

## Module - 1

### (Introduction of Finite Automata)

L    A    L<sub>1</sub>  
 ↓    ↓    ↘  
 language   Alphabet   Automata  
 or  
 grammar.

- Symbol: {a, b, c, d, 0, 1, 2, 3, ...}
- Alphabet:  $\Sigma = \{a, b\}$ . (finite set of Symbol).
- String: (collection of Alphabets)  
 or  
 (Sequence of Alphabets)

Eg a, ab, abb, ...  
 (length of string 2)  
 {aa, ab, ba, bb}.

$$\Sigma^0 = \Sigma$$

- language  $\Rightarrow$  collection of strings.

Eg:  $\Sigma = \{a, b\}$   
 (i)  $L_1$  = strings of length 3.

Ans: {aaa, aab, aba, abb, baa, bab,  
 bb a, bbb}.

- (1) Automata (Model, Machine).

Infinite language

$\Sigma = \{a, b\}$ .      } Finite language.  
 $L_1$  = length 2.

{ aa, ab, ba, bb }

$$\{a, b\}$$

$$L_2 = \text{at least one } A = \{a, aa, aaa, aaaa, \dots, ab, abab, \dots, ba, babab, \dots\}$$

(a) Powers of  $\Sigma$  :  $\Sigma = \{a, b\}$ .

$\Sigma^*$  (Kleen Closure) = set of all strings of all lengths possible.

Infinite language

Also  $\Sigma^+$  (Positive closure) :-

$$\zeta^{\chi} = \zeta^+ + \zeta^0$$

$$\sum^0 = e$$

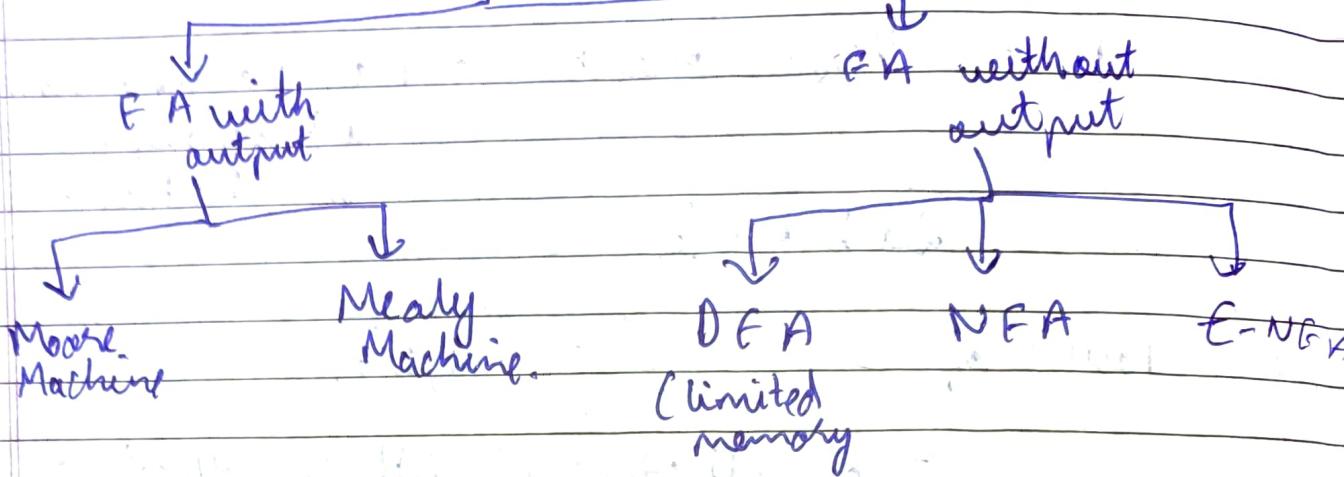
$$\Sigma^* - \varepsilon = \Sigma^+$$

Cardinality :- number of elements in a set.

$$\Sigma^n = \mathbb{Z}^n$$

$$\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots$$

# Finite State Machine



$$(Q, \Sigma, q_0, F, \delta)$$

$Q$  = set of all states.

$\Sigma$  = inputs.

$q_0$  = start state / initial state

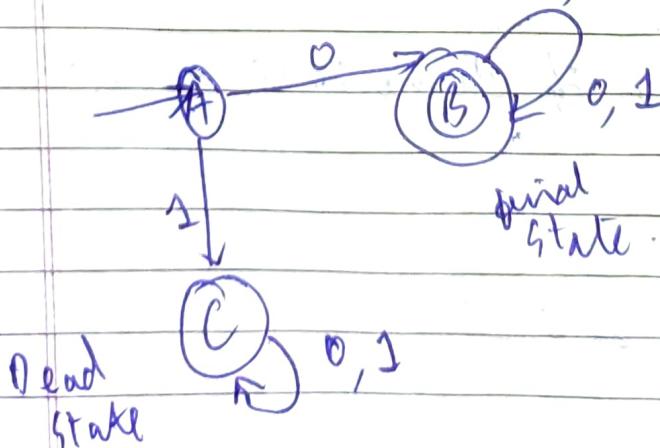
$F$  = set of final states

$\delta$  = transition func<sup>n</sup> from  $Q \times \Sigma \rightarrow Q$ .

## 1.2 DFA (Examples)

(1)  $L_1 = \{ \text{set of strings that start with '0'} \}^{\text{all}}$

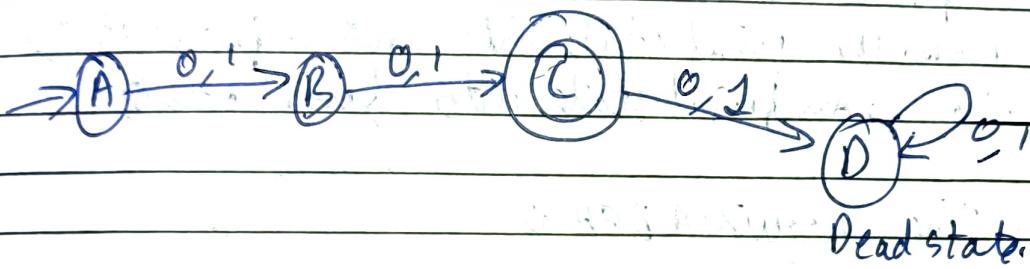
$$= \{ 0, 00, 000, 001, 010, \dots \}.$$



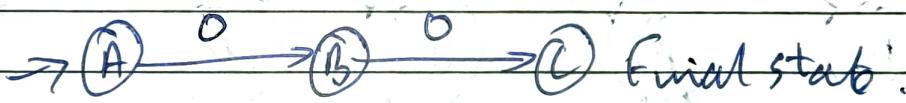
(2)  $L = \{ \text{Set of all strings over } \Sigma(0,1) \text{ of length } 2 \}$ .

$$\Sigma = \{0, 1\}.$$

$$L = \{00, 01, 10, 11\}.$$



✓ q<sub>0</sub>: 0 0



✗ q<sub>0</sub>: 001

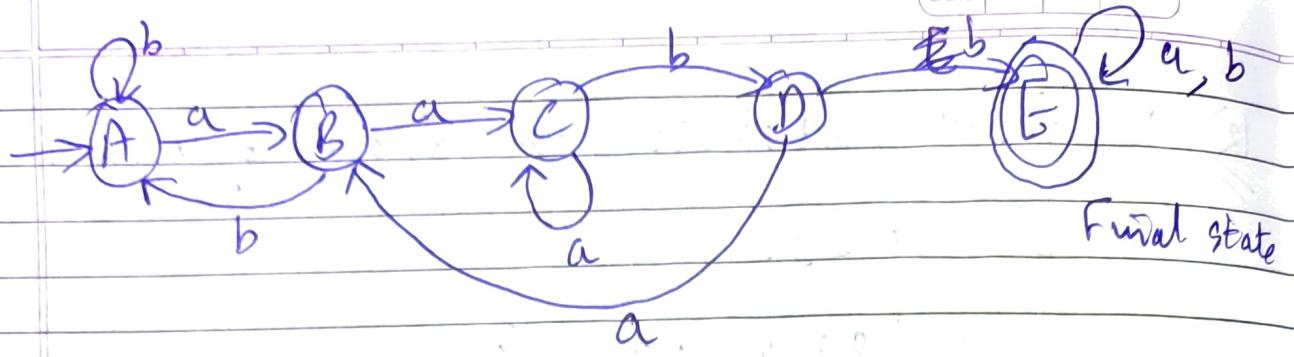


(3)  $L = \{ \text{Set of all strings that does not contain the string } aabb \text{ in it.} \}$

$$\Sigma = \{a, b\}.$$

Make a design which is simple

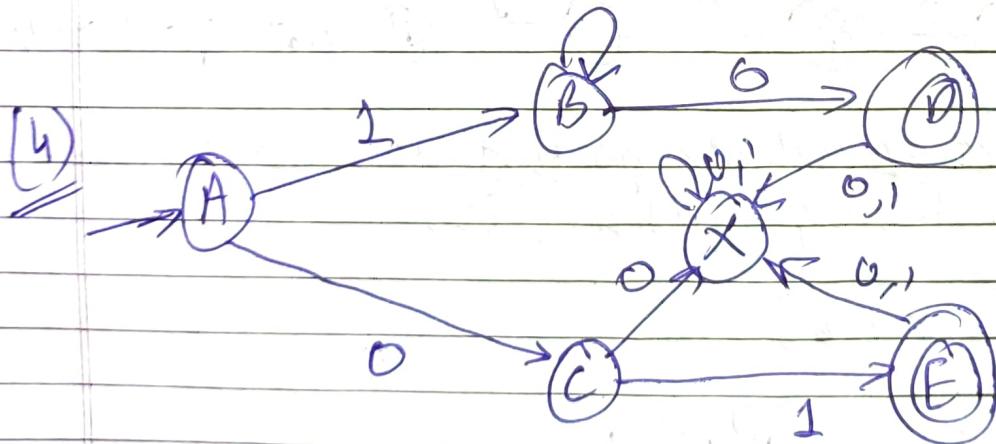
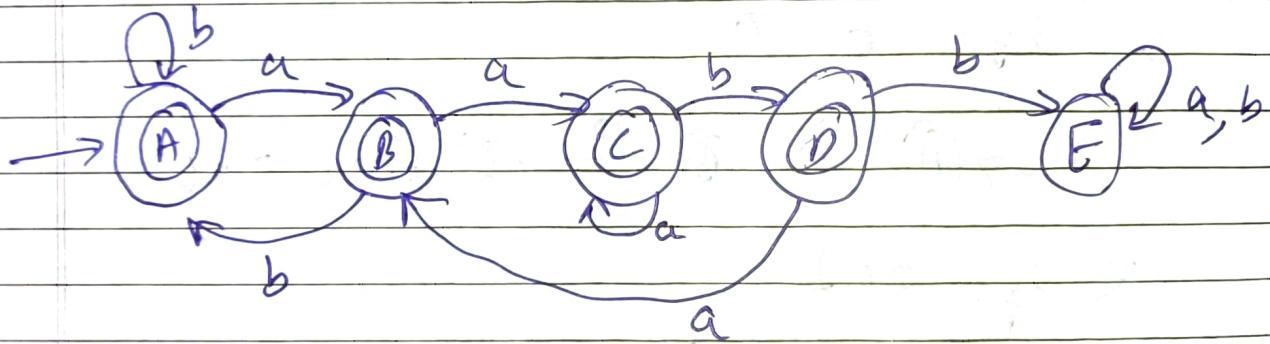
(i) First make DFA that contains string aabb in it.



(ii) - Flipping the states means

- Make the final state into non-final states & make the non-final state into final state.

∴ The required DFA is,



$L = \{ \text{Accepts the string } p_1 \text{ or a string of at least one } p_1 \text{ followed by a } 0^k \}$

Eg: 001, 010, 011, 1101, 1100

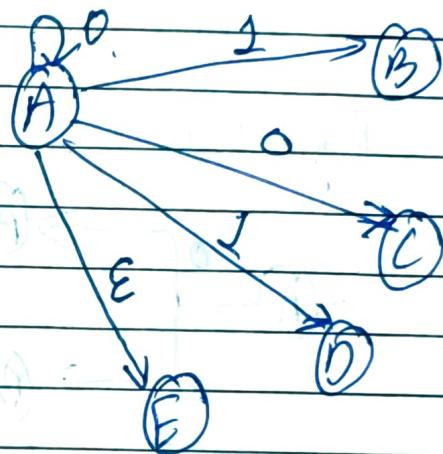
## 1.3 Non-Deterministic Finite Automata (NFA)

### Advantages of NFA

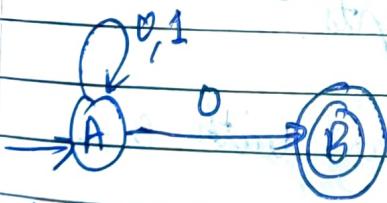
- Simple & easy to design
- Given current state we know what the next state will be.

### NFA

- There could be multiple next states
- All next states may be chosen in parallel.



### Formal definition (NFA)



$L = \{ \text{set of all strings that end with } 0 \}$

$(Q, \Sigma, q_0, F, \delta)$

$Q = \text{Set of all states}$

$\Sigma = \text{Set of inputs}$

$q_0 = \text{Initial state}$

$F = \text{Set of Final states}$

$\delta = Q \times \Sigma \rightarrow 2^Q$

- { A, B }

- { 0, 1 }

- A

- B

(Transition function)

$A \times 0 \rightarrow A$

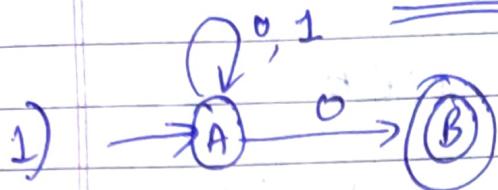
$A \times 1 \rightarrow B$

$B \times 1 \rightarrow A$

$B \times 0 \rightarrow \emptyset$

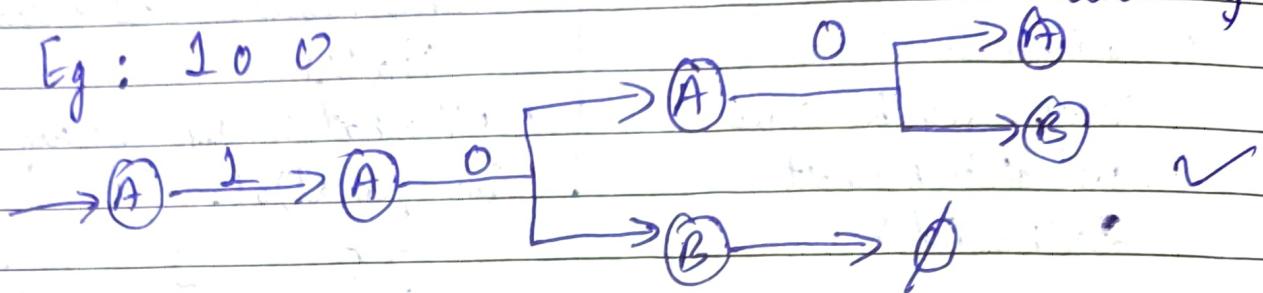
$A \rightarrow A, B, AB, \emptyset$

## Examples

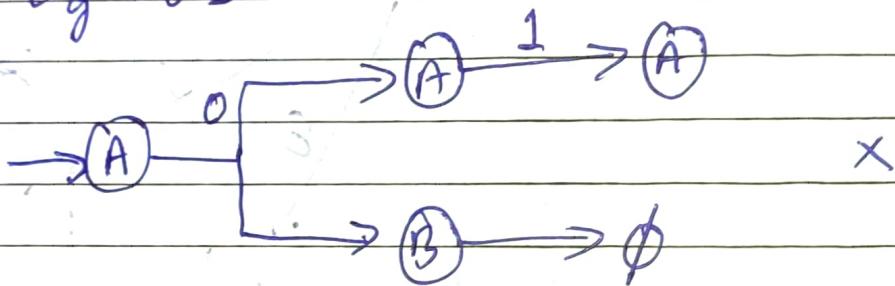


$L = \{ \text{set of all strings that end with } 0 \}$

Eg : 100



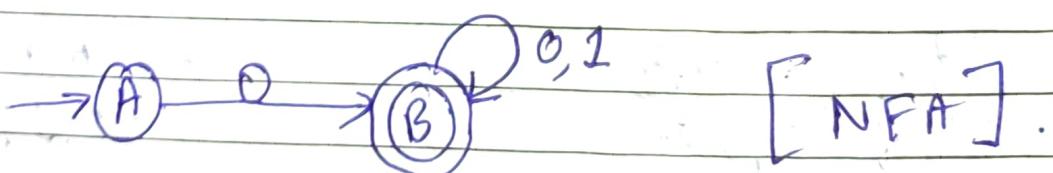
Eg : 01



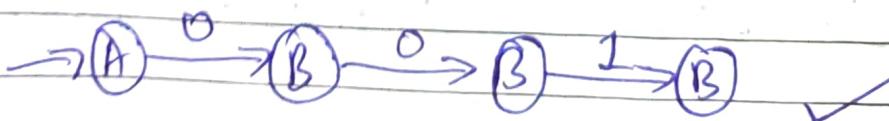
If there is any way to run machine that ends in any set of states out of which atleast one state is final state, then the NFA accepts.

2)  $L = \{ \text{set of all strings that start with } 01 \}$

$$= \{ 0, 00, 000, 01, 001, \dots \}$$

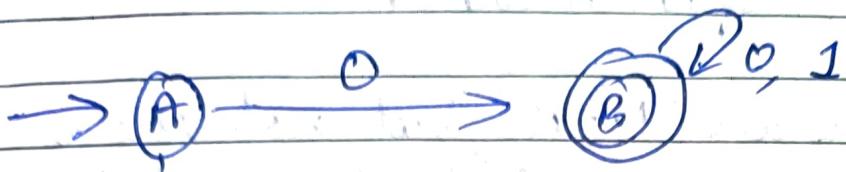


Eg : 001



Eg : 101

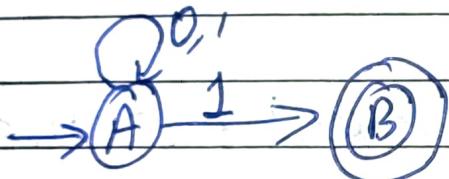
$\rightarrow A \xrightarrow{1} \emptyset$  (Dead configuration).



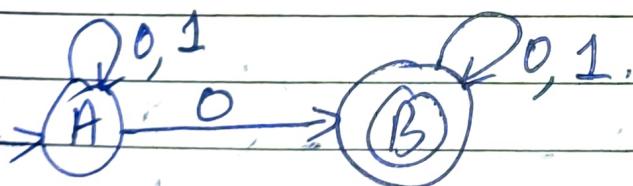
[DFA]

$C$  Dead state

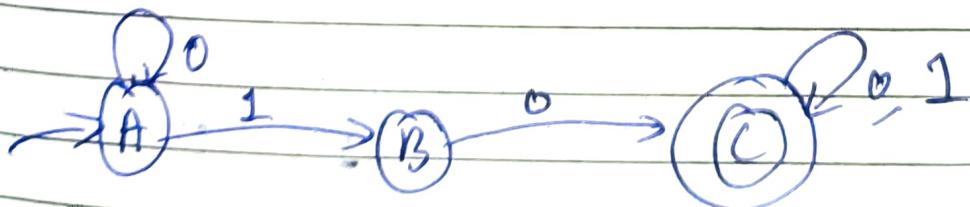
3)  $L_1 = \{ \text{set of all strings that ends with '1'} \}$ .



$L_2 = \{ \text{set of all strings that contain '0'} \}$ .



$L_3 = \{ \text{set of all strings that start with '1' 0} \}$ .



# 1.4 Equivalence of DFAs and NFAs, DFA Minimization

## Conversion of NFA to DFA

Every DFA is an NFA, but not vice versa.  
But there is an equivalent DFA for every NFA.

DFA

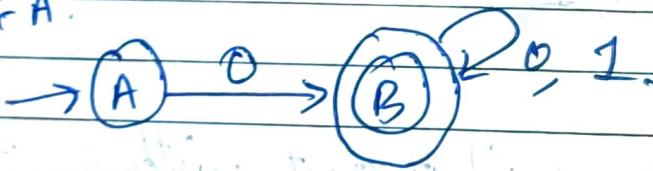
NFA

Transition function  $\delta = Q \times \Sigma \rightarrow Q$        $\delta = Q \times \Sigma \rightarrow 2^Q$

Eg:  $L = \{ \text{set of all strings over } \{0, 1\} \text{ that start with '0'} \}$ .

$$\Sigma = \{0, 1\}$$

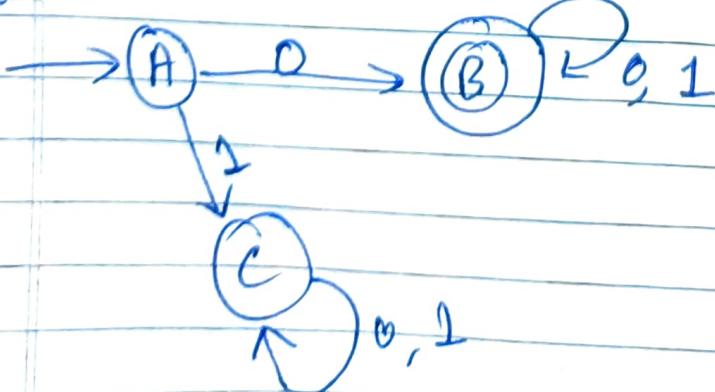
NFA:



$\Sigma$	0	1
A	B	
B	B	B

(Dead state after state)

DFA



$\Sigma$	0	1
A	B	C
B	B	B
C	C	C

## Examples

1)  $L = \{ \text{set of all strings over } (0, 1) \text{ that ends with '1'} \}$ .

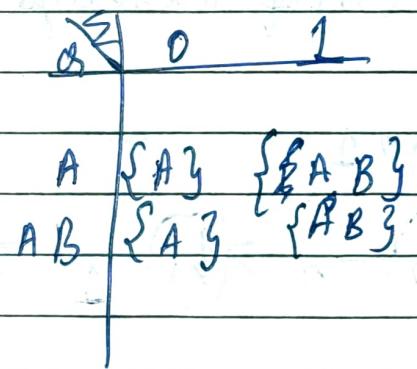
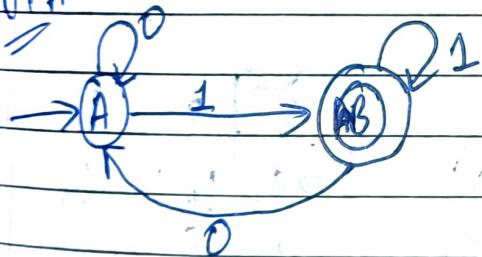
$$\Sigma = \{0, 1\}$$

NFA.



Method used  
Subset construction method

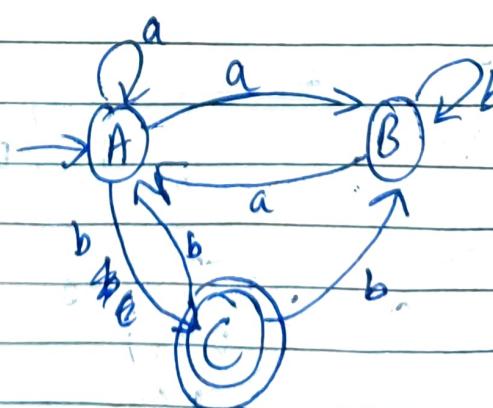
DFA



AB - single stat.

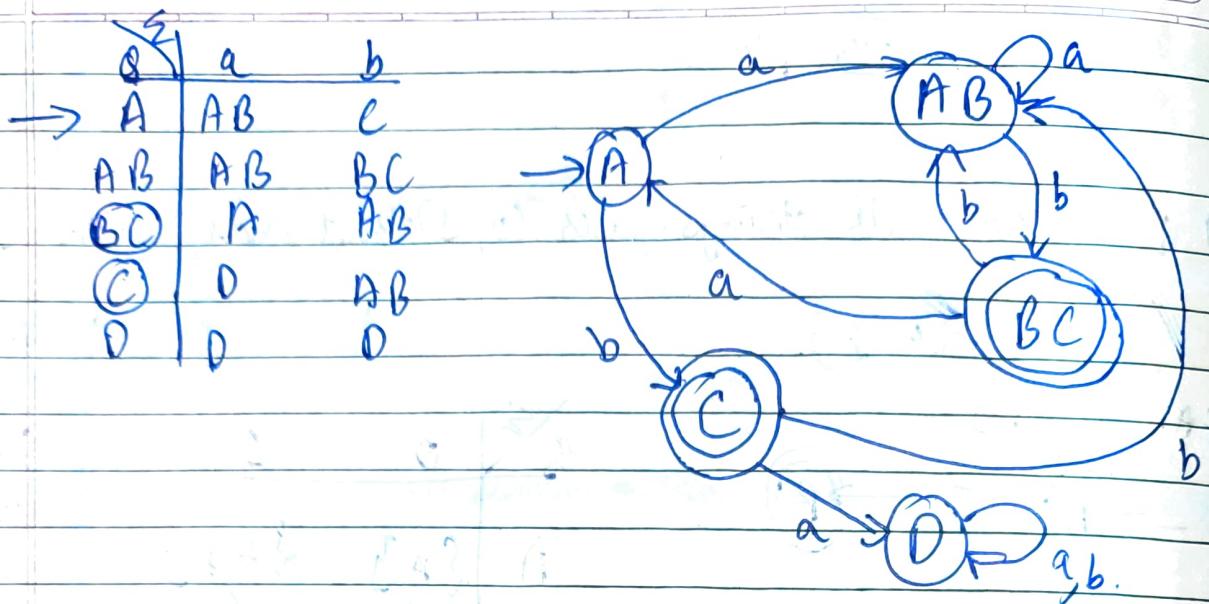
2) Find equivalent DFA for NFA by  $M = [Q, \Sigma, \delta, q_0, F]$ , where  $\delta$  is

$q_2$	a	b
$\rightarrow A$	$A, B$	c
$b$	A	B
$C$	-	$A, B$



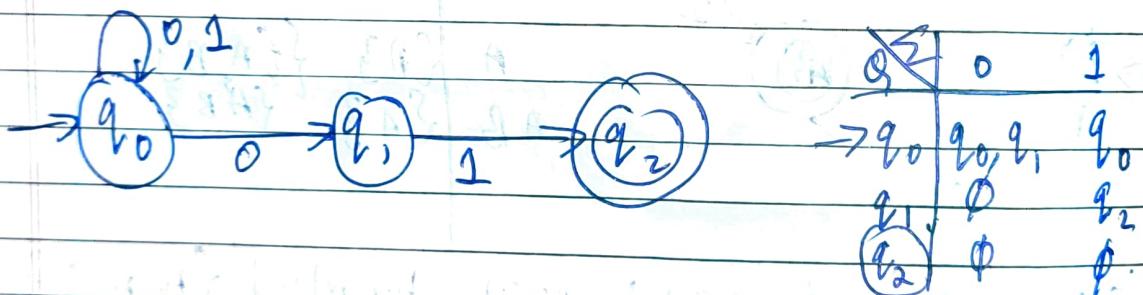
In DFA both states containing C is final states

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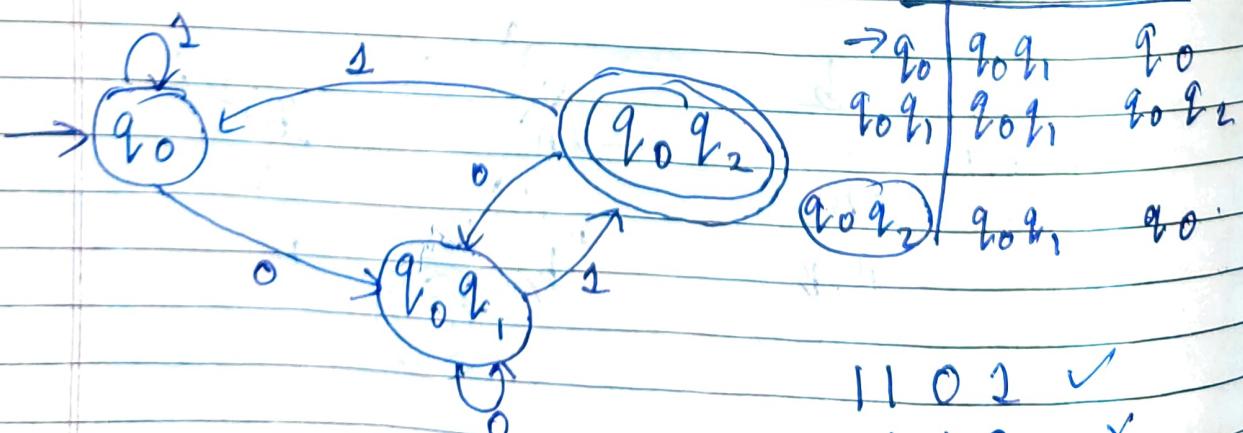


3) Given below NFA language-

$L = \{ \text{set of all strings over } \{0, 1\} \text{ that ends with '01'} \}$



DFA



1101 ✓

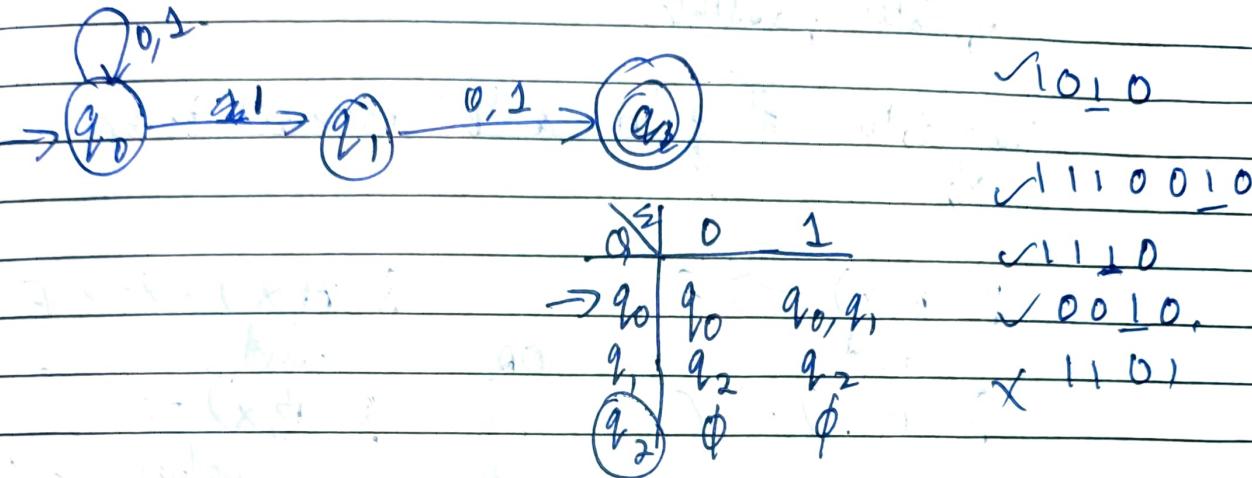
1110 X

1010100 X

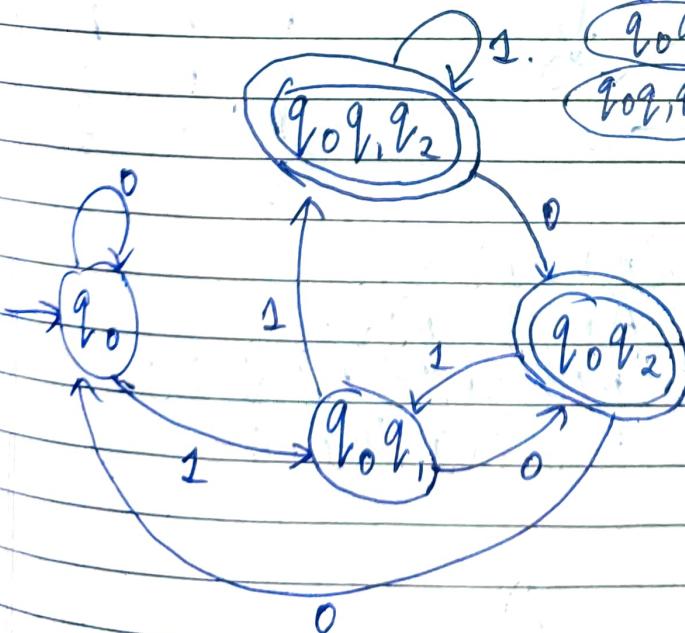
Design NFA.

5)

$L = \{ \text{set of all strings over } \{0, 1\} \text{ in which second last symbol is always '1'} \}$



DFA



From	0	1
$\epsilon$	$q_0$	$q_1$
$\rightarrow q_0$	$q_0$	$q_0, q_1$
$q_{01}$	$q_{01}$	$q_{01}, q_{011}$
$q_{011}$	$q_{011}$	$q_{011}, q_{0111}$
$q_{0111}$	$q_{0111}$	$q_{0111}$

## DFA Minimization

Minimization of DFA is required to obtain the minimal version of any DFA which consists of min number of states possible.

Two states 'B' & 'A' & 'B' are said to be equivalent if

$$\delta(A, x) \rightarrow F$$

and

$$\delta(B, x) \rightarrow F$$

OR

$$\delta(A, x) \rightarrow F$$

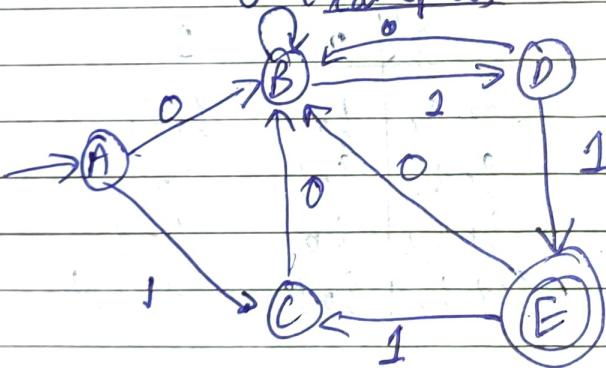
and

$$\delta(B, x) \rightarrow F$$

where 'x' is any input string.

Examples

1)



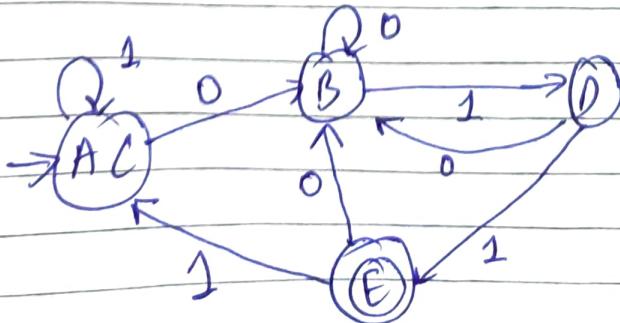
E	0	1
A	B	C
B	B	D
C	B	C
D	B	E
E	B	C

0 Equivalence :  $\{A, B, C, D\} \cup \{E\}$

1 " " :  $\{A, B, C\} \cup \{D\} \cup \{E\}$

2 " " :  $\{A, C\} \cup \{B\} \cup \{D\} \cup \{E\}$

3 " " :  $\{A, C\} \cup \{B\} \cup \{D\} \cup \{E\}$



Minimal version  
of DFA.  
(More efficient).

2) Construct min DFA equivalent to DFA described.

	0	1
$\rightarrow q_0$	$q_1$	$q_5$
$q_1$	$q_6$	$q_2$
$q_2$	$q_0$	$q_2$
$q_3$	$q_2$	$q_6$
$q_4$	$q_7$	$q_5$
$q_5$	$q_7$	$q_6$
$q_6$	$q_6$	$q_7$
$q_7$	$q_6$	$q_2$

$0^*$  "Equivalence" =  $\{q_0, q_1, q_3, q_5, q_6, q_7\}$

1 equivalence.

$q_0, q_4, q_5, q_6, q_7$

1 equivalence.

$\{q_0, q_4, q_5, q_6, q_7\}$

$\{q_1, q_2\}$

$\{q_3\}$

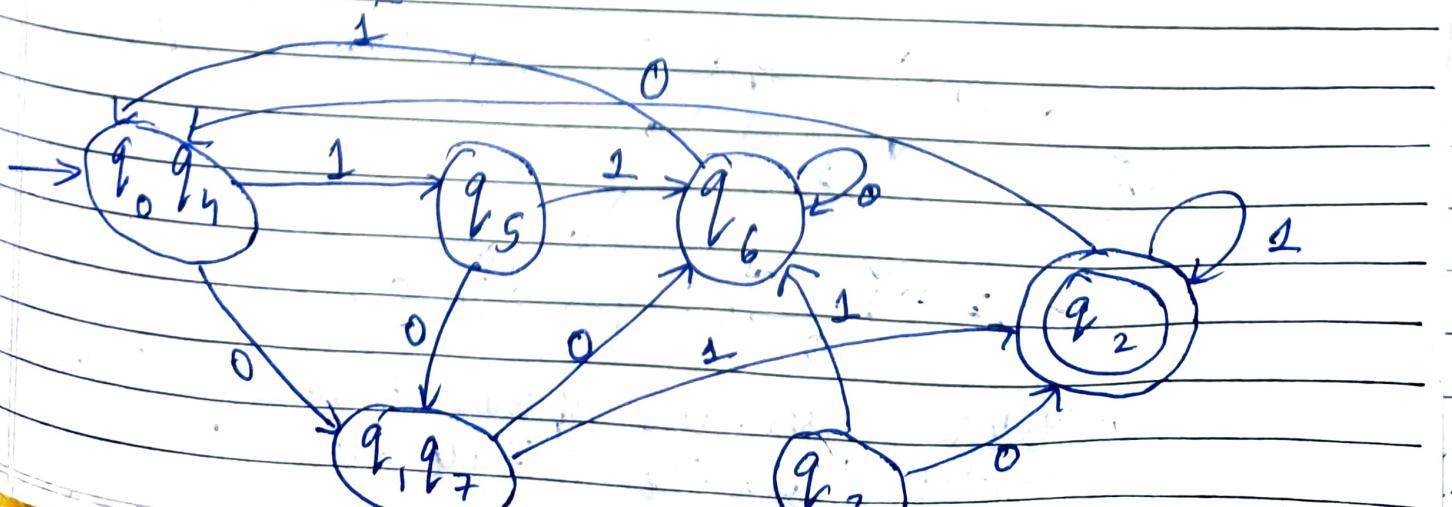
$q_4$

2 equivalence.

	0	1
$\rightarrow q_0$	$q_1, q_2$	$q_5$
$q_1$	$q_6$	$q_2$
$q_2$	$q_0$	$q_2$
$q_3$	$q_2$	$q_6$
$q_4$	$q_7$	$q_6$
$q_5$	$q_7$	$q_6$
$q_6$	$q_6$	$q_4$

3 equivalence.

$\{q_0, q_4\} \{q_5\} \{q_6\} \{q_2\} \{q_1, q_7\} \{q_3\}$ .



When there are more than one final states involved

	0	1
→ A	B	C
B	A	D
D	E	E
D	E	F
E	E	F
F	F	F

Equivalence -

{A, B, F} {C, D, E}.

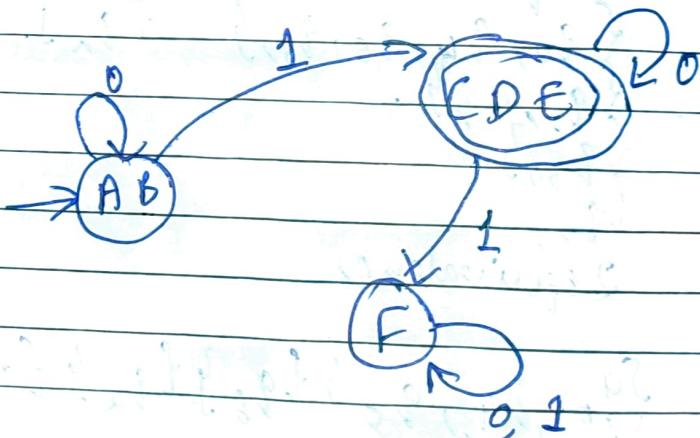
1 Equivalence.

{A, B} {B, F} {C, D, E}.

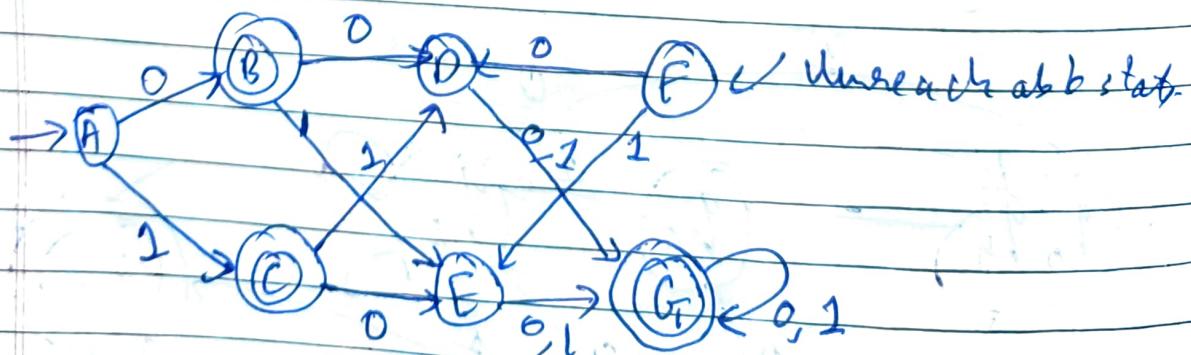
2 Equivalence.

{A, B} {F} {C, D, E}.

~~AB~~ 0 1  
→ {A, B} {A, B} {C, D, E}.  
F F F F  
(C, D, E) (C, D, E) F



When there are unreachable states

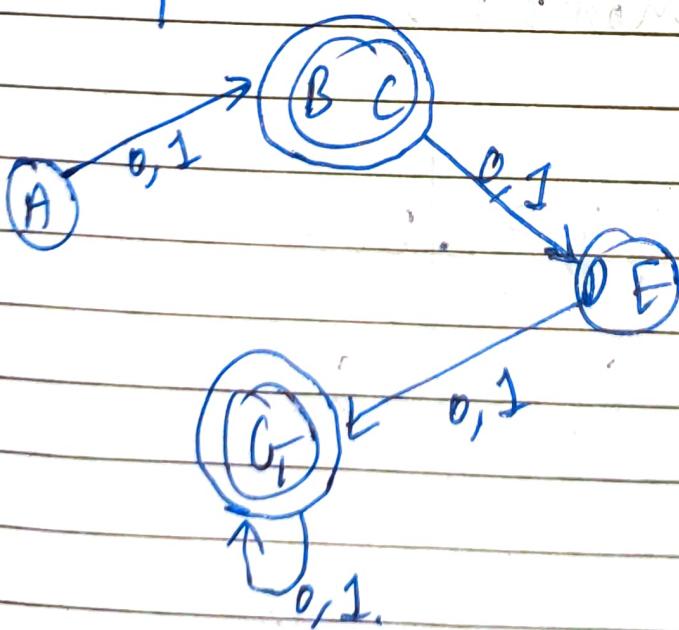


A state is unreachable if there is no way it can be reached from the initial state.

	0	1
$\rightarrow A$	B	C
(D)	D	E
(E)	E	D
F	G	G
G	G	G
(G)	G	G

0 Equivalence:  $\{A, D, E\} \{B, C, G\}$ .  
 1 Equivalence:  $\{A, D, E\} \{B, C\} \{G\}$ .  
 2 Equivalence:  $\{A\} \{D, E\} \{B, C\} \{G\}$ .  
 3 Equivalence:  $\{A\} \{D, E\} \{B, C\} \{G\}$ .

	0	1
$\rightarrow \{A\}$	$\{B, C\}$	$\{B, C\}$
$\{D, E\}$	$\{G\}$	$\{G\}$
$\{B, C\}$	$\{D, E\}$	$\{D, E\}$
$\{G\}$	$\{G\}$	$\{G\}$



### Examples

Design a FSM to check if the given numbers (decimal) is divisible by 3.

Ans  $Q = \text{set of all states} = \{q_0, q_1, q_2\}$ .

$\Sigma = \text{Set of input states} = \{0, 1, 2, 3, 5, 6, 7, 8, 9\}$

$\delta = \text{Transition function}$

$q_0 = \text{Start state}$

$F = \text{Final states} = \{q_0\}$ .

$$q_0 - n \bmod 3 = 0$$

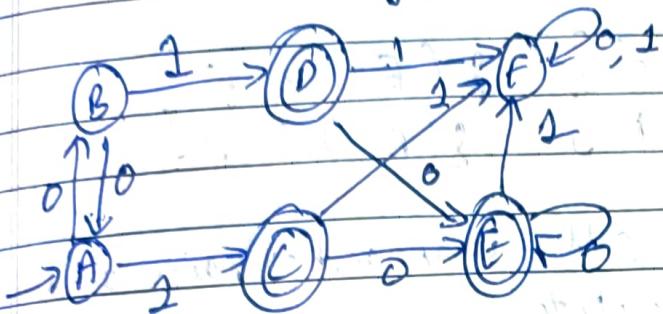
$$q_1 - n \bmod 3 = 1$$

$$q_2 - n \bmod 3 = 2$$

<del><math>q_2</math></del>	0, 3, 6, 9	1, 4, 7	2, 5, 8
rem 0 $\rightarrow q_0$	$q_0$	$q_1$	$q_2$
rem 1 $\rightarrow q_1$	$q_1$	$q_2$	$q_0$
rem 2 $\rightarrow q_2$	$q_2$	$q_0$	$q_1$

## DFA Minimization - Table Filling Method

(Myhill - Nerode Theorem).



A B C D E F

	A	B	C	D	E	F
A						
B						
C	✓	✓				
D	✓		✓			
E	✓					
F						

	A	B	C	D	E	F
A						
B						
C	✓	✓				
D	✓	✓	✓			
E	✓	✓				
F	✓	✓	✓	✓	✓	✓

f  $\Rightarrow$  final state.

- Mark all pairs where  $P \neq F$  and  $Q \neq F$

- If there are unmarked pairs and make  $(P, Q)$ s such that  $[\delta(P, x), \delta(Q, x)]$  is marked, then mark  $[P, Q]$  where 'x' is an input symbol. Repeat this until no more markings can be made.

$$(B, A) - \delta(B, 0) = A \quad \left. \begin{array}{l} \delta(B, 1) = D \\ \delta(A, 1) = C \end{array} \right\}$$

$$\delta(A, 0) = B \quad \left. \begin{array}{l} \delta(A, 1) = C \end{array} \right\}$$

$$(D, C) - \delta(D, 0) = E \quad \left. \begin{array}{l} \delta(D, 1) = F \\ \delta(E, 0) = E \end{array} \right\}$$

$$\delta(E, 1) = F \quad \left. \begin{array}{l} \delta(C, 0) = E \\ \delta(C, 1) = F \end{array} \right\}$$

$$(E, C) - \delta(E, 0) = E \quad \left. \begin{array}{l} \delta(E, 1) = F \\ \delta(C, 0) = E \end{array} \right\}$$

$$\delta(C, 1) = F \quad \left. \begin{array}{l} \delta(E, 0) = E \\ \delta(E, 1) = F \end{array} \right\}$$

$$(E, D) - \delta(E, 0) = E \quad \left. \begin{array}{l} \delta(E, 1) = F \\ \delta(D, 0) = E \end{array} \right\}$$

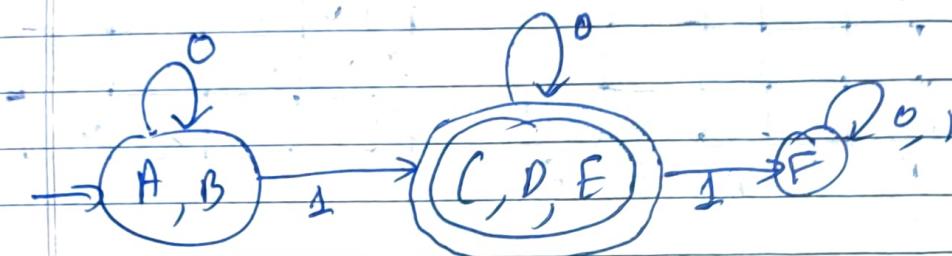
$$\delta(D, 1) = F \quad \left. \begin{array}{l} \delta(D, 0) = E \\ \delta(D, 1) = F \end{array} \right\}$$

$$\text{(mark)} \quad \begin{cases} \delta(F, A) - \delta(F, D) = F \\ \delta(A, D) = B \end{cases} \quad \begin{cases} \delta(F, I) = F \\ \delta(A, I) = C \end{cases}$$

$$\begin{cases} (F, B) - \delta(F, D) = F \\ \delta(B, D) = A \end{cases} \quad \begin{cases} \delta(F, I) = F \\ \delta(B, I) = D \end{cases}$$

- Finally combine all unmarked pairs and make them single state.

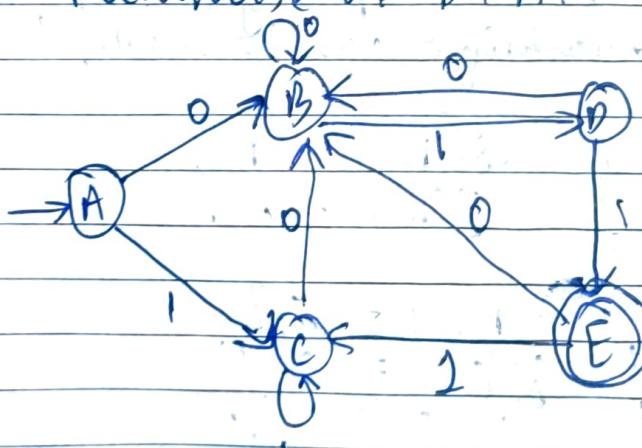
(A, B) (C, D) (E, I) (F, D)



Minimised DFA (Only 3 states)

Example.

1) Minimize the DFA.



	A	B	C	D	E
A		✓			
B	✓				
C				✓	
D	✓	✓	✓	✓	
E	✓	✓	✓	✓	✓

$$(B, 0) - \delta(B, 0) = B \quad \left. \begin{array}{l} \delta(B, 1) = D \\ \delta(A, 1) = C \end{array} \right\}$$

$$\delta(A, 0) = AB \quad \left. \begin{array}{l} \delta(C, 1) = C \\ \delta(A, 1) = C \end{array} \right\}$$

$$(C, A) - \delta(C, 0) = B \quad \left. \begin{array}{l} \delta(C, 1) = C \\ \delta(A, 1) = C \end{array} \right\}$$

$$\delta(A, 0) = B \quad \left. \begin{array}{l} \delta(C, 1) = C \\ \delta(B, 1) = D \end{array} \right\}$$

$$(C, B) - \delta(C, 0) = B \quad \left. \begin{array}{l} \delta(C, 1) = C \\ \delta(B, 1) = D \end{array} \right\}$$

$$\delta(B, 0) = B \quad \left. \begin{array}{l} \delta(C, 1) = C \\ \delta(B, 1) = D \end{array} \right\}$$

~~mark it~~

$$(D, A) - \delta(D, 0) = B \quad \left. \begin{array}{l} \delta(D, 1) = E \\ \delta(A, 1) = C \end{array} \right\}$$

$$\delta(A, 0) = B \quad \left. \begin{array}{l} \delta(D, 1) = E \\ \delta(A, 1) = C \end{array} \right\}$$

~~mark it~~

$$(D, B) - \delta(D, 0) = B \quad \left. \begin{array}{l} \delta(D, 1) = E \\ \delta(B, 1) = D \end{array} \right\}$$

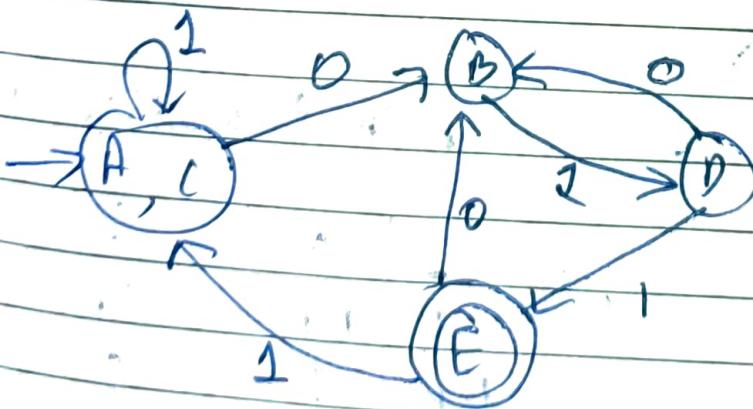
$$\delta(B, 0) = B \quad \left. \begin{array}{l} \delta(D, 1) = E \\ \delta(B, 1) = D \end{array} \right\}$$

~~mark it~~

$$(D, C) - \delta(D, 0) = B \quad \left. \begin{array}{l} \delta(D, 1) = E \\ \delta(C, 1) = C \end{array} \right\}$$

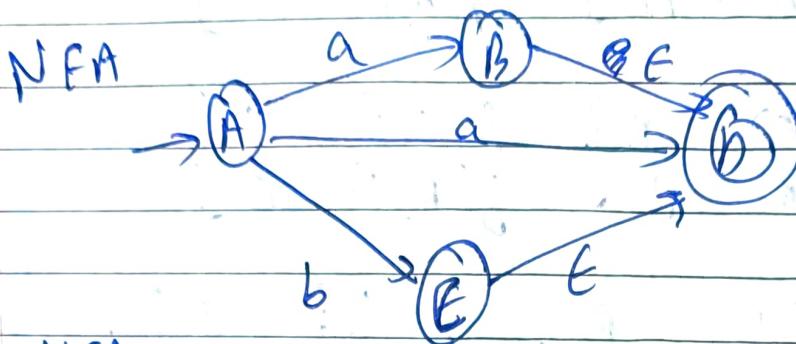
$$\delta(C, 0) = B \quad \left. \begin{array}{l} \delta(D, 1) = E \\ \delta(C, 1) = C \end{array} \right\}$$

(A, 1)    B    D    E



minimized DFA.

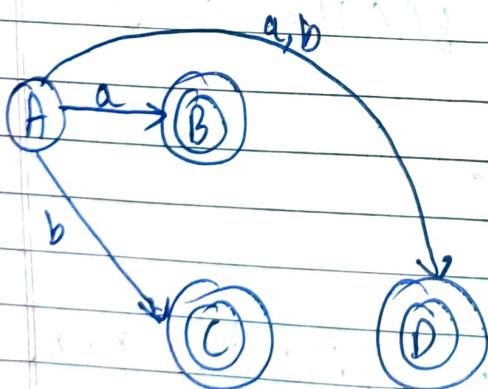
2) Convert the given into equivalent DFA and minimise the same.



NFA

	a	b
A	{B,D}	{E,D}
B	∅	∅
C	∅	∅
D	∅	∅

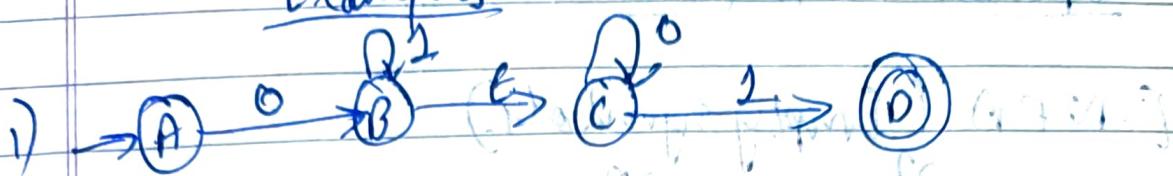
	$E^*$	a	$E^*$	$E^*$	b	$E^*$
A	A	B	B, D	A	E	E
B	B	∅	∅	B	B	∅
C	C	∅	∅	C	C	∅
D	D	∅	∅	D	D	∅



DFA transition tab

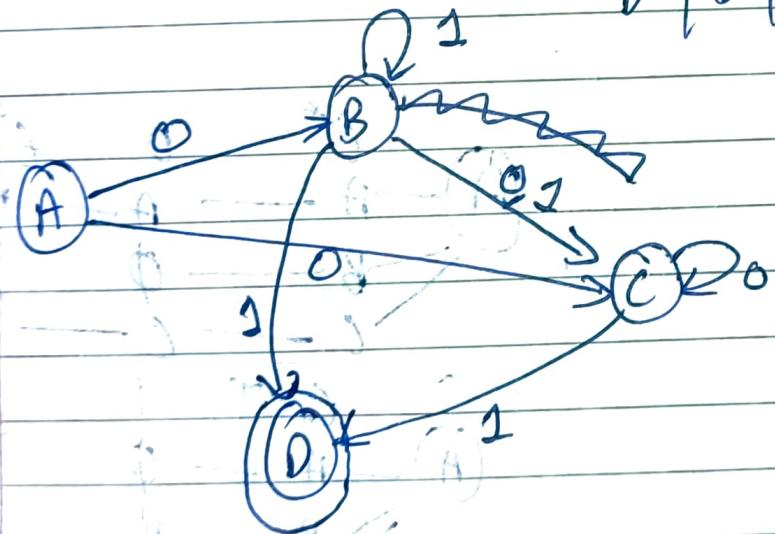
	a	b
A	B, D	C, D
B	∅	∅
C	∅	∅
D	∅	∅

Q → Qd

ExamplesNFA

	0	1
→ A	{B, C}	∅
B	{C}	{B, C, D}
C	{C}	{D}
∅	∅	∅

	$E^*$	0	$E^*$	$\bar{E}E^*$	1	$E^*$
A	A	B	B	A	A	∅
B	B	∅	∅	B	B	B
C	C	C	C	C	C	D
∅	D	∅	∅	D	D	∅

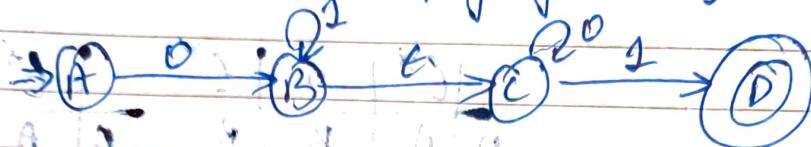


NFA derived from

E-NFA.

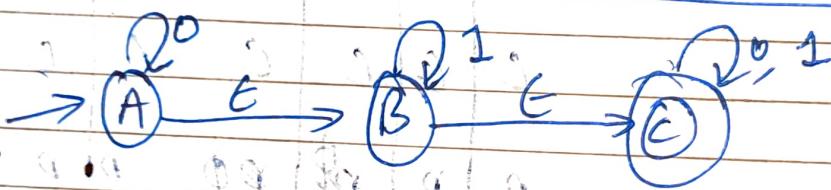
## Epsilon NFA ( $\epsilon$ )

$\epsilon$ -NFA (Empty symbol)



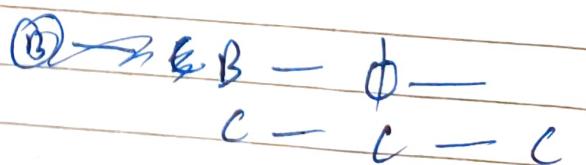
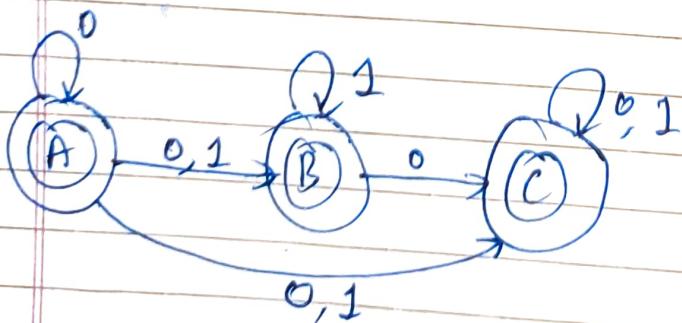
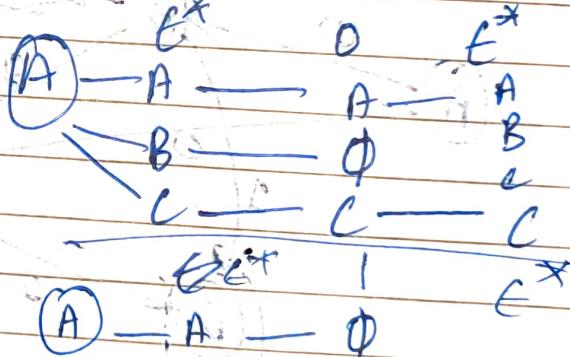
Every state on epsilon  $\epsilon$  goes to itself.

Conversion of Epsilon-NFA to NFA

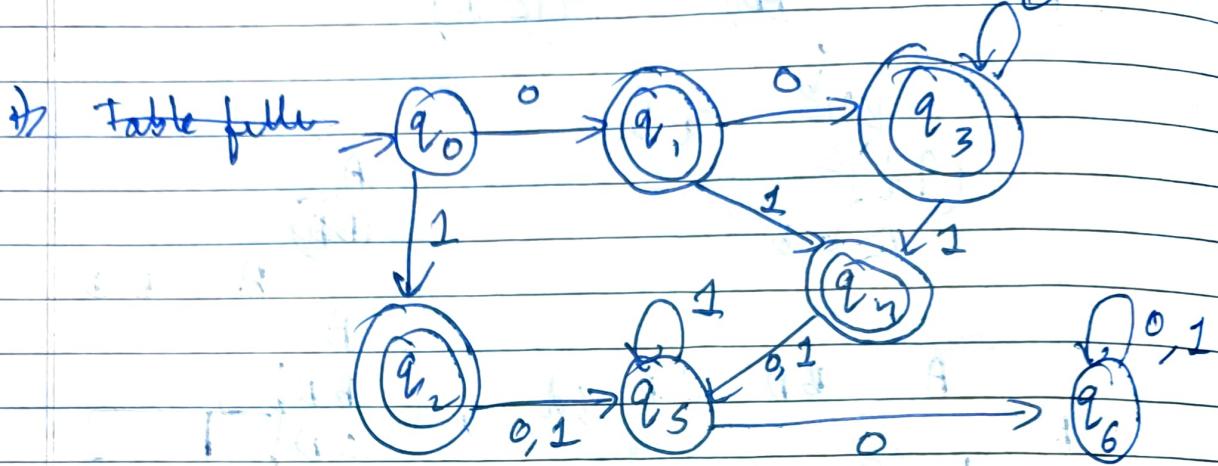


Transition  
of NFA

	0	1
①	{A, B, C}	{B, C}
②	{C}	{B, C}
③	{C}	{C}



a- Minimise the following DFA. (Using)



i) Using Table filling method.

	$q_0$	$q_1$	$q_2$	$q_3$	$q_4$	$q_5$	$q_6$
$q_0$	✓						
$q_1$		✓	✓				
$q_2$	✓						
$q_3$	✓	✓					
$q_4$		✓	✓				
$q_5$	✓	✓	✓	✓	✓		
$q_6$	✓	✓	✓	✓	✓		

$$(q_1, q_2) - \delta(q_1, 0) = q_3 \quad \delta(q_1, 1) = q_4 \\ \delta(q_2, 0) = q_5 \quad \delta(q_2, 1) = q_5$$

$$(q_1, q_3) - \delta(q_1, 0) = q_3 \quad \delta(q_1, 1) = q_4 \\ \delta(q_3, 0) = q_3 \quad \delta(q_3, 1) = q_4$$

$$(q_3, q_2) - \delta(q_3, 0) = q_3 \quad \delta(q_3, 1) = q_4 \\ \delta(q_2, 0) = q_5 \quad \delta(q_2, 1) = q_5$$

(Mark it.)  $(q_4, q_1) - \delta(q_4, 0) = q_5 \quad \delta(q_4, 1) = q_3 \\ \delta(q_1, 0) = q_3 \quad \delta(q_1, 1) = q_5$

$$(q_4, q_2) \Rightarrow \begin{cases} \delta(q_4, 0) = q_5 \\ \delta(q_4, 1) = q_5 \end{cases} \quad \begin{cases} \delta(q_4, 0) = q_5 \\ \delta(q_4, 1) = q_5 \end{cases}$$

$$\text{Mark } (q_5, q_0) \Rightarrow \begin{cases} \delta(q_5, 0) = q_6 \\ \delta(q_5, 1) = q_1 \end{cases}$$

$$\text{Mark } (q_6, q_0) \Rightarrow \begin{cases} \delta(q_6, 0) = q_6 \\ \delta(q_6, 1) = q_1 \end{cases}$$

$$(q_6, q_5) \Rightarrow \begin{cases} \delta(q_6, 0) = q_6 \\ \delta(q_6, 1) = q_6 \end{cases} \quad \begin{cases} \delta(q_6, 0) = q_6 \\ \delta(q_6, 1) = q_5 \end{cases}$$

$$(q_4, q_3) \Rightarrow \begin{cases} \delta(q_4, 0) = q_5 \\ \delta(q_4, 1) = q_5 \end{cases} \quad \begin{cases} \delta(q_4, 0) = q_3 \\ \delta(q_4, 1) = q_1 \end{cases}$$

Now combine states which are unmarked.

$$(q_1, q_2); (q_3, q_1); (q_3, q_2); (q_4, q_2); (q_3, q_3)$$

$$(q_6, q_5)$$

$$(q_0) (q_1, q_3) (q_2, q_4) (q_5, q_6)$$