## HW 2, Part II

Due 23:00 October 12, 2017

CS ID: SOLUTION

Section (circle one): Monday L1J 9–11a L1N 11a–1p L1C 4–6p

| Monday    | L1J 9–11a         | LIN IIa-Ip | L1C 4-0p |
|-----------|-------------------|------------|----------|
| Tuesday   | L1A 11a–1p        | L1F 4–6p   |          |
| Wednesday | L1B 9–11a         | L1E 2–4p   | L1M 6–8p |
| Thursday  | L1D 10:30a-12:30p | L1G 4–6p   |          |
| Friday    | L1K 1–3p          | L1H 3–5p   |          |

Please print out this document and write your solutions into the spaces provided. Show your work where necessary for full credit. If you require additional space, please indicate in the question space that you are writing on the last blank page, and also indicate on the blank page which question the work solves.

You must scan and upload the completed document, including this page and the last page, to GradeScope, using your 4- or 5-character CS ID.

## 1. [Divvy Sort – 22 points].

In class we discussed 2 different iterative sorting algorithms: selection and insertion. Here is code for a third, which we're calling "Divvy Sort". (The swap function simply switches the values of the two parameters.)

- (a) (4 points) You will use the table below to trace the execution of this algorithm on a small example. Simply enter any four integers into the array in iteration 0, and go!
  - Be very careful to increment (and decrement) the indices accurately.
  - Take a moment to look at the state and structure of the data after every iteration.
- (b) (2 points) The inner two loops of "Divvy Sort" are very similar to an algorithm you have seen before.

Write its name in the box:

Insertion Sort

(c) (2 points) Navigate to the web app found here: https://www.toptal.com/developers/sorting-algorithms, and determine which of the algorithms is equivalent to "Divvy Sort."

Write its name in the box:

Shell Sort

(d) (2 points) Wikipedia gives a table of running times that apply to a variety of adaptations to the algorithm. Use that table to figure out the bound on the running time of *our* implementation (above).

Write the asymptotic running time in the box:

 $O(n^2)$ 

(e) (4 points) Express the running time of "Divvy Sort" as a sum:

$$\sum_{\underline{i=1}}^{\underline{log(n)}} \sum_{j=n/2^i}^{\underline{n-1}} \sum_{\underline{k=1}}^{\underline{j*2^i/n}} c$$

|   | ar      | ray   |   | i   | j   | k   |
|---|---------|---|---|---|---|---|
| 3 | 5       | 2   | 1   | $\frac{1}{2}$   | 2   | 2   |
| 2 | 5       | 3   | 1   | 2   | 2   | 0   |
| 2 | 5       | 3   | 1   | 2   | 3   | 2   |
| 2 | 1       | 3   | 5   | 2   | 3   | 0   |
| 2 | 1       | 3   | 5   | 1   | 1   | 1   |
| 1 | 2       | 3   | 5   | 1   | 1   | 0   |
| 1 | 2       | 3   | 5   | 1   | 2   | 1   |
|   |         |   |   |   |   |   |
|   |         |   |   |   |   |   |
|   |         |   |   |   |   |   |
|   |         |   |   |   |   |   |
|   |         |   |   |   |   |   |
|   |         |   |   |   |   |   |
|   |         |   |   |   |   |   |
|   | 2 2 2 1 | 3     5       2     5       2     5       2     1       2     1       1     2 | 2     5     3       2     5     3       2     1     3       2     1     3       1     2     3 | 3     5     2     1       2     5     3     1       2     5     3     1       2     1     3     5       2     1     3     5       1     2     3     5 | 3     5     2     1     2       2     5     3     1     2       2     5     3     1     2       2     1     3     5     2       2     1     3     5     1       1     2     3     5     1       1     2     3     5     1 | 3     5     2     1     2     2       2     5     3     1     2     2       2     5     3     1     2     3       2     1     3     5     2     3       2     1     3     5     1     1       1     2     3     5     1     1       1     2     3     5     1     1 |

Note that we are using i to refer to the i-th iteration of the loop, not the actual value that i takes in the algorithm.

(f) (4 points) Solve the sum to prove the asymptotic running time that you found on wikipedia. To do this, you will use your answer from part (b), together with the fact that O(f(n) + g(n)) = O(f(n)) + O(g(n)). Show your work!

Divvy sort performs  $\frac{n}{2}$  insertion sorts of size 2,  $\frac{n}{4}$  insertion sorts of size 4, ... Using the result that the runtime of insertion sort is  $O(n^2)$ , we can write the runtime of divvy sort as

$$\sum_{k=1}^{\log(n)} \frac{n}{2^k} (2^k)^2$$

$$= \sum_{k=1}^{\log(n)} n * 2^k$$

$$= 2(n^2 - n)$$

Therefore the runtime of divvy sort is  $O(n^2)$ 

(g) (4 points) Look again at the web app from part (c). Explain why "Divvy Sort" finishes significantly faster than your answer in part (b).

Divvy Sort finishes faster than Insertion Sort because it breaks down the insertion sorts into subarrays, as well as allowing elements to be moved more than one position at a time. After each pass of sorting subarrays, we will end up with each element being placed closer to their "true" sorted position. When the final insertion sort pass is run on the full array, we will have much less shifting of elements as opposed to insertion sort in the worst case.

2. [Sorting Mechanics — 24 points]. In this problem you will show us that you understand the concept of *loop invariants* in sorting algorithms. Each of the lists of names below has been created by invoking a sorting algorithm, and stopping it after some number of iterations, k. For each list, and for each sorting algorithm, fill in the box with the *maximum* possible value of k. In the table, "Sel" stands for selection sort, "Ins" stands for insertion sort, and "Div" stands for divvy sort. In this problem we are considering an "iteration" to be one execution of the *outer* loop of the algorithm.

| #   |     | ex 1  |       | ex 2       |     | ex 3        |       | ex 4  |  |
|-----|-----|-------|-------|------------|-----|-------------|-------|-------|--|
| 0   |     | Asuna |       | Asuna      |     | Akame       |       | Erza  |  |
| 1   |     | Winry |       | Akame      |     | Akeno       |       | Jubia |  |
| 2   |     | Shiro |       | Akeno      |     | Asuna       |       | Lucy  |  |
| 3   |     | Yuri  |       | Lucy       |     | Erza        |       | Lucy  |  |
| 4   |     | Lucy  |       | Riza       |     | Jubia       |       | Maka  |  |
| 5   |     | Shana |       | Jubia      |     | Lucy        |       | Nami  |  |
| 6   |     | Erza  |       | Erza       |     | Taiga       |       | Riza  |  |
| 7   |     | Saeko |       | Nami       |     | Yuri        |       | Shana |  |
| 8   |     | Taiga |       | Saeko      |     | Shana       |       | Shiro |  |
| 9   |     | Jubia |       | Maka       |     | Nami        |       | Taiga |  |
| 10  |     | Lucy  |       | Lucy       |     | Shiro       |       | Winry |  |
| 11  |     | Maka  |       | Taiga      |     | Saeko       |       | Yuri  |  |
| 12  |     | Nami  |       | Shiro Maka |     |             | Asuna |       |  |
| 13  |     | Akame |       | Shana      | · · |             |       | Akame |  |
| 14  |     | Akeno |       |            |     | Riza        |       | Akeno |  |
| _15 |     | Riza  | Winry |            |     | Winry Saeko |       |       |  |
|     | Div | 0     | Div   | 2          | Div | 0           | Div   | 0     |  |
|     | Ins | 1     | Ins   | 0          | Ins | 7           | Ins   | 11    |  |
|     | Sel | 0     | Sel   | 0          | Sel | 6           | Sel   | 0     |  |

**Note:** We assume that in the solution above that in insertion sort, the code runs for n-1 iterations, where n is the number of elements in the array to sort.

Blank sheet for extra work.