

## Perplexity-Driven Case Encoding Needs Augmentation for CAPITALIZATION Robustness

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### Abstract

Subword segmentation methods are the predominant solution to vocab sparsity in NMT. However, they cannot currently handle capitalization well. We re-encode case to allow the perplexity-driven SPM unigram language model algorithm to *learn* how to segment capitalization. Since naturally occurring data accurately describes the *prevalence* of capitalization but underestimates the *importance* humans ascribe to capitalization robustness, we propose data augmentation to fill this gap. We demonstrate that our proposed method improves translation quality on ALL CAPS, lower cased, and Title Case, while maintaining quality on standard test sets. In contrast to prior work, our proposed method has minimal impact on decoding speed.

### 1 Introduction

Latin script, and the Armenian, Cyrillic, Georgian and Greek alphabets all support capitalization. In addition to standard capitalization rules for a given language (e.g., sentence initial capitalization, German noun capitalization), variation can also occur, such as *Title Casing in English*, *ALL CAPS FOR EMPHASIS*, or *all lower case in informal texts*.

For most NLP models, upper and lower case letters are represented with different code-points, with no connection between them. In contrast, most people naturally connect upper and lower-cased letters, and do not regard them as fundamentally different due to the minor surface difference. Therefore, people expect models to perform similarly on inputs that only differ in casing. However the separate code points increase data sparsity, and can lead to catastrophic translations.

Subword segmentation methods (e.g. BPE (Sennrich et al., 2016) and SPM (Kudo and Richardson, 2018)) handle the sparsity introduced by a variety of linguistic features by learning a segmentation of the word into shorter sequences of characters.

wmttest22	de→en		en→de	
	BLEU↑	Time↓	BLEU↑	Time↓
Standard casing	48.5	4.1	46.3	4.4
ALL CAPS	17.4	12.6	10.4	12.9

Table 1: Standard training with no handling of casing produces poor quality on the ALL CAPS version of wmttest22 and increases translation time (seconds) dramatically, when compared to the unmodified version.

However, they do not currently handle the sparsity introduced by casing. For example, Table 1 shows transformer MT models trained with standard SPM segmentation drop >30 BLEU points when translating the ALL CAPS version. The target sequence length also increases dramatically, which leads to a  $\approx 3\times$  slowdown in translation time. Prior work overcame this limitation by modifying the encoding or subword vocabulary in a way that breaks the encoding optimally of perplexity driven methods, improving quality at the cost of impractical sequence length/runtime.

In this work, we re-encode capitalization to allow the subword segmentation model to learn how to best segment this linguistic feature. We propose a novel case encoding: we lowercase the entire text, then prefix previously cased words with markers (see Table 2). Since we prefix the words, and apply case encoding before perplexity-driven subword segmentation, that algorithm learns if a case marker should be split off. Naturally occurring data accurately describes the *prevalence* of capitalization; however, it underestimates the *importance* humans ascribe to capitalization robustness. We propose data augmentation to fill this gap.

In this work, we:

- increase translation quality on data with different casings (compared to standard SPM),
- without degrading quality for standard casing,
- and with minimal impact on decoding speed.

raw text	This · IS · a · SHORT · PHRASE · ABOUT · a · PhD.
SPM	_This · _IS · _a · _S · HO · RT · _ · PH · RA · SE · _A · BO · UT · _a · _PhD · _.
BPE (lowercased) + Berard et al.	_this · _is · _a · _short · _phrase · _about · _a · _phd · _this · <T> · _is · <U> · _a · _short · <U> · _phrase · <U> · _about · <U> · _a · _ph · <T> · d · <T> · _.
Etchegoyhen and Gete + BPE	° · this · °° · is · a · °° · short · °° · phrase · °° · about · a · °° · ph · °° · d · ° · _this · °° · _is · _a · °° · _short · °° · _phrase · °° · _about · _a · °° · _ph · °° · _d · _.
our case encoding + SPM (our method)	Tthis · Uis · a · Ashort · phrase · about · La · TphTd. Tthis_ · U · is_ · a_ · A · short_ · phrase · _ · about_ · L · a_ · Tph · Td · _.

Table 2: Examples of various case encodings. For readability, we show case markers in green and use ‘·’ for spaces between tokens. Note that our method chooses to keep *Tthis* as one token—since *This* occurs capitalized often in the data—but the *U* marker is segmented off from *is*—since that rarely occurs in ALL CAPS.

## 2 Prior Work

Capitalization has been studied in NLP for nearly three decades (e.g., Gale et al., 1995; Mikheev, 1999, 2002). Approaches vary from modeling it directly to ignoring it and increasing sparsity.

The most common method of handling capitalization, particularly in Statistical Machine Translation (SMT), was training a separate truecaser (Lita et al., 2003; Koehn et al., 2007).<sup>1</sup> Truecasers have fallen out of favor since they require additional pre- and postprocessing steps.<sup>2</sup>

Another common option in SMT was using factored translation (Koehn and Hoang, 2007) to encode capitalization as an additional linguistic feature. Levin et al. (2017) used factors in NMT (Sennrich and Haddow, 2016; García-Martínez et al., 2016) to encode case as additional factors on the embeddings. While factors allow the model to learn representations of capitalization, they require changes to model architecture which are complicated to deploy and not universally supported in modern NLP & NMT toolkits.<sup>3</sup> Our proposed method is model agnostic, and requires no changes to the translation model architecture. Niu et al. (2021) and Hieber et al. (2022) further explored the factorized approach in combination with data augmentation in NMT. Hieber et al. observe a 1.7-2.3 BLEU drop in quality between standard and ALL CAPS text; we observe a negligible drop of  $\leq 0.1$  BLEU (or  $\leq 0.3$  ChrF) in our experiments.

Berard et al. (2019) propose ‘inline casing’ for

capitalization robustness. Inline casing lowercases all characters then adds additional tokens to indicate capitalization. Berard et al. train and apply a Byte Pair Encoding subword model (BPE, Sennrich et al., 2016) on lower cased data, and then add back a space-separated case-marker-token after each token that was cased. Etchegoyhen and Gete (2020) propose a variant where capitalization tokens are inserted as space-separated prefix tokens prior to learning the BPE segmentation. See Table 2 for examples of both case encodings.

Both methods can lead to considerably longer sequences, since they force additional tokens per cased tokens/words (respectively). This drastically impacts decoding speed, particularly for long sequences, as transformer decoders are quadratic in output length.

Despite various options for case-handling, the current standard practice in NMT is using subword segmentation—e.g., SentencePiece (SPM; Kudo and Richardson, 2018)—without dedicated case processing. This often leads to the same sentence in different capitalizations encoded very differently, since case is not segmentable.

As noted in Kudo (2018, §3.4) SPM can be viewed as a compression method;<sup>4</sup> given a pre-defined vocab size, it will result in a (near) optimal sequence length for the training corpus. An interesting consequence of this is that a post-hoc manipulation will result in a longer sequence length. For example, adding a case marker after each token after segmentation (Berard et al., 2019) will double the sequence length of an ALL CAPS sentence. This drastically impacts decoding speed, particularly for long sequences, as transformer decoders are quadratic in output length. In contrast to

<sup>1</sup>[github.com/moses-smt/mosesdecoder/blob/master/scripts/recaser/train-truecaser.perl](https://github.com/moses-smt/mosesdecoder/blob/master/scripts/recaser/train-truecaser.perl)

<sup>2</sup>At WMT22 (Kocmi et al., 2022) only 3/25 system descriptions from the General MT Task use truecasing models.

<sup>3</sup>Fairseq (Ott et al., 2019), Hugging Face (Wolf et al., 2020), and NeMo (Kuchaiev et al., 2019) do not have factors. OpenNMT-py has source-side only (Klein et al., 2017). Marian (Junczys-Dowmunt et al., 2018), Nematus (Sennrich et al., 2017) and Sockeye (Hieber et al., 2022) have source & target.

<sup>4</sup>The same is true for BPE (Sennrich et al., 2016), which is a compression algorithm applied to segmentation.

Berard et al. and Etchegoyhen and Gete, our case marker is not initially space-separated from the word it marks, allowing SentencePiece (SPM) to learn where to segment for an optimal length. This results in shorter sequences and faster decoding.

### 3 Method

We introduce a case encoding method (§ 3.1) with data augmentation (§ 3.2) which allows the SPM algorithm to learn to segment case markings.

#### 3.1 Case Encoding

Our encoding consists of the following steps, as demonstrated in Table 3.

1. **Character-level tagging:** pre-built case normalization tables map each cased character to a case tag + lower case character. P is used for punctuation, U is used for capitalization.
2. **Word-level tagging:** a state machine aggregates (1) into word-level case labels. T is used word initial Capitalization, U is used for fully CAPITALIZED words.
3. **Span tagging:** A hand-tuned regex determines inter-word span labels (i.e. sequences of 3 or more words in ALL CAPS are marked with A. L denotes that the prior capitalization has ended).

After decoding, and removing the subword segmentation, these annotations are used to reconstruct the correctly cased text inside the SPM library.<sup>5</sup>

#### 3.2 Data Augmentation

SPM maximizes the likelihood over its training data. While naturally occurring data accurately describes the *prevalence* of capitalization, it underestimates the *importance* humans ascribe to case handling (as evidenced by poor robustness of data-driven methods to such changes). We propose data augmentation to bridge the gap. We convert a small fraction of the (SPM and NMT model) training data to lowercase, ALL CAPS and (when applicable) English Title Case.<sup>6</sup> Lower casing and English Title Case are applied to the source only; ALL CAPS is applied to both the source and target.<sup>7</sup>

<sup>5</sup>We use `treat_white-space_as_suffix` in SPM to suffix space markers, so case markers are the only prefix.

<sup>6</sup>Case conventions in English titles vary, but typically all words except articles, prepositions, and conjunctions are capitalized. See [en.wikipedia.org/wiki/Title\\_case](https://en.wikipedia.org/wiki/Title_case).

<sup>7</sup>Only if the source language script supports capitalization.

## 4 Experiments

### 4.1 Models

We train 12 layer Transformer big translation models using Marian NMT (Junczys-Dowmunt et al., 2018) and use a SentencePiece vocabulary size of 32k with the unigram model.<sup>8</sup> For prior work, we follow their methods which use the BPE segmentation algorithm (Sennrich et al., 2016). Our experiments include:

1. No dedicated case encoding.
2. Berard et al.’s inline case encoding (§ 2) where markers are added *per subword*.
3. Etchegoyhen and Gete’s inline case encoding (§ 2) where markers are added *per word*.
4. Our proposed case encoding method (§ 3.1).

We present experiments with data augmentation for the baseline and our case encoding for all language pairs. To ensure a thorough comparison we also add data augmentation to prior work—which did not propose augmentation—for en↔de. We use data augmentation 3% of the time for each type of augmentation.<sup>9</sup>

### 4.2 Implementation Details

We implement our inline casing directly in the SPM library, which has several advantages:

- Drop-in replacement for standard SPM: tools with support for SPM can take advantage of the built-in case encoding without additional pre/post-processing wrappers.
- Operates on raw text: no tokenization is needed, just like standard SPM.
- Processing speed: the normalization in SPM which we used for the character-level tagging (see Table 3) is highly optimized C++ code.

We use the case augmentation methods available within the Marian NMT Toolkit. A fixed fraction of the training data is transformed dynamically during the training for each batch, prior to applying SPM.<sup>10</sup>

<sup>8</sup>See Appendix A.1 for full training details.

<sup>9</sup>We considered 1% and 3% training data augmentation in pilot experiments. 3% worked well without quality degrading on standard test sets, so we use it throughout this work.

<sup>10</sup>Offline data preprocessing is also an augmentation option. Another option is to use a data streaming approach, such as Post et al. (2023).

0) raw text	This · IS · a · SHORT · PHRASE · ABOUT · a · PhD.
1) character-level tagging	<span style="color: green;">U</span> this · <span style="color: green;">U</span> iUs · a · <span style="color: green;">U</span> s <span style="color: green;">U</span> h <span style="color: green;">U</span> o <span style="color: green;">U</span> r <span style="color: green;">U</span> t · <span style="color: green;">U</span> p <span style="color: green;">U</span> h <span style="color: green;">U</span> r <span style="color: green;">U</span> a <span style="color: green;">U</span> s <span style="color: green;">U</span> e · <span style="color: green;">U</span> a <span style="color: green;">U</span> b <span style="color: green;">U</span> o <span style="color: green;">U</span> u <span style="color: green;">U</span> t · a · <span style="color: green;">U</span> ph <span style="color: green;">U</span> d <span style="color: green;">P</span> .
2) word-level tagging	<span style="color: green;">T</span> this · <span style="color: green;">U</span> is · a · <span style="color: green;">U</span> short · <span style="color: green;">U</span> phrase · <span style="color: green;">U</span> about · a · <span style="color: green;">T</span> ph <span style="color: green;">T</span> d.
3) span tagging	<span style="color: green;">T</span> this · <span style="color: green;">U</span> is · a · <span style="color: green;">A</span> short · phrase · about · <span style="color: green;">L</span> a · <span style="color: green;">T</span> ph <span style="color: green;">T</span> d.
4) SPM	<span style="color: green;">T</span> this_ · <span style="color: green;">U</span> · is_ · a_ · <span style="color: green;">A</span> · short_ · phrase_ · _ · about_ · <span style="color: green;">L</span> · a_ · <span style="color: green;">T</span> ph · <span style="color: green;">T</span> d · _

Table 3: Steps of our case encoding algorithm. We show case markers in green and use ‘·’ for spaces.

en → de	unmodified			ALL CAPS			lower			Title Case		
	ChrF↑	TrgL↓	Time (sec)↓	ChrF↑	TrgL↓	Time (sec)↓	ChrF↑	TrgL↓	Time (sec)↓	ChrF↑	TrgL↓	Time (sec)↓
no case encoding	66.2	24.6	4.4 (base)	35.2	43.7	12.9 (+195%)	63.8	24.8	<b>4.4</b> (+2%)	63.3	24.8	<b>4.4</b> (+1%)
+ our data augment	66.3	24.6	<b>4.3</b> (-2%)	60.9	48.3	9.7 (+123%)	65.5	24.6	4.5 (+4%)	65.3	24.7	<b>4.4</b> (+1%)
<i>Berard et al.</i>	64.9	29.7	6.0 (+38%)	56.9	42.5	8.9 (+104%)	63.5	29.0	5.9 (+34%)	63.4	30.1	6.0 (+38%)
+ our data augment	65.1	29.4	5.2 (+20%)	66.6	44.1	8.4 (+93%)	64.5	29.1	5.1 (+16%)	64.5	29.5	5.5 (+26%)
<i>Etchegoyhen and Gete</i>	66.0	30.0	5.3 (+22%)	54.6	38.8	7.5 (+72%)	64.3	29.7	5.3 (+22%)	64.4	30.9	5.8 (+33%)
+ our data augment	66.4	30.1	5.4 (+25%)	<b>66.6</b>	40.3	7.5 (+72%)	<b>65.6</b>	30.0	5.3 (+21%)	65.5	30.5	5.7 (+31%)
our case encoding	<b>66.5</b>	25.3	4.5 (+4%)	35.2	26.7	<b>4.8</b> (+9%)	64.1	25.3	4.5 (+4%)	64.5	25.7	4.7 (+9%)
+ our data augment	66.3	25.4	4.6 (+5%)	66.0	26.9	<b>4.8</b> (+9%)	65.4	25.3	4.5 (+2%)	<b>66.5</b>	25.6	4.7 (+9%)

Table 4: Results for en→de. We outperform the no case encoding baseline on ChrF and decoding time, and are less than 10% longer than the baseline’s encoding of unmodified text for the case variants.

### 4.3 Training Data

We use the WMT 2022 training data (Kocmi et al., 2022)<sup>11</sup> – including the potentially noisy ParaCrawl data (Bañón et al., 2020) – and use the sentence filtering pipeline released by Thompson and Post (2020)<sup>12</sup> to reduce noise (Khayrallah and Koehn, 2018).

We use English↔German as a high resource pair (234M lines) plus English↔Japanese (26M lines) and English↔Russian (27M lines) for script variety.<sup>13</sup>

### 4.4 Evaluation

We use wmttest22 (Kocmi et al., 2022).

**Robustness:** We create additional synthetic robustness testsets by transforming wmttest22 to lower case, ALL CAPS, or English Title Case. We apply ALL CAPS to both the source and target. For lowercase and English Title case the target side remains unmodified; ALL CAPS is introduced only if the source language support capitalization, and title-case only when English is the source text.

**Metrics:** Casing alters characters, hence we use ChrF (Popović, 2015) which correlates better with human judgment than BLEU (Kocmi et al., 2021).<sup>14</sup>

**Speed:** We report speed as the average of 3 runs on a NVIDIA Zotac Trinity 4090 GPU.

## 5 Results

Table 4 shows results on the unmodified wmttest22 and the robustness versions (ALL CAPS, lowercase, and English Title Case) for en→de. See Appendix B for de→en, en↔ru, and en↔ja. Our proposed method—case encoding + data augmentation—performs well across the board.

On unmodified data, our case encoding performs as well as the no case encoding and no data augmentation baseline ( $\pm 0.1$  ChrF point), in other words, our method does not negatively impact the performance on texts with standard casing.

This is not true for prior work across all language pairs: quality on the unmodified wmttest22 drops by up to 1.3 ChrF for Berard et al. and up to 1.0 ChrF for Etchegoyhen and Gete.

When evaluating in all language pairs and on all casings our encoding is within 9% of runtime of standard SPM-trained-model (no case encoding) for the unmodified test set. This means that no matter the casing of the input, the runtime will be reasonable and stable.

In contrast, prior work significantly increases time even for the standard text, by up to 38% (en-de Berard et al.), which is impractical for a general MT model. The baseline is up to  $4\times$  slower on ALL CAPS (ru-en), while prior work is at least

<sup>11</sup>statmt.org/wmt22/translation-task.html

<sup>12</sup>github.com/thompsonb/prism\_bitext\_filter

<sup>13</sup>See Appendix A.2 for data sources & filtering details.

<sup>14</sup>See Appendix B for BLEU (Papineni et al., 2002) and COMET (Rei et al., 2020) on the unmodified wmttest22.



49% slower across languages and up to  $2.5\times$  slower (de-en). Even when combining with our augmentation method, prior encodings are approximately  $1.7\times$  or  $2.0\times$  slower. `wmttest22` has an average of 16 words/sentence. Since transformer decoders are quadratic in the output sequence length, this problem is even worse with longer sequences, e.g. for full document context in a document level MT system (Post and Junczys-Dowmunt, 2023) or a Large Language Model (Brown et al., 2020).

Data augmentation is crucial. Standard SPM with augmentation already matches or outperforms the quality of prior work without augmentation (at the cost of  $>2\times$  slower translation of ALL CAPS data, as compared to runtime of standard SPM for unmodified data.). Without our proposed augmentation method, both prior works perform poorly on all caps data. Adding our augmentation improves their quality, but runtimes remain impractical. We require augmentation for high quality but then have an advantageous combination of quality and speed.

## 6 Conclusion

Data-driven segmentation models are not aware of case and underestimate the importance of it; prior work addresses this in a way that breaks the encoding optimality of perplexity driven methods (resulting in much longer sequence lengths). We fix both by introducing a novel case encoding that allows the SPM algorithm to learn how to segment case markings, and introducing data augmentation. Our work increases translation quality on data with different casings (compared to standard SPM), without degrading quality for standard casing, and with minimal impact on decoding speed.

## 7 Limitations

While we attempt a thorough analysis, there are limitations to what we present.

We consider two different writing systems that use capitalization (Latin script, and the Cyrillic alphabet) and one that does not (Japanese) but this does not cover all writing systems. In particular, Armenian, Georgian and Greek alphabets use capitalization, but we do not demonstrate our method for them. We are limited in the total number of language pairs we can consider, and while we do not have a reason to believe this method will not extend to those alphabets, we leave that exploration to future work.

All our language pairs include English. Future

work could investigate the results when translating between two morphologically richer languages.

While we are able to encode mixed case words, we do not augment for them, nor do we test on them. This handles mixed case terms that occur naturally in text with reasonable frequency (e.g. PhD) but may not be ideal for some kinds of noisy text (e.g. `sPoNgEbob MoCkINg texT`<sup>15</sup>).

Finally, while we explore different data resource levels, our work focuses on relatively higher resource language pairs. In general, low resource pairs tend to benefit from reduction in sparsity and data augmentation. Future work could explore this method in very low resource settings, where training data tends to be far noisier, and investigate how that noise interacts with the method.

## 8 Ethics Statement

This work focuses on case robustness within standard NMT models. On one hand, the ability to translate such data well can be an advantage to the person who needs/wants to understand text with non-standard casing. On the other hand, this may mean that some text that was intentionally made hard to translate through case-obfuscation is now easier to translate.

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<sup>15</sup>[teenvogue.com/story/mocking-spongebob-meme-social-media](https://teenvogue.com/story/mocking-spongebob-meme-social-media)

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	raw	filtered	retained
de↔en	295,805,439	234,074,670	79%
en↔ja	33,875,119	26,218,426	77%
en↔ru	38,188,399	27,427,929	72%

Table 5: The number of lines of training data used for each language pair, before and after data filtering.

## A Training setup

For easier replication of our experiments, we describe the training settings and datasets in details.

### A.1 Training parameters

We train 6+6 layer Transformer big models with 8 heads using Marian NMT (Junczys-Dowmunt et al., 2018) and use a SentencePiece (Kudo and Richardson, 2018) vocabulary size of 32k and the unigram model. Figure 1 shows used Marian’s training and decoding parameters. The model sizes are 209M parameters.

For prior work, we follow respective setups of Berard et al. (2019) and Etchegoyhen and Gete (2020), and use the BPE segmentation algorithm (Sennrich et al., 2016).

In experiments with data augmentation, we add the following parameters for Marian training:

- `--all-caps-every N`
- `--all-lower-caps-every N`
- `--english-title-case-every N`

with  $N = 33$ .

### A.2 Datasets

We train on data from the WMT 2022 General MT Task (Kocmi et al., 2022).<sup>16</sup> Table 6 presents the data sources used in training for each language pair. We filter the parallel data using the sentence filtering released by Thompson and Post (2020).<sup>17</sup> Table 5 shows the total number of lines before and after data filtering.

## B Full results

Tables 7–11 present full results in the same format as Table 4 on robustness wmttest22 with unmodified, ALL CAPS, lower, and Title Case casing. Accuracy is computed against the reference.

Since COMET has not been well tested on differently-cased data, nor has the underlying

```

type: transformer
tied-embeddings-all: true
dim-emb: 1024
enc-depth: 6
dec-depth: 6
transformer-dim-ffn: 4096
transformer-depth-scaling: true
lemma-dim-emb: 0
transformer-decoder-autoreg: self-attention
transformer-ffn-activation: relu
transformer-heads: 8
transformer-postprocess-emb: d
transformer-postprocess: dan
transformer-dropout: 0.1
transformer-dropout-attention: 0
transformer-dropout-ffn: 0
cost-type: ce-sum
label-smoothing: 0.1
optimizer: adam
learn-rate: 0.0002
lr-warmup: 4000
lr-decay-inv-sqrt: 4000
mini-batch-round-up: true
optimizer-params:
  - 0.9
  - 0.999
  - 1e-08
  - 0.01
clip-norm: 0
dynamic-gradient-scaling:
  - 2
  - log
exponential-smoothing: 1e-3
mini-batch-fit: true
mini-batch-fit-step: 5
workspace: 13000
maxi-batch: 1000
mini-batch: 1000
mini-batch-words: 16000
max-length: 256
early-stopping: 10
valid-mini-batch: 32
beam-size: 4
normalize: 1
word-penalty: 0

```

Figure 1: Training and decoding parameters.

model (XLM-RoBERTa-base; Conneau et al., 2020), in the main body of this paper we use it only to evaluate on data with original casing. In Table 12 we compare all systems on unmodified wmttest22 using popular MT evaluation metrics.

We used SacreBLEU v2.0.0 (Post, 2018) with `case:mixed` when computing BLEU and ChrF scores. With COMET (Rei et al., 2020), we used the `wmt20-comet-da` model.

[CAMERA  
READY?]

### B.1 Data Ablation

We perform an ablation on our largest data set to understand how our method performs with decreasing amounts of training data. We compare our method

<sup>16</sup>[statmt.org/wmt22/translation-task.html](https://statmt.org/wmt22/translation-task.html)

<sup>17</sup>[github.com/thompsonb/prism\\_bitext\\_filter](https://github.com/thompsonb/prism_bitext_filter)

	de-en	ja-en	ru-en
Europarl v10 (Koehn, 2005)	✓		
ParaCrawl v9 (Bañón et al., 2020)	✓	✓	✓
Common Crawl ( <a href="https://statmt.org/wmt13/training-parallel-commoncrawl.tgz">statmt.org/wmt13/training-parallel-commoncrawl.tgz</a> )	✓		✓
News Commentary v16 ( <a href="https://data.statmt.org/news-commentary/v16">data.statmt.org/news-commentary/v16</a> )	✓	✓	✓
Yandex Corpus (Shmatova and Dvorkovich, 2022)			✓
Wiki Titles v3 ( <a href="https://data.statmt.org/wikititles/v3">data.statmt.org/wikititles/v3</a> )	✓	✓	✓
UN Parallel Corpus V1.0 (Ziems et al., 2016)			✓
Tilde MODEL corpus (Rozis and Skadiņš, 2017)	✓		✓
WikiMatrix (Schwenk et al., 2021)	✓	✓	✓
Japanese-English Subtitle Corpus (Pryzant et al., 2018)		✓	
The Kyoto Free Translation Task Corpus (Neubig, 2011)		✓	
TED Talks (Cettolo et al., 2012)		✓	

Table 6: The training data sources used for each language pair.

(our case encoding + data augmentation) to no case encoding. Across the board, we are on par with the baseline on unmodified test sets, and outperform it on the robustness test sets; see § B. ]

]

en → ja	unmodified			ALL CAPS			lower			Title Case		
	ChrF↑	TrgL↓	Time↓	ChrF↑	TrgL↓	Time↓	ChrF↑	TrgL↓	Time↓	ChrF↑	TrgL↓	Time↓
no case encoding	32.0	20.3	3.8 (base)	20.9	24.4	10.3 (+171%)	28.3	20.4	4.1 (+9%)	29.5	20.4	4.1 (+9%)
+ our data augment	32.0	20.2	3.9 (+3%)	30.1	20.5	5.1 (+35%)	31.5	20.1	3.9 (+4%)	31.5	20.3	3.9 (+3%)
<a href="#">Berard et al.</a>	30.9	20.5	3.9 (+2%)	29.6	21.4	5.3 (+39%)	28.2	19.4	3.7 (-1%)	29.6	20.8	4.5 (+19%)
<a href="#">Etchegoyhen and Gete</a>	31.2	20.8	4.1 (+7%)	29.5	21.6	7.6 (+101%)	27.5	19.3	3.9 (+3%)	29.0	21.6	6.1 (+61%)
our case encoding	31.9	19.9	3.9 (+2%)	30.5	19.9	3.9 (+3%)	28.5	20.2	4.5 (+19%)	30.1	20.1	4.5 (+18%)
+ our data augment	31.9	20.0	4.0 (+5%)	31.9	20.1	3.9 (+2%)	31.4	19.9	3.9 (+2%)	31.7	20.1	4.0 (+4%)

Table 7: Results for en→ja: ChrF, target sequence length, and decoding time. Note, all results *with* data augmentation are our contribution; prior work did not use augmentation.

en → ru	unmodified			ALL CAPS			lower			Title Case		
	ChrF↑	TrgL↓	Time↓	ChrF↑	TrgL↓	Time↓	ChrF↑	TrgL↓	Time↓	ChrF↑	TrgL↓	Time↓
no case encoding	52.9	26.0	4.9 (base)	25.0	65.9	19.4 (+297%)	49.3	25.9	4.9 (+1%)	48.5	26.4	5.0 (+3%)
+ our data augment	52.9	26.0	4.8 (-2%)	46.3	66.3	15.0 (+206%)	52.1	25.9	4.8 (-3%)	51.2	26.2	5.0 (+1%)
<a href="#">Berard et al.</a>	53.0	26.9	5.4 (+11%)	43.7	42.4	11.3 (+131%)	50.8	25.3	5.6 (+15%)	49.9	27.7	7.0 (+42%)
<a href="#">Etchegoyhen and Gete</a>	53.3	26.7	4.8 (-2%)	45.3	37.3	7.3 (+49%)	50.4	25.3	4.7 (-4%)	50.2	27.7	5.3 (+9%)
our case encoding	53.2	27.2	5.2 (+6%)	37.6	27.1	4.8 (-1%)	50.1	26.1	4.8 (-3%)	50.5	28.0	5.4 (+10%)
+ our data augment	53.0	27.3	4.9 (-1%)	53.3	27.0	4.9 (+0%)	52.2	27.1	4.9 (-0%)	52.6	27.5	5.2 (+5%)

Table 8: Results for en→ru: ChrF, target sequence length, and decoding time. Note, all results *with* data augmentation are our contribution; prior work did not use augmentation.

de → en	unmodified			ALL CAPS			lower		
	ChrF↑	TrgL↓	Time↓	ChrF↑	TrgL↓	Time↓	ChrF↑	TrgL↓	Time↓
no case encoding	65.2	21.3	4.1 (base)	39.4	36.0	12.6 (+207%)	60.3	22.0	4.2 (+2%)
+ our data augment	65.2	21.4	4.0 (-2%)	61.3	37.7	10.1 (+146%)	63.6	21.3	0.0 (-100%)
<a href="#">Berard et al.</a>	64.3	23.1	4.4 (+7%)	62.0	37.4	8.6 (+110%)	59.9	21.2	4.8 (+17%)
+ our data augment	64.6	23.1	4.4 (+7%)	65.5	38.0	7.4 (+80%)	63.8	22.8	4.3 (+5%)
<a href="#">Etchegoyhen and Gete</a>	65.1	23.2	4.5 (+10%)	61.9	35.6	10.2 (+149%)	60.1	21.2	4.0 (-2%)
+ our data augment	65.1	23.2	4.5 (+10%)	65.4	36.1	7.1 (+73%)	63.8	23.0	4.4 (+7%)
our case encoding	65.2	21.9	4.1 (0%)	54.9	23.1	4.2 (+2%)	61.2	21.9	4.2 (+2%)
+ our data augment	65.3	21.9	4.2 (+2%)	65.7	22.8	4.2 (+2%)	64.3	21.8	4.1 (0%)

Table 9: Results for de→en: ChrF, target sequence length, and decoding time. Note, all results *with* data augmentation are our contribution; prior work did not use augmentation.

ja → en	unmodified		
	ChrF↑	TrgL↓	Time↓
no case encoding	45.9	20.9	6.1 (base)
<a href="#">Berard et al.</a>	43.4	25.9	7.8 (+28%)
<a href="#">Etchegoyhen and Gete</a>	45.5	22.8	5.5 (-10%)
our case encoding	45.8	21.2	6.1 (+1%)

Table 10: Results for ja→en: ChrF, target sequence length, and decoding time. Note, all results *with* data augmentation are our contribution; prior work did not use augmentation.

ru → en	unmodified			ALL CAPS			lower		
	ChrF↑	TrgL↓	Time↓	ChrF↑	TrgL↓	Time↓	ChrF↑	TrgL↓	Time↓
no case encoding	63.9	24.9	4.6 (base)	26.4	50.5	19.3 (+322%)	61.4	25.2	4.8 (+5%)
+ our data augment	63.6	24.9	4.8 (+4%)	56.4	52.3	12.0 (+161%)	62.9	25.0	4.7 (+3%)
<a href="#">Berard et al.</a>	63.3	26.7	4.8 (+4%)	46.7	39.5	9.0 (+97%)	61.5	25.8	4.8 (+4%)
<a href="#">Etchegoyhen and Gete</a>	63.6	26.9	5.0 (+8%)	46.6	36.7	8.5 (+86%)	61.4	26.0	4.9 (+6%)
our case encoding	63.9	25.5	4.8 (+6%)	32.2	26.1	6.0 (+30%)	61.9	25.1	4.8 (+4%)
+ our data augment	64.0	25.5	4.5 (-1%)	64.1	26.2	4.7 (+3%)	63.3	25.4	4.6 (+1%)

Table 11: Results for ru→en: ChrF, target sequence length, and decoding time. Note, all results *with* data augmentation are our contribution; prior work did not use augmentation.

Method	en→de			en→ja			en→ru		
	BLEU	ChrF	COMET	BLEU	ChrF	COMET	BLEU	ChrF	COMET
no case encoding	46.3	66.2	54.3	22.8	<b>32.0</b>	<b>48.1</b>	26.7	52.9	43.8
+ our data augment	46.3	66.3	54.3	<b>22.9</b>	<b>32.0</b>	47.4	26.9	52.9	43.0
<a href="#">Berard et al.</a>	44.1	64.9	50.0	21.8	30.9	42.9	26.4	53.0	41.7
<a href="#">Etchegoyhen and Gete</a>	46.5	66.0	54.0	22.1	31.2	44.6	<b>27.2</b>	<b>53.3</b>	45.8
our case encoding	46.5	<b>66.5</b>	54.9	22.8	<b>31.9</b>	47.6	27.1	53.2	<b>46.9</b>
+ our data augment	<b>46.6</b>	66.3	<b>55.0</b>	22.7	31.9	47.5	27.1	53.0	45.1

  

Method	de→en			ja→en			ru→en		
	BLEU	ChrF	COMET	BLEU	ChrF	COMET	BLEU	ChrF	COMET
no case encoding	48.5	65.2	54.7	20.0	<b>46.0</b>	24.5	38.7	64.0	49.9
+ our data augment	48.6	65.2	54.1	—	—	—	38.2	63.5	49.4
<a href="#">Berard et al.</a>	46.9	64.3	51.7	16.9	43.9	15.8	37.3	63.3	49.2
<a href="#">Etchegoyhen and Gete</a>	48.4	65.1	53.9	19.5	45.5	23.1	37.9	63.6	49.5
our case encoding	48.4	65.2	<b>55.1</b>	<b>20.1</b>	<b>46.0</b>	<b>25.8</b>	38.7	<b>64.0</b>	<b>50.9</b>
+ our data augment	<b>48.7</b>	<b>65.3</b>	<b>55.1</b>	—	—	—	<b>39.0</b>	<b>64.0</b>	50.2

Table 12: Results out of (top table) and into (bottom table) English on the unmodified wmttest22 testset. Since Japanese does not mark capitalization, there is no augmentation for ja→en.