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# Software Requirements, Specifications and Formal Methods

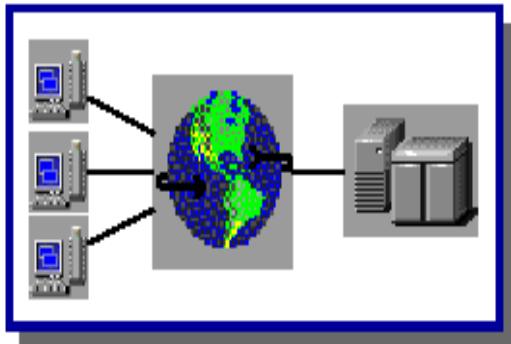
Dr. Shixun Huang



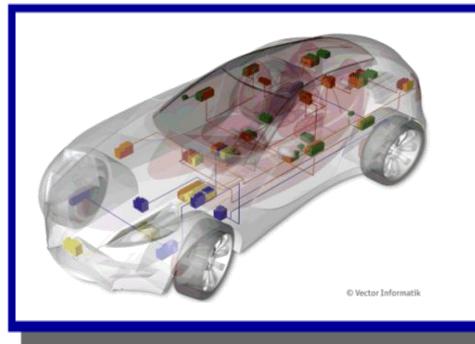
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# Concurrent systems

- Most modern IT systems are **distributed** and **concurrent**:



Internet and  
WWW



Modern  
car



Sensor  
network

# Concurrent systems are difficult to design

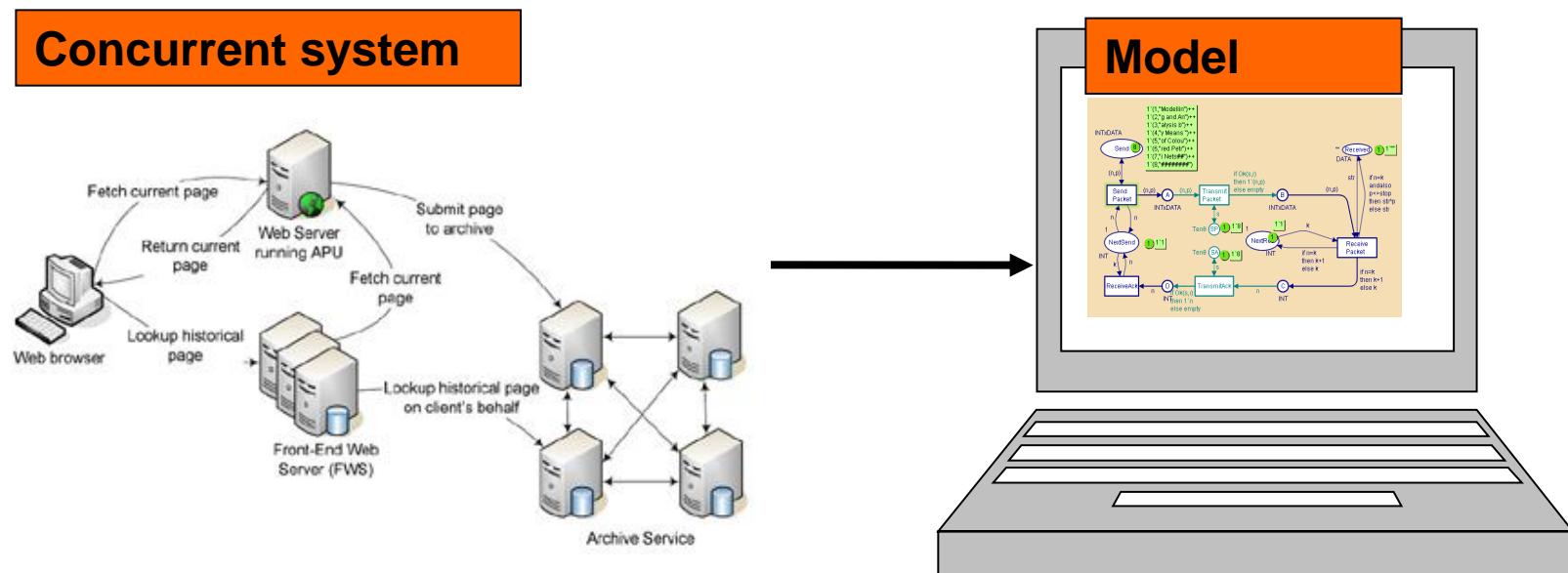
- They possess **concurrency** and **non-determinism**.
- The **execution** may proceed in **many different ways**, e.g. depending on:
  - Whether **messages** are lost during transmission.
  - The **scheduling** of processes.
  - The time at which **input** is received from the **environment**.
- Concurrent systems have an **astronomical number** of possible executions.
  - It is easy for the designer to miss **important interaction patterns**.
  - This may lead to **gaps** or **malfunctions** in the system design.

# Concurrent systems are often critical

- For many concurrent systems it is essential that they **work correctly** from the very beginning:
  - Nuclear power-plants.
  - Aircraft control systems.
  - Hospital life support equipment.
  - Computer networks.
  - Bank system.
- To cope with the complexity of modern concurrent systems, it is crucial to provide methods that enable **debugging** and **testing** of central parts of the system designs **prior** to **implementation** and **deployment**.

# Model based system development

- One way to approach the challenges posed by concurrent systems is to build a **model**.
- A **model** is an **abstract representation** which can be manipulated by means of a computer tool.



- Using a **model** it becomes possible to investigate how the **system** will behave and the properties it will possess.

# Why do we make models?

- We make **models** to:
- Gain **insight** in the system which is being designed.
- Get **ideas** to improve the design.



- **Models** also help us:
- To ensure **completeness** in the design.
- Improve the **correctness** of the design.

# Gain insight

- Modelling and simulation usually leads to significant new insights into the design and operation of the system.
  - The modeller gains an elaborate and more complete understanding of the system (e.g., compared to reading design documents).
  - The same applies to people who witness a presentation of a model.
- The new insight often results in a simpler and more streamlined design.
  - By investigating a model, similarities can be identified that can be exploited to unify and generalise the design and make it more logical.
  - We may also get ideas to improve the usability of the system.

# Completeness

- The construction of an **executable model** usually leads to a more **complete specification** of the design.
- **Gaps** in the specification of the system become **explicit**:
  - They will **prohibit** the model from being **executed** because certain parts are missing.
  - During simulation the designers and users will discover that certain **expected events** are impossible in the current state.
- Modelling leads to a more complete identification and understanding of the **requirements** to the system.
- Models can be used to **mediate discussions** among designers and users of the system.

# Correctness

- Modelling often reveals a number of **design errors** and **flaws**.
- It is possible to **control** the execution of a model (unlike the real system). This means that:
  - Problematic scenarios can be **reproduced**.
  - It is possible to check whether a **proposed modification** of the design **works as intended**.
- Simulating a number of **different scenarios** does **not** necessarily lead to **correct designs**:
  - There may be too many scenarios to investigate.
  - The modeller may fail to identify some important scenarios.
- However, a **systematic investigation** of scenarios often **significantly decreases** the number of **design errors**.

# Introduction to Petri Net

- Invented by Dr. Carl Adam Petri
- First introduced in Petri's dissertation:  
“Kommunikation mit Automaten” (1962)
- Petri Net is a tool for the study of system, and  
a mathematical representation of the system.



# Why Petri Net (PN)?

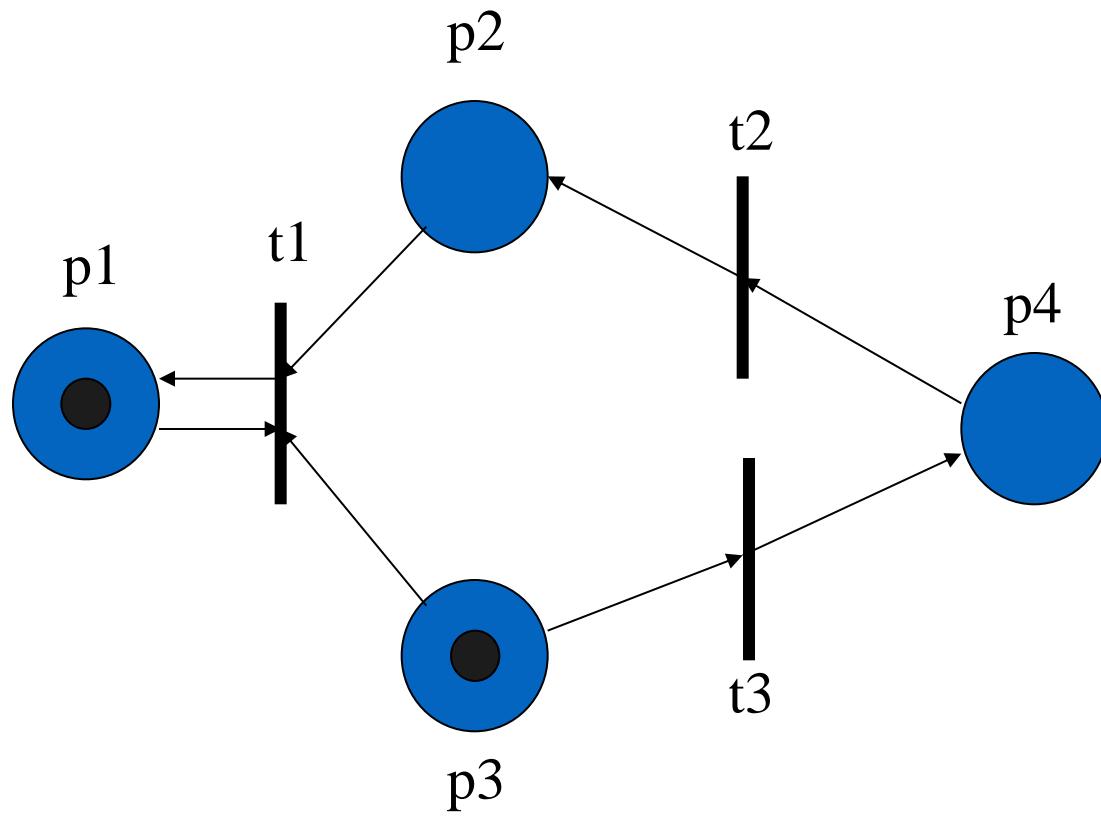
- Petri Nets give a graph-theoretic representation of the structure and the dynamics of a discrete event system.
- They show:
  - Communications patterns
  - Control patterns
  - Information flows
- They provide a mathematical framework for:
  - Analysis
  - Validation
  - Performance evaluation
- They focus on issues of
  - Concurrency
  - Asynchronous operations

# PN definition

A Petri Net is a bipartite directed multi-graph,  $G=(V,A)$ , where  $V= \{V_1, V_2, \dots, V_s\}$  is a set of vertices and  $A = \{ a_1, a_2, \dots, a_r \}$  is a bag of directed arcs,  $a_i = \{v_j, v_k\}$  with  $v_j, v_k \in V$ .

The set  $V$  are partitioned into two disjoint sets  $P$  (*circle nodes, i.e. places*) and  $T$  (*bar nodes, i.e. transition*) such that  $V = P \cup T$ ,  $P \cap T = \emptyset$ , and for each directed arc,  $a_i \in A$ , if  $a_i = (v_j, v_k)$ , the either  $v_j \in P$  and  $v_k \in T$  or  $v_j \in T$  and  $v_k \in P$ .

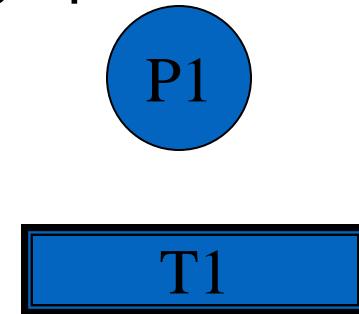
# An example of PN



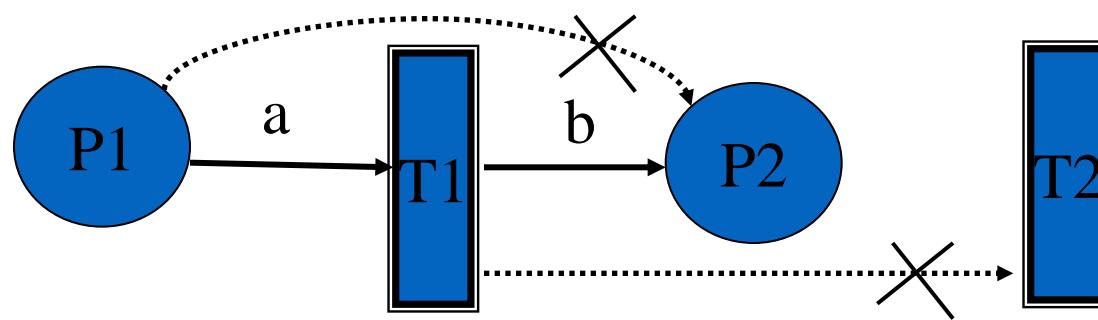
# PN composition

A Petri Net is a bipartite directed multi-graph

- Bipartite: two types of nodes
  - » Circle nodes denotes places
  - » Bar nodes denotes transitions



- Directed: the arcs that join two nodes are directed.
  - » Arcs can connect places to transitions and transitions to places only



# Definition

A *Petri net structure*,  $C$ , is a four-tuple,  $C=(P,T,I,O)$ .

$P=\{p_1,p_2,\dots,p_n\}$  is a finite set of places,  $n \geq 0$ .

$T=\{t_1,t_2,\dots,t_m\}$  is a set of transitions,  $m \geq 0$ .

The set of places and the set of transitions are disjoint,

$$P \cap T = \emptyset.$$

$I: T \rightarrow P$  is the input function, a mapping from a transition to bags of places, and indicates the input places of a transition.

$O: T \rightarrow P$  is the output function, a mapping from a transition to bags of places, and indicates the output places of a transition.

# Example

## A Petri net structure:

$$C = (P, T, I, O) \quad P = \{p_1, p_2, p_3, p_4, p_5\} \quad T = \{t_1, t_2, t_3, t_4\}$$

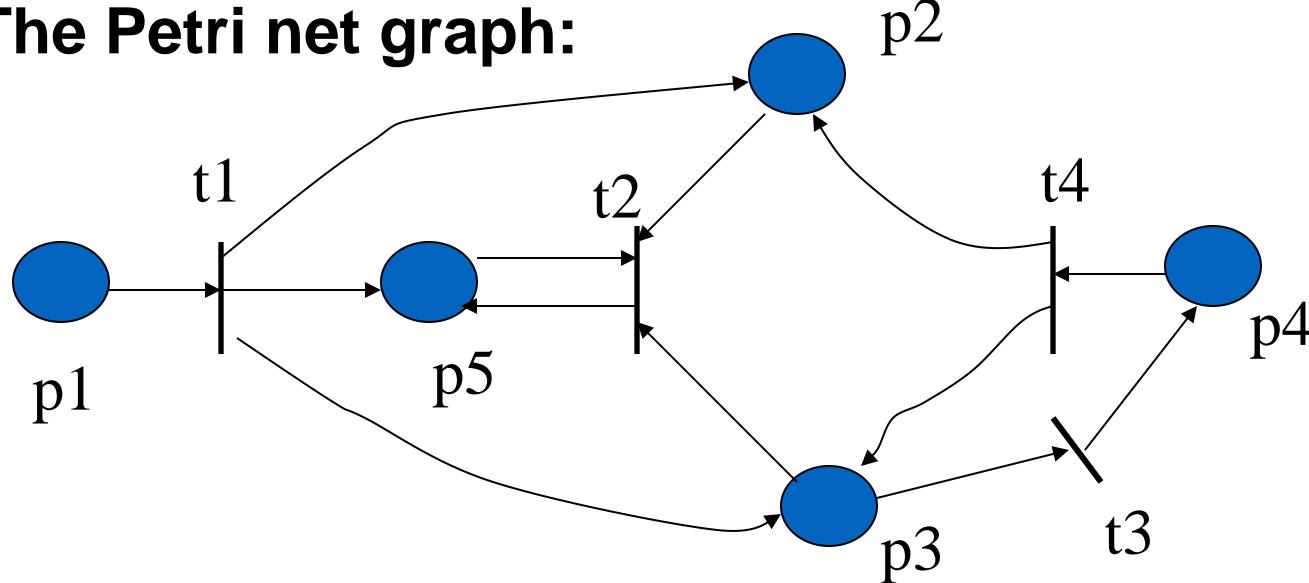
$$I(t_1) = \{p_1\} \quad O(t_1) = \{p_2, p_3, p_5\}$$

$$I(t_2) = \{p_2, p_3, p_5\} \quad O(t_2) = \{p_5\}$$

$$I(t_3) = \{p_3\} \quad O(t_3) = \{p_4\}$$

$$I(t_4) = \{p_4\} \quad O(t_4) = \{p_2, p_3\}$$

## The Petri net graph:



# Marked PN

- A marked PN contains tokens
- Tokens are depicted graphically by dots ( • ) and reside in places
- A marking of a PN is a mapping that assigns a non-negative integer ( the number of tokens ) to each place of the Petri Net
- The marking characterizes the state of the Petri Net
- The initial marking is referred to as  $\mu$  or  $m$ .

# Definition for marking $\mu$

Definition: A *marking*  $\mu$  of a Petri net  $C = (P, T, I, O)$  is a function from the set of places  $P$  to the nonnegative integers  $N$ .

$$\mu: P \rightarrow N$$

The marking  $\mu$  can also be defined as an n-vector,  
 $\mu = (\mu_1, \mu_2, \dots, \mu_n)$ . The vector  $\mu$  gives for each place  $p_i$  a  $\mu_i$  number of tokens.

The definitions of a marking as a function and as a vector are obviously related by  $\mu(p_i) = \mu_i$ .

# Example of Petri Net Markings

A Petri net structure:

$$C = (P, T, I, O) \quad P = \{p_1, p_2, p_3, p_4, p_5\} \quad T = \{t_1, t_2, t_3, t_4\}$$

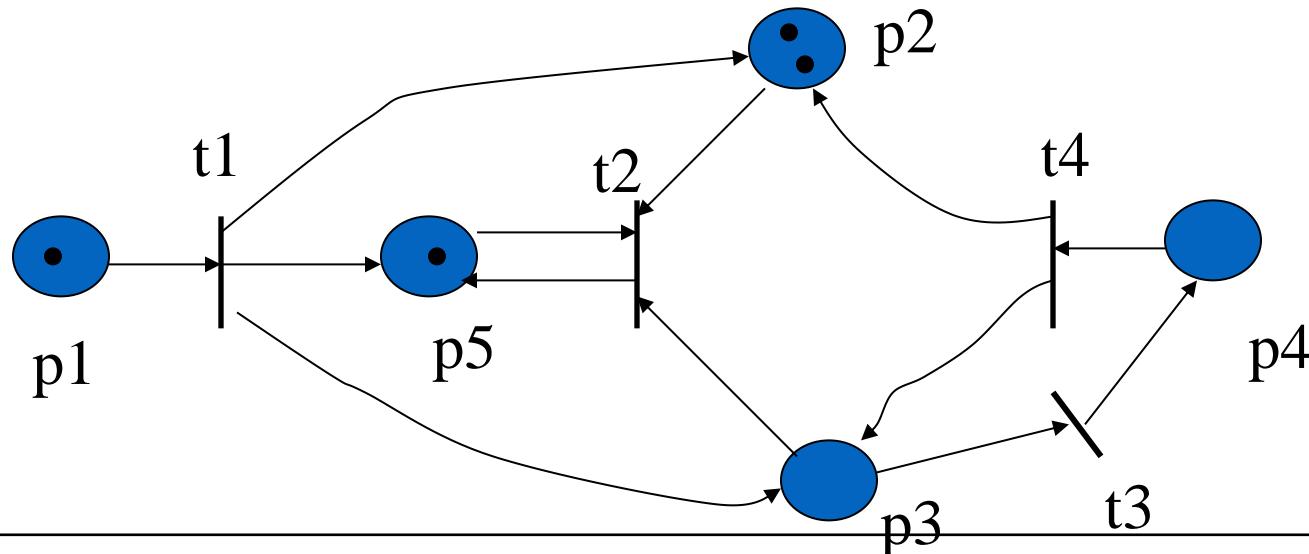
$$I(t_1) = \{p_1\} \quad O(t_1) = \{p_2, p_3, p_5\}$$

$$I(t_2) = \{p_2, p_3, p_5\} \quad O(t_2) = \{p_5\}$$

$$I(t_3) = \{p_3\} \quad O(t_3) = \{p_4\}$$

$$I(t_4) = \{p_4\} \quad O(t_4) = \{p_2, p_3\}$$

The marking is  $\mu = (1, 2, 0, 0, 1)$

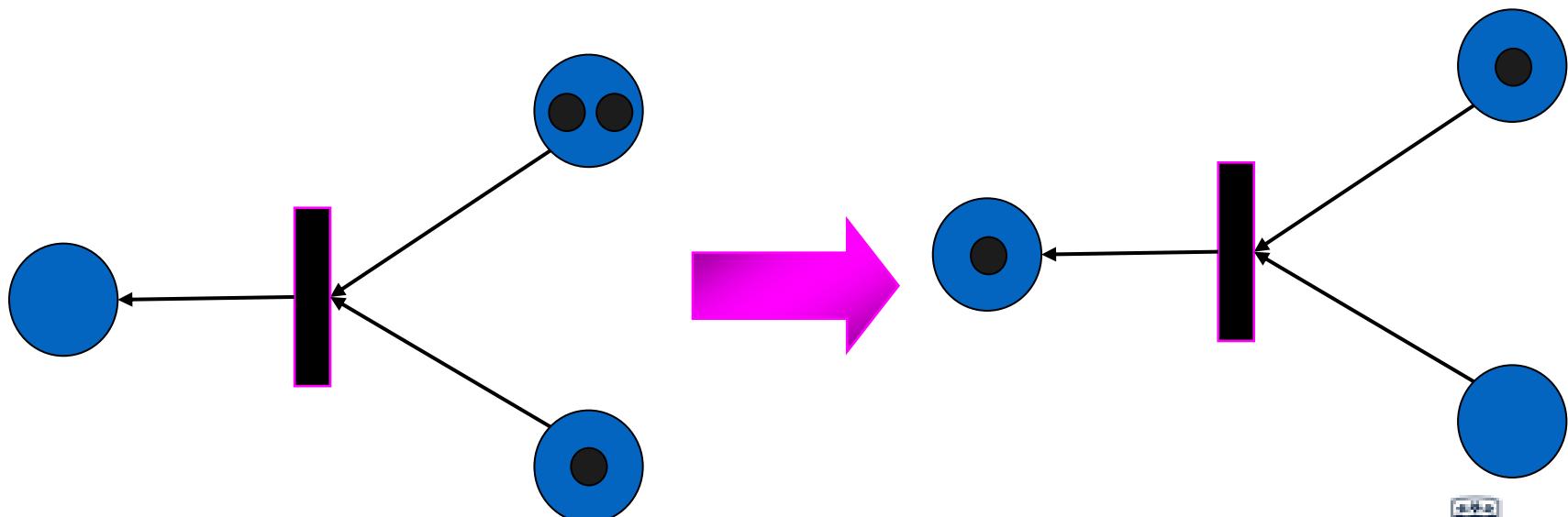


# Execution Rules for Petri Nets

- A transition  $t$  is called *enabled* in a certain marking, if:
  - For every arc from an input place  $p$  to transition  $t$ , there exists a distinct token in the marking
- An enabled transition can be *fired* and result in a new marking
- Firing of a transition  $t$  in a marking is an atomic operation
- The state of a Petri net is defined by its marking, i.e., number of tokens in each places
- The firing of a transition represents a change in the state of the Petri net.

# Execution Rules for Petri Nets (cont.)

- Firing a transition results in two things:
  1. Subtracting one token from the marking of any input place  $p$  for every arc connecting place  $p$  to transition  $t$ , i.e. decrement token for all  $I(t)$
  2. Adding one token to the marking of any output place  $p$  for every arc connecting transition  $t$  to place  $p$ , i.e. increment token for all  $O(t)$



# Definitions

A transition  $tj \in T$  in a marked Petri net  $C = (P, T, I, O)$  with marking  $\mu$  is enabled if for all  $pi \in P$ ,

$$\mu(pi) \geq \#(pi, tj)$$

*number of tokens within place pi*      *number of arcs from input place pi to transition tj*

A transition  $tj$  in a marked Petri net with marking  $\mu$  may fire whenever it is enabled.

Firing an enabled transition  $tj$  results in a new marking  $\mu'$  defined by, for all  $pi \in P$ ,

$$\mu'(pi) = \mu(pi) - \#(pi, tj) + \#(pi, tj)$$

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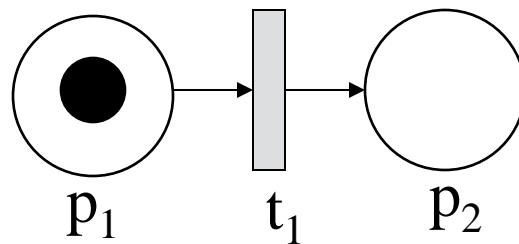
*new tokens in pi = original tokens in pi - tokens from pi + tokens to pi*

# Non-determinism

- Even a transition is enable, the execution of transition is non-deterministic.
  1. Multiple transitions can be enabled at the same time, any one of them can be fired
  2. None are required to fire - they fire at will (randomly), between time 0 and infinity, or not at all

## Examples

- Below is an example Petri net with two places and one transaction.
- Transition node is ready to **fire** if and only if there is at least one token at place p<sub>1</sub>



state transition of form  $\mu=(1,0) \rightarrow \mu_1=(0,1)$

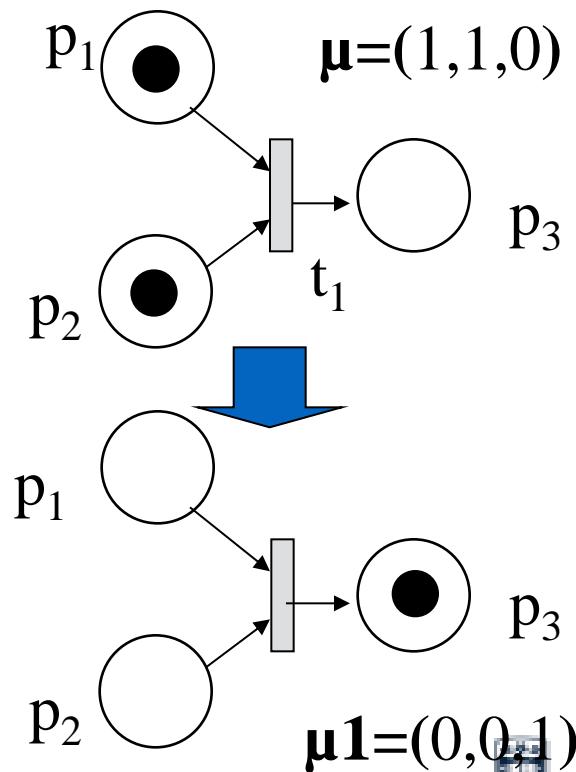
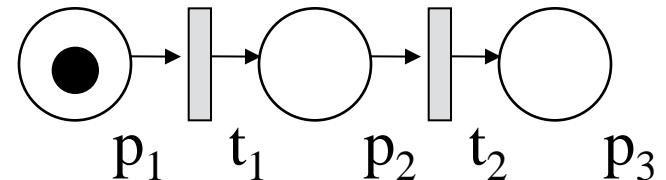
## Examples (cont.)

- Sequential Execution  
Transition  $t_2$  can fire only after the firing of  $t_1$ . This impose the precedence of constraints " $t_2$  after  $t_1$ ".

- Synchronization  
Transition  $t_1$  will be enabled only when there are at least one token at each of its input places.

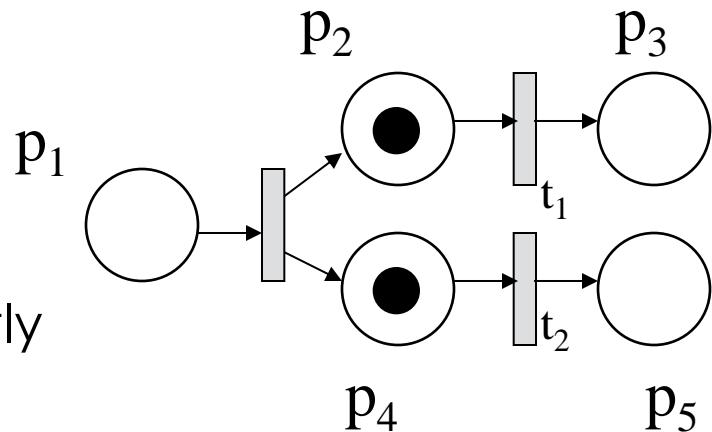
- Merging  
Happens when tokens from several places arrive for service at the same transition.

$$\mu = (1, 0, 0) \rightarrow \mu 1 = (0, 1, 0) \rightarrow \mu 2 = (0, 0, 1)$$



## Examples (cont.)

- Concurrency
  - $t_1$  and  $t_2$  are concurrent.
    - with this property, Petri net is able to model systems of distributed control with multiple processes executing concurrently in time.



$$\mu = (0, 1, 0, 1, 0) \rightarrow \mu' = (0, 0, 1, 0, 1)$$

# Example of Execution Rules for Petri Nets

Condition: for all  $p_i \in P$ ,  $\mu(p_i) \geq \#(p_i, t_j)$

$$\mu = (1, 0, 0, 2, 1),$$

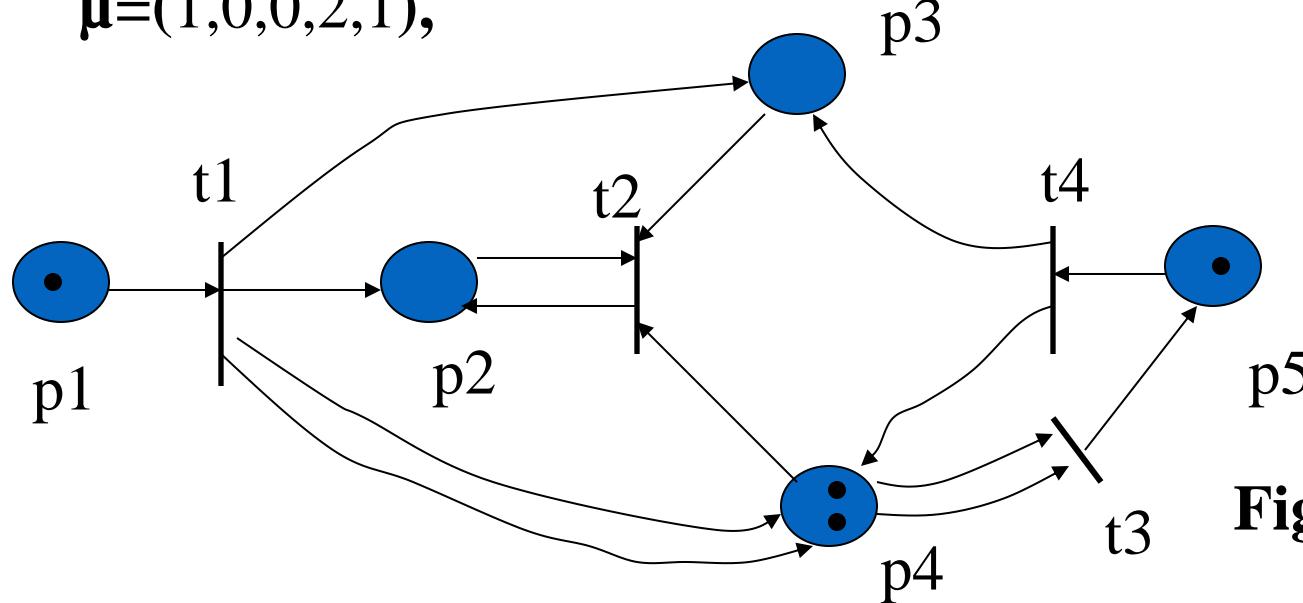


Figure1

Transitions  $t_1$ ,  $t_3$ , and  $t_4$  are enabled

Because the execution of PN is non-determinism, we assume that the fire order is  $t_4, t_1, t_3$

# Example of Execution Rules for Petri Nets

The marking result from firing transition  $t_4$  in Figure 1

$$\mu'(\text{pi}) = \mu(\text{pi}) - \#(\text{pi}, \text{tj}) + \#(\text{pi}, \text{tj})$$

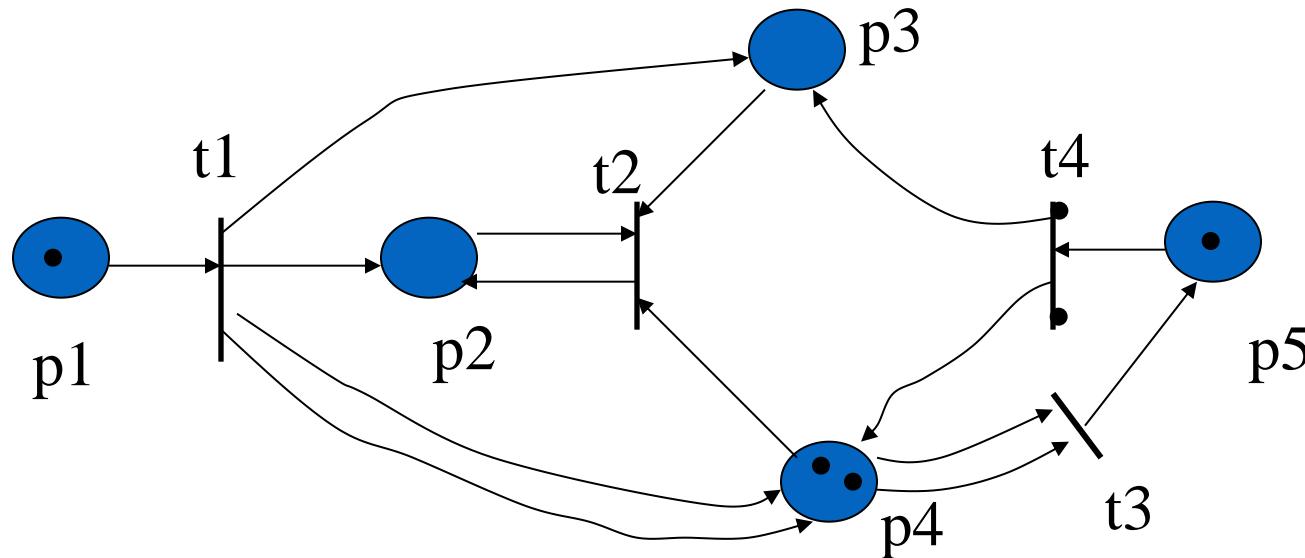


Figure2

$$\mu = (1, 0, 0, 2, 1) \rightarrow \mu_1 = (1, 0, 1, 3, 0)$$

# Example of Execution Rules for Petri Nets (continuing)

The marking result from firing transition  $t_1$  in Figure 2

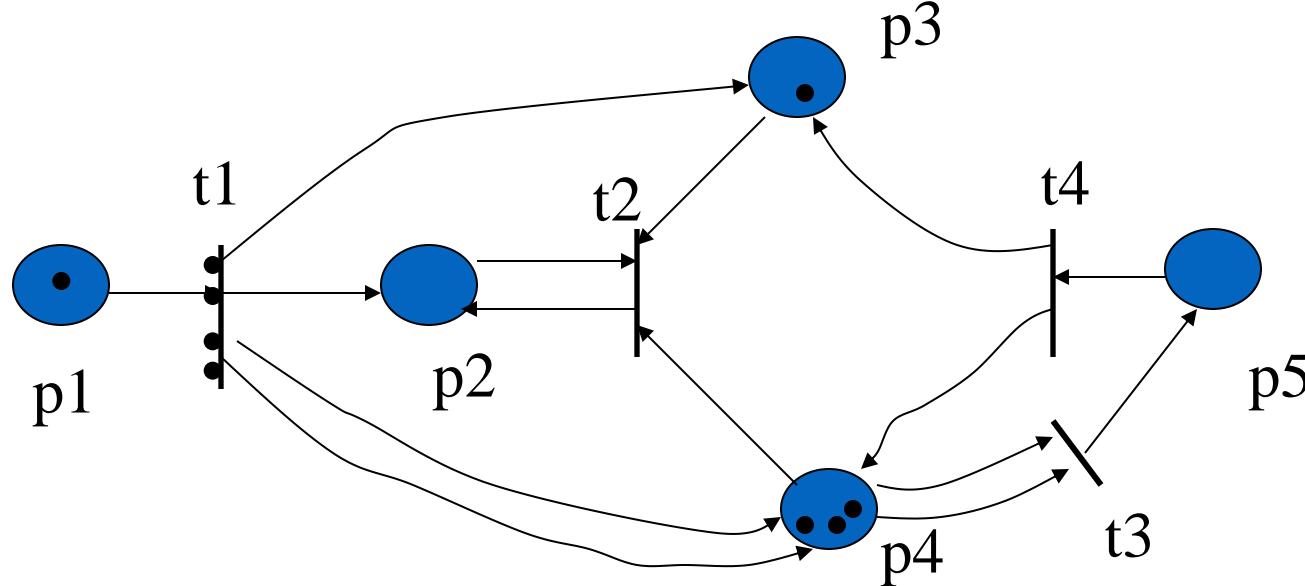


Figure3

$$\mu_1 = (1, 0, 1, 3, 0) \rightarrow \mu_2 = (0, 1, 2, 5, 0)$$

# Example of Execution Rules for Petri Nets (continuing)

The marking result from firing transition  $t_3$  in Figure 3

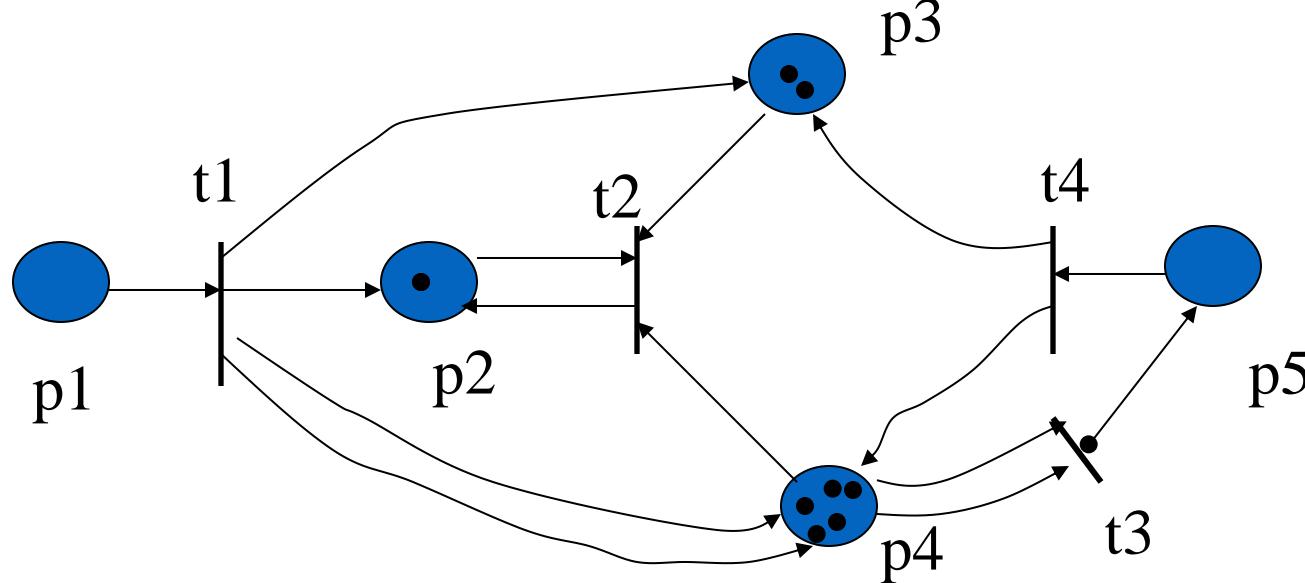


Figure4

$$\mu_2 = (0, 1, 2, 5, 0) \rightarrow \mu_3 = (0, 1, 2, 3, 1)$$

# Petri Net Applications

- **performance evaluation**
- **communication protocols**
- distributed-software systems
- distributed-database systems
- concurrent and parallel programs
- industrial control systems
- discrete-events systems
- multiprocessor memory systems
- dataflow-computing systems
- fault-tolerant systems
- etc, etc, etc

# Conclusion

- Petri Nets
  - executable
  - concurrent, asynchronous, distributed, parallel, nondeterministic and/or stochastic systems
  - graphical tool
    - visual communication aid
  - mathematical tool
    - state equations, algebraic equations, etc
  - communication between theoreticians and practitioners