

Interleaved Text/Image Deep Mining on a Large-Scale Radiology Database for Automated Image Interpretation

Hoo-Chang Shin

HOOCHANG.SHIN@NIH.GOV

Le Lu

LE.LU@NIH.GOV

Lauren Kim

LAUREN.KIM2@NIH.GOV

Ari Seff

ARI.SEFF@NIH.GOV

Jianhua Yao

JYAO@CC.NIH.GOV

Ronald M. Summers

RMS@NIH.GOV

Imaging Biomarkers and Computer-Aided Diagnosis Laboratory

Radiology and Imaging Sciences

National Institutes of Health Clinical Center

Bethesda, MD 20892-1182, USA

Editor: Benjamin M. Marlin

Abstract

Despite tremendous progress in computer vision, there has not been an attempt for machine learning on very large-scale medical image databases. We present an interleaved text/image deep learning system to extract and mine the semantic interactions of radiology images and reports from a national research hospital's Picture Archiving and Communication System. With natural language processing, we mine a collection of representative ~216K two-dimensional key images selected by clinicians for diagnostic reference and match the images with their descriptions in an automated manner. We then employ a weakly supervised approach using all of our available data to build models, to generate approximate interpretations of patient images. Finally, we demonstrate a more strictly supervised approach to detect the presence and absence of a number of frequent disease types, providing more specific interpretations of patient scans. Relatively small amount of data is used for this part, due to the challenge in gathering quality labels from large raw text data. Our work shows the feasibility of large-scale learning and prediction in electronic patient records available in most modern clinical institutions. It also demonstrates the trade-offs to consider in designing machine learning systems for analyzing large medical data.

Keywords: Deep learning, Convolutional Neural Networks, Topic Models, Natural Language Processing, Medical Imaging

1. Introduction

The ImageNet Large Scale Visual Recognition Challenge by Deng et al. (2009) provides more than one million labeled images of 1,000 object categories. The accessibility of a huge amount of well-annotated image data in computer vision rekindles deep convolutional neural networks (CNNs) as the premier learning tool to solve the visual object class recognition tasks, as shown by Krizhevsky et al. (2012); Simonyan and Zisserman (2014); Szegedy et al. (2014). Deep CNNs can perform significantly better than traditional shallow learning methods but usually requires much more training data as was shown by Krizhevsky et al. (2012);

Russakovsky et al. (2014). In the medical domain, however, there are no similar large-scale labeled image data sets available. On the other hand, large collections of radiology images and reports are stored in many modern hospitals’ Picture Archiving and Communication Systems (PACS). The invaluable semantic diagnostic knowledge inhabiting the mapping between hundreds of thousands of clinician-created high-quality text reports and linked image volumes remains largely unexplored. One of our primary goals is to extract and associate radiology images with clinically semantic labels via interleaved text/image data mining and deep learning on a large-scale PACS database ($\sim 780K$ imaging examinations). To the best of our knowledge, this is the first reported work performing automated mining and prediction on a hospital PACS database at a very large scale.

The Radiology reports are text documents describing patient history, symptoms, image observations and impressions written by board-certified radiologists. However, the reports do not contain specific image labels to be trained by a machine learning algorithm. Building the ImageNet database (Deng et al., 2009) was mainly a manual process: harvesting images returned from Google image search engine according to the WordNet (Miller, 1995) ontology hierarchy and pruning falsely tagged images using crowd-sourcing such as Amazon Mechanical Turk (AMT). This does not meet our data collection and labeling needs due to the demanding difficulties of medical annotation tasks and data privacy reasons. Thus, we first propose to mine categorical semantic labels using a non-parametric topic modeling method—latent Dirichlet Allocation (LDA) by Blei et al. (2003)—to provide a semantic interpretation of a patient image in three levels. While this provides a first-level interpretation of a patient image, labeling based on categorization can be nonspecific. To alleviate the issue of non-specificity, we further mine specific disease words in the reports mentioning the images. Feed-forward CNNs were then used to train and predict the presence/absence of the specific disease categories.

Our work has been inspired by the works of Deng et al. (2009); Russakovsky et al. (2014) building very large-scale image databases and the works establishing semantic connections of texts and images by Kulkarni et al. (2013). Please note that there has not yet been much comparable development on large-scale medical imaging interpretation. Kulkarni et al. (2013) have spearheaded the efforts of learning the semantic connections between image contents and the sentences describing them, such as image captions. Detecting objects of interest, attributes and prepositions and applying contextual regularization with a conditional random field (CRF) is a feasible approach as shown by Kulkarni et al. (2013), and many useful tools for image annotation using it are available in computer vision.

In this work, both deep feed-forward CNNs of Krizhevsky et al. (2012); Simonyan and Zisserman (2014) and word-embedding networks of Mikolov et al. (2013a,b) are used to model image and text. Also, the CNN parameters pre-trained on ImageNet are used to initialize CNNs and to be adopted for medical image analysis. We show the benefit of this transfer learning and domain adaptation in Section 4.2. The fact that deep learning requires no hand-crafted image features is very desirable since significant adaptation would be needed to apply conventional image features, for example, HOG, SIFT to learn the wide variety of medical images. The large-scale data sets of key images extracted and their categorization, vector labels, and describing sentences can be harnessed to alleviate deep learning’s “data-hungry” challenge in the medical domain.

1.1 Related Work

The ImageCLEF medical image annotation tasks of 2005-2007 by Deselaers and Ney (2008) have 9,000 training and 1,000 testing two-dimensional images, converted to 32×32 pixel thumbnails with 57 labels. Local image descriptors and intensity histograms are used as a bag-of-features approach in that work for this scene-recognition-like problem. However, the data set is limited to radiographs, and it is difficult to detect any disease from 32×32 size images. Unsupervised LDA-based matching from lung disease words (for example, fibrosis, emphysema) to two-dimensional image blocks from axial CT chest scans is studied by Carrivick et al. (2005) where data set was from a relatively small number (24) of patients. The works of Barnard et al. (2003); Blei and Jordan (2003) using generative models of combining words and images under a very limited word/image vocabulary has also motivated this study.

Socher et al. (2013); Frome et al. (2013) first map words into vector space using recurrent neural networks and then project images into the label-associated word-vector embeddings by minimizing the L_2 (Socher et al., 2013) or hinge rank losses (Frome et al., 2013) between the visual and label manifolds. The language model is trained on the texts of Wikipedia and tested on label-associated images from the CIFAR (Krizhevsky and Hinton, 2009; Socher et al., 2013) and ImageNet data sets (Deng et al., 2009; Frome et al., 2013). Image-to-language correspondence was learned from the ImageNet data set and reasonably high quality image description data sets (Pascal1K (Rashtchian et al., 2010), Flickr8K (Hodosh et al., 2013), Flickr30K (Young et al., 2014), MS-COCO Lin et al. (2014)) by Karpathy et al. (2014); Vinyals et al. (2014); Donahue et al. (2014); Xu et al. (2015); Mao et al. (2014), where such caption data sets are not available in the medical domain.

The tasks of mining and labeling images from a data set of blog posts with user photos and related texts and retrieving them with query words were demonstrated in Kim et al. (2015b,a, 2014). Similarly, a noisy image-text data set consisting of product photos (such as bags, clothing and shoes) and their associated text description (Berg et al., 2010) was used to demonstrate image retrieval with text queries and image description generation. Nonetheless, they all require pre-trained models either from the large ImageNet data set or a large text data set (for example, Wikipedia or Reuters news data sets Turian et al. (2010)). Still there exists no such large data set of images and texts in the medical domain.

Graphical models have been employed to predict image attributes by Lampert et al. (2014); Scheirer et al. (2012), or to describe images by Kulkarni et al. (2013) using manually annotated data sets. Automatic label mining on large, unlabeled data sets is presented by Ordonez et al. (2011); Jaderberg et al. (2014), however, the variety of the label-space is limited to image text annotations. In this work, we demonstrate the automatic generation of descriptive attributes of patient images as well as the detection of frequent disease types with associated confidences. A large data set of patient images and radiologist text reports from a hospital is used for the demonstration, and we highlight the key issues to consider when analyzing large-scale medical data with minimal annotation.

2. Data

To gain the most comprehensive interpretation of diagnostic semantics, we use all available radiology reports of around 780,000 imaging examinations, stored in the PACS of

total number of		# words in documents	# image modalities	
# documents	~780k	mean	131.13	CT
# images	~216k	std	95.72	MR
# words	~1 billion	max	1502	PET
# vocabulary	~29k	min	2	others
				34

Table 1: Some statistics of the data set. “Others” include computed radiography, and ultrasound.

right	937k	images	312k	contrast	260k	unremarkable	195k
left	870k	seen	299k	axial	253k	lower	195k
impression	421k	mass	296k	lung	243k	upper	192k
evidence	352k	normal	278k	bone	219k	lesion	180k
findings	340k	small	275k	chest	208k	lobe	174k
CT	312k	noted	263k	MRI	204k	pleural	172k

Table 2: Examples of the most frequently occurring words in the radiology report documents.

National Institutes of Health Clinical Center since the year 2000. Around 216,000 key two-dimensional image slices are studied here, instead of using all three-dimensional image volumes. Within three-dimensional patient scans, most of the imaging information represented are normal anatomy, therefore they are often not the focus of the radiology reports. The two-dimensional “key images” referenced (Figure 1) by radiologists manually during radiology report writing provide a visual reference to pathologies or other notable findings. Therefore, the two-dimensional key images are more correlated with the diagnostic semantics in the reports than the whole three-dimensional scans, but not all reports have referenced key images (215,786 images from about 61,845 unique patients). Table 1 provides some statistics of the extracted database, and Table 2 shows examples of the most frequently occurring words in radiology reports collected. Leveraging our deep learning models exploited in this paper will make it possible to automatically select key images from three-dimensional patient scans to avoid mis-referencing.

Finding and extracting key images from radiology reports is done by natural language processing (NLP), that is, finding a sentence mentioning a referenced image. For example, “*There may be mild fat stranding of the right parapharyngeal soft tissues (series 1001, image 32)*” is listed in Figure 1. The NLP steps are sentence tokenization, word/number matching and stemming, and rule-based information extraction (for example, translating “image 1013-78” to “images 1013-1078”). A total of ~187K images are retrieved and matched this way, whereas the rest of ~28K key images were extracted according to their reference accession numbers in PACS. The image-text matching is accurate as we use exact annotations from the sentences in reports in retrieving the images, however, it is possible we missed some image-text pairs due to limitations in our NLP pipelines. We do not evaluate the recall-rate of our method in this study, but it can be considered as a future work. The software package of Bird et al. (2009) is used for the basic NLP pipelines.

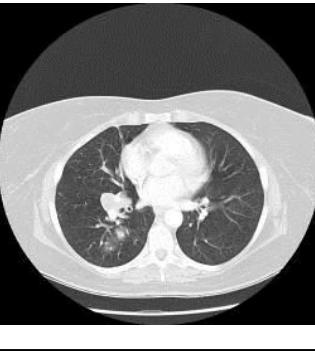
	<p>0001 REPORT : REASON FOR EXAM (Entered by ordering clinician into CRIS): hx of head and neck cancer. needs scan CT of the nasopharynx.</p> <p>HISTORY: Head and neck cancer.</p> <p>TECHNIQUE: Contiguous 2.5 mm axial images of the nasopharynx were performed without IV contrast. COMPARISON: xx/xx/xxxx.</p> <p>FINDINGS: No soft tissue masses are seen within the soft tissues of the neck. The parotid and submandibular glands are predominantly fatty-replaced. Soft tissues of the Naso, oropharynx are unremarkable. There may be mild fat stranding of the right parapharyngeal soft tissues (series 1001, image 32). No abnormal masses are seen at that site. No bulky lymphadenopathy is seen. There is a fusiform aneurysm of the basilar artery as previously described. It appears to the mildly increased in size and currently measures 2.0 cm in transverse dimensions and previously measured 1.8 cm. It measured 1.5 cm in transverse dimensions on xx/xx/xxxx. Atherosclerotic calcifications are also seen within the carotid arteries bilaterally. There is near-complete opacification of the maxillary sinuses bilaterally. This has increased predominantly within the left maxillary sinus and mildly within the right maxillary sinus. The ethmoidal air cells are clear. Sphenoidal and frontal sinuses are clear. Degenerative changes of the cervical spine are noted.</p> <p>IMPRESSIONS: 1. No soft tissue masses however, mild right parapharyngeal fat stranding is seen it may be postoperative or post radiation in nature. 2. Basilar artery aneurysm that has gradually increased in size when compared to prior examinations. 3. Atherosclerotic disease of the coronary arteries bilaterally..</p>
	<p>0001 Report: CHEST, ABDOMEN, PELVIS CT: Multidetector helical (5 mm, quad) images following, and abdomen images prior to vascular contrast infusion (45 s delay, 2 cc/s, 130 cc Isovue) obtained without apparent complication. History: renal cell pt on Medarex protocol here for end of course evaluation.</p> <p>CHEST: Multiple right, and at least one left lung masses minimally-moderately increasing since xx/xx/xxxx, compatible with metastases despite moderate decrease in at least one right mid-lung mass (e.g. series 4 image 30). Minimal pretacheal and subcarinal adenopathy increasing. Spine osteophytes. Enlargement thyroid on right side, and thyroid heterogeneity unchanged, possibly due to goiter. No evidence of pleural or pericardial effusion, axilla or left hilum adenopathy.</p> <p>ABDOMEN, PELVIS: Few right and left liver foci, left periaortic and left adrenal fossa, and right sacrum mass and lytic lesion (series 3 image 88-95) increasing minimally, compatible with metastases. Scattered lumbar vertebra and bilateral ilium foci (e.g. series 3 image 55, 60, 80, 84-7, 96) foci possibly due to bone metastases. Uterine fundus focus (series 3 image 95) increasing in density since xx/xx/xxxx, possibly due to fibroid, metastasis. No evidence of splenomegaly, hydronephrosis, gallbladder calcification, or bulky mesenteric adenopathy.</p>

Figure 1: Two examples of radiology reports and the referenced “key images” (providing a visual reference to pathologies or other notable findings).

3. Document Topic Learning with Latent Dirichlet Allocation

It is difficult to annotate the ~216K images and the sentences referring to them. Unlike the images of ImageNet (Deng et al., 2009; Russakovsky et al., 2014) which often have a dominant object appearing in the center, our key images are mostly CT and MRI slices showing several organs usually with pathologies. There is a high amount of intrinsic ambiguity in defining and assigning a semantic label set to images, even for experienced clinicians. We therefore propose to mine image categorization labels using the non-parametric topic-modeling algorithm of Blei et al. (2003) on the ~780K radiology text reports in PACS. Our hypothesis is that the large collection of sub-million radiology reports statistically defines the categories meaningful for topic-mining and visual correspondence learning for these topics.

Latent Dirichlet Allocation (LDA) was originally proposed by Blei et al. (2003) to find latent topic models for a collection of text documents such as newspaper articles. There are some other popular methods for document topic modeling, such as Probabilistic Latent Semantic Analysis (pLSA) by Hofmann (1999) and Non-negative Matrix Factorization

(NMF) by Lee and Seung (1999). In a study done by Stevens et al. (2012) LDA showed the most favorable results overall in human evaluations of the generated topics compared to other popular methods. Furthermore, pLSA can be regarded as a special case of LDA (Girolami and Kabán, 2003) and NMF as a semi-equivalent model of pLSA (Gaussier and Goutte, 2005; Ding et al., 2006).

LDA offers a hierarchy of extracted topics and the number of topics can be chosen by evaluating each model’s *perplexity score* (Equation 1), which is a common way to measure how well a probabilistic model generalizes by evaluating the log-likelihood of the model on a held-out validation set. For an unseen document set D_{val} , the perplexity score is defined as in Equation 1, where M is the number of documents in the validation set, \mathbf{w}_d the words in the unseen document d , N_d the number of words in document d , with Φ the topic matrix, and α the hyper-parameter for topic distribution of the documents.

$$\text{perplexity}(D_{val}) = \exp \left\{ -\frac{\sum_{d=1}^M \log p(\mathbf{w}_d | \Phi, \alpha)}{\sum_{d=1}^M N_d} \right\} \quad (1)$$

A lower perplexity score generally implies a better fit of the model for a given document set (Blei et al., 2003).

Based on the perplexity score evaluated on 80% of the total documents used for training and 20% used for validation, the number of topics chosen is 80 for the document-level model using perplexity scores for model selection (Figure 2). Although the document distribution in the topic space is approximately balanced, the distribution of image counts for the topics is more unbalanced (Figure 3). Specifically, topic #77 (non-primary metastasis spreading across a variety of body parts) contains nearly half of the ~216K key images. To address this data bias, sub-topics are obtained for each of the first document-level topics, resulting in 800 topics, where the number of the sub-topics is also chosen based on the average perplexity scores evaluated on each document-level topic. Lastly, to compare the method of using the whole report with using only the sentence directly describing the key images for latent topic mining, a sentence-level LDA topics are obtained based on three sentences only: the sentence mentioning the key-image (Figure 1) and its adjacent sentences as proximal context. The perplexity scores keep decreasing with an increasing number of topics; we choose the topic count to be 1000 as the rate of the perplexity score decrease is very small beyond that point (Figure 2).

We observe that LDA-generated image categorization labels are valid, demonstrating good semantic coherence among clinician observers. Some examples of document-level topics with their corresponding images and topic key words are shown in Figure 4. All reports and sentences referring to the images have associated topics, and images are sampled from the sentences belonging to the multi-level topics. The lists of key words and sampled images per topic label are subjected to a board-certified radiologist’s review and validation. There are 73 low-level concepts, for example, pathology examination of certain body regions and organs: topic #47 - sinus diseases; #2 - lesions of solid abdominal organs, primarily kidney; #10 - pulmonary diseases; #13 - brain MRI; #19 - renal diseases on mixed imaging modalities; #36 - brain tumors. There are 7 mid- to high-level concepts, such as: topic #77 - non-primary metastasis spreading across a variety of body parts; topic #79 - cases with high diagnosis uncertainty or equivocation; #72 - indeterminate lesions; #74 - instrumentation

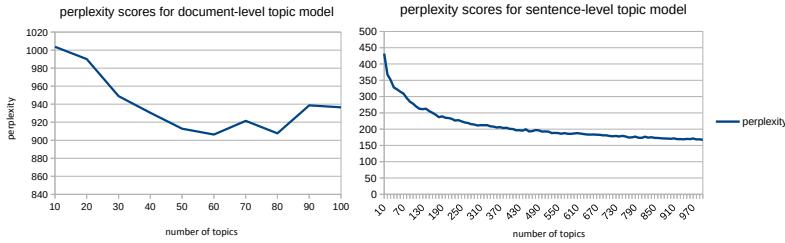


Figure 2: Perplexity scores for document-/sentence- level topic models. Number of topics with low perplexity score is selected as the optimal (80 for document-level, 1000 for sentence-level).

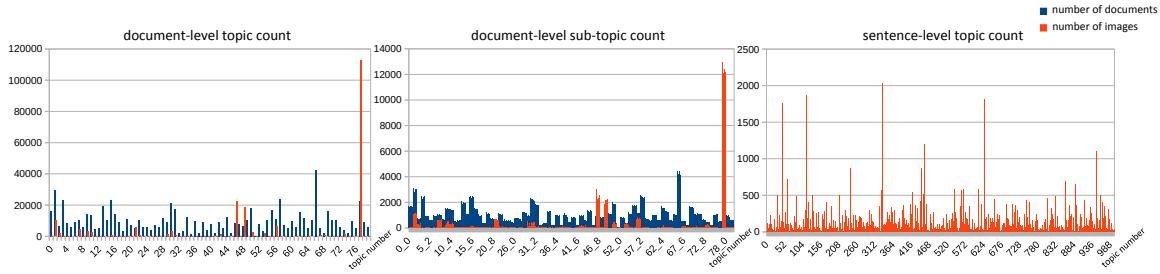


Figure 3: Distribution of documents and images for document-level topic, document-level sub-topic, and sentence-level topic. Sixth sub-topic (second-level topic) of (first-level) document topic 41 is noted as 40_5.

artifacts limiting interpretation. Low-level topic images tend to be visually more coherent than the higher-level topic images.

High-level topics may be analogous to the high-level visual concepts in natural images as was studied by Kiapour et al. (2014); Ordóñez and Berg (2014). About half of the key images are associated with topic #77, implying that the clinicians' image referencing behavior patterns heavily focuses on metastatic patients. Sub-topics of document-level topic #77 are sub-categories of metastatic disease, for example: #77-0 - abdominal mass; #77-2 - bulky tumor; #77-4 - multifocal metastatic disease; #77-9 - liver tumor. Meanwhile, some of the sub-topics of document-level #77 do not seem very focused. Many of the sentence-level topics have valid semantics too, for example, 'renal imaging', 'musculoskeletal imaging', 'chest port catheter', 'chest imaging with disease or pathology', and 'degenerative disease in bone'.

We also obtained LDA topics on the reports having associated images only, resulting in 20 topics according to perplexity score. However, these did not add any more meaningful semantics in addition to the already obtained topics in three levels, so that we do not include the topics. For more details and the image-topic associations, refer to Figures 4, 5, and the supplementary material. Even though LDA labels are computed with text information

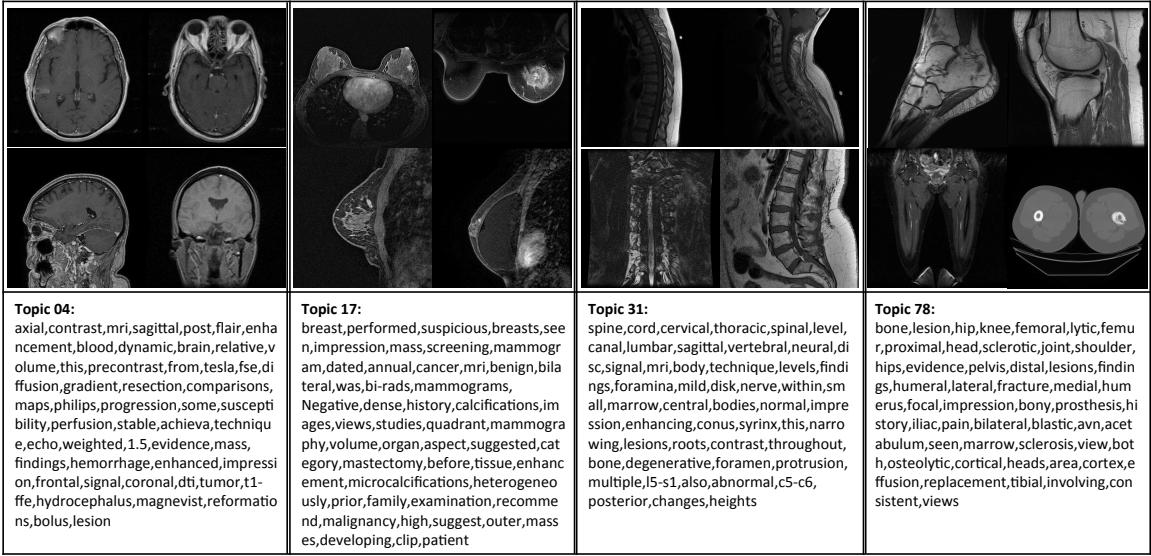


Figure 4: Examples of LDA generated document-level topics with corresponding images and key words. Topic #4 is MRI of brain tumor; topic #17: breast imaging; topic #31: degenerative spine disc disease; and topic #78: bone metastases. These are verified by a radiologist.

only, we next investigate the plausibility of mapping images to the topic labels of different levels via deep CNN models.

4. Image to Document Topic Mapping with Deep Convolutional Neural Networks

For each level of topics discussed in Section 3, we train deep CNNs to map the images into document categories using the Caffe framework of Jia et al. (2014). We split our whole key image data set as follows: 85% used as the training data set, 5% as the validation, and 10% as the test data set. If a topic has too few images to be divided into training/validation/test for deep CNN learning, then that topic is neglected for the CNN training. These cases are normally the topics of rare imaging protocols, for example: topic #5 - Abdominal ultrasound; topic #28& #49 - DEXA scans of different usages. In total, 60 topics were used for the document-level topic mapping, 385 for the document-level sub-topic mapping, and 717 for the sentence-level mapping. Systematic diagrams showing how each level of semantic topics are learned, assigned to images, and trained to map from images to topics are shown in Figure 6.

4.1 Implementation

All our CNN network settings are similar or the same as the ImageNet Challenge “AlexNet” (Krizhevsky et al., 2012), and “VGG-16 & 19” (Simonyan and Zisserman, 2014) models. For

Topic 77-0: kidney,images,abdomen,e.g,prior,mass,pancreas,following,cysts,adrenal,liver,foci,renal,contrast,approximate,including,focus,cyst,bilateral,masses,size,enlarging,for,also,given,possibly,mid,2.5,vascular,without,due,nephrectomy,please,1.5,from,few,multiphase,subcentimeter,least,comparison,patient,dual-phase,length,apparent,complication,obtained,upper,study,lower,vhl							
Topic 77-2: bulky,pelvis,bone,gross,since,liver,abdomen,calcification,vascular,study,lung,mass,isovue,dfov,without,contrast,administration,impression,metastasis,chest,for,images,mesenteric,axilla,following,hilum,cc/s,helical,multidetector,ascites,enteric,reason,apparent,complication,pleural,splenomegaly,pericardial,hydronephrosis,delay,effusion,mediastinum,obtained,300,spine,gallbladder,report,130,retroperitoneal,spleen,e.g							
Topic 77-5: images,axial,t1-weighted,without,prior,liver,following,t2-weighted,tesla,fat-suppressed,multiple,sequences,e.g.characteristic,obtained,1.5,foci,fat,abdomen,for,prolonged,coronal,including,relaxation,hydronephrosis,mri,magnets,splenomegaly,complication,apparent,vascular,pleural,impression,report,effusion,contrast,reason,study,mass,administration,since,focus,multiphase,definite,echo,defect,gross,filling,ascites,in to							
Topic 77-9: lung,chest,pleural,images,bilateral,minimal,effusion,lower,obtained,pericardial,multidetector,helical,axilla,study,report,mas,infiltrate,for,scarring,since,bulky,for,or,clinical,splenomegaly,dfov,cavity,e.g,impression,decreasing,infiltrates,focal,mediastinum,disease,atelectasis,hydronephrosis,small,reason,upper,unoward,history,probable,appearing,calcification,lobe,8-channel,supine,scattered,prone,bone,intervals							
Document-level Topic 77: compatible,adenopathy,series,unchanged,image,evidence,images,e.g,pelvis,lung,since,abdomen,vascular,minimal,foci,bulky,mass,calcification,bone,chest,contrast,liver,effusion,pleural,obtained,gross,following,without,splenomegaly,axilla,hydronephrosis,metastasis,bilateral,pericardial,increasing,helical,multidetector,apparent,complication,hilum,due,spine,gallbladder,administration,mesenteric,fat,dfov,cc/s,appearing,delay							

Figure 5: Examples of some sub-topics of document-level topic #77, with corresponding images and topic key-words. The key-words and the images for the document-level topic (#77) indicates metastatic disease. The key-words for topic #77 are: [abdomen,pelvis,chest,contrast,performed,oral,was,present,masses,stable,intravenous,adenopathy,liver,retroperitoneal,comparison,administration,scans,130,small,parenchymal,mediastinal,dated,after,which,evidence,were,pulmonary,made,adrenal,prior,pelvic,without,cysts,spleen,mass,disease,multiple,isovue-300,obtained,areas,consistent,nodules,changes,pleural,lesions,following,abdominal,that,hilar,axillary].

“AlexNet” we use the Caffe reference network of Jia et al. (2014), which is a slight modification to the “AlexNet” by Krizhevsky et al. (2012). The AlexNet model by Krizhevsky et al. (2012) has about 60 million parameters (650,000 neurons) and consists of five convolutional layers (1st, 2nd and 5th followed by max-pooling layers), and three fully-connected (FC) layers with a final classification layer. The VGG variations of CNN models by Simonyan and Zisserman (2014) are significantly deeper by having 16-19 convolutional layers and 133-144 million parameters. The top-1 error rates on ImageNet data set of these models are AlexNet: 15.3% (Krizhevsky et al., 2012); VGG-16: 7.4%; and VGG-19: 7.3% (Simonyan and Zisserman, 2014), respectively.

For the image to topic mapping, we change the numbers of output nodes in the last softmax classification layer, that is, 60, 385 and 717 for the document-level, document-level sub-topics, and sentence-level respectively. The networks for first-level semantic labels are fine-tuned from the pre-trained ImageNet models, where the networks for the lower-level semantic labels are fine-tuned from the models of the higher-level semantic labels.

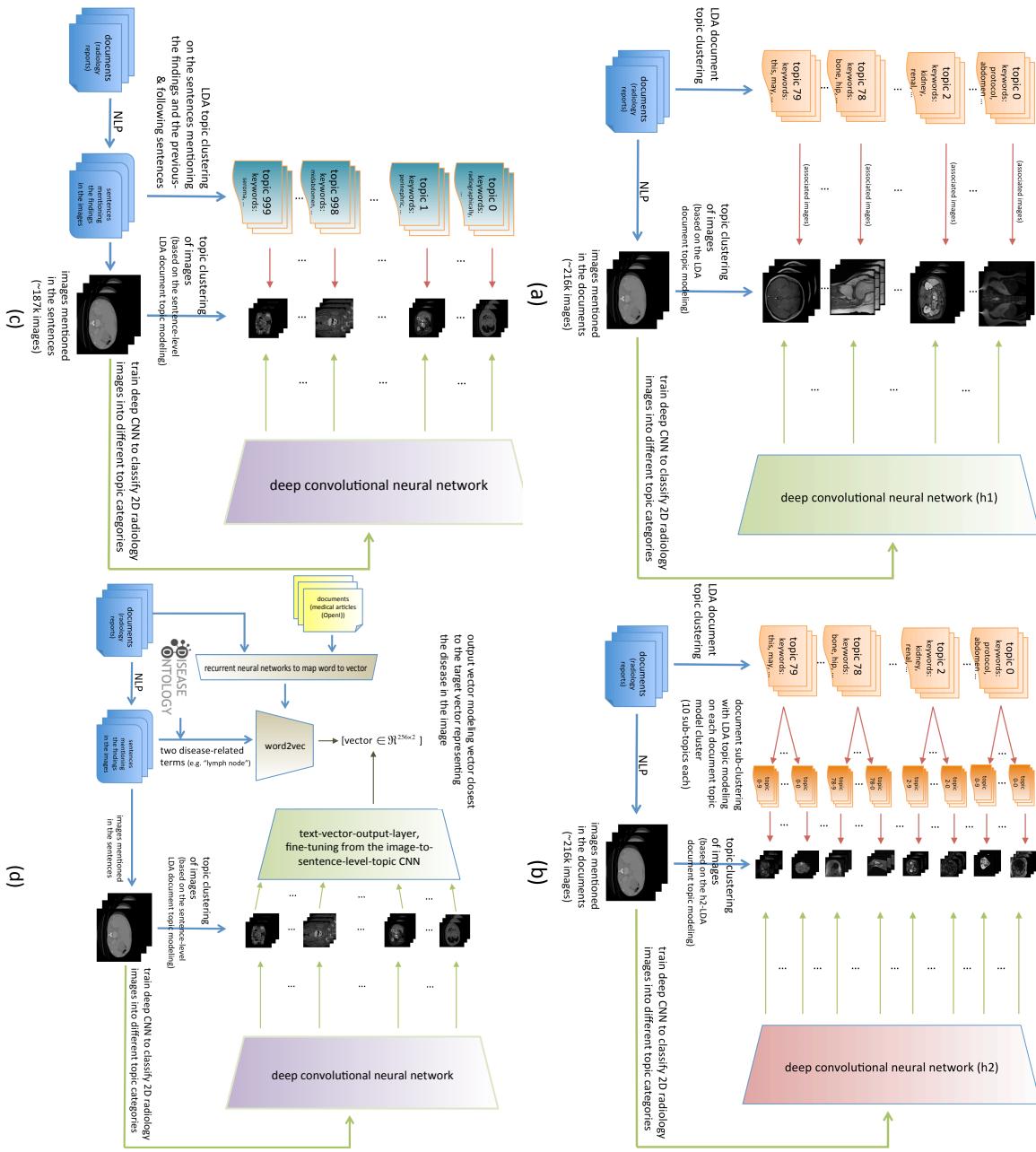


Figure 6: Systematic diagrams for training CNNs to learn to classify images into (a) document-level topics (b) document-level sub-topics, (c) sentence-level topics. A systematic diagram for image-to-word model (in Section 5.3) is shown in (d).

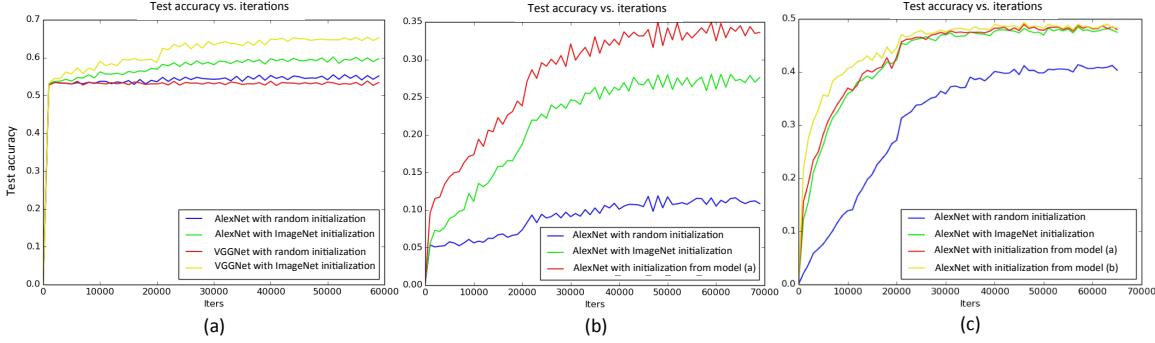


Figure 7: Traces of classification accuracies during training, showing the benefits of using ImageNet data set as pre-training for this task with medical images and improvements of fine-tuning from CNN neural networks of similar tasks (for example, from document-level (h1) CNN model to document-level sub-topic (h2) CNN model). (a) Image-to-document-level-topic (h1) classification, (b) image-to-document-level-sub-topic (h2) classification, and (c) image-to-sentence-level-topic (h3) classification.

4.2 Transfer Learning and Domain Adaptation

We find that transfer learning from the ImageNet pre-trained CNN parameters on natural images to our medical image modalities (mostly CT, MRI) significantly helps the image classification performance. Additionally, transfer learning from a CNN trained for a more related task (for example, from CNN trained on the image-to-document-level-topic models to train CNN for the image-to-document-level-sub-topic model) is found to be more effective than from a CNN trained for a less related task (for example, from CNN trained on ImageNet to train CNN for image-to-document-level-sub-topic model). Examples of classification accuracy traces during training using CNNs from random initialization, transfer learning from CNN trained on ImageNet and transfer learning from higher level image-to-topic model to lower level image-to-topic models are shown in Figure 7. Similar findings that deep CNN features can be generalized across different image modalities have been reported by Gupta et al. (2014, 2013) but are empirically verified with only much smaller data sets than ours. Our key image data set is about one-fifth the size of ImageNet (Russakovsky et al., 2014) and is the largest annotated medical image data set to date.

From Figure 7 we can see that: (1) CNN testing accuracy quickly increases from ~0% to 50+% in roughly 1600 iterations due to the unbalanced data distribution among classes in document-level; (2) A more complex, deeper CNN model (VGG-Net) performs better than the model which already is a good benchmark (AlexNet), but only when starting from a good initialization (that is, pre-training via ImageNet models); (3) Fine-tuning from a more closely related task CNN model is even better than fine-tuning from less related task model ($\text{alexnet_tp80_h2_start_tp80h1} > \text{alexnet_tp80_h2_start_imagenet}$).

With these findings, we train our CNN models with transfer-learning by default for the remaining parts of our study. All the CNN layers except the newly modified ones are

initialized with the weights of a previously trained related model and trained with a new task with a low learning rate of 0.001. The modified layers with a new number of classes are initialized randomly, and their learning rates are set with a higher learning rate of 0.01. All the key images are re-sampled to a spatial resolution of 256×256 pixels. Then we follow the approach of Simonyan and Zisserman (2014) to crop the input images from 256×256 to 227×227 for training.

4.3 Classification Results and Discussion

We would expect that the level of difficulties for learning and classifying the images into the LDA-induced topics will be different for each semantic level. Low-level semantic classes can have key images of axial/sagittal/coronal slices with position variations and across MRI/CT modalities. Mid- to high-level concepts all demonstrate much larger within-class variations in their visual appearance since they are diseases occurring within different organs and are only coherent at high-level semantics. Table 3 provides the top-1 and top-5 testing in classification accuracies for each level of topic models using AlexNet (Krizhevsky et al., 2012), and VGG-16&19 Simonyan and Zisserman (2014) based deep CNN models.

All top-5 accuracy scores are significantly higher than top-1 values, for example, increasing from 0.658 to 0.946 using VGG-19, or 0.607 to 0.929 via AlexNet in document-level. This indicates that the classification errors or fusions are not uniformly distributed among other false classes. Latent “blocky subspace of classes” may exist in our discovered label space, where several topic classes form a tightly correlated subgroup. The confusion matrices in Figure 8 verify this finding.

It is shown that the deeper models (VGG-16&19) perform consistently better than the shallower 8-layer model (AlexNet) in classification accuracy, especially for document-level sub-topics. While the images of some topic categories and some body parts are easily distinguishable as shown in Figure 4, the visual differences in abdominal parts are rather subtle as in Figure 5. Distinguishing the subtleties and high-level concept categories in the images could benefit from a more complex model so that the model can handle these subtleties.

It is also noticeable that VGG-16&19 models require significantly more computational resource and time to train than the shallower model. Table 4 shows the memory consumption and time required to train the CNN models for the image-to-sentence-level-topic model with up to 70,000 iterations using the NVidia Tesla K40 GPU. However, comparing VGG-16 and VGG-19, three additional convolutional layers seem to have contributed to raise the top-5 accuracies by a small amount ($\sim 2\%$), which is coherent with the results reported by Simonyan and Zisserman (2014) for object recognition task on the ImageNet data set.

Compared with the ImageNet 2014 results, top-1 error rates are moderately higher (34% versus 30%) and top-5 test errors (6% – 8%) are comparable. In summary, our quantitative results are very encouraging, but there also exist some uncertainties in annotations because labels stem from an unsupervised learning algorithm. Multi-level semantic concepts show good image learnability by deep CNN models which shed light on the feasibility of automatically parsing very large-scale radiology image databases.

	AlexNet 8-layers		VGG 16-layers		VGG 19-layers	
	top-1	top-5	top-1	top-5	top-1	top-5
document-level	0.61	0.93	0.66	0.93	0.66	0.95
document-level-h2	0.33	0.56	0.54	0.70	0.54	0.70
sentence-level	0.48	0.56	0.50	0.56	0.50	0.58

Table 3: Top-1, top-5 test classification accuracies for image to document-level topics, document-level sub-topics (document-level-h2) and sentence-level topics, using AlexNet (Krizhevsky et al., 2012), and VGG-16&19 (Simonyan and Zisserman, 2014) deep CNN models.

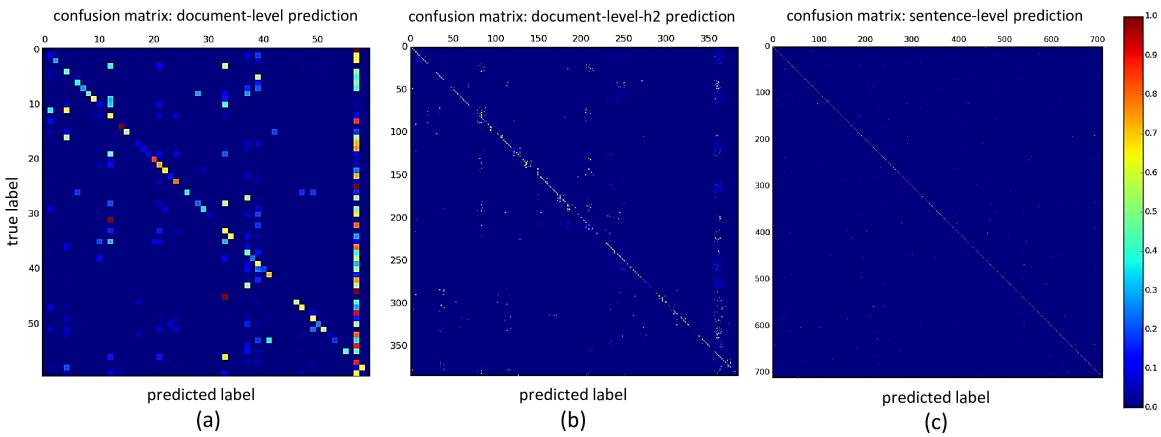


Figure 8: Confusion matrices of (a) document-level topic, (b) document-level sub-topic (document-level-h2), and (c) sentence- level classification Simonyan and Zisserman (2014) ((b) and (c) can be viewed best in electronic version of this document).

5. Generating Image-to-Text Description

The image-to-topic mapping in Section 4 is a promising first step towards automated interpretation of medical images in large scale. However, generating image descriptions as in Lampert et al. (2014); Scheirer et al. (2012); Kulkarni et al. (2013) will be more readily interpretable than outputting a class label of different document topic levels. In addition, key words in the topics can help to understand the semantic contents of a given image with more semantic meaning. We therefore propose to generate relevant key-word text descriptions similarly to Kulkarni et al. (2013), using deep language/image CNN models.

5.1 Word-to-Vector Modeling and Removing Word-Level Ambiguity

In radiology reports, there exist many recurring word morphisms in text identification, for example, [mr, mri, t1-/t2-weighted] (natural language expressions for imaging modalities of magnetic resonance imaging (MRI)), [cyst, cystic, cysts], [tumor, tumour, tumors, metas-

	AlexNet 8-layers	VGG 16-layers	VGG 19-layers
time	4 hours 35 mins	3 days 2 hours	4 days 40 mins
memory	~1.4 GBytes	~10 GBytes	~11 GBytes

Table 4: Training times for the CNN models used to reach 70,000 iterations, and their memory consumption, using Caffe framework (Jia et al., 2014) on NVidia Tesla K40 GPU.

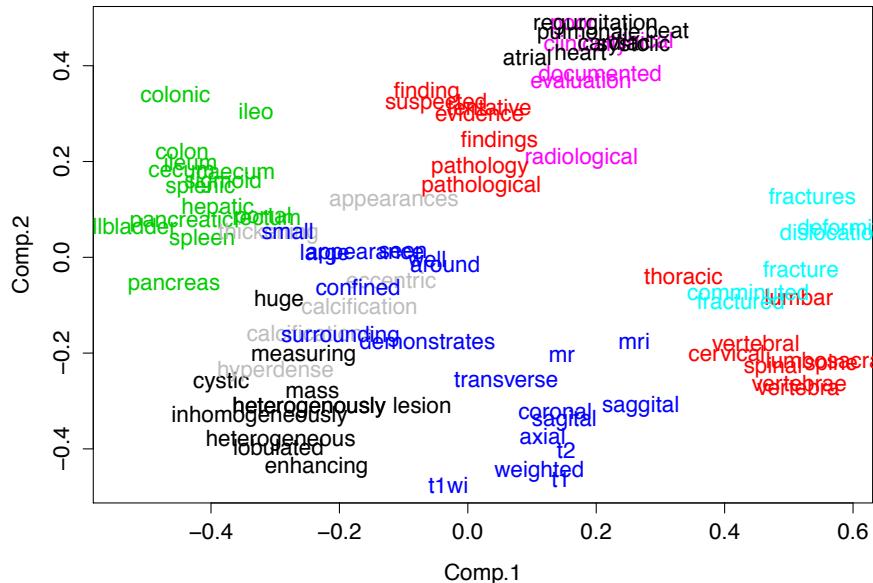


Figure 9: Example words embedded in the vector space using word-to-vector modeling (<https://code.google.com/p/word2vec/>) visualized on 2D space, showing (clinical) words with similar meanings are located nearby in the vector space (colors are used to highlight these in visualization).

tasis, metastatic], etc. We train a deep word-to-vector model of Mikolov et al. (2013c,b,a) to address this word-level labeling space ambiguity while also transforming the words to vectors. A total of 1.2 billion words from our radiology reports as well as from biomedical research articles obtained from OpenI ([ope: `http://openi.nlm.nih.gov`](http://openi.nlm.nih.gov)) are used. Words with similar meaning are mapped or projected to closer locations in the vector space than dissimilar ones. An example visualization of the word vectors on a two-dimensional space using principal component analysis is shown in Figure 9.

A skip-gram model of Mikolov et al. (2013a,b) is employed with the mapping vector dimension of $\mathbb{R}^{256 \times 1}$ per word, trained using the *hierarchical softmax* cost function, the sliding-window size of 10 and frequent words sub-sampled in the frequency of 0.01. It is found that combining an additional, more diverse set of related documents such as OpenI

<i>~1.2 billion words with OpenI</i>							
<i>"cyst"</i>		<i>"heart"</i>		<i>"brain"</i>		<i>"liver"</i>	
cysts	0.799191	cardiac	0.672690	hemisphere	0.684149	hepatic	0.764163
hydatid	0.734686	respiratory	0.644453	hemispheric	0.668626	spleen	0.683242
cystic	0.701855	beat	0.642630	cerebellum	0.663902	cirrhotic	0.664428
unilocular	0.654273	pressure	0.558879	whole	0.661564	cirrhosis	0.664262
tailgut	0.639764	murmur	0.551323	regions	0.647632	hcc	0.656473
nonparasitic	0.621647	systolic	0.548490	mri	0.646674	portal	0.610437
epidermoid	0.604492	pericardial	0.538957	structural	0.638171	hepatocellular	0.603930
lipoma	0.588372	dobutamine	0.537429	neuroanatomical	0.636563	parenchyma	0.597169
cheesy	0.586947	intracardiac	0.533799	crinion	0.626951	splenic	0.579957
multiloculated	0.584199	great	0.532735	in	0.626707	hepatomegaly	0.573687
pearly	0.583126	rate	0.531352	parasagittal	0.618392	tumor	0.571135
multilocular	0.582670	beats	0.524729	illustration	0.610440	abdomen	0.559092
lesion	0.579009	atrial	0.524052	striatal	0.609282	hepatectomy	0.556156
tgdc	0.578533	tachycardia	0.521093	brains	0.607442	bclc	0.546798
multiseptate	0.575851	minute	0.520249	behavioral	0.606803	subcapsular	0.542745
<i>~1 billion words reports only</i>		<i>~1 billion words reports only</i>		<i>~1 billion words reports only</i>		<i>~1 billion words reports only</i>	
<i>"cyst"</i>		<i>"heart"</i>		<i>"brain"</i>		<i>"liver"</i>	
cysts	0.768382	lungs	0.526600	t1	0.615066	spleen	0.759884
septated	0.586067	mediastinum	0.517008	mri	0.595027	gallbladder	0.648075
polyp	0.583761	consolidating	0.486605	sagittal	0.580841	hepatomegaly	0.642022
simple	0.534717	pa	0.449816	flair	0.565445	gallstones	0.611837
septation	0.500951	chest	0.433362	t2	0.555053	pancreas	0.608356
parapelvic	0.500877	infiltrates	0.428404	axial	0.554040	gallstone	0.606063
incidental	0.500760	hyperinflated	0.413326	spgr	0.520954	steatosis	0.601081
small	0.487211	cardiomegaly	0.410785	weighted	0.502047	dome	0.594812
cystic	0.477632	hyperlucent	0.400836	technique	0.487768	portal	0.570008
pole	0.471933	pectus	0.396142	astrocytoma	0.480527	ascites	0.551869
multiseptated	0.469851	great	0.395712	gbm	0.476956	hepatosplenomegaly	0.540501
polyps	0.464380	ectatic	0.394560	gradient	0.476593	hepatic	0.537453
exophytic	0.459088	shifted	0.389205	oligodendrogloma	0.465892	cirrhosis	0.530389
hyperdense	0.457558	ray	0.389091	postcontrast	0.463686	fatty	0.522134
mucous	0.448427	infiltrate	0.387224	3d	0.458123	kidneys	0.515252

Figure 10: Word-to-vector models trained on a collection of biomedical research articles (from OpenI ope) and radiology reports, and radiology reports only. Search words (with quotes) and their closest words in vector-space cosine similarity (higher the better) are listed in a descending order.

biomedical research articles, is helpful for the model to learn a better vector representation while keeping all the hyper-parameters the same. Similar findings on unsupervised feature learning models, that robust features can be learned from a slightly noisy and diverse set of input, were reported by Vincent et al. (2010, 2008); Shin et al. (2013). Some examples of query words and their corresponding closest words with respect to cosine similarity for the word-to-vector models (Mikolov et al., 2013c), which are trained on radiology reports only (total of ~ 1 billion words) and with additional OpenI articles (total of 1.2 billion words), are shown in Figure 10.

5.2 Image-to-Description Relation Mining and Matching

The sentence referring to a key image and its adjacent sentences may contain a variety of words, but we are mostly interested in the disease-related terms which are highly correlated to diagnostic semantics. To obtain only the disease-related terms, we exploit the human disease terms and their synonyms from the Disease-Ontology (DO; Schriml et al. (2012)), a

#words/sentence	mean	median	std	max	min
reports-wide	11.70	9	8.97	1014	1
image references	23.22	19	16.99	221	4
image references, no stopwords no digits	13.46	11	9.94	143	2
image references, disease terms only	5.17	4	2.52	25	1

Table 5: Some statistics about number of words per sentence—across the radiology reports (reports-wide), across the sentences identifying the key images and its two adjacent ones (image references) and these not counting stop-words and digits as well as counting disease related words only.

collection of 8,707 unique disease-related terms. While the sentences referring to an image and their adjacent sentences have 50.08 words on average, the number of disease-related terms in the three consecutive sentences is 5.17 on average with a standard deviation of 2.5. Therefore, we chose to use bi-grams for the image descriptions, to achieve a good trade-off between the medium level complexity without neglecting too many text-image pairs. Some statistics about the number of words in the documents are shown in Table 5.

Bi-gram disease terms are extracted so that we can train a deep CNN model in Section 5.3 to predict the vector-/word- level image representation of $\mathbb{R}^{256 \times 2}$. If multiple bi-grams can be extracted per image from the sentence referring the image and the two adjacent ones, the image is trained as many times as the number of different bi-grams with different target vectors ($\mathbb{R}^{256 \times 2}$). If a disease term cannot form a bi-gram, then the term is ignored, where the process is illustrated in Figure 11. This is a challenging *weakly annotated learning* problem using referring sentences for labels. The bi-grams of DO disease-related terms in the vector representation of $\mathbb{R}^{256 \times 2}$ are somewhat analogous to the work of Kulkarni et al. (2013) detecting multiple objects of interest and describing their spatial configurations in the image caption. A deep regression CNN model is employed here, to map an image to a continuous output word-vector space from an image. The resulting bi-gram vector can be matched against a reference disease-related vocabulary in the word-vector space using cosine similarity.

5.3 Image-to-Words Deep CNN Regression

To learn the image-to-text representation, we map the images to the vectors of word sequences describing the image. This can be formulated as a regression CNN, replacing the softmax cost in Section 4 with the cross-entropy cost function for the last output layer of VGG-19 CNN model (Simonyan and Zisserman, 2014):

$$E = -\frac{1}{n} \sum_{n=1}^N [g(\mathbf{z})_n \hat{g}(\bar{\mathbf{z}}_n) + (1 - g(\mathbf{z}_n)) \log(1 - g(\hat{\mathbf{z}}_n))], \quad (2)$$

where \mathbf{z}_n or $\hat{\mathbf{z}}_n$ is any uni-element of the target word vectors \mathbf{Z}_n or optimized output vectors $\hat{\mathbf{Z}}_n$, $g(x)$ is the sigmoid function ($g(x) = 1/(1 + e^x)$), and n is the number of samples in the database.

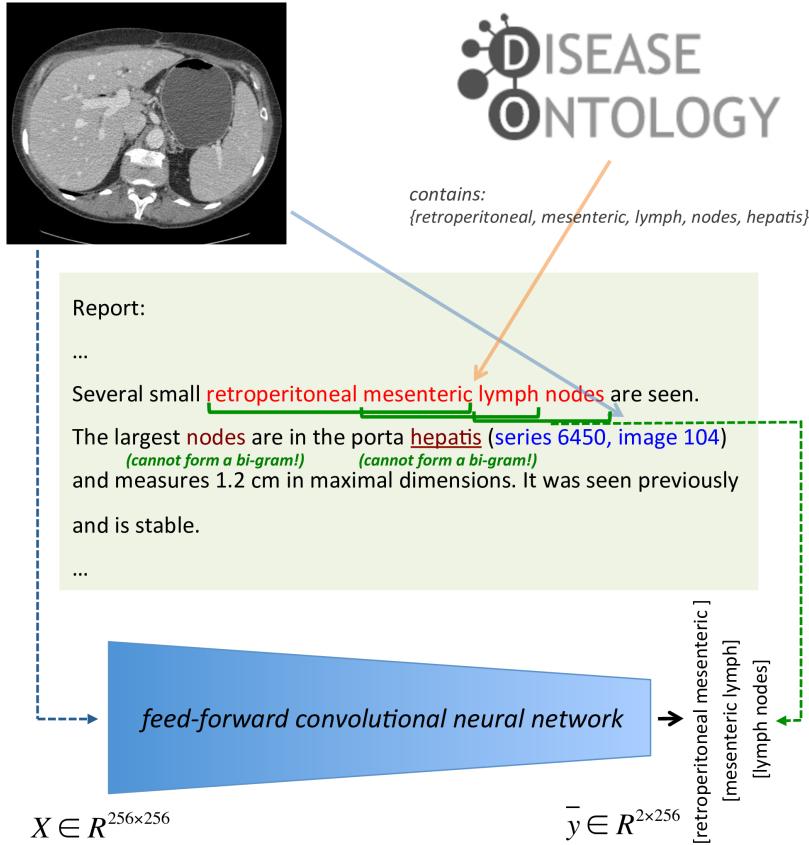


Figure 11: Illustration of how word sequences are learned for an image. Bi-grams are selected from the image’s reference sentences containing disease-related terms from the disease ontology (DO; Schriml et al. (2012)). Each bi-gram is converted to a vector of $Z \in \mathbb{R}^{256 \times 2}$ to learn from an image. Image input vectors as $\{X \in \mathbb{R}^{256 \times 256}\}$ are learned through a CNN by minimizing the cross-entropy loss between the target vector and output vector. The words “nodes” and “hepatitis” in the second line are DO terms but are ignored since they can not form a bi-gram. The DO logo is reproduced with permission from <http://disease-ontology.org>.

We adopt the CNN model of Simonyan and Zisserman (2014) for the image-to-text representation since it works consistently better than the other relatively simpler model of Krizhevsky et al. (2012) in our image-to-topic mapping tasks. We fine-tune the parameters of the CNNs for predicting the topic-level labels in Section 4 with the modified cost function, to model the image-to-text representation instead of classifying images into categories. The newly modified output layer has 512 nodes for bi-grams as 256 nodes for each word in a bi-gram.

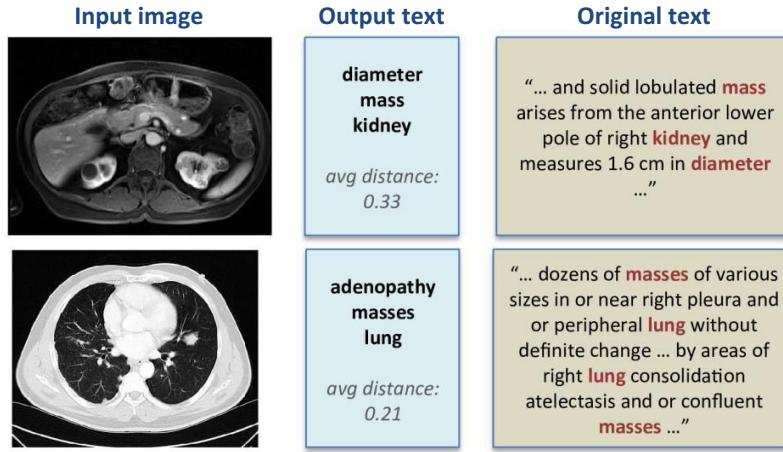


Figure 12: Examples of text key-word generation results, and average cosine distances between the generated words from the disease-related words in the original texts. The word “diameter” appears in the original radiology report of the first image, but not much can be derived by the word only. The rate of predicted disease-related words matching the actual words in the report sentences (recall-at-K, K=1 (R@1 score)) on test set is 0.56.

5.4 Key-Word Generation from Images and Discussion

For any key image in testing, first, we predict its topics of three levels (document-level, document-level sub-topics, sentence-level) using the three deep CNN models of Simonyan and Zisserman (2014) in Section 4. Top 50 key-words in each LDA document-topics are mapped into the word-to-vector space of multivariate variables in $\mathbb{R}^{256 \times 1}$ (Section 5.1). Then, the image is mapped to a $\mathbb{R}^{256 \times 2}$ output vector using the bi-gram CNN model in Section 5.3. Lastly, we match each of the 50 topic key-word vectors of $\mathbb{R}^{256 \times 1}$ against the first and second half of the $\mathbb{R}^{256 \times 2}$ output vector using cosine similarity. The closest key-words at three levels of topics (with the highest cosine similarity against either of the bi-gram words) are kept per image.

The rate of predicted disease-related words matching the actual words in the report sentences of test set (recall-at-K, K=1 (R@1 score)) is 0.56. Two examples of key-word generation are shown in Figure 12, with three key-words from three categorization levels per image. We only report R@1 score on disease-related words compared to the previous works of Karpathy et al. (2014); Frome et al. (2013), where they report from R@1 up to R@20 on the entire image caption words (for example, R@1=0.16 on Flickr30K data set by Karpathy et al. (2014)). As we use NLP to parse and extract image-describing sentences from the whole radiology reports, our ground-truth image-to-text associations are much noisier than the caption data set used by Frome et al. (2013); Karpathy et al. (2014). Also for that reason, our generated image-to-text associations are not as exact as the generated descriptions by Frome et al. (2013); Karpathy et al. (2014).

5.4.1 DISCUSSION

Generating key-words for images by CNN regression shows good feasibility for automated interpretation of patient images. The generated key-words describe what to expect from the given image, although sometimes unrelated words can be generated too. Finding and understanding the relations between the generated words will be the next step to explore, for example via more thorough text mining using sophisticated NLP parsing as by Li et al. (2011) and combining them with the specific frequent disease prediction in the next section.

6. Predicting Presence or Absence of Frequent Disease Types

While the key-words generation in Section 5 can aid the interpretation of a patient scan, the generated key-words, for example, “spine”, “lung”, are not very specific to a disease in an image. Nonetheless, one of the ultimate goals for large-scale radiology image/text analysis would be to automatically diagnose disease from a patient scan. In order to achieve the goal of automated disease detection, we add an additional pipeline of mining disease words rather than disease-related words using radiology semantics and predicting these in an image using CNNs with softmax cost-function.

6.1 Mining Presence/Absence of Frequent Disease Terms

The disease names in Disease Ontology (DO) contains not only disease terms but also non-disease terms as well describing a disease. Some examples of disease names in DO containing non-disease terms are “occlusion of gallbladder” (DOID: 9714), “acute diarrhea” (DOID: 0050140), “strawberry gallbladder” (DOID: 10254), and “exocrine pancreatic insufficiency” (DOID: 13316). Nonetheless, it is rare that “occlusion of gallbladder” or “exocrine pancreatic insufficiency” is described in radiology reports exactly that way, making it difficult to mine specific disease terms with presence or absence.

The Unified Medical Language System (UMLS) of Lindberg et al. (1993); Humphreys et al. (1998) integrates and distributes key terminology, classification and coding standards, and associated resources to promote the creation of more effective and inter-operable biomedical information systems and services, including electronic health records. It is a compendium of many controlled vocabularies in the biomedical sciences, created in 1986 and maintained by the National Library of Medicine.

The Metathesaurus (Schuyler et al., 1993) forms the base of the UMLS and comprises over 1 million biomedical concepts and 5 million concept names, where all of them are collected from the over 100 incorporated controlled vocabularies and classification systems. The Metathesaurus is organized by concept, where each concept has specific attributes defining its meaning and is linked to the corresponding concept names. The Metathesaurus has 133 semantic types that provide a consistent categorization of all concepts represented in it. Among the 133 semantic types we chose to focus on “T033: finding” and “T047: disease or syndrome”, as they seemed most relevant to be disease specific. Examples of some other semantic types we do not focus on this study are: “T017: anatomical structure”, “T074: medical device”, and “T184: sign or symptom”.

RadLex (Langlotz, 2006) is a unified language to organize and retrieve radiology imaging reports and medical records. While the Metathesaurus has a vast resource of biomedical

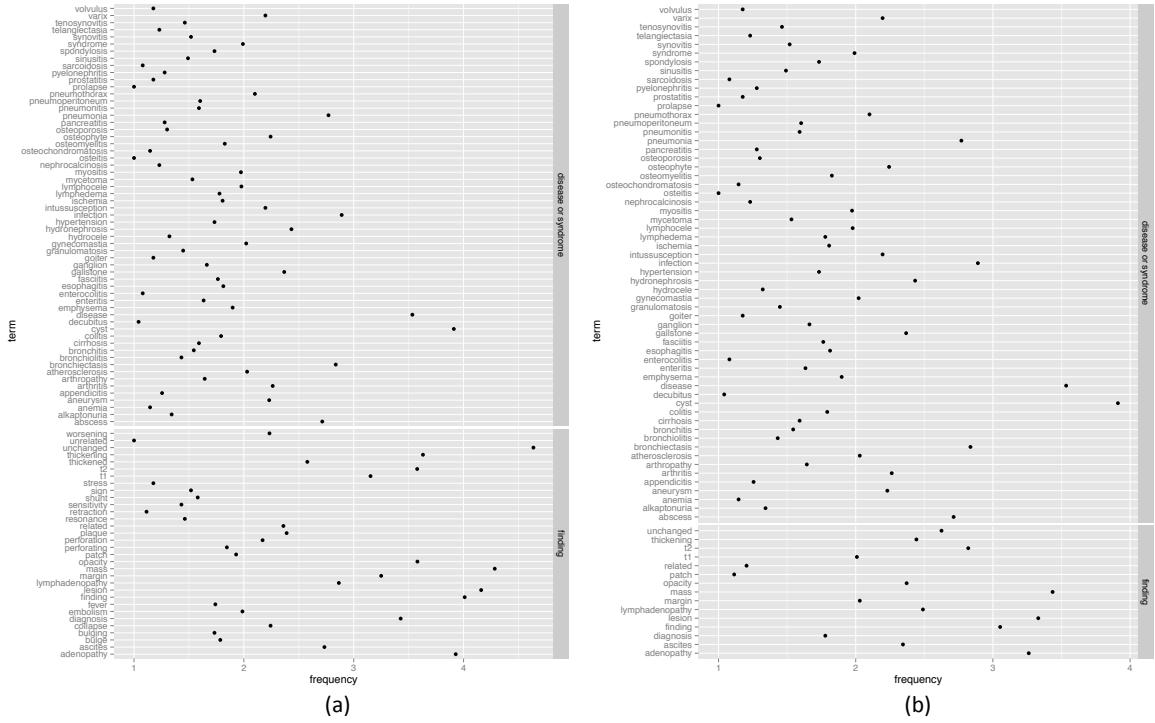


Figure 13: Number of occurrences (frequencies) of semantic terms “T033: finding” and “T047: disease or syndrome” in UMLS Metathesaurus and also appearing in RadLex, detected as (a) assertion and (b) negation in the radiology reports. Frequencies are shown in \log_{10} scale.

concepts, we also use RadLex to confine our disease-term-mining more specifically to radiology related terms. The mined words are one-word terms appearing in the “T033: finding” and “T047: disease or syndrome” of the UMLS Metathesaurus appearing also in RadLex (RadLex is not a subset of Metathesaurus).

We are not only interested in disease terms associated with an image, but also whether the disease mentioned is present or absent. After detecting semantic terms of “T033: finding” and “T047: disease or syndrome”, we use the assertion/negation detection algorithm of Chapman et al. (2001, 2013) to detect presence and absence of disease terms. The algorithm of Chapman et al. (2001, 2013) locates trigger terms which can indicate a clinical condition as negated or possible and determines which text falls within the scope of the trigger terms. The number of occurrences “T033: finding” and “T047: disease or syndrome” detected as assertion or negations in radiology reports are shown in Figure 13.

While the assertion/negation detection of “T047: disease or syndrome” seemed specific enough, the detection of “T033: finding” was not. For example, it seemed difficult to derive any specific disease information from 43,219 occurrences of possible “unchanged” and 422 occurrences of negated “unchanged”. Some other similar examples are: 10,236 occurrences of possible “finding” and 1,129 occurrences of negated “finding”; 3,781 occurrences

# images		per image mean/std		# assertions per image		# negations per image	
total matching	18291	# assertions mean	1.05	1/image	16133	1/image	1581
total not matching	197495	# negations mean	1.05	2/image	613	2/image	84
with assertions	16827	# assertions std	0.23	3/image	81	3/image	0
with negations	1665	# negations std	0.22	4/image	0	4/image	0

Table 6: Some statistics of images-to-disease presence/absence label matching.

of possible “t2” (an MRI image modality) and 661 occurrences of negated “t2”. We therefore decided to focus on “T047: disease or syndrome” terms only, and further ignored the terms which occurred less than 10 times in the whole radiology reports. The total number of “T047: disease or syndrome” terms for detecting their presence are 59, and the total number of the terms for detecting their absence are 18.

6.2 Predicting Disease in Images using CNN

Similarly to the object detection task in the ImageNet challenge, we match and detect disease terms found in the sentence of radiology reports referring the image using CNN and softmax cost function.

In addition to assigning disease terms to images, we also assign negated disease terms as the absence of the diseases in the images. The total number of labels is 77 (59 present, 18 absent). If more than one disease term is mentioned for an image, we simply assign the terms multiple times for an image. Some statistics on the number of assertion/negation occurrences per image are shown in Table 6.

As we found in Section 4.2 that transfer learning from the most related model is helpful, we fine-tune the image-to-topic CNN model for the disease prediction model. For this task, we fine-tune from the image to sentence-level-topic (h3) model in Section 4, as the image-to-sentence-level-topic seems to be most closely related to the image-to-disease-specific-terms model. Similarly to Section 4, 85% of image-label pairs are used for training, 5% for validation, and 10% for testing.

6.3 Prediction Result and Discussion

With the CNN trained to model image to disease presence/absence prediction, the top-1 test accuracy achieved is 0.71, and top-5 accuracy is 0.88. We combine this with the previous image-to-topic mapping and key-word generation (Section 5.4) to generate the final output for comprehensive image interpretation. Some examples of test cases where top-1 probability output matches the originally assigned disease labels are shown in Figure 14. It is noticeable that specific disease words are detected with high probability when there is one disease word per image, but with relatively lower top-1 probability for one disease word and other words within the top-5 probabilities (Figure 14 (b)—“... infection abscess”).

We also observe that automatic label assignment to images can sometimes be challenging. In Figure 14 (d) “cyst” is assigned as the correct label based on the original statement “... possibly due to cyst ...”, but it would be unclear whether cyst will be present in the image (and the cyst is not visibly apparent). It applies similarly to Figure 14 (e) where the

presence of “osteophyte” is not clear from the referring sentence but is assigned as the correct label (and osteophyte is not visibly apparent on the image). In Figure 14 (f) “no cyst” is labeled and predicted correctly, but it is not obvious what to derive from this prediction that indicates an absence of a disease versus a presence.

Some examples of test cases where top-1 probability does not match the originally assigned labels are shown in Figure 15. Four ((a),(c),(e),(f)) of the six examples, however, contain the originally assigned label in the top-5 probability predictions, which is coherent with the relatively high (88%) top-5 prediction accuracy.

Here again, Figure 15 (a) is automatically labeled as “cyst”, but the cyst is not clearly visible on the image where the original statement “... too small to definitely characterize cyst ...” supports this. The example of Figure 15 (b) shows a failed case of assertion/negation algorithm, where “cyst” is detected as negated based on the statement “... small cyst”. Nonetheless, true label (“cyst”) is detected as its top-1 probability. For Figure 15 (c) “cyst” is predicted where the true label assigned was “abscess”; however cyst and abscess are sometimes visibly similar. Similarly to Figure 14 (d), it is unclear whether we should expect to find emphysema in the image from the statement such as “... possibly due to emphysema” (and emphysema is not visibly present). Therefore, it would be challenging to correctly interpret such statement for label assignment. Figure 15 (e) shows a disease which can be bronchiectasis, but it is also unclear from the image. However, bronchiectasis is predicted with the second highest probability. Bronchiectasis is visible in Figure 15 (f), and it was predicted with second highest probability too.

6.3.1 DISCUSSION

Automated mining of disease-specific terms with semantics enables us to predict disease more specifically with promising result. However, compared to image-to-topic modeling in Section 4 where image labeling was based on topic modeling and loose coupling of image-to-keyword pairs, by matching the images to more specific disease words we lose about 90% of the images for the analysis due to nonspecific original statements. The proportion of the cases where radiologists indicate a disease as strongly positive or negative is often much less than the cases where they describe a finding rather vaguely. By mining and assigning the semantic label “T033: finding” will yield us more image to specific-disease-label pairs. However, it is probably less specific to model an image with a generic term as “mass” (which is a more vague indication of a specific disease such as “cyst” or “tumor”) and detecting it than modeling and detecting an image with a more specific term as “cyst” (similarly to “finding” or “unchanged”).

It is a compromise between whether to go for big data and loose labels or to go for smaller data and more accurate labels. The key-word generation from the rather loose labeling scheme enables us to use most of the available 216K images. While the generated key-words can help understand the contents of the image, sometimes they are not specific and can also be irrelevant. More specific mining and assignment of specific disease labels to images could provide more accurate and precise disease prediction; however, only about 10% of the total images are made available by this scheme. Another alternative is to obtain annotation by radiologists to be even more specific, but the amount of data available will be even smaller due to the time and cost limitations.

Input image	Generated key-words	Disease detection	Original text
(a)	originating effusion upper avg distance 0.14	label: cyst cyst: 0.999 no cyst: 2.24e-05 disease: 1.54e-05 gallstone: 5.32e-07 hydronephrosis: 3.48e-07	2 multiple clip artifacts indicative of previous surgery in the left abdominal wall and left retroperitoneum about the kidney 3 in the upper abdomen non enhancing well defined foci of high signal intensity on t2 weighted images consistent with cysts one about a centimeter at the left renal splenic interface series 501 image 19 the other less than 5 mm in the periphery of the right kidney series 501 image 12 4 multiple gallstones
(b)	susceptibility findings tibialis avg distance 0.20	label: abscess abscess: 0.663 infection: 0.103 osteochondromatosis: 0.037 synovitis: 0.032 cyst: 0.026	... for example series 701 image 12 and series 401 image 27 with findings suggesting minimally enhancing rim laterally for example series 1101 image 21 may ... the findings suggest a fluid collection with ... the location suggests possibility of a synovial collection synovial thickening as the appearance is nonspecific correlation with clinical findings is recommended regarding the possibility of an infection abscess
(c)	basal fasciitis findings avg distance 0.31	label: myositis myositis: 0.996 fasciitis: 0.002 tenosynovitis: 0.002 lymphedema: 1.30e-05 no myositis: 2.84e-06	images were obtained of both thighs including stir scans findings include 1 areas of slight increase in signal intensity in some muscles on the stir scan more apparent on the left than the right for example series 4 image 13 the left hamstrings and vastus medialis consistent with myositis 2 no evidence of gross fatty infiltration of the muscles
(d)	anterior effusion renal avg distance 0.34	label: cyst cyst: 0.709 lymphocele: 0.120 no gallstone: 0.050 syndrome: 0.020 pyelonephritis: 0.016	adrenal glands 1.2 mm lower right kidney focus e.g series 3 image 63 possibly due to cyst no evidence of pleural effusion splenomegaly hydronephrosis calcification in gallbladder or kidneys or definite adrenal mass or calcification
(e)	subclavian effusion hairy avg distance 0.20	label: osteophyte osteophyte: 0.472 disease: 0.207 gynecomastia: 0.098 no hydronephrosis: 0.034 pneumothorax: 0.028	history lymphoma restaging chest subcentimeter right apex lung cavity series 921780 image 11 unchanged since xx/xx/xxxx spine osteophytes no evidence of pleural or pericardial effusion bulky axilla mediastinum or hilum adenopathy or lung mass or infiltrate
(f)	subclavian effusion upper avg distance 0.36	label: no cyst no cyst: 0.488 cyst: 0.425 no hydronephrosis: 0.048 spondylosis: 0.003 aneurysm: 0.003	the left kidney is essentially unchanged the right kidney however shows two new approximately 2 cm masses series 2 image 69 and series 2 image 74 these are not obviously cysts and given the patient's diagnosis lymphoma involving right kidney is suggested the liver shows several metallic sutures along the right lobe

Figure 14: Some examples of final outputs for automated image interpretation, where top-1 probability matches the originally assigned label. Generated key-words appearing in the original text in radiology reports mentioning the image are shown in bold brown, specific disease words assigned as label mentioned in the reports are shown in bold red, and disease words predicted with top-5 probability in the reports are shown in bold blue. The probability assigned to the originally assigned label is shown with a red bar, and the other top-5 probabilities are shown with blue bars. Disease region identified in an image is pointed by arrow.

Input image	Generated key-words	Disease detection	Original text
(a)	pelvic nodules punctate avg distance 0.40	label: cyst abscess: 0.489 disease: 0.295 cyst: 0.078 aneurysm: 0.051 pneumoperitoneum: 0.023	4 evidence of splenectomy with postoperative changes including clips 5 subcentimeter low attenuation liver focus too small to definitively characterize cyst series 2 image 66 6 no evidence of developing noncalcified pulmonary nodule renal mass
(b)	developmen- t pelvic luxation avg distance 0.27	label: no cyst cyst: 0.995 ischemia: 0.001 gallstone: 0.001 cirrhosis: 0.001 no hydronephrosis: 0.001	2.9 cm right adrenal mass left adrenal atrophy 2 no evidence of renal lesion save for a 5 mm focus of bright signal intensity at the cortical surface of the upper pole of the left kidney on the t2 weighted scan image 12 series 5 consistent with small cyst
(c)	concomitant from findings avg distance 0.32	label: abscess cyst: 0.999 disease: 4.60e-05 no pneumothorax: 7.06e-06 abscess: 5.25e-06 no cyst: 3.81e-06	findings the uterus and adnexae are within normal limits again seen is a small right perirectal abscess and fistula extending to the right perineum with slight decrease size of a component of this fistulous tract at the level of the perineum that previously measured approximately 1.6 cm
(d)	node effusion upper avg distance 0.31	label: emphysema disease: 0.973 no gallstone: 0.013 osteophyte: 0.005 arthritis: 0.005 no cyst: 0.001	chest minimal left supraclavicular fossa adenopathy or small lymph node e.g series 2 image 7 probably unchanged since xx/xx/xxxx poorly defined bilateral upper lung radiolucencies unchanged possibly due to emphysema spine degenerative change
(e)	bronchopu- lmonary effusion one avg distance 0.20	label: disease cyst: 0.441 bronchiectasis: 0.138 infection: 0.075 aneurysm: 0.068 disease: 0.044	there is a small right pericardial effusion that is grossly stable there is increased airspace disease with air bronchograms within the posterior medial aspect of the right upper lung series 4 image 26 this has increased compared to the prior study and may represent infectious etiology or increasing scarring
(f)	multifocal upper effusion avg distance 0.57	label: bronchiectasis disease: 0.700 bronchiectasis: 0.287 cyst: 0.007 infection: 0.002 no cyst: 0.001	there remains right upper lobe bronchiectasis and residual mild nodular airspace disease series 2 image 19 anterior right upper lobe lung nodule again noted series 2 image 23 as well as additional middle lobe lingular and bilateral lower lobe bronchiectasis and nodular air space disease no pleural or pericardial effusion

Figure 15: Some examples of final outputs for automated image interpretation where top-1 probability does not match the originally assigned label. One of the top-5 probabilities match the originally assigned labels in the examples of images (a), (c), (d), and (f). None of the top-5 probabilities match the originally assigned labels in the examples of image (b) and (d). However, label assignment of second row example is incorrect, as a failed case of assertion/negation detection algorithm used. Nonetheless, the CNN predicted “true” label correctly (“cyst”).

Consequently, utilizing bigger data will enable us to make a more generalizable model, but labeling will become more challenging as the amount of data gets bigger and becomes more heterogeneous. The compromise between the amount of data and the quality of labels seems to be a recurring dilemma probably in the majority of the automated mining in big data applications. More advanced NLP techniques and comprehensive analysis of hospital discharge summaries, progress notes, and patient histories might address the need to obtain more specific information relating to an image even when the original image descriptions are not very specific.

7. Conclusion

It has been unclear how to extend the significant success in image classification using deep convolutional neural networks from computer vision to medical imaging. What are the clinically relevant image labels to be defined, how to annotate the huge amount of medical images required by deep learning models, and to what extent and scale the deep CNN architecture is generalizable in the medical image analysis are open questions.

In this paper, we present an interleaved text/image deep mining system to extract the semantic interactions of radiology reports and diagnostic key images at a very large, unprecedented scale in the medical domain. Images are classified into hierarchies of topics according to their associated documents, and a neural language model is learned to assign disease terms to predict what we can expect from the image. However, by generating the “attributes” of patient images, the generated descriptions are not disease-specific, whereas one of the primary goals for medical image analysis is to automatically diagnose diseases. In order to address this issue, we mine and match frequent disease types using disease ontology and semantics, and demonstrate prediction of the presence/absence of disease with probability outputs. Yet, only about 10% of the entire data set could be used for this study due to the challenge of more precisely matching the disease words with semantics. This raises interesting questions regarding the trade-offs in designing a machine learning system analyzing large medical data.

To the best of our knowledge, this is the first study performing a large-scale image/text analysis on a hospital picture archiving and communication system database. Our report-extracted key image database is the largest one ever reported and is highly representative of the huge collection of radiology diagnostic semantics over the last decade. Exploring effective deep learning models on this database opens new ways to parse and understand large-scale radiology image informatics.

We hope that this study will inspire and encourage other institutions in mining other large unannotated clinical databases, to achieve the goal of establishing a central training resource and performance benchmark for large-scale medical image research, similar to the ImageNet of Deng et al. (2009) for computer vision.

Acknowledgments

This work was supported in part by the Intramural Research Program of the National Institutes of Health Clinical Center, and in part by a grant from the KRIBB Research Initiative

Program (Korean Biomedical Scientist Fellowship Program), Korea Research Institute of Bioscience and Biotechnology, Republic of Korea. This study utilized the high-performance computational capabilities of the Biowulf Linux cluster at the National Institutes of Health, Bethesda, MD (<http://biowulf.nih.gov>). We thank NVIDIA for the K40 GPU donation.

References

- Openi - an open access biomedical image search engine. <http://openi.nlm.nih.gov>. Lister Hill National Center for Biomedical Communications, U.S. National Library of Medicine.
- K. Barnard, P. Duygulu, D. Forsyth, N. Freitas, D. Blei, and M. Jordan. Matching words and pictures. *JMRL*, 3:1107–1135, 2003.
- Tamara L Berg, Alexander C Berg, and Jonathan Shih. Automatic attribute discovery and characterization from noisy web data. In *Computer Vision–ECCV 2010*, pages 663–676. Springer, 2010.
- Steven Bird, Ewan Klein, and Edward Loper. *Natural language processing with Python*. O'Reilly Media, Inc., 2009.
- D. Blei and M. Jordan. Modeling annotated data. In *ACM SIGIR*, 2003.
- David M Blei, Andrew Y Ng, and Michael I Jordan. Latent dirichlet allocation. *Journal of machine Learning research*, 3:993–1022, 2003.
- Luke Carrivick, Sanjay Prabhu, Paul Goddard, and Jonathan Rossiter. Unsupervised learning in radiology using novel latent variable models. In *CVPR*, 2005.
- Wendy W Chapman, Will Bridewell, Paul Hanbury, Gregory F Cooper, and Bruce G Buchanan. A simple algorithm for identifying negated findings and diseases in discharge summaries. *Journal of biomedical informatics*, 34(5):301–310, 2001.
- Wendy W Chapman, Dieter Hilert, Sumithra Velupillai, Maria Kvist, Maria Skeppstedt, Brian E Chapman, Michael Conway, Melissa Tharp, Danielle L Mowery, and Louise Deleger. Extending the negex lexicon for multiple languages. *Studies in health technology and informatics*, 192:677, 2013.
- Jia Deng, Wei Dong, Richard Socher, Li-Jia Li, Kai Li, and Li Fei-Fei. Imagenet: A large-scale hierarchical image database. In *Computer Vision and Pattern Recognition*, pages 248–255. IEEE, 2009.
- Thomas Deselaers and Hermann Ney. Deformations, patches, and discriminative models for automatic annotation of medical radiographs. *PRL*, 2008.
- Chris Ding, Tao Li, and Wei Peng. Nonnegative matrix factorization and probabilistic latent semantic indexing: Equivalence chi-square statistic, and a hybrid method. In *Proceedings of the national conference on artificial intelligence*, volume 21, page 342. Menlo Park, CA; Cambridge, MA; London; AAAI Press; MIT Press; 1999, 2006.

- Jeff Donahue, Lisa Anne Hendricks, Sergio Guadarrama, Marcus Rohrbach, Subhashini Venugopalan, Kate Saenko, and Trevor Darrell. Long-term recurrent convolutional networks for visual recognition and description. *arXiv preprint arXiv:1411.4389*, 2014.
- Andrea Frome, Gregory Corrado, Jonathon Shlens, Samy Bengio, Jeffrey Dean, Marc'Aurelio Ranzato, and Tomas Mikolov. Devise: A deep visual-semantic embedding model. In *NIPS*, pages 2121–2129, 2013.
- Eric Gaussier and Cyril Goutte. Relation between plsa and nmf and implications. In *Proceedings of the 28th annual international ACM SIGIR conference on Research and development in information retrieval*, pages 601–602. ACM, 2005.
- Mark Girolami and Ata Kabán. On an equivalence between plsi and lda. In *Proceedings of the 26th annual international ACM SIGIR conference on Research and development in information retrieval*, pages 433–434. ACM, 2003.
- Ashish Gupta, Murat Ayhan, and Anthony Maida. Natural image bases to represent neuroimaging data. In *ICML*, 2013.
- S. Gupta, R. Girshick, P. Arbelez, and J. Malik. Learning rich features from rgb-d images for object detection and segmentation. In *ECCV*, 2014.
- Micah Hodosh, Peter Young, and Julia Hockenmaier. Framing image description as a ranking task: Data, models and evaluation metrics. *Journal of Artificial Intelligence Research*, pages 853–899, 2013.
- Thomas Hofmann. Probabilistic latent semantic indexing. In *Proceedings of the 22nd annual international ACM SIGIR conference on Research and development in information retrieval*, pages 50–57. ACM, 1999.
- Betsy L Humphreys, Donald AB Lindberg, Harold M Schoolman, and G Octo Barnett. The unified medical language system an informatics research collaboration. *Journal of the American Medical Informatics Association*, 5(1):1–11, 1998.
- Max Jaderberg, Andrea Vedaldi, and Andrew Zisserman. Deep features for text spotting. In *ECCV*, pages 512–528. 2014.
- Yangqing Jia, Evan Shelhamer, Jeff Donahue, Sergey Karayev, Jonathan Long, Ross Girshick, Sergio Guadarrama, and Trevor Darrell. Caffe: Convolutional architecture for fast feature embedding. *arXiv preprint arXiv:1408.5093*, 2014.
- Andrej Karpathy, Armand Joulin, and Fei Fei F Li. Deep fragment embeddings for bidirectional image sentence mapping. In *Advances in Neural Information Processing Systems*, pages 1889–1897, 2014.
- H. Kiapour, K. Yamaguchi, A. Berg, and T. Berg. Hipster wars: Discovering elements of fashion styles. In *ECCV*, 2014.
- Gunhee Kim, Leonid Sigal, and Eric P Xing. Joint summarization of large-scale collections of web images and videos for storyline reconstruction. In *Computer Vision and Pattern Recognition (CVPR), 2014 IEEE Conference on*, pages 4225–4232. IEEE, 2014.

- Gunhee Kim, Seungwhan Moon, and Leonid Sigal. Joint photo stream and blog post summarization and exploration. In *The IEEE Conference on Computer Vision and Pattern Recognition (CVPR)*, June 2015a.
- Gunhee Kim, Seungwhan Moon, and Leonid Sigal. Ranking and retrieval of image sequences from multiple paragraph queries. In *The IEEE Conference on Computer Vision and Pattern Recognition (CVPR)*, June 2015b.
- Alex Krizhevsky and Geoffrey Hinton. Learning multiple layers of features from tiny images. *Computer Science Department, University of Toronto, Tech. Rep.*, 2009.
- Alex Krizhevsky, Ilya Sutskever, and Geoffrey E Hinton. Imagenet classification with deep convolutional neural networks. In *Advances in neural information processing systems*, pages 1097–1105, 2012.
- G. Kulkarni, V. Premraj, V. Ordonez, S. Dhar, S. Li, Y. Choi, A. Berg, and T. Berg. Babytalk: Understanding and generating simple image descriptions. *IEEE Trans. Pattern Anal. Mach. Intell.*, 35(12):2891–2903, 2013.
- Christoph H Lampert, Hannes Nickisch, and Stefan Harmeling. Attribute-based classification for zero-shot visual object categorization. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 36(3):453–465, 2014.
- Curtis P Langlotz. Radlex: A new method for indexing online educational materials 1. *Radiographics*, 26(6):1595–1597, 2006.
- Daniel D Lee and H Sebastian Seung. Learning the parts of objects by non-negative matrix factorization. *Nature*, 401(6755):788–791, 1999.
- S. Li, G. Kulkarni, T. Berg, A. Berg, and Y. Choi. Composing simple image descriptions using web-scale n-grams. In *ACM CoNLL*, pages 220–228, 2011.
- Tsung-Yi Lin, Michael Maire, Serge Belongie, James Hays, Pietro Perona, Deva Ramanan, Piotr Dollár, and C Lawrence Zitnick. Microsoft coco: Common objects in context. In *Computer Vision–ECCV 2014*, pages 740–755. Springer, 2014.
- Donald A Lindberg, Betsy L Humphreys, and Alexa T McCray. The unified medical language system. *Methods of information in Medicine*, 32(4):281–291, 1993.
- Junhua Mao, Wei Xu, Yi Yang, Jiang Wang, and Alan Yuille. Deep captioning with multimodal recurrent neural networks (m-rnn). *arXiv preprint arXiv:1412.6632*, 2014.
- Tomas Mikolov, Kai Chen, Greg Corrado, and Jeffrey Dean. Efficient estimation of word representations in vector space. *arXiv preprint arXiv:1301.3781*, 2013a.
- Tomas Mikolov, Ilya Sutskever, Kai Chen, Greg S Corrado, and Jeff Dean. Distributed representations of words and phrases and their compositionality. In *Advances in Neural Information Processing Systems*, pages 3111–3119, 2013b.
- Tomas Mikolov, Wen-tau Yih, and Geoffrey Zweig. Linguistic regularities in continuous space word representations. In *HLT-NAACL*, pages 746–751. Citeseer, 2013c.

- George A Miller. Wordnet: a lexical database for english. *Communications of the ACM*, 38(11):39–41, 1995.
- V. Ordonez and T. Berg. Learning high-level judgments of urban perception. In *ECCV*, 2014.
- Vicente Ordonez, Girish Kulkarni, and Tamara L Berg. Im2text: Describing images using 1 million captioned photographs. In *Advances in Neural Information Processing Systems*, pages 1143–1151, 2011.
- Cyrus Rashtchian, Peter Young, Micah Hodosh, and Julia Hockenmaier. Collecting image annotations using amazon’s mechanical turk. In *Proceedings of the NAACL HLT 2010 Workshop on Creating Speech and Language Data with Amazon’s Mechanical Turk*, pages 139–147. Association for Computational Linguistics, 2010.
- Olga Russakovsky, Jia Deng, Hao Su, Jonathan Krause, Sanjeev Satheesh, Sean Ma, Zhiheng Huang, Andrej Karpathy, Aditya Khosla, Michael Bernstein, et al. Imagenet large scale visual recognition challenge. *arXiv preprint arXiv:1409.0575*, 2014.
- W. Scheirer, N. Kumar, P. Belhumeur, and T. Boult. Multi-attribute spaces: Calibration for attribute fusion and similarity search. In *CVPR*, 2012.
- Lynn Marie Schriml, Cesar Arze, Suvarna Nadendla, Yu-Wei Wayne Chang, Mark Mazaitis, Victor Felix, Gang Feng, and Warren Alden Kibbe. Disease ontology: a backbone for disease semantic integration. *Nucleic acids research*, 40(D1):D940–D946, 2012.
- Peri L Schuyler, William T Hole, Mark S Tuttle, and David D Sherertz. The umls metathesaurus: representing different views of biomedical concepts. *Bulletin of the Medical Library Association*, 81(2):217, 1993.
- Hoo-Chang Shin, Matthew R Orton, David J Collins, Simon J Doran, and Martin O Leach. Stacked autoencoders for unsupervised feature learning and multiple organ detection in a pilot study using 4d patient data. *Pattern Analysis and Machine Intelligence, IEEE Transactions on*, 35(8):1930–1943, 2013.
- Karen Simonyan and Andrew Zisserman. Very deep convolutional networks for large-scale image recognition. *arXiv preprint arXiv:1409.1556*, 2014.
- Richard Socher, Milind Ganjoo, Christopher D Manning, and Andrew Ng. Zero-shot learning through cross-modal transfer. In *Advances in Neural Information Processing Systems*, pages 935–943, 2013.
- Keith Stevens, Philip Kegelmeyer, David Andrzejewski, and David Buttler. Exploring topic coherence over many models and many topics. In *Proceedings of the 2012 Joint Conference on Empirical Methods in Natural Language Processing and Computational Natural Language Learning*, pages 952–961. Association for Computational Linguistics, 2012.

- C. Szegedy, W. Liu, Y. Jia, P. Sermanet, S. Reed, D. Anguelov, D. Erhan, V. Vanhoucke, and A. Rabinovich. Going deeper with convolutions. *arXiv preprint arXiv:1409.4842*, 2014.
- Joseph Turian, Lev Ratinov, and Yoshua Bengio. Word representations: a simple and general method for semi-supervised learning. In *Proceedings of the 48th annual meeting of the association for computational linguistics*, pages 384–394. Association for Computational Linguistics, 2010.
- Pascal Vincent, Hugo Larochelle, Yoshua Bengio, and Pierre-Antoine Manzagol. Extracting and composing robust features with denoising autoencoders. In *Proceedings of the 25th international conference on Machine learning*, pages 1096–1103. ACM, 2008.
- Pascal Vincent, Hugo Larochelle, Isabelle Lajoie, Yoshua Bengio, and Pierre-Antoine Manzagol. Stacked denoising autoencoders: Learning useful representations in a deep network with a local denoising criterion. *The Journal of Machine Learning Research*, 11:3371–3408, 2010.
- Oriol Vinyals, Alexander Toshev, Samy Bengio, and Dumitru Erhan. Show and tell: A neural image caption generator. *arXiv preprint arXiv:1411.4555*, 2014.
- Kelvin Xu, Jimmy Ba, Ryan Kiros, Aaron Courville, Ruslan Salakhutdinov, Richard Zemel, and Yoshua Bengio. Show, attend and tell: Neural image caption generation with visual attention. *arXiv preprint arXiv:1502.03044*, 2015.
- Peter Young, Alice Lai, Micah Hodosh, and Julia Hockenmaier. From image descriptions to visual denotations: New similarity metrics for semantic inference over event descriptions. *Transactions of the Association for Computational Linguistics*, 2:67–78, 2014.