

# 01:198:344 - Homework I

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## Problem 1.

1.  $\mathcal{O}(g(n))$
2.  $\mathcal{O}(g(n))$
3.  $\Omega(g(n))$
4.  $\Theta(g(n))$
5.  $\mathcal{O}(g(n))$
6.  $\mathcal{O}(g(n))$
7.  $\Theta(g(n))$
8.  $\mathcal{O}(g(n))$
9.  $\Omega(g(n))$
10.  $\mathcal{O}(g(n))$

**Problem 2.**

1. Prove by induction that

$$\sum_{i=0}^k i2^i = (k-1)2^{k+1} + 2$$

*Proof.* Base case: Let  $k = 0$ , then  $\sum_{i=0}^0 i2^i = (0-1)2^{0+1} + 2$

$$\begin{aligned} 0 * 2^0 &= -1 * 2 + 2 \\ 0 &= 0 \end{aligned}$$

Inductive Step: For  $k > 0$ , we have:

$$\begin{aligned} \sum_{i=0}^k i2^i &= k2^k + \sum_{i=0}^{k-1} i2^i \\ &= k2^k + ((k-1)-1)2^{(k-1)+1} + 2 \\ &= k2^k + ((k-1)-1)2^{(k-1)+1} + 2 \\ &= k2^k + (k-2)2^k + 2 \\ &= k2^k + (k-2)2^k + 2 \\ &= k2^k + k2^k - 2 * 2^k + 2 \\ &= 2k2^k - 2^{k+1} + 2 \\ &= k2^{k+1} - 2^{k+1} + 2 \\ &= (k-1)2^{k+1} + 2 \\ &= \sum_{i=0}^k i2^i \end{aligned}$$

Thus for all  $k$ , we have shown that  $\sum_{i=0}^k i2^i = (k-1)2^{k+1} + 2$ .

□

2. Prove that  $\sum_{i=1}^n \frac{i^4}{10} = \Theta(n^5)$

*Proof.*  $\sum_{i=1}^n i^4/10 = \Theta(n^5)$

Let  $f(n) = \sum_{i=1}^n i^4$

We must prove then that  $\frac{1}{10}f(n) = \Theta(n^5)$ .

It follows, by definition of Theta, that there must exist a  $c_1, c_2, n_1$  such that:  
 $0 \leq c_1(n^5) \leq \frac{1}{10}f(n) \leq c_2(n^5)$  for all  $n \geq n_1$ .

Equivalently we have:  $0 \leq 10c_1(n^5) \leq f(n) \leq 10c_2(n^5)$  for all  $n \geq n_1$ .

Since  $10c_1$  and  $10c_2$  are constants, we have  $f(n) = \Theta(n^5)$ .

To prove  $f(n) = \Theta(n^5)$  we must prove that  $f(n) = \mathcal{O}(n^5)$  and  $f(n) = \Omega(n^5)$

Case 1: Show  $f(n) = \mathcal{O}(n^5)$

$$\begin{aligned} f(n) &= 1^4 + 2^4 + 3^4 + 4^4 + \dots + n^4 \\ &\leq n^4 + n^4 + n^4 + n^4 + \dots + n^4 \end{aligned}$$

Since  $n^4 + n^4 + n^4 + n^4 + \dots + n^4 = n * n^4 = n^5$

It follows  $1^4 + 2^4 + 3^4 + 4^4 + \dots + n^4 \leq n^5$

Accordingly  $f(n) \leq n^5$

$\therefore f(n) = \mathcal{O}(n^5)$

Case 2: Show  $f(n) = \Omega(n^5)$

$$\begin{aligned} f(n) &= 1^4 + \dots + ((\frac{n}{2})^2) + ((\frac{n}{2})^2 + 1) + ((\frac{n}{2})^2 + 2) + ((\frac{n}{2})^2 + 3) + \dots + n^4 \\ &\geq (\frac{n}{2})^4 + (\frac{n}{2})^4 + (\frac{n}{2})^4 + (\frac{n}{2})^4 + \dots + (\frac{n}{2})^4 \end{aligned}$$

The RHS of inequality only has  $(\frac{n}{2})$  elements in the sequence.

Thus  $\text{RHS} = (\frac{n}{2})^4 + (\frac{n}{2})^4 + (\frac{n}{2})^4 + (\frac{n}{2})^4 + \dots + (\frac{n}{2})^4 = (\frac{n}{2}) * (\frac{n}{2})^4 = \frac{n^5}{32}$

So we have:  $1^4 + \dots + ((\frac{n}{2})^2) + ((\frac{n}{2})^2 + 1) + ((\frac{n}{2})^2 + 2) + ((\frac{n}{2})^2 + 3) + \dots + n^4 \geq \frac{n^5}{32}$

Accordingly  $f(n) \geq \frac{n^5}{32}$ ;

$\therefore f(n) = \Omega(n^5)$

We have shown that  $f(n)$  which is  $\sum_{i=1}^n i^4$ , is both  $\mathcal{O}(n^5)$  and  $\Omega(n^5)$ .

Observe that  $\frac{1}{10} \sum_{i=1}^n i^4 = \Theta(f(n))$  as  $0 \leq \frac{1}{20}f(n) \leq \frac{1}{10} \sum_{i=1}^n i^4 \leq f(n)$  for all  $n \geq 1$ .

Accordingly  $\frac{1}{10} \sum_{i=1}^n i^4 = \Theta(n^5)$  by the transitive property of Theta notation.

Hence we have  $\sum_{i=1}^n \frac{i^4}{10} = \Theta(n^5)$

□

3. What is  $\sum_{i=0}^{\log_2(n)} 4^i$  equal to in  $\Theta$ -notation?

Employing  $\sum_{i=0}^k r^i = (r^{k+1} - 1)/(r - 1)$  we have:

$$\begin{aligned}\sum_{i=0}^{\log_2(n)} 4^i &= (4^{\log_2(n)+1} - 1)/(4 - 1) \\ &= (4 * 4^{\log_2(n)} - 1)/3\end{aligned}$$

Applying log rule ( $a^{\log_b(c)} = c^{\log_b(a)}$ ) we have:

$$\begin{aligned}&= (4 * n^{\log_2(4)} - 1)/3 \\ &= (4 * n^2 - 1)/3 \\ &= 4n^2/3 - 1/3 \\ &= \Theta(n^2)\end{aligned}$$

Justification:

$$\text{Let } f(n) = \sum_{i=0}^{\log_2(n)} 4^i = 4n^2/3 - 1/3$$

$$\text{Let } g(n) = n^2$$

Allowing  $c_1 = 1$  and  $c_2 = 5$ , we have:

$$0 \leq c_1 * |g(n)| \leq |f(n)| \leq c_2 * |g(n)| \text{ for all } n > 2$$

Hence by definition,  $f(n) = \Theta g(n)$

$$\text{So } \sum_{i=0}^{\log_2(n)} 4^i = \Theta(n^2)$$

**Problem 3.**

1. Simplify  $8^{\log_4(n)}$ :

Applying the log rule ( $a^{\log_b(c)} = c^{\log_b(a)}$ ) we have:

$$\begin{aligned} n^{\log_4(8)} \\ &= n^{\log_4(4*2)} \\ &= n^{\log_4(4)+\log_4(2)} \\ &= n^{(1+0.5)} \\ &= n^{1.5} \end{aligned}$$

2. Simplify  $3^{\log_2(n)}$ :

Applying the log rule ( $a^{\log_b(c)} = c^{\log_b(a)}$ ) we have:

$$\begin{aligned} n^{\log_2(3)} \\ &= n^{\log_2(2*1.5)} \\ &= n^{\log_2(2)+\log_2(1.5)} \\ &= n^{1+\log_2(1.5)} \end{aligned}$$

$\log_2(1.5)$  is irrational.

Directly computing  $\log_2(1.5)$  gives approximately 0.5849625.

A more readable simplification gives  $n^{1+0.5849625} = n^{1.5849625}$ .

3. Prove that for any constants  $c, c'$ ,  $\log_c(n) = \Theta(\log_{c'}(n))$ .

*Proof.* Note that  $c$  and  $c'$  will both be at least 2 (rules of log). I'll employ the Theta property of transitivity to demonstrate that  $\log_c(n) = \Theta(\log_{c'}(n))$ .

- Note that  $\log_c(n) = \frac{\log_2(n)}{\log_2(c)}$  and  $\log_{c'}(n) = \frac{\log_2(n)}{\log_2(c')}$  (by change of base formula)
- (1) Proof of the following  $\log_2(n) = \Theta(\frac{\log_2(n)}{\log_2(c')})$

*Proof.* Let  $p = \frac{\log_2(c')}{2}$  and  $q = 2 \log_2(c')$

Then for all  $n \geq 1$ :  $0 \leq p * \frac{\log_2(n)}{\log_2(c')} \leq \log_2(n) \leq q * \frac{\log_2(n)}{\log_2(c')}$

$$= 0 \leq \frac{\log_2(n)}{2} \leq \log_2(n) \leq 2 * \log_2(n)$$

By definition of Theta notation, we have proved that  $\log_2(n) = \Theta(\frac{\log_2(n)}{\log_2(c')})$   
 $\therefore \log_2(n) = \Theta(\log_{c'}(n))$  (by change of base formula)

□

- (2) Proof of the following  $\frac{\log_2(n)}{\log_2(c)} = \Theta(\log_2(n))$

*Proof.* Let  $p = \frac{1}{2 * \log_2(c)}$  and  $q = \frac{2}{\log_2(c)}$

Then for all  $n \geq 1$ :

$$0 \leq p * \log_2(n) \leq \frac{\log_2(n)}{\log_2(c)} \leq q * \log_2(n)$$

$$= 0 \leq \frac{\log_2(n)}{2 * \log_2 c} \leq \frac{\log_2(n)}{\log_2(c)} \leq \frac{2 * \log_2(n)}{\log_2 c}$$

$$= 0 \leq 0.5 \log_2(n) \leq \log_2(n) \leq 2 \log_2(n)$$

By definition of Theta notation, we have proved that  $\frac{\log_2(n)}{\log_2(c)} = \Theta(\log_2(n))$   
 $\therefore \log_c(n) = \Theta(\log_2(n))$  (by change of base formula)

□

We demonstrated that  $\log_c(n) = \Theta(\log_2(n))$  and that  $\log_2(n) = \Theta(\log_{c'}(n))$ .

$\therefore \log_c(n) = \Theta(\log_{c'}(n))$  (by the transitivity property of Theta notation)

□

**Problem 4.**

1. Closest Pair with a run-time better than  $\mathcal{O}(n^2)$

*// @param A -> An array with n distinct elements, n >= 2  
 // @return x,y -> s.t that |x-y| is as small as it could be*

```
PROCEDURE ClosestPair(A):

    sort(A)

    minDistance <- |A[0] - A[1]|
    x <- A[0]
    y <- A[1]

    i <- 2
    while i < A.length:

        if minDistance > |A[i-1] - A[i]|:
            minDistance <- |A[i-1] - A[i]|
            x <- A[i-1]
            y <- A[i]

        i <- i+1

    return x,y

END PROCEDURE
```

**Analysis of run-time:**

We sort A which is  $\mathcal{O}(n \log(n))$  (discussed in class).

Computing the initial closest pair takes constant time.

The while loop takes  $\mathcal{O}(n)$  time to complete since we iterate over A.length-2 number of elements. In each iteration we do a constant number of operations, hence each iteration takes  $\mathcal{O}(1)$  time.

$$\begin{aligned} \text{Thus } T(n) &= n \log n + (n-2 \text{ iterations}) * (1) \\ T(n) &= n \log(n) + n - 2 \\ &= \mathcal{O}(n \log(n)) \end{aligned}$$

Hence we have a worst case run-time of  $\text{ClosestPair}(A) = \mathcal{O}(n \log(n))$ .

2.

```
/**
  @param An array A of comparable elements
  @return The maximum value of A or null if none exist
 */
PROCEDURE FindMax(A):

    // An empty array implies no max.
    if A.length == 0:
        return null

    max <- A[0]
    count <- 1

    while count < A.length:

        if A[count] > max:
            max <- A[count]

        count <- count + 1

    return max
```

**Analysis of run-time:**

Our loop is guaranteed to iterate  $n-1$  times, where  $n = A.length$ .  
For each iteration, we do a constant number of operations.

Hence our procedure takes  $(n-1)*1$  time to complete.  
Accordingly FindMax(A)'s run-time is  $\mathcal{O}(n)$ .



3. Find a triplet of indices problem with a run-time of  $\mathcal{O}(n^2)$

```

// @param T is the target sum
// @returns (true, I,J) where I=A[i], J=A[j], such that I+J=T
// @returns (false,-1,-1) if no A[i]+A[j] sum to T.
// note that i,j do not have to be unique.
PROCEDURE sorted-2-sum(A, T):
    i <- 0
    j <- A.length - 1
    while(i <= j):
        sum <- A[i] + A[j]

        if sum == T:      return (true,A[i],A[j])
        else if sum < T:  i <- i + 1
        else:             j <- j - 1

    return (false,-1,-1)

END PROCEDURE

/**
    @param A -> array of length n
    @return I,J,K such that I+J=K;
*/
PROCEDURE FindTriplets(A):

    sort(A)

    k <- A.length-1
    while k >= 0:

        K <- A[k]

        bool,I,J <- sorted-2-sum(A, K)

        if (bool,I,J) != (false,-1,-1):
            return I,J,K

        k <- k - 1

    return "no such triplet exists"

END PROCEDURE

```

**Analysis of run-time:**

We sort A which is  $\mathcal{O}(n \log(n))$  (discussed in class)

The while loop takes  $\mathcal{O}(n)$  time to complete since we iterate over A.length number of elements. Per iteration, the sorted-2-Sum method takes  $\mathcal{O}(n)$  time to return (discussed in class).

Thus  $T(n) = n \log n + (n \text{ iterations}) * (1 \text{ sorted-2-Sum call per iteration})$

$$T(n) = n \log(n) + n * n$$

Hence we have a worst case run-time of:  $\mathcal{O}(n^2)$ .

**Problem Extra Credit.** Prove that the total running time of the algorithm is  $\Theta(n^3)$ .

Suppose that A is of size seven, that is  $n = 7$  (I'll use this example to derive a closed form equation for the number of computations required to solve the **Maximum Interval Value** algorithm):

i can thus take on the values from 0 to 6 inclusive.

j can take on the values from 1 to 6 inclusive.

In our example of  $n = 7$ , we would thus have the following loop iterations with their  $\text{sum}(i,j)$  computational time:

i=0, j=1; takes  $\Theta(1)$   
i=0, j=2; takes  $\Theta(2)$   
i=0, j=3; takes  $\Theta(3)$   
i=0, j=4; takes  $\Theta(4)$   
i=0, j=5; takes  $\Theta(5)$   
i=0, j=6; takes  $\Theta(6)$

i=1, j=2; takes  $\Theta(1)$   
i=1, j=3; takes  $\Theta(2)$   
i=1, j=4; takes  $\Theta(3)$   
i=1, j=5; takes  $\Theta(4)$   
i=1, j=6; takes  $\Theta(5)$

i=2, j=3; takes  $\Theta(1)$   
i=2, j=4; takes  $\Theta(2)$   
i=2, j=5; takes  $\Theta(3)$   
i=2, j=6; takes  $\Theta(4)$

i=3, j=4; takes  $\Theta(1)$   
i=3, j=5; takes  $\Theta(2)$   
i=3, j=6; takes  $\Theta(3)$

i=4, j=5; takes  $\Theta(1)$   
i=4, j=6; takes  $\Theta(2)$

i=5, j=6; takes  $\Theta(1)$

Total running time is thus equivalent to:

$$= 6 * \Theta(1) + 5 * \Theta(2) + 4 * \Theta(3) + 3 * \Theta(4) + 2 * \Theta(5) + 1 * \Theta(6)$$

$$= 6 * \Theta(1) + 5 * \Theta(2) + 4 * \Theta(3) + 3 * \Theta(4) + 2 * \Theta(5) + 1 * \Theta(6)$$

Rewriting this we have:  $6 * 1 + 5 * 2 + 4 * 3 + 3 * 4 + 2 * 5 + 1 * 6 = 56$

Hence the total running time of the algorithm, equivalent to the sum of  $\text{sum}(i,j)$  for all possible combinations  $i,j$  s.t.  $j > i$ , is 56 when  $n = 7$ . While 56 doesn't immediately resemble  $7^3$ , we'll continue to use it to determine a summation formula to compute the total running time of this algorithm for larger  $n$ .

Recall that since  $n = 7$ , we can rewrite this as:

$$(n-1) * \Theta(1) + (n-2) * \Theta(2) + (n-3) * \Theta(3) + (n-4) * \Theta(4) + (n-5) * \Theta(5) + (n-6) * \Theta(6)$$

Rewriting this we have (loosely converting  $\Theta(x)$  into  $x$  computations):

$$(n-1)(1) + (n-2)(2) + (n-3)(3) + (n-4)(4) + (n-5)(5) + (n-6)(6)$$

$$\begin{aligned} &= n - 1 \\ &+ 2n - 4 \\ &+ 3n - 9 \\ &+ 4n - 16 \\ &+ 5n - 25 \\ &+ 6n - 36 \\ &\text{-----} \end{aligned}$$

Plugging in  $n = 7$ , we get 56.

$\therefore$  We know that this form of summation is a correct indication of the total running time.

In general this formula is of the form:

$$= (n-1)(1) + (n-2)(2) + \dots + (n-(n-1))(n-1)$$

This summation can be equivalently written as:

$$\begin{aligned} &= \sum_{i=1}^{n-1} (n-i)(i) \\ &= \sum_{i=1}^{n-1} in - i^2 \\ &= \sum_{i=1}^{n-1} in - \sum_{i=1}^{n-1} i^2 \\ &= n \sum_{i=1}^{n-1} i - \sum_{i=1}^{n-1} i^2 \end{aligned}$$

$\therefore$  for any  $n$ , the total running time of this algorithm is  $n \sum_{i=1}^{n-1} i - \sum_{i=1}^{n-1} i^2$

Recap: we computed the total running time that the **Maximum Interval Value** algorithm takes when  $n = 7$ . Refactoring a little bit, we arrived at a summation formula to compute the total running time of this algorithm for any  $n$ :  $n \sum_{i=1}^{n-1} i - \sum_{i=1}^{n-1} i^2$ .

In order to prove that the running time of the algorithm is  $\Theta(n^3)$ , we will prove that  $n \sum_{i=1}^{n-1} i - \sum_{i=1}^{n-1} i^2 = \Theta(n^3)$ .

$$\text{Proof. } n \sum_{i=1}^{n-1} i - \sum_{i=1}^{n-1} i^2 = \Theta(n^3)$$

$$n * \left\lceil \frac{n(n-1)}{2} \right\rceil - \sum_{i=1}^{n-1} i^2 = \Theta(n^3) \text{ (by summation formula)}$$

$$n * \left\lceil \frac{n(n-1)}{2} \right\rceil - [\sum_{i=1}^{n-1} i^2 + n^2] = \Theta(n^3) - n^2 \text{ (subtracting } n^2 \text{ from both sides)}$$

$$n * \left\lceil \frac{n(n-1)}{2} \right\rceil - [\sum_{i=1}^n i^2] = \Theta(n^3) - n^2$$

$$n * \left\lceil \frac{n(n-1)}{2} \right\rceil - \Theta(n^3) = \Theta(n^3) - n^2 \text{ (as demonstrated in class)}$$

$$n * \left\lceil \frac{n(n-1)}{2} \right\rceil + n^2 = 2 * \Theta(n^3)$$

$$\frac{n^3 - n^2}{2} + n^2 = 2 * \Theta(n^3)$$

$$\frac{n^3 + n^2}{2} = 2 * \Theta(n^3)$$

$$\frac{n^3 + n^2}{4} = \Theta(n^3)$$

$$0 \leq \frac{1}{4} * n^3 \leq \frac{n^3 + n^2}{4} \leq n^3 \text{ for all } n \geq 10$$

$\therefore$  by definition of Theta notation we have  $n \sum_{i=1}^{n-1} i - \sum_{i=1}^{n-1} i^2 = \Theta(n^3)$

□

Hence the **Maximum Interval Value** algorithm as presented to us is  $\Theta(n^3)$ .