# **Operating Systems – Project 1: Threads**

## Final Report

Khau Lien Kiet

Team 10

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Department of Computer Science & Engineering

Pohang University of Science and Technology (POSTECH)

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Introduction: This report is to demonstrate the implementation (i.e. data structures, algorithm, function added/modified, etc.) for the coding section. In addition, I would explain in detailed the incentives/reasons to modify or add functions as well as illustrate the mechanism if there are any changes in its procedure. Indeed, there are three main sections to describe in the report as (I) Alarm Clock, (II) Priority Scheduling, (III) Advanced Scheduler, and (IV) Discussion. In terms of the Discussion section, it is required to account for my learning retention during the Project 1 implementation. Also, under the final report, I would provide images included with the implementation procedure during my coding section. For a further elaboration, it is more well-explained while reading above description of each part.

#### I. Alarm Clock

#### a. Data structure

threads/thread.c/sleeping\_list: This list contains the thread after finish executing in the running state. Its
main functionality is to manage a currently sleeping thread (performed as the blocked state). For a further
description, whenever it exceeds the sleeping duration, it is required to wake up and transfer back to the
ready list.

```
/* Alarm Clock: List of containing the threads after executing the running state to sleep in the blocked state.
Whenever it exceeds the sleeping time, it would be transferred */
static struct list sleeping_list;
```

Figure 1: Added sleeping\_list data structure in thread.c

• **threads/thread.h/struct thread/local\_tick:** It is defined in the thread structure. For each thread, it would have their own *local\_tick*, which is represented as the expiration time to wake up and get out of the *sleeping\_list*.

```
/* threads/thread.h/struct thread */
struct thread

[6]

//...|
int64_t local_tick; /* Alarm Clock: Store an expiration time on each thread to wake up in the sleeping_list*/
[9];
```

Figure 2: Added local\_tick variable in thread.h/struct thread

#### b. Algorithm

• **Initilize sleeping\_list**: It is initialized in the **threads/thread\_c/thread\_init()**. Whenever the thread is created, *sleeping\_list* would be initialized to perform as a list of the thread system.

```
/* threads/thread.c/thread_init() */
void
thread_init (void)
{
    //...
    /* When the thread is initialized, simultaneouly
    it is initialized the sleeping_list as a list in its structure*/
    list_init (&sleeping_list);
    //...
}
```

*Figure 3: Initialization of the sleeping\_list in thread.c/thread\_init()* 

#### Functions Added/Modified

- Sleep a thread
  - Add function threads/thread\_c/thread\_sleep(ticks): This function is used to put the thread to sleeping state. First, it disables the interrupt to avoid race condition during manipulating the thread list. Then, it receives the *ticks* variable as an argument and also stores the *ticks* value (*ticks* = the beginning of sleeping time + the sleeping duration) to its *local\_tick*. If the current thread is not an idle thread, *list\_insert\_ordered()* function would put the thread into *sleeping\_list* in the correct order of *local\_tick* with a comparator *sleeping\_list\_order()* argument (*described below*). When it goes to the *sleeping\_list*, it is necessary to change the status of the thread to *THREAD\_BLOCKED* and call *schedule()* to context switch for other threads. Finally, enable the interrupt back to the original state.

```
/* threads/thread_s/thread_sleep() */
/* Set the thread started to blocked and wait in the sleeping_list when inseting it to sleeping_list*/
void thread_sleep(int64_t ticks) {
    struct thread *curr = thread_current();
    enum intr_level old_level;
// When modified the thread's property, disable interrupt in order to prevent the race condition.
ASSERT (!intr_context());
// Ensure the thread is not an idle thread as it was executed in the running state.
ASSERT (curr != idle_thread);

old_level = intr_disable();
// Store in its thread's local_tick about the starting time and the duration of sleeping process.
curr->local_tick = ticks;
// Put the thread in sleeping_list with its order (which having a small sleeping time would be the top of the list)
list_insert_ordered(&sleeping_list, &curr->elem, sleeping_list_order,NULL);
// Change the state of the thread to THREAD_BLOCKED and call schedule() - Perform as thread_block()
curr->status = THREAD_BLOCKED;
schedule ();
intr_set_level(old_level);
}
```

Figure 4: Added thread\_sleep() function in threads/thread.c

Add comparator threads/thread.c/sleeping\_list\_order(...): This function is a descending comparator to compare two local ticks from two threads. Therefore, thread having a smallest local\_tick would at the beginning of the sleeping\_list.

```
/*ADDED COMPARATOR*/
/* (Descending) Comparator to consider the local_tick for each thread in sleeping_list.

If the thread is contained the smaller local_tick, it would be on the head of the sleeping_list.*/
/* threads/thread.c/sleeping_list_order() */
bool
sleeping_list_order(const struct list_elem *a, const struct list_elem *b, void *aux UNUSED)
{
    struct thread *t1 = list_entry (a, struct thread, elem);
    struct thread *t2 = list_entry (b, struct thread, elem);
    return t1->local_tick < t2->local_tick;
}
```

Figure 5: Added sleeping\_list\_order() function in threads/thread.c

Modify devices/timer\_sleep(ticks): Instead of yielding the thread by thread\_yield(), the main idea of this modification is to remove the thread from the ready\_list and put it into the sleeping\_list if there is a remaining time for its sleeping. Indeed, the argument passed to the thread\_sleep() would be the starting of sleeping time and sleeping duration.

```
/* Sleeps for approximately TICKS timer ticks. Interrupts must
| be turned on. */
/* devices/timer_sleep() */
void

timer_sleep (int64_t ticks)
{
    int64_t start = timer_ticks ();

ASSERT (intr_get_level () == INTR_ON);

/* Alarm Clock: If the starting time of sleeping does not exceed the duration of sleeping,
    it still maintains the sleeping state*/
// while (timer_elapsed (start) < ticks)
// thread_yield ();
    if (timer_elapsed(start) < ticks) {
        thread_sleep(start + ticks);
    }
}</pre>
```

Figure 6: Modified timer\_sleep() function in devices/timer.c

- Wakeup a thread
  - Add function threads/thread.c/thread\_wakeup(ticks): It is called when there is a thread that need to wake up from the sleeping state (so-called the blocked state). It will iterate through all the sleeping\_list to check if there is any thread with local\_tick smaller or equal to the current tick. If it satisfies this condition, remove this thread from sleeping\_list using list\_remove() and wake up this thread by thread\_unblock(). Otherwise, it would go to the next thread.

```
/* Select the thread to wake up from sleeping_list with the local_tick comparison and thus wake it up*/
/* threads/thread_wakeup() */
void thread_wakeup(int64_t ticks){
    struct list_elem *e = list_begin(&sleeping_list);
    struct thread *t;

// Traverse through all the thread elements in the sleeping_list. If there is a thread having a tick smaller
// than the current tick, it need to wake up by removing out of the sleeping_list and unblock the thread in
// order to go back to the ready_list. Otherwise, traverse for the next thread to consider.
while (e != list_end(&sleeping_list)){
    t = list_entry(e, struct thread, elem);
    if (t->local_tick <= ticks){
        e = list_remove(e);
        thread_unblock(t);
    }
    else{
        e = list_next(e);
    }
}</pre>
```

Figure 7: Added thread\_wakeup() function in threads/thread.c

• Modify devices/timer\_c/timer\_interrupt(...): On every timer interrupt, it is required to check some threads after sleeping them. If there is any thread needed to wake up, we need to wake up that thread. Indeed, the argument passed through the thread\_wakeup() would be the ticks.

```
/* Timer interrupt handler. */
/* devices/timer.c/timer_interrupt */
static void
timer_interrupt (struct intr_frame *args UNUSED)
{
    ticks++;
    thread_tick ();

    //...

/* Alarm Clock: When ever the thread needs to wake up, timer_interrupt would be the caller the unblock that thread
    /to get outside the sleeping_state and wake up to the ready_list.*/
thread_wakeup(ticks);
}
```

Figure 8: Modified timer\_interrupt() function in devices/timer.c

### II. Priority Scheduling

- i. Priority Scheduling
- a. Data Structure

Using the same data structure (no parameter is added in this implementation section)

b. Algorithm

Function added/modified in implementing priority scheduling for the ready state:

- Insert to the *ready\_list* with the priority
  - Add comparator threads/thread\_c/thread\_priority\_compare(...): This function is considered as an ascending comparator that compare two thread's priority when inserting in this comparator. Therefore, thread having a higher priority would be at the beginning of the list. For a further usage, comparator thread\_priority\_compare() would be the function argument inside the list\_insert\_ordered() to put back the ready\_list with the correct order, which is particularly modified in thread\_unblock() and thread\_yield().

```
/* (Ascending) Comparator to consider the priorirty of each thread in ready_list for example.
If the thread is contained the higher priority, it would be on the head of the list.*/
/* threads/thread.c/thread_priority_compare() */
bool
thread_priority_compare(const struct list_elem *a, const struct list_elem *b, void *aux UNUSED){
    struct thread *t1 = list_entry (a, struct thread, elem);
    struct thread *t2 = list_entry (b, struct thread, elem);
    return t1->priority > t2->priority;
}
```

Figure 9: Added thread\_priority\_compare() function in threads/thread.c

Modify threads/thread\_c/thread\_unblock(thread \*t): To implement the priority scheduling when unblocking one thread, we would use list\_insert\_ordered() to put thread back into ready\_list in the correct order of priority instead of list\_push\_back (which simply pushing the thread at the end of the ready\_list). Indeed, comparator thread\_priority\_compare() would be the function argument inside list\_insert\_ordered() to compare among thread priority. Therefore, when a thread gets unblocked, it would get put back in ready state with the order of priority which makes the ready\_list be sorted in the correct priority order.

```
/* threads/thread_unblock() */
void
thread_unblock (struct thread *t)
{
    enum intr_level old_level;

    ASSERT (is_thread (t));

    old_level = intr_disable ();
    ASSERT (t->status == THREAD_BLOCKED);
    /* Priority Scheduling modification*/
    //list_push_back (&ready_list, &t->elem);
    /* To implement the priority scheduling, instead of using the old function to perform FIFO mechanism,
    use the list_insert_ordered with the comparator function thread_priority_compare to insert in the ready_list
    within the priority order. */
    list_insert_ordered(&ready_list, &t->elem, thread_priority_compare, NULL);
    t->status = THREAD_READY;
    intr_set_level (old_level);
}
```

Figure 10: Modified thread\_unblock() function in threads/thread.c

Modify threads/thread\_vield(void): Its modification idea is the same with thread\_unblock(). To implement priority scheduling when yielding the thread to the ready\_list, we also replace the list\_push\_back() function with list\_insert\_ordered() with the comparator thread\_priority\_compare(). Thus, if the current thread is not an idle thread, it would perform putting the thread back at ready\_list in the accurate priority order.

```
The current thread is not put to sleep and
  may be scheduled again immediately at the scheduler's whim. */
void
thread_yield (void)
  struct thread *cur = thread_current ();
  enum intr_level old_level;
 ASSERT (!intr context ());
 old_level = intr_disable ();
  if (cur != idle_thread)
    /* Priority Scheduling modification*/
   /* To implement priority scheduling, instead of using the old function, use list_insert_ordered
   with the comparator thread_priority_compare function is to put back the ready_list with the
   list_insert_ordered(&ready_list, &cur->elem, thread_priority_compare, NULL);
  cur->status = THREAD_READY;
  schedule ();
  intr_set_level (old_level);
```

Figure 11: Modified thread\_yield() function in threads/thread.c

- Preempt a thread with the priority when creating a thread and setting priority for thread
  - o Add threads/thread.c/thread\_condition\_preempt(void): This function is a condition to preempt one thread in terms of comparing the newly inserted thread and the current running thread (condition (\*) in design report). If the priority of the new thread (inserted in the ready\_list) is higher than the current running thread, it immediately calls thread\_yield() in order to let the current running thread give up the CPU and yield to the new thread. However, if there is no thread in the ready\_list to compare within the current running thread, no action is needed to perform.

```
/* Condition (*):Compare the new thread and the current running thread in terms of the priority.
If priority of new thread is higher, yield the CPU */
/* threads/thread.c/thread_condition_preemp() */
void thread_condition_preempt(void){
    //If there is no thread in the ready list to compare with the current running thread, there would be
    // no execution to yield for another thread to run.
    if (list_empty (&ready_list)){
        return;
    }
    struct thread *cur = thread_current();
    struct thread *new_thread = list_entry(list_front(&ready_list), struct thread, elem);
    if (cur->priority < new_thread->priority){
        thread_yield();
    }
}
```

Figure 12: Added thread\_condition\_preempt() function in threads/thread.c

Modify threads/thread.c/thread\_create(...): When create a thread, there would be some changes toward the priority setting. Therefore, add the thread\_condition\_preempt() function is to check whether the condition of preemption and solve the previous issue by the condition (\*).

Figure 13: Modified thread\_create() function in threads/thread.c

 Modify threads/thread\_set\_priority(new priority): When the priority of a thread is altered, preemption would happen. Thus, add the thread\_condition\_preempt() is to check for condition (\*) to preempt and set priority for the current thread.

```
/* Sets the current thread's priority to NEW_PRIORITY. */
/* threads/thread.c/thread_set_priority() */
void
thread_set_priority (int new_priority)
{
    //...
    /*Priority Scheduling: Since the priority would be changed in a further stage,
    use this function is to check for the condition preemption.*/
    /* Condition (*):Compare the new thread and the current running thread in terms of the priority.
    If priority of new thread is higher, yield the CPU */
    thread_condition_preempt();
}
```

Figure 14: Modified thread\_set\_priority() function in threads/thread.c

*Function added/modified in implementing priority scheduling for synchronization primitives:* 

- Semaphore
  - Modify threads/synch.c/sema\_down(semaphore \*sema): When it comes to the sema\_down(), it would check the semaphore value. If the sema value is equal to 0, the thread should be pushed back toward the waiters list of the semaphore with the correct priority order and get blocked through thread\_unblock(). Therefore, we would use list\_insert\_ordered() with the comparator thread\_priority\_compare() instead of using list\_push\_back(). However, if it can acquire the semaphore, the sema value would decrement by 1.

```
/* threads/synch.c/sema_down() */
void
sema_down (struct semaphore *sema)
{
    enum intr_level old_level;

    ASSERT (sema != NULL);
    ASSERT (!intr_context ());

    old_level = intr_disable ();
    while (sema->value == 0)
    {
        /* Priority Scheduling modification */
        //list_push_back (&sema->waiters, &thread_current ()->elem);

        /* To implement priority scheduling in semaphore, instead of using the old function, use the
        list_insert_ordered function is to insert the thread into its waiters list in the priority order*/
        list_insert_ordered (&sema->waiters, &thread_current()->elem, thread_priority_compare, NULL);
        thread_block ();
    }
    sema->value--;
    intr_set_level (old_level);
}
```

Figure 15: Modified sema\_down() function in threads/synch.c

Modify threads/synch.c/sema\_up(semaphore \*sema): In terms of the sema\_up() function, if there exists a waiting thread in the semaphore waiters list, before unblocking the front thread or removing that thread out of the waiters list, sorting mechanism should be applied in the ascending order with list\_sort(). The thread with a higher priority would be unblocked directly. Then, it can release the semaphore and the sema value increment by 1. Afterwards, to go back to the ready\_list, condition preemption is necessary to compare between the current running thread and unblocked one in terms of priority. Therefore, we would call thread\_condition\_preempt() to check its condition (\*).

```
/* threads/synch.c/sema_up() */
void
sema_up (struct semaphore *sema)
{
    enum intr_level old_level;

ASSERT (sema != NULL);

old_level = intr_disable ();
    if (!list_empty (&sema->waiters)) {
        /* Priority Scheduling: Before unblocking the thread back to the ready_list, use the sorting mechanism in order to sort with the ascending order. Thread has a higher priority would be unblocked first*/
    list_sort(&sema->waiters, thread_priority_compare, NULL);
    thread_unblock (list_entry (list_pop_front (&sema->waiters), struct thread, elem));
}
sema->value++;
/* Priority Scheduling: After unblocking to go back to the ready_list, condition preemption
    would be required to compare the current executing thread and the new unblocked thread in terms of priority.*/
    thread_condition_preempt();
    intr_set_level (old_level);
}
```

Figure 16: Modified sema\_up() function in threads/synch.c

- Condition Variable
  - Add function threads/synch.c/sema\_priority\_compare(...): This function is considered as an ascending comparator to compare the priority of the front waiting thread in the semaphore waiters list, which is also contained in the condition variable. The priority of front waiting thread in waiters list of its semaphore, which is higher than the priority of another, would be performed first. For a further usage, this is a function argument for list\_insert\_ordered() when we put that thread in the waiters list of conditional variable with the correct priority order.

```
/*ADDED COMPARATOR*/

/* Priority Scheduling: (Ascending) Comparator to compare the priority of waiting threads in the waiter list from semaphore, which is contained in the condition variable*/

/* threads/synch.c/sema_priority_compare() */
bool sema_priority_compare(const struct list_elem *a, const struct list_elem *b, void *aux UNUSED){
    struct semaphore_elem *sema_a = list_entry(a, struct semaphore_elem, elem);
    struct list *sema_a_waiter_list = &(sema_a->semaphore.waiters);

struct semaphore_elem *sema_b = list_entry(b, struct semaphore_elem, elem);
    struct list *sema_b_waiter_list = &(sema_b->semaphore.waiters);

struct thread *t1 = list_entry (list_begin(sema_a_waiter_list), struct thread, elem);
    struct thread *t2 = list_entry (list_begin(sema_b_waiter_list), struct thread, elem);
    return t1->priority > t2->priority;
}
```

Figure 17: Added sema\_priority\_compare() function in threads/synch.c

• Modify threads/synch.c/cond\_wait(...): Regarding the cond\_wait(), its main function is to wait for signal by the condition variable. When inserting the waiting thread of semaphore to the waiters list of condition variable before release the lock, it is needed to ensure that we would insert that thread in the correct priority order. To perform this, instead of using list\_push\_back(), we will use list\_insert\_ordered() with the comparator sema\_priority\_order() argument. Afterwards, it would execute normally as cond\_wait() does.

```
/* threads/synch.c/cond_wait() */
void
cond_wait (struct condition *cond, struct lock *lock)
{
    struct semaphore_elem waiter;

    ASSERT (cond != NULL);
    ASSERT (lock != NULL);
    ASSERT (lintr_context ());
    ASSERT (lock_held_by_current_thread (lock));

    sema_init (&waiter.semaphore, 0);
    /*Priority Scheduling: To implement the priority scheduling in the condition varilable,
    instead of using the old function, use the list_insert_ordered with the comparator
    sema_priority_compare function is to insert the thread in its waiters list by the priority order*/

//list_push_back (&cond->waiters, &waiter.elem);
list_insert_ordered(&cond->waiters, &waiter.elem, sema_priority_compare, NULL);
lock_release (lock);
sema_down (&waiter.semaphore);
lock_acquire (lock);
}
```

Figure 18: Modified cond\_wait() function in threads/synch.c

• Modify threads/synch.c/cond\_signal(...): As regard to the cond\_signal(), Before call sema\_up() to signal and unblock the thread, if there exists a thread in the condition variable's waiters list, we should apply sorting mechanism through list\_sort() in order to maintain correct order of priority since there would occur some changes in the priority of its waiters list. After successfully sorting, it would perform sema\_up() as normally to wake up its front thread.

```
/* threads/synch.c/cond_signal() */
void
cond_signal (struct condition *cond, struct lock *lock UNUSED)
{
    ASSERT (cond != NULL);
    ASSERT (lock != NULL);
    ASSERT (lock != NULL);
    ASSERT (lock_held_by_current_thread (lock));

if (!list_empty (&cond->waiters)){
    /* Priority Scheduling: Before unblocking the thread through the semaphore mechanism,
    it is required to sort the priority in the waiters list of condition variable through
    the usage of list_sort with the comparator sema_priority_compare function.*/
    list_sort(&cond->waiters, sema_priority_compare, NULL);
    sema_up (&list_entry (list_pop_front (&cond->waiters), struct semaphore_elem, elem)->semaphore);
}
```

Figure 19: Modified cond\_signal() function in threads/synch.c

#### ii. Priority Donation & Extended Donation

#### a. Data Structure

- **threads/thread.h/struct thread/init\_priority:** This integer variable is defined in the structure of thread. Thus, it stores the initially unique priority value. After that, the thread can restore the initial priority itself.
- threads/thread.h/struct thread/wait\_on\_lock: This lock-type variable is defined in the structure of thread. Thus, it is a lock that the thread tends to wait for its usage.
- **threads/thread.h/struct thread/donation\_list:** This list is defined in the structure of thread. Thus, it contains the threads donated their priority for this thread.
- **threads/thread.h/struct thread/donation\_element:** This list element is defined in the structure of thread, where it is contained inside the *donation list*, thus letting it control the *donation list*

```
/* threads/thread.h/struct thread */
struct thread

//...
/* Priority Donation: Variable used to perform the donation or inheritance*/
int init_priority; /* Store or Restore the initially unique priority value. */
struct lock *wait_on_lock; /* Lock type variable that the thread waits for */
struct list donation_list; /* List contains the other threads donated its priority for this thread*/
struct list_elem donation_element; /* List element inside the donation_list*/

//...

[];
```

Figure 20: Added init\_priority, wait\_on\_lock, donation\_list, donation\_element variables in threads/thread.h

#### b. Algorithm

#### Function Added/Modified

• Modify threads/thread.c/init\_thread(...): While initialize the thread, init\_priority and wait\_on\_lock variable should be initialized for implementing priority donation. Therefore, it is essential to set init\_priority be the given priority as well as the wait\_on\_lock to NULL (since newly initialized thread is not waiting for any lock). In addition, for each thread, it would have its own donation\_list, thus initializing the donation\_list through list\_init() to further maintain other threads donated their priority to this thread.

```
/* threads/thread.c/init_thread() */
static void
init_thread (struct thread *t, const char *name, int priority)
{
    //...
    /* Priority Donation: When initialize the thread, it is required to set the initial priority from its given
    priority and set NULL for its wait_on_lock's parameter. Also, initialize the donation_list for each thread.*/
    t->init_priority = priority;
    t->wait_on_lock = NULL;

list_init(&t->donation_list);
    //...
}
```

Figure 21: Modified init\_thread() function in threads/thread.c

- Implementation for Nested Donation
  - Add comparator threads/thread.c/thread\_donate\_priority\_compare(...): This function is served as an ascending comparator to compare the priority of donation\_element(s) inside the donation\_list. The thread (donation\_element) having a higher priority in the donation\_list would be at the front of the donation\_list.

```
/*Priority Donation: (Ascending) Comparator to consider the priority of each donation_element in the donation list.

If the thread contained a higher priority during the donation stage, it would stand forward.*/

/* threads/thread.c/thread_donate_priority_compare() */

bool

thread_donate_priority_compare(const struct list_elem *a,const struct list_elem *b, void *aux UNUSED){

struct thread *t1 = list_entry(a, struct thread, donation_element);

struct thread *t2 = list_entry(b, struct thread, donation_element);

return t1->priority > t2->priority;

}
```

Figure 22: Added thread\_donate\_priority\_compare() function in threads/thread.c

O Add threads/thread.c/donate\_priority(void): This function is used to donate priority for the thread that holds the lock (lock holder). To implement priority inheritance in the extended donation, use a while loop is to iterate through all threads in *donation\_list* within an assumption of maximum space (8). If the current thread is waiting for a lock (wait\_on\_lock!= NULL), they would perform priority donation if the priority of the current thread is higher than the holder. The mechanism is to assign the holder's priority with the current thread's priority. However, if current thread is not waiting for lock (wait\_on\_lock == NULL), break out of the while loop that not performing any priority donation. Thus, move to the next thread by setting the holder to be the current thread.

Figure 23: Added donate\_priority() function in threads/thread.c

Modify threads/synch.c/lock\_acquire(struct lock \*lock): Before acquiring the lock, it is necessary to consider this condition. If there exists holder holding a lock that is requested from the current thread, we need to put the current thread into the holder's donation\_list through list\_insert\_ordered() within the comparator thread\_donate\_priority\_compare() to guarantee the accurate priority order in the donation\_list. Afterwards, perform the priority donation through donate\_priority() is to donate the current thread's priority toward to the lock holder thread's priority. If there is no holder holding the lock, the current thread could grab the lock and indicate that the lock is being held through defining the lock holder thread to be the current thread.

```
/* threads/synch.c/lock_acquire() */
void
lock_acquire (struct lock *lock)
{
    ASSERT (lock != NULL);
    ASSERT (!lock_leld_by_current_thread (lock));

//...

/* Priority Donation: (Implemented for Nested Donation) If there exists a current thread requested
for a lock which is grabbed by lock holder, we put that thread in the donation_list with the comparator
thread_donate_priority_compare function as well as consider that thread as the donation_element.
    Afterwards, perform the donate_priority() to donate the priority of the current thread to the lock holder.*/
struct thread *cur = thread_current();
if (lock-sholder){
    cur->wait_on_lock = lock;
    //list_push_back(slock->holder->donation_list, &cur->donation_element);
    list_insert_ordered(&lock->holder->donation_list, &cur->donation_element, thread_donate_priority_compare, NULL);
    donate_priority();
}

/* Then, indicate the lock is being held and thus the current thread acquires the lock, which define
the lock holder for the current thread.*/
sema_down (&lock->semaphore);

cur->wait_on_lock = NULL;
lock->holder = cur;
}
```

Figure 24: Modified lock\_acquire() function in threads/synch.c

- Implementation for Multiple Donation
  - Add threads/thread.c/lock\_remove(struct lock \*lock): This function is to remove a thread holding the lock from the donation\_list. To implement it, we use for loop to iterate all donation\_element in donation\_list of that current thread. If there is a thread waiting for a lock (donating its priority to the holder) that is the same as the lock needed to remove thread from donation\_list, it directly removes that donation\_element from the donation\_list.

```
/* Remove the thread holding that lock on the donation_list*/
/* threads/thread.c/lock_remove() */
void lock_remove(struct lock *lock){
    struct thread *cur = thread_current();
    struct list_elem *e;

/* Iterate through the donation_list of the current thread. If the thread is waiting the same lock as
    the one needed to remove thread from donation_list, that thread should be removed out of the donation_list directly*/
    for (e = list_begin(&cur~>donation_list); e != list_end(&cur~>donation_list); e = list_next(e)){
        struct thread *t = list_entry(e, struct thread, donation_element);
        if (t->wait_on_lock == lock){
            | list_remove(&t->donation_element);
        }
    }
}
```

Figure 25: Added lock\_remove() function in threads/thread.c

Add threads/thread.c/reset\_priority(void): This function is to ensure the current thread has the accurate priority. Before checking if donation\_list is empty (no thread in donation\_list), reset or restore the thread priority to initial priority with init\_priority. If there exists the thread in the current thread's donation\_list, it is required to sort the donation\_list through using list\_sort() function with thread\_donate\_priority\_compare() as a function argument. Then, if the remaining thread among the donation\_list has higher priority than current priority, the current priority should be altered toward that higher priority. The main idea of this implementation is that after removing the thread from donation\_list, the holder priority (current thread in this case) should be set toward the highest priority among those remaining threads in the donation\_list.

Figure 26: Added reset\_priority() function in threads/thread.c

Modify threads/synch.c/lock\_release(struct lock \*lock): When the lock is released, we would remove the thread waiting for the lock in the *donation\_list* by using the *lock\_remove()* function. In order to maintain the accurate priority order in the *donation\_list*, it is essential to reset the priority of the lock holder and sort remaining threads in the *donation\_list* by using *reset\_priority()*.

```
/* threads/synch.c/lock_release()*/
void
lock_release (struct lock *lock)
{
    ASSERT (lock != NULL);
    ASSERT (lock_held_by_current_thread (lock));
    lock->holder = NULL;
    //...

/* Priority Donation: (Implemented for Multiple Donation) When the lock is released, it is essential to remove the thread waiting for the lock in the donation_list. This leads to the change in the donation_list in terms of the priority. Therefore, reset_priority is the way to set the priority for the remaining threads in the donation_list properly.*/
lock_remove(lock);
reset_priority();
sema_up (&lock->semaphore);
}
```

Figure 27: Modified lock\_release() function in threads/synch.c

- Examination and modification of priority
  - Modify threads/thread\_set\_priority(new priority): While performing the priority donation, the priority of the current thread could be altered. To solve it, it is necessary to set the current thread's *init\_priority* (initial priority) to be a *new\_priority*. Then, its *init\_priority* would be updated through *reset\_priority()* in order to maintain the accurate priority during continuously changing priority from Priority Donation.

```
/* threads/thread.c/thread_set_priority() */
void
thread_set_priority (int new_priority)
{
    //...
    /* Priority Donation: Before reset priority, it is essential to set its
    initial priority to the new_priority since there are some cases that change
    the priority value of the current thread.*/
    thread_current ()->init_priority = new_priority;
    reset_priority();

    //...
}
```

Figure 28: Modified thread\_set\_priority() function in threads/thread.c

 Modify threads/thread\_get\_priority(): It does not need to modify since we did solve with the thread\_set\_priority() function

#### III. Advanced Scheduler

#### a. Data Structure

- threads/thread.h/struct thread/nice: This integer variable is defined in the structure of thread. It represents how nice the thread is. The more "niceness" means that it had more tendency to give up the thread to others. (Range from -20 to 20).
- **threads/thread.h/struct thread/recent\_cpu**: This real number variable is defined in the structure of thread. It represents how much of CPU cycle being used by a that thread. The more CPU cycle being used, the higher *recent\_cpu* it would have.
- **threads/thread.c/load\_avg**: This real number variable is defined in the src/threads/thread.c since it is considered as the thread system-level. Therefore, itself would be the global variable. However, its main function is to show the average number of threads that are possible to run at the last minute, thus inferring that how busy the system is. The more threads wait for their turn to execute, the higher value in the *load avg*.
- Default value defined
  - o threads/thread.h/PRI\_MAX (63): Already defined in the naïve PINTOS
  - o threads/thread.h/PRI\_MIN (0): Already defined in the naïve PINTOS
  - threads/thread.h/NICE\_DEFAULT (0): This default value is defined in the src/threads/thread.h, which would be assigned default for the *nice* value
  - o **threads/thread.h/RECENT\_CPU\_DEFAULT** (0): This default value is defined in the src/threads/thread.h, which would be assigned default for the *recent\_cpu* value.
  - threads/thread.h/LOAD\_AVG\_DEFAULT (0): This default is defined in the src/threads/thread.h, which would be assigned default for the *load avg* value.

```
/* threads/thread.h */
/* Advanced Scheduler: Define three default values for three parameters (nice, recent_cpu, load_avg)*/
#define NICE_DEFAULT 0
#define RECENT_CPU_DEFAULT 0
#define LOAD_AVG_DEFAULT 0
```

Figure 29: Define NICE DEFAULT, RECENT CPU DEFAULT, LOAD AVG DEFAULT variables in threads/thread.h

#### b. Algorithm

#### Function added/modified for MLFQS

- Initialization of variables:
  - Modify threads/thread.h/struct thread: In the struct thread, it is required to initialize two threadlevel integer parameters (*nice* and *recent\_cpu*)

Figure 30: Added nice and recent\_cpu variables in threads/thread.c/struct thread

 Add variable threads/thread.c/load\_avg: Since load\_avg is the system-level parameter, it is necessary to be initialized as the global variable

Figure 31: Added load\_avg variable in threads/thread.c

 Modify threads/thread.c/init\_thread(...): When initializing the thread, under the thread-level, nice and recent\_cpu would be assigned for their default value, which are NICE\_DEFAULT and RECENT CPU DEFAULT, respectively.

```
/* threads/thread.c/init_thread() */
static void
init_thread (struct thread *t, const char *name, int priority)
{
    //...
    /* Adavanced Scheduler: Initialize nice and recent_cpu variable with its defined default value.*/
    t->nice = NICE_DEFAULT;
    t->recent_cpu = RECENT_CPU_DEFAULT;

//...
}
```

Figure 32: Initialize nice and recent\_cpu variables in threads/thread.c/init\_thread()

 Modify threads/thread\_start(void): When a thread is started, under the system-level, load\_avg is needed to declare for it default, which is LOAD\_AVG\_DEFAULT.

```
/* Starts preemptive thread scheduling by enabling interrupts.
| Also creates the idle thread. */
/* threads/thread_c/thread_start() */
void
thread_start (void)
{
    //...
    /* Advanced Scheduler: Since the load_avg is a system-level variable, when the thread is started, set the load_avg with its defined default value.*/
    load_avg = LOAD_AVG_DEFAULT;
    //...
}
```

Figure 33: Declare load\_avg variable in threads/thread.c/thread\_start()

- Implementation Fixed-Point Real Arithmetic
- According to the Appendix B, PINTOS does not support floating-point arithmetic in Kernel so that we need to implement a fixed-point arithmetic
- Its mechanism is based on the 2's complement convention
  - o f is represented as a fixed-point number (1 bit for sign, 17 bits for integer section and 14 bits for decimal section), which is 17.14 format.
  - o n is represented as integer
  - x and y are both represented as the fixed-point number

No.	Operation	Implementation	Function used
1	Convert n to fixed-point	n * f	int_to_fp (int n)
	number		
2	Convert x to integer	<u>x</u>	fp_to_int_zero (int x)
	(rounding toward zero)	f	

3	Convert x to integer (rounding to nearest)	$\frac{x + \frac{f}{2}}{f} \text{ if } x \ge 0$ $\frac{x - \frac{f}{2}}{f} \text{ if } x \le 0$	fp_to_int_round(int x)
4	Add x and y	x + y	add_two_fp (int x, int y)
5	Subtract y and x	x - y	sub_two_fp (int x, int y)
6	Add x and n	x + n * f	add_fp_int (int x, int n)
7	Subtract n from x	x - n * f	sub_fp_int (int x, int n)
8	Multiply x by y	$((int64_t)x)*y/f$	mul_two_fp (int x, int y)
9	Multiply x by n	x * n	mul_fp_int (int x, int n)
10	Divide x by y	$((int64_t)x)*f/y$	div_two_fp (int x, int y)
11	Divide x by n	x/n	div_fp_int (int x, int n)

- All the function used would be defined in the created file fixed\_point\_arithmetic.h
- Implement MLFQS through calculation and recomputing
  - Add threads/thread.c/mlfqs\_priority(thread \*t): When passing a thread pointer as an argument, this function would calculate the priority of that thread based on its recent cpu and nice value with the usage of the fixed-point arithmetic's operation if the thread is an idle thread. The main idea of this function is according to the equation:

$$priority = PRI\_MAX - \frac{recent\_cpu}{4} - nice * 2$$

```
quation: priority = PRI_MAX - (recent_cpu)/4 - (nice)*2 */
void mlfqs_priority(struct thread *t){
 if ( t == idle_thread){ return;}
 t->priority = fp_to_int_zero(add_fp_int(div_fp_int(t->recent_cpu, -4), PRI_MAX - (t->nice)*2));
```

*Figure 34: Added mlfqs\_priority() function in threads/thread.c* 

Add threads/thread.c/mlfqs\_recent\_cpu(thread \*t): When passing a thread pointer as an argument, this function would calculate the recent cpu used in that thread based on the nice and load\_avg value with the usage of the fixed-point arithmetic's operation if the thread is an idle thread. The main idea of this function is according to the equation:  $decay = \frac{2*load\_avg}{2*load\_avg+1}$ 

$$decay = \frac{2 * load\_avg}{2 * load\_avg + 1}$$

$$recent\_cpu = decay * recent\_cpu + nice$$

$$= \frac{2 * load\_avg}{2 * load\_avg + 1} * recent\_cpu + nice$$

```
culate or update the recent_cpu with the decay determined by load_avg
void mlfqs_recent_cpu(struct thread *t){
 if ( t == idle_thread){ return;}
 int decay = div_two_fp(mul_fp_int(load_avg,2), add_fp_int(mul_fp_int(load_avg,2),1));
 t->recent_cpu = add_fp_int(mul_two_fp(decay,t->recent_cpu),t->nice);
```

Figure 35: Added mlfqs\_recent\_cpu() function in threads/thread.c

Add threads/thread.c/mlfqs\_load\_avg(void): This function is to calculate the *load\_avg* in every second. Since *load\_avg* would be demonstrated as the system-level variable, it would calculate differently by two cases. However, in general, the main idea is according to this equation:

$$load\_avg = \frac{59}{60} * load\_avg + \frac{1}{60} * ready\_threads$$

If the current thread is an idle thread, the *ready\_threads* would be the size of the *ready\_list*, which is the number of threads in the *ready\_list*.

If the current thread is not an idle thread (executable one), the *ready\_threads* would be the size of the *ready\_list* and the thread itself.

Therefore, ready\_threads = size of the ready\_list + 1

Figure 36: Added mlfqs\_load\_avg() function in threads/thread.c

Add threads/thread.c/mlfqs\_recent\_cpu\_increment(void): In every timer interrupt, the recent\_cpu value would increment by 1 to indicate that thread has been used the CPU cycle. After that thread uses 4 ticks on the CPU, it would lessen its priority. The equation would simply be:

```
recent\_cpu = (current)recent\_cpu + 1
```

```
/* Increment the recent_cpu by 1 in every timer_interrupt
Equation: recent_cpu = (current thread) recent_cpu + 1*/
/* threads/thread.c/mlfqs_recent_cpu_increment() */
void mlfqs_recent_cpu_increment(void){
    struct thread *cur = thread_current();
    if (cur != idle_thread){
        cur->recent_cpu = add_fp_int(cur->recent_cpu,1);
    }
}
```

Figure 37: Added mlfqs\_recent\_cpu\_increment() function in threads/thread.c

Add threads/thread.c/mlfqs\_priority\_recompute(void): In every 4 ticks, it is required to
calculate its priority since there would be some changes in recent\_cpu values. In terms of the
implementation, iterate through every thread in the list from all\_list in order to recompute each
priority of every thread by mlfqs\_priority() function.

```
/* Recompute the priority of all threads in every 4 ticks.
Mechanism: Iterate all the threads from all_list and recalculate the priority by the mlfqs_priority() function*/
/* threads/thread.c/mlfqs_priority_recompute() */
void mlfqs_priority_recompute(void){
    struct list_elem *e;

    for (e = list_begin(&all_list); e != list_end(&all_list); e = list_next(e)){
        struct thread *t = list_entry(e, struct thread, allelem);
        mlfqs_priority(t);
    }
    list_sort(&ready_list, thread_priority_compare, NULL);
}
```

Figure 38: Added mlfqs\_priority\_recompute() function in threads/thread.c

Add threads/thread.c/mlfqs\_recent\_cpu\_recompute(void): In every second, it is essential to calculate the recent\_cpu value. Regarding the implementation, iterate through every thread in the list from all\_list in order to recompute the recent\_cpu of every thread by mlfqs\_recent\_cpu() function.

```
/* Recompute the recent_cpu of all threads in every 1 second
Mechanism: Iterate all the threads from all_list and recalculate the recent_cpu by the mlfqs_recent_cpu() function*/
/* threads/thread.c/mlfqs_recent_cpu_recompute() */
void mlfqs_recent_cpu_recompute(void){
    struct list_elem *e;

    for (e = list_begin(&all_list); e != list_end(&all_list); e = list_next(e)){
        struct thread *t = list_entry(e, struct thread, allelem);
        mlfqs_recent_cpu(t);
    }
}
```

Figure 39: Added mlfqs\_recent\_cpu\_recompute() function in threads/thread.c

- Modify threads/thread.c/timer\_interrupt(...):
- Before interrupting the thread to wake up, it is vital to check the condition of the MLFQS usage. If the system is implemented with MLFQS, start to increment the *recent\_cpu* by 1 through *mlfqs\_recent\_cpu\_increment()* to indicate that the thread is using the CPU cycle.
- Also, check the ticks are passed to regularly update or recompute some MLFQS's parameters
- In every 1 second (determined by mod of *TIMER\_FREQ*), it is required to calculate the *load\_avg* as well as recalculate its *recent\_cpu* value.
- In every 4 ticks (determined by mod of 4), it is required to recompute the priority since there would be a change in *recent\_cpu* before then.

Figure 40: Modified timer\_interrupt() function in devices/timer.c

#### Function added/modified for Priority Scheduling in MLFQS

- No implement priority donation in MLFQS:
  - Modify threads/synch.c/lock\_acquire(): When implementing MLFQS option, it is required to disable the priority donation. In the implementation, use the thread\_mlfqs boolean to check the usage of MLFQS. If being used, sema\_down() to indicate the lock is held as well as let the current thread holds a lock immediately and return.

```
/* threads/synch.c/lock_acquire() */
void
lock_acquire (struct lock *lock)
{
    ASSERT (lock != NULL);
    ASSERT (!intr_context ());
    ASSERT (!lock_held_by_current_thread (lock));

    /* Advanced Scheduler: While using the MLFQS, disable the priority donation*/
    if (thread_mlfqs){
        sema_down(&lock->semaphore);
        lock->holder = thread_current();
        return;
    }
    //...
}
```

Figure 41: Modified lock\_acquire() function in threads/synch.c

**Modify threads/synch.c/lock\_release()**: When implementing MLFQS option, it is required to disable the priority donation. In the implementation, use the *thread\_mlfqs* boolean to check the usage of MLFQS. If being used, *sema\_up()* to indicate the lock is free and immediately return.

```
/* synch.c/lock_release() */
void
lock_release (struct lock *lock)
{

ASSERT (lock != NULL);
ASSERT (lock_held_by_current_thread (lock));
lock->holder = NULL;

/* Advanced Scheduler: While using the MLFQS, disable the priority donation*/
if (thread_mlfqs){
    sema_up (&lock->semaphore);
    return;
}

//...
}
```

Figure 42: Modified lock\_release() function in threads/synch.c

 Modify threads/thread\_set\_priority(): When implementing MLFQS option, it is required to disable the priority setting by using thread\_mlfqs boolean to check. If being used, return immediately.

```
/* Sets the current thread's priority to NEW_PRIORITY. */
/* threads/thread.c/thread_set_priority() */
void
thread_set_priority (int new_priority)
{
    //* Advanced Scheduler: When using MLFQS, setting a priority for a thread is disabled.*/
    if (thread_mlfqs){
        return;
    }
    //...
}
```

Figure 43: Modified thread\_set\_priority() function in threads/thread.c

- Implementation Priority Scheduling for MLFQS option:
  - Implement threads/thread\_c/thread\_set\_nice(int nice): This function is to set the *nice* value for the current thread to calculate its priority. While changing the value, it is safer to disable the interrupt in order not to let internal and external interrupt intervene in the process. Then, it is required to pass the current *nice* value to calculate the priority of a thread through *mlfqs\_priority()*. Also, it is necessary to consider the condition to preempt the thread if the current is not the idle thread. When finishing the change value state, we can enable the old level of interrupt to its original state.

```
/* Sets the current thread's nice value to NICE.*/
/* threads/thread.c/thread_set_nice() */
void
thread_set_nice (int nice UNUSED)
{
    /* Firstly, disable the interrupt for not allowing any internal or external interrupt.
    If getting a nice value, calculate the priority of the current thread. If the current thread is
    not idle thread, it would preempt. Lastly, return the interrupt level to the orginal state.*/
    enum intr_level old_level = intr_disable();
    struct thread *cur = thread_current();
    cur->nice = nice;
    mlfqs_priority(cur);

if (cur != idle_thread){
    | thread_condition_preempt();
    }
    intr_set_level(old_level);
}
```

Figure 44: Modified thread\_set\_nice() function in threads/thread.c

o Implement threads/thread\_get\_nice(void): This function is to return the nice value of the current thread. The mechanism of disable and enable the interrupt is maintained since values are changing. To implement, assign the current thread's nice value to the parameter nice and thus return itself.

```
/* Returns the current thread's nice value. */
/* threads/thread_get_nice() */
int
thread_get_nice (void)
{
    /* Mechanism of disable and enable interrupt is the same of an above function. This function is to
    return the current thread's nice value.*/
    enum intr_level old_level = intr_disable();
    struct thread *cur = thread_current();
    int nice = cur->nice;
    intr_set_level(old_level);
    return nice;
}
```

Figure 45: Added thread\_get\_nice() function in threads/thread.c

o **Implement threads/thread\_get\_load\_avg(void)**: This function is to return 100 times the current system *load\_avg* (rounded to the nearest integer). To implement, with the usage of operations in *fixed\_point\_arithmetic*, we could multiple the original *load\_avg* by 100 times and return to the nearest integer. Indeed, we still apply the mechanism of disable and enable interrupt for a safer modification.

```
/* Returns 100 times the system load average. */
/* threads/thread.c/thread_get_load_avg() */
int
thread_get_load_avg (void)
{
    /* With the usage of operation in fixed-point arithmetic, multiply the load_avgin 100 times
    and transform back to the integer number */
    enum intr_level old_level = intr_disable();
    int new_load_avg = fp_to_int_round(mul_fp_int(load_avg,100));
    intr_set_level(old_level);
    return new_load_avg;
}
```

Figure 46: Added thread\_get\_load\_avg() function in threads/thread.c

o **Implement threads/thread.c/thread\_get\_recent\_cpu(void)**: This function is to return 100 times the current thread's *recent\_cpu* value (rounded to the nearest integer). To implement, with the usage of operations in *fixed\_point\_arithmetic*, we could multiple the original *recent\_cpu* by 100 times and return to the nearest integer. Indeed, we still apply the mechanism of disable and enable interrupt for a safer modification.

```
/* Returns 100 times the current thread's recent_cpu value. */
/* threads/thread.c/thread_get_recent_cpu() */
int
thread_get_recent_cpu (void)
{
    /* With the usage of operation in fixed-point arithmetic, multiply the current thread's recent_cpu
    100 times and transform back to the integer number */
    enum intr_level old_level = intr_disable();
    struct thread *cur = thread_current();
    int new_recent_cpu = fp_to_int_round(mul_fp_int(cur->recent_cpu,100));
    intr_set_level(old_level);
    return new_recent_cpu;
}
```

Figure 47: Added thread\_get\_recent\_cpu() function in threads/thread.c

#### IV. Discussion

During the project 1, I have simultaneously applied the knowledge from the lecture toward the implementation and learned from the solution itself. In terms of the *Alarm Clock* section, from the naïve PINTOS, instead of applying the *busy waiting* – which could be wasted for our resource, the strategy tends to let the thread sleep directly (performed as the blocked state) within the certain amount of sleeping time and wake it up afterwards. Regarding the *Priority Scheduling* part, *priority donation* is not meticulously introduced in the lecture. However, I did acquire this knowledge from the project within two ways to resolve the priority inversion, which are *Nested Donation* and *Multiple Donation*. By these strategies, it is guaranteed that the high priority thread could be executed instead of being preempted by another lower priority thread. As regards to *Advanced Scheduler*, I could understand rigorously the mechanism behind *Multi-level Feedback Queue Scheduling (MLFQS)* and thus apply these main ideas in the implementation. For instance, to implement it, there are introduced with three more variable (*nice, recent\_cpu, load\_avg*) to determine the priority for each thread at one priority level. As the priority is changing dynamically under *MLFQS*, the target threads have more tendency to use the CPU and get executed during its turn.