Interference Applied to Multicore Processors, 2016



# Sharing is everywhere



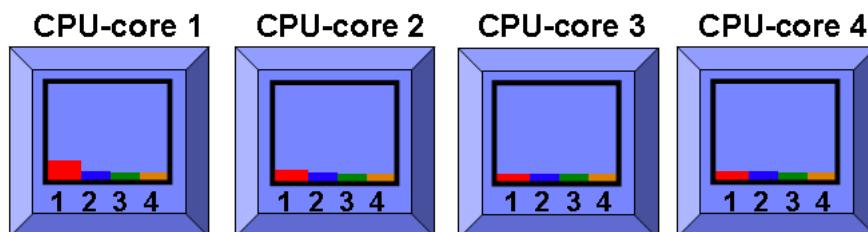
Network



DRAM



Cache



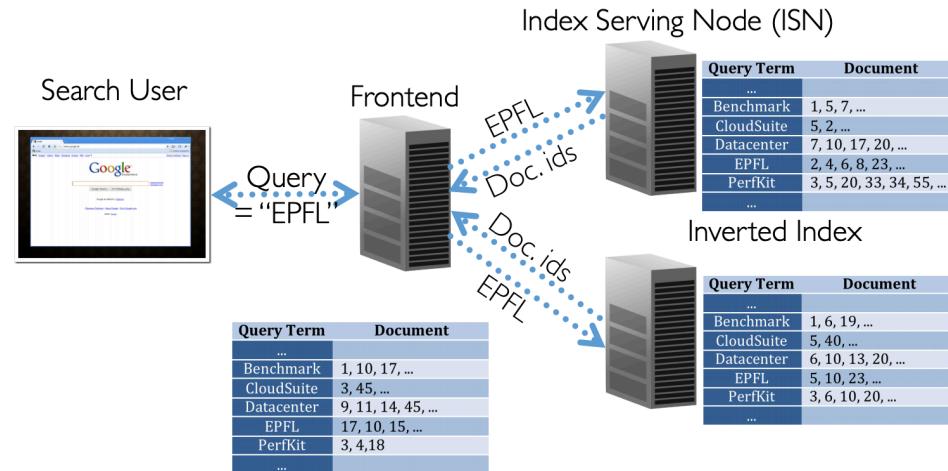
CPU

# Sharing is everywhere: web search

## websearch

	5%	10%	15%	20%	25%	30%	35%	40%	45%	50%	55%	60%	65%	70%	75%	80%	85%	90%	95%
LLC (small)	134%	103%	96%	96%	109%	102%	100%	96%	96%	104%	99%	100%	101%	100%	104%	103%	104%	103%	99%
LLC (med)	152%	106%	99%	99%	116%	111%	109%	103%	105%	116%	109%	108%	107%	110%	123%	125%	114%	111%	101%
LLC (big)	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	264%	222%	123%	102%
DRAM	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	270%	228%	122%	103%
HyperThread	81%	109%	106%	106%	104%	113%	106%	114%	113%	105%	114%	117%	118%	119%	122%	136%	>300%	>300%	>300%
CPU power	190%	124%	110%	107%	134%	115%	106%	108%	102%	114%	107%	105%	104%	101%	105%	100%	98%	99%	97%
Network	35%	35%	36%	36%	36%	36%	36%	37%	37%	38%	39%	41%	44%	48%	51%	55%	58%	64%	95%
brain	158%	165%	157%	173%	160%	168%	180%	230%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%

Each entry is color-coded as follows: 140% is  $\geq 120\%$ , 110% is between 100% and 120%, and 65% is  $\leq 100\%$ .

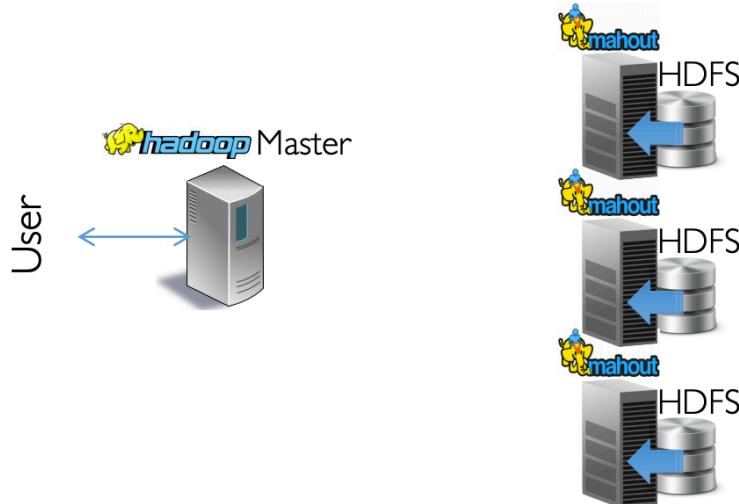
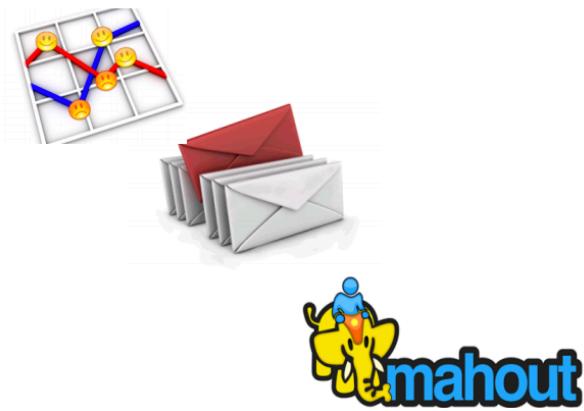


# Sharing is everywhere: machine learning

**ml\_cluster**

	5%	10%	15%	20%	25%	30%	35%	40%	45%	50%	55%	60%	65%	70%	75%	80%	85%	90%	95%	
LLC (small)	101%	88%	99%	84%	91%	110%	96%	93%	100%	216%	117%	106%	119%	105%	182%	206%	109%	202%	203%	
LLC (med)	98%	88%	102%	91%	112%	115%	105%	104%	111%	>300%	282%	212%	237%	220%	220%	212%	215%	205%	201%	
LLC (big)	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	276%	250%	223%	214%	206%	
DRAM	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	287%	230%	223%	211%	211%	
HyperThread	113%	109%	110%	111%	104%	100%	97%	107%	111%	112%	114%	114%	114%	119%	121%	130%	259%	262%	262%	
CPU power	112%	101%	97%	89%	91%	86%	89%	90%	89%	92%	91%	90%	89%	89%	90%	92%	94%	97%	106%	
Network	57%	56%	58%	60%	58%	58%	58%	58%	59%	59%	59%	59%	59%	63%	63%	67%	76%	89%	113%	
brain	151%	149%	174%	189%	193%	202%	209%	217%	225%	239%	>300%	>300%	279%	>300%	>300%	>300%	>300%	>300%	>300%	>300%

Each entry is color-coded as follows: **140%** is  $\geq 120\%$ , **110%** is between 100% and 120%, and **65%** is  $\leq 100\%$ .

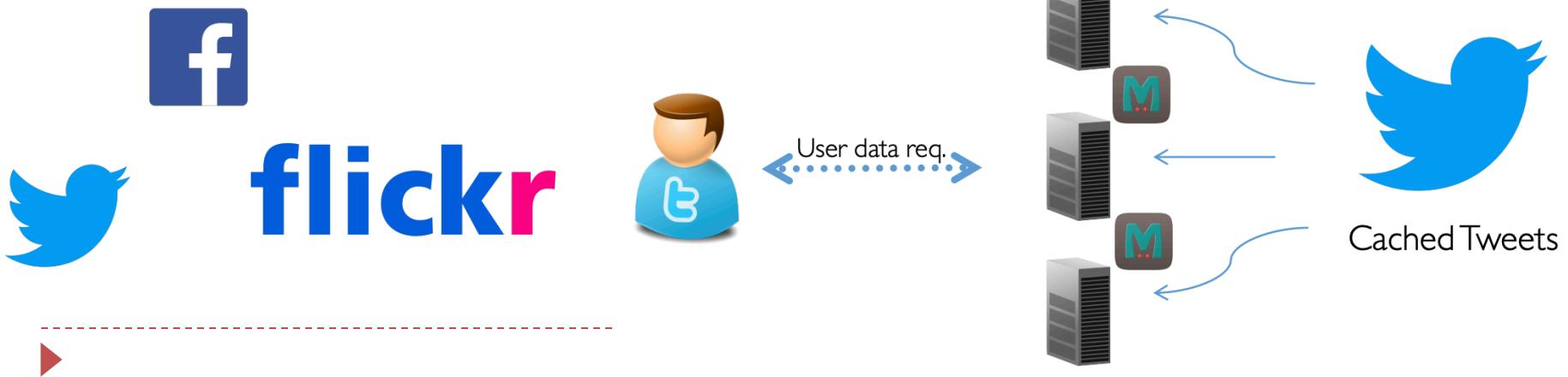


# Sharing is everywhere: data caching

**memkeyval**

	5%	10%	15%	20%	25%	30%	35%	40%	45%	50%	55%	60%	65%	70%	75%	80%	85%	90%	95%	
LLC (small)	115%	88%	88%	91%	99%	101%	79%	91%	97%	101%	135%	138%	148%	140%	134%	150%	114%	78%	70%	
LLC (med)	209%	148%	159%	107%	207%	119%	96%	108%	117%	138%	170%	230%	182%	181%	167%	162%	144%	100%	104%	
LLC (big)	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	280%	225%	222%	170%	79%	85%
DRAM	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	252%	234%	199%	103%	100%
HyperThread	26%	31%	32%	32%	32%	32%	33%	35%	39%	43%	48%	51%	56%	62%	81%	119%	116%	153%	>300%	
CPU power	192%	277%	237%	294%	>300%	>300%	219%	>300%	292%	224%	>300%	252%	227%	193%	163%	167%	122%	82%	123%	
Network	27%	28%	28%	29%	29%	27%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	
brain	197%	232%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	>300%	

Each entry is color-coded as follows: 140% is  $\geq 120\%$ , 110% is between 100% and 120%, and 65% is  $\leq 100\%$ .



# Outline

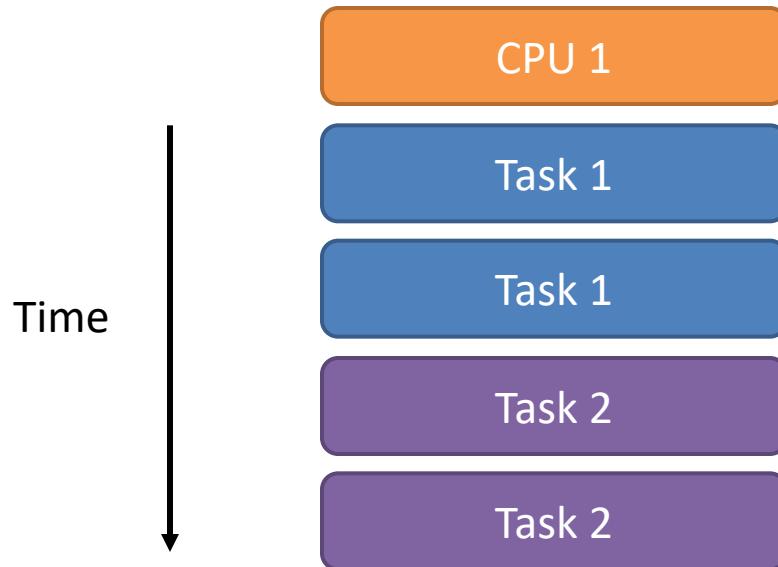
---

- Start from examples
- Concurrency and synchronization
  - Execution models
  - Race condition
  - Critical section
- Mutual exclusion
  - Spinlock
  - Mutex lock
  - Semaphore
  - Deadlock and priority inversion



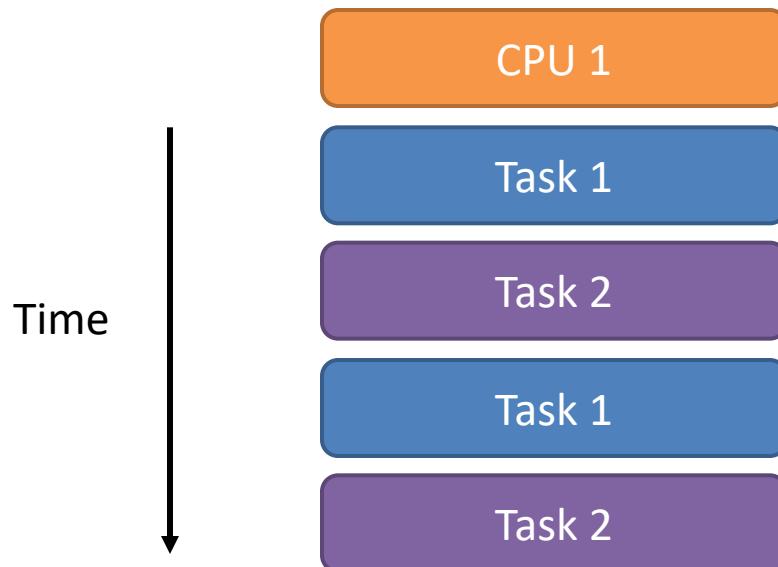
# Execution models

- Sequential execution
  - Loosely, doing a lot of things, but one after another
  - E.g. Finish one assignment then another



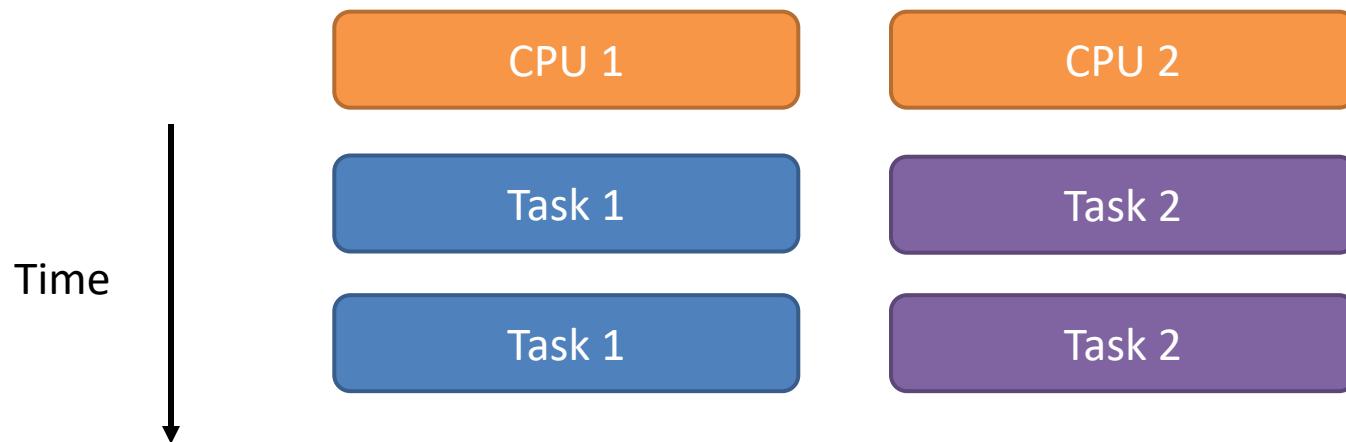
# Execution models

- Concurrent execution
  - Loosely, concurrency is “juggling” many things within a time window

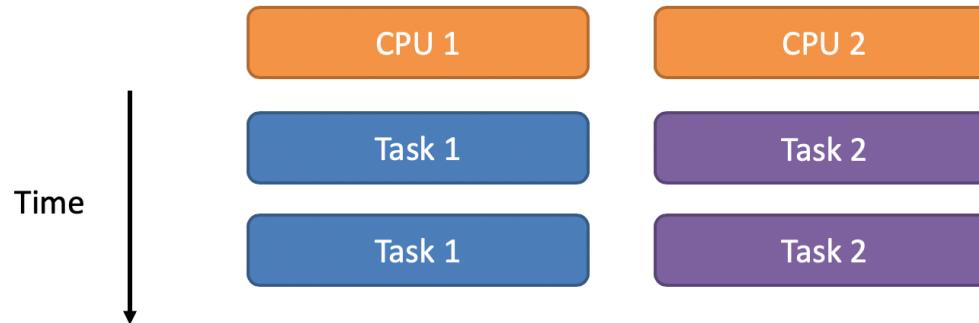
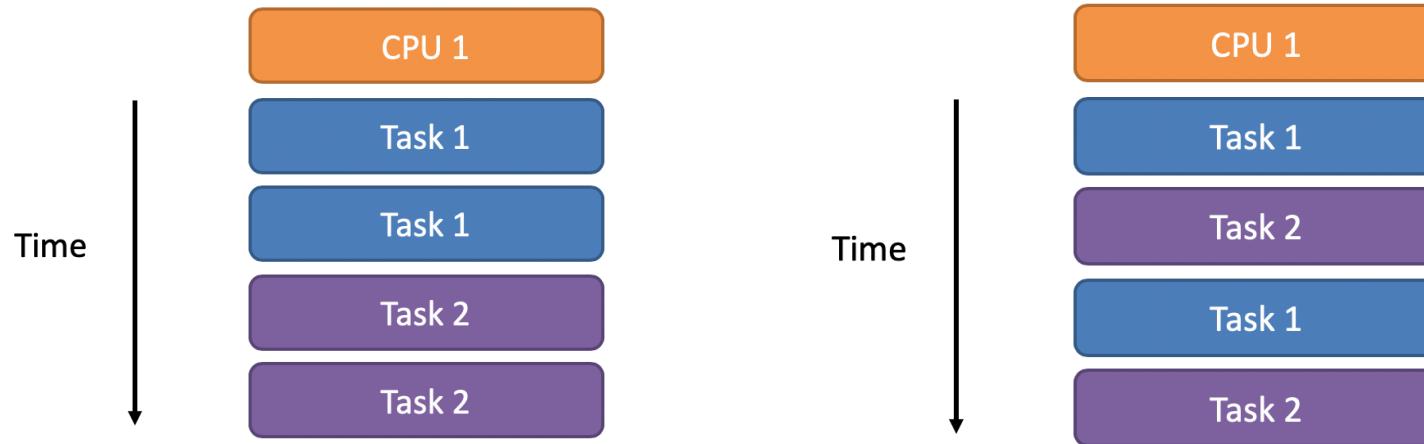


# Execution models

- Parallel execution
  - Loosely, parallelism is doing many things simultaneously
  - Parallel execution model is a subset of concurrency model
    - ▶ All parallelism is concurrency, but not all concurrency is parallelism



# Three models: sequential, concurrent and parallel



# Concurrency and Synchronization

---

- Concurrent tasks may either execute independently
- Or, concurrent tasks may need to synchronize (communicate) now and then
- Synchronization requires access to **shared resources**
  - Shared memory (buffers)
  - Pipes
  - Signals, etc



# Race condition

[https://github.com/kevinsuo/CS7172/blob/master/race\\_condition.c](https://github.com/kevinsuo/CS7172/blob/master/race_condition.c)

- A race condition occurs when two or more threads access shared data and they try to **change** it at the **same time**.
- The **order** in which the threads attempt to access the shared data makes the results unpredictable

```
#include <stdio.h>
#include <stdlib.h>
#include <pthread.h>

int counter = 0;

void *compute()
{
    int i = 0;
    while (i < 100) {
        counter = counter + 1;
        i++;
    }
    printf("Counter value: %d\n", counter);
}

int main()
{
    pthread_t thread1, thread2;

    pthread_create(&thread1, NULL, compute, (void *)&thread1);
    pthread_create(&thread2, NULL, compute, (void *)&thread2);

    pthread_exit(NULL);
    exit(0);
}
```

Race condition occurs for variable counter

```
pi@raspberrypi ~/Downloads> ./race_condition.o
Counter value: 100
Counter value: 200
```

Seem nothing wrong?

# Race condition example

```
#include <stdio.h>
#include <stdlib.h>
#include <pthread.h>

int counter = 0;

void *compute()
{
    int i = 0;
    while (i < 10000) {
        counter = counter + 1;
        i++;
    }
    printf("Counter value: %d\n", counter);
}

int main()
{
    pthread_t thread1, thread2, thread3, thread4, thread5;

    pthread_create(&thread1, NULL, compute, (void *)&thread1);
    pthread_create(&thread2, NULL, compute, (void *)&thread2);
    pthread_create(&thread3, NULL, compute, (void *)&thread3);
    pthread_create(&thread4, NULL, compute, (void *)&thread4);
    pthread_create(&thread5, NULL, compute, (void *)&thread5);

    pthread_exit(NULL);
    exit(0);
}
```

Increase the loop number

Add more threads

```
pi@raspberrypi ~/Downloads> ./race_condition.o
Counter value: 14467
Counter value: 10410
Counter value: 12080
Counter value: 22745
Counter value: 32725
```

Weird results!



# Critical section

- A section of code in a concurrent task that **modifies or accesses** a resource shared with another task.
- Examples
  - A piece of code that reads from or writes to a shared memory region
  - Or a code that modifies or traverses a shared linked list.

```
do {  
    entry section  
    critical section  
    exit section  
    remainder section  
} while (TRUE);
```

# Critical section example

```
#include <stdio.h>
#include <stdlib.h>
#include <pthread.h>

int counter = 0;

void *compute()
{
    int i = 0;
    while (i < 100) {
        counter = counter + 1;
        i++;
    }
    printf("Counter value: %d\n", counter);
}

int main()
{
    pthread_t thread1, thread2;

    pthread_create(&thread1, NULL, compute, (void *)&thread1);
    pthread_create(&thread2, NULL, compute, (void *)&thread2);

    pthread_exit(NULL);
    exit(0);
}
```

Critical section: All threads read and write the shared counter



# Outline

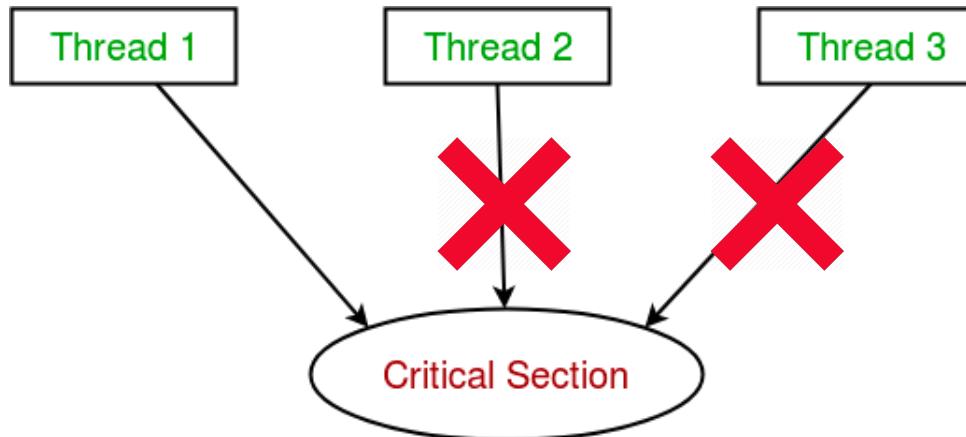
---

- Concurrency and synchronization
  - Execution models
  - Race condition
  - Critical section
- Mutual exclusion
  - Spinlock
  - Mutex lock
  - Semaphore
  - Deadlock and priority inversion



# To avoid race condition

- Principles:
  1. No two processes are simultaneously in the critical region

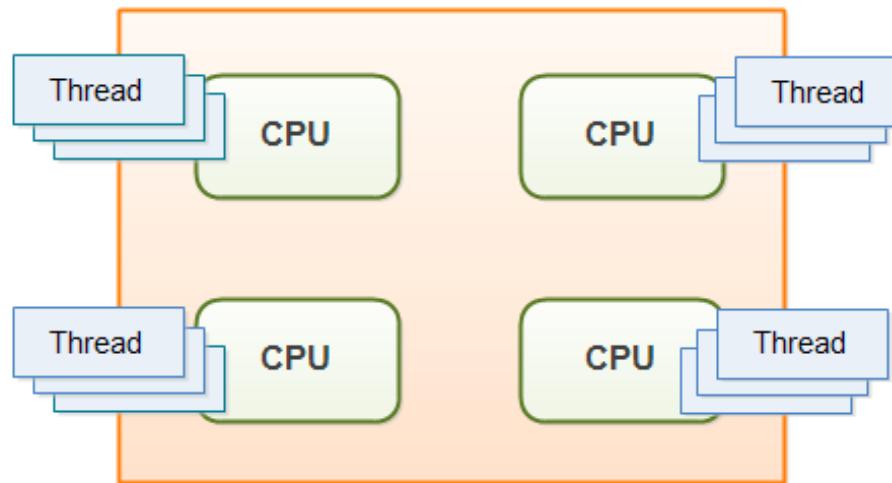


# To avoid race condition

- Principles:

2. No assumptions are made about speeds or numbers of CPUs

Thread could have varied speeds

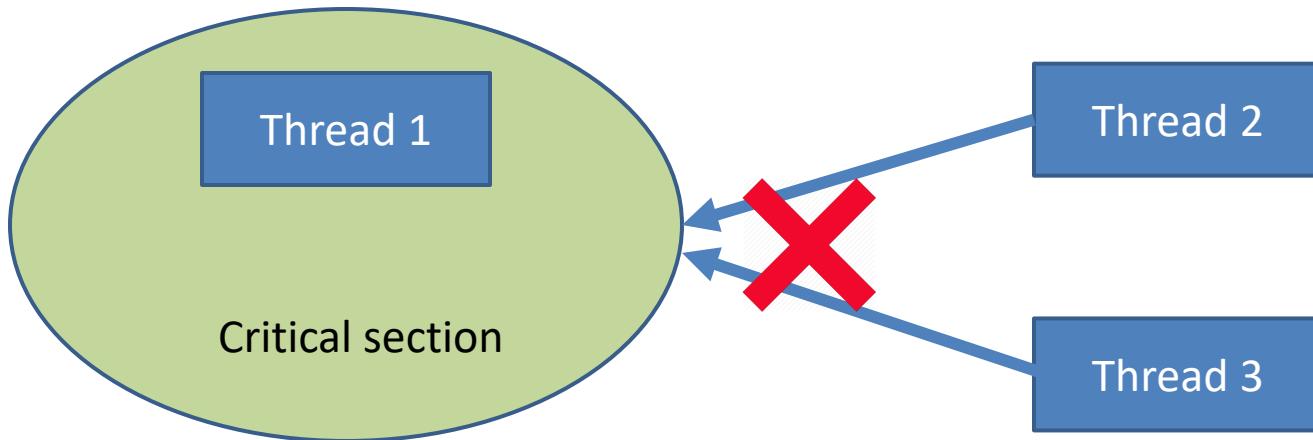


Thread could exist at each core

# To avoid race condition

- Principles:

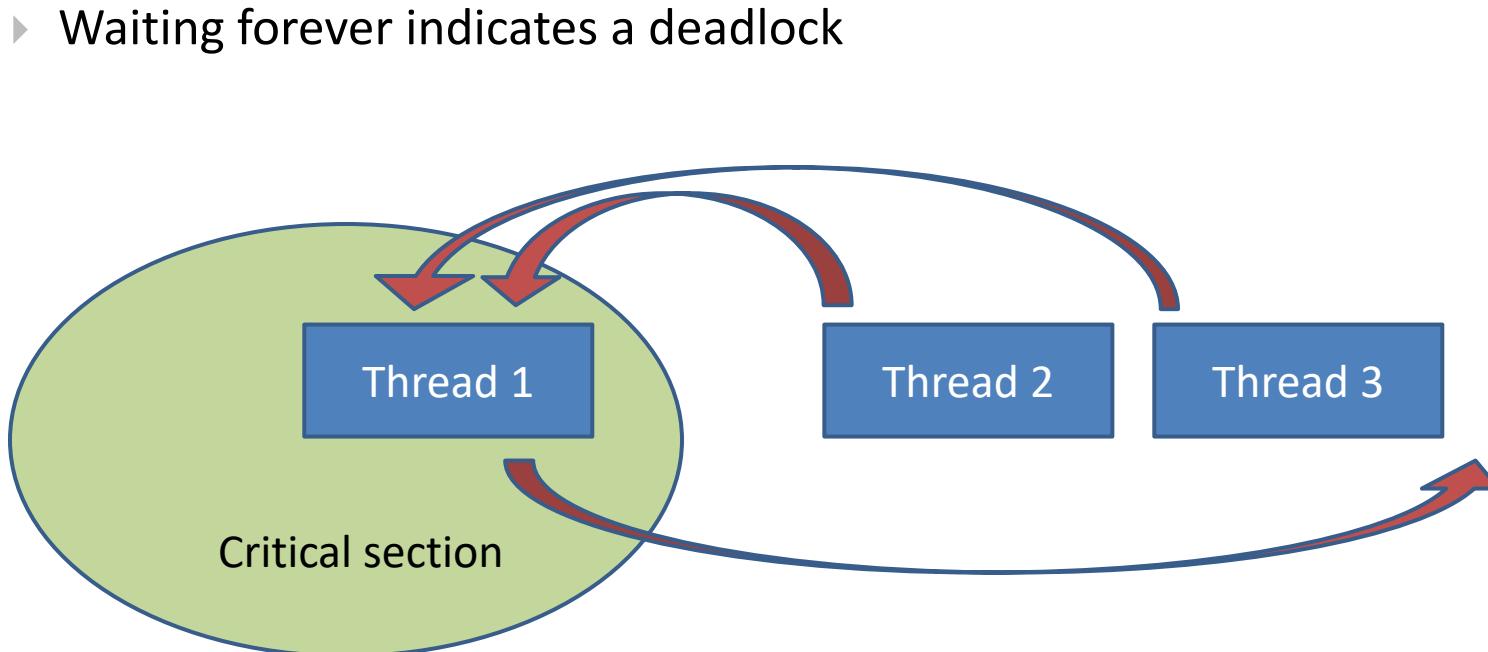
3. No process running outside its critical region may block another process running in the critical region



# To avoid race condition

- Principles:

- 4. No process must wait forever to enter its critical region



# To avoid race condition

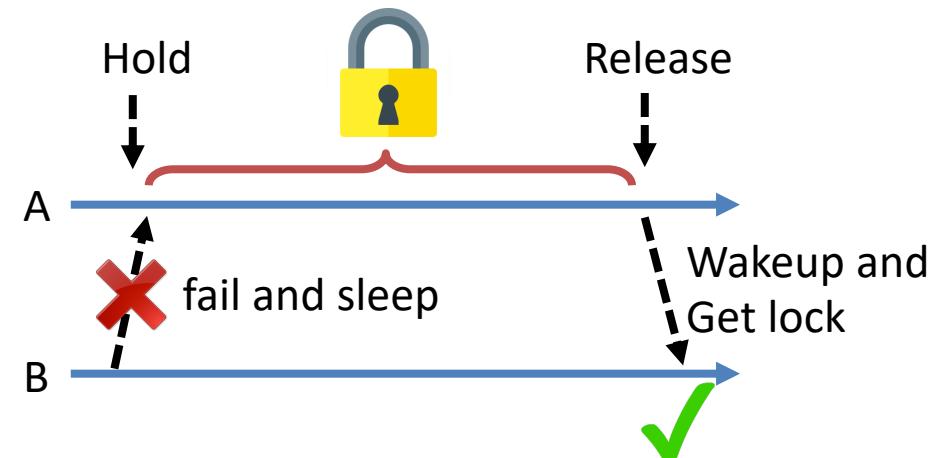
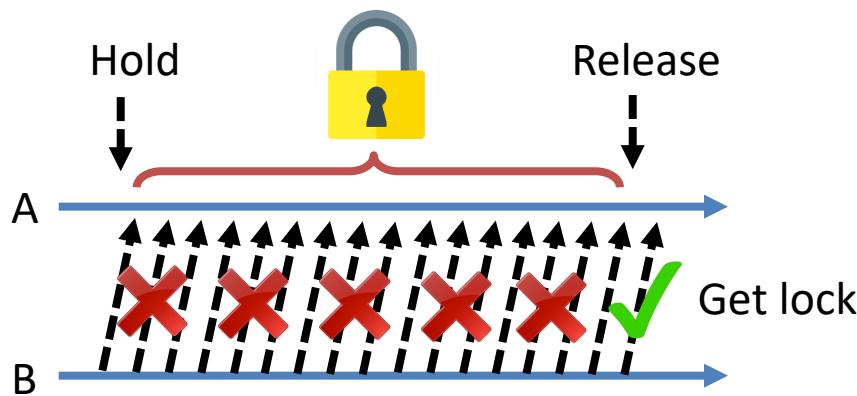
- Principles:
  1. No two processes are simultaneously in the critical region
  2. No assumptions are made about speeds or numbers of CPUs
- (1) and (2) are enforced by the operating system's implementation of locks
  - Programmers assume that locks satisfy (1) and (2)
- (3) and (4) have to be ensured by the programmer using the locks.
  - OS cannot enforce these.

OS lock



# Lock (mutual exclusion)

- A lock (mutual exclusion) is a synchronization mechanism for enforcing limits on access to a resource in an environment where there are many threads of execution
- Types of mutual mechanism:
  - Busy-waiting, e.g., spinlock
  - Sleep and wakeup



# 1, Spinlock: A busy-waiting lock implementation

- Don't block. Instead, constantly poll the lock for availability.
- Usage: small critical region

- Advantage

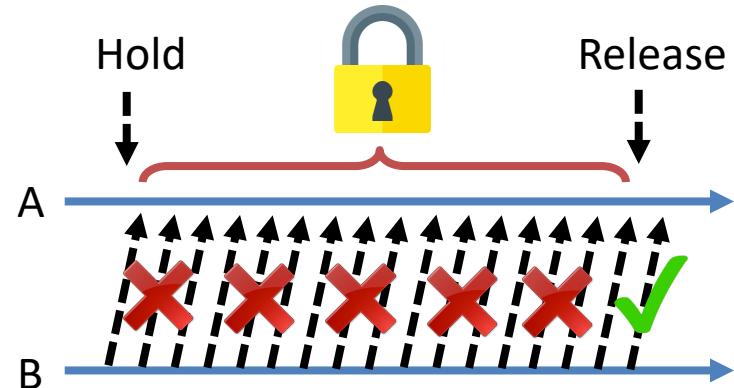
- Very efficient with short critical sections
    - ▶ if you expect a lock to be released quickly

- Disadvantage

- Doesn't yield the CPU and burns CPU cycles
    - ▶ Bad if critical sections are long.
  - Efficient only if machine has multiple CPUs.
    - ▶ Counterproductive on uniprocessor machines

```
while (lock is unavailable)
    continue; // try again
return success;
```

```
SpinLock(resource);
Execute Critical Section;
SpinUnlock(resource);
```



# Spinlock example

<https://github.com/kevinsuo/CS7172/blob/master/spinlock.c>

```
#include <stdio.h>
#include <stdlib.h>
#include <pthread.h>

int counter = 0;
static pthread_spinlock_t slock;

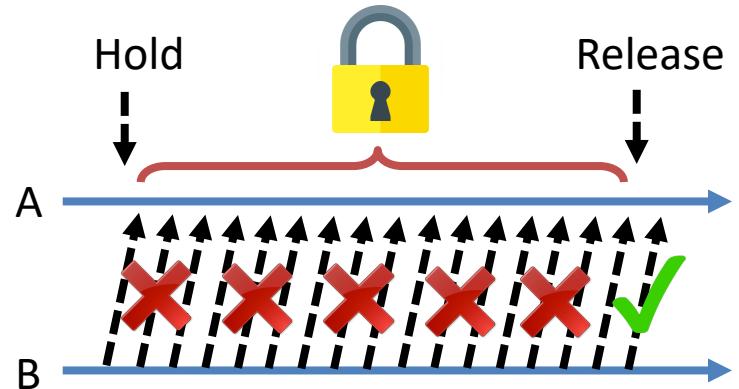
void *compute()
{
    int i = 0;
    pthread_spin_lock(&slock);
    while (i < 10000) {
        counter = counter + 1;
        i++;
    }
    pthread_spin_unlock(&slock);
    printf("Counter value: %d\n", counter);
}

int main()
{
    pthread_t thread1, thread2, thread3, thread4, thread5;

    pthread_create(&thread1, NULL, compute, (void *)&thread1);
    pthread_create(&thread2, NULL, compute, (void *)&thread2);
    pthread_create(&thread3, NULL, compute, (void *)&thread3);
    pthread_create(&thread4, NULL, compute, (void *)&thread4);
    pthread_create(&thread5, NULL, compute, (void *)&thread5);

    pthread_exit(NULL);
    exit(0);
}
```

```
pi@raspberrypi ~/Downloads> ./spinlock.o
Counter value: 10000
Counter value: 30000
Counter value: 20000
Counter value: 40000
Counter value: 50000
```



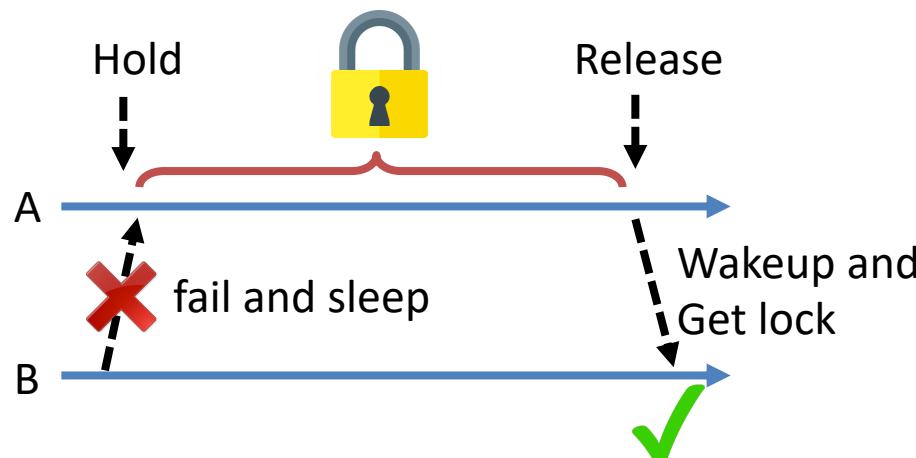
# Other mutual exclusion with busy waiting

---

- Disabling interrupts:
  - OS technique, not users'
- Lock variables:
  - Test-and-set lock (TSL) is a two-step process, not atomic
- Peterson's algorithm
  - Does not need atomic operation and mainly used in user space application

## 2, Mutex lock: : A sleep-and-wakeup lock implementation

- A variable that can be in one of two states: unlocked or locked
- Mutex is used as a LOCK around critical sections



Example:  
Lock(mutex)  
CriticalSection...  
Unlock(mutex)

Pro:

Better cpu utilization

Con:

Overhead on entering sleep or wake up  
Not suited for short duration of lock acquisition

# Mutex lock example

<https://github.com/kevinsuo/CS7172/blob/master/mutexlock.c>

```
#include <stdio.h>
#include <stdlib.h>
#include <pthread.h>

int counter = 0;
static pthread_mutex_t mlock;

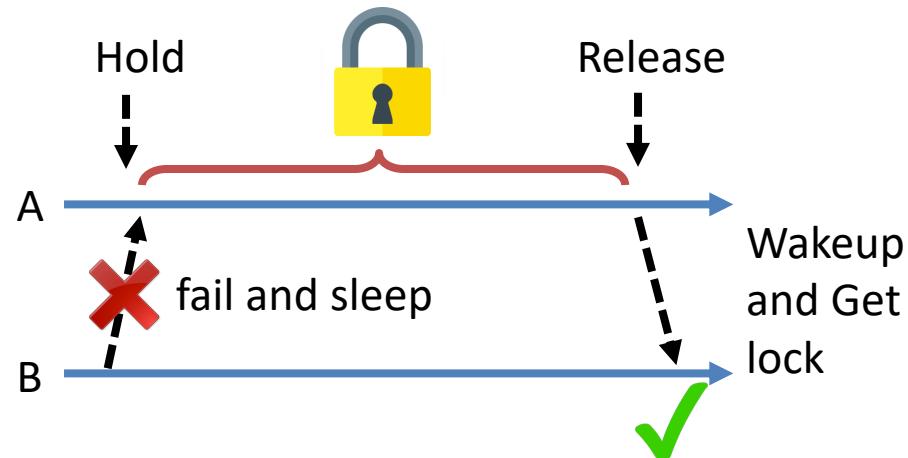
void *compute()
{
    int i = 0;
    pthread_mutex_lock(&mlock);
    while (i < 10000) {
        counter = counter + 1;
        i++;
    }
    pthread_mutex_unlock(&mlock);
    printf("Counter value: %d\n", counter);
}

int main()
{
    pthread_t thread1, thread2, thread3, thread4, thread5;

    pthread_create(&thread1, NULL, compute, (void *)&thread1);
    pthread_create(&thread2, NULL, compute, (void *)&thread2);
    pthread_create(&thread3, NULL, compute, (void *)&thread3);
    pthread_create(&thread4, NULL, compute, (void *)&thread4);
    pthread_create(&thread5, NULL, compute, (void *)&thread5);

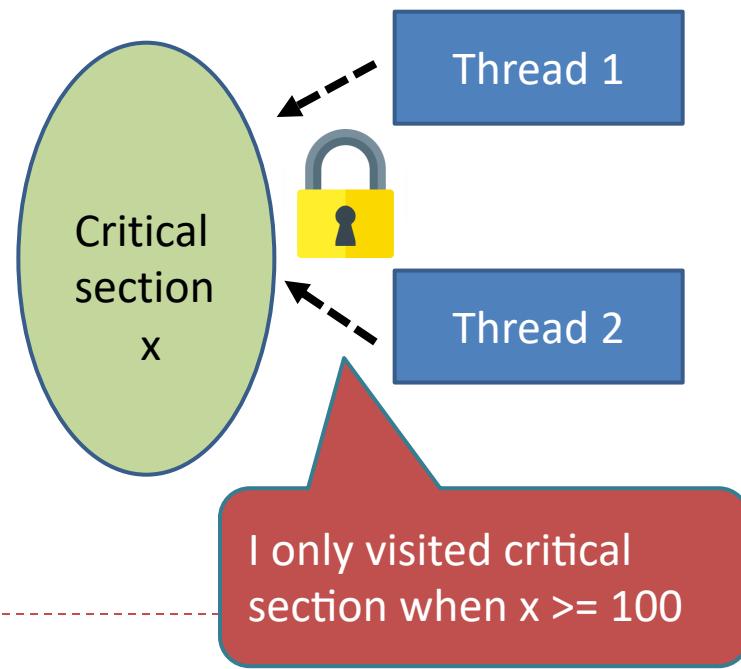
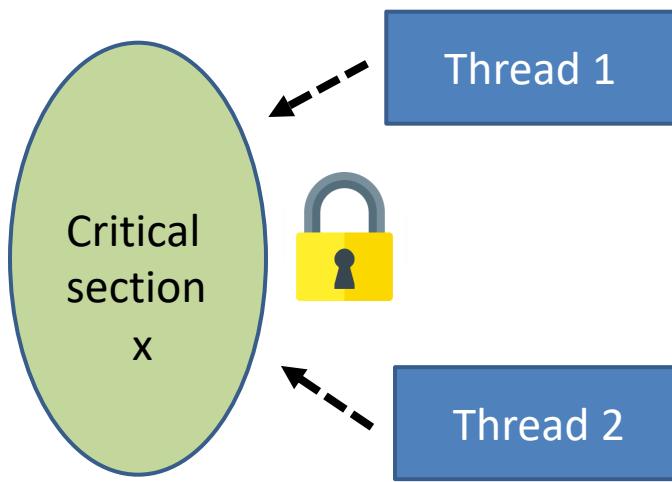
    pthread_exit(NULL);
    exit(0);
}
```

```
pi@raspberrypi ~/Downloads> ./mutexlock.o
Counter value: 10000
Counter value: 20000
Counter value: 30000
Counter value: 40000
Counter value: 50000
```



### 3. Mutex lock with conditions

- Mutex locks solve the competition problem of multiple threads accessing the same global variable under the shared memory space. **(without conditions)**
- How about competition **with condition** variables?



# Mutex lock with conditions

- How about competition **with condition variables**?
  - Example: T1: increase x every time;
  - T2: when x is larger than 99, then set x to 0;

```
//thread 1:  
  
while(true)  
{  
    pthread_mutex_lock(&mutex);  
    iCount++;  
    pthread_mutex_unlock(&mutex);  
}
```

```
//thread 2:  
while(true)  
{  
    pthread_mutex_lock(&mutex);  
    if(iCount >= 100)  
    {  
        iCount = 0;  
    }  
    pthread_mutex_unlock(&mutex);  
}
```

T2 needs to:  
lock;  
determine;  
unlock;  
every time to check

# Condition variable

- How about competition **with condition variables?**
  - Example: T1: increase x every time;
  - T2: when x is larger than 99, then set x to 0;

```
//thread1 :  
while(true)  
{  
    pthread_mutex_lock(&mutex);  
    iCount++;  
    pthread_mutex_unlock(&mutex);  
  
    pthread_mutex_lock(&mutex);  
    if(iCount >= 100)  
    {  
        [ pthread_cond_signal(&cond);  
    }  
    pthread_mutex_unlock(&mutex);  
}
```

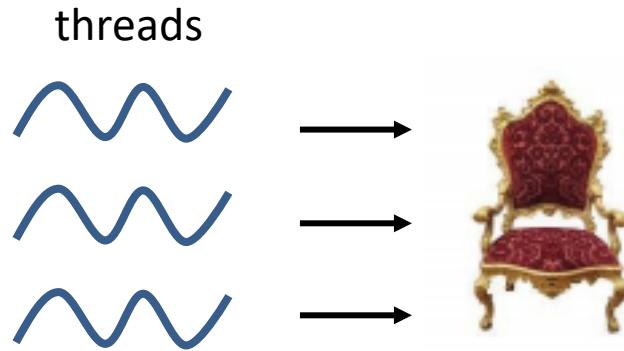
```
//thread2:  
while(1)  
{  
    pthread_mutex_lock(&mutex);  
    while(iCount < 100)  
    {  
        [ pthread_cond_wait(&cond, &mutex);  
    }  
    printf("iCount >= 100\r\n");  
    iCount = 0;  
    pthread_mutex_unlock(&mutex);  
}
```

When T2 executes here:

- 1 : release mutex
- 2 : blocked here
- 3 : when waked, get mutex and execute

# Mutex and semaphore

Mutex = 0 or 1



Sem = 0/1/2/3



# 4. Semaphore

- Semaphore is a fundamental synchronization primitive used for
  - Locking around critical regions
  - Inter-process synchronization
- A semaphore “sem” is a special integer on which only two operations can be performed.
  - DOWN(sem)
  - UP(sem)

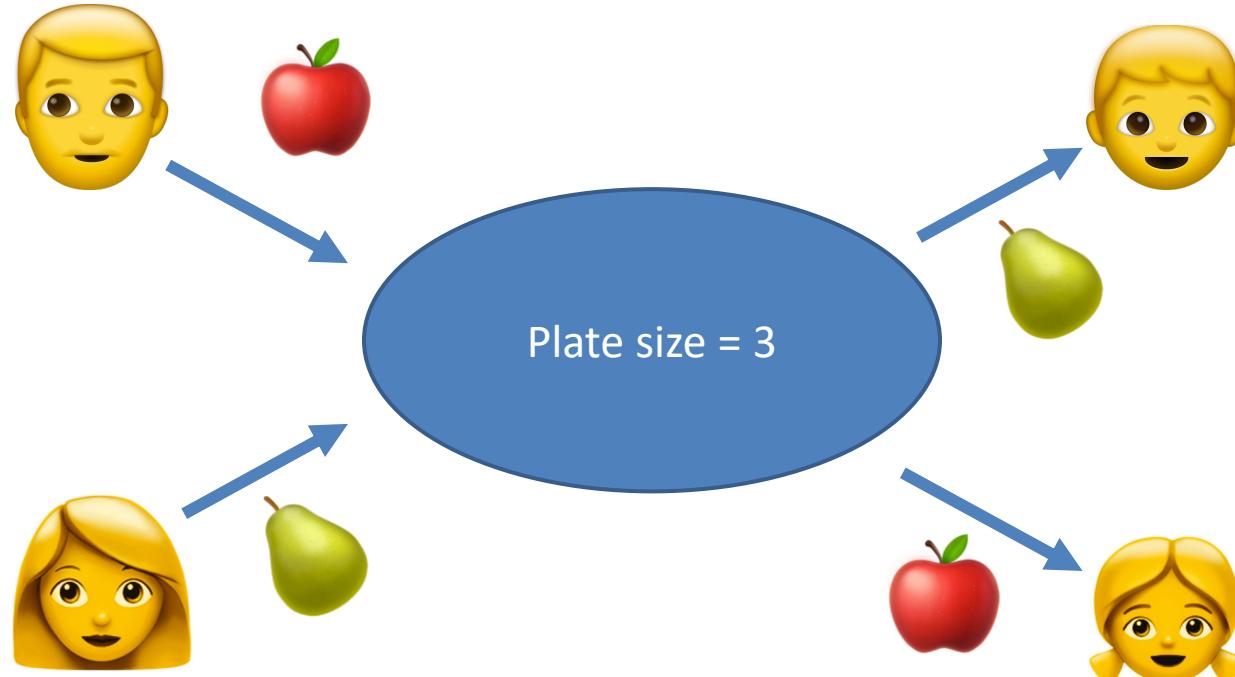


# Semaphore

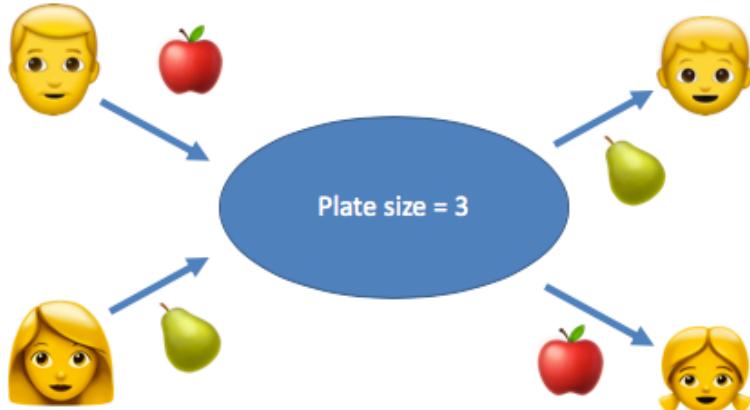
- Down operation (P; request):
  - Checks if a semaphore is  $> 0$ ,  $\text{sem--}$ 
    - ▶ Request one unit resource and one process enters
  - if a semaphore  $\leq 0$ , wait and sleep
- Up operation (V; release)
  - $\text{sem++}$ 
    - ▶ Release one unit resource and one process leaves



# Semaphore example



# Semaphore example



- **Semaphore:**

- Son: whether there is pear,  $s_1$
- Daughter: whether there is apple,  $s_2$
- Father/Mother: whether there is space,  $s_3$

Father thread:  
peel apple  
 $P(s_3)$   
put apple  
 $V(s_2)$

Mother thread:  
peel pear  
 $P(s_3)$   
put apple  
 $V(s_1)$

Son thread:  
 $P(s_1)$   
get pear  
 $V(s_3)$   
eat pear

Daughter thread:  
 $P(s_2)$   
get apple  
 $V(s_3)$   
eat apple

# Semaphore example

- Semaphore:

- Son: whether there is pear, s1
- Daughter: whether there is apple, s2
- Father/Mother: whether there is space, s3

Father thread:

    peel apple  
    P(s3)  
    put apple  
    V(s2)

Daughter thread:

    P(s2)  
    get apple  
    V(s3)  
    eat apple

```
void *father(void *arg) {
    while(1) {
        sleep(5); //simulate peel apple
        P(s3) [sem_wait(&remain)];
        sem_wait(&mutex);
        nremain--;
        napple++;
        sem_post(&mutex);
        V(s2) [sem_post(&apple)];
    }
}
```

```
void *daughter(void *arg) {
    while(1) {
        P(s2) [sem_wait(&apple)];
        sem_wait(&mutex);
        nremain++;
        napple--;
        sem_post(&mutex);
        V(s3) [sem_post(&remain)];
        sleep(10); //simulate eat apple
    }
}
```

[https://github.com/kevinsuo/CS7172/  
blob/master/semaphore.c](https://github.com/kevinsuo/CS7172/blob/master/semaphore.c)

# Semaphore example

```
pi@raspberrypi ~/Downloads> ./semaphore.o
father 🧑 before put apple, remain=3, apple🍎=0, pear🍐=0
father 🧑 after  put apple, remain=2, apple🍎=1, pear🍐=0

daughter👩 before eat apple, remain=2, apple🍎=1, pear🍐=0
daughter👩 after  eat apple, remain=3, apple🍎=0, pear🍐=0

mother 🧑 before put pear , remain=3, apple🍎=0, pear🍐=0
mother 🧑 after  put pear , remain=2, apple🍎=0, pear🍐=1

son   🧑 before eat pear , remain=2, apple🍎=0, pear🍐=1
son   🧑 after  eat pear , remain=3, apple🍎=0, pear🍐=0

father 🧑 before put apple, remain=3, apple🍎=0, pear🍐=0
father 🧑 after  put apple, remain=2, apple🍎=1, pear🍐=0

mother 🧑 before put pear , remain=2, apple🍎=1, pear🍐=0
mother 🧑 after  put pear , remain=1, apple🍎=1, pear🍐=1

daughter👩 before eat apple, remain=1, apple🍎=1, pear🍐=1
daughter👩 after  eat apple, remain=2, apple🍎=0, pear🍐=1

father 🧑 before put apple, remain=2, apple🍎=0, pear🍐=1
father 🧑 after  put apple, remain=1, apple🍎=1, pear🍐=1

son   🧑 before eat pear , remain=1, apple🍎=1, pear🍐=1
son   🧑 after  eat pear , remain=2, apple🍎=1, pear🍐=0

mother 🧑 before put pear , remain=2, apple🍎=1, pear🍐=0
mother 🧑 after  put pear , remain=1, apple🍎=1, pear🍐=1

father 🧑 before put apple, remain=1, apple🍎=1, pear🍐=1
father 🧑 after  put apple, remain=0, apple🍎=2, pear🍐=1

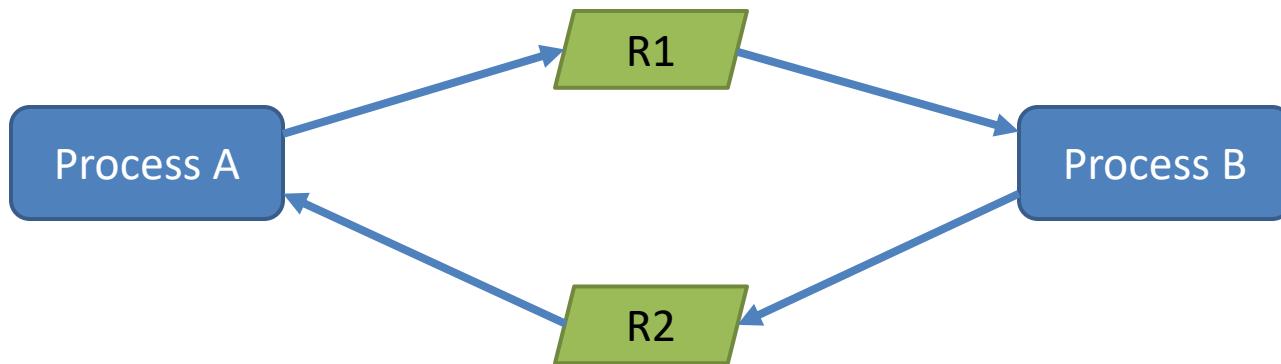
daughter👩 before eat apple, remain=0, apple🍎=2, pear🍐=1
daughter👩 after  eat apple, remain=1, apple🍎=1, pear🍐=1
```

gcc -pthread semaphore.c  
-o semaphore.o

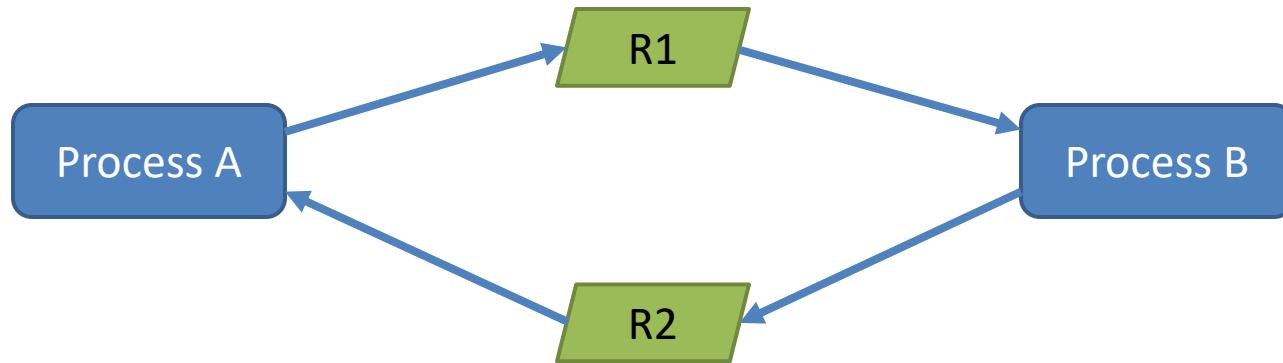
[https://youtu.be/ZIW  
wvcuROME](https://youtu.be/ZIWwvcuROME)

# Deadlocks

- When two or more processes stop making progress indefinitely because they are **all waiting for each other** to do something.
  - If process A waits for process B to release a resource, and
  - Process B is waiting for process A to release another resource at the same time.
  - In this case, neither A nor B can proceed because both are waiting for the other to proceed.



# Deadlock example



Thread 1

```
pthread_mutex_lock(&m1);  
/* use resource 1 */  
pthread_mutex_lock(&m2);  
/* use resources 1 and 2 */  
do_something();  
pthread_mutex_unlock(&m2);  
pthread_mutex_unlock(&m1);
```

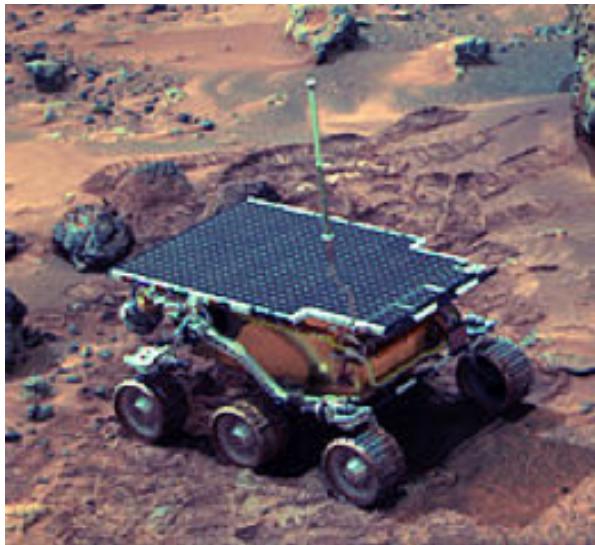


Thread 2

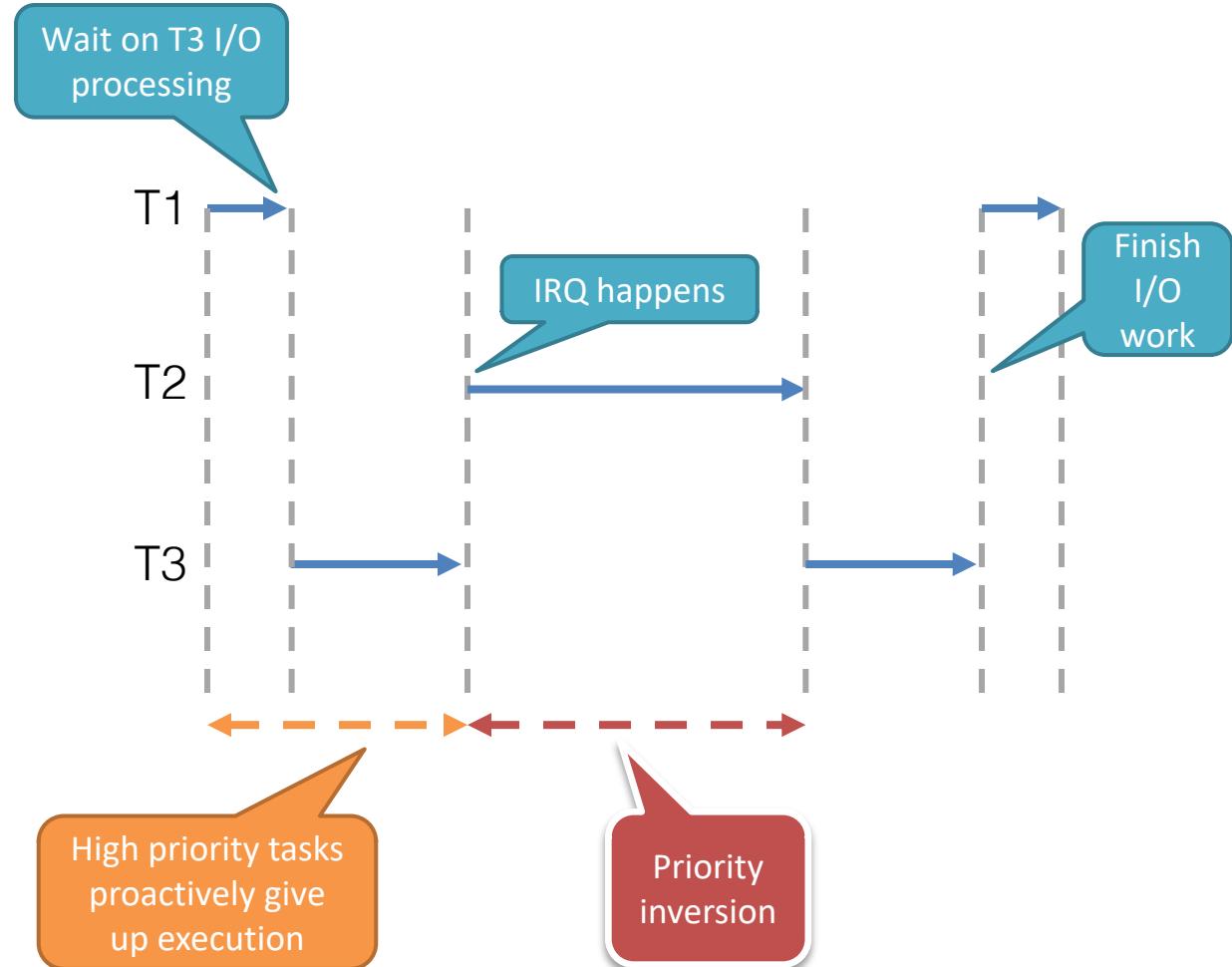
```
pthread_mutex_lock(&m2);  
/* use resource 2 */  
pthread_mutex_lock(&m1);  
/* use resources 1 and 2 */  
do_something();  
pthread_mutex_unlock(&m1);  
pthread_mutex_unlock(&m2);
```

# Priority Inversion

Priority:  $T_1 > T_2 > T_3$



1997/07/04 Pathfinder  
→ Mars



# Priority Inversion

---

- Say there are three processes using priority based scheduling.
  - Ph – High priority
  - Pm – Medium priority
  - Pl – Low priority
- Pl acquires a lock L
- Pl starts executing critical section
- Ph tries to acquire lock L and blocks
- Pm becomes “ready” and preempts Pl from the CPU.
- Pl might never exit critical section if Pm keeps preempting Pl
  - So Ph might never enter critical section
- Problem: Priority Inversion
  - A high priority process Ph is blocked waiting for a low priority process Pl
  - Pl cannot proceed because a medium priority process Pm is executing
- Solution: Priority Inheritance
  - Temporarily increase the priority of Pl to HIGH PRIORITY
  - Pl will be scheduled and will exit critical section quickly
  - Then Ph can execute.



# Conclusion

---

- Concurrency and synchronization
  - Execution models
  - Race condition
  - Critical section
- Mutual exclusion
  - Spinlock
  - Mutex lock
  - Semaphore
  - Deadlock and priority inversion

