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0.0.1 Topic 0: Discovering Top-k periodic high-utility itemsets from uncertain databases

0.0.2 1. Problem Definition

```
[1]: import random
  random.seed(42)
  import datetime
  import heapq
  from dataclasses import dataclass
  from collections import namedtuple
  import os
  import time
  import matplotlib.pyplot as plt
  import psutil
```

```
[2]: class Item:
         Represents an item with a name, utility value, and transaction weighted \Box
      \hookrightarrow utility (TWU).
         Attributes:
              item (str): The name of the item.
              utility (int): The utility value of the item.
              _twu (int): The transaction weighted utility
         Methods:
              twu (property):
                  - Getter: Returns the Transaction Weighted Utility (TWU) of the
       \hookrightarrow item.
                  - Setter: Sets the Transaction Weighted Utility (TWU) of the item.
              __repr__:
                  - Returns a string representation of the item (its name).
                  - Compares two `Item` objects for equality based on their name and \sqcup
       \ominusutility value.
              __hash__:
```

```
- Returns a hash value for the item, allowing it to be used in \square
 \hookrightarrow hash-based collections.
    11 11 11
    def __init__(self, item: str, utility: int):
        self.item = item
        self.utility = utility
        self. twu = 0
    @property
    def twu(self) -> int:
        """int: Gets or sets the Transaction Weighted Utility (TWU) of the item.
 _ """
        return self._twu
    @twu.setter
    def twu(self, value: int) -> None:
        self._twu = value
    def __repr__(self):
        """Returns a string representation of the item (its name)."""
        return f"{self.item}"
    def __eq__(self, other):
        """Checks equality between two items based on their name and utility."""
        if isinstance(other, Item):
            return self.item == other.item and self.utility == other.utility
        return False
    def __hash__(self):
        """Provides a hash value for the item for use in hash-based collections.
 _ II II II
        return hash((self.item, self.utility))
def check_order_condition(a: Item, b: Item) -> bool:
    This function to check two item a > b or not
    Args:
        a (Item): an item
        b (Item): an item
    Returns:
        bool: return \ a > b
    11 11 11
    if a.utility * b.utility < 0:</pre>
        return a.utility < b.utility</pre>
```

```
elif a.utility * b.utility > 0:
        if a.twu == b.twu:
           if a.utility == b.utility:
               return a.item > b.item
           return b.utility > a.utility
       return a.twu > b.twu
   return False
def check_order_item_and_set(item: Item, item_set: set[Item]) -> bool:
    This function is used to check an item > item-set or not.
   Example: a > \{b, c\}?
   Arqs:
       item (Item): an item
       item_set (set[Item]): an item set
   Returns:
       bool: return item > item_set
   for i in item_set:
        if check_order_condition(item, i) == False:
           return False
   return True
class TransItem:
   \hookrightarrow probability.
   Attributes:
        item (Item): The item object representing the item.
        quantity (int): The quantity of the item in the transaction.
       probability (float): The probability of the item's occurrence in the \sqcup
 \hookrightarrow transaction.
   Example:
        transItem1 = TransItem(a, 5, 0.7)
    11 11 11
   def __init__(self, item: Item, quantity: int, probability: float):
       self.item = item
       self.quantity = quantity
       self.probability = probability
```

```
def __repr__(self):
             return f"{self.item},{self.quantity},{self.probability}"
[3]: Periodic = namedtuple("Periodic", ["min_per", "max_per", "avg_per"])
     @dataclass
     class Utilities:
         tid: int
         pro: float
         pu: int
         nu: int
         ru: int
[4]: class Transaction:
             Represents a transaction consisting of multiple items, each associated,
      \hookrightarrow with
              quantity and probability.
             Attributes:
                  id (int): The unique identifier of the transaction.
                  trans_items_list (list[Item]): A list of items included in the ____
      \hookrightarrow transaction.
                  trans_items_dict (dict[Item, tuple[int, float]]): A dictionary ∪
      →mapping each
                      item to its quantity and probability.
             Methods:
                  __repr__():
                      Returns a string representation of the transaction, showing the \Box
      \hookrightarrow ID, items,
                      and their quantities and probabilities.
                  contains_item_set(item_set: set[Item]) -> bool:
                      Checks if the transaction contains all items in the given item_
      ⇔set.
                  qet_quantity_of_item(item: Item) -> int:
                      Returns the quantity of the specified item in the transaction.
                  get_probability_of_item(item: Item) -> float:
                      Returns the probability of the specified item in the
      \hookrightarrow transaction.
                  get_positive_utility_of_trans() -> int:
```

```
Calculates the positive utility of the transaction by summinq_{\sqcup}
⇔the utilities
               of all items with positive utility values.
           get_items() -> set[Item]:
               Returns a set of all items in the transaction.
           get_probability_of_item_set(item_set: set[Item]) -> float:
               Calculates the total probability of a given item set within the ...
\hookrightarrow transaction.
           get_positive_utility_of_item_set(item_set: set[Item]) -> int:
               Calculates the positive utility of the specified item set.
           get_negative_utility_of_item_set(item_set: set[Item]) -> int:
               Calculates the negative utility of the specified item set.
           get_utility_of_item_set(item_set: set[Item]) -> int:
               Calculates the total utility of the specified item set.
           sort_trans_items_by_twu_and_utility() -> None:
               Sorts the items in the transaction based on their TWU and \Box
⇔utility values.
           get_remaining_utility_of_item_set(item_set: list[Item]) -> int:
               Calculates the remaining utility of items in the transaction\Box
\hookrightarrowafter the
               last item in the given item set.
       Private Methods:
           _calculate_utility(item_set: set[Item], condition: callable) -> int:
               A helper method to calculate utility of an item set based on a_
\hookrightarrow specified
               condition applied to the utility values.
       Example:
           a = Item("a", 3)
           b = Item("b", 6)
           trans_items = [
               TransItem(a, 1, 0.3),
               TransItem(b, 2, 0.6),
           ]
           trans1 = Transaction(1, trans_items)
  def __init__(self, id: int, trans_items: list[TransItem]):
```

```
self.id = id
      self.trans_items_list = [trans_item.item for trans_item in trans_items]
      self.trans_items_dict = {
          trans_item.item: (trans_item.quantity, trans_item.probability)
          for trans_item in trans_items
      }
  def __repr__(self):
      return f"Transaction(id={self.id}, items={list(self.trans items dict.
→keys())}, quantities={list(self.trans_items_dict.values())})"
  def contains_item_set(self, item_set: set[Item]) -> bool:
      # Check directly against the dictionary keys
      return item_set.issubset(self.trans_items_dict.keys())
  def get_quantity_of_item(self, item: Item) -> int:
      # Access quantity directly from the dictionary
      return self.trans_items_dict.get(item, (0, 0))[0]
  def get_probability_of_item(self, item: Item) -> float:
      # Access probability directly from the dictionary
      return self.trans_items_dict.get(item, (0, 0))[1]
  def get_positive_utility_of_trans(self):
      put = 0
      positive_item = {item for item in self.get_items() if item.utility > 0}
      for item in positive_item:
          put += item.utility * self.get_quantity_of_item(item)
      return put
  def get_items(self) -> set[Item]:
      return set(self.trans items dict.keys())
  def get probability of item set(self, item set: set[Item]) -> float:
      if not self.contains_item_set(item_set):
          return 0.0
      total_probability = 1.0
      for item in item_set:
          total_probability *= self.get_probability_of_item(item)
      return total_probability
  def _calculate_utility(self, item_set: set[Item], condition: callable) ->__
⇔int:
      total_utility = 0
      for item in item set:
          quantity = self.get_quantity_of_item(item)
          if condition(item.utility):
```

```
total_utility += item.utility * quantity
      return total_utility
  def get_positive_utility_of_item_set(self, item_set: set[Item]) -> int:
      return self._calculate_utility(item_set, lambda utility: utility > 0)
  def get_negative_utility_of_item_set(self, item_set: set[Item]) -> int:
      return self._calculate_utility(item_set, lambda utility: utility < 0)</pre>
  def get_utility_of_item_set(self, item_set: set[Item]) -> int:
      return self. calculate utility(item set, lambda utility: True)
  def sort_trans_items_by_twu_and_utility(self) -> None:
      def sort_key(item: Item) -> tuple:
          return (0 if item.utility > 0 else 1, item.twu, -item.utility, item.
⇒item)
      self.trans_items_list.sort(key=sort_key)
  def get_remaining_utility_of_item_set(self, item_set: list[Item]) -> int:
      ru = 0
      if item set:
          last_item = item_set[-1]
          index = self.trans_items_list.index(last_item)
          for i in range(index + 1, len(self.trans_items_list)):
              item = self.trans_items_list[i]
              if item.utility > 0 and item not in item_set:
                   quantity = self.trans_items_dict[item][0]
                   ru += item.utility * quantity
      else:
          for i in range(len(self.trans_items_list)):
              item = self.trans items list[i]
              if item.utility > 0:
                   quantity = self.trans items dict[item][0]
                   ru += item.utility * quantity
      return ru
```

[5]: class AbstractList:

Represents an abstract list containing a set of items and their associated \Box \ominus utility values.

This class provides methods to calculate various utility metrics (e.g., $_{\sqcup}$ ⇒positive utility,

negative utility, remaining utility) and to perform equality and hashing \hookrightarrow operations.

```
Attributes:
        item set (set[Item]): A set of items represented by this abstract list.
       utility \ values \ (list[Utilities]): A \ list \ of \ `Utilities` \ objects_{\sqcup}
\hookrightarrow containing
                                              utility-related information for the
\hookrightarrow items.
  Methods:
       get_ru() -> int:
            Calculates the total remaining utility (RU) for all items in the
\hookrightarrow list.
       get_pu() -> int:
            Calculates the total positive utility (PU) for all items in the \Box
\hookrightarrow list.
       get_nu() -> int:
            Calculates the total negative utility (NU) for all items in the
\hookrightarrow list.
       get_pro() -> float:
            Calculates the total probability (PRO) for all items in the list.
       get_utility() -> int:
            Calculates the combined utility (PU + NU) for all items in the list.
       __repr__() -> str:
            Returns a string representation of the abstract list, including its \Box
⇔items
            and utility values.
       __eq__(other: AbstractList) -> bool:
            Compares two AbstractList objects for equality based on their itemu
\hookrightarrowsets
            and utility values.
       __hash__() -> int:
            Generates a hash value for the AbstractList, enabling it to be used_{\sqcup}
\hookrightarrow in
            hash-based collections (e.g., sets, dictionaries).
   Example:
       abList = AbstractList({a, b}, [Utilities(1, 2, 3, 4)])
   def __init__(self, item_set: set["Item"], utility_values:__
⇔list["Utilities"]):
```

```
self.item_set = item_set
    self.utility_values = utility_values
def get_ru(self) -> int:
    n n n
    Calculates the total remaining utility (RU) for all items in the list.
    Returns:
        int: The sum of the remaining utility (RU) values for all items.
    return sum(i.ru for i in self.utility values)
def get_pu(self) -> int:
    11 11 11
    Calculates the total positive utility (PU) for all items in the list.
    Returns:
        int: The sum of the positive utility (PU) values for all items.
    return sum(i.pu for i in self.utility_values)
def get_nu(self) -> int:
    Calculates the total negative utility (NU) for all items in the list.
        int: The sum of the negative utility (NU) values for all items.
    return sum(i.nu for i in self.utility_values)
def get_pro(self) -> float:
    Calculates the total probability (PRO) for all items in the list.
    Returns:
        float: The sum of the probability (PRO) values for all items.
    return sum(i.pro for i in self.utility_values)
def get_utility(self) -> int:
    Calculates the combined utility (PU + NU) for all items in the list.
    Returns:
        int: The total utility, which is the sum of PU + NU for all items.
    return sum(i.pu + i.nu for i in self.utility_values)
```

```
def __repr__(self):
        items_str = ", ".join(str(item) for item in self.item_set)
        utility_values_str = ", \n".join(
            str(utility) for utility in self.utility_values
        return f"{self.__class__.__name__}(\n Items: [{items_str}]\n Utility_\

¬Values: \n[{utility_values_str}]\n)"
    def __eq__(self, other):
        if not isinstance(other, AbstractList):
            return False
        return (
            self.item_set == other.item_set
            and self.utility_values == other.utility_values
        )
    def __hash__(self):
        return hash(frozenset(self.item_set)) ^ hash(tuple(self.utility_values))
class PNUList(AbstractList):
    Represents a PNUList, which is a specialized version of AbstractList. This \Box
 \hookrightarrow class inherits from AbstractList.
    Attributes:
        Inherits all attributes from AbstractList.
    Methods:
        Inherits all methods from AbstractList.
    Example:
        pnuList = PNUList({a, b}, [Utilities(1, 2, 3, 4)])
    def __init__(self, item_set: set["Item"], utility_values:__
 ⇔list["Utilities"]):
        super().__init__(item_set, utility_values)
class MList(AbstractList):
    Represents an MList, a specialized version of AbstractList that includes
    additional properties for subsets and utilities. This class inherits from
 \hookrightarrow AbstractList.
```

```
item_set (set[Item]): The set of items represented by this MList.
             utility_values (list[Utilities]): A list of `Utilities` objects_
      ⇔containing utility-related information for the items.
             subset (PNUList): A PNUList representing the subset associated with the \Box
      \hookrightarrow MList.
             subset_prefix (PNUList): A PNUList representing the subset prefix.
             pu (int): The positive utility of the MList.
             ru (int): The remaining utility of the MList.
         Methods:
             __repr__() -> str:
                 Returns a string representation of the MList, showing the item set,
                 subset, and subset prefix.
             Inherits all other methods from AbstractList.
         11 11 11
         def __init__(
             self,
             item_set: set["Item"],
             subset: PNUList,
             subset_prefix: PNUList,
             utility_values: list["Utilities"],
             pu: int,
             ru: int,
         ):
             super(). init (item set, utility values)
             self.subset = subset
             self.subset_prefix = subset_prefix
             self.pu = pu
             self.ru = ru
         def __repr__(self):
             return (
                 f"MList("
                 f"item_set={list(self.item_set)}, "
                 f"subset={self.subset}, "
                 f"subset_prefix={self.subset_prefix})"
             )
[6]: class PriorityQueue:
         A priority queue for managing itemsets with associated utilities and \sqcup
      ⇔periodic properties.
```

Attributes:

Attributes:

max_size (int): The maximum size of the priority queue.

```
heap (list[tuple[int, set[Item], Periodic]]):
           A min-heap storing tuples of utility, itemset, and periodic_
⇔properties.
       item_sets (set[frozenset]): A set of frozenset representations of the ⊔
⇒itemsets for quick lookup.
  Methods:
       __init__(max_size: int):
           Initializes the priority queue with a specified maximum size.
      push(utility: int, item_set: set, periodic: Periodic):
           Adds an item to the priority queue if it qualifies based on its,
\hookrightarrow utility.
      qet_min_utility() -> int:
           Returns the minimum utility in the priority queue.
      sort() -> list[tuple[int, set[Item], Periodic]]:
           Returns a sorted list of items in descending order of utility.
      print_items():
           Prints all items in the priority queue, sorted by utility, with
⇔formatted periodic properties.
  Example:
      >>> from collections import namedtuple
      >>> Periodic = namedtuple("Periodic", ["min_per", "max_per", "avq_per"])
      >>> k = 3
      >>> pq = PriorityQueue(k=3)
      >>> pq.push(10, {"a", "b"}, Periodic(min_per=2, max_per=5, avg_per=3.5))
      >>> pq.push(15, {"c"}, Periodic(min_per=1, max_per=4, avg_per=2.0))
      >>> pq.push(8, {"d", "e"}, Periodic(min_per=3, max_per=7, avg_per=5.0))
      >>> pq.push(20, {"f"}, Periodic(min_per=2, max_per=6, avg_per=4.0))
      >>> pq.print_items()
      \{'f'\}: 20: (min\ per=2,\ max\ per=6,\ avg\ per=4.00)
      {'c'} : 15 : (min_per=1, max_per=4, avg_per=2.00)
      \{'a', 'b'\} : 10 : (min_per=2, max_per=5, avg_per=3.50)
      >>> pq.get_min_utility()
      10
   11 11 11
  def __init__(self, max_size: int):
       Initializes the PriorityQueue with a maximum size.
      Args:
```

```
max_size (int): The maximum number of items that the priority queue_
\hookrightarrow can hold.
       11 11 11
      self.max size = max size
       self.heap: list[tuple[int, set[Item], Periodic]] = []
       self.item sets: set[frozenset] = set()
  def push(self, utility: int, item set: set, periodic: Periodic):
       Adds an itemset to the priority queue if it meets the criteria.
       Arqs:
           utility (int): The utility value of the itemset.
           item_set (set): The itemset to add.
           periodic (Periodic): The periodic properties of the itemset.
      Notes:
           - If the priority queue is not full, the itemset is added.
           - If the priority queue is full, the itemset replaces the one with \sqcup
⇔the lowest utility
             if its utility is higher.
           - Duplicate itemset is not added.
      fs_item_set = frozenset(item_set)
       if fs_item_set in self.item_sets:
           return
       if len(self.heap) < self.max_size:</pre>
           heapq.heappush(self.heap, (utility, item_set, periodic))
           self.item_sets.add(fs_item_set)
       else:
           if utility > self.heap[0][0]:
               removed = heapq.heappushpop(self.heap, (utility, item_set,__
→periodic))
               self.item_sets.remove(frozenset(removed[1]))
               self.item_sets.add(fs_item_set)
  def get_min_utility(self) -> int:
       n n n
       Retrieves the minimum utility value in the priority queue.
       Returns:
           int: The minimum utility value, or `-inf` if the queue is empty.
       if self.heap:
           return self.heap[0][0]
      return float("-inf")
```

```
[7]: class TestCase:
         Represents a test case with various thresholds and parameters.
         Attributes:
              n (int): Total number of elements.
              k (int): A limit or subset size.
              prob_threshold (float): Probability threshold, typically between 0 and ⊔
       \hookrightarrow 1.
              min\_per\_threshold (int): Minimum permissible value for a specific \sqcup
       \hookrightarrow threshold.
              max\_per\_threshold (int): Maximum permissible value for a specific_{\sqcup}
       \hookrightarrow threshold.
              min_avg_threshold (int): Minimum average value threshold.
              max_avg_threshold (int): Maximum average value threshold.
         Example:
              testcase = TestCase(1000, 20, 0.02, 1, 2000, 1, 2000)
         def __init__(
              self,
              n: int,
              k: int,
              prob_threshold: float,
              min_per_threshold: int,
              max_per_threshold: int,
```

```
min_avg_threshold: int,
      max_avg_threshold: int,
  ):
      self.n = n
      self.k = k
      self.prob_threshold = prob_threshold
      self.min_per_threshold = min_per_threshold
      self.max_per_threshold = max_per_threshold
      self.min avg threshold = min avg threshold
      self.max_avg_threshold = max_avg_threshold
  def __repr__(self):
      Provide a string representation of the TestCase object for debugging.
      return (
          f"TestCase(n={self.n}, k={self.k}, prob_threshold={self.
→prob_threshold}, "
          f"min_per_threshold={self.min_per_threshold},_
→max_per_threshold={self.max_per_threshold}, "
          f"min_avg_threshold={self.min_avg_threshold},__

-max_avg_threshold={self.max_avg_threshold})"
      )
```

Input:

- item_set: set[Item]
 A set of items to be analyzed.
- database: list[Transaction]
 A list of transactions containing itemset.
- k: int
 The number of items or frequent patterns to find, specified by the user
- probability_threshold: float
 A threshold value to filter results based on probability, set by the user
- min_periodic_threshold: int
 The minimum periodic constraint for the patterns, defined by the user
- max_periodic_threshold: int

 The maximum periodic constraint for the patterns, defined by the user
- min_average_periodic_threshold: int
 The minimum average periodic constraint for the patterns, set by the user
- max_average_periodic_threshold: int
 The maximum average periodic constraint for the patterns, specified by the user

Output: A set of Top-K Itemset with the highest utility that satisfy the following conditions:

• Expected Support:

Expected Support \geq (Probability Threshold \times Database Size)

• Minimum Periodic Constraint:

Min Periodic Min Periodic Threshold

• Maximum Periodic Constraint:

Max Periodic Max Periodic Threshold

• Average Periodic Constraint:

Min Average Periodic Threshold Average Periodic Max Average Periodic Threshold

Input Example:

```
[8]: a = Item("a", 3)
     b = Item("b", 6)
     c = Item("c", -3)
     d = Item("d", 12)
     e = Item("e", -5)
     f = Item("f", -2)
     g = Item("g", -1)
     t1_trans_items = [
         TransItem(a, 5, 0.1),
         TransItem(b, 2, 0.3),
         TransItem(c, 1, 0.6),
         TransItem(d, 2, 0.5),
     ]
     t2_trans_items = [
         TransItem(a, 1, 0.4),
         TransItem(c, 1, 0.2),
         TransItem(d, 1, 0.1),
         TransItem(g, 3, 0.7),
     ]
     t3_trans_items = [
         TransItem(a, 1, 0.25),
         TransItem(c, 1, 0.03),
         TransItem(f, 1, 0.1),
     ]
     t4_trans_items = [TransItem(a, 1, 0.8), TransItem(f, 4, 0.7), TransItem(g, 2, 0.
      →2)]
     t5_trans_items = [
         TransItem(a, 1, 0.1),
         TransItem(g, 2, 0.3),
```

```
t6_trans_items = [
    TransItem(b, 3, 0.5),
    TransItem(c, 2, 0.8),
    TransItem(d, 3, 0.2),
    TransItem(e, 1, 0.4),
]
t7_trans_items = [
    TransItem(c, 6, 0.0),
    TransItem(e, 4, 0.0),
t8_trans_items = [
    TransItem(e, 1, 0.2),
    TransItem(f, 3, 0.3),
t1 = Transaction(1, t1_trans_items)
t2 = Transaction(2, t2_trans_items)
t3 = Transaction(3, t3_trans_items)
t4 = Transaction(4, t4_trans_items)
t5 = Transaction(5, t5_trans_items)
t6 = Transaction(6, t6_trans_items)
t7 = Transaction(7, t7 trans items)
t8 = Transaction(8, t8_trans_items)
```

0.0.3 2. Preliminaries and Formulation

2.1. Transaction Dictionary

```
[9]: def create_transaction_dictionary(item_list: list[Item], database:

□ list[Transaction]):

□ """

Creates a transaction dictionary mapping each item to the set of

□ transactions in which it appears.

Args:

□ item_list (list[Item]): A list of items to include in the dictionary.

□ database (list[Transaction]): A list of transactions containing item

□ sets.

Returns:

□ trans_dict (dict[Item, set[Transaction]]): A dictionary where:

□ Keys are items from `item_list`.

□ Values are sets of transactions from the `database` that contain

□ the respective items.
```

```
Example:
         >>> item_list = [a, b, c]
         >>> database = [
                 Transaction(1, {a, b}),
                 Transaction(2, \{b, c\}),
        >>> create_transaction_dictionary(item_list, database)
         {
             a: {trans1},
             b: {trans1, trans2},
             c: {trans2}
         7
    Dependencies:
         - `Transaction.get_items`: Retrieves the set of items in a transaction.
     11 11 11
    trans_dict: dict[Item, set[Transaction]] = {item: set() for item inu
  →item_list}
    for trans in database:
        trans_items = trans.get_items()
        for item in trans_items:
             trans_dict.get(item, set()).add(trans)
    return trans_dict
Example:
```

```
[10]: item_list = [a]
dataset = [t1, t2, t3, t4, t5]
create_transaction_dictionary(item_list, dataset)
```

2.2. Periodic Pattern

```
[11]: def create_item_transId_dict(
    item_list: list[Item], database: list[Transaction]
) -> dict[Item, list[int]]:
    """
```

```
Creates a dictionary mapping each item to a list of transaction IDs in ⊔
        \hookrightarrow which it appears.
          Args:
               item_list (list[Item]): A list of items to initialize the dictionary \Box
        ⇔keys.
               database (list[Transaction]): A list of transactions containing items.
          Returns:
               item transIds (dict[Item, list[int]]): A dictionary where the keys are \Box
        \hookrightarrow items, and the values are
                                       lists of transaction IDs in which the
       →corresponding item appears.
          Example:
               item_list: list[Item] = [a, b]
               dataset: list[Transaction] = [t1, t2, t3, t4, t5]
               create_item_transId_dict(item_list, dataset)
           11 11 11
          item transIds = {item: list() for item in item list}
          for trans in database:
               for item in trans.trans items dict.keys():
                   item_transIds.get(item, list()).append(trans.id)
          return item_transIds
     Example:
[12]: item_list: list[Item] = [a, b]
      dataset: list[Transaction] = [t1, t2, t3, t4, t5]
      create_item_transId_dict(item_list, dataset)
[12]: {a: [1, 2, 3, 4, 5], b: [1]}
[13]: def find_all_trans_ids_contained_item_set(
          item_set: set[Item], create_item_transId_dict: dict[Item, list[int]]
      ) -> list[int]:
          Finds all transaction IDs that contain all items in a given item set.
               item_set (set[Item]): A set of items for which to find the containing_
        \hookrightarrow transaction IDs.
               create_item_transId_dict (dict[Item, list[int]]): A dictionary mapping_
        \rightarrow each item to a list
                                                                    of transaction IDs.
       \hookrightarrow where it appears.
```

```
Returns:
       trans\_ids (list[int]): A sorted list of transaction IDs that contain_{\sqcup}
⇔all items in the item set.
                  Returns an empty list if no such transactions exist.
  11 11 11
  trans ids = list()
  # Iterate through each item in the item set
  for item in item_set:
      if not trans_ids:
           # Initialize with transaction IDs of the first item
           trans_ids = set(create_item_transId_dict.get(item, set()))
           continue
       # Perform intersection with transaction IDs of the current item
      trans_ids &= set(create_item_transId_dict.get(item, set()))
  # Return sorted transaction IDs or an empty list if no intersection exists
  return sorted(trans_ids) if trans_ids else []
```

```
[14]: item_set = {a, b}
  dataset: list[Transaction] = [t1, t2, t3, t4]
  trans_dict = create_item_transId_dict(item_list, dataset)
  find_all_trans_ids_contained_item_set(item_set, trans_dict)
```

[14]: [1]

```
- `max_per`: The maximum periodicity.
           - `avq_per`: The average periodicity.
  Example:
      >>> item_set = {a, b}
      >>> db = [t1, t2, t3, t4, t5]
      >>> item_transId_dict = {
      ... Item("a"): [1, 3, 5],
              Item("b"): [1, 2, 5]
       >>> find_min_max_avg_periodic_of_item_set(item_set, db,__
\hookrightarrow item\_transId\_dict)
      Periodic(min_per=1, max_per=2, avq_per=1.75)
  Notes:
       - If no transactions contain all items in the itemset, a default \sqcup
→ `Periodic(0, 0, 0)` is returned.
       - The calculation includes a final periodicity from the last \Box
⇔transaction to the end of the database.
  Dependencies:
       - `find_all_trans_ids_contained_item_set`: Retrieves transaction \mathit{IDs}_\sqcup
⇔containing all items in the itemset.
       - `Periodic`: A class representing periodicity metrics.
  # Find transaction IDs containing all items in the item set
  trans_ids = find_all_trans_ids_contained_item_set(item_set,_
→item_transId_dict)
  if not trans_ids:
      return Periodic(0, 0, 0)
  # Initialize periodicity metrics
  m = len(trans_ids)
  max_per, min_per, total_per = 0, float("inf"), 0
  prev = 0
  # Calculate periodicity between successive transaction IDs
  for _, trans_id in enumerate(trans_ids):
      per = trans_id - prev
      max_per = max(max_per, per)
      min_per = min(min_per, per)
      total_per += per
      prev = trans_id
   # Include the final gap from the last transaction to the end of the database
  final_per = len(db) - prev
```

```
max_per = max(max_per, final_per)
min_per = min(min_per, final_per)
total_per += final_per

# Calculate average periodicity
avg_per = total_per / (m + 1)

# Return periodicity as a Periodic object
return Periodic(min_per, max_per, avg_per)
```

```
[16]: item_list = [a, b, c, d, e]
  dataset = [t1, t2, t3, t4, t5]

# Find min_per, max_per, avg_per of item set {a, d}
  item_set = {a, d}
  item_transId_dict = create_item_transId_dict(item_list, dataset)
  find_min_max_avg_periodic_of_item_set(item_set, dataset, item_transId_dict)
```

[16]: Periodic(min_per=1, max_per=3, avg_per=1.6666666666666667)

2.3 Transaction Weight Utility

```
[17]: def calculate transaction weight utility(
          item_set: set[Item], trans_dict: dict[Item, set[Transaction]]
      ) -> int:
          Calculates the Transaction Weighted Utility (TWU) of a given itemset.
          The TWU is the sum of the positive utility values of all transactions that,
       ⇔contain all items
          in the specified itemset.
          Args:
              item_set (set[Item]): The set of items for which the TWU is to be \sqcup
              trans\_dict (dict[Item, set[Transaction]]): A dictionary mapping each
       \hookrightarrow item to the set of
                  transactions in which it appears.
          Returns.
              twu (int): The Transaction Weighted Utility (TWU) of the given itemset.
          Example:
              >>> item_set = {a, b}
              >>> trans dict = {
```

```
a: \{t1, t2\},\
                b: {t2, t3}
       . . .
       ... }
       >>> calculate_transaction_weight_utility(item_set, trans_dict)
  Notes:
       - If `item_set` is empty, the result will be `0` as no transactions_{\sqcup}
\hookrightarrow will be found.
       - The function calculates the intersection of transactions for all _{\sqcup}
\hookrightarrow items in the itemset
          to ensure only relevant transactions are considered.
  Dependencies:
       - `Transaction.get\_positive\_utility\_of\_trans`: Computes the positive\sqcup
\neg utility of a transaction.
  transaction_set: set[Transaction] = set()
  for item in item_set:
       if not transaction_set:
           transaction_set = set(trans_dict[item])
           continue
       transaction_set &= set(trans_dict[item])
  twu = 0
  for trans in transaction_set:
       twu += trans.get_positive_utility_of_trans()
  return twu
```

```
[18]: item_list = [a, b, c, d, e]
      dataset = [t1, t2, t3, t4, t5]
      trans_dict = create_transaction_dictionary(item_list, dataset)
      item set = \{a\}
      print("twu({a}) = " + str(calculate_transaction_weight_utility(item_set,_
       →trans dict)))
     twu({a}) = 75
[19]: for item in item_list:
          item.twu = calculate_transaction_weight_utility({item}, trans_dict)
          print(str(item) + " - "+ str(item.twu))
     a - 75
     b - 51
     c - 69
     d - 66
```

2.4. Utility of an Itemset

```
[20]: def calculate_utility_of_item_set_in_database(
          item_set: set[Item], trans_dict: dict[Item, set[Transaction]]
      ) -> int:
          nnn
          Calculates the utility of an item set in the database.
          Args:
               item_set (set[Item]): The set of items whose utility is to be_
       \hookrightarrow calculated.
               trans_dict (dict[Item, set[Transaction]]): A dictionary mapping each ⊔
       \hookrightarrow item to the
                   set of transactions in which it appears.
          Returns:
               utility (int): The total utility of the item set across all
       \hookrightarrow transactions.
          n n n
          transaction_set: set[Transaction] = set()
          # Intersect transactions for all items in the item set
          for item in item set:
              if not transaction_set:
                   transaction set = set(trans dict[item])
                   continue
               transaction_set &= set(trans_dict[item])
          # Calculate the utility of the item set in the intersected transactions
          utility = 0
          for trans in transaction_set:
              utility += trans.get_utility_of_item_set(item_set)
          return utility
```

```
Utility(\{a\}) = 27
```

2.5 Order of items

```
[22]: def check_order_condition(a: Item, b: Item) -> bool:
          Checks the ordering condition between two items based on their utility and \Box
       \hookrightarrow TWU \ values.
          Arqs:
               a (Item): The first item to compare.
               b (Item): The second item to compare.
          Returns:
               bool: `True` if the order condition holds, otherwise `False`.
          if a.utility * b.utility < 0:</pre>
              return a.utility < b.utility
          elif a.utility * b.utility > 0:
              if a.twu == b.twu:
                   if a.utility == b.utility:
                       return a.item > b.item
                   return b.utility > a.utility
              return a.twu > b.twu
          return False
```

Example:

```
[23]: print("a > c ? " + str(check_order_condition(a, c)))
```

a > c ? False

```
[24]: def check_order_item_and_set(item: Item, item_set: set[Item]) -> bool:
    """
    Checks whether a given item satisfies the order condition with an item-set

    Example: check a > {b, c} ?

Args:
    item (Item): The item to compare against the set.
    item_set (set[Item]): A set of items to compare with the given item.

Returns:
    bool: `True` if the given item satisfies the order condition with all__
    items in the set,
        otherwise `False`.

"""
for i in item_set:
```

```
if check_order_condition(item, i) == False:
    return False
return True
```

```
[25]: print("c > {a, b} ? " + str(check_order_item_and_set(c, {a, b})))
     c > {a, b} ? True
[26]: def sort items by twu and utility(items: list[Item]) -> list[Item]:
          Sorts a list of items based on Transaction Weighted Utility (TWU) and \Box
       \hookrightarrow utility.
          Items are sorted by the following criteria in order of priority:
               1. Items with positive utility appear before items with negative \Box
        \hookrightarrow utility.
               2. Items with higher TWU values are sorted first.
               3. For items with the same TWU, those with higher utility values are \Box
       \hookrightarrow sorted first.
               4. For items with the same TWU and utility, sorting is done \square
       ⇔alphabetically by item name.
          Args:
               items (list[Item]): The list of items to be sorted.
          Returns:
               list[Item]: The sorted list of items.
          def sort_key(item: Item) -> tuple:
               return (0 if item.utility > 0 else 1, item.twu, -item.utility, item.
        ⇒item)
          return sorted(items, key=sort_key)
```

Example:

```
[27]: item_list: list[Item] = [a, b, c, d, e]
    print("Before: " + str(item_list))
    print("After : " + str(sort_items_by_twu_and_utility(item_list)))
```

Before: [a, b, c, d, e] After: [b, d, a, e, c]

2.5. Potential / Expected Pattern

```
[28]: def calculate_probability_of_item_set_in_database(
          item_set: set[Item], trans_dict: dict[Item, set[Transaction]]
      ) -> float:
          11 11 11
          Calculates the combined probability of an itemset across all relevant \sqcup
        ⇔transactions in the database.
           This function computes the sum of probabilities of the given itemset across_{\sqcup}
       \hookrightarrow all transactions
           that contain all items in the itemset.
               item_set (set[Item]): The set of items whose combined probability is to_{\sqcup}
        \hookrightarrow be calculated.
               trans\_dict (dict[Item, set[Transaction]]): A dictionary mapping each_
        \hookrightarrow item to the set of
                   transactions in which it appears.
          Returns:
               float: The combined probability of the itemset across relevant
       ⇔transactions. Returns `0.0`
               if no transactions contain all items in the itemset.
          Example:
              >>> item_set = {a, b}
               >>> trans_dict = {
                      a: \{t1, t2, t4\},\
                      b: {t2, t3}
               >>> calculate_probability_of_item_set_in_database(item_set, trans_dict)
               0.75
          Notes:
               - If no transactions contain all items in the itemset, the probability \Box
        ⇔is `0.0`.
               - The function calculates the intersection of transactions containing \Box
        \hookrightarrow each item
                 to ensure only relevant transactions are considered.
          Dependencies:
               - `Transaction.get_probability of_item_set`: Calculates the probability_
        ⇔of a given itemset
                 within a specific transaction.
          transaction_set: set[Transaction] = set()
```

```
# Intersect transactions for all items in the item set
for item in item_set:
    if not transaction_set:
        transaction_set = set(trans_dict[item])
        continue
    transaction_set &= set(trans_dict[item])

# If no transactions contain the full item set, return 0
if not transaction_set:
    return 0.0

# Calculate the combined probability for the item set
probability = 0.0
for trans in transaction_set:
    probability += trans.get_probability_of_item_set(item_set)
return probability
```

```
[29]: item_list: list[Item] = [a, b, c, d, e]
    dataset: list[Transaction] = [t1, t2, t3, t4, t5]
    trans_dict: dict[Item, set[Transaction]] = create_transaction_dictionary(
        item_list, dataset
)
    print(
        "prob({a}) = "
        + str(calculate_probability_of_item_set_in_database({a}, trans_dict))
)
```

```
prob({a}) = 1.65
```

```
(p, u) (tuple[float, int]): A tuple containing:
           - p (float): The combined probability of the item set across all_\sqcup
\hookrightarrow transactions.
           - u (int): The total utility of the item set across all
\hookrightarrow transactions.
  # Intersect transactions for all items in the item set
  intersection_trans = set()
  for item in item_set:
       if not intersection_trans:
           intersection_trans = set(trans_dict.get(item, set()))
       intersection_trans &= trans_dict.get(item)
  p: float = 0.0
  u: int = 0
  # Calculate the probability, utility, remaining utility for the item set
  for trans in intersection_trans:
      p += trans.get_probability_of_item_set(item_set)
       u += trans.get_utility_of_item_set(item_set)
  return p, u
```

```
[31]: item_list: list[Item] = [a, b, c, d, e]
    dataset: list[Transaction] = [t1, t2, t3, t4, t5]
    trans_dict: dict[Item, set[Transaction]] = create_transaction_dictionary(
        item_list, dataset
)
    prob, util = calculate_probability_and_utility_of_item_set_in_database({a}, u trans_dict)
    print("prob({a}) = " + str(prob))
    print("util({a}) = " + str(util))

prob({a}) = 1.65
    util({a}) = 27
```

2.6. Probability - TWU - Utility Bin Array

```
item_list (set[Item]): The set of items to be analyzed.
       database (list[Transaction]): A list of transactions where each_
\hookrightarrow transaction
           provides methods to retrieve items, probabilities, and quantities.
  Returns:
       (prob_array, twu_array, utility_array, item_dict) (tuple[list[float], ⊔
⇒list[int], list[int], dict[Item, int]]):
           - prob\_array (list[float]): An array where each element represents<sub>\perp</sub>
\hookrightarrow the summed
             probability of an item across all transactions.
           - twu_array (list[int]): An array where each element represents the
\hookrightarrow Transaction
             Weighted Utility (TWU) of an item.
           - utility_array (list[int]): An array where each element represents ⊔
\hookrightarrow the total
             utility of an item (calculated as quantity * utility).
           - item_dict (dict[Item, int]): A dictionary mapping each item to ⊔
\hookrightarrow its index in
             the arrays for fast access.
  n = len(item list)
  # Initialize arrays to store TWU, probability, and utility for each item
  twu_array: list[int] = [0 for _ in range(n)]
  prob_array: list[float] = [0.0 for _ in range(n)]
  utility_array: list[int] = [0 for _ in range(n)]
  # Map each item to an index in the arrays for efficient lookups
  item_dict = {item: idx for idx, item in enumerate(item_list)}
  # Iterate through all transactions to compute values
  for trans in database:
       # Get the Positive Utility (PTU) of the current transaction
       ptu = trans.get_positive_utility_of_trans()
       # Update TWU, probability, and utility for each item in the transaction
       for item in trans.get items():
           index = item_dict.get(item, -1) # Get the index of the item in the
\hookrightarrow arrays
           if index != -1: # If the item exists in the item_dict
               twu_array[index] += ptu # Add transaction's PTU to the item's
\hookrightarrow TWU
               prob_array[index] += trans.get_probability_of_item(
                    item
               ) # Add probability
               utility_array[index] += (
```

```
trans.get_quantity_of_item(item) * item.utility
) # Add utility

# Return the computed arrays and the item dictionary
return prob_array, twu_array, utility_array, item_dict
```

```
[33]: item_list: list[Item] = [a, b, c, d, e]
    dataset: list[Transaction] = [t1, t2, t3, t4, t5]
    trans_dict: dict[Item, set[Transaction]] = create_transaction_dictionary(
        item_list, dataset
)

prob_arr, twu_arr, util_arr, _ = create_prob_twu_utility_bin_array(item_list, ____
        dataset)

print(item_list)
print(util_arr)
print(twu_arr)
```

```
[a, b, c, d, e]
[27, 12, -9, 36, 0]
[75, 51, 69, 66, 0]
```

2.7 Remaining Utility

```
[34]: def calculate_remaining_utility_of_item_set_in_database(
           item_set: set[Item], trans_dict: dict[Item, set[Transaction]]
      ) -> int:
           Calculates the remaining utility of an item set in the database.
           The remaining utility of an item set is the sum of the remaining utilities
           in all transactions where the item set appears.
          Arqs:
               item_set (set[Item]): The set of items whose remaining utility is to be_
        \hookrightarrow calculated.
               trans_dict (dict[Item, set[Transaction]]): A dictionary mapping each ⊔
        \hookrightarrow item to the
                   set of transactions in which it appears. This dictionary helps to_{\sqcup}
        \hookrightarrow efficiently
                   find transactions that contain the given item set.
          Returns:
               ru (int): The total remaining utility of the item set across all \sqcup
        ⇔relevant transactions.
```

```
transaction_set: set[Transaction] = set()
  # Find the intersection of transactions that contain all items in the item.
⇔set
  for item in item_set:
       if not transaction set:
           transaction set = set(
               trans_dict[item]
           ) # Initialize with transactions of the first item
           continue
      transaction_set &= set(
           trans_dict[item]
       ) # Perform intersection with subsequent item transactions
   # Calculate the remaining utility for the item set in the intersected !!
\hookrightarrow transactions
  utility = 0
  for trans in transaction_set:
       # Add the remaining utility of the item set in the current transaction
      utility += trans.get_remaining_utility_of_item_set(
           sort_items_by_twu_and_utility(item_set)
       )
  # Return the total remaining utility
  return utility
```

2.8 Local Utility

 $ru({a}) = 0$

```
[36]: def calculate_local_utility(
    alpha: set[Item], item: Item, database: list[Transaction]
```

```
) -> int:
    11 11 11
    Calculates the local utility (LU) of an item combined with an item set \Box
 \hookrightarrow (alpha) in the database.
    Args:
        alpha (set[Item]): The prefix item set (alpha) to calculate the utility ...
 \hookrightarrow for.
        item (Item): The item to be combined with alpha for utility calculation.
         database (list[Transaction]): A list of transactions
    Returns:
        lu (int): The total local utility (LU) of the combined item set (alpha\sqcup
 \hookrightarrow / {item}) across all relevant transactions.
    lu = 0
    # Sort the prefix item set (alpha) by TWU and utility
    sorted_alpha = sort_items_by_twu_and_utility(alpha)
    # Iterate through all transactions in the database
    for trans in database:
        # Check if the transaction contains the combined item set (alpha | |
 \hookrightarrow {item})
        if trans.contains_item_set(alpha | {item}):
             # Add the utility of alpha and the remaining utility of sorted alpha
             lu += trans.get_utility_of_item_set(
                 alpha
             ) + trans.get_remaining_utility_of_item_set(sorted_alpha)
    # Return the total local utility
    return lu
```

```
[37]: item_list: list[Item] = [a, b, c, d, e]
dataset: list[Transaction] = [t1, t2, t3, t4, t5]
print("lu({}, a) = " + str(calculate_local_utility(set(), a, dataset)))
```

 $lu({}, a) = 75$

2.9 Subtree Utility

```
[38]: def calculate_subtree_utility(
    alpha: set[Item],
    item: Item,
    beta_db: set[Transaction],
    trans_dict: dict[Item, set[Transaction]],
```

```
) -> int:
    11 11 11
    Calculates the subtree utility (SU) of an item combined with an item set \sqcup
    within a subset of the database.
        alpha (set[Item]): The prefix item set (alpha) for utility calculation.
        item (Item): The item to be combined with alpha.
        beta db (set[Transaction]): The subset of transactions (beta database)_{\sqcup}
 ⇔relevant for calculation.
        trans dict (dict[Item, set[Transaction]]): A dictionary mapping each
 \hookrightarrow item to the
            set of transactions in which it appears.
    Returns:
        su (int): The total subtree utility (SU) of the combined item set \sqcup
 → (alpha | {item}) across all relevant transactions.
    # Retrieve the set of transactions containing the item
    item_trans: set[Transaction] = set(trans_dict.get(item))
    # Find the intersection of transactions containing the item and those in
 \hookrightarrow beta\_db
    general_trans: set[Transaction] = item_trans & beta_db
    # Initialize subtree utility
    su = 0
    # Sort the prefix item set (alpha) by TWU and utility
    sorted_alpha = sort_items_by_twu_and_utility(alpha)
    # Check the order condition if alpha is non-empty
    if not alpha or check_order_condition(item, sorted_alpha[-1]):
        for trans in general_trans:
             # Add utility contributions from alpha, item, and remaining utility_{\sqcup}
 \hookrightarrow of item
            su += (
                 trans.get_utility_of_item_set(alpha)
                 + trans.get_utility_of_item_set({item})
                 + trans.get_remaining_utility_of_item_set([item])
            )
    # Return the total subtree utility
    return su
```

 $su({a}, c) = 12$

2.10 Local & Subtree Utility Bin Array

```
[40]: def create_local_utility_bin_array(
          alpha: set[Item], item_list: set[Item], database: list[Transaction]
      ) -> list[int]:
           11 11 11
           Creates a local utility (LU) array for all for all item in item list.
          Arqs:
               alpha (set[Item]): The prefix item set to guide the utility calculation.
               item_list (set[Item]): The set of items for which the LU array is \Box
        \hookrightarrow calculated.
               database (list[Transaction]): A list of transactions containing items_{\sqcup}
        \hookrightarrow and utilities.
          Returns:
               list[int]: A list of Local Utility (LU) values for each item in
        \hookrightarrow item_list.
                           The array is indexed corresponding to the items in_{\sqcup}
        ⇔`item_list`.
           11 11 11
           # Number of items in item_list
          n = len(item_list)
          # Initialize the LU array with zeros
          lu_array = [0 for _ in range(n)]
           # Create a mapping from items to their indices in the LU array
          item_dict = {item: idx for idx, item in enumerate(item_list)}
           # Sort the prefix item set (alpha) by TWU and utility
           sorted_alpha = sort_items_by_twu_and_utility(alpha)
```

```
# Iterate through each transaction in the database
  for trans in database:
       # Calculate the utility and remaining utility of alpha for the
\hookrightarrow transaction
       alpha_util = trans.get_utility_of_item_set(alpha)
       alpha ru = trans.get remaining utility of item set(sorted alpha)
       # Update LU array for each item in the transaction
       for item in trans.get_items():
           # Get the index of the item in the LU array
           index = item_dict.get(item, -1)
           if index != -1:
               # Add the utility of alpha and the remaining utility to the LU_{\sqcup}
\rightarrow array
               lu_array[index] += alpha_util + alpha_ru
   # Return the computed LU array
  return lu_array
```

```
[41]: item_list: list[Item] = [a, b, c, d, e]
      dataset: list[Transaction] = [t1, t2, t3, t4, t5]
      print(item list)
      create_local_utility_bin_array(set(), item_list, dataset)
      [a, b, c, d, e]
[41]: [75, 51, 69, 66, 0]
[42]: def create_subtree_utility_bin_array(
          alpha: set[Item], item_list: set[Item], database: list[Transaction]
      ) -> list[int]:
          Creates a subtree utility (SU) array for all item in item_list.
          Arqs:
               alpha (set[Item]): The prefix item set to guide the subtree calculation.
               item_list (set[Item]): The set of items for which the SU array is_{\sqcup}
        \hookrightarrow calculated.
               database (list[Transaction]): A list of transactions containing items_{\sqcup}
        \hookrightarrow and utilities.
          Returns:
               list[int]: A list of Subtree Utility (SU) values for each item in
        \hookrightarrow `item_list`.
```

```
The array is indexed corresponding to the items in_{\sqcup}
\hookrightarrow item_list.
  11 11 11
  # Number of items in item list
  n = len(item_list)
  # Initialize the SU array with zeros
  su_array = [0 for _ in range(n)]
  # Create a mapping from items to their indices in the SU array
  item_dict = {item: idx for idx, item in enumerate(item_list)}
  # Sort the prefix item set (alpha) by TWU and utility
  sorted_alpha = sort_items_by_twu_and_utility(alpha)
  # Iterate through each transaction in the database
  for trans in database:
      # Calculate the utility of alpha for the transaction
      alpha_util = trans.get_utility_of_item_set(alpha)
      # Update SU array for each item in the transaction
      for item in trans.get items():
           # Get the index of the item in the SU array
           index = item_dict.get(item, -1)
           if index != -1:
               # Calculate and add subtree utility for the item
               if not alpha or check_order_condition(item, sorted_alpha[-1]):
                   su_array[index] += (
                       alpha_util
                       + trans.get_utility_of_item_set({item})
                       + trans.get_remaining_utility_of_item_set([item])
                   )
  # Return the computed SU array
  return su_array
```

```
[a, b, c, d, e]
```

```
[43]: [27, 51, -9, 54, 0]
[44]: def get_value_from_bin(
          item: Item, bin: list[int | float], item_dict: dict[Item, int]
      ) -> int | float:
          This function uses a dictionary item_dict to map the item to its index in_
       \hookrightarrow the bin array.
          If the item is not found in the dictionary, it returns a default value of 0.
               item (Item): The item for which the value needs to be retrieved.
               bin (list[int | float]): The bin array containing values corresponding \Box
               item_dict (dict[Item, int]): A dictionary mapping each item to its_{\sqcup}
       ⇔index in the bin array.
          Returns:
              values (int | float): The value associated with the item in the bin \Box
       \hookrightarrow array.
                            Returns 0 if the item is not found in the dictionary.
          # Get the index of the item from the dictionary
          index = item_dict.get(item, -1)
          # Return the value from the bin array if the index is valid, otherwise,
       ⇔return 0
          return bin[index] if index != -1 else 0
```

[27, 51, -9, 54, 0] su arr[a] = 27

```
[45]: item_list: list[Item] = [a, b, c, d, e]
  dataset: list[Transaction] = [t1, t2, t3, t4, t5]
  for trans in dataset:
        trans.sort_trans_items_by_twu_and_utility()
  su_arr = create_subtree_utility_bin_array(set(), item_list, dataset)

print(item_list)
  print(su_arr)
  print(
    "su_arr[a] = " + str(get_value_from_bin(a, su_arr, {a: 0}))
)
[a, b, c, d, e]
```

2.11 PNU List & MList

```
[46]: def create_pnu_lists(trans_dict: dict[Item, set[Transaction]]) -> list[PNUList]:
          Creates a list of PNULists, each representing an item's utility and \Box
       \hookrightarrow probability
          values across all transactions in which it appears.
          A PNUList contains:
               - The item as a set.
               - A list of utility values (probability, positive utility, negative \Box
        \hookrightarrow utility,
                 and remaining utility) for each transaction.
          Args:
              trans_dict (dict[Item, set[Transaction]]): A dictionary mapping each ⊔
       \hookrightarrow item to
                   the set of transactions in which it appears.
          Returns:
               list[PNUList]: A \ list \ of \ PNULists \ where \ each \ PNUList \ corresponds \ to \ an_{\sqcup}
       \hookrightarrow item
                               and contains its utility and probability values across.
       ⇔relevant transactions.
          pnu_list = []
          # Iterate through each item and its associated transactions in trans dict
          for item, transactions in trans_dict.items():
              utility_values_list = [] # List to store utility values for the_
       ⇔current item
               # Create a PNUList for the current item
              pnu = PNUList({item}, utility_values_list)
               # Calculate utility values for the item in each transaction
              for trans in transactions:
                   # Get utility values from the transaction
                   pro = trans.get_probability_of_item_set({item}) # Probability of_u
       → the item
                   pu = trans.get_positive_utility_of_item_set({item}) # Positive_u
        →utility of the item
                   nu = trans.get_negative_utility_of_item_set({item}) # Negative_u
       ⇔utility of the item
                   ru = trans.get_remaining_utility_of_item_set([item]) # Remaining_u
       →utility of the item
```

```
# Create a Utilities object to store values for the transaction
utility_values = Utilities(trans.id, pro, pu, nu, ru)

# Add the utility values to the list for this item
utility_values_list.append(utility_values)

# Add the PNUList for this item to the main list
pnu_list.append(pnu)

# Return the list of PNULists
return pnu_list
```

```
Example:
[47]: | item_list: list[Item] = [a, d]
      dataset: list[Transaction] = [t1, t2, t3, t4, t5]
      trans_dict: dict[Item, set[Transaction]] = create_transaction_dictionary(
          item_list, dataset
      pnu_lists: list[PNUList] = create_pnu_lists(trans_dict)
      print(pnu lists)
     [PNUList(
       Items: [a]
       Utility Values:
     [Utilities(tid=3, pro=0.25, pu=3, nu=0, ru=0),
     Utilities(tid=1, pro=0.1, pu=15, nu=0, ru=0),
     Utilities(tid=4, pro=0.8, pu=3, nu=0, ru=0),
     Utilities(tid=2, pro=0.4, pu=3, nu=0, ru=0),
     Utilities(tid=5, pro=0.1, pu=3, nu=0, ru=0)]
     ), PNUList(
       Items: [d]
       Utility Values:
     [Utilities(tid=2, pro=0.1, pu=12, nu=0, ru=3),
     Utilities(tid=1, pro=0.5, pu=24, nu=0, ru=15)]
     )]
[48]: | def find_tuple_by_trans_id(P: PNUList, target_trans_id: int) -> Utilities | ___
       →None:
          Finds a `Utilities` tuple in the utility values of a PNUList by transaction_
       \hookrightarrow ID.
          Args:
              P (PNUList): The PNUList object containing utility values as a list of | |
       → `Utilities` objects.
              target_trans_id (int): The transaction ID to search for.
```

```
Returns:

Utilities | None: The `Utilities` object with the matching transaction

ID if found.

Returns `None` if no match is found.

"""

# Get the list of Utilities objects from the PNUList
utilities_list: list[Utilities] = P.utility_values

# Iterate through each Utilities object to find the one with the matching_
transaction ID

for iTuple in utilities_list:
    if iTuple.tid == target_trans_id: # Match found
        return iTuple

# Return None if no matching transaction ID is found
return None
```

```
[49]: a_pnuList = pnu_lists[0]
  target_trans_id = 2
  print(
     "PNU_List(a) in trans2 is: "
     + str(find_tuple_by_trans_id(a_pnuList, target_trans_id))
)
```

PNU_List(a) in trans2 is: Utilities(tid=2, pro=0.4, pu=3, nu=0, ru=0)

```
[50]: def utility list construct(
          P: PNUList,
          Px: PNUList,
          Py: PNUList,
          min_util: int,
          user_prob_threshold: float,
      ) -> PNUList | None:
          Constructs the utility list for the combined item set (Px U Py) by _{\sqcup}
       \hookrightarrow processing
          the utility lists of two item sets (Px and Py) with optional reference to a
          parent item set (P).
          Args:
               P (PNUList): The parent PNUList containing the utility values of the \Box
       ⇔combined items' parent set.
                             It can be used for normalization or overlap calculations.
              Px (PNUList): The PNUList of the first item set.
```

```
Py (PNUList): The PNUList of the second item set.
       min util (int): The minimum utility threshold for the combined item set.
       user_prob_threshold (float): The minimum probability threshold for the ...
\hookrightarrow combined item set.
  Returns:
       PNUList | None: The PNUList for the combined item set (Px Py) if it_{11}
\hookrightarrow satisfies the
                       qiven thresholds.
   11 11 11
   # Check if Px or Py is empty or has no utility values
   if not Px or not Py or not Px.utility values or not Py.utility values:
       return None
  # Combine the item sets of Px and Py
  x = Px.item_set
  y = Py.item_set
  xy = x \mid y
   # Initialize the utility list for the combined item set
  utilities_list: list[Utilities] = []
  Pxy = PNUList(xy, utilities_list)
  # Create dictionaries for fast lookup of utility values by transaction ID
  y_dict = {utl.tid: utl for utl in Py.utility_values}
  p_dict = (
       {utl.tid: utl for utl in P.utility values} if P and P.utility values
⇔else {}
  )
   # Initialize combined probability and utility
  probability = Px.get_pro()
  utility = Px.get_pu() + Px.get_ru()
  # Iterate through the utility values of Px
  for xTuple in Px.utility_values:
       yTuple = y_dict.get(xTuple.tid, None) # Find matching utility in Py
       if yTuple: # If both Px and Py have values for the same transaction
           if P and P.utility_values: # If parent PNUList (P) is provided
               pTuple = p_dict.get(xTuple.tid, None) # Find matching utility_
\hookrightarrow in P
               if pTuple:
                   # Calculate utility values for the combined item set
                       1e-10 if pTuple.pro == 0 else pTuple.pro
                   ) # Avoid division by zero
```

```
xyTuple = Utilities(
                    xTuple.tid,
                    xTuple.pro * yTuple.pro / pro,
                    xTuple.pu + yTuple.pu - pTuple.pu,
                    xTuple.nu + yTuple.nu - pTuple.nu,
                    yTuple.ru,
                )
                utilities_list.append(xyTuple)
        else: # If parent PNUList (P) is not provided
            xyTuple = Utilities(
                xTuple.tid,
                xTuple.pro * yTuple.pro,
                xTuple.pu + yTuple.pu,
                xTuple.nu + yTuple.nu,
                yTuple.ru,
            )
            utilities_list.append(xyTuple)
    else: # If there is no match in Py
        probability -= xTuple.pro
        utility -= xTuple.pu + xTuple.ru
        # Stop processing if thresholds are not met
        if probability < user_prob_threshold or utility < min_util:</pre>
            return None
# Return the constructed PNUList for the combined item set
return Pxy
```

```
[51]: a_pnuList = pnu_lists[0]
d_pnuList = pnu_lists[1]
ad_pnuList = utility_list_construct(None, a_pnuList, d_pnuList, 2, 0.0)
print(ad_pnuList)

PNUList(
    Items: [d, a]
    Utility Values:
[Utilities(tid=1, pro=0.05, pu=39, nu=0, ru=15),
    Utilities(tid=2, pro=0.0400000000000001, pu=15, nu=0, ru=3)]
)

[52]: def mlist_construct_1(X: AbstractList, Y: MList, du: int) -> MList:
    """
    Constructs an MList object by combining the item sets of two input lists and initializing utility values based on the given remaining utility (du).
```

```
Arqs:
              X (AbstractList): An AbstractList object representing the first list
                                of items and their utility values.
              Y (MList): An MList object representing the second list of items,
                         subsets, and their utility values.
              du (int): The dynamic upper bound value for the combined MList.
          Returns:
              MList: The newly constructed MList object that combines the item sets
                     from X and Y, while retaining Y's subsets and utility values.
          \# Combine the item sets from X and Y
          xy_item_set: set[Item] = X.item_set | Y.item_set
          # Create a new MList object with combined item sets and initialized utility
       →values
          mlist = MList(xy_item_set, Y.subset, Y.subset_prefix, Y.utility_values, 0,__
       -du)
          # Return the constructed MList
          return mlist
[53]: def mlist construct 2(X: AbstractList, Y: PNUList, P: AbstractList, du: int) -> 1
       ⊸MI.ist:
          Constructs an MList object by combining the item sets of an AbstractList (X)
          and a PNUList (Y), while linking it to a parent AbstractList (P) and
          initializing utility values based on the given remaining utility (du).
          Arqs:
              X (AbstractList): An AbstractList object representing the first list
                                of items and their utility values.
              Y (PNUList): A PNUList object representing the second list of items,
                           subsets, and their utility values.
              P (AbstractList): A parent AbstractList object linked to the new MList.
              du (int): The dynamic upper bound value for the combined MList.
          Returns:
              MList: The newly constructed MList object that combines the item sets
                     from X and Y, links to P, and initializes utility values.
          \# Combine the item sets from X and Y
          xy_item_set: set[Item] = X.item_set | Y.item_set
          # Create a new MList object with combined item sets, linking to Y and P
          mlist = MList(xy_item_set, Y, P, Y.utility_values, 0, du)
```

```
# Return the constructed MList
return mlist
```

2.12 Database Projection

```
[54]: def create_database_projection(
          item_set: set[Item], trans_dict: dict[Item, set[Transaction]]
      ) -> set[Transaction]:
          Creates a projected database by finding the intersection of transactions
          that contain all items in the given item set.
          Args:
              item_set (set[Item]): The set of items for which the projected database
                                     needs to be created.
              trans dict (dict[Item, set[Transaction]]): A dictionary mapping each
       \hookrightarrow item
                                                          to the set of transactions in
                                                          which it appears.
          Returns:
              intersection trans (set[Transaction]): A set of transactions that \sqcup
       ⇔contain all items in the item set.
                                 Returns an empty set if no transactions contain all_
       ⇔items.
          # Initialize an empty set to store the intersection of transactions
          intersection_trans = set()
          # Iterate through each item in the item set
          for item in item set:
              if not intersection trans:
                  # Initialize the intersection with the transactions of the first,
       \rightarrow item
                  intersection_trans = set(trans_dict.get(item, set()))
                  continue
              # Perform intersection with transactions containing the current item
              intersection_trans &= trans_dict.get(item, set())
          # Return the final set of intersected transactions
          return intersection_trans
```

```
[55]: item_list: list[Item] = [a, b, c, d, e]
    dataset: list[Transaction] = [t1, t2, t3, t4, t5]
    trans_dict: dict[Item, set[Transaction]] = create_transaction_dictionary(
```

```
item_list, dataset
)
print("Database Projection of {a, c} is: ")
create_database_projection({a, c}, trans_dict)
```

Database Projection of {a, c} is:

0.0.4 3. Pruning strategies

- 3.1 Max Periodic & Average Periodic Pruning Strategy Given an itemset X,
 - If maxPer(X) > maxPer, then neither X nor its extensions will be a periodic pattern.
 - If maxPer(X) max_per_threshold, we say that X passes maxPer condition.
 - If $avgPer(X) > max_avg_threshold$, then neither X nor its extensions will be a periodic pattern.
 - If avgPer(X) max_avg_threshold, we say that X passes maxPer condition.
- **3.2 Transaction Weight Utility Pruning Strategy** For any single item \mathbf{i} , if $\mathrm{TWU}(\mathbf{i}) < \mathrm{minUtility}$, then any itemset X containing \mathbf{i} will not be a high-utility itemset.

1. Calculate TWU

 $TWU(X) = \Sigma X Tj, Tj DPU(Tj)$

2. Pruning Rule

+ If TWU(X) < min_util: Discard X. + If TWU(X) >= min_util: Retain X.

3. Example

 $+ \min_{\text{util}} = 25 + \text{TWU}(\{a\}) = 33$: Retained. $+ \text{TWU}(\{b\}) = 23$: Discarded $+ \text{TWU}(\{c\}) = 28$: Retained.

Benefits - Reduces search space. - Focuses on potential item-sets.

3.3 The Estimated Utility Co-occurrence pruning Strategy with Threshold (EUCST)

```
trans_dict: dict[Item, set[Transaction]],
    min_util: int,
) -> dict[frozenset, int]:
    Creates an EUCST (Efficient Utility Co-occurrence Support Threshold) ⊔
 \hookrightarrow dictionary.
    The function calculates the Transaction Weighted Utility (TWU) for all_{\sqcup}
 ⇔pairs of items
    that >= the minimum utility threshold (`min_util`).
    Args:
        sorted_item_list (list[Item]): A sorted list of items by descending TWU.
        database (list[Transaction]): A list of transactions containing_
 \hookrightarrow item-sets.
        trans\_dict (dict[Item, set[Transaction]]): A dictionary mapping items_{\sqcup}
 ⇔to the set of
            transactions in which they appear.
        min_util (int): The minimum utility threshold to filter item pairs.
    Returns:
        eucst_dict (dict[frozenset, int]): A dictionary where keys are_
 → frozen-sets of item pairs and utility value
    Example:
        >>> sorted_item_list = [a, b, c]
        >>> database = [t1, t2, t3, t4]
        >>> trans_dict = {
                a: \{t1, t2\},\
               b: {t2},
               c: {t3, t4}
        ... }
        >>> min util=15
        >>> create_eucst_dict(sorted_item_list, database, trans_dict, min_util)
        {frozenset({"a", "b"}): 16, frozenset({"a", "c"}): 18}
    Dependencies:
        - `Transaction.get_positive_utility_of_trans`: Computes the positive_\
 \neg utility of a transaction.
    11 11 11
    # Calculate all positive utility of transaction in database, and store in all
 \rightarrow dictionary
    transaction_twu = {
        trans: trans.get_positive_utility_of_trans() for trans in database
```

```
# Create a dictionary with key is a item and value is a list of transaction,
⇒that contains item
  eucst dict = {}
  n = len(sorted_item_list)
  for i in range(n):
      item1 = sorted_item_list[i]
      for j in range(i + 1, n):
           item2 = sorted_item_list[j]
           # Using AND operator to get list of transaction that contains item_
⇔set {item1, item2}
          relevant transactions: set[Transaction] = trans dict.get(
              item1, set()
          ) & trans_dict.get(item2, set())
           # Calculate sum utility in that transaction list, NOT in database
          twu = sum(transaction_twu[trans] for trans in relevant_transactions)
          if twu >= min_util:
               eucst_dict[frozenset({item1, item2})] = twu
  return eucst_dict
```

```
[57]: | item_list: list[Item] = list([a, b, c, d, e])
      dataset: list[Transaction] = [t1, t2, t3, t4, t5]
      trans dict: dict[Item, set[Transaction]] = create transaction dictionary(
          item_list, dataset
      min util = 50
      sorted_item_list = sort_items_by_twu_and_utility(item_list)
      old_eucst = create_eucst_dict(sorted_item_list, dataset, trans_dict, 50)
      print("EUCST Dictionary (min_util = 50): ")
      old_eucst
     EUCST Dictionary (min_util = 50):
[57]: {frozenset({b, d}): 51,
       frozenset({a, b}): 51,
       frozenset({b, c}): 51,
       frozenset({a, d}): 66,
       frozenset({c, d}): 66,
       frozenset({a, c}): 69}
[58]: def update_eucst_dict(
          eucst_dict: dict[frozenset, int], min_util: int
      ) -> dict[frozenset, int]:
```

```
Filters an EUCST dictionary to retain only item pairs whose Transaction
       ⇔Weighted Utility (TWU)
          meets or exceeds a specified minimum utility threshold.
          Args:
              eucst dict (dict[frozenset, int]): A dictionary where keys are item,
       \hookrightarrow pairs
                                                   represented as frozenset and values
       \hookrightarrow are their TWU.
              min util (int): The minimum utility threshold for retaining item pairs.
          Returns:
              dict[frozenset, int]: A filtered dictionary containing only the item_
       ⇔pairs whose TWU
                                      is greater than or equal to `min_util`.
          11 11 11
          # Filter the dictionary to retain only item pairs with TWU >= min_util
          return {key: twu for key, twu in eucst_dict.items() if twu >= min_util}
     Example:
[59]: new_eucst = update_eucst_dict(old_eucst, 60)
      print("EUCST Dictionary (min_util = 60): ")
      new_eucst
```

EUCST Dictionary (min_util = 60):

[59]: {frozenset({a, d}): 66, frozenset({c, d}): 66, frozenset({a, c}): 69}

3.4 The concept of Leaf Itemset Utility (LIU)

[60]: def create_liu_dict(item_list: list, trans_dict: dict[Item, set[Transaction]])__ →-> dict[frozenset[Item], int]:

Creates a dictionary of item pairs and their corresponding utility values \sqcup → (LIU - Local Item Utility).

This function calculates the utility of all pairs of items in a given list \sqcup ⇒by finding common

transactions and summing the utilities for each pair. The result is stored, *⇔in a dictionary*

where keys are frozen-sets of item pairs and values are their respective \Box $\hookrightarrow utilities.$

Args:

 $item_list$ (list[Item]): A list of items for which pairwise utilities \sqcup *⇔are to be calculated.*

```
trans\_dict (dict[Item, set[Transaction]]): A dictionary mapping items_\(\)
\hookrightarrow to the set of
                                        transactions containing them.
         Returns:
                         liu dict (dict[frozenset[Item], int]): A dictionary where:
                                        - Keys are frozen-sets of item pairs.
                                        - Values are the utility values of the corresponding item pairs.
         Example:
                        >>> item_list = [a, b, c]
                        >>> trans_dict = {
                                                  Item("a"): {Transaction(1), Transaction(2)},
                                                    Item("b"): {Transaction(2)},
                                                   Item("c"): {Transaction(1)}
                         ... }
                        >>> create_liu_dict(item_list, trans_dict)
                         \{frozenset(\{"a", "b"\}): 10, frozenset(\{"a", "c"\}): 15, frozenset(\{"b", \sqcup b", \sqcup b"\}): 10, frozenset(\{"b", \sqcup b", \sqcup b", \sqcup b"\}): 10, frozenset(\{"b", \sqcup b", \sqcup b", \sqcup b"\}): 10, frozenset(\{"b", \sqcup b", \sqcup b",
Dependencies:
                         - `Transaction.get_utility_of_item_set`: Calculates the utility of a_{\sqcup}
⇒qiven itemset in a transaction.
         n = len(item list)
         liu_dict: dict[frozenset[Item], int] = {}
         # Generate all pairs of items using nested loops
         for i in range(n):
                         for j in range(i + 1, n):
                                        item1 = item_list[i]
                                        item2 = item_list[j]
                                        # Retrieve transactions containing item1 and item2
                                        trans1 = trans_dict.get(item1, set())
                                       trans2 = trans_dict.get(item2, set())
                                        # Find common transactions for the pair
                                        relevant_transactions = trans1 & trans2
                                        # Calculate the utility of the item pair
                                        utility = sum(
                                                      trans.get_utility_of_item_set({item1, item2})
                                                      for trans in relevant_transactions
                                        )
                                        # Add the pair and its utility to the dictionary
                                        liu_dict[frozenset((item1, item2))] = utility
```

```
return liu_dict
```

```
[61]: item_list: list[Item] = [a, b, c, d]
    trans_dict: dict[Item, set[Transaction]] = create_transaction_dictionary(
        item_list, dataset
    )
    liu_dict = create_liu_dict(item_list, trans_dict)
    liu_dict
```

```
[61]: {frozenset({a, b}): 27,
    frozenset({a, c}): 12,
    frozenset({a, d}): 54,
    frozenset({b, c}): 9,
    frozenset({b, d}): 36,
    frozenset({c, d}): 30}
```

3.5 Remaining Utility Pruning (RM) Let X be an itemset.

- If $\mathbf{RU}(X) + \mathbf{U}(X) < \mathbf{minUtility}$, then X and its extensions will not be high-utility itemsets.
- If RU(X) + U(X) minUtility, we say X passes RU condition.

3.6 Dynamical Upper Bound

```
[62]: def calculate_dynamic_upper_bound(
          Y: AbstractList,
          X: PNUList,
          utility_array: list[int],
          item_index_dict: dict[Item, int],
      ) -> int:
          11 11 11
          Calculates the dynamic upper bound (DU) for a given item set.
          Args:
              Y (AbstractList): The current AbstractList (can be PNUList or MList)
                                for which the dynamic upper bound is being calculated.
              X (PNUList): The parent PNUList containing the larger item set.
              utility array (list[int]): An array of utility values for items.
              item_index_dict (dict[Item, int]): A dictionary mapping each item to
                                                  its index in the utility array.
          Returns:
              du (int): The computed dynamic upper bound for the given item set.
```

```
# Find the item present in X but not in Y
x = next(iter(X.item_set - Y.item_set))

# Initialize the utility of the extra item to 0
x_util = 0

# Get the utility of the extra item if its utility is positive
if x.utility > 0:
    x_util = get_value_from_bin(x, utility_array, item_index_dict)

# Calculate the dynamic upper bound based on the type of Y
if isinstance(Y, MList):
    # For MList, use ru and pu attributes
    return Y.ru + Y.pu + x_util
else:
    return Y.get_ru() + Y.get_pu() + x_util
```

0.0.5 4. Threshold raising strategies

4.1 Positive Real Item Utility strategy

```
[63]: def priu_pruning(utility_arr: list[int], k: int) -> int:
          Determines the k-th largest utility value for pruning purposes in top-k_{\sqcup}
       \hookrightarrowmining.
          This function sorts the input utility array in descending order and returns:
          - The k-th largest utility value if `k` is within bounds.
          - The smallest value in the array if `k` exceeds the length of the array.
          - `O` if the input array is empty.
          Args:
               utility_arr (list[int]): A list of utility values.
               k (int): The rank of the utility value to retrieve (1-based index).
          Returns:
               int: The k-th largest utility value, the smallest value in the array, \Box
       \hookrightarrow or `0` if the array is empty.
          Example:
              >>> priu_pruning([10, 5, 8, 3], 2)
              >>> priu_pruning([], 1)
          Notes:
               - The input list is not modified; a sorted copy is used internally.
```

```
- The function assumes `k` is a positive integer.

"""

# Sort the utility array in descending order
sorted_util: list[int] = sorted(utility_arr, reverse=True)

# If the sorted list is empty, return 0
if not sorted_util:
    return 0

# Return the k-th largest value if within bounds, otherwise the smallest_
value in the array
return sorted_util[-1] if k > len(utility_arr) else sorted_util[k - 1]
```

```
[64]: item_list: list[Item] = [a, b, c, d, e]
dataset: list[Transaction] = [t1, t2, t3, t4, t5]
_, _, utility_arr, _ = create_prob_twu_utility_bin_array(item_list, dataset)
print(sorted(util_arr, reverse=True))
print("2-th highest: " + str(priu_pruning(utility_arr, 2)))
```

[36, 27, 12, 0, -9] 2-th highest: 27

4.2 Positive LIU-Exact strategy

```
[65]: def pliue_strategy(lius: dict[frozenset[Item], int], k: int, current_min_util:___
       ⇒int):
          11 11 11
          Updates the minimum utility threshold based on the top-k utilities from the \sqcup
       \hookrightarrow lius dictionary.
          This function extracts utility values from the given dictionary, sorts them,
       ⇔in descending order,
          and determines the k-th largest utility value. If the k-th utility value is \sqcup
       ⇔greater than the current
          minimum utility threshold, the threshold is updated.
          Arqs:
               lius (dict[frozenset[Item], int]):
                   A dictionary where keys are item sets (as frozen sets of `Item`)
                   and values are their corresponding utility values.
              k (int):
                   The rank of the utility value to consider (1-based index).
               current_min_util (int):
                   The current minimum utility threshold to be potentially updated.
          Returns:
```

```
new_min_util (int): The updated minimum utility threshold.
  Example:
      >>> lius = {frozenset(['a', 'b']): 10, frozenset(['c', 'd']): 5,__

¬frozenset(['d', 'e']): 15}
      >>> pliue strategy(lius, 2, 8)
      >>> pliue_strategy(lius, 3, 8)
  Notes:
      - If `k` exceeds the number of utilities in `lius`, the smallest_{\sqcup}
⇔utility in the list is used.
       - This function assumes `lius` contains valid integer utility values.
  piqu_liu = list()
  for _, utility in lius.items():
      piqu_liu.append(utility)
  piqu_liu.sort(reverse=True)
  max_index = len(piqu_liu) - 1 if k > len(piqu_liu) else k - 1
  if piqu_liu[max_index] > current_min_util:
      current_min_util = piqu_liu[max_index]
  return current_min_util
```

```
[66]: item_list: list[Item] = [a, b, c, d]
    dataset: list[Transaction] = [t1, t2, t3, t4, t5]
    trans_dict: dict[Item, set[Transaction]] = create_transaction_dictionary(
        item_list, dataset
)
    liu_dict = create_liu_dict(item_list, trans_dict)
    min_util = 5
    print("min_util (before) = " + str(min_util))
    min_util = pliue_strategy(liu_dict, 5, min_util)
    print("min_util (after) = " + str(min_util))
min_util (before) = 5
min_util (before) = 12
```

4.3 COVL strategy

```
[67]: def covl_construct(
          sorted_list: list[Item],
          eucst_dict: dict[frozenset[Item], int],
          utility_arr: list[int],
          item_index_dict: dict[Item, int],
          trans_dict: dict[Item, set[Transaction]],
      ) -> list[int]:
          covl_list = list()
          Constructs the COVL list, which contains utilities of item sets with \Box
       ⇔coverage.
           The function iterates through a sorted list of items, calculates the \Box
       \neg utility of item pairs
           based on their transaction weighted utilization (TWU), and adjusts for |
       \hookrightarrow coverage utilities.
           The resulting COVL list is sorted in descending order.
          Args:
              sorted\_list (list[Item]): A list of items sorted in descending order by \sqcup
        \hookrightarrow TWU.
               eucst_dict (dict[frozenset[Item], int]): A dictionary mapping item_
        ⇒pairs (as frozen sets)
                   to their TWU values.
               utility_arr (list[int]): An array of utility values for items.
               item_index_dict (dict[Item, int]): A dictionary mapping items to their ⊔
       ⇔indices in the utility array.
               trans dict (dict[Item, set[Transaction]]): A dictionary mapping items,
       ⇔to their associated transactions.
          Returns:
               list[int]: The COVL list, containing utilities of item sets, sorted in
       \hookrightarrow descending order.
          Dependencies:
               - `calculate_utility_of_item_set_in_database`: Computes utility for⊔
        \hookrightarrow item sets.
               - `get_value_from_bin`: Retrieves utility values from the array using ∪
       \hookrightarrow item indices.
          Returns:
               - A sorted list of computed utilities for overlapping item sets.
          # Iterate through the sorted list of items
          for i in range(len(sorted_list)):
```

```
x: Item = sorted_list[i] # Current item
      coverage_list = list() # Initialize coverage list for item x
      # Iterate through the remaining items in the sorted list
      for j in range(i + 1, len(sorted_list)):
          y: Item = sorted_list[j] # Potential pair for item x
          xy: set[Item] = \{x, y\}
          key = frozenset(xy) # Key for the item pair
          xy_twu = eucst_dict.get(key) # Get TWU for the pair
          \# Check if the TWU matches item x's TWU
          if x.twu == xy_twu:
              coverage_list.append(y)
      r = len(coverage_list) # Size of the coverage list
      # If no items are covered, append a placeholder
      if r == 0:
          coverage_list.append(-1)
      else:
          util: int = 0 # Initialize utility value
          # Calculate utility for each covered pair
          for z in range(0, r):
              util += calculate_utility_of_item_set_in_database(
                  {x, coverage_list[z]}, trans_dict
              )
          # Subtract overlap utility based on the coverage size
          util -= (r - 1) * get_value_from_bin(x, utility_arr,_
→item_index_dict)
          # Append the computed utility to the COVL list
          covl_list.append(util)
  # Sort the COVL list in descending order
  covl_list.sort(reverse=True)
  return covl_list
```

```
[68]: item_list: list[Item] = [a, b, c, d, e]
    dataset: list[Transaction] = [t1, t2, t3, t4, t5]
    trans_dict: dict[Item, set[Transaction]] = create_transaction_dictionary(
        item_list, dataset
)
    min_util = 5
```

COVL: [48, 48]

0.0.6 5. Algorithms

5.1 Based on PHMN Algorithm

```
[69]: def phui_searching_procedure(
          PList: AbstractList,
          lists: list[AbstractList],
          current_min_util: int,
          min_per_threshold: int,
          max_per_threshold: int,
          min_avg_threshold: int,
          max_avg_threshold: int,
          user_prob_threshold: float,
          database: list[Transaction],
          eucs dict: dict[frozenset[Item], int],
          item_transId_dict: dict[Item, list[int]],
          k: int,
          topk_queue: PriorityQueue,
      ):
          Recursive procedure to mine Periodic High-Utility Item-sets (PHUI) with \Box
       \ominus enhanced strategies.
          Arqs:
               PList (AbstractList): Parent list representing the prefix structure.
               lists (list[AbstractList]): Current lists of candidate item-sets for □
               current_min_util (int): Current minimum utility threshold for pruning.
               min_per_threshold (int): Minimum periodicity threshold for item-sets.
               max per threshold (int): Maximum periodicity threshold for item-sets.
               min_avg_threshold (int): Minimum average periodicity threshold for ___
        \hookrightarrow item-sets.
               {\it max\_avg\_threshold} (int): Maximum average periodicity threshold for {\it log}
       \hookrightarrow item-sets.
               user_prob_threshold (float): Minimum probability threshold for_
        \hookrightarrow item-sets.
```

```
db (list[Transaction]): Transaction database.
       utility_array (list[int]): Array of utility values for items.
       item_index_dict (dict[Item, int]): Dictionary mapping items to their_
→indices in the utility array.
       eucs\_dict (dict[frozenset[Item], int]): Dictionary storing TWU values_{\sqcup}
\hookrightarrow of item-sets.
       item\_transId\_dict (dict[Item, list[int]]): Dictionary mapping items to \sqcup
\hookrightarrow transaction IDs.
       k (int): Maximum number of high-utility item-sets to retain.
       topk\_queue (PriorityQueue): Priority queue for storing top-k_{\sqcup}
\hookrightarrow high-utility item-sets.
  Returns:
       None: The results are updated directly in the `topk_queue`.
  Notes:
       - Applies utility and periodicity constraints to prune the search space.
       - Utilizes dynamic upper bound calculations to further refine_
\hookrightarrow candidates.
       - Recursively explores and constructs new candidate item-sets for \Box
\hookrightarrowmining.
   11 11 11
  for i in range(len(lists)):
       XList: AbstractList = lists[i]
       XList_utility = XList.get_utility()
       XList_prob = XList.get_pro()
       XList_ru = XList.get_ru()
       min_per, max_per, avg_per = find_min_max_avg_periodic_of_item_set(
           XList.item_set, database, item_transId_dict
       # Check High utility and Expected Pattern
       if (
           XList_utility >= current_min_util
           and round(XList_prob, 3) >= user_prob_threshold
       ):
           # Check Periodic Pattern
           if (
               min_per >= min_per_threshold
               and max_per <= max_per_threshold</pre>
               and avg_per >= min_avg_threshold
               and avg_per <= max_avg_threshold</pre>
           ):
                # Push new high utility item-set to queue,
               topk_queue.push(
                    XList_utility, XList.item_set, Periodic(min_per, max_per,__
→avg_per)
```

```
# Update update min (k-th) utility if the queue is full
               if len(topk_queue.heap) == k:
                   current_min_util = topk_queue.get_min_utility()
       # Prune or continue exploration based on RU, Max, Avg Pruning
       if (
           max_per <= max_per_threshold</pre>
           and avg_per <= max_avg_threshold</pre>
           and XList_ru + XList_utility >= current_min_util
           and round(XList_prob, 3) >= user_prob_threshold
      ):
           new_lists: list[AbstractList] = list()
           for j in range(i + 1, len(lists)):
               YList: PNUList = lists[j]
               x = XList.item_set.difference(PList.item_set)
               y = YList.item_set.difference(PList.item_set)
               key = frozenset(x | y)
               twu_value = eucs_dict.get(key, -1)
               # TWU pruning
               if twu_value >= current_min_util:
                   # Construct new PNUList
                   ZList: PNUList = utility_list_construct(
                       PList, XList, YList, current_min_util, __
→user_prob_threshold
                   if ZList:
                       new_lists.append(ZList)
           if new_lists:
               phui_searching_procedure(
                   XList,
                   new_lists,
                   current_min_util,
                   min_per_threshold,
                   max_per_threshold,
                   min_avg_threshold,
                   max_avg_threshold,
                   user_prob_threshold,
                   database,
                   eucs_dict,
                   item_transId_dict,
                   k,
                   topk_queue,
               )
```

5.2 Based on PHMN+ Algorithm

```
[70]: def phui_searching_procedure_plus(
          PList: AbstractList,
          lists: list[AbstractList],
           current_min_util: int,
          min_per_threshold: int,
          max_per_threshold: int,
          min_avg_threshold: int,
          max_avg_threshold: int,
          user_prob_threshold: float,
          db: list[Transaction],
          utility array: list[int],
          item index dict: dict[Item, int],
          eucs_dict: dict[frozenset[Item], int],
          item_transId_dict: dict[Item, list[int]],
          k: int,
          topk_queue: PriorityQueue,
      ) -> None:
           11 11 11
          Recursive procedure to mine Periodic High-Utility Item-sets (PHUI) with \Box
        ⇔enhanced strategies.
          Args:
               PList (AbstractList): Parent list representing the prefix structure.
               lists (list[AbstractList]): Current lists of candidate item-sets for \Box
        \hookrightarrow exploration.
               current min util (int): Current minimum utility threshold for pruning.
               min_per_threshold (int): Minimum periodicity threshold for item-sets.
               max per threshold (int): Maximum periodicity threshold for item-sets.
               min_avg_threshold (int): Minimum average periodicity threshold for_
        \hookrightarrow item-sets.
               max\_avg\_threshold (int): Maximum average periodicity threshold for \sqcup
        \hookrightarrow item-sets.
               user prob threshold (float): Minimum probability threshold for |
        ⇔item-sets.
               db (list[Transaction]): Transaction database.
               utility_array (list[int]): Array of utility values for items.
               item index dict (dict[Item, int]): Dictionary mapping items to their
        →indices in the utility array.
               eucs dict (dict[frozenset[Item], int]): Dictionary storing TWU values
        \hookrightarrow of item-sets.
               item\_transId\_dict (dict[Item, list[int]]): Dictionary mapping items to \sqcup
        \hookrightarrow transaction IDs.
               k (int): Maximum number of high-utility item-sets to retain.
               topk\_queue (PriorityQueue): Priority queue for storing top-k_{\sqcup}
        \hookrightarrow high-utility item-sets.
```

```
Returns:
       None: The results are updated directly in the `topk queue`.
  Notes:
       - Applies utility and periodicity constraints to prune the search space.
       - Utilizes dynamic upper bound calculations to further refine_
\hookrightarrow candidates.
       - Recursively explores and constructs new candidate item-sets for ____
\hookrightarrowmining.
  11 11 11
  for i in range(len(lists)):
       XList: AbstractList = lists[i]
       x_utility = XList.get_utility()
       x_prob = XList.get_pro()
       x_ru = XList.get_ru()
       min_per, max_per, avg_per = find_min_max_avg_periodic_of_item_set(
           XList.item_set, db, item_transId_dict
       )
       # Check high utility and expected support pattern
       if x_utility >= current_min_util and round(x_prob, 3) >=_

user_prob_threshold:

           # Check periodic pattern
           if (
               min_per >= min_per_threshold
               and max_per <= max_per_threshold</pre>
               and avg_per >= min_avg_threshold
               and avg_per <= max_avg_threshold</pre>
           ):
               # Push new high utility item-set to queue,
               topk_queue.push(
                   x_utility, XList.item_set, Periodic(min_per, max_per,__
→avg_per)
               # Update update min (k-th) utility if the queue is full
               if len(topk queue.heap) == k:
                   current_min_util = topk_queue.get_min_utility()
       # Prune or continue exploration based on RU strategy, max and avgu
⇔pruning
       if (
           max_per <= max_per_threshold</pre>
           and avg_per <= max_avg_threshold
           and x_ru + x_utility >= current_min_util
           and round(x_prob, 3) >= user_prob_threshold
       ):
           new_lists: list[AbstractList] = list()
           for j in range(i + 1, len(lists)):
               YList: AbstractList = lists[j]
```

```
x: set[Item] = XList.item_set.difference(PList.item_set)
               y: set[Item] = YList.item_set.difference(PList.item_set)
               twu_value: int = eucs_dict.get(frozenset(x | y), -1)
               # Apply TWU pruning
               if twu_value >= current_min_util:
                   du = calculate_dynamic_upper_bound(
                       YList, XList, utility_array, item_index_dict
                   )
                   # Apply DU pruning
                   if du >= current_min_util:
                       if isinstance(YList, MList):
                           # Construct PNUList base on MList
                           ZList: PNUList = utility_list_construct(
                               YList.subset_prefix,
                               XList,
                               YList.subset,
                               current_min_util,
                               user_prob_threshold,
                           )
                           if ZList:
                               new_lists.append(ZList)
                       else:
                           # Construct PNUList base on PNUList
                           ZList: PNUList = utility list construct(
                               PList,
                               XList.
                               YList,
                               current_min_util,
                               user_prob_threshold,
                           )
                           if ZList:
                               new_lists.append(ZList)
                   else:
                       if isinstance(YList, MList):
                           # Construct MList
                           ZMlist: MList = mlist_construct_1(XList, YList, du)
                           new_lists.append(ZMlist)
                       else:
                           # Construct MList
                           ZMlist: MList = mlist_construct_2(XList, YList, __
→PList, du)
                           new_lists.append(ZMlist)
           if new_lists:
               phui_searching_procedure_plus(
                   XList,
                   new_lists,
                   current_min_util,
```

```
min_per_threshold,
  max_per_threshold,
  min_avg_threshold,
  max_avg_threshold,
  user_prob_threshold,
  db,
  utility_array,
  item_index_dict,
  eucs_dict,
  item_transId_dict,
  k,
  topk_queue,
)
```

```
[71]: def topk_mining_based_on_PHUI(
           database: list[Transaction],
           item list: list[Item],
           k: int,
           min_per_threshold: int,
           max_per_threshold: int,
           min_avg_threshold: int,
           max_avg_threshold: int,
           min_prob: float,
           is_plus: bool,
      ) -> PriorityQueue:
           Searching for Top-K Periodic High-Utility Item-sets from uncertain ⊔
        {\scriptscriptstyle \hookrightarrow} databases with both positive and negative utilities
           Based on PHMN and PHMN+ algorithm
           Args:
                database (list[Transaction]): A list of transactions containing_
        \hookrightarrow item-sets.
                item list (list[Item]): A list of items to be considered for mining.
                k (int): The maximum number of high-utility item-sets to retrieve.
                min_per_threshold (int): The minimum periodicity threshold for an ...
        \rightarrow itemset.
                max_per_threshold (int): The maximum periodicity threshold for an □
        \hookrightarrow itemset.
               min_avq_threshold (int): The minimum average periodicity threshold for_
        \hookrightarrow an itemset.
                max_avg_threshold (int): The maximum average periodicity threshold for_
        \hookrightarrow an itemset.
                min prob (float): The minimum probability threshold for an itemset to_{\sqcup}
        \hookrightarrow qualify.
```

```
is plus (bool): If `True`, `use phui searching procedure plus` to_{\sqcup}
\hookrightarrow search,
                        else `phui_searching_procedure`
  Returns:
      PriorityQueue: A priority queue containing the top-k high-utility,
\hookrightarrow item-sets.
  Example:
       >>> database = [t1, t2, t3, t4,...]
      \Rightarrow \Rightarrow item_list = [a, b, c, d, e]
      >>> k = 3
      >>> topk_queue = topk_mining_based_on_PHUI(
      ... database, item_list, k, 2, 10, 1, 8, 0.2, True
      >>> topk_queue.print_items()
  # Create priority to contains top-k HUI
  topk_queue = PriorityQueue(k)
  user_prob_threshold = round(min_prob * len(database), 3)
  # Create a utility array & and a dictionary to search
  prob_arr, twu_arr, utility_arr, item_index_dict =
⇒create_prob_twu_utility_bin_array(
      item_list, database
  positive_utility_arr = [u for u in utility_arr if u > 0]
  # First update min util = the k-th highest utility value (using RIU_{11}
⇔strategy)
  current_min_util: int = priu_pruning(positive_utility_arr, k)
  # Create a list that contains all items is unqualified
  removed_list: set[Item] = set()
  # Create a diction contains item: list[transaction id]
  item_transId_dict: dict[Item, list[int]] = create_item_transId_dict(
       item_list, database
  for i in range(len(item_list)):
       _, max_per, avg_per = find_min_max_avg_periodic_of_item_set(
           {item_list[i]}, database, item_transId_dict
       )
       if (
           max_per <= max_per_threshold
           and avg_per <= max_avg_threshold</pre>
           and twu_arr[i] >= current_min_util
```

```
and round(prob_arr[i], 3) >= user_prob_threshold
      ):
          item_list[i].twu = twu_arr[i]
          removed_list.add(item_list[i])
  # Remove unqualified item
  new_distinct_items = [item for item in item_list if item not in_
→removed_list]
  # Sort item list by order
  new_distinct_items = sort_items_by_twu_and_utility(new_distinct_items)
  # Remove unqualified items from transaction
  for trans in database:
      for item in removed list:
          trans.trans_items_dict.pop(item, None)
          try:
              trans.trans_items_list.remove(item)
          except ValueError:
              pass
      trans.sort_trans_items_by_twu_and_utility()
  # Convert database - list[Transaction] into dict[Item, set[Transaction]]
  trans_dict: dict[Item, set[Transaction]] = create_transaction_dictionary(
      new_distinct_items, database
  # Create list[AbstractList],
  pnu_lists: list[PNUList] = create_pnu_lists(trans_dict)
  # Create EUCST dict that contain twu > current_min_util of all 2-item-set
  eucst_dict: dict[frozenset[Item], int] = create_eucst_dict(
      new_distinct_items, database, trans_dict, current_min_util
  # Create CUDM dict that contain utility of all 2-item-set
  cudm_dict: dict[frozenset[Item], int] = create_liu_dict(
      new_distinct_items, trans_dict
  )
  # Update and increase current_min_util
  if cudm_dict:
      cud: int = pliue_strategy(cudm_dict, k, current_min_util)
      # Update current min_util = the k-th highest utility in CUDM dict
      current_min_util = max(current_min_util, cud)
  covl: list[int] = covl_construct(
      new_distinct_items, eucst_dict, utility_arr, item_index_dict, trans_dict
```

```
# Update and increase current_min_util
  if covl:
       current_min_util = max(current_min_util, covl[min(len(covl), k) - 1])
  eucst_dict: dict[frozenset, int] = update_eucst_dict(eucst_dict,__

¬current_min_util)
  root = PNUList({}, list())
  if is_plus:
      phui_searching_procedure_plus(
           root,
          pnu_lists,
           current_min_util,
          min_per_threshold,
          max_per_threshold,
          min_avg_threshold,
          max_avg_threshold,
          user_prob_threshold,
          database,
          utility_arr,
           item_index_dict,
           eucst_dict,
           item_transId_dict,
          k,
           topk_queue,
  else:
      phui_searching_procedure(
           root,
          pnu_lists,
           current_min_util,
          min_per_threshold,
          max_per_threshold,
          min_avg_threshold,
          max_avg_threshold,
          user_prob_threshold,
          database,
           eucst_dict,
           item_transId_dict,
           topk_queue,
  return topk_queue
```

5.3 Based on EFIM Algorithm

```
[72]: def efim_global_search(
    alpha: set[Item],
    primary: list[Item],
```

```
secondary: list[Item],
    min_util: int,
    min_per_threshold: int,
    max_per_threshold: int,
    min_avg_threshold: int,
    max_avg_threshold: int,
    user_prob_threshold: float,
    k: int,
    item dict: dict[Item, int],
    topk_queue: PriorityQueue,
    trans dict: dict[Item, set[Transaction]],
    item_transId_dict: dict[Item, list[int]],
    database: list[Transaction],
) -> None:
    11 11 11
    Recursive algorithm for mining top-k Periodic High-Utility Item-sets (PHUI)_{\sqcup}
 \hookrightarrow usinq
    the EFIM (Efficient High-Utility Itemset Mining) approach.
    Args:
         alpha (set[Item]): The current prefix itemset being explored.
        primary (list[Item]): List of primary items for further exploration.
         secondary (list[Item]): List of secondary items for conditional pattern \Box
 \hookrightarrowmining.
        min_util (int): Minimum utility threshold for pruning.
        min_per_threshold (int): Minimum periodicity threshold for item-sets.
        max per threshold (int): Maximum periodicity threshold for item-sets.
        min_avg_threshold (int): Minimum average periodicity threshold for_
 \hookrightarrow item-sets.
        max\_avg\_threshold (int): Maximum average periodicity threshold for \sqcup
 \hookrightarrow item\text{-sets}.
        user_prob_threshold (float): Minimum probability threshold for_
 \hookrightarrow item-sets.
         k (int): Maximum number of high-utility item-sets to retain.
         item_dict (dict[Item, int]): Dictionary of items and their_
 ⇔corresponding TWU values.
         topk\_queue (PriorityQueue): Priority queue for storing top-k_{\sqcup}
 \hookrightarrow high-utility item-sets.
         trans\_dict (dict[Item, set[Transaction]]): Dictionary mapping items to \sqcup
 ⇔the transactions they appear in.
        item\_transId\_dict (dict[Item, list[int]]): Dictionary mapping items to \sqcup
 \hookrightarrow transaction IDs.
         database (list[Transaction]): List of transactions representing the \Box
 \hookrightarrow database.
    Returns:
```

```
None: The results are directly updated in the `topk_queue`.
  Notes:
       - Applies TWU-based pruning, periodicity constraints, and subtree_{\sqcup}
\neg utility projections.
       - Recursively explores and mines candidate item-sets based on the EFIM,
\hookrightarrow strategy.
   n n n
  for pri_item in primary:
      # Combine the current prefix `alpha` with the primary item
      beta: set[Item] = alpha | {pri_item}
      # Calculate utility and probability of the combined itemset
      prob, util = calculate_probability_and_utility_of_item_set_in_database(
          beta, trans_dict
      )
      # Determine periodicity metrics for the itemset
      min_per, max_per, avg_per = find_min_max_avg_periodic_of_item_set(
           beta, database, item_transId_dict
      )
      # Check if the itemset qualifies as a top-k candidate
      if util >= min_util and round(prob, 3) >= user_prob_threshold:
           if (
               min per >= min per threshold
               and max_per <= max_per_threshold</pre>
               and avg_per >= min_avg_threshold
               and avg_per <= max_avg_threshold</pre>
           ):
               # Add the itemset to the priority queue
               topk_queue.push(util, beta, Periodic(min_per, max_per, avg_per))
               # Update the minimum utility threshold if the queue is full
               if len(topk_queue.heap) == k:
                   min_util = topk_queue.get_min_utility()
      # Check if further exploration is needed
      if (
           max_per <= max_per_threshold
           and avg_per <= max_avg_threshold
           and round(prob, 3) >= user_prob_threshold
      ):
           # Get the index of the current primary item in the secondary list
           i = secondary.index(pri_item)
           if i != -1 and i + 1 < len(secondary):
               second_item = secondary[i + 1]
```

```
# Prune based on utility and periodicity constraints
               if (
                   util < min_util
                   or round(prob, 3) < user_prob_threshold</pre>
                   or min_per < min_per_threshold</pre>
                   or max_per > max_per_threshold
                   or avg_per < min_avg_threshold</pre>
                   or avg_per > max_avg_threshold
               ) and second_item.utility < 0:
                   continue
           # Create a projected database for the combined itemset
           beta_dp: set[Transaction] = create_database_projection(beta,_
→trans_dict)
           # Compute local utility and subtree utility arrays
           lu_arr = create_local_utility_bin_array(beta, secondary, beta_dp)
           new_primary: list[Item] = list()
          new_secondary: list[Item] = list()
           # Update secondary and primary items based on local utility
           for j in range(i + 1, len(secondary)):
               if lu_arr[j] >= min_util:
                   new_secondary.append(secondary[j])
           su_arr = create_subtree_utility_bin_array(beta, new_secondary,__
→beta_dp)
           for j in range(len(new_secondary)):
               if su_arr[j] >= min_util:
                   new_primary.append(new_secondary[j])
           # Recursively mine new candidate item-sets
           if new_primary and new_secondary:
               efim_global_search(
                   beta,
                   new_primary,
                   new_secondary,
                   min_util,
                   min_per_threshold,
                   max_per_threshold,
                   min_avg_threshold,
                   max_avg_threshold,
                   user_prob_threshold,
                   k,
                   item_dict,
                   topk_queue,
                   trans_dict,
```

```
)
[73]: def topk_mining_based_on_EFIM(
           database: list[Transaction],
           item_list: list[Item],
           k: int,
           min_per_threshold: int,
           max_per_threshold: int,
           min_avg_threshold: int,
           max_avg_threshold: int,
           min_prob: float,
      ) -> PriorityQueue:
           Searching for Top-K Periodic High-Utility Item-sets from uncertain ⊔
        ⇒databases with both positive and negative utilities.
           Based on EFIM (A Highly Efficient Algorithm for High-Utility Itemset_{\sqcup}
        ⇔Mining) Algorithm
           Args:
               database (list[Transaction]): A list of transactions containing_
        \hookrightarrow item-sets.
               item_list (list[Item]): A list of items to be considered for mining.
               k (int): The maximum number of high-utility item-sets to retrieve.
               min_per_threshold (int): The minimum periodicity threshold for an_
        \hookrightarrow itemset.
               max_per_threshold (int): The maximum periodicity threshold for an □
        \hookrightarrow itemset.
               min_avg_threshold (int): The minimum average periodicity threshold for_
        \hookrightarrow an itemset.
               max_avg_threshold (int): The maximum average periodicity threshold for_
        \hookrightarrow an itemset.
               min prob (float): The minimum probability threshold for an itemset to_{\sqcup}
        \hookrightarrow qualify.
           Returns:
```

item_transId_dict,

database,

 $\hookrightarrow item-sets.$

PriorityQueue: A priority queue containing the top-k high-utility $_{\sqcup}$

```
>>> topk_queue.print_items()
  11 11 11
  if k <= 0:
      return
  # Init alpha with empty set
  alpha: set[Item] = set()
  topk_queue = PriorityQueue(k)
  current_min_util = 0
  user_prob_threshold = round(min_prob * len(database), 3)
  prob_arr, twu_arr, utility_arr, item_dict =_
→create_prob_twu_utility_bin_array(
      item_list, database
  )
  # Get only positive item set
  positive_utility_list: list[Item] = [
      utility for utility in utility_arr if utility > 0
  ]
  # Update current min util = the k-th largest in utility array
  current_min_util: int = priu_pruning(positive_utility_list, k)
  # Create secondary list
  secondary = list()
  item_transId_dict: dict[Item, list[int]] = create_item_transId_dict(
      item_list, database
  )
  for i in range(len(item_list)):
      if (
          round(prob_arr[i], 3) >= user_prob_threshold
          and twu_arr[i] >= current_min_util
      ):
           _, max_per, avg_per = find_min_max_avg_periodic_of_item_set(
              {item_list[i]}, database, item_transId_dict
          if max_per <= max_per_threshold and avg_per <= max_avg_threshold:</pre>
              item_list[i].twu = twu_arr[i]
               secondary.append(item_list[i])
  # Create removed_list contains item unqualified
  removed_list = set(item_list).difference(secondary)
  # Remove those items in removed_list from database
```

```
for trans in database:
      for item in removed list:
           trans_items_dict.pop(item, None)
               trans.trans_items_list.remove(item)
           except ValueError:
               pass
      trans.sort_trans_items_by_twu_and_utility()
  # Convert database (list[Transaction]) to trans_dict (dict[Item,_
\hookrightarrow set [Transaction]])
  trans_dict: dict[Item, set[Transaction]] = create_transaction_dictionary(
      secondary, database
  # Create liu dict, to update current_min_util
  lius: dict[frozenset[Item], int] = create_liu_dict(secondary, trans_dict)
  if lius:
      current_min_util = pliue_strategy(lius, k, current_min_util)
  secondary = sort_items_by_twu_and_utility(secondary)
  eucst_dict: dict[frozenset[Item], int] = create_eucst_dict(
      secondary, database, trans_dict, current_min_util
  covl: list[int] = covl_construct(
      secondary, eucst_dict, utility_arr, item_dict, trans_dict
  # Update and increase current_min_util
  if covl:
      current_min_util = max(current_min_util, covl[min(len(covl), k) - 1])
  # Create primary & secondary list
  primary: list[Item] = [
      secondary[i]
      for i in range(len(secondary))
      if calculate_subtree_utility(alpha, secondary[i], set(database),__
→trans dict)
      >= current_min_util
  ]
  efim_global_search(
      alpha,
      primary,
      secondary,
      current_min_util,
      min_per_threshold,
      max_per_threshold,
```

```
min_avg_threshold,
  max_avg_threshold,
  user_prob_threshold,
  k,
  item_dict,
  topk_queue,
  trans_dict,
  item_transId_dict,
  database,
)
```

5.4 Based on Apriori Algorithm

```
[74]: def generate candidates(
         prev_phui: list[set[Item]],
         new_distinct_items: list[Item],
     ) -> list[set[Item]]:
         ⇔current level's
         Periodic High-Utility Item-sets (PHUI).
         Args:
             prev_phui (list[set[Item]]): List of high-utility item-sets from the⊔
       ⇔previous level.
             new distinct items (list[Item]): List of distinct items available for \square
       \hookrightarrow mining.
         Returns:
             list[set[Item]]: List of candidate item-sets for the next level.
         Notes:
             - Candidates are generated by combining item-sets from the previous_{\sqcup}
       ⇔level with new items.
             - Ensures that duplicates are not added to the candidate list.
         # Create a union of all items in previous PHUI
         iPrime: set[Item] = set.union(*prev_phui)
         # Initialize the list of candidates
         candidates: list[set[Item]] = list()
         for x in prev_phui:
             # Combine each PHUI with items from iPrime not in the current PHUI
             for item in iPrime - x:
```

```
[75]: def find size k htwui(
          candidates: list[set[Item]],
          database: list[Transaction],
          trans_dict: dict[Item, set[Transaction]],
          item_transId_dict: dict[Item, list[int]],
          current_min_util: int,
          min_per_threshold: int,
          max_per_threshold: int,
          min_avg_threshold: int,
          max_avg_threshold: int,
          user_prob_threshold: float,
          k: int,
          topk_queue: PriorityQueue,
      ) -> tuple[int, list[set[Item]]]:
          Finds size-k High-Utility Item-sets (HTWUI) that satisfy utility and \sqcup
        ⇒periodicity constraints.
          Arqs:
               candidates (list[set[Item]]): List of candidate item-sets to evaluate.
               database (list[Transaction]): The transaction database.
               trans_dict (dict[Item, set[Transaction]]): Dictionary mapping items to \sqcup
        \hookrightarrow transactions.
               item\_transId\_dict (dict[Item, list[int]]): Dictionary mapping items to \sqcup
        \hookrightarrow transaction IDs.
               current_min_util (int): Current minimum utility threshold for pruning.
               min_per_threshold (int): Minimum periodicity threshold for item-sets.
               max per threshold (int): Maximum periodicity threshold for item-sets.
              min_avg_threshold (int): Minimum average periodicity threshold for_
               max_avg_threshold (int): Maximum average periodicity threshold for_
        \hookrightarrow item-sets.
```

```
user_prob_threshold (float): Minimum probability threshold for_
\hookrightarrow item\text{-sets.}
       k (int): Maximum number of high-utility item-sets to retain.
       topk_queue (PriorityQueue): Priority queue for storing top-k_
\hookrightarrow high-utility item-sets.
  Returns:
       tuple[int, list[set[Item]]]:
           - Updated minimum utility threshold.
           - List of high-utility item-sets that satisfy the constraints.
  Notes:
       - Evaluates each candidate itemset based on utility, probability, and \Box
⇔periodicity.
       - Updates the top-k priority queue with qualifying item-sets.
  htwui_list: list[set[Item]] = list() # List to store High-Utility_
\hookrightarrow Item-sets (HTWUI)
  for candidate in candidates:
       # Calculate probability and utility of the current candidate
       p, u = calculate_probability_and_utility_of_item_set_in_database(
           candidate, trans_dict
       # Check if the probability meets the user-defined threshold
       if round(p, 3) >= user_prob_threshold:
           # Calculate periodicity metrics for the candidate
           per = find_min_max_avg_periodic_of_item_set(
               candidate, database, item_transId_dict
           )
           # Check if periodicity constraints are satisfied
           if per.max_per <= max_per_threshold and per.avg_per <=_
→max avg threshold:
               htwui_list.append(candidate)
               # Check if the candidate meets utility and periodicity_
\hookrightarrow thresholds
               if (
                    u >= current_min_util
                    and per.min_per >= min_per_threshold
                   and per.avg_per >= min_avg_threshold
               ):
                    # Add the candidate to the top-k priority queue
                    topk_queue.push(u, candidate, per)
```

```
k: int,
    min_per_threshold: int,
    max_per_threshold: int,
    min_avg_threshold: int,
    max_avg_threshold: int,
    min prob: float,
) -> PriorityQueue:
    Implements Top-k Periodic High-Utility Itemset Mining (PHUI) using the⊔
 \hookrightarrowApriori-based approach.
    Arqs:
         database (list[Transaction]): A list of transactions containing ∟
 \hookrightarrow item\text{-sets}.
         item_list (list[Item]): A list of items to consider for mining.
         k (int): The maximum number of high-utility item-sets to retain.
         min_per_threshold (int): Minimum periodicity threshold for item-sets.
         max per threshold (int): Maximum periodicity threshold for item-sets.
         min\_avg\_threshold (int): Minimum average periodicity threshold for \sqcup
 \hookrightarrow item\text{-sets}.
        max_avg_threshold (int): Maximum average periodicity threshold for_
 \hookrightarrow item\text{-sets}.
         min\_prob (float): Minimum probability threshold for item-sets to \sqcup
 \hookrightarrow qualify.
    Returns:
         PriorityQueue: A priority queue containing the top-k high-utility⊔
 \hookrightarrow item-sets.
    Notes:
         - The algorithm uses TWU pruning, periodicity constraints, and \Box
 \neg utility-based strategies.
         - Apriori-based candidate generation is used to find potential \sqcup
 \hookrightarrow high-utility item-sets.
```

```
# Initialize the top-k priority queue
  topk_queue = PriorityQueue(k)
  htwui_result: list[list[set[Item]]] = list()
  user_prob_threshold = round(min_prob * len(database), 3)
  # Create utility-related arrays and dictionaries
  prob_arr, twu_arr, utility_arr, item_index_dict =_

¬create_prob_twu_utility_bin_array(
      item_list, database
  )
  positive_utility_arr = [u for u in utility_arr if u > 0]
  # Determine the initial minimum utility using the RIU strategy
  current_min_util: int = priu_pruning(positive_utility_arr, k)
  # Identify and remove unqualified items
  removed list: set[Item] = set()
  item_transId_dict: dict[Item, list[int]] = create_item_transId_dict(
      item list, database
  periodic_dict: dict[Item, Periodic] = {item: Periodic for item in item_list}
  for i in range(len(item_list)):
      if (
          twu_arr[i] >= current_min_util
          and round(prob_arr[i], 3) >= user_prob_threshold
      ):
          min_per, max_per, avg_per = find_min_max_avg_periodic_of_item_set(
              {item_list[i]}, database, item_transId_dict
          if max_per <= max_per_threshold and avg_per <= max_avg_threshold:</pre>
              item list[i].twu = twu arr[i]
              periodic_dict[item_list[i]] = Periodic(min_per, max_per,__
→avg_per)
          removed_list.add(item_list[i])
  # Prune unqualified items and update transactions
  new_distinct_items = [item for item in item_list if item not in_
→removed_list]
  new_distinct_items = sort_items_by_twu_and_utility(new_distinct_items)
  for trans in database:
      for item in removed_list:
          trans.trans_items_dict.pop(item, None)
          try:
              trans.trans_items_list.remove(item)
          except ValueError:
```

```
trans.sort_trans_items_by_twu_and_utility()
  # Create transaction dictionary
  trans_dict: dict[Item, set[Transaction]] = create_transaction_dictionary(
      new_distinct_items, database
  )
  # Generate utility and TWU dictionaries for 2-item-sets
  eucst_dict: dict[frozenset[Item], int] = create_eucst_dict(
      new_distinct_items, database, trans_dict, current_min_util
  cudm_dict: dict[frozenset[Item], int] = create_liu_dict(
      new_distinct_items, trans_dict
  )
  # Update the current minimum utility
  if cudm_dict:
      cud: int = pliue_strategy(cudm_dict, k, current_min_util)
      current_min_util = max(current_min_util, cud)
  covl: list[int] = covl construct(
      new_distinct_items, eucst_dict, utility_arr, item_index_dict, trans_dict
  )
  if covl:
      current_min_util = max(current_min_util, covl[min(len(covl), k) - 1])
  eucst_dict: dict[frozenset, int] = update_eucst_dict(eucst_dict,__
# Find size-one periodic potential high-utility items
  size_one_htwui_list: list[set[Item]] = list()
  for item in new distinct items:
      size_one_htwui_list.append({item})
      item_util = get_value_from_bin(item, utility_arr, item_index_dict)
      per = periodic_dict.get(item, None)
      if (
          item util >= current min util
          and per.min_per >= min_per_threshold
          and per.avg_per >= min_avg_threshold
      ):
          topk_queue.push(item_util, {item}, per)
          if len(topk_queue.heap) == k:
              current_min_util = topk_queue.sort()[k - 1][0]
  htwui_result.append(size_one_htwui_list)
  # Generate and evaluate candidates iteratively
```

```
while htwui_result[-1]:
      ck: list[set[Item]] = generate_candidates(htwui_result[-1],__
→new_distinct_items)
      new_min_util, phui_list = find_size_k_htwui(
          database,
          trans dict,
          item_transId_dict,
          current_min_util,
          min_per_threshold,
          max_per_threshold,
          min_avg_threshold,
          max_avg_threshold,
          user_prob_threshold,
          k,
          topk_queue,
      current_min_util = new_min_util
      htwui_result.append(phui_list)
  return topk_queue
```

0.0.7 6. Testing

```
[77]: a = Item("a", 6)
      b = Item("b", 7)
      c = Item("c", 1)
      d = Item("d", -5)
      e = Item("e", 3)
      item_list_1 = list([a, b, c, d, e])
      item_list_2 = list([a, b, c, d, e])
      item_list_3 = list([a, b, c, d, e])
      item_list_4 = list([a, b, c, d, e])
      t1_trans_items = {TransItem(b, 3, 0.85), TransItem(c, 1, 1.0), TransItem(d, 2,
       0.70)
      t2_trans_items = {
          TransItem(a, 1, 1.0),
          TransItem(b, 1, 0.60),
          TransItem(c, 3, 0.75),
          TransItem(e, 1, 0.40),
      }
      t3_trans_items = {
          TransItem(a, 1, 0.55),
          TransItem(b, 2, 0.60),
```

```
TransItem(c, 4, 1.0),
          TransItem(d, 1, 0.90),
          TransItem(e, 5, 0.40),
      }
      t4_trans_items = {TransItem(b, 3, 0.90), TransItem(d, 1, 0.45)}
      t5_trans_items = {
          TransItem(a, 4, 1.0),
          TransItem(c, 3, 0.85),
          TransItem(d, 2, 0.70),
          TransItem(e, 2, 0.45),
      }
      t1 = Transaction(1, t1_trans_items)
      t2 = Transaction(2, t2_trans_items)
      t3 = Transaction(3, t3_trans_items)
      t4 = Transaction(4, t4_trans_items)
      t5 = Transaction(5, t5_trans_items)
      db1 = list([t1, t2, t3, t4, t5])
      db2 = list([t1, t2, t3, t4, t5])
      db3 = list([t1, t2, t3, t4, t5])
      db4 = list([t1, t2, t3, t4, t5])
[78]: k = 10
      prob_threshold = 0.05
     min_per_threshold = 1
      max_per_threshold = 5
      min_avg_threshold = 1
      max_avg_threshold = 3
[79]: rs1 = topk_mining_based_on_PHUI(
          db1,
          item_list_1,
          k,
          min_per_threshold,
          max_per_threshold,
          min_avg_threshold,
          max_avg_threshold,
          prob_threshold,
          False,
      rs1.print_items()
     {b} : 63 : (min_per=1, max_per=1, avg_per=1.00)
     {b, c, e, a} : 58 : (min_per=1, max_per=2, avg_per=1.67)
```

```
{e, a, b} : 51 : (min_per=1, max_per=2, avg_per=1.67)
     {b, c} : 50 : (min_per=1, max_per=2, avg_per=1.25)
     {e, c, b} : 46 : (min_per=1, max_per=2, avg_per=1.67)
     {b, a, c} : 40 : (min_per=1, max_per=2, avg_per=1.67)
     \{e, b\}: 39: (min per=1, max per=2, avg per=1.67)
     {d, b} : 36 : (min_per=1, max_per=2, avg_per=1.25)
[80]: rs2 = topk_mining_based_on_PHUI(
          db2,
          item_list_2,
          k,
          min_per_threshold,
          max_per_threshold,
          min_avg_threshold,
          max_avg_threshold,
          prob_threshold,
          True,
      rs2.print items()
     {b} : 63 : (min_per=1, max_per=1, avg_per=1.00)
     {b, c, e, a} : 58 : (min_per=1, max_per=2, avg_per=1.67)
     \{e, a, b\}: 51: (min per=1, max per=2, avg per=1.67)
     {b, c} : 50 : (min_per=1, max_per=2, avg_per=1.25)
     \{e, c, b\} : 46 : (min per=1, max per=2, avg per=1.67)
     {b, a, c} : 40 : (min_per=1, max_per=2, avg_per=1.67)
     {e, b} : 39 : (min_per=1, max_per=2, avg_per=1.67)
     {d, b} : 36 : (min_per=1, max_per=2, avg_per=1.25)
[81]: rs3 = topk_mining_based_on_EFIM(
          db3,
          item_list_3,
          k,
          min_per_threshold,
          max_per_threshold,
          min_avg_threshold,
          max_avg_threshold,
          prob threshold,
      rs3.print_items()
     {b} : 63 : (min per=1, max per=1, avg per=1.00)
     {e, a, c, b} : 58 : (min_per=1, max_per=2, avg_per=1.67)
     {e, a, b} : 51 : (min_per=1, max_per=2, avg_per=1.67)
     {b, c} : 50 : (min_per=1, max_per=2, avg_per=1.25)
     {e, c, b} : 46 : (min_per=1, max_per=2, avg_per=1.67)
     {b, a, c} : 40 : (min_per=1, max_per=2, avg_per=1.67)
     {e, b} : 39 : (min_per=1, max_per=2, avg_per=1.67)
```

```
{d, b} : 36 : (min_per=1, max_per=2, avg_per=1.25)
[82]: rs4 = topk_mining_based_on_Apriori(
          db4,
          item_list_4,
          k,
          min_per_threshold,
          max_per_threshold,
          min_avg_threshold,
          max_avg_threshold,
          prob_threshold,
      rs4.print_items()
     {b} : 63 : (min_per=1, max_per=1, avg_per=1.00)
     {e, a, c, b} : 58 : (min_per=1, max_per=2, avg_per=1.67)
     {e, a, b} : 51 : (min_per=1, max_per=2, avg_per=1.67)
     {b, c} : 50 : (min_per=1, max_per=2, avg_per=1.25)
     {e, c, b} : 46 : (min_per=1, max_per=2, avg_per=1.67)
     {b, a, c} : 40 : (min_per=1, max_per=2, avg_per=1.67)
     {e, b} : 39 : (min_per=1, max_per=2, avg_per=1.67)
     {d, b} : 36 : (min_per=1, max_per=2, avg_per=1.25)
     0.0.8 7. Experiments and Evaluation
     Dataset Name
     Description
     Transactions
     Items
     Avg. Items/Transaction
     Density
     mushrooms
     Prepared from the UCI mushrooms dataset
     8,416
     119
     23.00
     19.33%
     connect
     Prepared from the UCI connect-4 dataset
     67,557
     129
```

33.33%

```
[84]: def convert_trans_to_string(trans: Transaction) -> str:
          Converts a `Transaction` object into a formatted string representation to \sqcup
       \hookrightarrow write into file.
          Arqs:
              trans (Transaction): The `Transaction` object containing the
       ⇒transaction details.
          Returns:
              str: A formatted string representation of the transaction in the format:
                    `"items:probabilities:quantities"`.
          Example:
              >>> trans = Transaction([{1: (2, 0.8)}, {2: (3, 0.5)}])
              >>> convert_trans_to_string(trans)
                   "1 2:0.80 0.50:2 3"
          items = " ".join(map(str, trans.trans_items_list))
          probabilities = " ".join(
              f"{prob:.2f}" for _, prob in trans.trans_items_dict.values()
          quantities = " ".join(map(str, (qty for qty, _ in trans.trans_items_dict.

¬values())))
```

```
result = f"{items}:{probabilities}:{quantities}"
return result
```

```
[85]: def read_item_file(item_file_path: str):
          Reads an item file and parses its contents into a set of 'Item' objects.
          Each line in the file represents an item, formatted as:
           `"item_name utility"`, where `item_name` is a string, and `utility` is an_{\sqcup}
        \hookrightarrow integer.
          Args:
               item_file_path (str): The path to the file containing item data.
               set[Item]: A set of `Item` objects parsed from the file.
          Example:
               Suppose the file `items.txt` contains the following lines:
               1 10
               25
               38
               Calling:
               >>> read_item_file("items.txt")
               {Item("1", 10), Item("2", 5), Item("3", 8)}
          Notes:
               - The function assumes each line of the file contains valid data in the \sqcup
        \hookrightarrow correct format.
               - If the file contains duplicate items (same name and value), they will \sqcup
        \hookrightarrow be de-duplicated
                 due to the use of a `set`.
               - The function will strip newlines and split each line by spaces to_{\sqcup}
        \hookrightarrow parse the data.
           n n n
          item_set: set[Item] = set()
          try:
               with open(item_file_path) as file:
                   for line in file:
                        line = line.replace("\n", "")
                        arr = line.split(" ")
                        item: Item = Item(arr[0], int(arr[1]))
                        item_set.add(item)
               return item_set
           except FileNotFoundError:
```

```
raise FileNotFoundError(f"The file at {item_file_path} does not exist.")
except IOError as e:
   raise IOError(f"An error occurred while reading the file: {e}")
```

```
[86]: def read_transaction_data(trans_file_path: str, item_set: set[Item], num_line:
       ⇒int):
          11 11 11
          Reads transaction data from a file and converts it into a list of \Box
       → `Transaction` objects.
          Each line in the file represents a transaction formatted as:
          "item1 item2:prob1 prob2:quantity1 quantity2".
          The function stops reading after `num_line` lines or when the file ends.
          Arqs:
              trans file path (str): The path to the file containing transaction data.
              item_set (set[Item]): A set of `Item` objects used to validate and \Box
       ⇔reference item names.
              num_line (int): The maximum number of lines (transactions) to read from
       \hookrightarrow the file.
          Returns:
              list[Transaction]: A list of `Transaction` objects parsed from the file.
          Example:
              Suppose the file `transactions.txt` contains:
              1 2:0.8 0.5:2 3
              3:1.0:5
              With `item_set` containing the corresponding `Item` objects:
              >>> read_transaction_data("transactions.txt", item_set, 2)
                   Transaction(1, [TransItem(Item("1", 10), 2, 0.8), ]
       \neg TransItem(Item("2", 5), 3, 0.5)]),
                  Transaction(2, [TransItem(Item("3", 8), 5, 1.0)])
              7
          Notes:
              - The file is expected to use colons (`:`) to separate items, _
       ⇔probabilities, and quantities.
              - `find_item_by_name` is used to retrieve `Item` objects from_
       \hookrightarrow item_set.
              - The function stops reading if the number of lines exceeds `num_line`.
          database: list[Transaction] = list()
```

```
try:
              with open(trans_file_path) as file:
                  tid = 1
                  for line in file:
                      line: str = line.replace("\n", "")
                      arr: list[str] = line.split(":")
                      item_list: list[str] = arr[0].split(" ")
                      prob_list: list[str] = arr[1].split(" ")
                      quantity_list: list[str] = arr[2].split(" ")
                      trans_item_list = list()
                      for i in range(len(item list)):
                          item_name = item_list[i]
                          item_obj = find_item_by_name(item_name, item_set)
                          transItem = TransItem(item_obj, int(quantity_list[i]),__
       →float(prob_list[i]))
                          trans_item_list.append(transItem)
                      trans = Transaction(tid, trans_item_list)
                      database.append(trans)
                      tid += 1
                      if tid > num_line:
                          break
              return database
          except FileNotFoundError:
              raise FileNotFoundError(f"The file at {trans_file_path} does not exist.
       ")
          except IOError as e:
              raise IOError(f"An error occurred while reading the file: {e}")
[87]: def write_result_to_file(
          file_path: str, data: list[tuple], total_time: datetime, append: bool =_u
       →False
      ):
          Writes the results to a file, including item sets, utilities, periodic,
          and the total execution time.
          Args:
              file_path (str): The path to the file where the results will be written.
              data (list[tuple]): A list of tuples, where each tuple contains:
                  - utility (int): The utility value of the itemset.
                  - item_set (set): The itemset as a collection of items.
                  - periodic (Periodic): The periodic properties of the itemset.
              total_time (datetime): The total time taken for processing, to be \sqcup
       ⇔recorded in the file.
              append (bool, optional): If `True`, the results will be appended to the \Box
       ⇔file.
```

```
If `False`, the file will be overwritten.
       ⇔Defaults to `False`.
          Returns:
              None
          Example:
              >>> data = [
                      (100, {"1", "2"}, Periodic(min_per=2, max_per=5, avg_per=3.5)),
                      (50, \{"3"\}, Periodic(min_per=1, max_per=4, avq_per=2.0))
              ... ]
              >>> total_time = datetime.timedelta(seconds=120)
              >>> write_result_to_file("results/output.txt", data, total_time)
          os.makedirs(os.path.dirname(file_path), exist_ok=True)
          mode = "a" if append else "w"
          with open(file_path, mode) as file:
              file.write(str(datetime.datetime.now()) + "\n")
              for utility, item_set, periodic in data:
                  formatted periodic = f"(min per={periodic.min per},,,
       amax_per={periodic.max_per}, avg_per={periodic.avg_per:.2f})"
                  file.write(f"{item_set} : {utility} : {formatted_periodic}\n")
              file.write("Take total time: " + str(total_time) + "\n\n")
[88]: def write_item_to_file(file_path: str, data: list[str], append: bool = False):
          Writes a list of strings to a file with format: item utility
          Arqs:
              file_path (str): The path to the file where the data will be written.
              data (list[str]): A list of strings to write to the file.
              append (bool, optional): If `True`, appends the data to the file. If \Box
       → `False`, overwrites the file. Defaults to `False`.
          Returns:
              None
          Example:
              >>> data = ["1 10", "2 5", "3 8"]
              >>> write_item_to_file("output/items.txt", data)
              # The file `items.txt` will contain:
              # 1 10
              # 2 5
              # 3 8
          11 11 11
```

```
os.makedirs(os.path.dirname(file_path), exist_ok=True)
mode = "a" if append else "w"
with open(file_path, mode) as file:
    for s in data:
        file.write(f"{s}\n")
```

```
[89]: def read_aggregate_data(
          file_path: str, lines: int
      ) -> tuple[list[Item], list[Transaction]]:
          Reads and processes aggregate data from a file to generate a set of `Item_{\sqcup}
       \hookrightarrow objects
           and a list of `Transaction` objects.
           The probabilities are drawn from a Gaussian distribution with mean (0.5)_{\sqcup}
       \hookrightarrow and variance (0.125)
          Arqs:
               file path (str): The path to the file containing the aggregate data.
               lines (int): The maximum number of lines to process from the file.
          Returns:
               tuple[list[Item], list[Transaction]]:
                   - A list of unique `Item` objects.
                   - A list of `Transaction` objects.
          Example:
               Suppose the file `data.txt` contains:
               1 2:2 3
               3:5
               With `lines=2`, calling:
               >>> read_aggregate_data("data.txt", 2)
                   [Item("1", 10), Item("2", 5), Item("3", 8)],
                        Transaction(1, [TransItem(Item("1", 10), 2, 0.5), ]
       \hookrightarrow TransItem(Item("2", 5), 3, 0.6)]),
                        Transaction(2, [TransItem(Item("3", 8), 5, 0.4)])
                   J
               )
           11 11 11
          item_set: set[Item] = set()
          item_set_name: set[str] = set()
          trans_item: list[TransItem] = list()
```

```
database: list[Transaction] = list()
          try:
              with open(file_path) as file:
                  tid: int = 1
                  for line in file:
                      line = line.replace("\n", "")
                      arr = line.split(":")
                      left_arr = arr[0].split(" ")
                      right arr = arr[2].split(" ")
                      for i in left_arr:
                          if i not in item_set_name:
                              item_set_name.add(i)
                              item: Item = Item(i, random.randint(-100, 100))
                              item_set.add(item)
                      trans_item_list = list()
                      for i in range(len(left_arr)):
                          item_name: str = left_arr[i]
                          item: Item = find_item_by_name(item_name, item_set)
                          trans_item: TransItem = TransItem(
                              item, int(right_arr[i]), round(random.gauss(0.5, 0.
       4125), 2)
                          )
                          trans_item_list.append(trans_item)
                      trans = Transaction(tid, trans_item_list)
                      database.append(trans)
                      tid += 1
                      if(tid > lines):
                          return list(item_set), database
              return list(item_set), database
          except FileNotFoundError:
              raise FileNotFoundError(f"The file at {file_path} does not exist.")
          except IOError as e:
              raise IOError(f"An error occurred while reading the file: {e}")
[90]: def plot(
          test_cases: list[TestCase],
          results_phui_false: dict[int, int],
          results_phui_true: dict[int, int],
          results_efim: dict[int, int],
      ):
```

Plots the execution time and memory usage of algorithms based on dataset \sqcup

This function generates two side-by-side subplots:

Execution time comparison.
 Memory usage comparison.

⇔sizes.

```
Arqs:
       test cases (list[TestCase]): A list of `TestCase` objects, each_
⇔containing dataset information.
       results_phui_false (dict[str, list[int]]): Execution time and memory_
⇔usage results for PHUI with `Flag=False`.
           - Keys: `"time"`, `"memory"`.
           - Values: Lists of integers representing execution time (seconds)_{\sqcup}
→and memory usage (KB) respectively.
       results\_phui\_true (dict[str, list[int]]): Execution time and memory_{\sqcup}
\neg usage results for PHUI with `Flag=True`.
           - Keys: `"time"`, `"memory"`.
           - Values: Lists of integers representing execution time (seconds) _{\sqcup}
→and memory usage (KB) respectively.
       results_efim (dict[str, list[int]]): Execution time and memory usage ⊔
\neg results for EFIM.
           - Keys: `"time"`, `"memory"`.
           - Values: Lists of integers representing execution time (seconds)_{\sqcup}
→and memory usage (KB) respectively.
  Returns:
      None
   11 11 11
   # Extract dataset sizes
  dataset_sizes = [case.n for case in test_cases]
  # Plot execution time
  plt.figure(figsize=(12, 6))
  plt.subplot(1, 2, 1)
  plt.plot(
       dataset_sizes, results_phui_false["time"], label="PHUI (Flag=False)", u
⊖marker="o"
  )
  plt.plot(
       dataset_sizes, results_phui_true["time"], label="PHUI (Flag=True)", __

marker="o"

  plt.plot(dataset_sizes, results_efim["time"], label="EFIM", marker="o")
  plt.title("Execution Time Comparison of Algorithms")
  plt.xlabel("Dataset Size (n)")
  plt.ylabel("Execution Time (seconds)")
  plt.legend()
  plt.grid()
  # Plot memory usage
```

```
plt.subplot(1, 2, 2)
          plt.plot(
              dataset_sizes,
              results_phui_false["memory"],
              label="PHUI (Flag=False)",
              marker="o",
          )
          plt.plot(
              dataset_sizes, results_phui_true["memory"], label="PHUI (Flag=True)", u
       →marker="o"
          plt.plot(dataset_sizes, results_efim["memory"], label="EFIM", marker="o")
          plt.title("Memory Usage Comparison of Algorithms")
          plt.xlabel("Dataset Size (n)")
          plt.ylabel("Memory Usage (KB)")
          plt.legend()
          plt.grid()
          plt.tight_layout()
          plt.show()
[91]: def get_memory_usage():
          """Returns the current memory usage of the process in KB."""
          process = psutil.Process(os.getpid())
          return process.memory_info().rss / 1024 # Memory in KB
[92]: def convert_aggregate_data_to_dataset(dataset_name: str):
          Converts aggregate data into a structured dataset, including item and \Box
       \hookrightarrow transaction files.
          The function reads aggregate data from a file, processes it into items and \Box
       \hookrightarrow transactions,
          and writes the resulting data into separate files: `items.txt` and ____
       ⇔`transactions.txt`.
          Args:
               dataset\_name (str): The name of the dataset, used to locate and name\sqcup
       →input/output files.
          Returns:
              None
          Example:
               >>> convert_aggregate_data_to_dataset("example_dataset")
               {\tt\# Reads \ data \ from \ "dataset/example\_dataset/example\_dataset\_dataset.txt"}
```

```
# Outputs:
               # - "dataset/example dataset/items.txt"
               # - "dataset/example_dataset/transactions.txt"
          Dependencies:
               - `read_aggregate_data`: Reads the aggregate data and returns items and \Box
       \hookrightarrow transactions.
               - `convert_trans_to_string`: Converts transactions to a formatted_{\sqcup}
       \hookrightarrow string.
              - `write_item_to_file`: Writes data to files.
          itemset, database = read_aggregate_data(
              f"dataset/{dataset_name}/{dataset.txt", 100000
          trans_data = list()
          item_data = list()
          for trans in database:
              s = convert_trans_to_string(trans)
              trans_data.append(s)
          for item in itemset:
               item_data.append(str(item.item) + " " + str(item.utility))
          write_item_to_file(f"dataset/{dataset_name}/items.txt", item_data)
          write_item_to_file(f"dataset/{dataset_name}/transactions.txt", trans_data)
[93]: def evaluate_mushroom_dataset(testcases: list[TestCase]):
          Evaluates the performance of top-k mining algorithms on the Mushroom
       \hookrightarrow dataset.
          This function runs three algorithms (PHUI with Flag=False, PHUI with
       \hookrightarrow Flag=True, and EFIM)
          for each test case, measuring execution time and memory usage. Results are ⊔
       ⇔written to files
          and visualized using a plot.
          Arqs:
               testcases (list[TestCase]): A list of `TestCase` objects, each_
       ⇔containing parameters for the dataset size
                                            and mining thresholds.
          Returns:
              None
          Notes:
               - Input data for items and transactions is read from `dataset/mushroom/
       \hookrightarrow items.txt and
```

```
`dataset/mushroom/transactions.txt`.
       - Results are written to the `output/mushroom/` directory, with_
⇒subdirectories based on
        the current timestamp.
       - Results include:
           - Execution time (in seconds).
           - Memory usage (in KB).
       - The function calls `plot()` to visualize the results for execution_{\sqcup}
⇔time and memory usage.
  Dependencies:
       - `read item file`: Reads items from the file.
      - `read_transaction_data`: Reads transactions from the file.
      - `topk_mining_based_on_PHUI`: Executes the PHUI algorithm.
      - `topk_mining_based_on_EFIM`: Executes the EFIM algorithm.
      - `get_memory_usage`: Measures memory usage.
       - `write_result_to_file`: Writes results to files.
      - `plot`: Generates visualizations of the results.
  Output:
       - PHUI with Flag=False: Results written to `output/mushroom/<timestamp>/
\hookrightarrow false.txt.
      - PHUI with Flag=True: Results written to `output/mushroom/<timestamp>/
\hookrightarrow true.txt.
       - EFIM: Results written to `output/mushroom/<timestamp>/efim.txt`.
  # Parameters for each dataset size
  output_folder = "mushroom/" + str(datetime.datetime.now()).replace(" ", "").
→replace(
      0.10 \pm 0.0
  ).replace(".", "").replace("-", "")
  # Time and memory results
  results phui false = {"time": [], "memory": []}
  results_phui_true = {"time": [], "memory": []}
  results_efim = {"time": [], "memory": []}
  # Run experiments for each test case
  for testcase in testcases:
      n = testcase.n
      k = testcase.k
      prob_threshold = testcase.prob_threshold
      min_per_threshold = testcase.min_per_threshold
      max_per_threshold = testcase.max_per_threshold
      min_avg_threshold = testcase.min_avg_threshold
      max_avg_threshold = testcase.max_avg_threshold
      # Generate dataset
```

```
item_list_1: set[Item] = read_item_file("dataset/mushroom/items.txt")
item_list_2: set[Item] = read_item_file("dataset/mushroom/items.txt")
item_list_3: set[Item] = read_item_file("dataset/mushroom/items.txt")
database_1 = read_transaction_data(
    "dataset/mushroom/transactions.txt", item_list_1, n
)
database_2 = read_transaction_data(
    "dataset/mushroom/transactions.txt", item list 2, n
)
database_3 = read_transaction_data(
    "dataset/mushroom/transactions.txt", item_list_3, n
# Measure time and memory for Algorithm 1
start_time = time.time()
start_memory = get_memory_usage()
rs1 = topk_mining_based_on_PHUI(
    database_1,
    list(item_list_1),
    k,
    min_per_threshold,
    max_per_threshold,
    min avg threshold,
    max_avg_threshold,
    prob_threshold,
    False,
)
used_memory = max(0, get_memory_usage() - start_memory)
elapsed_time = time.time() - start_time
results_phui_false["time"].append(elapsed_time)
results_phui_false["memory"].append(used_memory)
write_result_to_file(
    f"output/{output_folder}/false.txt",
    rs1.sort(),
    str(elapsed_time),
    True,
)
# Measure time and memory for Algorithm 2
start time = time.time()
start_memory = get_memory_usage()
rs2 = topk_mining_based_on_PHUI(
    database_2,
    list(item_list_2),
    min_per_threshold,
```

```
max_per_threshold,
        min_avg_threshold,
        max_avg_threshold,
        prob_threshold,
        True,
    used_memory = max(0, get_memory_usage() - start_memory)
    elapsed_time = time.time() - start_time
    results_phui_true["time"].append(elapsed_time)
    results_phui_true["memory"].append(used_memory)
    write result to file(
        f"output/{output_folder}/true.txt",
        rs2.sort(),
        str(elapsed_time),
        True,
    )
    # Measure time and memory for Algorithm 3
    start_time = time.time()
    start_memory = get_memory_usage()
    rs3 = topk_mining_based_on_EFIM(
        database_3,
        list(item_list_3),
        min per threshold,
        max_per_threshold,
        min_avg_threshold,
        max_avg_threshold,
        prob_threshold,
    )
    used_memory = max(0, get_memory_usage() - start_memory)
    elapsed_time = time.time() - start_time
    results_efim["time"].append(elapsed_time)
    results_efim["memory"].append(used_memory)
    write_result_to_file(
        f"output/{output_folder}/efim.txt",
        rs3.sort(),
        str(elapsed_time),
        True,
    )
plot(testcases, results_phui_false, results_phui_true, results_efim)
```

```
[94]: def evaluate_connect_dataset(testcases: list[TestCase]):
    """
    Evaluates the performance of top-k mining algorithms on the Connect dataset.

This function runs three algorithms (PHUI with Flag=False, PHUI with

→Flag=True, and EFIM)
```

```
for each test case, measuring execution time and memory usage. Results are ⊔
⇔written to files
  and visualized using a plot.
  Args:
       testcases (list[TestCase]): A list of `TestCase` objects, each
⇒containing parameters for the dataset size
                                    and mining thresholds.
  Returns:
      None
  Dependencies:
       - `read_item_file`: Reads items from the file.
       - `read_transaction_data`: Reads transactions from the file.
       - `topk_mining_based_on_PHUI`: Executes the PHUI algorithm.
       - `topk_mining_based_on_EFIM`: Executes the EFIM algorithm.
       - `get_memory_usage`: Measures memory usage.
       - `write_result_to_file`: Writes results to files.
       - `plot`: Generates visualizations of the results.
  Output:
       - PHUI with Flag=False: Results written to `output/connect/<timestamp>/
\hookrightarrow false.txt`.
       - PHUI with Flag=True: Results written to `output/connect/<timestamp>/
\hookrightarrow true.txt.
       - EFIM: Results written to `output/connect/<timestamp>/efim.txt`.
   11 11 11
  # Parameters for each dataset size
  output_folder = "connect/" + str(datetime.datetime.now()).replace(" ", "").
→replace(
       0:0:00
  ).replace(".", "").replace("-", "")
  # Time and memory results
  results_phui_false = {"time": [], "memory": []}
  results_phui_true = {"time": [], "memory": []}
  results_efim = {"time": [], "memory": []}
  # Run experiments for each test case
  for testcase in testcases:
      n = testcase.n
      k = testcase.k
      prob_threshold = testcase.prob_threshold
      min_per_threshold = testcase.min_per_threshold
      max_per_threshold = testcase.max_per_threshold
      min_avg_threshold = testcase.min_avg_threshold
      max_avg_threshold = testcase.max_avg_threshold
```

```
item_list_1: set[Item] = read_item_file("dataset/connect/items.txt")
item_list_2: set[Item] = read_item_file("dataset/connect/items.txt")
item_list_3: set[Item] = read_item_file("dataset/connect/items.txt")
database_1 = read_transaction_data(
    "dataset/connect/transactions.txt", item_list_1, n
)
database 2 = read transaction data(
    "dataset/connect/transactions.txt", item_list_2, n
database_3 = read_transaction_data(
    "dataset/connect/transactions.txt", item_list_3, n
)
# Measure time and memory for Algorithm 1
start_time = time.time()
start_memory = get_memory_usage()
rs1 = topk_mining_based_on_PHUI(
    database_1,
    list(item_list_1),
    k,
    min_per_threshold,
    max per threshold,
    min_avg_threshold,
    max_avg_threshold,
    prob_threshold,
    False,
)
used_memory = max(0, get_memory_usage() - start_memory)
elapsed_time = time.time() - start_time
results_phui_false["time"].append(elapsed_time)
results_phui_false["memory"].append(used_memory)
write_result_to_file(
    f"output/{output_folder}/false.txt",
    rs1.sort(),
    str(elapsed_time),
    True,
# Measure time and memory for Algorithm 2
start time = time.time()
start_memory = get_memory_usage()
rs2 = topk_mining_based_on_PHUI(
    database_2,
    list(item_list_2),
    min_per_threshold,
```

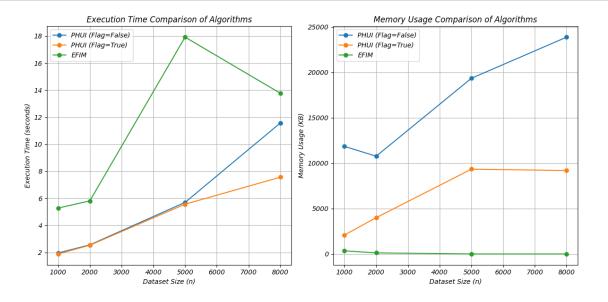
```
max_per_threshold,
        min_avg_threshold,
        max_avg_threshold,
        prob_threshold,
        True,
    used_memory = max(0, get_memory_usage() - start_memory)
    elapsed_time = time.time() - start_time
    results phui true["time"].append(elapsed time)
    results_phui_true["memory"].append(used_memory)
    write result to file(
        f"output/{output_folder}/true.txt",
        rs2.sort(),
        str(elapsed_time),
        True,
    )
    # Measure time and memory for Algorithm 3
    start_time = time.time()
    start_memory = get_memory_usage()
    rs3 = topk_mining_based_on_EFIM(
        database_3,
        list(item_list_3),
        min per threshold,
        max_per_threshold,
        min_avg_threshold,
        max_avg_threshold,
        prob_threshold,
    )
    used_memory = max(0, get_memory_usage() - start_memory)
    elapsed_time = time.time() - start_time
    results_efim["time"].append(elapsed_time)
    results_efim["memory"].append(used_memory)
    write_result_to_file(
        f"output/{output_folder}/efim.txt",
        rs3.sort(),
        str(elapsed_time),
        True,
plot(testcases, results_phui_false, results_phui_true, results_efim)
```

Evaluate Mushroom Dataset:

```
[95]: test_cases: list[TestCase] = [
    TestCase(1000, 20, 0.02, 1, 2000, 1, 2000),
    TestCase(2000, 20, 0.02, 1, 2000, 1, 2000),
    TestCase(5000, 20, 0.02, 1, 2000, 1, 2000),
```

```
TestCase(8000, 20, 0.02, 1, 2000, 1, 2000),
```

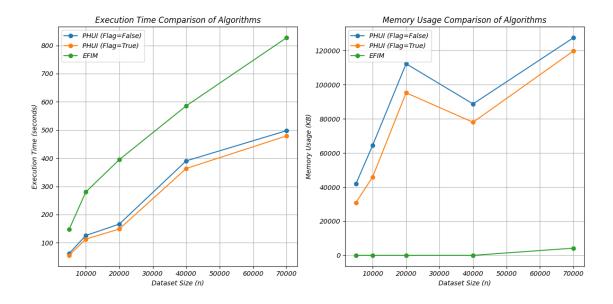
[96]: evaluate_mushroom_dataset(test_cases)



Evaluate Connect Dataset:

```
[97]: test_cases: list[TestCase] = [
    TestCase(5000, 50, 0.1, 1, 2000, 1, 2000),
    TestCase(10000, 50, 0.1, 1, 2000, 1, 2000),
    TestCase(20000, 50, 0.1, 1, 2000, 1, 2000),
    TestCase(40000, 50, 0.1, 1, 2000, 1, 2000),
    TestCase(70000, 50, 0.1, 1, 2000, 1, 2000),
    TestCase(70000, 50, 0.1, 1, 2000, 1, 2000),
]
```

[98]: evaluate_connect_dataset(test_cases)



0.0.9 8. References

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