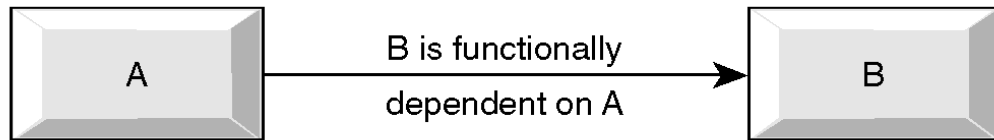


# Functional dependency



- An attribute B is FUNCTIONALLY DEPENDENT on another attribute A, if a value of A determines a single value of B at any one time.

$$A \rightarrow B$$

Examples:

- $\text{Emp\_Num} \rightarrow \text{Emp\_Name}$
- $\text{Proj\_Num} \rightarrow \text{Proj\_Name}$ 
  - $\text{Proj\_Num}$  is an independent variable, also known as the DETERMINANT
  - $\text{Proj\_Name}$  is a dependant variable

- **Total Dependency**

Attribute A determines B and attribute B determines A

**Example:**  $\text{Emp\_Num} \longleftrightarrow \text{Emp\_Tax\_File\_Num}$

- **Full Dependency**

An attribute Y is considered to have a full dependency to a SET of ATTRIBUTES X when:

- Y is functionally dependent on SET X
- Y is **not** functionally dependent on a proper SUBSET of X.

$$X \rightarrow Y$$

**Example:**  $\{\text{Proj\_Num}, \text{Emp\_Num}\} \rightarrow \text{Hours}$

X = {Proj\_Num, Emp\_Num} and

Y = Hours.

- **Partial Dependency**

An attribute Y is considered to have a partial dependency to SET X when Y is functionally dependent on a proper subset of X.

**Example:** {Proj\_Num, Emp\_Num}  $\rightarrow$  Emp\_Name

X = {Proj\_Num, Emp\_Num} and

Y = Emp\_Name.

- Emp\_Name is said to be **partially dependent** on {Proj\_Num, Emp\_Num} because Emp\_Name can be determined by only Emp\_Num.
- Emp\_Name is determined by a subset of X, {Emp\_Num}

## Notes:

- Partial dependency exists **only if the primary key is a composite key** and the determinant is a part of the Primary Key.
- If primary key is **NOT a composite key**, then **no partial dependency**

- **Transitive Dependency**

- Y depends on X, and Z depends on Y, thus Z also depends on X:

$$X \rightarrow Y \rightarrow Z$$

**Example:**  $\text{Emp\_Num} \rightarrow \text{Job\_Class}$  and  $\text{Job\_Class} \rightarrow \text{Chg\_Hour}$

This means,  $\text{Emp\_Num} \rightarrow \text{Job\_Class} \rightarrow \text{Chg\_Hour}$

X =  $\text{Emp\_Num}$ ,

Y =  $\text{Job\_Class}$  and

Z =  $\text{Chg\_Hour}$ .

- $\text{Chg\_Hour}$  shows a **transitive dependency** to  $\text{Emp\_Num}$  through  $\text{Job\_Class}$

## Notes:

- Harder to determine than partial dependency
- Only occur if there is a functional dependency among non-keys

# Dependency Summary

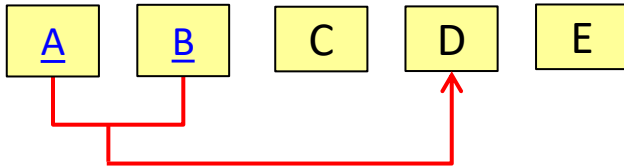
## ■ Functional



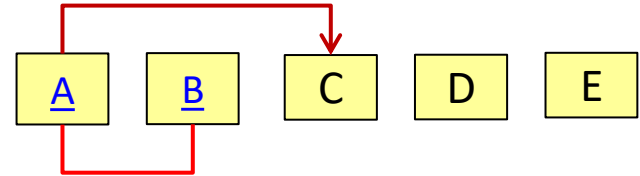
## ■ Total



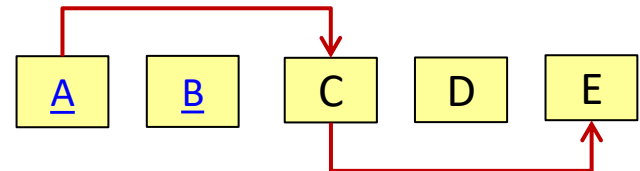
## ■ Full



## ■ Partial



## ■ Transitive



# Normalisation: UNF to 3NF

# UNF = Un-Normalised Form

- A relation (table) that contains repeating groups (or multiple entries for a single or part of a record)
  - Redundant (repeating) data is prone to the introduction of anomalies during update/delete and insert
- Raw data obtained from table/form/grid

For example, from the table shown before

**ProjectAssign** (Proj\_Num, Proj\_Name, Emp\_Num, Emp\_Name, Job\_Class, Chg\_Hour, Hours)

ProjectAssign	Proj_Num	Proj_Name	Emp_Num	Emp_Name	Job_Class	Charge_hour	Hours
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## Conditions of 1NF:

- It is a valid relation (in particular, **no null entries**)
- A **unique key** (**primary key**) has been identified for each row
- All attributes are **functionally dependent on all or part of the key**

## Convert UNF to 1NF conversion

- **Step 1:** Identify the repeating group(s), if any, in the un-normalised relation.
  - Put valid values in all table entries

FIGURE 6.2 A TABLE IN FIRST NORMAL FORM

Table name: DATA\_ORG\_1NF

Database name: Ch06\_ConstructCo

PROJ_NUM	PROJ_NAME	EMP_NUM	EMP_NAME	JOB_CLASS	CHG_HOUR	HOURS
15	Evergreen	103	June E. Arbough	Elect. Engineer	84.50	23.8
15	Evergreen	101	John G. News	Database Designer	105.00	19.4
15	Evergreen	105	Alice K. Johnson *	Database Designer	105.00	35.7
15	Evergreen	106	William Smithfield	Programmer	35.75	12.6
15	Evergreen	102	David H. Senior	Systems Analyst	96.75	23.8
18	Amber Wave	114	Annelise Jones	Applications Designer	48.10	24.6
18	Amber Wave	118	James J. Frommer	General Support	18.36	45.3
18	Amber Wave	104	Anne K. Ramoras *	Systems Analyst	96.75	32.4
18	Amber Wave	112	Darlene M. Smithson	DSS Analyst	45.95	44.0
22	Rolling Tide	105	Alice K. Johnson	Database Designer	105.00	64.7
22	Rolling Tide	104	Anne K. Ramoras	Systems Analyst	96.75	48.4
22	Rolling Tide	113	Delbert K. Joenbrood *	Applications Designer	48.10	23.6
22	Rolling Tide	111	Geoff B. Wabash	Clerical Support	26.87	22.0
22	Rolling Tide	106	William Smithfield	Programmer	35.75	12.8
25	Starflight	107	Maria D. Alonzo	Programmer	35.75	24.6
25	Starflight	115	Travis B. Bawangi	Systems Analyst	96.75	45.8
25	Starflight	101	John G. News *	Database Designer	105.00	56.3
25	Starflight	114	Annelise Jones	Applications Designer	48.10	33.1
25	Starflight	108	Ralph B. Washington	Systems Analyst	96.75	23.6
25	Starflight	118	James J. Frommer	General Support	18.36	30.5
25	Starflight	112	Darlene M. Smithson	DSS Analyst	45.95	41.4

## Convert UNF to 1NF conversion

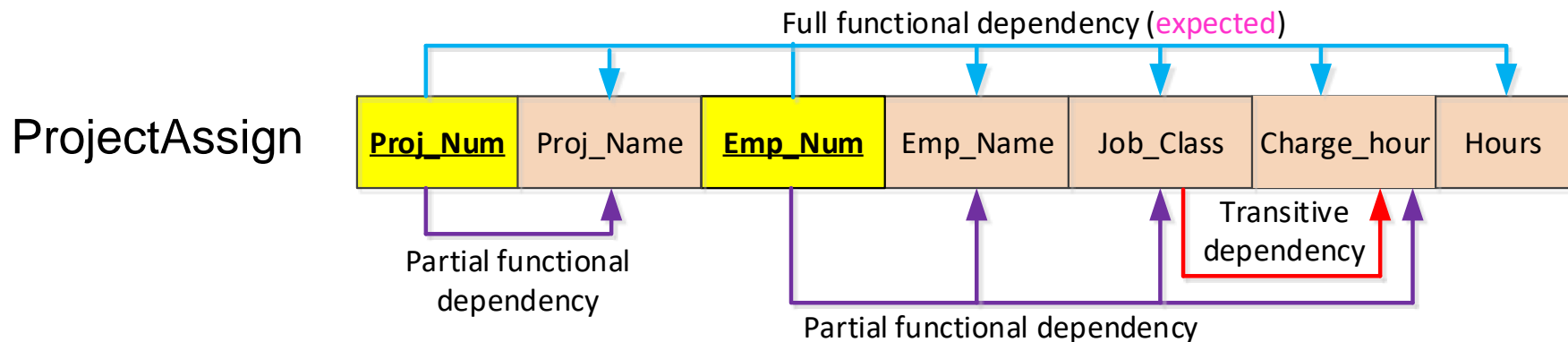
- **Step 2:** Determine the Primary Key in the table
  - If you **cannot** find a PK, insert a new column that can be a primary key

<u>Proj_Num</u>	Proj_Name	<u>Emp_Num</u>	Emp_Name	Job_Class	Charge_hour	Hours
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Table: ProjectAssign

## Convert UNF to 1NF conversion

- **Step 3:** Find all dependencies



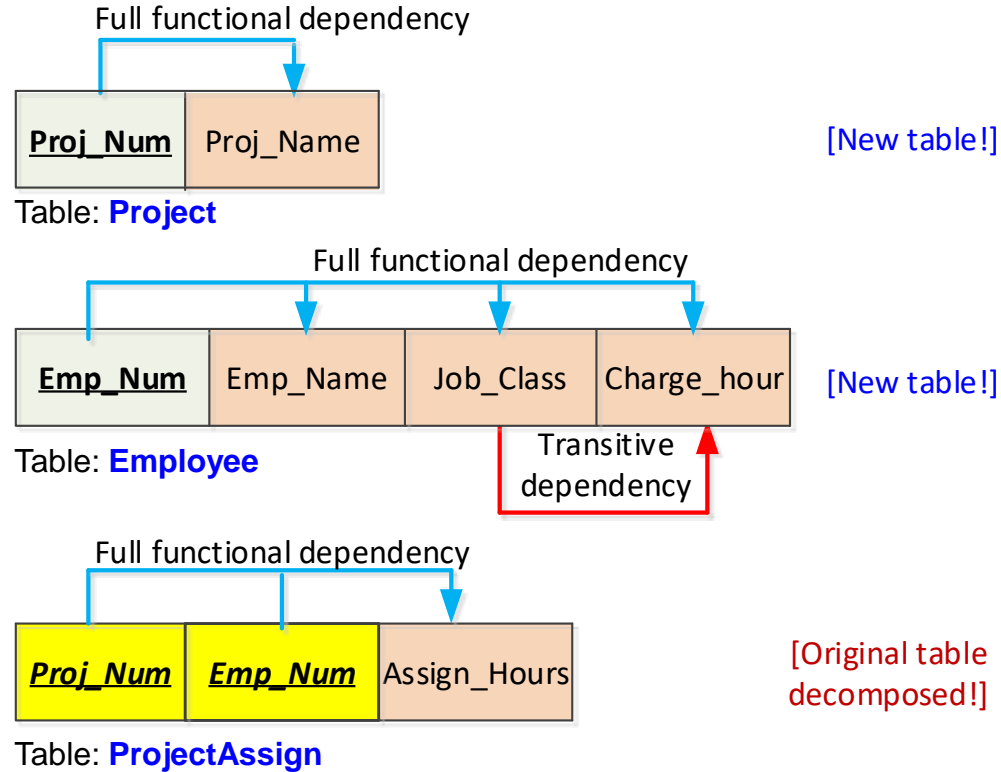
- Dependencies **above the diagram** are **expected**, and
- Those **below** are **unexpected**, so should be eliminated!

## Conditions of 2NF:

- Relation is in 1NF and
- No partial dependency exists
  - So, if the primary key is a composite key, partial dependency may exist and we need to remove partial dependency to convert to 2NF
  - If the primary key is NOT a composite key, the table is already in 2NF!
- **Note:** If right hand side is a key attribute, then above 2NF conditions are not violated. For example, if  $\{X, Y\} \rightarrow Z$  and  $Y \rightarrow Z$ , but if Z is a part of a candidate key, then partial dependency does not occur.

## Convert 1NF to 2NF conversion

- **Step 4:** Make new tables to eliminate partial dependencies
  - Make a new table for each **part of the primary key** that shows a partial dependency
  - Move all attributes which have partial dependencies into a new relation. The attribute(s) which these attributes are partially dependent on will be the **PK in the new relation**.
  - Leave that each **part of the primary key** as a **foreign key** in the original table to maintain relationships.



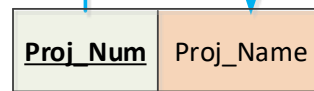
## Conditions of 3NF:

- Relation is in 2NF and
- No transitive dependency exists
  - So, if NO transitive dependency exists in a table, the table is already in 3NF!
- **Note:** If right hand side is a key attribute, then above 3NF condition is not violated. For example, if  $\underline{X} \rightarrow Y$ ,  $Y \rightarrow Z$  and  $Z \rightarrow X$ , so since Y & Z are candidate keys, then transitive dependency does not occur.

## Convert 2NF to 3NF conversion

- **Step 5:** Make new tables to eliminate transitive dependencies
  - Make a new table for each **non key attribute set** that is a determinant for a transitive dependency
  - Move attributes which have transitive dependencies into a new relation. The **attribute(s)** which these attributes are transitively dependent on will be **the PK** in the new relation
  - Leave that **non key attribute set** as a **foreign key** in the original table to maintain relationships.

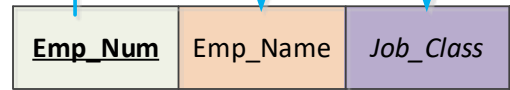
Full functional dependency



[No change!]

Table: **Project**

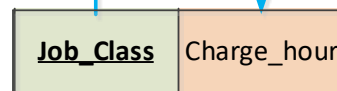
Full functional dependency



[Table decomposed!]

Table: **Employee**

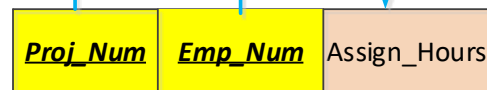
Full functional dependency



[New table!]

Table: **Job**

Full functional dependency



[No change!]

Table: **ProjectAssign**



Thank you