

# The power set of a set

## Definition

Let  $S$  be a set. The power set (or powerset) of  $S$ , denoted  $\mathcal{P}(S)$ , is the set of *all* subsets of  $S$ .

## Example

- $\mathcal{P}(\emptyset) = \{\emptyset\}$
- For any non-empty  $S$ ,  $\mathcal{P}(S)$  contains at least two elements:  $\emptyset$  and  $S$ .
- $\mathcal{P}(\{\emptyset\}) = \{\emptyset, \{\emptyset\}\}$
- $\mathcal{P}(\{x, y\}) = \{\emptyset, \{x\}, \{y\}, \{x, y\}\}$
- $\mathcal{P}(\{x, y, z\}) = \{\emptyset, \{x\}, \{y\}, \{z\}, \{x, y\}, \{x, z\}, \{y, z\}, \{x, y, z\}\}$

## Theorem

Let  $S$  be a set. Then  $|\mathcal{P}(S)| = 2^{|S|}$

## Proof.

Let  $\text{Map}(S, \{0, 1\})$  be the set of maps from  $S$  to  $\{0, 1\}$ . Since  $|\text{Map}(S, \{0, 1\})| = 2^{|S|}$  (for  $S$  finite this can be proven; for  $S$  infinite this is the definition of  $2^{|S|}$ ) the idea is to prove that  $|\mathcal{P}(S)| = |\text{Map}(S, \{0, 1\})|$ .

Define  $g : \text{Map}(S, \{0, 1\}) \rightarrow \mathcal{P}(S)$  by  $g(f) = \{s \in S : f(s) = 1\}$  where  $f$  is a map  $S \rightarrow \{0, 1\}$ .

Define  $h : \mathcal{P}(S) \rightarrow \text{Map}(S, \{0, 1\})$  by  $h(Y) = \chi_Y$ ,  $Y \in \mathcal{P}(S)$  where  $\chi_Y$  is the *characteristic map* (or the *indicator function*) of  $Y$ :

$$\chi_Y(s) = \begin{cases} 1, & s \in Y \\ 0, & s \notin Y \end{cases}$$

Thus,  $Y = \{s \in S : \chi_Y(s) = 1\}$ .

We claim that  $g \circ h = \text{id}_{\mathcal{P}(S)}$  and  $h \circ g = \text{id}_{\text{Map}(S, \{0, 1\})}$ . Then  $g$ ,  $h$  are both bijective and so  $|\text{Map}(S, \{0, 1\})| = |\mathcal{P}(S)|$ .

Proof (contd.)

Let  $Y \in \mathcal{P}(S)$  (that is,  $Y \subset S$ ). Then

$$\begin{aligned}(g \circ h)(Y) &= g(h(Y)) \\ &= \{s \in S : h(Y)(s) = 1\} \\ &= \{s \in S : \chi_Y(s) = 1\} \\ &= \{s \in S : s \in Y\} \\ &= Y\end{aligned}$$

Thus,  $g \circ h = \text{id}_{\mathcal{P}(S)}$ .

## Proof (contd.)

Let  $f \in \text{Map}(S, \{0, 1\})$ . We want to show that  $(h \circ g)(f) = f$ . That is, we want to show that for all  $s \in S$ ,  $((h \circ g)(f))(s) = f(s)$ . We have

$$\begin{aligned} ((h \circ g)(f))(s) &= (h(g(f)))(s) \\ &= \chi_{g(f)}(s) \\ &= \begin{cases} 1, & s \in g(f) \\ 0, & s \notin g(f) \end{cases} \\ &= \begin{cases} 1, & f(s) = 1 \\ 0, & f(s) = 0 \end{cases} \\ &= f(s). \end{aligned}$$

Thus,  $h \circ g = \text{id}_{\text{Map}(S, \{0, 1\})}$ . We proved that  $g$  and  $h$  are inverses of each other. □

If  $S$  is a countable set then we can think of  $\mathcal{P}(S)$  as the set of bit strings.

If  $S$  is finite, then we only need to consider bit strings of length  $|S|$ .

Indeed, number each element of  $S$  as  $s_0, s_1, s_2, s_3, \dots$ . Then  $f : S \rightarrow \{0, 1\}$  is uniquely determined by the sequence  $a_0, a_1, a_2, \dots$  where  $a_i = f(s_i)$ .

Identifying  $f$  with the corresponding subset of  $S$  as in the proof of our previous theorem, we can think of a bit string as a subset of  $S$ . Thus,  $a_i = 1$  if  $s_i \in X$  and  $a_i = 0$  if  $s_i \notin X$ .

One advantage is that we can implement set operations on subsets of  $S$  using bitwise logical operations.

## Example

Let  $S = \{1, 2, \dots, 16\}$ ,  $X = \{1, 3, 5, 6, 7, 9, 11, 12\}$ ,  
 $Y = \{2, 3, 9, 10, 11, 13\}$ . Find  $X \cap Y$ ,  $X \cup Y$ ,  $X \Delta Y$ ,  $X \setminus Y$ .

$X$  corresponds to the bit string 1010111010110000 and  $Y$  corresponds to the bit string 0110000011101000. Then  $X \cap Y$  corresponds to  $1010111010110000 \wedge 0110000011101000 = 0010000010100000$  which is  $\{3, 9, 11\}$ ,

$X \cup Y$  corresponds to  $1010111010110000 \vee 0110000011101000 = 1110111011111000$  which is  $\{1, 2, 3, 5, 6, 7, 9, 10, 11, 12, 13\}$ ,

$X \Delta Y$  corresponds to  $1010111010110000 \oplus 0110000011101000 = 1100111001010000$  which gives  $\{1, 2, 5, 6, 7, 10, 12\}$ .

Finally,  $X \setminus Y$  corresponds to  $1010111010110000 \wedge \overline{0110000011101000} = 1010111010110000 \wedge 1001111100010111 = 1000111000010000$  which is  $\{1, 5, 6, 7, 12\}$ .

This technique can be used to program set operations. For example, to check that  $X$  is a subset of  $Y$  we represent both by bit strings and perform  $\wedge$ . If the result is equal to  $X$  (which is easily checked) then  $X \subset Y$ .

## Theorem

Let  $S$  be a set and  $\mathcal{P}(S)$ . Then  $|S| < |\mathcal{P}(S)|$ . In particular, if  $S$  is countable infinite then  $\mathcal{P}(S)$  is uncountable.

## Corollary

Let  $n$  be a positive integer. Then  $n < 2^n$ .

## Proof.

Define  $g : S \rightarrow \mathcal{P}(S)$  by  $g(s) = \{s\}$ . This map is manifestly injective and so  $|S| \leq |\mathcal{P}(S)|$ .

Suppose that  $|S| = |\mathcal{P}(S)|$ . Then there is a bijection  $f : S \rightarrow \mathcal{P}(S)$ . Let  $X = \{s \in S : s \notin f(s)\}$ . Since  $X \in \mathcal{P}(S)$  (as a subset of  $S$ ), it is then equal to  $f(s_0)$  for some  $s_0 \in S$ .

Now, by definition of  $X$ ,  $s_0 \in X$  if and only if  $s_0 \notin f(s_0) = X$ . This is clearly a contradiction.

We proved that a bijection  $f : S \rightarrow \mathcal{P}(S)$  does not exist. Thus,  $|S| \leq |\mathcal{P}(S)|$  and  $|S| \neq |\mathcal{P}(S)|$ . Therefore,  $|S| < |\mathcal{P}(S)|$ . □



### Example

In particular, this gives an alternative proof that the set of all *infinite bit strings* is uncountable.

Indeed, the set of all infinite bit strings identifies with  $\mathcal{P}(\mathbb{N})$  and it follows from our theorem that  $|\mathbb{N}| < |\mathcal{P}(\mathbb{N})|$ .

# Counting subsets with a given number of elements.

## Example

- How many ways are there to choose  $k$  objects from a collection of  $m$  indistinguishable objects (number of *combinations*)?
- How many subsets of cardinality  $k$  are in the set of  $m$  elements?
- What is the number of sequences of integers  
 $1 \leq i_1 < i_2 < \cdots < i_k \leq m$ ?

Given a set  $S$ , denote  $\mathcal{P}_k(S) \subset \mathcal{P}(S)$  the set of all subsets of  $S$  of cardinality  $k$ :  $\mathcal{P}_k(S) = \{X \in \mathcal{P}(S) : |X| = k\} = \{X \subset S : |X| = k\}$ .

### Definition

Let  $m, k$  be non-negative integers. Define  $\binom{m}{k}$  (reads “ $m$  choose  $k$ ”) as  $|\mathcal{P}_k(S)|$  where  $|S| = m$ .

By definition  $\binom{m}{k}$  is a non-negative integer.

## Some properties of $\binom{m}{k}$

- $\binom{m}{k} = 0$  if  $k > m$ .

Indeed, if  $|A| = m$ , all its subsets have cardinality  $\leq m$

- $\binom{m}{0} = 1 = \binom{m}{m}$ ;  $\binom{m}{1} = \binom{m}{m-1} = m$ .
- $\binom{m}{k} = \binom{m}{m-k}$ ,  $0 \leq k \leq m$ .

### Proof.

Consider the map  $f : \mathcal{P}(S) \rightarrow \mathcal{P}(S)$ ,  $X \mapsto S \setminus X$ . Since  $S \setminus (S \setminus X) = X$ , we have  $f \circ f = \text{id}_{\mathcal{P}(S)}$ . Thus,  $f$  is its own inverse and hence is bijective. Since  $S$  is finite,  $|f(X)| = |S \setminus X| = m - |X|$ . Thus,  $f(\mathcal{P}_k(S)) \subset \mathcal{P}_{m-k}(S)$  and  $f(\mathcal{P}_{m-k}(S)) \subset \mathcal{P}_k(S)$ . Since  $f|_{\mathcal{P}_k(S)}$  is injective and  $f|_{\mathcal{P}_{m-k}(S)}$  is injective, it follows that  $|\mathcal{P}_k(S)| \leq |\mathcal{P}_{m-k}(S)| \leq |\mathcal{P}_k(S)|$ . □

- $\binom{m}{0} + \binom{m}{1} + \cdots + \binom{m}{m-1} + \binom{m}{m} = \sum_{k=0}^m \binom{m}{k} = 2^m$

### Proof.

If  $|S| = m$  then  $\mathcal{P}(S)$  has cardinality  $2^m$ . Since  $\mathcal{P}(S) = \bigcup_{0 \leq k \leq m} \mathcal{P}_k(S)$  and  $\mathcal{P}_k(S) \cap \mathcal{P}_l(S) = \emptyset$  if  $k \neq l$  we have  $2^m = |\mathcal{P}(S)| = |\mathcal{P}_0(S)| + \cdots + |\mathcal{P}_m(S)| = \binom{m}{0} + \cdots + \binom{m}{m}$ . □

## Theorem (Pascal's rule)

Let  $1 \leq k \leq m$ . Then  $\binom{m}{k} = \binom{m-1}{k} + \binom{m-1}{k-1}$ .

### Proof.

Denote  $[r] = \{1, \dots, r\}$ . Let  $A = \{X \in \mathcal{P}_k([m]) : m \in X\}$ ,  
 $B = \{X \in \mathcal{P}_k([m]) : m \notin X\}$ .

Clearly,  $\mathcal{P}_k([m]) = A \cup B$  and  $A \cap B = \emptyset$  so  $|\mathcal{P}_k([m])| = |A| + |B|$ .

Note that  $B = \mathcal{P}_k([m-1])$ . Therefore,  $|B| = \binom{m-1}{k}$ .

To find  $|A|$ , note that we have a map  $g : A \rightarrow \mathcal{P}_{k-1}([m-1])$  given by  $X \mapsto X \setminus \{m\}$ . This map is bijective because the map

$h : \mathcal{P}_{k-1}([m-1]) \rightarrow A$  defined by  $Y \mapsto Y \cup \{m\}$  is easily seen to be its inverse. Thus,  $|A| = \binom{m-1}{k-1}$ . □

# Pascal's triangle

Pascal's rule allows us to compute the  $\binom{m}{k}$  recursively.

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# Binomial identity

## Theorem

Suppose that  $xy = yx$ . Then for any non-negative integer  $n$

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k.$$

## Proof.

We have  $(x + y)^n = \sum_{S \subseteq \{1, \dots, n\}} a(S)$ , where  $a(S) = a(S)_1 \cdots a(S)_n$  with  $a(S)_i = y$  if  $i \in S$  and  $a(S)_i = x$  if  $i \notin S$ . Since, clearly,  $a(S) = x^{n-|S|} y^{|S|}$ , it follows that

$$(x + y)^n = \sum_{k=0}^n |\mathcal{P}_k(\{1, \dots, n\})| x^{n-k} y^k = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k. \quad \square$$

## Exercise

Use Pascal's rule and induction to prove the binomial identity.

# A formula for $\binom{m}{k}$

## Theorem

For all  $0 \leq k \leq m$  we have  $\binom{m}{k} = \frac{m(m-1)\cdots(m-k+1)}{k!} = \frac{m!}{k!(m-k)!}$ .

## Inductive proof.

We use induction on  $m$ . For  $m = 0$  and  $m = 1$  the assertion is clear (we use the convention that an empty product is equal to 1).

For the inductive step, we have

$$\begin{aligned}
 \binom{m+1}{k} &= \binom{m}{k} + \binom{m}{k-1} && \text{by Pascal's rule} \\
 &= \frac{m!}{k!(m-k)!} + \frac{m!}{(k-1)!(m-k+1)!} && \text{by induction hypothesis} \\
 &= \frac{m!}{k!(m-k+1)!} (m-k+1) + \frac{m!}{k!(m-k+1)!} k \\
 &= \frac{m!}{k!(m-k+1)!} (m-k+1+k) \\
 &= \frac{(m+1)m!}{k!(m+1-k)!} \\
 &= \frac{(m+1)!}{k!(m+1-k)!}
 \end{aligned}$$





## Bijjective proof

Here we introduce another useful counting technique.

### Theorem

Let  $A$  and  $B$  be finite sets. Suppose that there exists a surjective map  $f : A \rightarrow B$  such that  $|f^{-1}(\{b\})| = k$  for all  $b \in B$ . Then  $|A| = k|B|$ .

### Proof.

If  $g : A \rightarrow B$  is *any* map and  $b \neq b' \in B$  then  $g^{-1}(\{b\}) \cap g^{-1}(\{b'\}) = \emptyset$ .

If  $g : A \rightarrow B$  is *surjective* then

$$A = \bigcup_{b \in B} g^{-1}(\{b\})$$

and so

$$|A| = \sum_{b \in B} |g^{-1}(\{b\})|.$$

Applying this to our map  $f$  we obtain  $|A| = \sum_{b \in B} k = k|B|$ . □

## Bijjective proof.

We want to prove that  $k! \binom{m}{k} = m(m-1) \cdots (m-k+1)$ .

Denote  $[r] = \{1, \dots, r\}$ . Let  $\text{Inj}([k], [m])$  be the set of all injective maps  $[k] \rightarrow [m]$ .

The right hand side of our equality is  $|\text{Inj}([k], [m])|$ .

The idea is to construct a surjective map  $\text{Inj}([k], [m]) \rightarrow \mathcal{P}_k([m])$  and to use Theorem from slide 17.

Define a map  $F : \text{Inj}([k], [m]) \rightarrow \mathcal{P}([m])$  by

$$F(f) = \text{im } f = \{f(1), \dots, f(k)\}.$$

Since  $f$  is injective,  $|\text{im } f| = k$ . Thus,  $F$  is actually a map  $\text{Inj}([k], [m]) \rightarrow \mathcal{P}_k([m])$ .

We claim that  $F : \text{Inj}([k], [m]) \rightarrow \mathcal{P}_k([m])$  is surjective. Indeed, let  $X = \{i_1, \dots, i_k\} \in \mathcal{P}_k([m])$ . Here we chose a way to enumerate elements of  $X$ ; for example, we can assume that  $i_1 < i_2 < \dots < i_k$ . All the  $i_r$  are distinct (otherwise  $|X| < k$ ). Define a map  $f_X : [k] \rightarrow [m]$  by  $f_X(r) = i_r$ ,  $1 \leq r \leq k$ . This map is injective and  $F(f_X) = X$ .

### Bijection proof (cont.)

Now we claim that if  $X \in \mathcal{P}_k([m])$  then

$$|F^{-1}(\{X\})| = k! \quad (\star)$$

Indeed,  $F(f) = X$  if and only if  $f$  is a permutation of  $X$  (that is, a bijective map  $[k] \rightarrow X$ ), and there are  $k!$  of them.

## Example

- How many ways are there to select a soccer team out of twenty candidates (there are eleven players on the team)?

This is the number of subsets of 11 elements in a set of 20 elements, that is,  $\binom{20}{11} = \binom{20}{9} = 167,960$ .

- What if we take into account that the team must have a goalkeeper, a captain (who will be a forward), two more forwards and four defenders?

First we choose the captain (which can be done in 20 ways), then the goalkeeper (there are 19 choices), then two more forwards ( $\binom{18}{2}$  ways), then four defenders ( $\binom{16}{4}$  ways). We still need to select  $11 - 1 - 1 - 2 - 4 = 11 - 8 = 3$  players out of  $16 - 4 = 12$  remaining candidates, which gives  $\binom{12}{3}$  possibilities. Thus, the total number is  $20 \cdot 19 \cdot \binom{18}{2} \cdot \binom{16}{4} \cdot \binom{12}{3} = 23,279,256,000$ .

We can also choose two forwards first, in  $\binom{20}{2}$  ways, then four defenders, in  $\binom{18}{4}$  ways, then the goalkeeper, in 14 ways and the captain, in 13 ways, and then the remaining 3 members of the team, in  $\binom{12}{3}$  ways. The total is then  $\binom{20}{2} \cdot \binom{18}{4} \cdot 14 \cdot 13 \cdot \binom{12}{3} = 23,279,256,000$  as expected.

Another way to see this: we have 11 positions on the team and 20 candidates who can occupy these positions. If each position was numbered, we would be counting the number of injective maps from  $P$  (positions) to  $C$  (candidates), which is  $20!/(20 - 11)! = 20!/9!$ . However, some of these positions need to be “identified”: it does not matter to us in which order forwards or defenders are assigned, the only thing that matters is who is assigned to one of these positions. We have  $4!$  permutations of defenders,  $2!$  permutations of forwards and  $3!$  permutations of the remaining members of the team. So, the answer is  $20!/(9!2!3!4!)$ . It is then easy to see that both our previous answers are equal to this number.

### Example

In how many permutations of the string *ABCDEFGH* the letters *A*, *C* and *D* appear in this order but not necessarily next to each other?

The positions in which 3 letters *A*, *C* and *D* may occur can be chosen in  $\binom{8}{3} = 56$  ways. Once these letters are placed, there are  $8 - 3 = 5$  positions left and  $5!$  ways to place the remaining 5 letters. So, the answer is  $5! \binom{8}{3} = 6720$ .

### Example

What if *A*, *C* and *D* appear in this order but *NOT* next to each other?

There are  $(8 - 3 + 1)! = 6!$  permutations of the original string containing *ACD*, so the answer is  $5! \binom{8}{3} - 6! = 5! \left( \binom{8}{3} - 6 \right) = 5! \cdot 50 = 6000$ . Another way of seeing this is that out of  $\binom{8}{3}$  ways of selecting three places for letters *A*, *C* and *D* we are *NOT* interested in those which are adjacent, and there are 6 of them. So, there are  $\binom{8}{3} - 6$  places where *A*, *C* and *D* can be put, which should be multiplied by  $5!$  permutations of the remaining 5 letters.

### Example

What if  $A$ ,  $B$ ,  $C$  must appear in that order, and also  $D$ ,  $E$ ,  $F$ ?

There are  $\binom{8}{3}$  ways of choosing places for  $A$ ,  $B$  and  $C$ ; once these are chosen, there are  $\binom{5}{3}$  ways of choosing places for  $D$ ,  $E$ ,  $F$ . After these letters are placed, there are  $(8 - 6) = 2!$  ways of placing the remaining two letters. So, the answer is  $\binom{8}{3} \binom{5}{3} 2! = 1120$ .

### Example

How many bit strings of length 10 contain at most three 1s? At least three 1s?

A bit string of length 10 represents subsets of a set of 10 elements. The number of 1s represents the number of elements in the corresponding subset. So, we are counting the number of subsets of a set of 10 elements containing at most 3 elements, that is  $\binom{10}{0} + \binom{10}{1} + \binom{10}{2} + \binom{10}{3}$ .

This equals to  $1 + 10 + 45 + 120 = 176$ .

To answer the second question we count the number of subsets of a set of 10 elements containing at least 3 elements, that is,

$$\binom{10}{3} + \binom{10}{4} + \cdots + \binom{10}{10} = 2^{10} - \binom{10}{0} - \binom{10}{1} - \binom{10}{2} = 1024 - 1 - 10 - 45 = 968.$$



## Question

How many bit strings of length 10 contain more zeros than ones?

If a bit string of length 10 contains more 0s than 1s if it contains at least 6 zeros (or, which is the same, at most 4 ones). So, the answer is

$\binom{10}{0} + \binom{10}{1} + \binom{10}{2} + \binom{10}{3} + \binom{10}{4} = 386$ . Since

$$\begin{aligned} 2^{10} &= \binom{10}{0} + \binom{10}{1} + \binom{10}{2} + \binom{10}{3} + \binom{10}{4} + \binom{10}{5} + \binom{10}{6} + \binom{10}{7} + \binom{10}{8} + \binom{10}{9} + \binom{10}{10} \\ &= \binom{10}{0} + \binom{10}{10} + \binom{10}{1} + \binom{10}{9} + \binom{10}{2} + \binom{10}{8} + \binom{10}{3} + \binom{10}{7} + \binom{10}{4} + \binom{10}{6} + \binom{10}{5} \\ &= 2\binom{10}{0} + 2\binom{10}{1} + 2\binom{10}{2} + 2\binom{10}{3} + 2\binom{10}{4} + \binom{10}{5} \end{aligned}$$

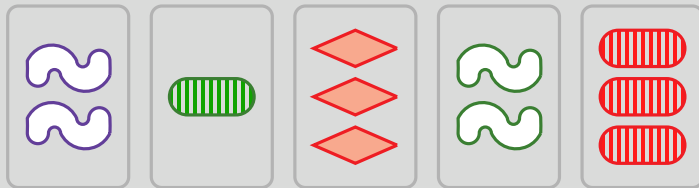
So

$$\begin{aligned} \binom{10}{0} + \binom{10}{1} + \binom{10}{2} + \binom{10}{3} + \binom{10}{4} &= 2^9 - \frac{1}{2}\binom{10}{5} \\ &= 2^9 - \frac{10 \cdot 9 \cdot 8 \cdot 7 \cdot 6}{2 \cdot 2 \cdot 3 \cdot 4 \cdot 5} = 2^9 - \frac{9 \cdot 8 \cdot 7 \cdot 6}{4!} = 2^9 - \binom{9}{4}. \end{aligned}$$

## Example (The game of SET)

Each card has 4 attributes:

- Number of shapes on the card (1,2,3)
- The color of shapes on the card (red, green, purple)
- Shape (oval, diamond, squiggle)
- Shading (plain, striped, solid)

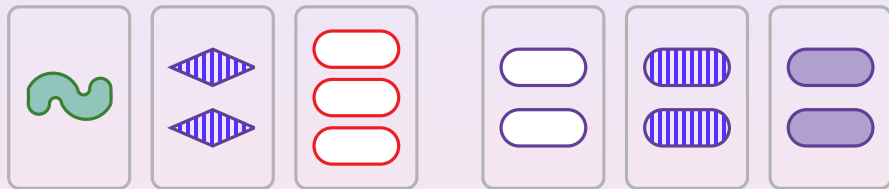


So, if  $N = \{1, 2, 3\}$ ,  $C = \{\text{red, green, purple}\}$ ,  
 $S = \{\text{oval, diamond, squiggle}\}$  and  $F = \{\text{plain, striped, solid}\}$  then each  
 card can be represented as an element of  $N \times C \times S \times F$ .

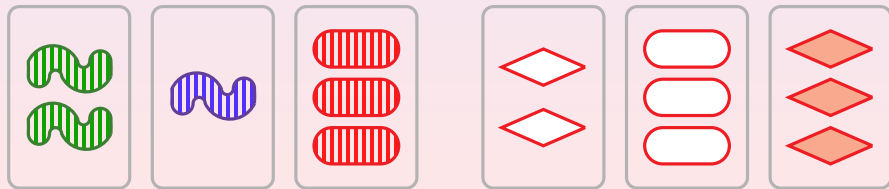
Alternatively, we can think of each card as an element of  $\{0, 1, 2\}^4$  (each  
 attribute has 3 possible values, encoded by 0, 1 and 2).

A **SET** is a collection of 3 cards,  $(a_1, a_2, a_3, a_4)$ ,  $(b_1, b_2, b_3, b_4)$  and  $(c_1, c_2, c_3, c_4)$  such that for each  $1 \leq i \leq 4$ , either  $a_i = b_i = c_i$  or  $a_i \neq b_i \neq c_i$  (that is, each attribute must have the same value in all three cards, or different values in all three cards)

For example, the following two collections of 3 cards are SETs:



And the following two are not:



## Question

What is the probability that 3 cards selected randomly form a SET?

- How many cards are there in the deck?

Each card has 4 properties, each property can take 3 values, so the total number is the cardinality of  $\{0, 1, 2\}^4$ , that is  $3^4 = 81$ .

- How many ways are there to choose 3 cards from the deck?

We are looking for the number of subsets of 3 elements in a set of 81 elements. This number is  $\binom{81}{3} = 81 \cdot 80 \cdot 70 / 3! = 85\,320$ .

- How many SETs (in the sense of the game) are there in the deck?

Recall that once two cards  $X$ ,  $Y$  are chosen, there is a unique card  $Z$  such that  $\{X, Y, Z\}$  is a SET. Two cards can be selected in  $\binom{81}{2}$  ways. However, this is not the end of the story: the same set  $\{X, Y, Z\}$  is obtained in 3 different ways (from the pairs  $\{X, Y\}$ ,  $\{X, Z\}$  and  $\{Y, Z\}$ ). So, the number of SETs is  $\frac{1}{3} \binom{81}{2} = 81 \cdot 80 / (3 \cdot 2) = 1,080$ .

Answer.

We divide the number of desirable outcomes by the total number of outcomes. This gives

$$\frac{1}{3} \cdot \frac{\binom{81}{2}}{\binom{81}{3}} = \frac{3!}{3 \cdot 2} \cdot \frac{81 \cdot 80}{81 \cdot 80 \cdot 79} = \frac{1}{79}.$$

Thus, the probability is slightly higher than the probability of picking a specific card (which is  $1/81$ ).

## Question

How many SETs have  $d$  attributes different in all three cards,  $1 \leq d \leq 4$ ?

There are  $3^4$  ways to select the first card. Once the first card is selected, the second card can be selected in  $\binom{4}{d}2^d$  ways, since one value of each of  $d$  attributes is excluded and there are  $\binom{4}{d}$  ways to select  $d$  attributes which are supposed to be different in all three cards. Once two cards are selected, the third card is determined uniquely. Thus, we have  $3^4 \binom{4}{d} 2^d$  *ordered* triples which form a SET with the desired property. Since the order is irrelevant for our purposes, this number must be divided by  $3!$ . So, the answer is  $27 \cdot \binom{4}{d} 2^{d-1}$ .

The probability to encounter such a set is thus

$81 \cdot \binom{4}{d} 2^{d-1} / \binom{81}{2} = \binom{4}{d} 2^d / 80$ . The numbers are:  $1/10$ ,  $3/10$ ,  $2/5$  and  $1/5$  (so the most common SETs are those with 3 different attributes).

## Hard questions

- When the game is played, 12 cards are put on the table at the same time. What is the probability that there will be no SETs among these 12 cards?
- What is the minimal number of cards one needs to draw to ensure that there is a SET among them?

What else can we count using  $\binom{m}{k}$ ?

There are  $\binom{m}{k}$  ways to choose  $k$  indistinguishable objects from a collection of  $m$  objects or to fill  $k$  indistinguishable boxes so that no box contains more than one object (the number of *combinations* of  $k$  objects out of  $m$  objects is  $\binom{m}{k}$ ).

There are  $\binom{m}{k}$  sequences of integers  $1 \leq i_1 < \cdots < i_k \leq m$ .

Indeed, such a sequence identifies with a subset of  $k$  elements in  $\{1, \dots, m\}$ .



## Question

Find the number of sequences of integers  $1 \leq i_1 \leq i_2 \leq \cdots \leq i_k \leq m$ .

Given  $1 \leq i_1 < \cdots < i_k \leq m$ , define a sequence  $(j_1, \dots, j_k)$  by  $j_r = i_r + r - 1$ ,  $1 \leq r \leq k$ .

Thus,  $j_1 = i_1$ ,  $j_2 = i_2 + 1$ ,  $j_3 = i_3 + 2$  and so on.

Then  $j_{r+1} - j_r = (i_{r+1} + r) - (i_r + r - 1) = i_{r+1} - i_r + 1 > 0$  because  $i_{r+1} - i_r \geq 0$ .

So,  $1 \leq j_1 < j_2 < \cdots < j_k \leq m + k - 1$ .

Conversely, from each such sequence we can obtain a sequence  $1 \leq i_1 \leq \cdots \leq i_k \leq m$  where  $i_r = j_r - r + 1$ .

Thus, there is a bijection between the set of *weakly increasing* sequences of integers between 1 and  $m$  of length  $k$  and the set of *strictly increasing* sequences of integers between 1 and  $m + k - 1$  of length  $k$ .

But the number of the latter sequences is  $\binom{m+k-1}{k}$ .

# Permutations vs Combinations

$r$ -permutations of a set of  $m$  elements: ordered arrangements of  $r$  elements of our sets.

$r$ -combinations of a set of  $m$  elements: subsets containing  $r$  elements.



In the first case, the order is important. In the second case, the order does not matter.

Since there are  $r!$  ways to permute  $r$  *different* objects, the number of  $r$ -permutations is  $r!$  times the number of  $r$ -combinations, that is  $r! \binom{m}{r} = m(m-1) \cdots (m-r+1)$ .