# DES, Triple-DES and AES

# **Software Security**

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17th December 2018



# **DES/AES: Symmetric-key Encryption**

System type	sym. –	celation asym.	Authentikation sym. asym.		
Security level	sym. concelation system	asym. concelation system	sym. authentication system	digital signature system	
information theoretical	Vernam-Chiffre (one-time pad)		Authentication codes		
crypto- active graphi- cally	Pseudo-one- time-pad with s²-mod-n- Generator			GMR	
strong passive against attack	-	System with s <sup>2</sup> -mod-n- Generator			
mathe- well matical		RSA		RSA	
re- searched chaos	DES/AES		DES/AES		

Source: Andreas Pfitzmann: Security in IT-Networks, 2012

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# Objectives of today's exercise

- → Getting to know the meaning of *confusion* and *diffusion*, and how this concepts are implemented
- → Understanding the advantages of a *Feistel network* and the basic principles of *DES* and *Triple-DES*
- → Learning how to perform *attacks* against symmetric encryption systems, like DES and Triple-DES
- → Understanding how *AES* works

### **DES - Data Encryption Standard**

- Symmetric-key Concelation and Authentication -

# **History of DES**

- → At the beginning of the 1970s, an encryption standard was required for general use
- → A public call for proposals was initiated by the NBS (*National Bureau of Standard*, USA), today NIST
- → Important requirement: The strength of the encryption algorithm should be based only on the secrecy of the key and not on the secrecy of the algorithm (*Kerckhoffs's principle*)

### **Calls**

- **1972** NBS publishes a first request for a standard encryption algorithm without success
- **1974** Second request was successful, IBM submited a new version of the *Lucifer* algorithm
- **1977** Ongoing development with support from the NSA, DES was defined as the encryption standard

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# **Basics of Feistel networks**

# Encryption Plaintext Lo Ro Kn Kn Kn Kn Plaintext Rn+1 Ln+1 Ciphertext Rn+1 Ln+1 Plaintext Rn+1 Ln+1 Plaintext

- <u>Idea:</u> For encryption and decryption the same network can be used, only the order of the round keys has to be inverted
- Function *F* has *not to be inverted* for decryption
- DES based on a Feistel network (16 rounds)

# What are the criteria for evaluating chaos-based encryption systems?

### Diffusion

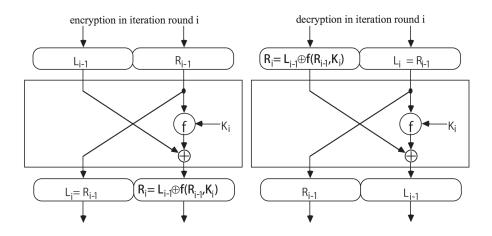
- Effectiveness of changing an input bit to as many output bits as possible, also known as an *avalanche effect*
- Implementation by *permutation* (e.g. using P-boxes), often also expansion permutation used

### Confusion

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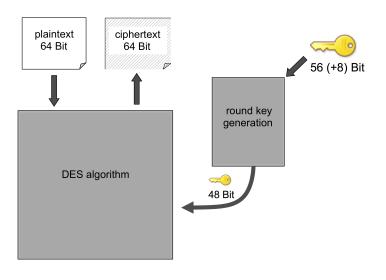
- Hiding the link between plain and ciphertext
- Implementation by *Substitution* (e.g. using S-boxes)

# **Decryption Principle of DES**

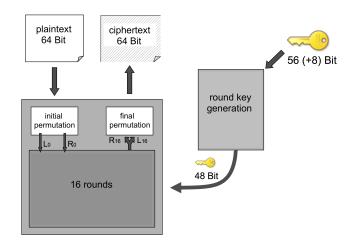


Source: Andreas Pfitzmann: Security in IT-Networks, 2012

# An Abstract View on the DES Algorithm (1)



# An Abstract View on the DES Algorithm (2)



→ Note: Initial and final permutation have no cryptographic significance!

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### **Initial and Final Permutation**

→ The input block

$$X = (x_1, x_2, \dots, x_{64})$$

is transformed to a permuted input block

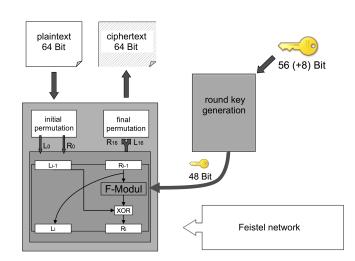
$$IP(X) = (x_{58}, x_{50}, \dots, x_7)$$

according to the following rule

58	50	42	34	26	18	10	2
60	52	44	36	28	20	12	4
62	54	46	38	30	22	14	6
64	56	48	40	32	24	16	8
57	49	41	33	25	17	9	1
59	51	43	35	27	19	11	3
61	53	45	37	29	21	13	5
63	55	47	39	31	23	15	7

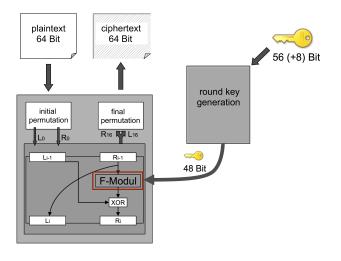
→ The final permutation is defined by the inverted inital permutation  $IP^{-1}$ !

# Feistel Network within the DES Algorithm

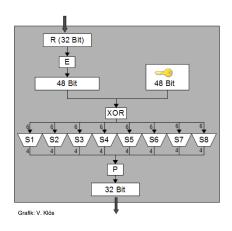


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### F-Module within the Feistel Network



# Components of the F-Module



- **■** *E*: Expansion permutation
- $S_i$ : Substitution boxes (S-boxes)
- *P*: Permutation for the next round

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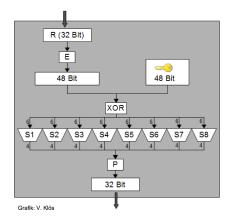
# **Expansion Permutation**

→ How to expand a 32-bit input block to an 48-bit block?

32	1	2	3	4	5
4	5	6	7	8	9
8	9	10	11	12	13
12	13	14	15	16	17
16	17	18	19	20	21
20	21	22	23	24	25
24	25	26	27	28	29
28	29	30	31	32	1

→ Note: All fields highlighted in gray are added as duplicates of the input block by expansion

# Components of the F-Module



- **■** *E*: Expansion permutation
- *S<sub>i</sub>*: Substitution boxes (S-boxes)
- *P*: Permutation for the next round

# Substitution using S-Box $S_1$

→ Note, substitution is not bitwise, but according to the following procedure

1 Split the input: 100110

2 Calculate the row number: 10 = 2

**3** Calculate the column number: 0011 = 3

4 Find the result using the s-box: 8 = 1000

	0	1	2	3	4	5	6	7	8	9	10	11	12	13
0	14	4	13	1	2	15	11	8	3	10	6	12	5	9
1	0	15	7	4	14	2	13	1	10	6	12	11	9	5
2	4	1	14	8	13	6	2	11	15	12	9	7	3	10
3	15	12	8	2	4	9	1	7	5	11	3	14	10	0

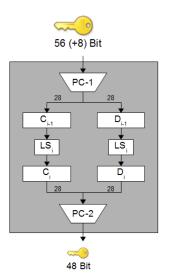
→ The substitution results in a compression of the bit block (reduction from 6 bits to 4 bits)

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# How to generate round keys?

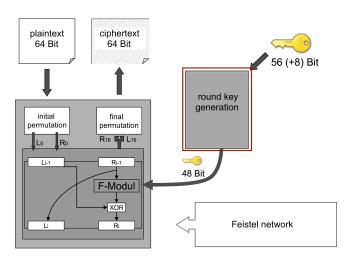


PC − 1: Permuted choice (56 bits from 64) 14

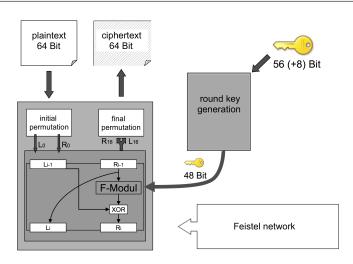
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- PC − 2: Permuted choice (48 bits from 56)
- $LS_i$ : Cyclical left-shift operation (by 1 or 2 bits)

# How to generate round keys?



# **Decryption using DES**



→ For decrypting, the same algorithm is used, but with the round keys in reverse order!

**DES** - Attacks

- Brute-Force Attack -

### How to use the complementarity property of DES?

ightharpoonup Given are two plaintexts P and  $\overline{P}$ , furthermore the attacker knows the corresponding ciphertexts C1 and C2 with

$$C1 = DES_K(P)$$
 and  $C2 = DES_K(\overline{P})$ 

### Procedure for Calculating the Key

**1** Select a K1 of the key space and calculate

$$C = DES_{K1}(P)$$

- $\rightarrow$  If C = C1 then K1 is the key you are looking for
- $\rightarrow$  If  $C = \overline{C2}$  then  $\overline{K1}$  is the key you are looking for
- 2 If  $C \neq C1$  and  $C \neq \overline{C2}$  than we exclude two keys (K1 and  $\overline{K1}$ ) from the key space and try Step 1 using another key

The *cost* of a brute-force attack using the complementarity property is *half* that of a normal brute-force attack, i.e. it is reduced to  $2^{55}$ !

### **Brute-Force Attack for DES**

→ Brute-force attack for DES can be easily implemented today, since the size of the key space can be tested with 2<sup>56</sup> in a practicable time

### Procedure for Calculating the Key

- **1** Select a ciphertext *C* with the corresponding plaintext *P* 
  - → Assumption is that you have such a pair, or P can be checked for plausibility in some other way
- 2 Check for each key Ki of the key space, whether  $DES_{Ki}(P) = C$  or  $DES_{Ki}^{-1}(C) = P$  holds
  - → If you succeed, the key is found

### Conclusion

→ DES is considered insecure today and should not be used!

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### **Background: Complementarity Property of DES**

### Why is the following statement correct?

Assuming ...

**1** 
$$C1 = DES_K(P)$$
 and  $C2 = DES_K(\overline{P})$  and

2 for a test key K1 holds  $\overline{C2} = DES_{K1}(P)$ 

... than the key you are looking for is  $K = \overline{K1}$ 

### **Complementarity Property**

$$DES_K(P) = \overline{DES_{\overline{K}}(\overline{P})}$$

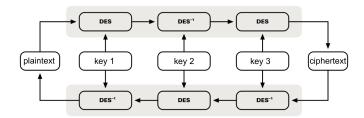
**Proof** 

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$$C2 = DES_{K}(\overline{P}) = \overline{DES_{\overline{K}}(\overline{\overline{P}})} = \overline{DES_{\overline{K}}(P)}$$
  
$$\Leftrightarrow \overline{C2} = DES_{\overline{K}}(P)$$

ightharpoonup If  $\overline{C2} = DES_{K1}(P)$ , than holds  $K1 = \overline{K}$  and  $K = \overline{K1}$ 

# How much better is Triple-DES?



### Using two different keys

■ Order of the used keys:  $K_1$ - $K_2$ - $K_1$ 

■ Size of the key space:  $2^{56} * 2^{56} = 2^{112}$ 

### Using three different keys

■ Order of the used keys:  $K_1$ - $K_2$ - $K_3$ 

■ Size of the key space:  $2^{56} * 2^{56} + 2^{56} = 2^{112} + 2^{56}$ 

→ Meet-in-the-Middle Attack

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# **AES – Advanced Encryption Standard**

- Symmetric-key Concelation and Authentication -

# Meet-in-the-Middle Attack for Triple-DES

→ Let *P* a plaintext and *C* a ciphertext with

$$C = DES_{K3}(DES_{K2}^{-1}(DES_{K1}(P)))$$

### **Procedure**

1 Calculate forward

$$\forall K1 \bullet C1 = DES_{K1}(P)$$

$$\rightarrow$$
 2<sup>56</sup>

2 Calculate forward

$$\forall C1, K2 \bullet DES_{K2}^{-1}(C1) = P'$$

$$\rightarrow 2^{56} * 2^{56}$$

3 Calculate backward and check, whether

$$P' = P''$$
 holds

$$\forall K3 \bullet DES_{K3}^{-1}(C) = P''$$

$$\rightarrow$$
 2<sup>56</sup> \* 2<sup>56</sup> + 2<sup>56</sup>

### Note

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→ The attack requires disk space for 2<sup>56</sup> blocks, i.e. for a block length of 64 bits you need 576.460 *terabyte*!

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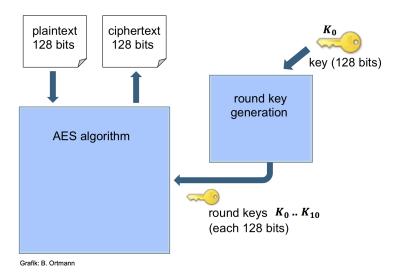
# **History of AES**

- → Call for proposals in 1997 initiated by the NIST (*National Institute of Standards and Technology*) for the development of a modern symmetric-key encryption standard
- → In a first step, 5 promising candidates were selected from 15 submissions
  - MARS (IBM)
  - RC6 (USA)
  - Rijndael (Belgium)
  - Serpent (Europa)
  - Twofish (USA)
- → In 2000, the winner was awarded and the Rijndael algorithm was officially named AES

# Characteristics of AES (as contrast to DES)

- Block cipher that can process input blocks with a length of 128 bits
- 3 variants with different key lengths
  - → 128, 192 or 256 bits
- Is based on a substitution-permutation network, not a Feistel network, i.e. encryption function must be inverted for decryption
- Number of rounds depends on key length → 10, 12 or 14 rounds
- Calculations are based on polynomial arithmetic (addition & multiplication) in the galois field  $GF(2^8)$

# **Abstract View on the 128-AES Algorithm**



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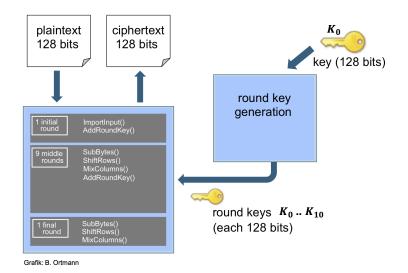
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# Refined View on the AES-128 Algorithm

# plaintext 128 bits ciphertext 128 bits round key generation round keys $K_0 ... K_{10}$ (each 128 bits) Grafik: B. Otmann

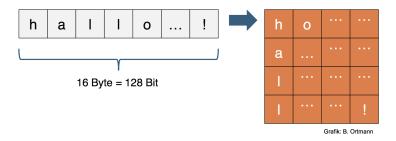
# Which Operations have to be implemented for AES?



# How to import the input block?

### **Operation** *ImportInput()*

- Internal state consists of a 4 x 4 matrix
- Each field of the matrix represents one byte (also often represented as hex)
- Plaintext of 128 bits is imported column by column



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Fundamentals: Polynomial Calculation

# **AES-Operations**

### **Operation** AddRoundKey()

- Based on *polynomial addition*
- Column-by-column addition of state matrix and round key
- Only this operation depends on the key

### **Operation** *SubBytes()*

- Performs confusion
- Byte-by-byte substitution using a predefined S-Box

### **Operation** *ShiftRows*()

- Performs permutations
- Line-by-line cyclic left shifts (each line one shift more)

### **Operation** *MixColumns()*

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- Based on *polynomial multiplication*
- Column-by-column multiplication using a predefined matrix

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# How to represent bytes using polynomials?

- $\blacksquare$  Operations of AES are defined for the *Galois field GF*( $2^8$ )
- i.e. each byte of the state matrix is interpreted as a polynomial

polynomial	binary	hex
0	00000000	00
1	0000001	01
X	00000010	02
x + 1	00000011	03
$x^2$	00000100	04
$x^2 + 1$	00000101	05
$x^5 + x^3 + x^2 + x + 1$	00101111	2 <i>F</i>
• • •		

# Polynomial Arithmetic for $GF(2^8)$

### **General Remarks**

- Similar to residue classes of the number theory Galois fields are based on *finite field aritmetic*
- For  $GF(2^8)$  we allow only polynomials of the form  $c \cdot x^e$  with  $c \in \{0,1\}$  and  $e \in \{0,\ldots,7\}$

### **Examples**

- Polynomial  $(x^3 + x + 1)$  is in  $GF(2^8)$
- However the polynomials  $(x^8 + x + 1)$  and  $(2 \cdot x^3 + x + 1)$  are out of range

### **Calculations**

- Calculation results that are out of range have to be reduced by a suitable modulo operation
- For addition and substraction we reduce the result using mod 2
- For multiplication we use an *irreducible reducing polynomial*

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# Polynomial Arithmetic for $GF(2^8)$

### **Example: Addition**

■ Addition results have to be reduced by mod 2

■ Addition in  $GF(2^8)$  corresponds to XOR-operation

Addition and subtraction can be implemented identically

# Polynomial Arithmetic for $GF(2^8)$

### **Example: Multiplication**

■ Multiplication results have to be reduced by an *irreducible* polynomial, for AES polynomial  $(x^8 + x^4 + x^3 + x + 1)$  is used

$$p = (x^6 + x^4 + x^2 + x + 1) \cdot (x^7 + x + 1)$$
  
=  $(x^{13} + x^{11} + x^9 + x^8 + x^6 + x^5 + x^4 + x^3 + 1)$ 

■ Polynomial p is not in  $GF(2^8)$  → we have to reduce it

$$(x^{13}+x^{11}+x^9+x^8+x^6+x^5+x^4+x^3+1) \mod (x^8+x^4+x^3+x+1)$$

■ Modulo  $(x^8 + x^4 + x^3 + x + 1)$  is calculated by division

$$\begin{array}{c} (x^{13} + x^{11} + x^9 + x^8 + x^6 + x^5 + x^4 + x^3 + 1) : (x^8 + x^4 + x^3 + x + 1) \\ \oplus \underbrace{(x^{13} + x^9 + x^8 + x^6 + x^5)}_{(x^{11} + x^7 + x^6 + x^4 + x^3 + 1)} = x^5 + x^3 \\ \oplus \underbrace{(x^{11} + x^7 + x^6 + x^4 + x^3 + 1)}_{(x^7 + x^6 + x^4 + x^3 + x + 1)} \end{array}$$

 $\rightarrow$  We obtain for the reduced polynomial  $(x^7 + x^6 + 1)$ 

# Polynomial Arithmetic for $GF(2^8)$

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### Remarks

- → Note, the AES algorithm requires only multiplications with the constants 1, 2 and 3
- → This special cases can be implemented more efficiently

## Efficient Multiplications in $GF(2^8)$ for AES

$$1 \cdot b := b$$

$$2 \cdot b := \begin{cases} (\text{left shift of } b)^1 & \text{if } b < 128 \\ (\text{left shift of } b) \oplus 00011011 & \text{else} \end{cases}$$

$$3 \cdot b := (2 \cdot b) \oplus b$$

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<sup>&</sup>lt;sup>1</sup> Note that this is not a cyclical left shift, but a normal left shift where the right side is filled with zeros

# **Example: AES Multiplication for** *MixColumns*()

$$\begin{pmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{pmatrix} \cdot \begin{pmatrix} d4 \\ bf \\ 5d \\ 30 \end{pmatrix} = \begin{pmatrix} r_1 \\ r_2 \\ r_3 \\ r_4 \end{pmatrix} = \begin{pmatrix} 04 \\ 66 \\ 81 \\ e5 \end{pmatrix}$$

hex	bin	dec
d4	11010100	212
bf	10111111	191
5d	01011101	93
30	00110000	48

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### How to calculate $r_1$ ?

$$r_1 = 2 \cdot d4 \oplus 3 \cdot bf \oplus 1 \cdot 5d \oplus 1 \cdot 30$$

```
ir_1 = 2 \cdot d4 = 10101000 \oplus 00011011 = 10110011

ir_2 = 3 \cdot bf = 011111110 \oplus 00011011 \oplus bf = 01100101 \oplus 10111111 = 11011010

ir_3 = 1 \cdot 5d = 01011101

ir_4 = 1 \cdot 30 = 00110000

r_1 = ir_1 \oplus ir_2 \oplus ir_3 \oplus ir_4

= 10110011 \oplus 11011010 \oplus 01011101 \oplus 00110000 = 00000100 \stackrel{\frown}{=} 04
```

# The complete AES algorithm – including round key generation

### → Animation to illustrate the AES algorithm

https://www.youtube.com/watch?v=mlzxpkdXP58

http://www.formaestudio.com/rijndaelinspector/archivos/Rijndael\_Animation\_v4\_eng.swf