# **TKE Turbulent Closure Scheme in NEMO**

The objective of this document is to introduce the TKE closure as implemented in NEMO in its simplest form.

#### 1 Continuous aspects

The first step is to add the following one-dimensional prognostic equation for the TKE which is a positive-definite quantity noted e:

$$\partial_t e = K_m \left[ (\partial_z u)^2 + (\partial_z v)^2 \right] - K_t N^2 + \partial_z \left( K_e \partial_z e \right) - c_\epsilon \frac{e^{3/2}}{l_\epsilon^2}$$
(1.1)

where  $K_m$  and  $K_t$  are respectively the eddy-viscosity and the eddy-diffusivity, and  $K_e$  a diffusion coefficient for TKE which is expressed as  $K_e = c_e K_m$  with  $c_e$  a constant parameter.  $c_\epsilon$  is also a constant parameter and  $l_\epsilon$  a mixing length defined below. The eddy-viscosity and eddy-diffusivity are given by

$$K_m = \max\left(c_m l_m \sqrt{e}, K_{m0}\right), \qquad K_t = \max\left(K_m / \Pr_t, K_{t0}\right)$$
(1.2)

with  $Pr_t$  the turbulent Prandtl number defined as

$$\Pr_t = \max\left(0.1, \frac{\operatorname{Ri}_c}{\max(\operatorname{Ri}_c, \operatorname{Ri})}\right), \qquad \operatorname{Ri} = \frac{N^2}{\left[(\partial_z u)^2 + (\partial_z v)^2\right] + \varepsilon_s}$$

and  $l_m$  a mixing length. The length scales  $l_m$  and  $l_\varepsilon$  are computed via two intermediate length scales  $l_{\rm up}$  and  $l_{\rm dwn}$  estimating respectively the maximum upward and downward displacement of a water parcel with a given initial kinetic energy.  $l_{\rm up}$  and  $l_{\rm dwn}$  are first initialized to

$$l_{\rm up}(z) = l_{\rm dwn}(z) = \sqrt{\frac{2e(z)}{\max(N^2, N_{\varepsilon}^2)}}.$$

The resulting length scales are then limited not only by the distance to the surface and to the bottom but also by the distance to a strongly stratified portion of the water column such as the thermocline. This limitation amounts to control the vertical gradients of  $l_{\rm up}(z)$  and  $l_{\rm dwn}(z)$  such that they are not larger that the variations of depth.

Once  $l_{\rm up}$  and  $l_{\rm dwn}$  are known, the length scales  $l_m$  and  $l_{\varepsilon}$  are computed via

$$l_{\varepsilon} = \sqrt{l_{\rm up}l_{\rm dwn}}, \qquad l_m = \min(l_{\rm up}, l_{\rm dwn})$$

The boundary conditions for the different terms involved are:

$$e(z = \eta, t) = \max(C_{\text{sfc}} ||\tau||/\rho_0, e_0^{\text{sfc}}), \qquad e(z = -H, t) = e_0$$

Parameter	Value	Parameter	Value
$c_m$	0.1	$c_e$	1
$c_{\epsilon}$	0.7	$\mathrm{Ri}_c$	$\frac{2}{2 + (c_{\epsilon}/c_m)} \approx 0.22$
$arepsilon_{ ext{s}}$	$1 \times 10^{-20} \text{ s}^{-2}$	$N_arepsilon^2$	$1 \times 10^{-20} \text{ s}^{-2}$
$K_{m0}$	$1.2 \times 10^{-4} \text{ m}^2 \text{ s}^{-1}$	$K_{t0}$	$1.2 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$
$l_0$	0.04 m	$e_0$	$\frac{\sqrt{2}}{2} \times 10^{-6} \text{ m s}^{-2}$
$C_{ m sfc}$	67.83	$C_l$	$2 \times 10^5$
$e_0^{ m sfc}$	$10^{-4} \text{ m s}^{-2}$		

Table 1 Caption

with  $\eta$  the free-surface and H the total depth of the water column at rest.

$$l_{\mathrm{up}}(z=\eta,t) = \max\left(l_0, \frac{\kappa C_l}{\rho_0 g} \|\boldsymbol{\tau}\|\right), \qquad l_{\mathrm{dwn}}(z=-H,t) = l_0$$

where  $\kappa$  is the Von Karman constant,  $\rho_0$  the Boussinesq reference density, and  $\tau$  the wind-stress vector.

## 2 Discrete aspects

#### 2.1 Space/time discretization

We consider a Lorenz grid in the vertical (with u and  $\rho$  located at cell centers, a.k.a.  $\rho$ -points) meaning that the vertical shear and Brunt-Vaisala frequency in the rhs of the TKE equation are naturally located at cell interfaces (a.k.a. w-points). Moreover since  $K_m$  and  $K_t$  are expected to be given at w-points, it is customary to locate e,  $l_m$  and  $l_\varepsilon$  at w-points. As far as the time discretization is concerned, a backward Euler scheme is generally used with the forcing terms  $K_m\left[(\partial_z u)^2 + (\partial_z v)^2\right]$  and  $K_t N^2$  taken at time n and the dissipation term  $e^{3/2}$  discretized as  $\sqrt{e^n}e^{n+1}$ .

# 2.2 TKE positivity

As mentioned earlier, the TKE equation is discretized using a backward Euler scheme in time with a linearization of the dissipation term  $\frac{C_{\varepsilon}}{l_{\varepsilon}}e^{3/2}$  which is discretized as  $\frac{C_{\varepsilon}}{l_{\varepsilon}}\sqrt{e^n}e^{n+1}$ . However, such discretization is not unconditionally positivity-preserving for TKE which could give rise to unphysical solutions. The overwhelming majority of atmospheric/oceanic models will simply put a minimum threshold on  $e^{n+1}$  once it is computed  $(e^{n+1} = \max(e^{n+1}, e_0))$ . Ignoring the diffusion term, the TKE prognostic equation (1.1) can be written as an ordinary differential equation (ODE) of the form

$$\partial_t e = S(\mathbf{u}_h, N^2) - D(e, t) \ e, \qquad \text{with} \qquad S(\mathbf{u}_h, N^2) = K_m \|\partial_z \mathbf{u}_h\|^2 - K_t N^2, \ D(e, t) = \frac{c_{\varepsilon}}{l_{\varepsilon}} \sqrt{e^n} \ \ (2.1)$$

where the last term can be seen as a damping term. For ODEs like (2.1) it can be shown that for an initial condition  $e(0) \ge 0$  and  $S(\mathbf{u}_h, N^2) \ge 0$ , the solution e(t) keeps the same sign as e(0) whatever the sign of the damping coefficient D(e,t). Assuming that  $S(\mathbf{u}_h, N^2)$  and D(e,t) are positive, a backward Euler

discretization of the damping term in (2.1) would lead to  $e^{n+1} = \frac{e^n + \Delta t S(\mathbf{u}_h, N^2)}{1 + \Delta t D(e, t)}$  which preserves positivity since for  $e^n \geq 0$  we obtain  $e^{n+1} \geq 0$ . However, there is no guarantee that the forcing term  $S(\mathbf{u}_h, N^2)$  is positive in particular when the shear is weak and the stratification is large. When  $S(\mathbf{u}_h, N^2)$  is negative a specific treatment (known as "Patankar trick") is required. In the event of a negative  $S(\mathbf{u}_h, N^2)$ , the idea is to move the buoyancy term from S to D after dividing it by  $e^n$ , such that  $S(\mathbf{u}_h, N^2) = K_m \|\partial_z \mathbf{u}_h\|^2$  is now strictly positive and  $D(e,t) = \frac{c_\varepsilon}{l_\varepsilon} \sqrt{e^n} + K_s \frac{N^2}{e^n}$ . Such procedure is a sufficient condition to preserve the positivity of the TKE without ad-hoc clipping of negative values.

## 2.3 Length scales computation

Maybe the most delicate point is the discretization of the length scales  $l_{\rm up}$  and  $l_{\rm dwn}$ . Let us introduce the index k to characterize the vertical layer k with thickness  $\Delta z_k$  and consider that the top-most layer is  $\Delta z_N$  and the bottom-most layer is  $\Delta z_1$ . The first step amounts to initialize  $l_{\rm up}$  and  $l_{\rm dwn}$  as

$$(l_{\rm up})_{k+1/2} = \sqrt{2e_{k+1/2}/\max((N^2)_{k+1/2}, N_{\varepsilon}^2)}$$
$$(l_{\rm dwn})_{k+1/2} = \sqrt{2e_{k+1/2}/\max((N^2)_{k+1/2}, N_{\varepsilon}^2)}$$

Then to guarantee that the vertical gradients of  $l_{\rm up}(z)$  and  $l_{\rm dwn}(z)$  are not larger that the variations of depth the following logic is applied:

• For  $l_{\text{dwn}}$ : initialize it with  $(l_{\text{dwn}})_{1/2} = l_0$  and going up from the bottom (k = 1) to the top (k = N)

$$(l_{\text{dwn}})_{k+1/2} = \min \{(l_{\text{dwn}})_{k-1/2} + \Delta z_k, (l_{\text{dwn}})_{k+1/2}\}$$

• For  $l_{\rm up}$ : initialize it with the surface value  $(l_{\rm up})_{N+1/2} = \frac{\kappa C_l}{\rho_{\rm 0}g} \| \boldsymbol{\tau} \|$  and going down from the top (k=N-1) to the bottom (k=0)

$$(l_{\text{up}})_{k-1/2} = \min \{(l_{\text{up}})_{k+1/2} + \Delta z_k, (l_{\text{up}})_{k-1/2}\}$$