

# Robust User Representations for Cross Domain Depression Classification using Partially-labeled Latent Dirichlet Allocation

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## Abstract

Recent studies have suggested that existing methods for detecting psychiatric conditions based on language usage in social media fail to generalize to new platforms and populations. In this study, we attempt to improve generalization by learning domain-invariant feature representations for depression classification using Partially-labeled Latent Dirichlet Allocation (PLDA). Experiments using four benchmarks from Twitter and Reddit provide an understanding of PLDA’s effectiveness at representing users compared to vanilla Latent Dirichlet Allocation (LDA).

## 1 Introduction

An estimated 16.2% of individuals will experience at least one major depressive episode during their lifetime (Kessler et al., 2003; Brody et al., 2018). Unfortunately, stigma towards discussing mental health and overwhelming socioeconomic barriers continue to drive a substantial portion of this population away from receiving adequate care (Gary, 2005). Accordingly, there has been significant interest by clinicians and social workers alike to develop non-invasive tools that increase equity in mental health care, improve the efficiency of clinical treatment, and effectively monitor mental well-being at scale (Chen et al., 2019; Galea et al., 2020).

In response to this demand, researchers have devoted significant effort towards developing systems that measure mental health using non-clinical data sources (De Choudhury et al., 2013; Jaques et al., 2015). Offering large amounts of user-generated language, social media platforms have served as the most prolific resource for researchers to translate theory regarding language usage and mental health into the digital world (Ramirez-Esparza et al., 2008; Guntuku et al., 2017; Chancellor and De Choudhury, 2020). Unfortunately, several recent studies have provided evidence that models trained on existing social media datasets fail to generalize to

new data platforms and populations due to underlying sampling biases (Ernala et al., 2019; Harrigian et al., 2020a; Aguirre et al., 2021).

In this study, we evaluate the use of Partially-labeled Latent Dirichlet Allocation (PLDA) (Ramage et al., 2011) for performing unsupervised domain adaptation in the context of a depression inference task. We hypothesize PLDA’s use of label-specific and label-invariant topics will encourage learning of user representations that are robust across domains. To explore this hypothesis, we systematically compare domain transfer abilities of vanilla Latent Dirichlet Allocation (LDA) (Blei et al., 2003) and PLDA using four social media datasets from Twitter and Reddit.

**Ethics Statement.** This research was deemed exempt from review by our Institutional Review Board (IRB) under 45 CFR § 46.104. All data was analyzed in accordance to protocols for mental health research enumerated in Benton et al. (2017a). Due to the sensitive nature of mental health data, we are unable to share any raw and/or processed forms of our datasets. However, we provide code for replicating our experiments and instructions for accessing datasets from their respective providers.<sup>1</sup>

## 2 Background

The process of training statistical models that generalize from one distribution to another is referred to as *domain adaptation* (Ben-David et al., 2006; Kouw and Loog, 2019). Historically, research regarding generalization in models of mental health based on social media has been quite narrowly focused. Several researchers have explored language usage amongst individuals with depression as a function of the subreddits they posted comments within (De Choudhury and De, 2014; Ireland and Iserman, 2018; Wolohan et al., 2018). Others have evaluated the feasibility of detecting depression as

<sup>1</sup><https://link-deanonimized-after-review>

a function of the manner in which control groups are defined (Pirina and Çöltekin, 2018).

Shen et al. (2018) was the first study to explicitly attempt transfer across social media platforms, ultimately suggesting that data from Twitter could be used to inform the prior for models deployed on Sina Weibo. However, later work from Ernala et al. (2019) and Harrigian et al. (2020a) contradicted the optimism of these findings by providing evidence that models of schizophrenia and depression, respectively, transferred poorly between social media platforms as a result of underlying dataset biases. To date, no study has explicitly attempted to reduce error when transferring mental health classifiers from one platform or population to another.

Fortunately, the natural language processing (NLP) community has a rich foundation of domain adaptation research for non-mental-health applications (Blitzer et al., 2007; Daumé III, 2007; Dredze and Crammer, 2008; Daumé III, 2009). Broadly, these adaptation techniques can be categorized as being either feature-based — e.g. subspace mapping (Fernando et al., 2013; Sun and Saenko, 2015), invariant feature selection (Zhao et al., 2019) — or model-based — e.g. instance weighting (Jiang and Zhai, 2007; Wang et al., 2017), adversarial learning (Tzeng et al., 2017; Meng et al., 2018). Unfortunately, many existing methods are poorly suited for application in the mental health domain, either due to significant hyperparameter sensitivity (Plank et al., 2014; Xia et al., 2018) or non-interpretable transformations (Saria and Subbaswamy, 2019).

Bayesian adaptation methods provide a natural framework for sharing covariance between domains and have been used successfully in various modeling tasks (Finkel and Manning, 2009; Daumé III, 2009). Topic models have had a particularly strong adoption rate with adaptation-focused NLP research, due both to their performance and their generation of interpretable representations (Blei and Lafferty, 2009). For example, Chen et al. (2012) used topics learned using Google search results to contextualize latent topics in microblog messages (i.e. Twitter, Sina Weibo), while Yang et al. (2019) presented a topic model that jointly aligns concepts across multiple language domains to improve document classification. Serving as the foundation for our work, Bao et al. (2013) and Jing et al. (2018) constructed partially-supervised topic models that learn to distinguish between domain-specific and domain-invariant semantics.

Importantly, topic models are familiar to those working on mental-health related applications of NLP and thus present an increased chance of clinical adoption. For instance, Resnik et al. (2013, 2015b,a) demonstrated that LDA and its variants could be used to discover themes discussed by individuals with heightened levels of depression, neuroticism, and post traumatic stress disorder. Topic models have also been used to identify suicidal ideation (Huang et al., 2015, 2017) and monitor population-level well-being (Biester et al., 2020).

### 3 Methods

We begin by introducing the topic models that will be used for generating user representations for mental health inference. Thereafter, we describe our downstream classifier and its associated training procedure.

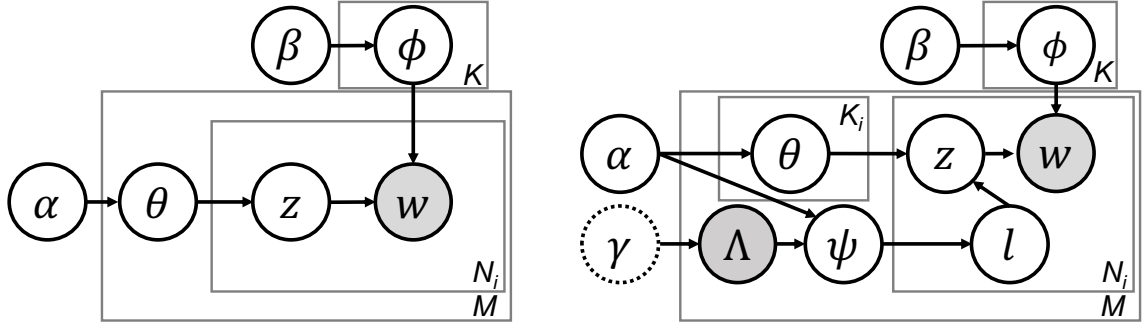
#### 3.1 Topic Modeling

Topic models can be seen as a Bayesian generative extension to the matrix factorization procedure Latent Semantic Analysis, offering a mechanism for representing the semantics of a document in a low-dimensional hyperspace (Blei et al., 2003; Blei and Lafferty, 2009). In this study, we compare two formulations — “vanilla” Latent Dirichlet Allocation (LDA) (Blei et al., 2003) and Partially-labeled Latent Dirichlet Allocation (PLDA) (Ramage et al., 2010, 2011).<sup>2</sup>

**LDA.** LDA was first presented by Blei et al. (2003) and has since been cited in over 30-thousand studies across a wide array of disciplines. We present a plate diagram for the sampling model in Figure 1 (left). We are interested in learning the document-topic distributions  $\theta$  to use as user representations in the downstream inference task.

**PLDA.** While LDA has proven to be a powerful tool for representing documents in a low-dimensional hyperspace (Henderson and Eliassi-Rad, 2009), its unsupervised nature limits the degree to which researchers can take advantage of rich metadata that is often available with language data. Partially-labeled Latent Dirichlet Allocation (PLDA) was proposed by Ramage et al. (2010, 2011) as an extension of LDA that could use annotations to inform, but not fully restrict, the learning of topic distributions in a corpus. We present PLDA’s plate diagram in Figure 1 (right).

<sup>2</sup>We use versions of LDA and PLDA implemented in the *tomotopy* Python package.



**Figure 1:** Comparison of LDA (left) and PLDA (right) sampling models. Shaded variables are observed. If we assume only latent topics (i.e. no label-specific topics) are present, the PLDA model reduces to LDA.

PLDA is structured similarly to LDA, with the primary difference being that documents are generated from both latent topics general to the entire training corpora and a subset of latent topics specific to the labels associated with each document. For the purposes of facilitating adaptation, we assign labels indicating whether a document comes from the source or target domain. Importantly, we do not require any ground truth mental health status in the target domain at training time, which can be difficult or even impossible to acquire for some populations. Since PLDA assumes topics are each associated with a single label, we are restricted to representing documents in our downstream task using the general latent topics only. For consistency between LDA and PLDA, we re-normalize each document’s general latent topic distribution using an  $l_2$  norm before feeding them into the downstream classifier.

### 3.2 Mental Health Inference

We feed user representations inferred from each topic model into an  $l_2$ -regularized logistic regression classifier whose parameters are learned using the Scikit-learn implementation of LBFGS (Liu and Nocedal, 1989; Pedregosa et al., 2011). Indeed, logistic regression has served as an adequate baseline in several prior studies regarding inference of mental health status (Benton et al., 2017b; Cohan et al., 2018). Furthermore, we argue that the model’s relative simplicity will allow us to more acutely estimate differences in user representation quality that arise between LDA and PLDA.

## 4 Data

We choose to focus on the task of depression inference for this study, which remains one of the most popular tasks in this application domain (Chan-

cellor and De Choudhury, 2020; Harrigan et al., 2020b). To evaluate our hypothesis that PLDA can be used effectively for performing unsupervised domain adaptation, we consider four social media datasets containing ground-truth depression status. Specifically, we consider two Twitter datasets — *2015 CLPsych Shared Task* (Coppersmith et al., 2015) and *Multi-task Learning* (Benton et al., 2017b) — and two Reddit datasets — *SMHD* (Cohan et al., 2018) and *Topic-Restricted Text* (Wolohan et al., 2018). Full descriptions of each dataset are provided in the appendix as a courtesy to the reader.

To form “documents” for modeling, all posts made by an individual are concatenated together and tokenized into unigrams using a modified version of Twokenizer (O’Connor et al., 2010). Per the recommendations of Schofield et al. (2017), we remove the 250 most frequent tokens from each corpus. We also ignore tokens used by less than 10 users throughout the training corpus. We preserve existing train/development/test splits for our analysis, but downsample each subset so that positive and negative instances of depression are balanced. We recognize this sampling decision does not reflect the true prevalence of depression amongst the population, but it facilitates comparisons to results from Harrigan et al. (2020a) and is arguably sufficient for comparing performance between PLDA and LDA.

## 5 Experiments

In line with prior work from Harrigan et al. (2020a), we consider a standard domain transfer experimental design in which we train a mental health classifier using one dataset and evaluate on another. At training time, we use data both from the source and target domains (training subsets only) to fit a

Source Domain	Target Domain			
	CLPsych Shared Task	Multi-Task Learning	SMHD	Topic-Restricted Text
CLPsych Shared Task		- 0.002 $\pm$ 0.014	<b>- 0.011 <math>\pm</math> 0.005</b>	<b>0.016 <math>\pm</math> 0.006</b>
Multi-Task Learning	- 0.013 $\pm$ 0.035		<b>- 0.010 <math>\pm</math> 0.006</b>	<b>0.018 <math>\pm</math> 0.011</b>
SMHD	<b>0.044 <math>\pm</math> 0.026</b>	- 0.002 $\pm$ 0.021		- 0.003 $\pm$ 0.004
Topic-Restricted Text	0.029 $\pm$ 0.051	<b>0.013 <math>\pm</math> 0.006</b>	0.001 $\pm$ 0.001	

**Table 1:** Difference in test set AUC between LDA and PLDA ( $\mu \pm \sigma$ ). Positive deltas indicate PLDA has superior performance. Bolded values indicate a significant difference based on a pairwise t-test.

topic model. After a burn-in period of 1000 MCMC iterations, we generate 1000 additional samples from the topic model’s posterior predictive distribution for users in the source domain’s training data and the target domain’s evaluation data. Based on guidance from Nguyen et al. (2014), final user representations are computed by averaging over the 1000 post burn-in samples and re-normalizing using an  $l_2$  norm.

To most fairly compare user representations learned by LDA and PLDA, we first conduct a multi-stage hyperparameter search for each topic model and their respective downstream classifiers. The first stage consists of a joint search over the prior hyperparameters  $\alpha$  and  $\beta$ , and the classifier’s regularization strength  $C$ . The second stage consists of a search over the number of latent topics  $K$ , the number of per-domain topics  $K_d$  (PLDA only), and regularization strength  $C$ . At each stage, we select parameters that maximize area under the curve (AUC) in the target domain’s validation data. Our hyperparameter search space and resulting parameter selections are enumerated in the appendix. Using these hyperparameters, we train 5 independent models per source-target combination using a stratified sample (80%) of the combined training and development subsets. We choose AUC as our primary performance metric since it is robust to model calibration errors that may arise under covariate shift (Pampari and Ermon, 2020; Park et al., 2020). We use a paired t-test to evaluate the significance of differences in performance that arise under the two types of user representations.

**Results.** From our hyperparameter optimization procedure, we observe that inference performance is relatively sensitive to topic model parameterization for both LDA and PLDA models. The number of general latent topics  $K$  and domain-specific topics  $K_d$  has the strongest impact on performance, though these relationships are non-linear and not

necessarily correlated between topic models. This sensitivity may suggest that nonparametric topic models are more appropriate for an unsupervised adaptation task (Teh et al., 2006), though we leave this hypothesis for future work.

We present the average difference in test set AUC ( $\mu \pm \sigma$ ) that arises under our two “optimal” topic model user representations in Table 1. We note that user representations derived using PLDA are *not* uniformly superior to user representations using LDA. In general, differences in performance are relatively minor for most source-target comparisons. That said, we note that all significant differences arise in cross-platform transfer scenarios (as opposed to cross-dataset, same platform).

## 6 Discussion

In this paper, we evaluated the effectiveness of using Partially-labeled Latent Dirichlet Allocation (PLDA) to construct user representations for cross-domain mental health status inference. Specifically, we showed that PLDA is able to separate domain-specific topics from domain-invariant topics and thus promote generalization under certain data regimes. However, PLDA-based user representations are not uniformly superior for all adaptation scenarios and, furthermore, are non-trivially sensitive to hyperparameter choices.

We would be negligent not to recognize limitations of our study. We have only explored transfer between datasets with a prevalence of depressed individuals that is non-representative of the general population (e.g. 50/50 group balance). Moving forward, researchers should consider fully random samples of data from the desired target domain to most accurately reflect a deployment scenario. It is also worth more thoroughly evaluating the impact that factors such as target domain data availability, demographic representation, and the degree of covariate shift have on performance.



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