# Reward-Adaptive Reinforcement Learning: Dynamic Policy Gradient Optimization for Bipedal Locomotion

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Abstract—Controlling a non-statically bipedal robot is challenging due to the complex dynamics and multi-criterion optimization involved. Recent works have demonstrated the effectiveness of deep reinforcement learning (DRL) for simulation and physical robots. In these methods, the rewards from different criteria are normally summed to learn a scalar function. However, a scalar is less informative and may be insufficient to derive effective information for each reward channel from the complex hybrid rewards. In this work, we propose a novel reward-adaptive reinforcement learning method for biped locomotion, allowing the control policy to be simultaneously optimized by multiple criteria using a dynamic mechanism. The proposed method applies a multi-head critic to learn a separate value function for each reward component, leading to hybrid policy gradients. We further propose dynamic weight, allowing each component to optimize the policy with different priorities. This hybrid and dynamic policy gradient (HDPG) design makes the agent learn more efficiently. We show that the proposed method outperforms summed-up-reward approaches and is able to transfer to physical robots. The MuJoCo results further demonstrate the effectiveness and generalization of HDPG.

Index Terms—Deep reinforcement learning, robotics, bipedal locomotion, hybrid reward architecture

# 1 Introduction

**B**IPEDAL robot locomotion [1] is about controlling a robot to walk on different surfaces with stable walking gaits. This task is challenging because of the complex dynamics involved, especially where undulated surfaces or obstacles are present. Traditional hand-crafted control policies, such as zero moment point (ZMP) [2], hybrid zero dynamics (HZD) [3] and divergent component of motion (DCM) [4], often suffer from limited adaptation to various environments.

Recently, reinforcement learning (RL) has made significant progress in solving complex biped locomotion problems [5], [6], [7], [8]. The core of RL is training robots to take actions that maximize the expected cumulative rewards. A reward function usually consists of multiple components

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(Corresponding author: Liang Lin.) Recommended for acceptance by M. Dudik. Digital Object Identifier no. 10.1109/TPAMI.2022.3223407 [9], [10], each of which quantitatively describes an aspect of the quality of the walking task, such as body balance maintenance, limb-alteration gait, conservative motor torques, and so on. Most existing approaches simply sum up the component rewards to learn a single value function [5], [10], which may break the correlation between different rewards and therefore limit learning efficiency [11], [12].

In this work, we propose a hybrid and dynamic policy gradient (HDPG) optimization method to address the abovementioned issues. Specifically, we construct a multi-head critic in the deep deterministic policy gradient (DDPG) [13] framework to capture the gradients separately obtained from multiple rewards. Each head exclusively learns from corresponding reward feedback. Meanwhile, the branch gradients are merged during back-propagation with dynamically updating weights, which aims to guide the policy network to first learn from the "simple" components before the "challenging" ones. The motivation for this dynamic mechanism is that we tentatively guide the robot to give higher priority to learning from components that show fast reward accumulation. For example, the agent is encouraged to learn to maintain body balance before learning to move forward. Intuitively, keeping balance is the prerequisite for moving forward. Thus, the body balance component should have a higher priority than moving forward in the initial learning stage. To achieve this goal, we introduce dynamic weights to allow each component gradient to optimize the policy with a different priority. Therefore, the HDPG agent adaptively learns each reward component during training. The contributions of this work are summaries as follows:

 We introduce a multi-head critic to learn a separate value function for each component reward. The experimental results show that our proposed multihead design outperforms the traditional summedup-reward methods in biped locomotion tasks.

- We propose dynamic weights for hybrid policy gradients to improve learning efficiency. In this way, the control policy is optimized by hybrid policy gradients in a dynamic manner.
- We build our bipedal robot in the gazebo simulator, forming a non-trivial benchmark to facilitate present and future research on bipedal robots. The benchmark includes three challenges, i.e., push recovery, obstacle, and slope terrain, providing a wide range of walking robustness evaluation environments. The HDPG policy trained with the randomization of dynamics in the simulator is successfully transferred to the physical robot without further tuning.

In addition to the bipedal locomotion tasks, we further conduct experiments on OpenAI gym [14] to verify the generalization of HDPG. Experimental results demonstrate that our HDPG is applicable to more general continuous control tasks to improve learning efficiency. Furthermore, we show that the proposed multi-head critic and dynamic weight can also be applied to another RL algorithms, e.g., proximal policy optimization algorithms (PPO) [15].

## 2 RELATED WORK

Bipedal locomotion problems were mostly targeted by manually designed policies [16], [17], [18] using methods such as zero moment point (ZMP) [2], [19], hybrid zero dynamics (HZD) [3], [20], [21], divergent component of motion (DCM) [4], [22], and so on. ZMP-based methods [2], [19] use the simplified models, e.g., linear inverted pendulum (LIP) dynamics, to generate walking patterns with the constraints that ZMP should be maintained in the support polygon. DCM-based methods [4], [22] simplify gait generation using the divergent component of the center of mass (CoM), which enlarges the support polygon and allows the robot to recover from external perturbations by step adjustment. HZD approaches [3], [21], [23] impose virtual constraints to put the biped into zero dynamics surface via feedback linearization.

However, the flexibility of these manually designed policies is worrying, especially in adapting to complex and changing environments [24]. Moreover, these manually designed policies rely on having a precise and well-established robot model, and they are inapplicable on the robots where dynamics and kinematics are unknown.

To generalize the walking capability for bipeds to challenging situations and enable the agent to learn the policy without knowing robot dynamics, some model-free methods have been proposed, such as imitation-learning (IL) and model-free RL. IL-based approaches aim to train neural network models supervised by expert policies [5], [6], [25], [26]. But these methods rely on human experts to manually design control policies as references, which can be laborious.

In order to address the aforementioned issues of the traditional controller and imitation learning, researchers attempt to learn bipedal locomotion policies using reinforcement learning (RL) frameworks [27], [28] since RL systems optimize the policies by exploration and interaction with a simulation environment, encouraging favorable

movements and punishes improper ones for learning optimal policies. However, [27] and [28] did not demonstrate their effectiveness on physical robots. Recently, Xie et al. [10] formulated a feedback control problem as finding the optimal policy for a Markov Decision Process to learn robust walking controllers that imitated a reference motion with DRL. This proved to be effective in the Cassie simulation. Subsequently, they used an iterative training method and Deterministic Action Stochastic State (DASS) tuples to learn a more robust policy [6]. Siekmann et al. [5] introduced recurrent neural networks (RNNs) to learn memory features that reflected physical properties. Li et al. [24] used a gait library of diverse parameterized motions based on Hybrid Zero Dynamics (HZD) [23], which increased the diversity of behaviors that the robot could learn. The RL agents it adopted could obtain more diverse locomotion behaviors and improved robustness by training to learn a larger variety of potential motions. However, it learned the locomotion policy by imitating reference motions decoded from an HZD-based gait library, which required modeling the robot to design these reference trajectories. Note that all of these approaches use the sum of rewards to learn a single value function, which may be less informative and insufficient to derive effective information from the complex hybrid rewards and limit the learning efficiency of the value functions [11].

Our paper is also related to Hybrid Reward Architecture (HRA) [11], Decomposed Reward Q-Learning (drQ) [29], and DDPG [13] in terms of making full use of the dependency between different reward components to improve learning efficiency. Specifically, we first proposed a multihead critic to learn a separate value function for each component reward function, similar to HRA [11] and drQ [29]. HRA and drQ first decompose the reward function of the environment into n different reward functions. They aim to learn a separate value function; each of them is assigned a reward component. Learning a separate value function is proven to enable more effective learning. However, both HRA and drQ are based on Q-learning and can only be used for discrete action space tasks. Our HDPG is based on DDPG [13], which allows learning continuous actions. Moreover, we further propose the dynamic weight for hybrid policy gradients to optimize the policy with different priorities. This hybrid and dynamic policy gradient (HDPG) design make the agent learn more efficiently.

## 3 PRELIMINARIES

In this section, we briefly introduce the background and notations of RL. We also introduce the theory of deep deterministic policy gradient (DDPG) [13] as DDPG is the base model of our proposed method.

## 3.1 Markov Decision Process (MDP)

Biped locomotion can be formulated as a Markov Decision Process problem. A standard RL includes an agent interacting with an environment E and receiving a reward r at every time step t in MDP. MDP can be denoted as a tuple  $(\mathcal{S},\mathcal{A},\mathcal{P},\mathcal{R},\gamma)$ , where  $\mathcal{S}$  is a state space,  $\mathcal{A}$  is an action space,  $\mathcal{P}:\mathcal{S}\times\mathcal{A}\mapsto\mathcal{S}$  is a transition probability function, and  $\mathcal{R}$  is a reward function,  $\gamma\in(0,1)$  is a discount factor.

At every time step t, the robot observes the current state  $s_t \in \mathcal{S}$  and takes an action  $a_t$  according to a policy  $\pi: \mathcal{S} \mapsto p(\mathcal{A})$  that maps the states to a probability distribution over the actions. The agent then transits to the next state  $s_{t+1}$ , determined by transition probability distribution  $\mathcal{P}(s_{t+1}|s_t,a_t)$ . Then, the agent will receive a reward  $r_t = \mathcal{R}(s_t,a_t,s_{t+1})$  as well as a new state  $s_{t+1} \in \mathcal{S}$  from the environment E. The return of a state  $s_t$  is the cumulative  $\gamma$ -discounted reward, i.e.,  $\sum_{t=1}^T \gamma^{t-l} r_t$ , where T is the horizon of an episode. The objective of RL is to optimize the policy  $\pi$  by maximizing the expected returns from the initial state. According to the Bellman Equation, a state-action value function  $Q_\pi(s_t,a_t)$  can be defined to describe the expected return after taking an action  $a_t$  in state  $s_t$  following policy  $\pi$ :

$$Q_{\pi}(s_t, a_t) = \mathbb{E}_{r_t, s_{t+1} \sim E}[r_t + \gamma Q_{\pi}(s_{t+1}, \pi(s_{t+1}))]$$
 (1)

# 3.2 Deep Deterministic Policy Gradient

Deep Deterministic Policy Gradient (DDPG) [13] is a representative model-free RL method. Considering its remarkable performance in continuous control problems, DDPG is utilized as our base model. It consists of a Q-function (the critic) and a policy function (the actor) to learn a deterministic continuous policy. The actor  $\pi$  and the critic Q are approximated with deep neural networks, which are parameterized by  $\theta^{\pi}$  and  $\theta^{Q}$ , respectively.

An exploration noise can be introduced to the policy to avoid the agents falling into a local optimum and further improve the exploration efficiency. In particular, the Ornstein-Uhlenbeck (OU) process [30] can be used to generate exploration noise (similar to [13]). Then the output action can be expressed as this:  $a_t = \pi(s_t) + \mathcal{N}_t$ , where  $\mathcal{N}_t \in \mathcal{N}_{OU}$ . It utilizes the experience replay strategy that collects the experience tuples  $(s_t, a_t, r_t, s_{t+1})$  stored in a replay buffer B during exploration. In the training stage, the training data are sampled from the replay buffer to optimize the policy. DDPG approximates Q-function by a critic network, which is updated by minimizing the B-ellman loss:

$$L(\theta^{Q}) = \mathbb{E}_{s_{t}, a_{t}, r_{t}, s_{t+1} \sim B}[(y_{t} - Q(s_{t}, a_{t} | \theta^{Q}))^{2}], \tag{2}$$

where  $y_t = r_t + \gamma Q(s_{t+1}, \pi(s_{t+1})|\theta^Q)$  is the target value. Then the actor learns a Q-optimal policy by  $\pi(s) = argmax_aQ(s,a)$  and optimizes the policy by using the sampled policy gradients:

$$\nabla_{\theta^{\pi}} J \approx \mathbb{E}_{s_t, a_t \sim B} [\nabla_a Q(s_t, \pi(s_t) | \theta^Q) \nabla_{\theta^{\pi}} \pi(s_t | \theta^{\pi})]. \tag{3}$$

## 4 METHOD

The framework of our method is presented in Fig. 1. The robot observes the current state  $s_t$  from the environment, which contains the data from the inertial measurement unit (IMU) and angular positions of all joints. Inspired by "memory-based control" [5], [9], we use state sequence  $(s_{t-2}, s_{t-1}, s_t)$  as the input of actor to contain temporal information. The predicted action  $a_t$  from the actor contains the control signal about the angular position of joints. It is sent to a minimum jerk planning module [31] to generate their smooth transition trajectories. A low-level PD controller is

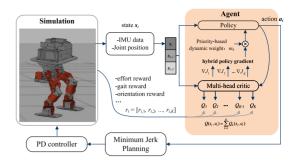


Fig. 1. An overview of our framework. The biped robot interacts with the simulator and obtains experience transitions  $(s_t, a_t, s_{t+1}, r_t)$ . The multihead critic of HDPG learns a separate Q-value function for each reward component. The dynamic weight is assigned for the hybrid policy gradient to adjust the learning priority of each policy gradient component.

applied to track these joint position trajectories. After that, the agent will receive a reward  $r_t$  from the environment. This process is iterative until termination.

Learning biped locomotion is a complex task due to multiple constraints involved [32], [33], [34]. When walking forward, the robot needs to maintain the balance of the pelvis and avoid abnormal states, such as falling down, over torque, and strange posture. This usually results in multi-dimensional rewards, each corresponding to a constraint. Existing methods usually use the weighted sum of rewards to learn a single value [5], [6], [9]:  $r_t = \sum_{k=1}^{K} w_k r_{t,k}$ , where K is the number of rewards and  $w_k$  is the weight of each reward. However, simply summing the rewards will lose the dependency between different rewards. Two sets of very different rewards may get the same sum, which results in Q-value not giving insight into factors contributing to policy. For example, when the robot receives a negative reward, it cannot understand whether it is due to the unnatural pose or the over-torque of servomotors.

## 4.1 Multi-Head Critic for Multiple Values Learning

Inspired by "hybrid reward architecture (HRA)" [11], we conduct a multi-head critic to learn a separate value function for each component reward function (see Fig. 1). Hence, the reward is decomposed and can be expressed as a vector:  $r_t = [r_{t,1}, r_{t,2}, ..., r_{t,K}]$ . Each head of the critic learns an action-value corresponding to a specific sub-reward. In this way, the overall Q-value is also expressed as a vector:

$$Q(s_t, a_t | \theta^Q) = [Q_{t,1}, Q_{t,2}, ..., Q_{t,K}]$$
(4)

HRA [11] estimate the Q-value based on Deep Q Network (DQN) [35]:  $Q_k(s_t, a_t) \leftarrow r_k + \gamma \max_{a_{t+1}} Q_k(s_{t+1}, a_{t+1})$ . It updates different  $Q_k$  with different policies (or  $a_{t+1}(k)$ ), making the learned Q-function inconsistent with the training target. To ensure the learned decomposition is non-trivial, HRA uses the "mean" state-action value as an alternative training target, which is defined as:

$$Q_k(s_t, a_t) \leftarrow r_k + \gamma \sum_{a_{t+1} \in \mathcal{A}} \frac{1}{|\mathcal{A}|} Q_k(s_{t+1}, a_{t+1})$$
 (5)

Eq. (5) indicates HRA updates  $Q_k$  with the target value resulting from evaluating uniformly random policies under each componential reward function. However, as mentioned in [36], acting greedily w.r.t. this Q-function only bladed as New that  $47.3028 \pm 0.014827 \text{ LTC}$  from [555]. Particularly the second of the se

guarantees to be better than a random policy and, in general, may be far from optimal. To avoid this problem, Laroche et al. proposed an "empathic" aggregation method that learned a Q-value function no longer by evaluating a random policy but by evaluating the current aggregator's greedy policy w.r.t. their local focus [36]. In this work, we build the hybrid reward architecture on the DDPG framework, whose critic evaluates the value of the learned policy. Therefore, the Q-function can be updated by evaluating the practical interaction policy, free from designing an aggregation method and the aforementioned problems. The Q-value from the kth component can be updated as:

$$Q_k(s_t, a_t) \leftarrow r_k + \gamma Q_k(s_{t+1}, a_{t+1}),$$
 (6)

where the action is obtained from the actual interaction policy:  $a_{t+1} = \pi(s_{t+1}|\theta^{\pi})$ . Eq. (6) indicates that our multi-head critic converges toward the value of the consistent policy. According to Eq. (2), the loss function associated with the multi-head critic is:

$$L(\theta^{Q}) = \mathbb{E}_{s_{t}, a_{t}, r_{t} \sim B} \sum_{t=1}^{K} \left[ \left( y_{t,k} - Q_{k}(s_{t}, a_{t} | \theta_{k}^{Q}) \right)^{2} \right]$$
 (7)

where  $y_{t,k} = r_{t,k} + \gamma Q_k(s_{t+1}, \pi(s_{t+1}) | \theta_k^Q)$ . Note that we use  $\theta_k^Q$  to differentiate the parameters of different  $Q_k$ . In practice, different  $\theta_k^Q$  have shared multiple lower-level layers of a critic network. Only the last layer is independent of each other. Then, the overall Q-value is defined as the sum of each  $Q_k$ , i.e.,  $Q(s_t, a_t) = \sum_{k=1}^K Q_k(s_t, a_t)$ .

#### 4.2 Dynamic Policy Gradient

Since we learn a separate *Q*-function, the policy gradient in the Eq. (3) can be re-expressed as:

$$\nabla_{\theta^{\pi}} J \approx \mathbb{E}_{s_t, a_t \sim B} \left[ \nabla_a \sum_{k=1}^K Q_k(s_t, \pi(s_t) | \theta_k^Q) \nabla_{\theta^{\pi}} \pi(s_t | \theta^{\pi}) \right]$$

$$= \sum_{k=1}^K \nabla J_k \tag{8}$$

where  $\nabla J_k = \mathbb{E}_{s_t,a_t \sim B}[\nabla_a Q_k(s_t,\pi(s_t)|\theta_k^Q)\nabla_{\theta^\pi}\pi(s_t|\theta^\pi)]$ . This formula indicates that all Q-values update the policy with the same weight. In other words, the agent learns all skills in parallel with the same priority. However, if there are potential dependencies between reward components, then learning all components with the same priority can be inefficient. Moreover, some components are easier to get returns than others. Thus we propose to learn different components/skills with different priorities. We define their priorities by how easily they can get rewards for the current policy, as it is intuitive to encourage the agent to learn the components with easier returns first and then gradually learn the ones with fewer returns.

To achieve this, the agent first utilizes current policy  $\pi_T$  to interact with the environment and obtains N experience samples containing N one-step rewards:  $r^n = [r_1^n, \cdots, r_K^n], n \in [1, N]$ . All componential rewards are normalized to (0, 1). Then, the mean and the variance of reward samples are calculated:  $\mu = [\mu_1, \cdots, \mu_k, \cdots, \mu_K], \sigma = [\sigma_1, \cdots, \sigma_k, \cdots, \sigma_K]$ . A

larger reward and vice versa. Hence, we give a larger priority weight to the components with a larger  $\mu_k$ . Besides, we hope to make learning stable, so we increase the priority weight of the unstable components (i.e., those with larger  $\sigma_k$ ) to let the robot learn stability as early as possible. Given these, we define the priority weight  $m_k$  of each policy gradient component by:

$$m_k = \frac{K(\mu_k + e^{\sigma_k^2})}{\sum_{k=1}^K (\mu_k + e^{\sigma_k^2})}$$
(9)

Although one could use  $m_k$  in Eq. (9) to weight the reward of channel k for priority adjusting (see [37]), this would entangle the update of the Q-value network and leads to unstable training. To cope with this critical problem, we propose using  $m_k$  to weight the *policy gradient* rather than the *reward*. This significantly disentangles policy network training from Q-value network training, keeping Q-value network training free from perturbation and ensuring training stability. With  $m_k$  weighting policy gradient of channel k to aggregate the hybrid policy gradients, the total policy gradient is dynamically updated by:

$$\nabla_{\theta^{\pi}} J \approx \mathbb{E}_{s_{t}, a_{t} \sim B} \left[ \nabla_{a} \sum_{k=1}^{K} m_{k} Q_{k}(s_{t}, \pi(s_{t}) | \theta_{k}^{Q}) \nabla_{\theta^{\pi}} \pi(s_{t} | \theta^{\pi}) \right]$$

$$= \sum_{k=1}^{K} m_{k} \nabla J_{k}$$
(10)

The priority weights are updated every M episode.

Avoiding Reward Deadlock. Prior works have a scenario where the weight of a reward channel remains low simply because of exploration (i.e., a reward channel has not been seen, and the agent never tries to get into it). Fortunately, our method has two mechanisms to prevent this deadlock. First, similar to DDPG, our method includes exploration noises to prevent our agent from falling into this deadlock. Second, although the visible channels have high weights in the beginning, their rewards gradually converge, and their  $\sigma_k$ s become smaller. This indicates the weights of visible channels become smaller (as a result of Eq. (9)), and the weights of unseen channels become larger, giving the unseen channels a chance to be trained.

## 4.3 Rewards Design

To make the robots walk with a human-like gait, prior works mainly introduce reference trajectories to guide the learning process or design specific reward functions to encourage robots to walk with a regular gait. Instead of using manually designed reference trajectories, in this work, we exploit some principles of human gait to design the reward functions. Without manually-designed references, careful design of the reward functions is usually required, which tends to result in a complex reward function with many components. In this work, we design a reward function consisting of 6 sub-rewards *w.r.t.* walking forward, walking gait, energy consumption, and robot pose, which are expressed as step reward, gait reward, height reward, torque reward, orientation reward, and fall down reward. The multi-head critic learns the value

larger  $\mu_k$  means that the kth component is easier to get a down reward. The multi-head critic learns the value Authorized licensed use limited to: University of Chinese Academy of Sciences CAS. Downloaded on November 17,2023 at 01:18:27 UTC from IEEE Xplore. Restrictions apply.

function with the reward vector, which is defined as:  $r_t = [r_t^g \ r_t^s \ r_t^f \ r_t^h \ r_t^o \ r_t^d]$ 

Gait Reward  $r_t^g$ . The gait walking is the ultimate goal of the biped robot. The gait occurs when the single and double support periods arise in a time sequence. We formulate this principle as follows:

$$r_t^g = \begin{cases} w_1 d_t^g \cos \theta^g, & if \ a \ gait \ occur \\ 0, & otherwise \end{cases}$$
 (11)

where  $d_t^g$  is the length of the gait, and  $w_1$  is a weight parameter. Assuming T is the time's length of a gait occurs at s time step.  $\theta^g$  is the angle between the direction of the robot at (t-T) and t. The intention is to encourage the robot to walk forward instead of in other directions.

Step Reward  $r_t^s$ . We also introduce a step reward  $r_t^s$  to encourage the robot to move forward at each time step. The step reward function is defined as:

$$r_t^s = w_2 d_t^s \cos \theta^s \tag{12}$$

where  $d_t^s$  is the move distance at t time step, and  $w_2$  is a weight parameter of step reward.  $\theta^s$  is the angle between the directions of the robot at (t-1) and t.

Torque Reward  $r_t^J$ . The torque reward is inverse proportional to the torque magnitude of the joint motors. A negative torque reward is to punish excessive torque of servomotors while walking. The purpose is to lower walking energy and to make walking trajectories smoother. The same torque reward function has proved to be effective in the Robotschool simulator of OpenAI Gym [14]. We have:

$$r_t^t = -w_3 \sum_{i=1}^I |f_t^i| \tag{13}$$

where I is the number of joints, and  $f_t^i$  is the torque on the ith joint. Meanwhile, the pose of the robot is one of the important indicators for assessing whether the robot is walking normally, which is evaluated by the height and orientation of the pelvis. The *height reward* and the *orientation reward* are defined as:

$$r_t^h = -w_4|h_t - h_0|, r_t^o = -w_5(|\alpha_t^r| + |\alpha_t^p|)$$
(14)

where  $h_t$  is the current height of the pelvis, and  $h_0$  is the desired height. In Eq. (14),  $\alpha_t^r$  is the roll angle and  $\alpha_t^p$  is the pitch angle of the pelvis. Finally, the *fall down reward*  $r_t^d$  is set as -50 for the robot that fell to the ground.

## 5 BIPEDAL ROBOT EXPERIMENTS

This section elaborates on experimental setups and results of bipedal locomotion tasks. We first describe the robot construction and implementation details of HDPG in Sections 5.1 and 5.2, respectively. We then introduce a bipedal motion benchmark for comprehensive evaluation of the proposed method in Section 5.3. The simulation results and hardware results of biped walking experiments are illustrated in Sections 5.4 and 5.5. Experimental results from both simulation and transfer-to-real robots are presented in this video: https://youtu.be/YlznGvG2xAg.

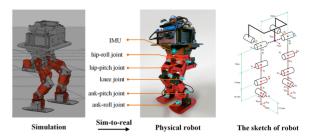


Fig. 2. Left: The simulation bipedal robot. Middle: The physical bipedal robot. Right: The sketch of robot.

## 5.1 AIDA Robot

To verify the effectiveness of the proposed method, we conduct our simulator, named AIDA, to support the development, training, and validation of biped locomotion. The corresponding physical robot are shown in Fig. 2. The AIDA robot contains 10 degrees of freedom (DoF), and each leg has 5 DoF. The center of mass of the pelvis is maintained at the center of the pelvis, similar to humans.

Simulation. We built our AIDA bipedal robot on Robot Operating System (ROS) framework and Gazebo simulation environment. We use Open Dynamics Engine (ODE) in our simulation, which is the default physical engine of Gazebo. The biped robot is modeled with SolidWork, the sketch is shown in Fig. 2. We will release the AIDA simulator and the code of HDPG later.

Physical robot. The physical robot is equipped with a mini-computer (Intel NUC8i7BEH), an IMU (YIS100-A-DK), 10 servo motors for joint control (DYNAMIXEL XH430-W210-T actuator), and a USB communication converter (U2D2). At testing time, the trained policy is evaluated on NUC in real-time. The policy network predicts appropriate controls based on only the current joint angles and IMU data. The U2D2 receives controls from NUC and transfers them to the motor controllers of the robot.

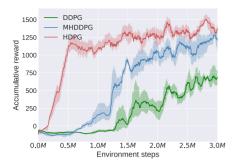
## 5.2 Implementation Details

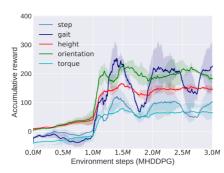
This section presents the implementation details of HDPG. The robot state  $s_t$ , as shown in Fig. 1, contains the IMU data and the angular position of each joint. In order to extract temporal features, 3 state frames  $(s_{t-2}, s_{t-1}, s_t)$  are concatenated and fed into the policy network to predict an action. The combined states yield 69-dimensional state space (23D for each state). The predicted action is the angular position of each joint, which is a 10-dimensional vector.

*Actor*. The actor network consists of 3 fully connected layers. The concatenating state  $(s_{t-2}, s_{t-1}, s_t)$  passes through 3 fully connected layers of size (69,128), (128,256) and (256,10) to predict an action.

Multi-head critic. Multi-head critic aims to learn separate value functions via a multi-head network, similar to HRA [11]. The action  $a_t$  and the state  $s_t$  are fed to two fully connected layers of size (10,128) and (69, 128), respectively. They are then concatenated and fed to two fully connected layers of size (256,256) and (256,6) to predict 6 Q-values, each associated with a reward component (see Fig. 1).

*Training*. The discount factor is set as 0.99. The learning rate of the actor network and critic network are  $1e^{-5}$  and  $1e^{-4}$ , respectively. The training batch size is 64. The priority-based dynamic weight for the hybrid policy gradient is





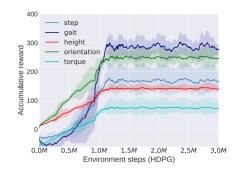


Fig. 3. Comparison of DDPG, MHDDPG and HDPG training curves. Left: The comparison of DDPG, MHDDPG and HDPG. Our HDPG achieves a much higher reward than MHDDPG and DDPG. It performs the highest learning efficiency at the same time. Middle: The training curve of each reward of MHDDPG. Right: The training curve of each reward of HDPG.

updated every 20 episodes. All training uses Adam opti-

Reward Normalization. The weights of torque reward and step reward are referred to Walker2d of OpenAI Gym [14], where  $w_1$  and  $w_3$  are set as 1.0 and 0.001, respectively. The other weights (e.g.,  $w_2$ ,  $w_4$ ,  $w_5$ ) are set as 1.0. Before calculating the dynamic weight, we normalize the reward to ensure that the reward value of each channel is in the same order of magnitude. The max-min normalization is applied to each component. To clarify how to normalize rewards, the gait reward is given as an example. The maximum achievable gait length  $d_{max}^g$  is calculated according to the physical limitations. So the maximum and minimum gait rewards are  $r_{max}^g = w_1 d_{max}^g$  and  $r_{min}^g = -w_1 d_{max}^g$ , respectively (according to Eq. (11)). Thus, any gait reward  $r^g$  can be normalized to (0,1) by  $(r^g$  $r_{min}^g)/(r_{max}^g-r_{min}^g)$ . This also applies to other rewards.

#### 5.3 Biped Locomotion Benchmark

To comprehensively evaluate the proposed method, we further define a biped locomotion benchmark in simulation that contains 3 walking tasks, which simulate 3 corresponding real-world challenging problems: walking under random disturbances, walking over obstacles, and walking on the slope. The obstacle and slope terrains are modelled with SolidWorks <sup>1</sup> (see Fig. 4). The details of 3 tasks are as follows:

- Walking under random disturbance: the robot walks under disturbances from different directions (see Fig. 4a). The disturbance range is from 6N to 14N, and the duration is 0.2s. The directions contain forward, backward and sideward (either on the left or right side). We measure the success rate that the robot recovers from push disturbances.
- Walking over obstacles: we randomly placed static obstacles of different heights on the ground (see Fig. 4b). We separately measure the success rate of the robot crossing obstacles of different heights.
- Walking on slopes: we build slopes with different angles to evaluate the terrain adaptability of the robot (see Fig. 4c). We separately measure the success rate of the robot climbing slopes of different

Note that these disturbances, obstacles, and slopes are only defined for the testing phase without, but NOT the

training phase, i.e., we directly test them without any training to verify the robustness and generalization ability of the policy.

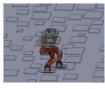
## 5.4 Simulation Results

This section qualitatively and quantitatively analyzes the performance of the proposed HDPG with ZMP[2] method and DDPG [13], for DDPG is the baseline of our method.

- ZMP: ZMP-based algorithm [2], [19] is a traditional classical control method. It has been proven effective in many bipedal walking tasks.
- DDPG: DDPG [13] is an advanced actor-critic modelfree RL algorithm. It is also considered the basic model with a single-head critic.
- MHDDPG: MHDDPG represents the DDPG [13] model equipped with the proposed multi-head critic. We keep the experimental settings and parameter settings consistent with DDPG. The only difference is that we learn hybrid policy gradients with multihead critic, and each policy gradient is assigned the same static weight to optimize the policy (e.g.,  $m_1 = m_2 = \dots = m_K = 1$ ).
- HDPG: HDPG is a full version of the proposed method. It can be seen as MHDDPG incorporated with a dynamic weight for each policy gradient.

We train the bipedal robot with DDPG [13], MHDDPG, and HDPG, respectively (see Fig. 3 (Left) for training curves). The accumulative reward of HDPG starts to rise after training for 0.25 million environment steps, while MHDDPG and DDPG require 1.0 and 1.5 million environment steps, respectively. The performance of MHDDPG is much better than vanilla DDPG [13]. Since vanilla DDPG sums the rewards up to a scalar, it is hard to derive each reward from this scalar. This results in a single *Q*-value not giving insight into factors contributing to policy, as mentioned in Section 4. Some reward channels are much easier





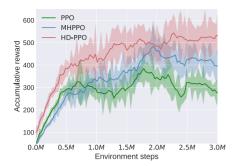


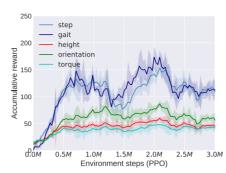
(a) recover from force

(b) obstacle

(c) slope

Fig. 4. Illustrations of biped locomotion tasks: (a) walking under random disturbance; (b) walking over obstacles; (c) walking on the slope.





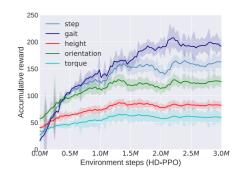
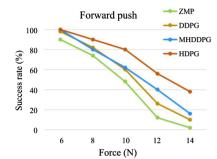
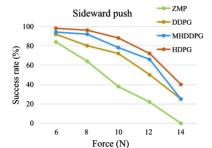


Fig. 5. Left: The comparison of PPO, MH-PPO, and HD-PPO can achieve the best performance, indicating the effectiveness of HDPG architecture in the PPO framework. Middle: The training curve of each reward of MH-PPO. Right: The training curve of each reward of HD-PPO.





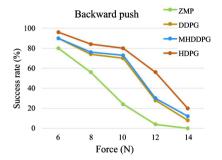


Fig. 6. Comparison of the success rate in the "walking under random disturbance" task. The robot is commanded to walk forward, and random push force will be applied to the robot's pelvis from different directions. We conducted 100 trials for each test. A trial is considered successful if the robot recovers stable walking from push disturbance.

to learn than others [11], then learning a separate value function is more effective. Remarkably, the proposed HDPG outperforms DDPG and MHDDPG. With the utility of dynamic weights, HDPG can adjust the learning priorities of each gradient component and learn more efficiently.

We also apply the proposed multi-head critic and dynamic weight to the PPO baseline to train the bipedal locomotion policy <sup>2</sup>. The training curves of PPO, MH-PPO (PPO with multi-head critic), and HD-PPO (PPO with multi-head critic and dynamic weight) are shown in Fig. 5 (Left). HD-PPO achieves the best performance, indicating that HDPG architecture is also effective in the PPO framework. We note that the PPO agent does not perform as well as the DDPG under the current experimental setting. For example, the cumulative reward for the best testing of PPO is only 400, while DDPG has 750, even better than HD-PPO. In the following bipedal locomotion benchmark tests, we use DDPG as the experimental baseline.

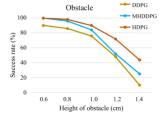
#### 5.4.1 Walking Under Random Disturbance

To evaluate the robustness of the above methods, we let the robot walk under various push disturbances from different directions, as mentioned in Section 5.3. The success rate results are shown in Fig. 6. For 6N disturbances from 3 directions, all algorithms show good resilience. In 8N disturbance 8N from sideward tasks, only HDPG can maintain a success rate of over 90%. In comparison, the success rate of DDPG and MHDDPG declined sharply to about 80%. As the disturbance increases, the success rate of the ZMP-based method drops the fastest and exhibits the worst robustness.

For example, when the disturbance increases to 10N, the success rate of ZMP in 3 directions is only 38%, 38%, and 24%, respectively, but the other RL-based methods can maintain a success rate of over 50%. As the disturbance increases to 12N and 14N, HDPG shows greater stability, which is at least about 10% higher than other methods in forwarding tasks. In general, the proposed MHDDPG and HDPG outperform DDPG on all walking under random disturbance tasks, and HDPG achieves the best performance.

# 5.4.2 walking Over Obstacle

We simulate the obstacle on the ground to evaluate the robustness of different algorithms further. In our experiment, obstacles are randomly placed on the ground. The success rate curve is shown on the left of Fig. 7. Since ZMP agents can hardly walk over obstacles, no comparison is made here. RL-based methods show better adaptability. In this task, HDPG performs the best robustness in walking



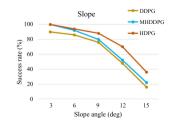


Fig. 7. Comparison of the success rate in the "walking over obstacles" task and "walking on the slope" task. A trial is considered successful if the robot is able to walk stably for 10 seconds. Left: The success rate of robot crossing obstacles with the height from range (0.6,1.4)(cm). Right: The success rate of the robot walking on the slope with the angle from range (3.15)(den)







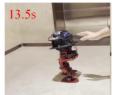






Fig. 8. Simulation to real experiment. The HDPG policy trained with dynamics randomization in simulation is successfully transferred to physical robot. The bipedal robot is able to recover stable walking from the forward push force. Please see the YouTube link for a video version.

over obstacles of all heights, while MHDDPG achieves the second performance. For 0.6cm obstacles, HDPG and MHDDPG are able to maintain a 100% success rate of recovery. For 1.2cm obstacles, only HDPG achieves a success rate over 60%, while MHDDPG and DDPG 50% drop to about 50%. For 1.4cm obstacles, although HDPG has the best performance, its success rate is only about 40%. This is due to the limitation of the height of the robot's leg lift.

# 5.4.3 Walking on the Slope

Walking on undulating terrain is another challenging task for a biped robot. We test the success rate of walking on the slope for 10 seconds (see Fig. 7 *right*). The performance of DDPG and MHDDPG is close, implying that multi-head critic doesn't have a strong effect on this task. HDPG also has the best performance. When the slope angle is 12 degrees, HDPG is more robust, and its success rate is nearly 20% higher than the other two algorithms.

#### 5.4.4 The Effectiveness of Dynamic Weight

Cumulative rewards of each component. To further reveal the effectiveness of dynamic weights, we recorded the cumulative reward of each reward during training (see Fig. 3, middle and right). To observe the training characteristic of torque reward, we calculate the average torque reward for each time step and scale it up so it can be visible compared to other rewards. For MHDDPG, the learnings of the height and orientation rewards are relatively stable. However, the learnings of the step and gait rewards are quite unstable, indicating dependency and mutual effects between different reward components during training. In comparison, HDPG shows stable training of all five components (see Fig. 3, right). It is worth noting that its height and orientation rewards rise at the beginning of training, while its gait and step rewards then rise at about 0.5 million envi-

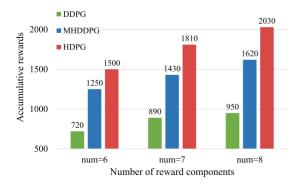


Fig. 9. Results for different number of reward components.

ronment steps. This means that the robot first learns how to keep body balance and then learns to walk forward, proving that priority weights can allow the robot to learn different components more orderly. Similar results are also found for MH-PPO and HD-PPO (see Fig. 3, *middle* and *right*), i.e., the learning of HD-PPO is more stable than that of MH-PPO.

Training With More Complex Rewards. To verify the advantages of HDPG in dealing with complex reward tasks, we add two more components to the original reward settings: velocity reward and contact reward. The velocity reward aims to encourage the robot to walk at the desired speed, defined as follows:

$$r_t^v = \begin{cases} w_7 v_t, & if \ v_t < v_0 \\ 0, & otherwise \end{cases}$$
(15)

where  $v_t$  is the forward velocity at time step t, and  $v_0$  is the desired speed. The contact reward helps to prevent the robot from stomping too hard and is defined as  $r_t^c = w_8(f_0 - |f_t^c|)$  when the foot contacts the ground at time step t.  $f_t^c$  is the force at the moment when the foot contacts the ground, and  $f_0$  is constant. The experiment of adding velocity reward is marked as num=7, and the experiment of adding both velocity reward and contact reward is marked as num=8. Experimental results are shown in Fig. 9. As the complexity of the reward increases, the advantage of learning a separate value method is more significant. When the number of reward components increases to 7 and 8, the proposed HDPG can also outperform DDPG and MHDDPG.

#### 5.5 Physical Robot Results

The policies trained with dynamics randomization in the simulation were successfully transferred to physical robots. For dynamics randomization, we only randomized the pelvis center of mass in the horizontal directions (i.e., x-axis and y-axis). The results of physical robots are in Fig. 8. The biped robot was disturbed by push force at 13.1s. Subsequently, the pelvis tilted at 13.5s. As the policy adjusted the actions, the robot recovered to a stable gait at 13.9s. This demonstrated the policies trained with HDPG were robust enough to handle the shift from simulation to reality.

## 6 MuJoCo Experiments

# 6.1 Experiment Setting

To further verify the generalization of the proposed method, we evaluate HDPG on 3 locomotion tasks on MuJoCo continuous control environment of OpenAI Gym [14], i.e., Walker2d, HalfCheetah, Hopper, and Humanoid. The Hopper and Walker2d tasks contain three reward components,

TABLE 1 Performance on MuJoCo Tasks

Methods	Hopper	Walker2d	HalfCheetah	Humanoid
DDPG	3476.5±98.0	$2398.5\pm1169.7$	12422.9±620.4	$177.3\pm77.6$
MHDDPG	3539.3±23.9	$3162.0\pm1263.8$	12748.9±501.8	$513.6\pm131.0$
HDPG	<b>3600.4</b> ± <b>30.5</b>	$3217.5\pm1144.8$	<b>12895.3</b> ± <b>528.1</b>	$601.7\pm159.1$
PPO	2609.3±700.8	$3588.5 \pm 756.6$	$5783.9 \pm 1244.0$	787.2±160.3
HD-PPO	3263.5±367.8	$4688.0 \pm 220.1$	$6165.2 \pm 150.4$	<b>996.4</b> ± <b>149.6</b>

The results show the mean and standard deviation across 10 runs.

while HalfCheetah and humanoid contain two and four reward components, respectively. We conduct MuJoCo experiments using the publicly released implementation repository <sup>3</sup> as the baselines.

#### 6.2 Results

Using the same experimental setting, we compare the performance of the DDPG baselines, MHDDPG, and HDPG. Table 1 shows that MHDDPG and HDPG outperform the DDPG baselines on all tasks within 1M environment steps, and HDPG achieves the best performance on all tasks, implying the proposed "learning a separate value function" and "dynamic policy gradient" can be applied to more general continuous control tasks.

Furthermore, we equip HDPG with proximal policy optimization algorithms (PPO) [15] to verify the generalization of HDPG, denoted as HD-PPO. The best results are highlighted in bold. The hybrid and dynamic policy gradient method significantly improves the performance of DDPG and PPO on all tasks. It is worth noting that HD-PPO achieves a breakthrough of more than 25% on Hopper, Walker and Humanoid tasks, and it increases by about 6.6% on the HalfCheetah task. This demonstrates that the proposed method obtains relatively insignificant improvement in tasks with simple rewards, e.g., HalfCheetah, which only has two components in its reward function. In comparison, the improvement is significant in Hopper, Walker2d, and Humanoid tasks which have more components in the reward functions.

## 7 CONCLUSION AND DISCUSSION

In this work, we propose a reward-adaptive RL method for bipedal locomotion tasks called hybrid and dynamic policy gradient (HDPG) optimization. It decomposes the commonly adopted holistic polynomial reward function and introduces priority weights, enabling the agent to learn each reward component adaptively. Experimental evaluation illustrates the effectiveness of HDPG by showing better performance on Gazebo simulation in perturbation walking challenges, walking over obstacles challenges, and walking on undulating terrain challenges. With dynamics randomization, the policies trained in the simulation were successfully transferred to a physical robot. In addition, we further verify the generalization of HDPG on 3 MuJoCo tasks. However, the policy trained in the simulator can only enable the physical robot to walk on flat ground and handle small perturbations. For terrains with obstacles and slopes, we believe it is necessary to construct more diverse and refined obstacles and terrains in the simulator to train the agent to achieve sim to real. This will be investigated in our future work. Our future work will aim to transfer the policies trained in the simulation into real physical robots in the challenges of walking across obstacles and undulating terrain.

Discussion. The proposed hybrid and dynamic weights could also be applied to stochastic policies, such as PPO. In that case, the hybrid reward architecture would first learn a separate state value  $V(s_t)$  instead of a state-action value  $Q(s_t, a_t)$ . Based on this, the multi-channel advantage function and the policy gradient of each channel could then be calculated. Finally, dynamic weights could be used to weight these policy gradients to optimize the policy.

#### **ACKNOWLEDGMENTS**

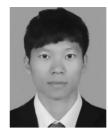
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