

# CS 3502

# Operating Systems

## Thread

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<https://kevinsuo.github.io/>

# Outline

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- What is thread?
  - Multiple thread application
  - Thread vs Process
  - Advantage and disadvantage of thread
- Thread in Linux
- Thread design
  - Kernel space vs User space
  - Local thread vs Global thread scheduling



# Process review

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- Definition
  - An instance of a *program* running on a computer
  - An *abstraction* that supports running programs - -> cpu virtualization
  - An *execution stream* in the context of a particular *process state* - -> dynamic unit
  - A *sequential* stream of execution in its *own address space* - -> execution code line by line



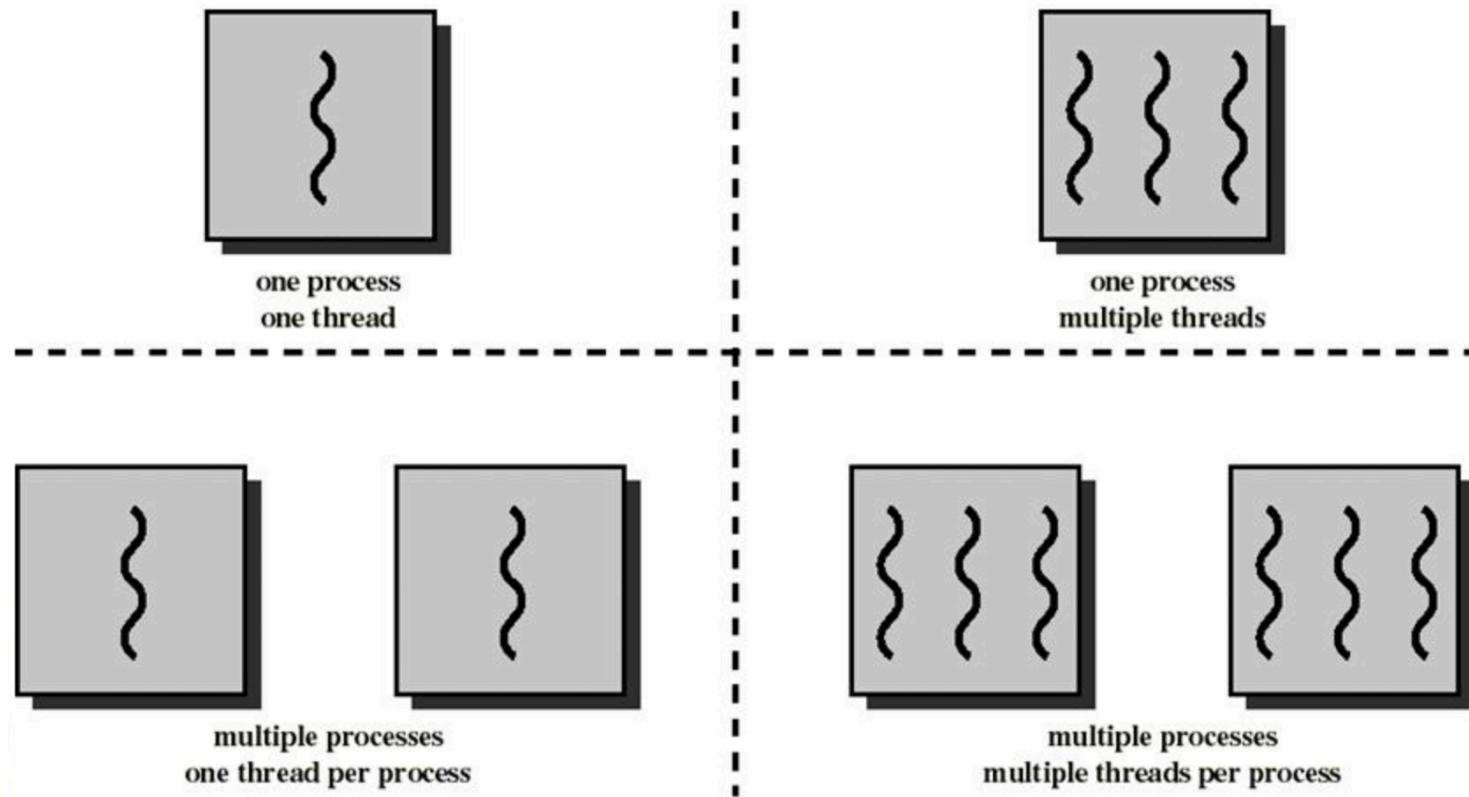
# What is a thread?

---

- Thread
  - A finer-granularity entity for execution and parallelism
  - Lightweight process
  - A program in execution without dedicated address space
- Multithreading
  - Running multiple threads within a single process



# Finer-granularity entity



Process : thread = 1: N



# What is a thread?

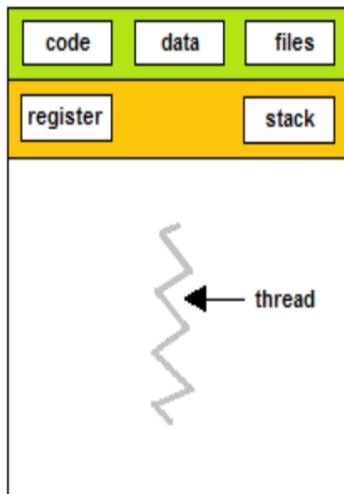
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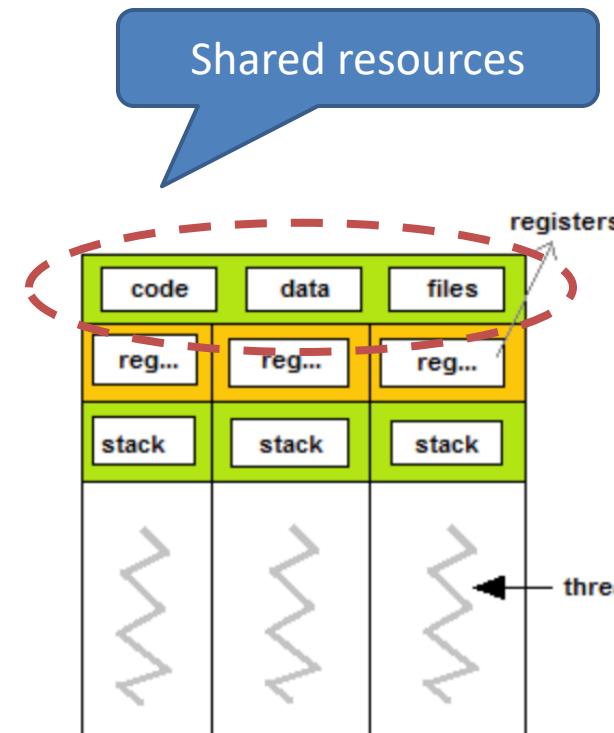


# Lightweight process

Occupy more memory,  
complex switching (e.g., save old data, switch to new data),  
low CPU utilization (e.g., slow context switch)



Three processes



Three threads

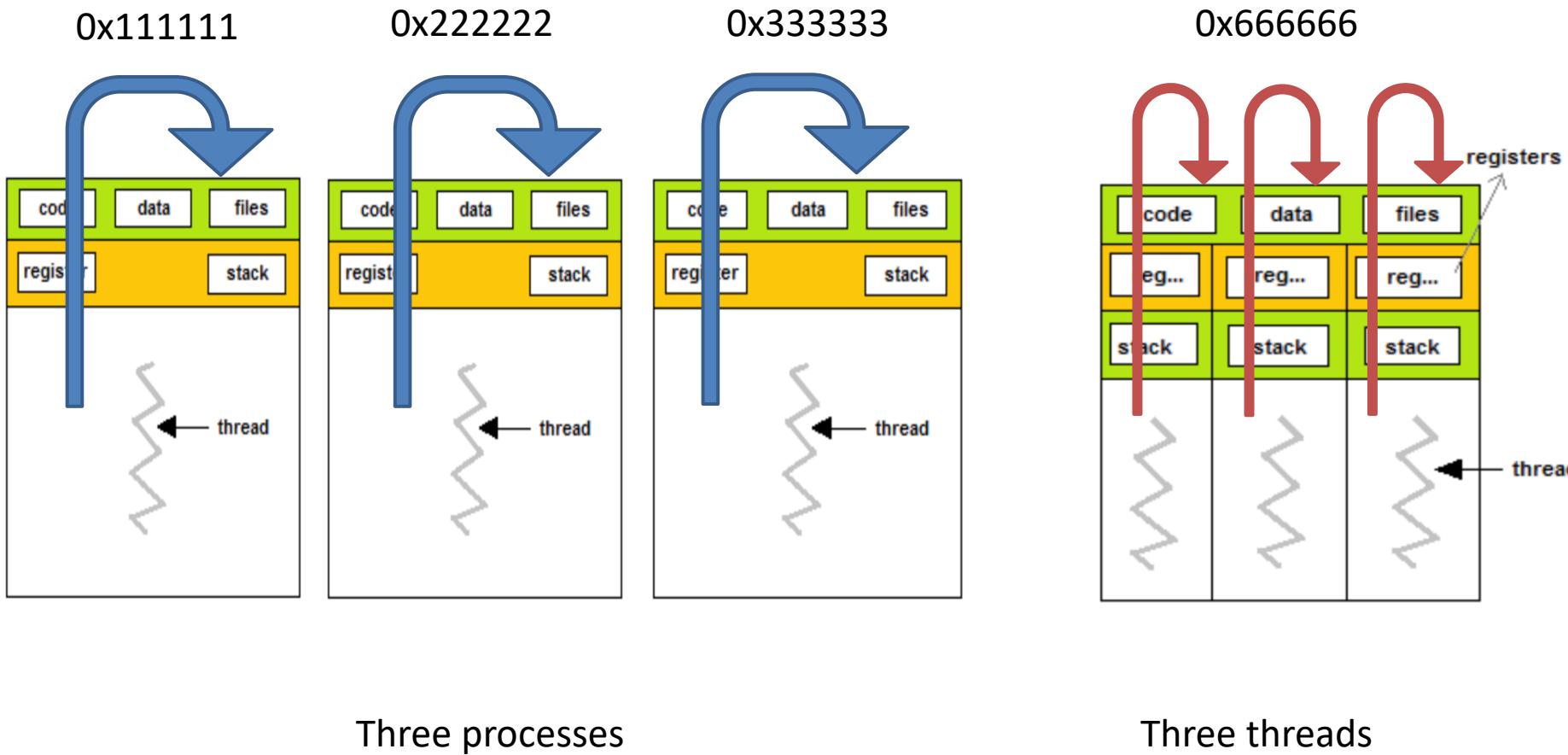
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# Dedicated address space



Three processes

Three threads



# What is a thread?

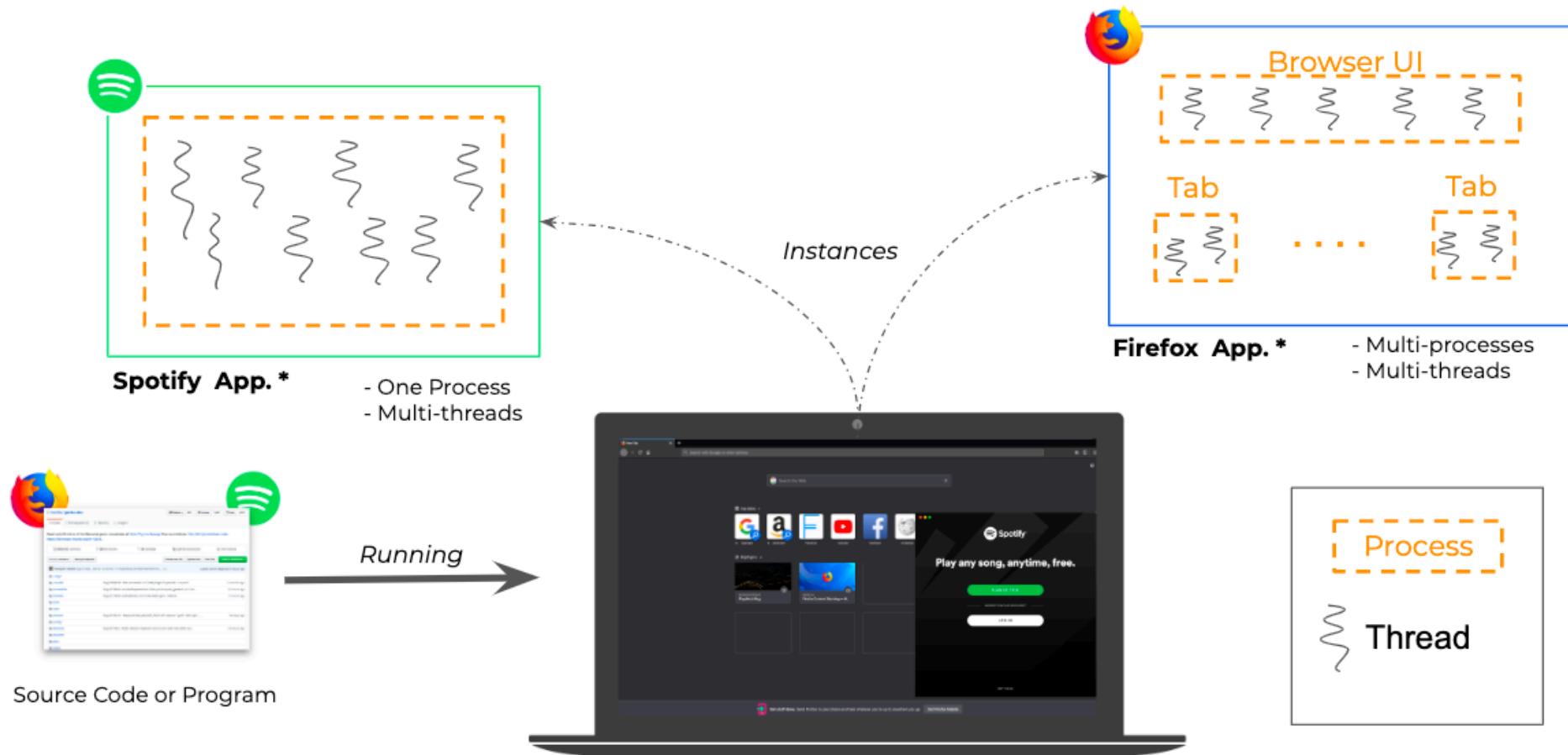
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# What is a thread? Multithreading apps

## Programs, Apps, Processes & Threads



\* this image may not reflect the reality for the show-cased apps

# What is a thread? Multithreading apps

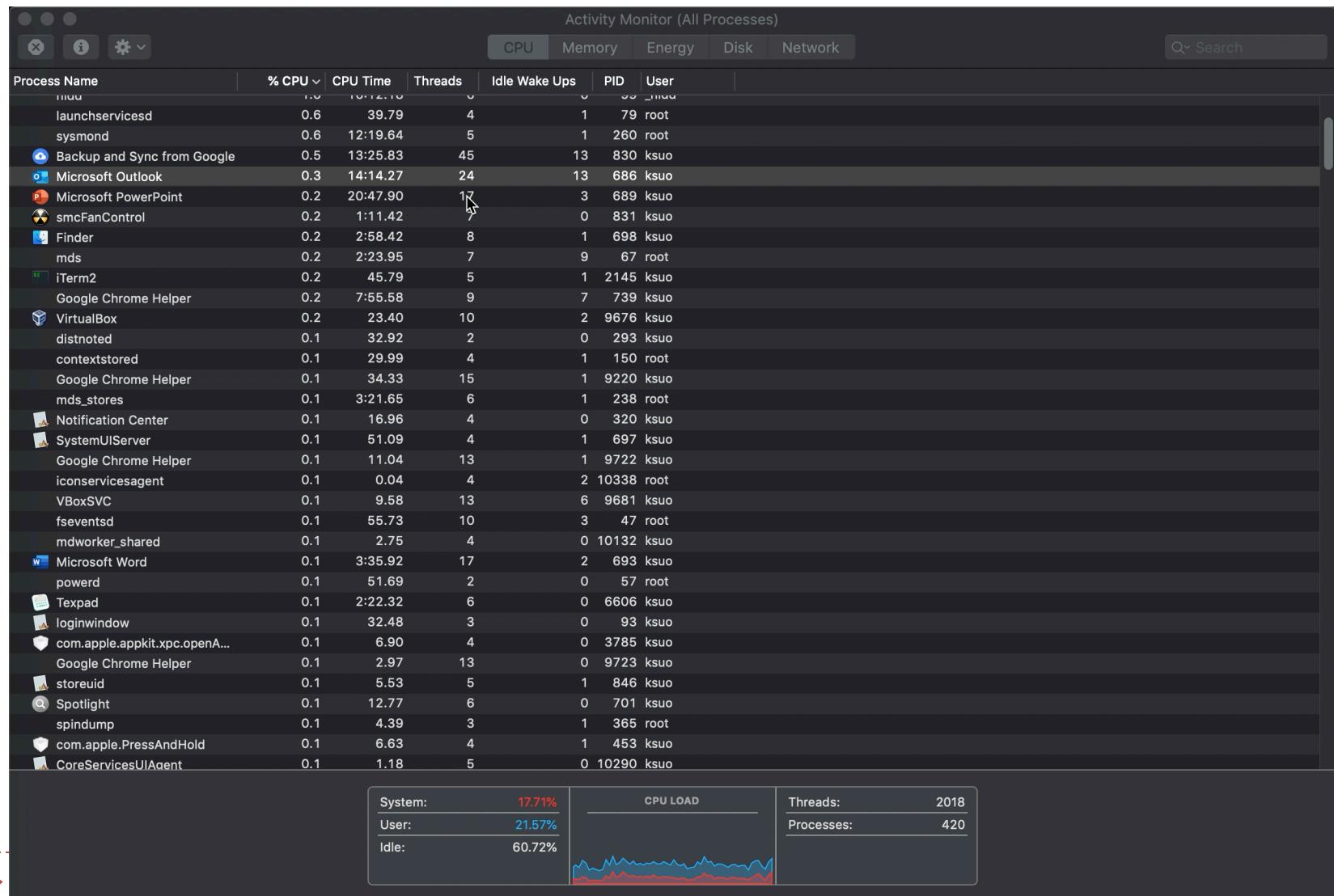
Activity Monitor (All Processes)

Process Name	% CPU	CPU Time	Threads	Idle Wake Ups	PID	User
Activity Monitor	7.0	1:21.83	7	17	691	ksuo
hidd	3.8	13:18.76	5	1	99	_hidd
coreaudiod	1.9	1:44.86	6	55	151	_coreaudiod
Google Chrome	1.3	37:38.24	32	4	683	ksuo
sysmond	0.9	9:24.21	3	1	260	root
launchd	0.8	2:04.06	2	1	1	root
Dock	0.7	30.94	3	0	696	ksuo
iconservicesagent	0.6	12.97	5	0	351	ksuo
Google Chrome Helper	0.6	30.03	8	19	1951	ksuo
Microsoft Outlook	0.4	12:19.10	23	16	686	ksuo
Backup and Sync from Google	0.4	7:55.60	46	17	830	ksuo
Google Chrome Helper	0.2	5:56.29	8	5	739	ksuo
欧路词典	0.2	26.01	8	3	740	ksuo
Microsoft PowerPoint	0.2	7:24.65	19	5	689	ksuo
powerd	0.1	40.42	2	1	57	root
Google Chrome Helper	0.1	6.01	14	1	9082	ksuo
Finder	0.1	1:57.51	7	1	698	ksuo
mds	0.1	1:58.49	8	3	67	root
fseventsds	0.0	43.58	10	3	47	root
launchservicesd	0.0	29.57	4	0	79	root
mds_stores	0.0	2:51.27	5	0	238	root
smcFanControl	0.0	54.43	7	1	831	ksuo
Java Web Start	0.0	24.50	12	2	56	root

System: 8.14% CPU LOAD User: 12.30% Threads: 2105 Idle: 79.56% Processes: 519

# Multithreading apps

<https://youtu.be/Me0mL44TxW0>



# Example 1: A word process with three threads

Four score and seven years ago, our fathers brought forth upon this continent a new nation, conceived in liberty, and dedicated to the proposition that all men are created equal. Now we are engaged in a great civil war, testing whether that nation, or any nation so conceived and so dedicated, can long endure. We are met on a great battlefield of that war.

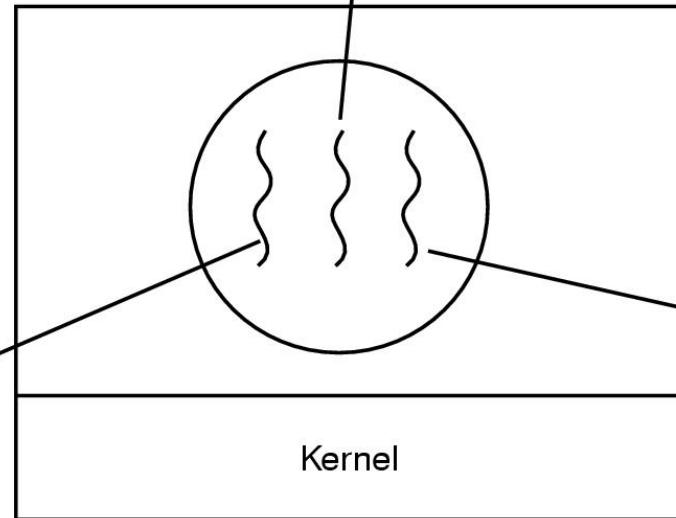
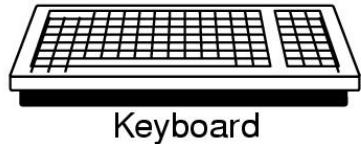
We have come to dedicate a portion of that field as a final resting place for those who here gave their lives that this nation might live. It is altogether fitting and proper that we should do this.

But, in a larger sense, we cannot dedicate, we cannot consecrate, we cannot hallow this ground. The brave men, living and dead, who struggled here, have consecrated it, far above our poor power to add or detract. The world will little note, nor long remember, what we say here, but it can never forget what they did here. It is for us the living, rather, to be dedicated here to the unfinished work which they who fought here have thus far so nobly advanced. It is rather for us to be here dedicated to the great task remaining before us, that from these honored dead we take increased devotion to that cause for which they gave the last full measure of devotion, that we here highly resolve that these dead shall not have died in vain that this nation, under God, shall have a new birth of freedom, and that government of the people, by the people, for the people, shall not perish from the earth.

Second thread handles screen display

Why not multiple processes ?

First thread handles keyboard input

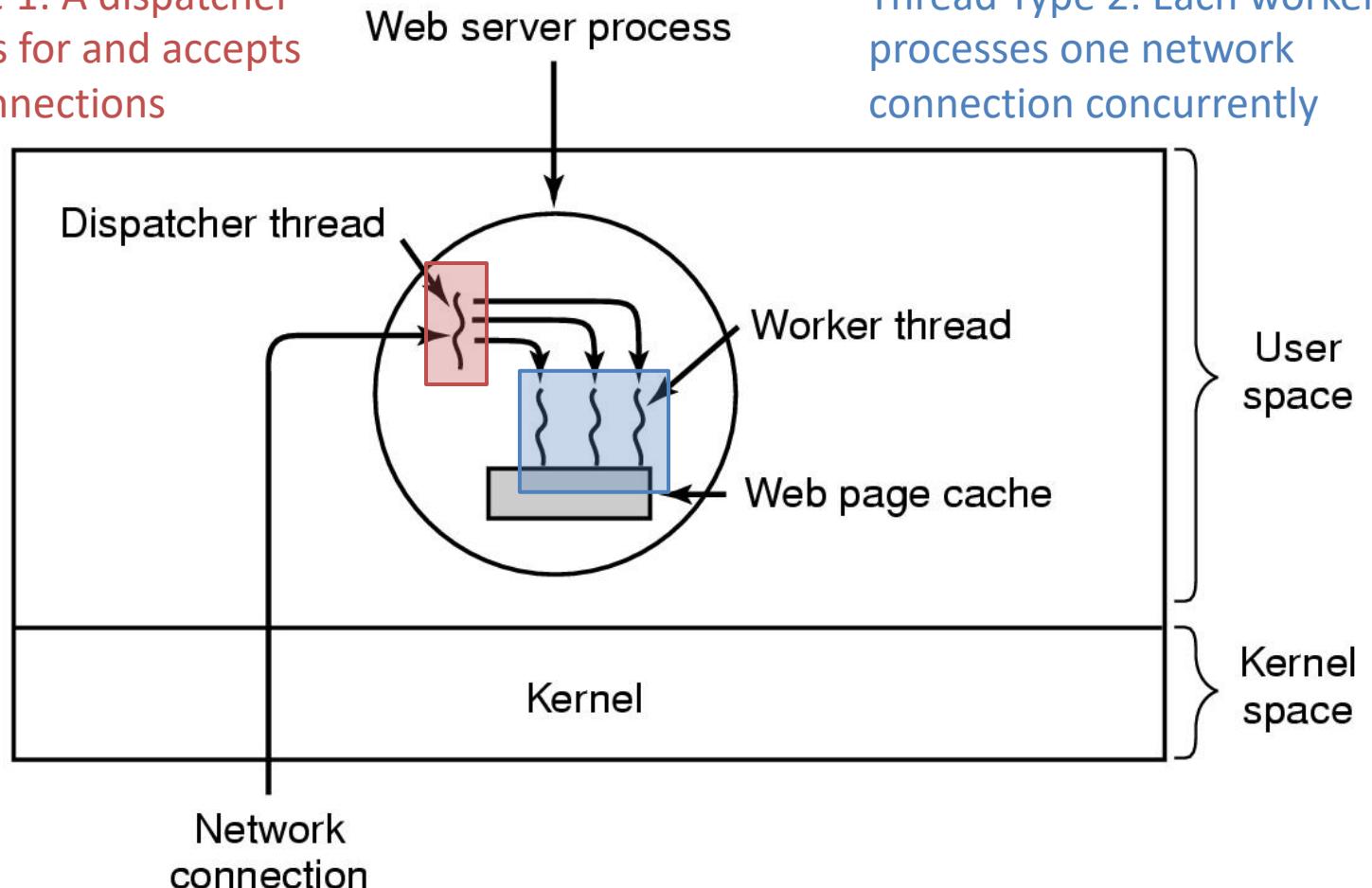


Third thread handles saving the document to disk

A word process with three threads.

# Example 2: a multi-threaded web server

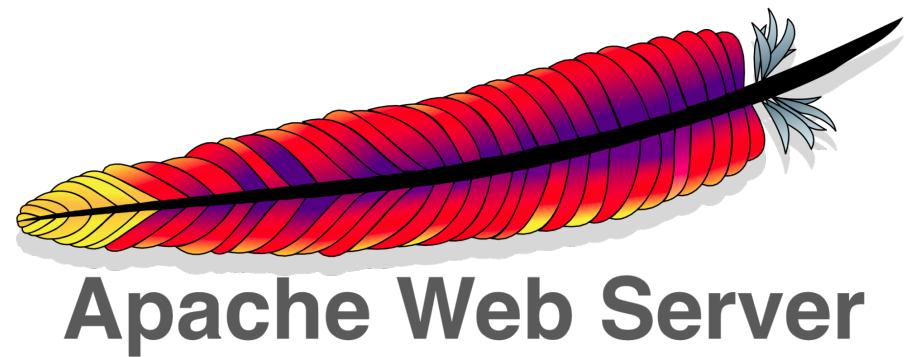
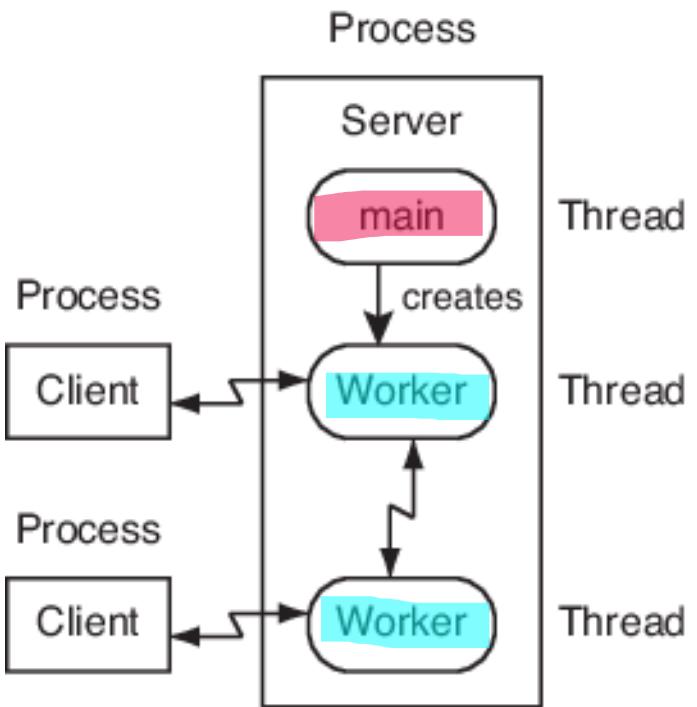
Thread Type 1: A dispatcher thread waits for and accepts network connections



A multithreaded Web server.

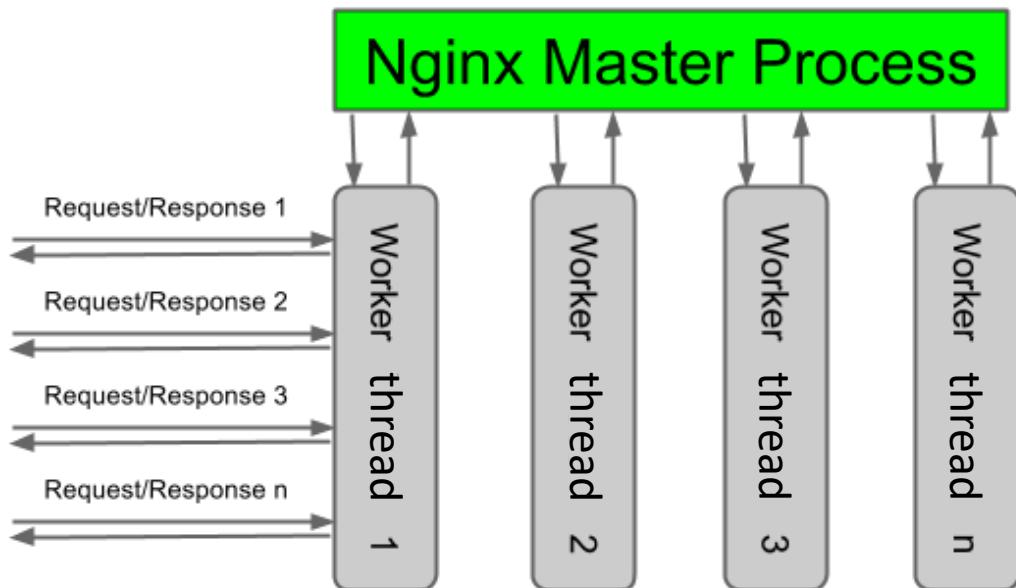


# Example 2: a multi-threaded web server



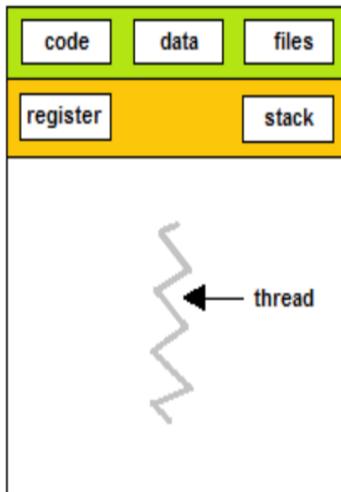
# Example 2: a multi-threaded web server

Single master process with “n” number of worker process

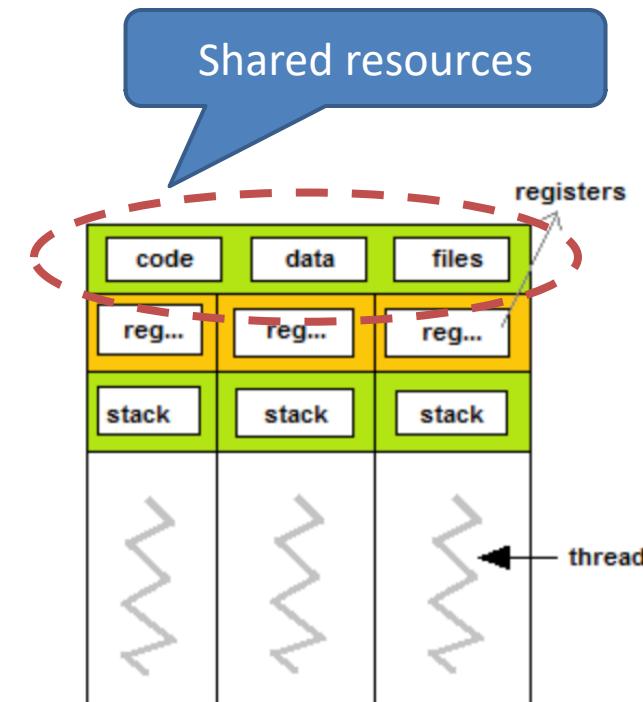
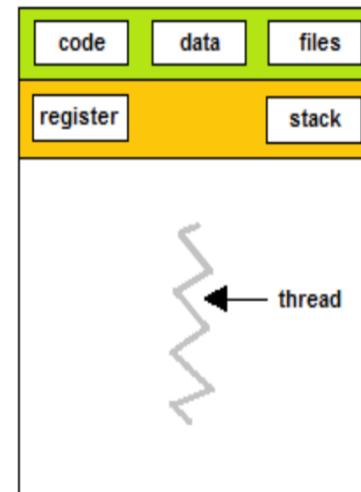
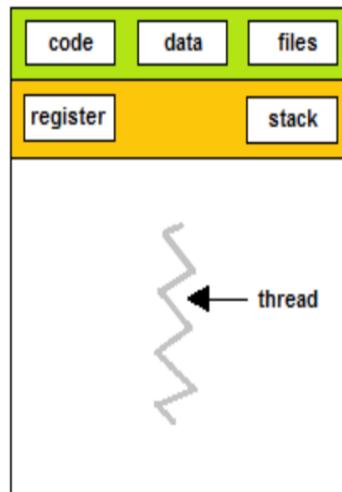


# Why not multiple processes ?

Occupy more memory,  
complex switching  
low CPU utilization  
Difficult to communicate  
Expensive data sharing



Three processes



Three threads



# Why multiple threads

- Good example from Wikipedia: multiple threads within a single process are like multiple cooks trying to prepare the same meal together.



- Each one is doing one thing.
- They are probably doing different things.
- They all share the same recipe but may be looking at different parts of it.
- They have private state but can communicate easily.
- They must coordinate!

# Outline

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- Thread in Linux
- Thread design
  - Kernel space vs User space
  - Local thread vs Global thread scheduling

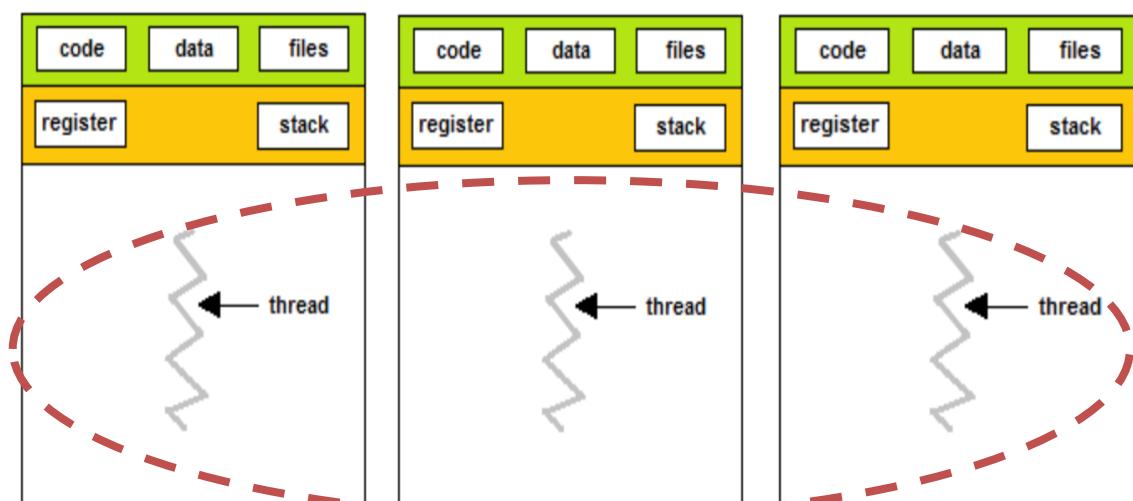


# Process vs. Thread comparison

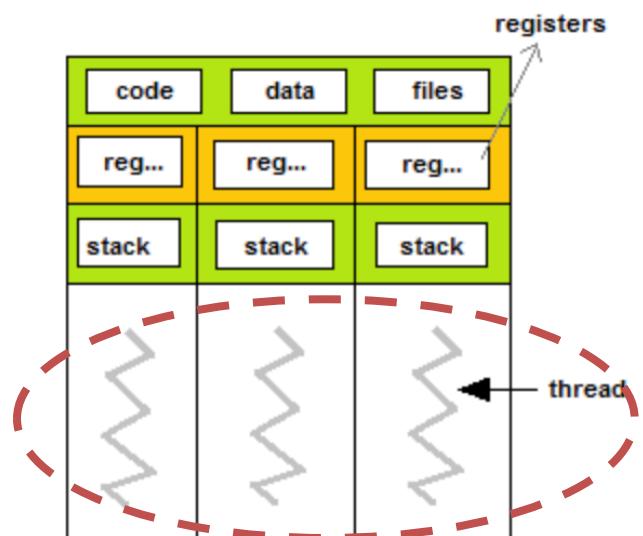
		Process	Thread
Similarity	Concurrency	?	?
	Protection	?	?
Difference	Data structure	?	?
	Performance	?	?



# Processes vs. Threads (Concurrency)



Three processes



Three threads

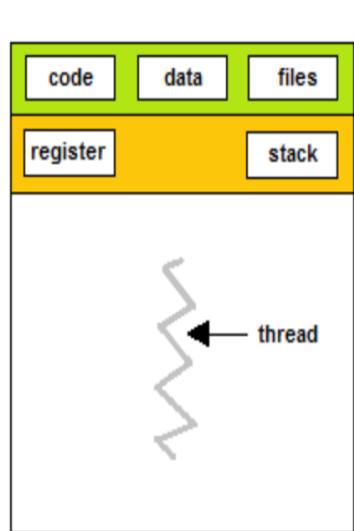


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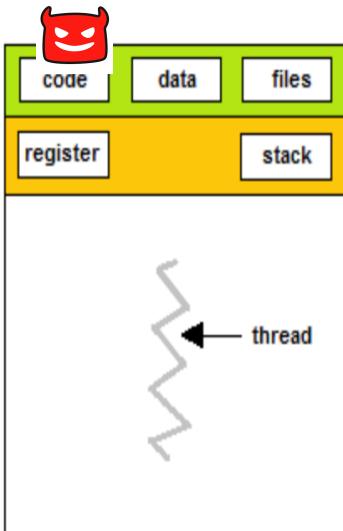
		Process	Thread
Similarity	Concurrency	✓ Parallel execution stream of instructions	✓ Maintain parallel execution stream of instructions
	Protection	?	?
Difference	Data structure	?	?
	Performance	?	?



# Processes vs. Threads (Protection)



Three processes



code  
data  
files

register

stack

register

stack

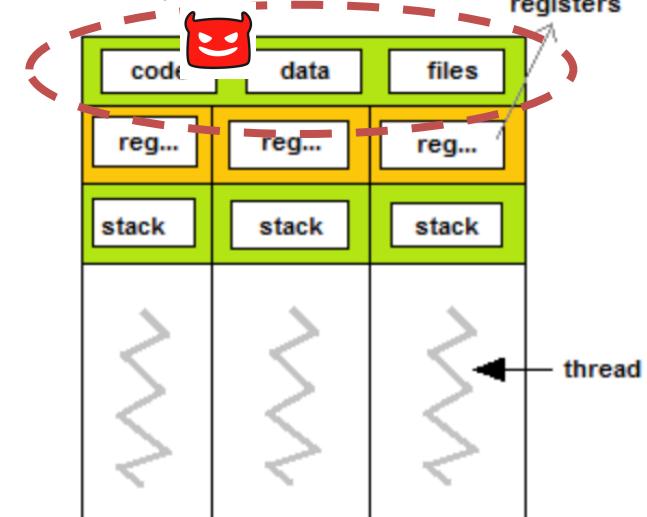
register

stack

thread



Shared resources



Three threads

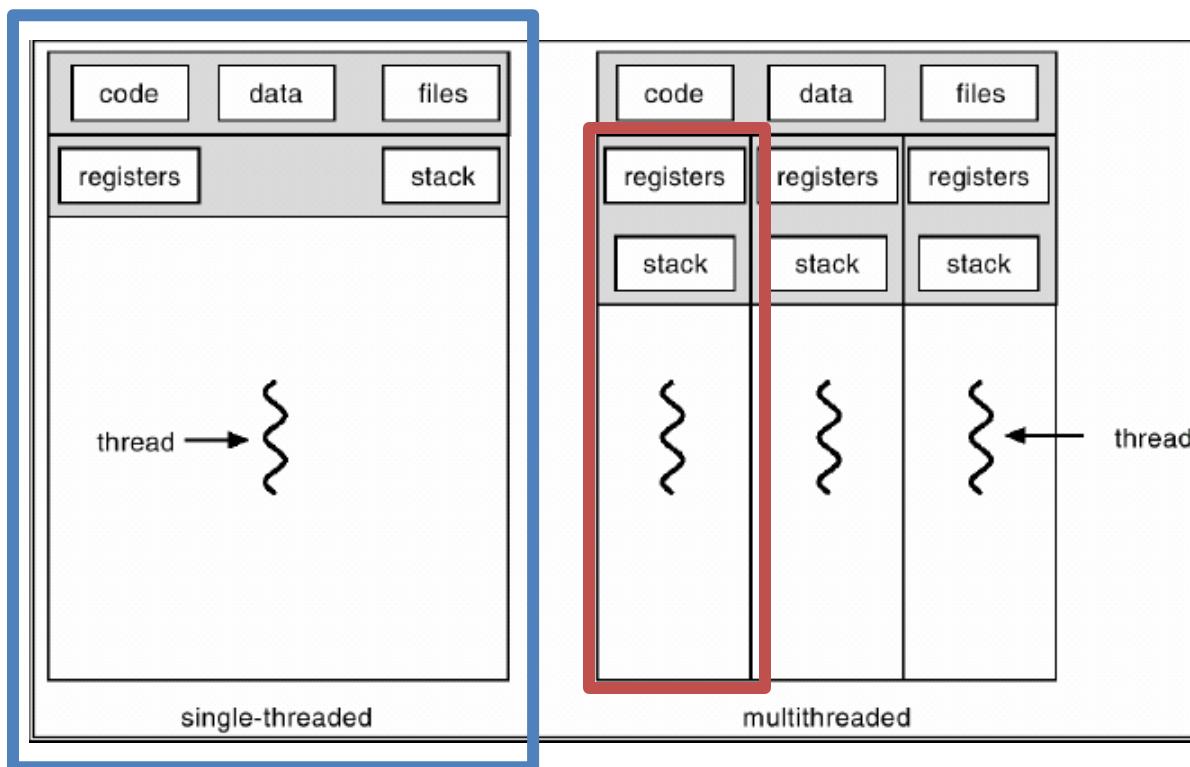


# Process vs. Thread comparison

		Process	Thread
Similarity	Concurrency	 Parallel execution stream of instructions	 Maintain parallel execution stream of instructions
	Protection	A dedicated address space	Share address space with other threads, less secure
Difference	Data structure	?	?
	Performance	?	?



# Processes vs. Threads (Data structure)



Process	Thread
Have data/code/heap	Share code, data, heap
Separate data structure	Multiple can coexist in a process
Have own address space, isolated from other processes	Only have own stack and registers

# Processes vs. Threads (Data structure)

Context	Process	Thread
File pointer	*	
Stack	*	*
Memory	*	
State	*	*
Priority	*	*
I/O state	*	
Authority	*	

Context	Process	Thread
Scheduling	*	
Statistics	*	
File description	*	
Read/Write pointer	*	
Event/Signal	*	
Registers	*	*

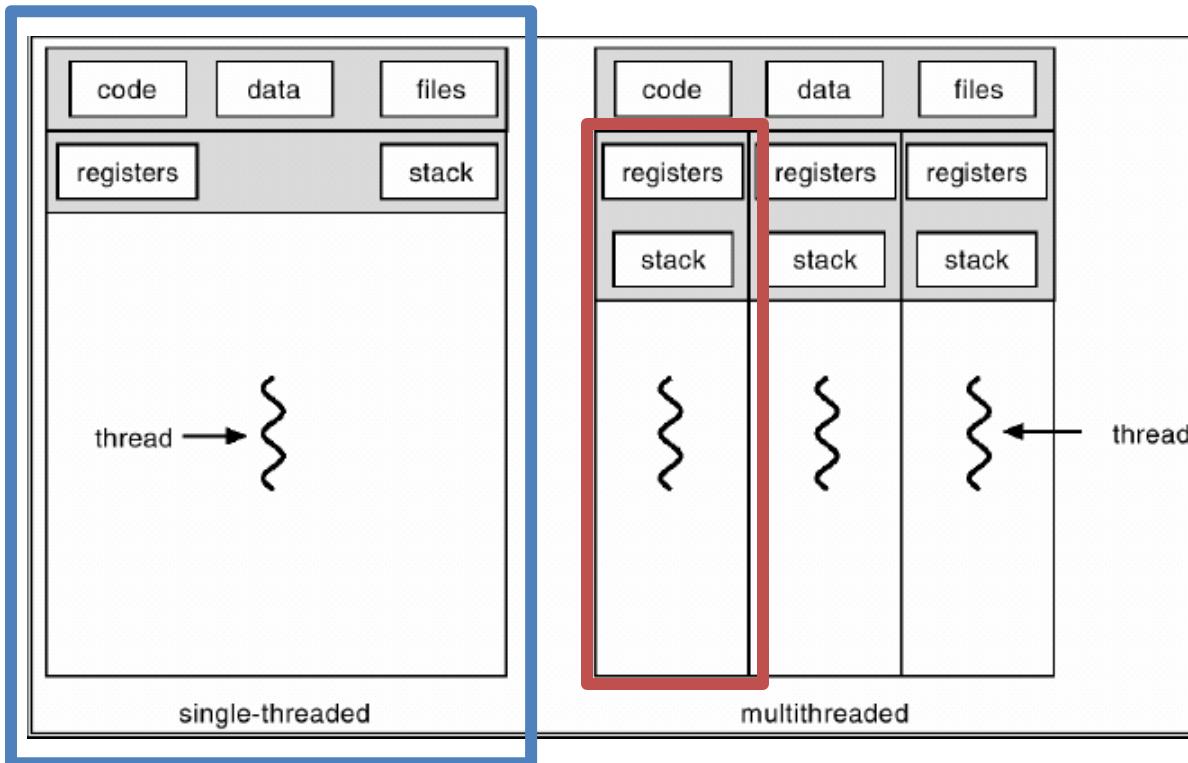


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	Protection	A dedicated address space	Share address space with other threads, less secure
Difference	Data structure	Have own data/code/heap/stack/register...	Share code, data, heap, Only have own stack and registers
	Performance	?	?



# Processes vs. Threads (Performance)

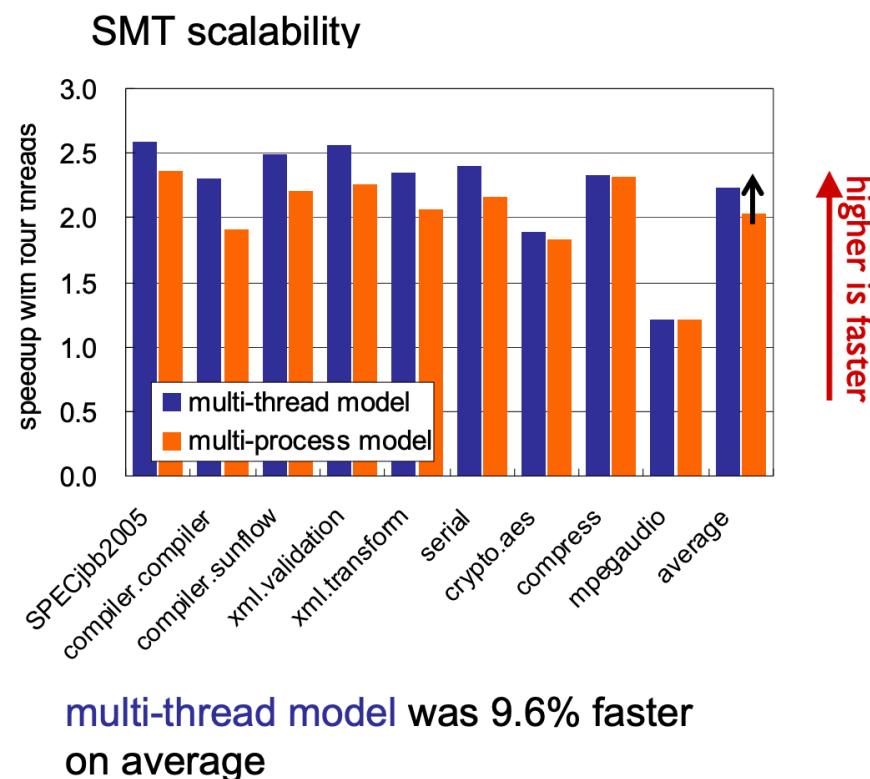


Process	Thread
Expensive to create	Inexpensive to create
Expensive context switching	Inexpensive context switching
IPC can be expensive	Efficient communication

# Paper: Performance of Multi-Process and Multi-Thread Processing on Multi-core SMT Processors

- Our results showed that both models (multi-process vs. multi-thread) achieved almost comparable performance, whereas the multi-thread model achieved much **better SMT scalability** and higher performance.

<https://pdfs.semanticscholar.org/d54/a215131c5eacd997708c5c4612345fe989c7.pdf>



# Process vs. Thread comparison

		Process	Thread
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Difference	Data structure	Have own data/code/heap/stack/register...	Share code, data, heap, Only have own stack and registers
	Performance	Expensive to create/ Context switch/ communication	Inexpensive to create/ Context switch/ communication

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# Advantages of Threads

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- Efficient creation
  - Only create the thread context
- Express concurrency
  - Lightweight, better performance, higher scalability
- Efficient communication
  - Communication can be carried out via shared data objects within the shared address space



# Disadvantages of Threads

---

- Shared data - -> Security
  - Global variables are shared between threads.
  - Accidental data changes can cause errors.
- Lack of robustness
  - Crash in one thread will crash the entire process.
- Some library functions may not be thread-safe
  - Library Functions that return pointers to static internal memory. E.g. `gethostbyname()`



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# Processes vs. Threads in Linux

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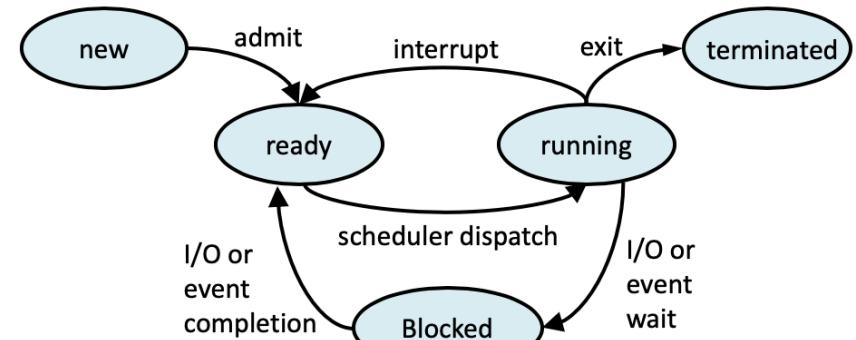
- On Mon, 5 Aug 1996, Peter P. Eiserloh wrote:  
*We need to keep a clear the concept of threads.  
Too many people seem to confuse a thread with  
a process. The following discussion does not  
reflect the current state of linux, but rather is an  
attempt to stay at a high level discussion.*

- <http://lkml.iu.edu/hypermail/linux/kernel/9608/0191.html>
- The way Linux thinks about this (and the way I want things to work) is that there \_is\_ no such thing as a “process” or a “thread”. There is only the totality of the COE (called "task" by Linux).



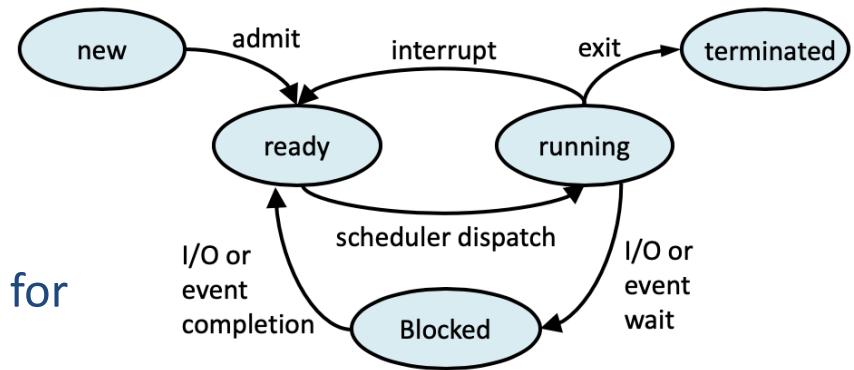
# Thread states (same as processes)

- Running:
  - executing instructions on a CPU core.
- Ready:
  - not executing instructions but capable of being restarted.
- Waiting, Blocked or Sleeping:
  - not executing instructions and not able to be restarted until some event occurs.
- New/Terminated



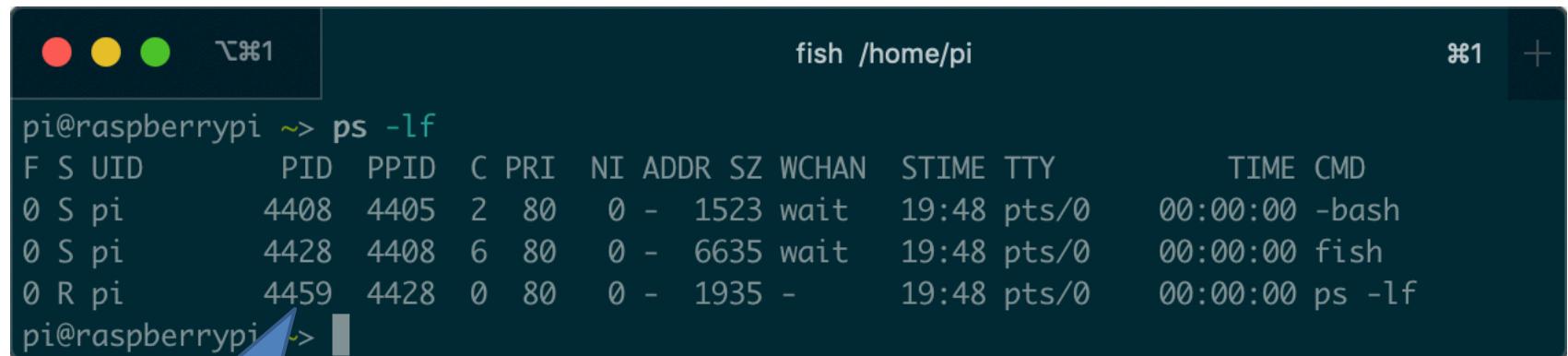
# Thread state transitions (same as processes)

- Running -> Ready:
  - a thread was descheduled.
- Running -> Waiting:
  - a thread was blocked for I/O or waited for some events.
- Waiting -> Ready:
  - the event the thread was waiting for happened.
- Ready -> Running:
  - a thread was scheduled.
- Running -> Terminated:
  - a thread exited or hit a fatal exception.



# Thread ID (same as processes)

- Identifiers
  - pid: ID of the thread (Linux treats process and thread equally in management)



```
pi@raspberrypi ~> ps -lf
F S UID      PID  PPID   C PRI  NI ADDR SZ WCHAN  STIME TTY          TIME CMD
0 S pi        4408  4405   2 80    0 - 1523 wait    19:48 pts/0  00:00:00 -bash
0 S pi        4428  4408   6 80    0 - 6635 wait    19:48 pts/0  00:00:00 fish
0 R pi        4459  4428   0 80    0 - 1935 -       19:48 pts/0  00:00:00 ps -lf
pi@raspberrypi ~>
```

ID for one thread



# Thread creation: clone(), not fork()

- Create new threads in Linux
  - Use `clone()` to create threads instead of using `fork()`
  - `clone()` is usually not called directly but from some threading libraries, such as `pthread`.
  - <http://man7.org/linux/man-pages/man2/clone.2.html>

```
int main(int argc, char *argv[])
{
    char *stack;                                /* Start of stack buffer */
    char *stackTop;                             /* End of stack buffer */
    pid_t pid;
    struct utsname uts;

    if (argc < 2) {
        fprintf(stderr, "Usage: %s <child-hostname>\n", argv[0]);
        exit(EXIT_SUCCESS);
    }

    /* Allocate stack for child */

    stack = malloc(STACK_SIZE);
    if (stack == NULL)
        errExit("malloc");
    stackTop = stack + STACK_SIZE; /* Assume stack grows downward */

    /* Create child that has its own UTS namespace;
       child commences execution in childFunc() */

    pid = clone(childFunc, stackTop, CLONE_NEWUTS | SIGCHLD, argv[1]);
    if (pid == -1)
        errExit("clone");
    printf("clone() returned %ld\n", (long) pid);

    /* Parent falls through to here */
}
```



# Clone system call

- No. 56, <https://filippo.io/linux-syscall-table/>

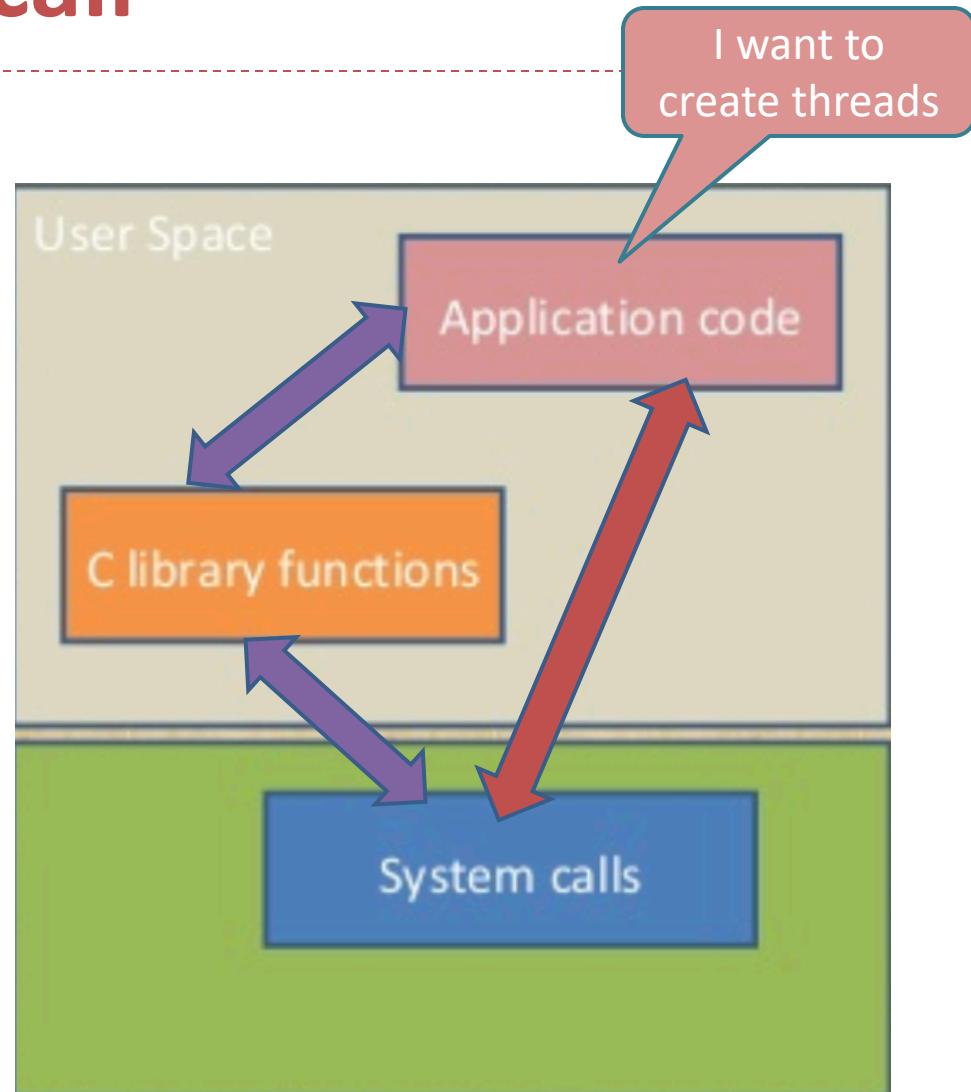
51	getsockname	sys_getsockname	<a href="#">net/socket.c</a>
52	getpeername	sys_getpeername	<a href="#">net/socket.c</a>
53	socketpair	sys_socketpair	<a href="#">net/socket.c</a>
54	setsockopt	sys_setsockopt	<a href="#">net/socket.c</a>
55	getsockopt	sys_getsockopt	<a href="#">net/socket.c</a>
56	clone	stub_clone	<a href="#">kernel/fork.c</a>
57	fork	stub_fork	<a href="#">kernel/fork.c</a>
58	vfork	stub_vfork	<a href="#">kernel/fork.c</a>
59	execve	stub_execve	<a href="#">fs/exec.c</a>
60	exit	sys_exit	<a href="#">kernel/exit.c</a>
61	wait4	sys_wait4	<a href="#">kernel/exit.c</a>
62	kill	sys_kill	<a href="#">kernel/signal.c</a>



# Clone system call

Pthread\_create()  
in the user space

Clone()  
in the kernel



# Pthread Creation Example

```
#include <stdio.h>
#include <unistd.h>
#include <pthread.h>

void *myThread1(void)
{
    int i;
    for (i=0; i<3; i++)
    {
        printf("This is the 1st pthread.\n");
        sleep(1);
    }
}

int main()
{
    int ret=0;
    pthread_t id1;

    printf("This is main thread!\n");

    ret = pthread_create(&id1, NULL, (void*)myThread1, NULL);
    if (ret)
    {
        printf("Create pthread error!\n");
        return 1;
    }

    pthread_exit(NULL);

    return 0;
}
```

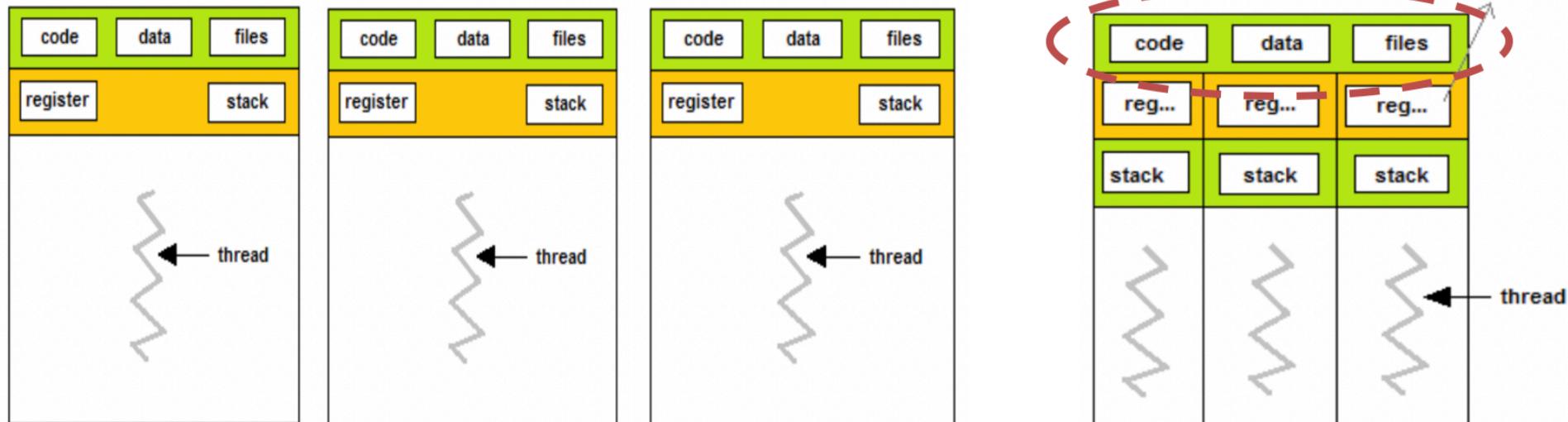
[https://github.com/kevinsuo/CS3502  
/blob/master/pthread\\_create.c](https://github.com/kevinsuo/CS3502/blob/master/pthread_create.c)

```
pi@raspberrypi ~/Downloads> ./test.o
This is main thread!
This is the 1st pthread.
This is the 1st pthread.
This is the 1st pthread.
```

Clone() in the kernel

# Fork vs clone

- Fork:
  - All resources in PCB are **copied** from parent process to child process
- Clone:
  - The resources in PCB are **partly copied** from one thread to another thread (the copied context is different in vfork)



# Outline

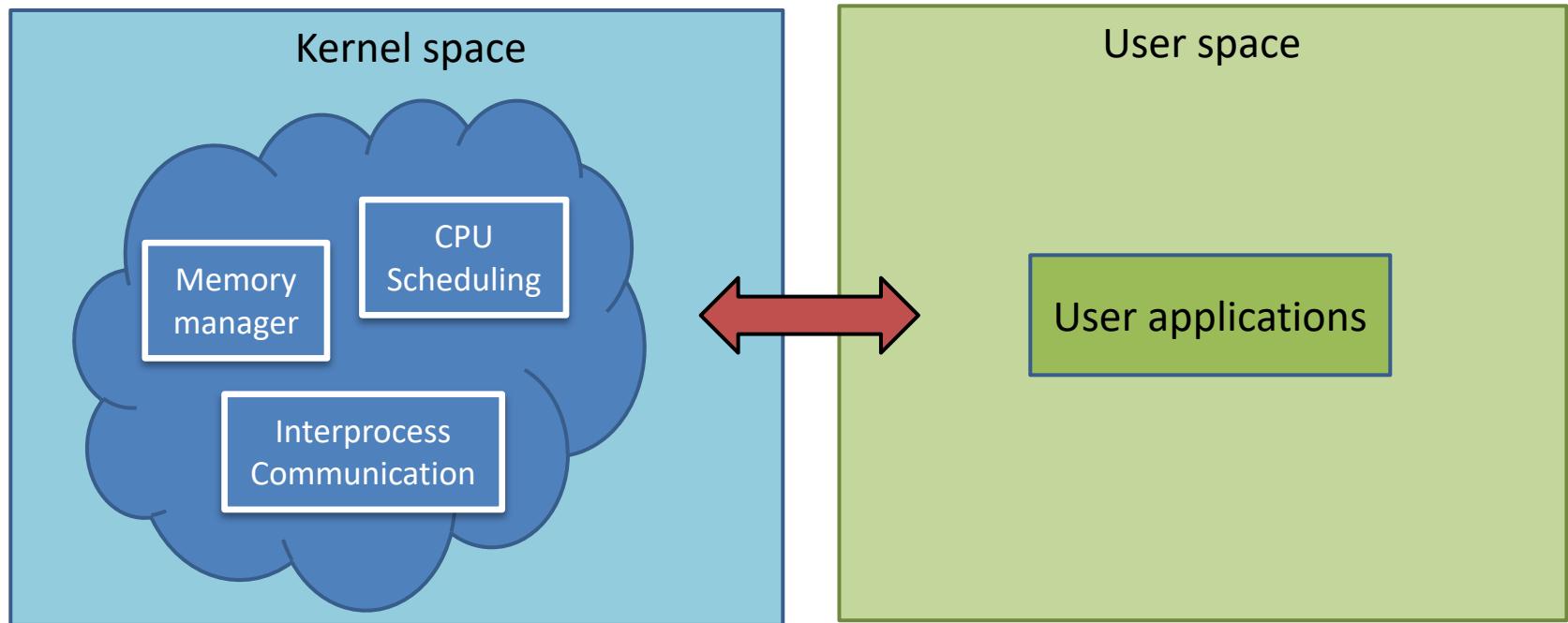
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- Thread design
  - Where to put threads? Kernel space vs User space
  - How to run them? Local thread vs Global thread scheduling



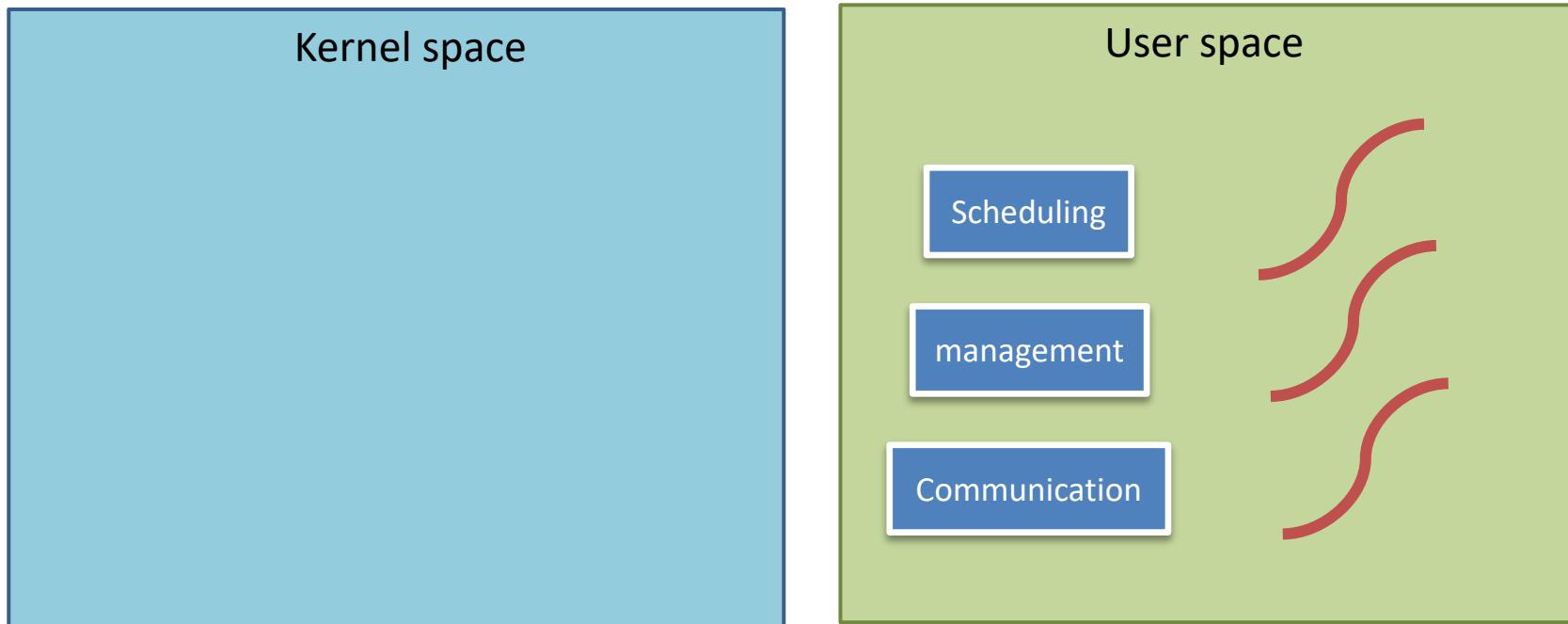
# Thread design

- When you have multiple threads, where to put them?



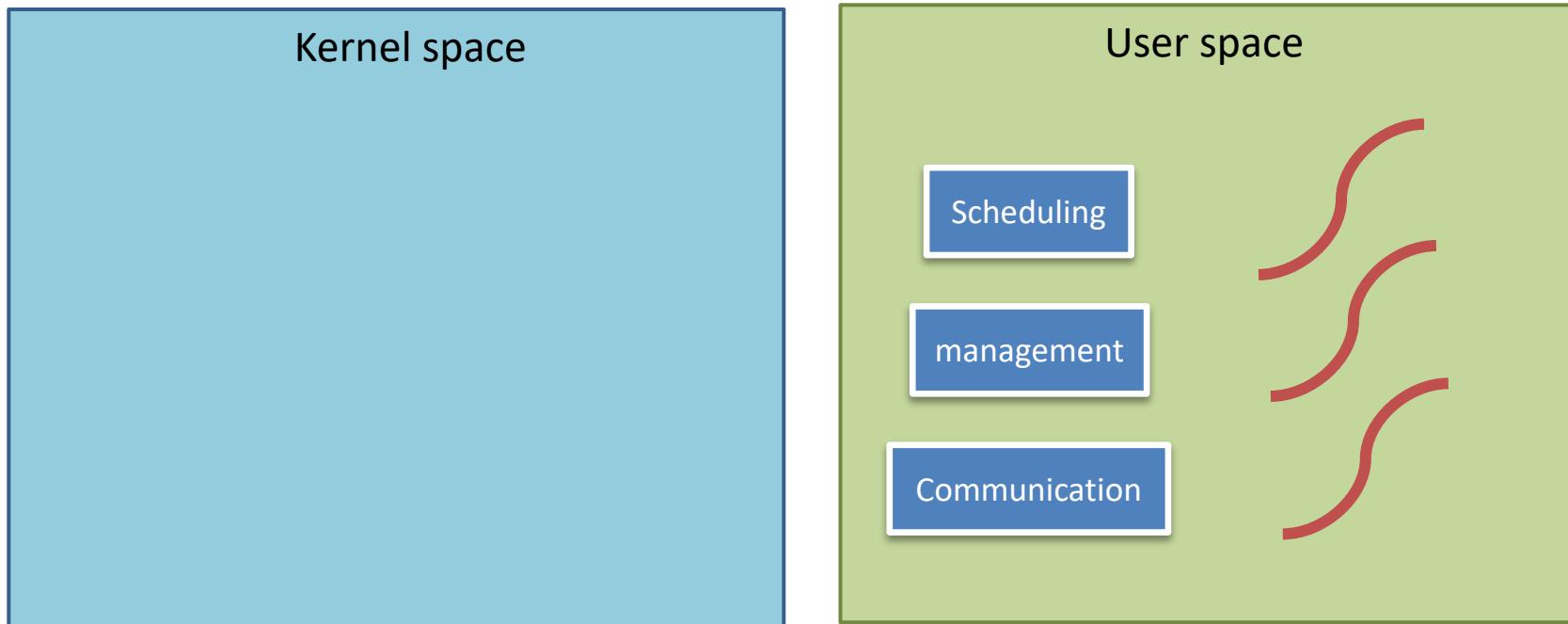
# User-level Thread

- When you have multiple threads, where to put them?



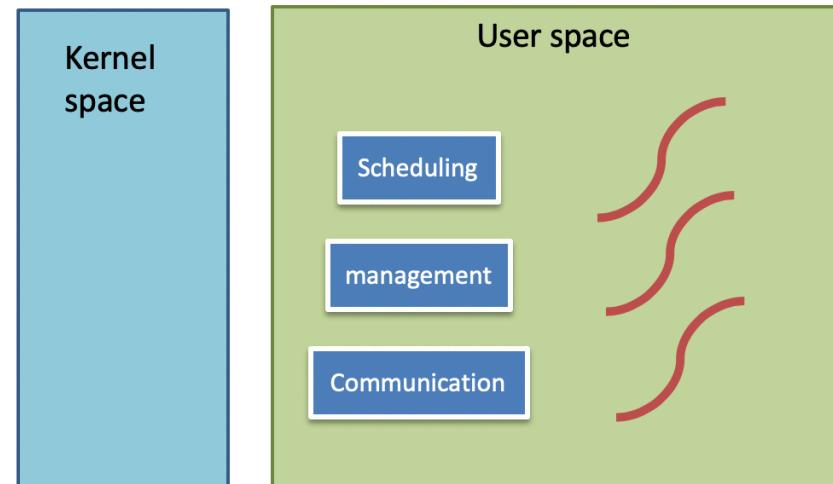
# User-level Thread

- User-level threads: the kernel knows nothing about them and managed by applications



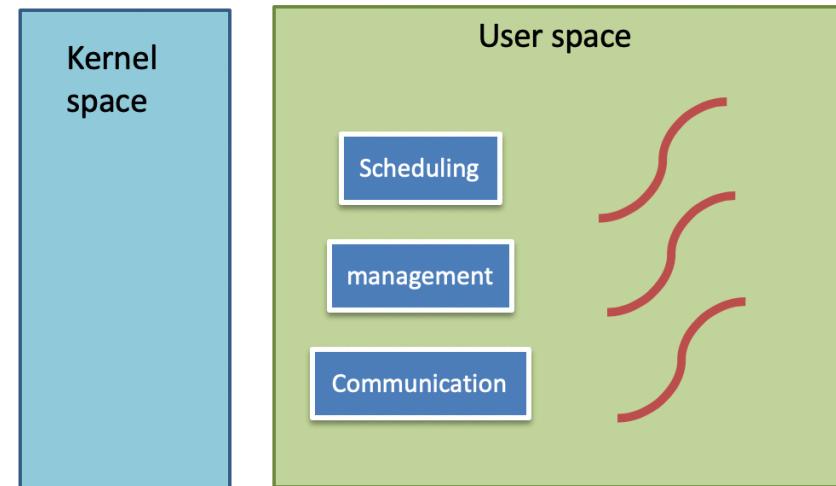
# User-level Thread - Discussions

- Advantages
  - No OS thread-support need
  - Lightweight: thread switching vs. process switching
    - Less memory
    - Faster context switch
    - Easier to communicate and data sharing
    - Management in the user space



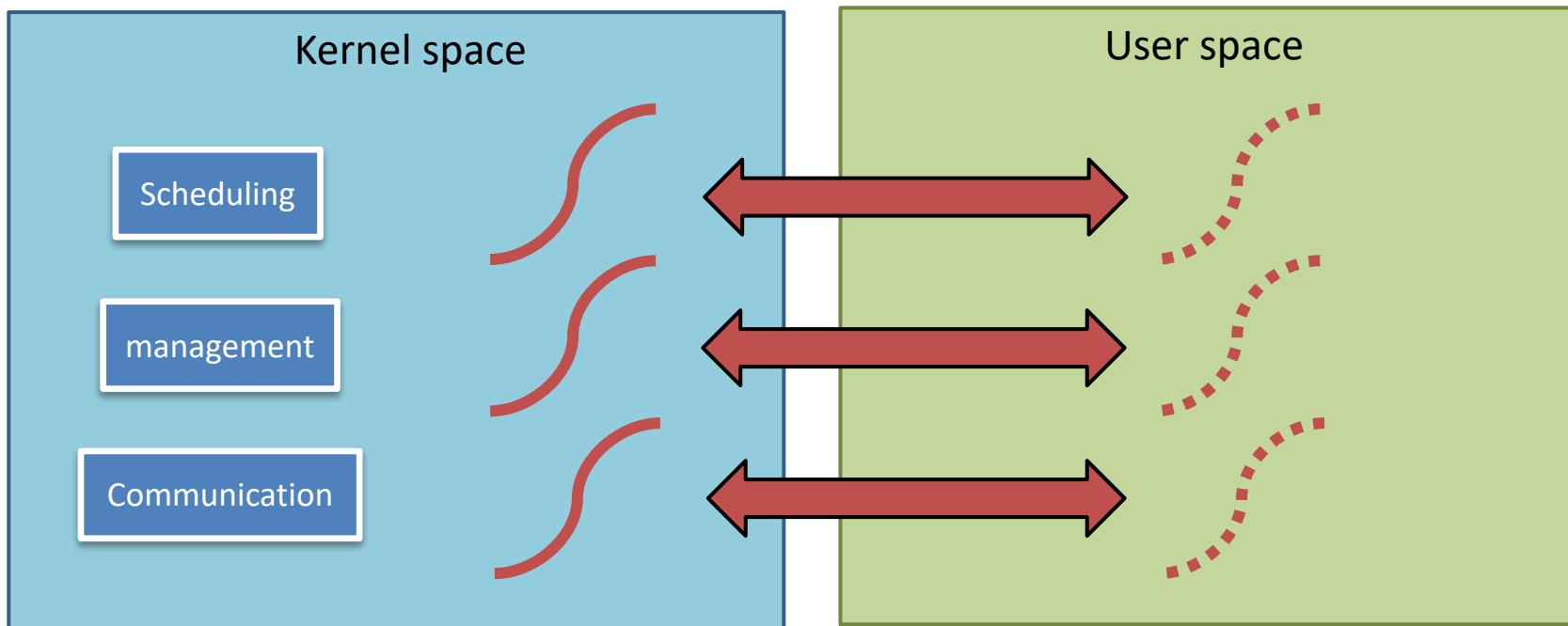
# User-level Thread - Discussions

- Disadvantages (less kernel support, need to implement all logic by application itself)
  - Scheduling:
    - How blocking system calls implemented? Called by a thread?
    - How to change blocking system calls to non-blocking?
  - Memory management:
    - How to deal with page faults?
  - Interrupt
    - How to stop a thread from running forever? No clock interrupts



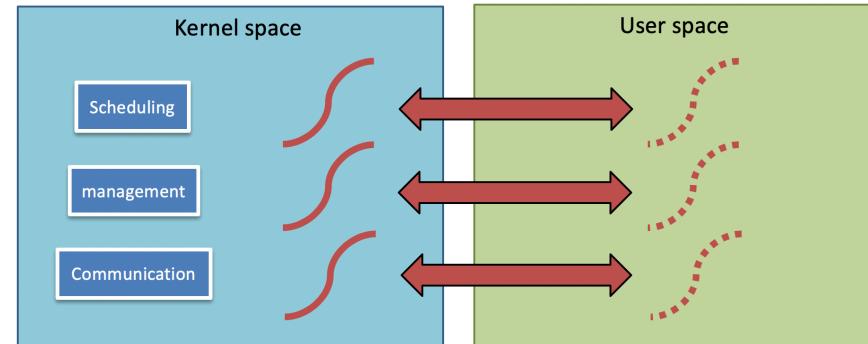
# Kernel-level Thread

- When you have multiple threads, where to put them?



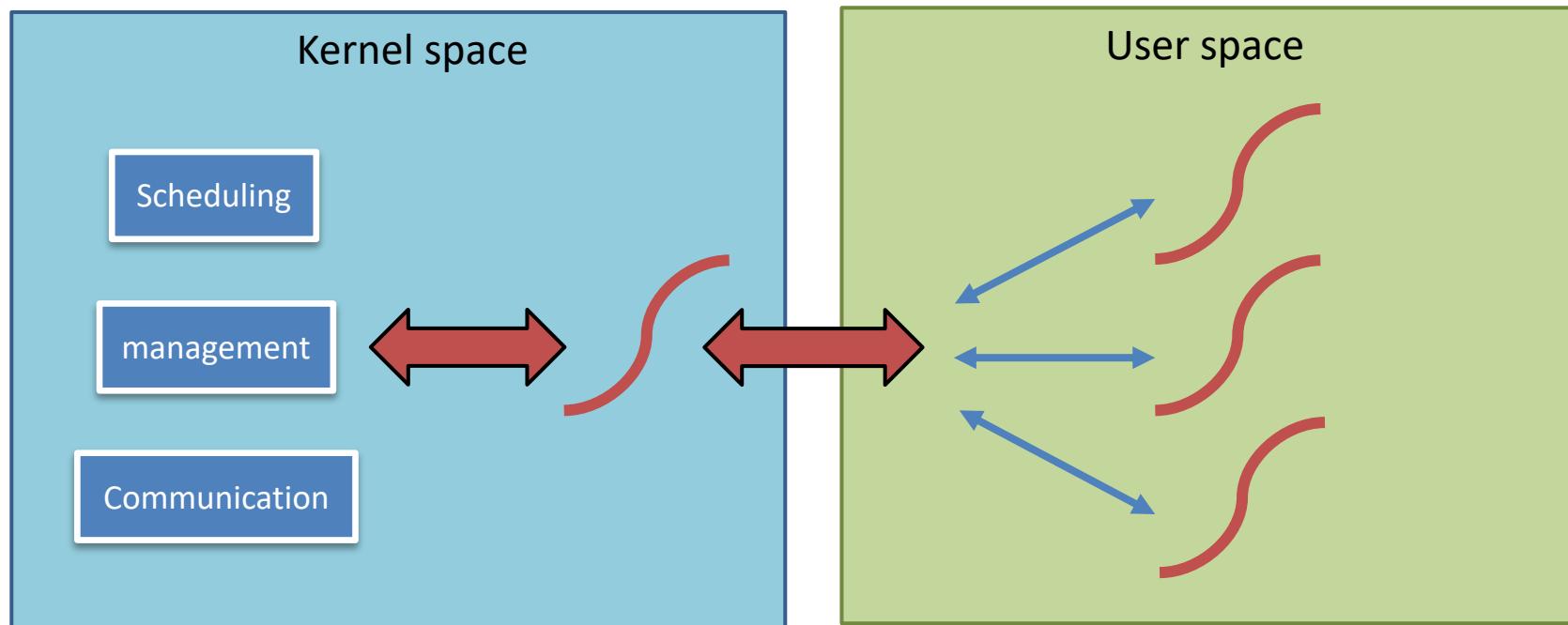
# Implementing Threads in the Kernel

- Kernel-level threads: managed by the kernel
  - Threads known to OS, use OS service directly
    - Scheduling, memory management, storage, I/O, etc.
  - Slow
    - Trap into the kernel mode
  - Expensive to create and switch
    - Create memory inside the kernel
    - Context switch between the kernel and user space



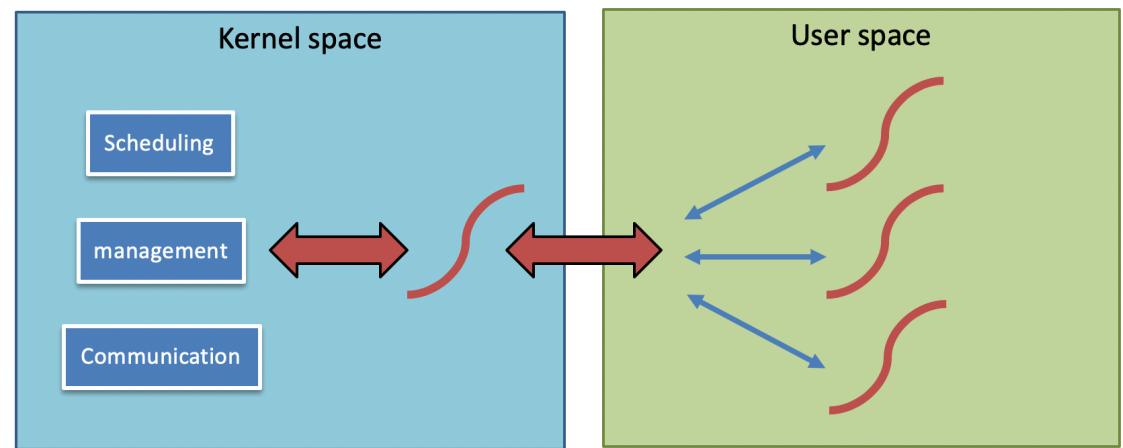
# Threads in Hybrid-Space

- When you have multiple threads, where to put them?



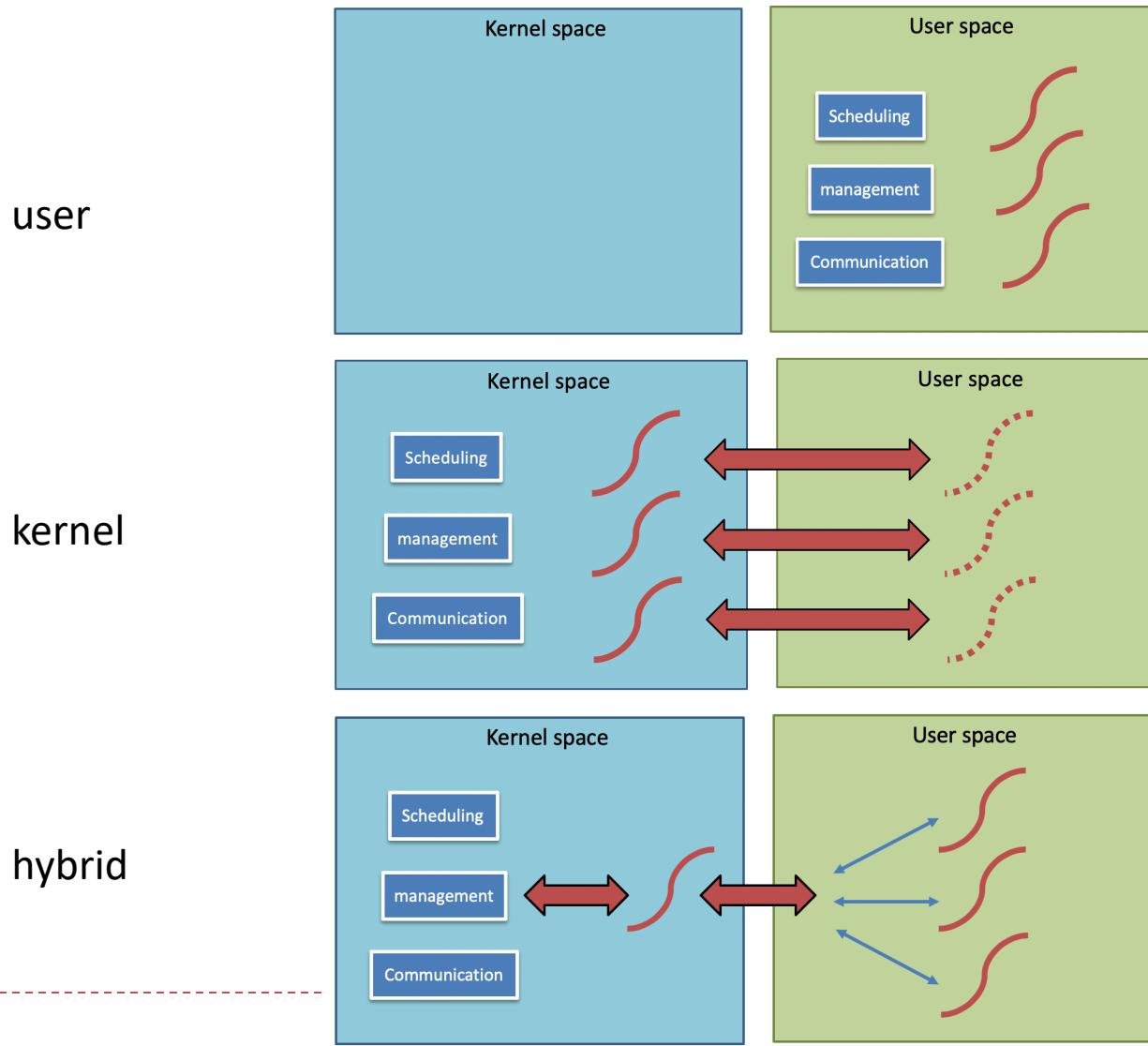
# Hybrid Implementations

- Use kernel-level threads and then *multiplex* user-level threads onto some or all of the kernel-level threads
- Multiplexing user-level threads onto kernel-level threads
- Enjoy the benefits of user and kernel level threads
- Too complex !



Multiplexing user-level threads onto kernel- level threads

# Thread in user level/kernel level/hybrid

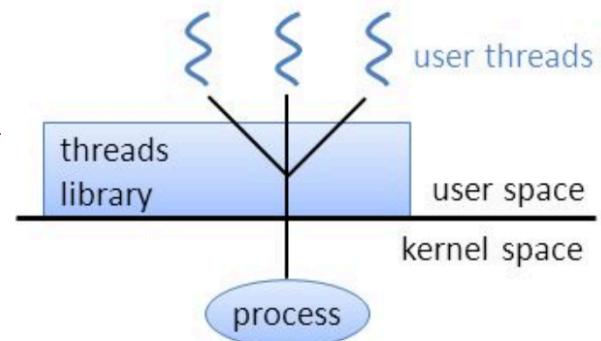


# Threading Models

- N:1 (User-level threading)

- GNU Portable Threads

<https://www.gnu.org/software/pth/>

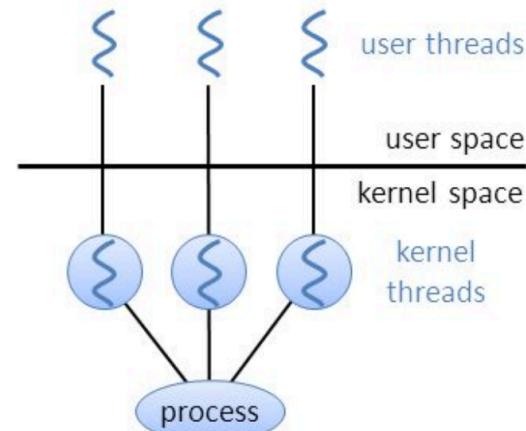


- 1:1 (Kernel-level threading)

- Native POSIX Thread Library (Pthread)

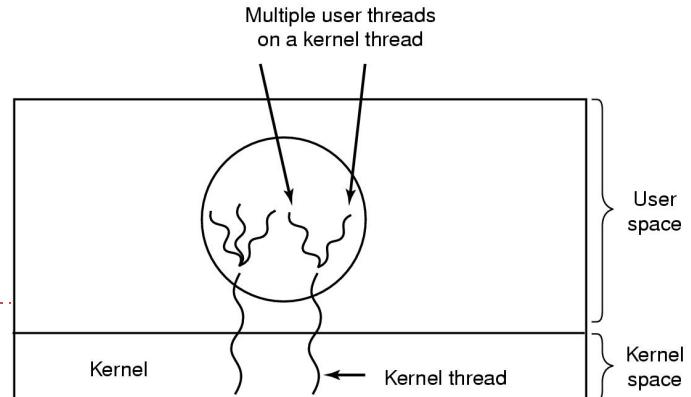
[https://en.wikipedia.org/wiki/Native\\_POSIX\\_Thread\\_Library](https://en.wikipedia.org/wiki/Native_POSIX_Thread_Library)

Linux/MacOS/Windows



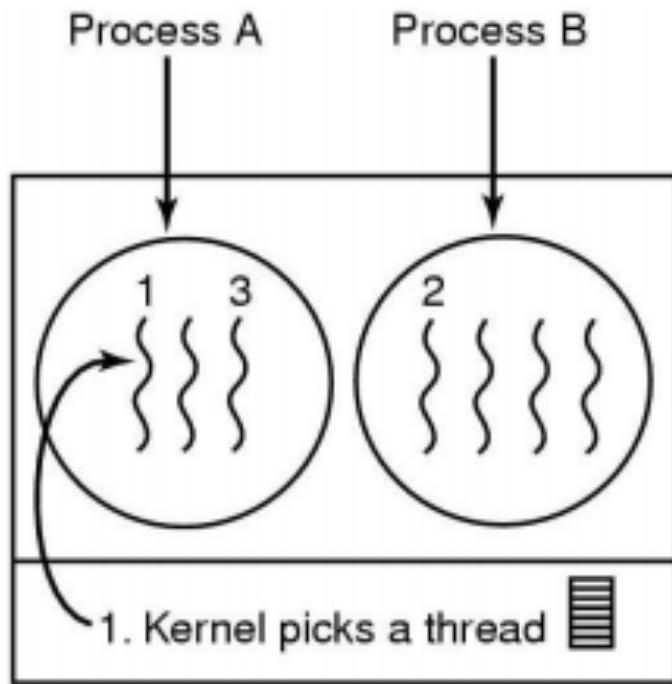
- M:N (Hybrid threading)

- Solaris <https://www.oracle.com/solaris/solaris11/>



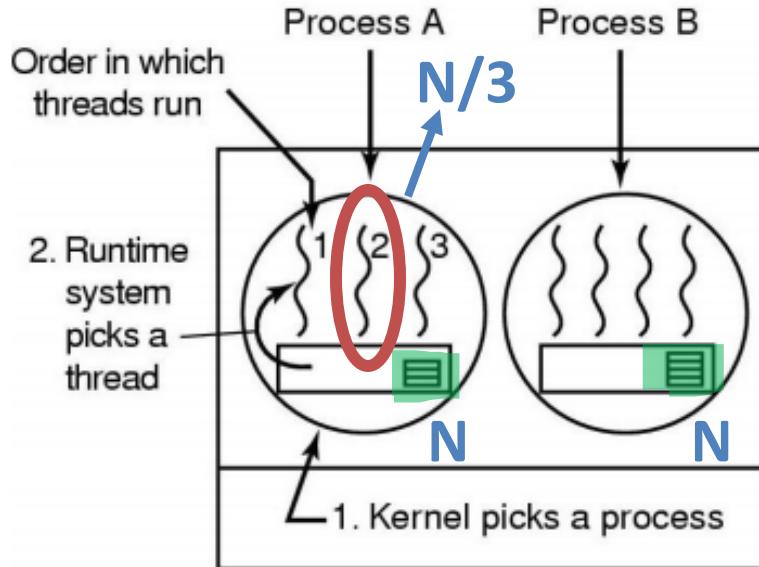
# Thread design

- When you have multiple threads, by what order should we execute them? - -> Scheduling



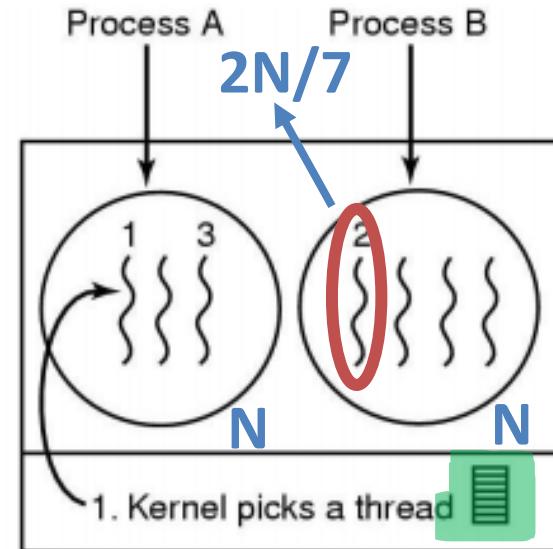
Possible:      A1, A2, A3, A1, A2, A3  
Also possible: A1, B1, A2, B2, A3, B3

# Local Thread Scheduling vs Global Thread scheduling



Possible: A1, A2, A3, A1, A2, A3

Not possible: A1, B1, A2, B2, A3, B3



Possible: A1, A2, A3, A1, A2, A3

Also possible: A1, B1, A2, B2, A3, B3

**1, next thread**

**2, time slice for each thread**

**3, implementation: user/kernel level vs. only kernel level thread**



# Conclusion

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- What is thread?
  - Multiple thread application
  - Thread vs Process
  - Advantage and disadvantage of thread
- Thread in Linux
- Thread design
  - Kernel space vs User space
  - Local thread vs Global thread scheduling

