



# The dial-a-ride problem with electric vehicles and battery swapping stations

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## ABSTRACT

The Dial-a-Ride Problem (DARP) consists of designing vehicle routes and schedules for customers with special needs and/or disabilities. The DARP with Electric Vehicles and battery swapping stations (DARP-EV) concerns scheduling a fleet of EVs to serve a set of pre-specified transport requests during a certain planning horizon. In addition, EVs can be recharged by swapping their batteries with charged ones from any battery-swap stations. We propose three enhanced Evolutionary Variable Neighborhood Search (EVO-VNS) algorithms to solve the DARP-EV. Extensive computational experiments highlight the relevance of the problem and confirm the efficiency of the proposed EVO-VNS algorithms in producing high quality solutions.

## 1. Introduction

The Americans with Disabilities Act (ADA) states that people with disabilities should have the same rights with respect to ease of access to public transportation as other people (ADA, 2009). Such legislations, besides an increase in public awareness to facilitate the lives of the disabled, have led to a substantial demand for specialized transport services that cater for the needs of these people.

Arguably one of the most challenging problems of specialized transport services is the well-known Dial-a-Ride Problem (DARP), which consists of determining vehicle routes for a set of customers (or patients) who need special transport services. In the DARP, it assumed that each user requests transportation from a specific origin to a specific destination. In other words, we have a pair of requests that are connected to the same user: the outbound request (from origin to destination) and the inbound request (from destination to origin). However, it is not mandatory that the customer is transported directly to the destination (i.e., customers may share rides) (Muelas et al., 2013). These requests are also specified within certain desired pickup or drop off times.

Real-life applications of the DARP may have additional requirements, depending on the vehicle fleet characteristics. This research incorporates several such requirements, namely, (i) a fleet of electric vehicles; (ii) recharging stations with battery-swap services; and (iii) a realistic energy consumption function. In what follows, we explain these requirements in detail.

First, in most DARPs, the transport is executed by a fleet of gasoline-fueled internal combustion engine vehicles. However, these vehicles are known to be a main source of harmful emissions (i.e., air pollution and greenhouse gases (GHGs)) (Demir et al., 2015). In

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order to tackle the emissions problem, the use of electric vehicles (EVs) has received considerable attention over the past few years in the field of the Vehicle Routing Problem (VRP) (see, e.g., [Schneider et al., 2014](#); [Hof et al., 2017](#); [Schiffer and Walther, 2017](#)). This relatively new research problem is well-known as the Electric Vehicle Routing Problem (E-VRP). This has inspired us to consider electric vehicles in the context of DARP, which, to the best of our knowledge, has not been previously studied in the literature.

The Dial-a-Ride Problem with Electric Vehicles and battery swapping stations (DARP-EV) arises specifically in healthcare services that concern non-emergency transportation of patients, where different patients are transported from certain origins (e.g., homes) to certain destinations (e.g., healthcare service locations) to receive treatment, medical examination or physical therapy. One such real world transport application service for the transportation of the elderly and the disabled is operated by the company FlexCité, France. FlexCité is a private transport that offers services for the exclusive use of people with limited mobility. FlexCité has a fleet of electric vehicles (type: Electron II TPMR), where each one contains different capacity modes of transportation, with nine seats place for the disabled person and/or for his/her accompanies and three additional wheelchairs places. Other different types of electric vehicles (for example, ambulances and mini-buses) is used by the company “Cruise Car” in the US and the company “PAM75” in France, with electric vehicle type Nissan e-nv200.

One important difference between the E-VRP and the traditional VRP, though, is that EVs have limitations in terms of driving range, and thus they need to be recharged during their service route. The limitation in the driving range of EVs and considering the need for recharging at specialized stations have been studied in the literature (see, e.g., [Erdoğan and Miller-Hooks, 2012](#); [Schneider et al., 2014](#)). The main objective of the studied E-VRPs is to plan routes efficiently while considering both customers’ visits as well as frequent visits to recharging stations during the working day. Our research is similar to the studied E-VRPs, where we incorporate EVs as well as the recharging stations in the route planning while serving users in the context of DARP.

Second, to employ EVs in route planning, the battery recharging strategy becomes an essential aspect of the problem, due to many underlying challenges. For example, one challenge that arises in this respect is the low energy capacity of batteries, which usually cannot satisfy the needs of general transport customers ([Fuller, 2016](#)). Another challenge is that the battery may need several hours (e.g., 2–6 h) to be fully recharged from an empty-level ([Agrawal et al., 2016](#)). In the majority of E-VRPs, the charging strategy can be full recharging with a linear charging function in each visit to a recharging station ([Goeke and Schneider, 2015](#); [Hiemann et al., 2016](#)), or partial recharging with a linear charging function ([Felipe et al., 2014](#); [Schiffer and Walther, 2017](#); [Desaulniers et al., 2016](#)), or partial recharging with a nonlinear function ([Montoya et al., 2017](#); [Froger et al., 2017a,b](#)).

Fortunately, there is a sound alternative recharging mechanism that allows an EV to be recharged faster in only one to two minutes ([Mak et al., 2013](#)). This is done by swapping its battery instead of recharging it at a battery-swap station ([Hof et al., 2017](#)). Many researchers have recently considered the battery-swapping model in VRPs (see, e.g., [Liao et al., 2016](#); [Hof et al., 2017](#); [Xu et al., 2017](#); [Liu and Wang, 2017](#)). The battery-swap strategy is particularly useful in the context of the DARP due to the user satisfaction constraints that require limiting the user’s ride time. In fact, due to the hard temporal constraints in DARP (time windows, ride time and maximum route duration) ([Cordeau and Laporte, 2007](#); [Parragh et al., 2008](#)), which makes it hard to effectively design the planning to satisfy the users requests, it is more effective to use the battery swapping recharging mechanism, since it allows EVs to be recharged very quickly. In addition, the battery-swapping strategy improves the productivity of vehicles and reduces the charging costs ([Yang and Sun, 2015](#)). Actually, the adoption of battery swapping recharging mechanism for electric vehicles, especially for mini-buses and buses, has been realized in many real cases. For example, around 174 battery-swap stations are implemented in China ([Hua, 2015](#)), and more than 200 electric buses using battery-swapping are operated for transportation in the eastern Chinese harbor city of Quigdao ([Li, 2016](#)). Also, many companies in China provide battery swapping technology, such as, the Xj group company that invests in battery swapping for buses and Shuttle buses ([Hua, 2015](#)). In addition, in recent years, there have been several projects that promote battery swapping for electric (mini) buses in several countries ([PMGROW Corp.](#)). For other real applications and projects using battery-swap vehicles and battery-swap stations, interested readers are referred to [Earley et al.\(2011\)](#), [Kim et al.\(2015\)](#), [Wan et al.\(2015\)](#), [Mahmoud et al.\(2016\)](#), [Zhou et al.\(2016\)](#), [Shao et al. \(2017\)](#), and [He et al.\(2018\)](#).

In this paper, we consider intermediate stops for battery swapping of EVs. In fact, today EVs are quickly entering the market, and as a result public recharging stations are increasingly in demand and are becoming more available. Thus, rather than full/partial recharging, we can consider that in each visit to any recharging public station, the depleted battery will be replaced by a full one as followed by [Li \(2013\)](#).

Finally, we note that recent studies of EVs with battery-swap feature consider that the new battery is deployed after around 100 miles in a single trip (see, e.g., [Adler and Mirchandani, 2014](#); [Liao et al., 2016](#); [Xu et al., 2017](#)). Nevertheless, this assumption might not be realistic, since the service time to deploy the battery depends on the energy consumed by the vehicle during its journey. These factors include engine efficiency, regenerative power, road slope, etc. ([Wu et al., 2015](#)). To include these factors, we apply a realistic energy consumption model of [Genikomsakis and Mitrentsis \(2017\)](#), in order to determine the service time when the depleted battery should be replaced by a full one.

To sum up, the problem at hand is so-called the Dial-a-Ride Problem with Electric Vehicles and battery swapping stations (DARP-EV), which can be considered as a combination of the traditional DARP and the E-VRP. In addition, we consider that different types of users need to be transported. For example, a user may need a stretcher or a wheelchair. Thus, the EVs fleet considered in our work is a heterogeneous fleet; i.e., it consists of vehicles having different capacity resources, such as passenger seats, stretchers and wheelchairs. Hence, our problem belongs to the heterogeneous DARP category, as studied by [Parragh \(2011\)](#), [Braekers et al. \(2014\)](#), [Braekers and Kovacs \(2016\)](#), and [Masmoudi et al. \(2016, 2017\)](#). Using different capacity resources as well as different types of users is considered more complex and more general than the traditional DARP (with homogeneous capacity vehicles and single type of users) ([Parragh, 2011](#)).

Since our DARP-EV is a combination of the classical DARP, which is NP-hard ([Cordeau and Laporte, 2007](#)), and the E-VRP, which

is also NP-hard (Schneider et al., 2014), the DARP-EV is NP-hard, which is extremely difficult to solve to optimality using exact solution methods. In fact, with respect to DARP, only few studies have tried commercial solvers to solve the formulation of the problem (Zhang et al., 2015; Liu et al., 2015; Braekers and Kovacs, 2016). Due to its complexity, most researchers proposed metaheuristic methods to solve the DARP and its variants (see., e.g., Parragh and Schmid, 2013; Muelas et al., 2013, 2015; Marković et al., 2015; Braekers and Kovacs, 2016; Masmoudi et al., 2016, 2017). Similarly, for the E-VRP, exact methods are not able to solve small instances within a fast computation time (Goeke and Schneider, 2015). Similar to DARP, recent studies of the E-VRP have shown that commercial solvers are not able to solve many small instances for this kind of problem (Schneider et al., 2014; Felipe et al., 2014; Yang and Sun, 2015; Ding et al., 2015; Hiermann et al., 2016; Çatay and Keskin, 2017; Lin et al., 2016). Thus, the majority of studies that concerns solving problems of realistic size has developed metaheuristics algorithms for the solution (see, e.g., Schneider et al., 2014; Hiermann et al., 2016; Keskin and Çatay, 2016). Therefore, we propose three Evolutionary Variable Neighborhood Search (EVO-VNS) metaheuristic algorithms to solve the DARP-EV.

The contributions of this work are as follows: (i) we investigate a practical extension of the DARP as described above; (ii) we propose three different variants of an effective Evolutionary Variable Neighborhood Search (EVO-VNS) algorithm to solve the DARP-EV; (iii) extensive numerical experiments are applied to assess the performance of the proposed methods.

The remainder of this paper is organized as follows. An overview of the recent literature is provided in Section 2. Problem assumptions are presented in Section 3. A formal description of the problem is given in Section 4, whereas Section 5 describes our proposed evolutionary algorithms. Section 6 presents the computational results. Conclusions and the future research directions are stated in Section 7.

## 2. Literature review

This section provides a brief review on recent and related routing problems. We first review the studies that focus on metaheuristic algorithms for DARPs, and next we focus on the studies in the domain of electric VRPs. In addition, before we conclude the review, we explain the motivation behind our proposed method by presenting a brief discussion on the use of hybrid methods in the DARP and other variants of the VRP.

### 2.1. The dial-a-ride problem

For comprehensive reviews on DARPs, interested readers are referred to the studies of Cordeau and Laporte (2007), Doerner and Salazar-Gonzalez (2014) and Molenbruch et al. (2017).

Due to the complexity of the DARP, several metaheuristic approaches are proposed in the literature. These include Variable Neighborhood Search (VNS) (Parragh et al., 2010; Muelas et al., 2013), Tabu Search (TS) (Cordeau and Laporte, 2003), Adaptive Large Neighborhood Search (ALNS) (Masson et al., 2014), Genetic Algorithm (GA) (Jørgensen et al., 2007), hybrid column generation and Large Neighborhood Search (hybrid LNS) (Parragh and Schmid, 2013), and Deterministic Annealing (DA) algorithm (Braekers et al., 2014).

The Heterogeneous DARP (HDARP) is one of the most studied DARPs and takes into account several types of users and resources (e.g., a patient seat, a wheelchair space and a stretcher) (Wong and Bell, 2006). In the study of Parragh (2011), the HDARP with two types of vehicles and four resources (i.e., stretcher, wheelchair, staff seat, patient seat and accompanying person) are studied. The authors proposed Branch-and-Cut (B&C) and VNS algorithms to solve the HDARP. In another study, Braekers et al. (2014) studied multiple depots and heterogeneous vehicles and users for the HDARP. The authors proposed B&C and DA algorithms. The HDARP with multiple trips, single depot, and configurable vehicle capacity has been studied by Liu et al. (2015). To improve the bounds of their B&C algorithm, the authors introduced two mixed integer programming models. They were able to solve instances with up to 22 requests within a running time of four hours. Zhang et al. (2015) studied the public patient transportation problem derived from Hong Kong hospital authority using a fleet of conventional fuel-operated ambulances. The authors considered the sterilization requirement of the ambulance after returning to the depot and introduced the driver's lunch break extension. Later, Lim et al. (2016) considered an application in Hong Kong within the context of the multi-trip DARP by including lunch breaks and the presence of an assistant. An efficient heuristic with an ad-hoc component was developed and tested on a real-life dataset. In a recent study, Masmoudi et al. (2017) proposed a hybrid Genetic Algorithm (GA) to solve the HDARP.

Some recent works address various extensions of the DARP, which consider more realistic concepts (e.g., Marković et al., 2015; Masmoudi et al., 2016; Braekers and Kovacs, 2016) and dynamic pricing procedures (see, e.g., Sayarshad and Chow, 2015; Amirgholy and Gonzales, 2016).

### 2.2. The electric vehicle routing problems and their applications

In the literature, different routing problems resulted from applying different types of electric vehicles (such as, electrically-powered, battery-powered and plug-in hybrids) in the logistics operations. Among these problems, there are green vehicle routing problems (Nie and Ghamami, 2013; Wang and Lin, 2013; Felipe et al., 2014), transportation network problems (He et al., 2013; Agrawal et al., 2016; Genikomsakis and Mitrentsis, 2017), routing problems with partial/full recharging strategy (Schneider et al., 2014; Goeke and Schneider, 2015; Hiermann et al., 2016), energy efficient routing of electric vehicles (Eisner et al., 2011; Kobayashi et al., 2011; Siddiqi et al., 2011; Sachenbacher et al., 2011), and electric vehicle shortest path (Liao et al., 2016).

Due to the limited driving range of most EVs, the necessity of visiting stations for recharging is an important aspect that should be

considered while planning the service of users' requests in the field of VRPs. [Conrad and Figliozzi \(2011\)](#) incorporated a mixed fleet of vehicles composed of electric and conventional vehicles in the context of VRP. To recharge the electric vehicles, the authors consider that the vehicles can be recharged any time during traveling, where the number of recharging services needed is estimated by dividing the total distance travelled by the limited driving range of the vehicle. This assumption, however, may not be very realistic in real-world applications. [Erdoğan and Miller-Hooks \(2012\)](#) proposed the green VRP (G-VRP), where the vehicles have a limited driving range, and a limited refueling infrastructure is also considered. They assumed that the energy consumption is constant, and that the vehicle can visit a recharging station more than once during the route. However, they do not include a capacity or time windows constraints in their model. [Montoya et al. \(2015\)](#) propose an efficient Multi-Space Sampling Heuristic (MSH) to solve the benchmark instances of the G-VRP of [Erdoğan and Miller-Hooks \(2012\)](#). The proposed approach can find 8 new best solutions.

Other studies that consider refueling in G-VRP can be found in [Koç and Karaoglan \(2016\)](#), [Adler and Mirchandani \(2016\)](#) and [Yavuz \(2017\)](#). A survey paper related to the G-VRP can be found in [Bektaş et al. \(2016\)](#).

[Schneider et al. \(2014\)](#) extended the G-VRP of [Erdoğan and Miller-Hooks \(2012\)](#) by considering EVs as a fleet of vehicles, resulting then in E-VRP. In addition, they considered the traditional VRP constraints, such as the capacity of vehicles and time windows of users. The authors proposed a hybrid VNS with TS method to solve the E-VRP. Extensive experiments are applied and show that the proposed VNS with TS provide good results on the benchmark instances of the traditional G-VRP and VRP with Times Windows (VRPTW). [Goeke and Schneider \(2015\)](#) study the E-VRP by considering a mixed fleet of electric and conventional vehicles. They use a realistic energy consumption function by considering the mass, capacity of vehicles and constant speed to determine the time when the EV needs to visit a recharging station, which can be considered a similar problem to our case.

The energy consumption function is derived from the function of the fuel consumption proposed by [Bektaş and Laporte \(2011\)](#) for the conventional vehicles. [Montoya et al. \(2017\)](#) and [Froger et al. \(2017a\)](#) studied the E-VRP with a nonlinear function to recharge the EVs. The same problem is extended by [Froger et al. \(2017b\)](#) by considering that the number of vehicles that can simultaneously be charged at any recharging station is limited by the available number of chargers. To solve this problem, a metaheuristic composed of two-stages is developed (Iterative Local Search as first stage and Benders decomposition as second stage). [Felipe et al. \(2014\)](#) consider a variant of the E-VRP, where they allow partial recharging and consider different charging technologies. The work of [Wen et al. \(2016\)](#) considers an electric vehicle scheduling problem (E-VSP), where a set of buses with certain start and end locations is considered. A mixed integer programming formulation and ALNS were developed, where the objective was to minimize the total distance using the minimum number of vehicles to cover all scheduled trips. [Hiemann et al. \(2016\)](#) proposed an ALNS method with labeling procedures and embedded with local search to solve the Fleet size and Mix E-VRP with time windows. [Keskin and Çatay \(2016\)](#) developed an ALNS approach to solve the E-VRP with partial recharging. [Schiffer and Walther \(2017\)](#) considered electric vehicles in the context of a location routing problem, where both routing and siting decisions are simultaneously taken into account. In addition to the usual time windows and capacity constraints, different charging options are also considered in their work.

Another emergent problem that uses EVs is the Battery Electric Vehicles (BEVs) routing problem with swapping battery stations, which is another stream of research that is related to our problem. Several constraints, such as vehicle capacity, delivery time windows, limited locations of recharging/swapping battery infrastructure, and a maximum route duration are considered in different studies. For example, [Mak et al. \(2013\)](#) proposed two robust optimization models for the battery-swap location problem under limited information concerning requests distribution. In another study, [Adler and Mirchandani \(2014\)](#) examined routing of EVs through a network of battery-swap stations. They also improved a Markov Decision Process (MDP) algorithm using an approximate Dynamic Programming (ADP) technique to distribute battery switch loads among the stations to reduce the average delay of each vehicle.

Furthermore, numerous studies aimed for accelerating the adoption of BEVs, by attempting to optimally deploy and strategically allocate budget for the charging infrastructure to help sustain the mass-adoption of BEVs ([Hof et al., 2017](#); [Liu and Wang, 2017](#)). Moreover, these studies sought also to treat the routing, touring, fleet deployment or relocation problem of BEVs to incorporate them in city logistics and shared mobility ([Liao et al., 2016](#); [Boyacı et al., 2017](#)).

To sum up, it is apparent that this kind of E-VRP, where a limited driving range and the need to visit recharging stations, has received the interest of many researchers in the literature. In addition, some works address various extensions of the E-VRP, which consider other realistic concepts (e.g., [Liao et al., 2016](#); [Roberti and Wen, 2016](#); [Desaulniers et al., 2016](#); [Hof et al., 2017](#)). For the interested readers, more details on several variants and new trends in electric VRPs can be found in recent surveys by [Martínez-Lao et al. \(2017\)](#) and [Pelletier et al. \(2017\)](#).

However, based on our literature review, it is also observed that although EVs is widely studied in different VRP variants, it is not yet applied in the domain of DARPs. This has inspired us to apply a fleet of EVs instead of the traditional conventional vehicles (CVs), taking into account the limited driving range and the possible need of recharging as in most E-VRPs. Thus, applying EVs in our DARP-EV distinguishes our work from the widely applied EVs in different E-VRPs variants.

### 2.3. Hybrid solution methods in DARPs and VRPs

Examining previous solution methods of the DARP, it appears that hybrid population-based metaheuristics for solving this problem are not widely applied, with a few exceptions such as the work of [Masmoudi et al. \(2017\)](#), previously discussed in [Section 2.1](#). Moreover, [Masmoudi et al. \(2016\)](#) endorsed the use of local search methods within a population-based metaheuristic algorithm for solving complex versions of DARPs. In their study, a simple hybridization method is proposed by augmenting the Bees Algorithm with two well-known single-solution based algorithms, namely Demon Algorithm (DA) and Simulated Annealing (SA), in order to enhance the intensification around the elite solutions. In addition, [Chassaing et al. \(2016\)](#) propose an Evolutionary Local Search (ELS) approach for solving the DARP. ELS is an extension of Iterated Local Search (ILS), where a randomized constructive heuristic is used



to generate several copies of the current solution to be used as starting solutions for the ELS. Each of these copies is first modified (mutated), before the ELS performs local search by combining six neighborhood structures, which are controlled by dynamically updated probabilities in order to improve its convergence.

Taking a wider look at hybrid methods, especially those involving evolutionary algorithms, we observe that they have been attempted for solving different combinatorial optimization problems and in particular different variants of the VRP. Among these we mention the Hybrid Evolutionary Algorithm (HEA) of [Koç et al. \(2015\)](#) for solving the heterogeneous fleet vehicle routing problem with time windows. The HEA combines Adaptive Large Neighborhood Search (ALNS) with a population-based search, where what is called an Education procedure is applied to repair an offspring resulting from crossover before inserting it back to the population. In addition, extensive search around elite solutions is performed using ALNS. A similar idea of applying an Education procedure for repairing infeasible solutions is applied in the Hybrid Genetic Search with Advanced Diversity Control (HGSADC) of [Vidal et al. \(2013\)](#), for solving a large class of time-constrained vehicle routing problems. However, they consider population diversity as an objective that should be optimized together with the solution quality. For other hybrid population based methods to solve VRP variants including DARPs, interested readers are referred to the survey paper of [Braekers et al. \(2016\)](#) and [Molenbruch et al. \(2017\)](#). As can be seen from this review, state-of-the-art approaches largely gain from the incorporation of local search metaheuristics to improve the population.

Regarding the hybridization of VNS with population-based methods in the literature, we noticed that very few works apply this technique for variants of the VRP. For example, the work of [Jabir et al. \(2017\)](#) extend an Ant Colony Optimization (ACO), which is used for route construction in the multi-depot green vehicle routing problem, with a local search that uses VNS. [Guan and Lin \(2016\)](#) developed a hybrid VNS with ACO to solve the single row facility layout problem. [Xia et al. \(2016\)](#) proposed an efficient hybrid GA using VNS as an improvement phase to solve the dynamic Integrated Process Planning and Scheduling (IPPS) problem. However, to the best of our knowledge, hybridization of VNS with population-based methods has not been applied before to any variant of the DARP. Moreover, it seems that the only hybridization of VNS applied in the DARP is developed by [Parragh and Schmid \(2013\)](#), where they proposed a Large Neighborhood Search (LNS) with VNS. Interested readers can find other hybrid evolutionary methods and other types of hybridization in different combinatorial optimization problems in the survey paper of [Blum et al. \(2011\)](#).

As previously mentioned, in our work we develop an evolutionary VNS for solving the DARP-EV. What distinguishes our technique from other hybridization techniques in the literature is that instead of integrating the VNS within a fully-operating population-based method as a local search procedure, which is the classical hybridization mechanism, we attempt to transform the VNS itself to a semi population-based method. This is achieved by injecting VNS with several features that are borrowed from evolutionary-based algorithms, as will be explained in detail in [Section 5](#) of this paper.

### 3. Problem assumptions

Before we introduce the formal problem description, we present problem features that distinguish our work from previous works and other simplifying assumptions that have been incorporated to make the problem more manageable. In most studied energy consumption models (e.g., [Erdoğan and Miller-Hooks, 2012](#); [Schneider et al., 2014](#); [Koç and Karaoglan, 2016](#); [Adler and Mirchandani, 2016](#); [Yavuz, 2017](#)), the energy consumption is considered constant. Nevertheless, in recent years, researchers started to consider more realistic energy consumption in routing models that incorporate EVs (e.g., [Wu et al., 2015](#); [Goeke and Schneider, 2015](#); [Genikomsakis and Mitrentsis, 2017](#)). This has motivated us to use a realistic energy consumption model, based on the one proposed by [Genikomsakis and Mitrentsis \(2017\)](#). Despite this, we have considered some simplifications in our application of EVs in routing, compared to other EVs applications, due to the complexity of our problem.

Regarding the travel speed, we assume that it is constant on each arc, i.e., acceleration phases are neglected. In addition, in our EVs, we assume that the road gradient is also constant over an arc. Nevertheless, it is possible to integrate acceleration patterns in the topology, for example by adding a path having an intermediate vertex in each arc. Thus, each of the added vertices will mark the variation in gradient and acceleration (see, e.g., [Simpson, 2005](#); [Genikomsakis and Mitrentsis, 2017](#)). Without loss of generality, this approach is employed in order to take into account the effect of the road network topology on the energy consumption (or regeneration).

We note that the speed could be handled as a decision variable, which can be increased in order to satisfy the time windows, and can be reduced in order to decrease the amount of consumed energy (see, e.g., [Bektaş and Laporte, 2011](#); [Demir et al., 2012, 2015](#)) for fuel consumption. However, since the vehicle's speed is strongly affected by traffic conditions, we chose not to consider this modeling, similar to the energy consumption model of [Goeke and Schneider \(2015\)](#), which is used to calculate the energy consumption in the E-VRP with time windows and mixed fleet of conventional and electric vehicles. Since the energy consumption affects the battery level (and consequently the need to recharge at a recharging station), these simplifying assumptions seem to be even more important within the context of electric vehicles.

Another simplifying assumption that we consider is that the effect of outside temperature on the capacity of the battery is neglected. In contrast to the applied EVs in the VRPs that assume homogeneous capacity vehicles, we introduce in our work a new fleet of EVs containing different capacity resources to serve different types of users, which also arise in the distribution of goods within the context of VRP. For example, different resources could be needed to transport different types of goods (e.g., liquids and objects) in the same vehicle. In fact, our EVs differ than those studied in the literature in that we consider the load/unload of users during the calculation of the energy consumption function, since this has an influence on the energy consumption during the route. To the best of our knowledge, only [Goeke and Schneider \(2015\)](#) have considered the impact load/unload of goods on the energy consumption of the EVs. However, the difference between the energy consumption model applied by them and that applied in our

case is that they consider the efficiency of the electric machine when operating as a motor or as a generator. In addition, they consider the recuperation energy constant, and they do not consider the power consumption of accessories in their model. This is contrary to our model, where we consider these parameters as variables that depend on many factors, based on the model of Genikomsakis and Mitrentsis (2017), as will be defined later.

Finally, we note that there is a slight difference between our model and the model of Genikomsakis and Mitrentsis (2017), where they consider the speed and acceleration as well as the road gradient as variables, while in our case, as mentioned above, we have modeled these as constant on each traveling arc. Thus, we have slightly modified the original model of Genikomsakis and Mitrentsis (2017), which is described in detail in the next section.

#### 4. Problem description

The DARP-EV can be formally described as follows. We have a complete directed graph  $G = (V, A)$ , where  $V$  is the set of all nodes and  $A = \{(i, j) : i, j \in V, i \neq j\}$  is the set of arcs connecting each pair of nodes. The set  $V$  is further partitioned into two subsets;  $N = \{1, \dots, 2n\}$  is the set of pickup and delivery nodes and  $F = \{2n + 1, \dots, 2n + f\}$  is the set of Battery Swap Station (BSS) nodes. For  $n$  user requests,  $P = \{1, \dots, n\}$  and  $D = \{n + 1, \dots, 2n\}$  represent the pickup and the delivery nodes, respectively. The nodes 0 and  $2n + 1 + f$  denote the same depot, where every route begins at depot 0 and ends at  $2n + 1 + f$ . We assume that the depot is also considered as a BSS node. The complete set of nodes can now be represented as  $V = N \cup F \cup \{0, 2n + 1 + f\}$ . An arc  $(i, j)$  in set  $A$  has an associated non-negative travel cost  $c_{ij}$  equal to the distance  $d_{ij}$  ( $d_{ij} = c_{ij}$ ) and a non-negative travel time  $t_{ij}$ . Moreover, we assume that the number of stops that the vehicle can make for swapping its battery is not limited. The time window to visit any BSS node is set to  $[0, T]$ , where  $T$  is length of the planning horizon.

The mathematical formulation differentiates between visits to users' nodes on one hand, and visits to BSSs nodes and the depot on the other hand. The difference is that each user node must be visited exactly once, while BSS nodes may be visited multiple times or may not be visited at all. Moreover, the depot node must be visited at the beginning and at the end of each tour, and can also serve, if needed, as a BSS node. To allow a subset of vertices to have multiple visits, while others are visited exactly once, a set of  $f'$  dummy vertices,  $F' = \{2n + f + 1, \dots, 2n + f + f'\}$  are augmented on the graph  $G$  (see, e.g., Erdoğan and Miller-Hooks, 2012; Schneider et al. 2014). Each of these nodes accounts for a potential visit to a BSS or depot serving as a BSS. In other words, these copies of station nodes are needed in the model to allow for multiple visits at the original set of nodes (even when done by the same vehicle). Thus, we obtain the graph  $G' = (V', A')$ , where  $V' = V \cup F'$ . The technique of introducing dummy vertices was proposed by Bard et al. (1998) to allow for intermediate stops at depots.

We assume that a fleet of EVs  $K = \{k_1, \dots, k_k\}$  is available and each vehicle capacity is defined with  $Q^k$ , which shows the amount of resource  $r$  available on each vehicle  $k$ . We also assume that there are four types of resources available in each vehicle: (i) an accompanying person  $Q^{0,k}$ , (ii) a handicapped person's seat  $Q^{1,k}$ , (iii) a stretcher  $Q^{2,k}$ , and (iv) a wheelchair  $Q^{3,k}$ . The battery capacity for each vehicle is denoted as  $H$ , which is consumed at an energy rate  $EC$  in each time (in minutes). Each user request is associated with a time window  $[T_i^-, T_i^+]$  and a demand requirement  $q_i^r$  for each resource  $r$ , where this demand at each delivery is equal to  $q_{n+i}^r = -q_i^r$ . For the convenience of the users, we implicitly set a limit on the maximum allowed user ride time  $L_{max}$ . Finally, a service time  $s_i$  is needed when visiting a pickup or delivery node  $i$  ( $\forall i \in N$ ), and a swapping battery time  $s_f$  when visiting a BSS node  $f \in F'$ . Since each vehicle is assumed to have only one driver, we use the terms (vehicles and drivers) interchangeably. For each driver, the maximum working time per day is  $T_{max}$ .

The energy consumption at each time  $t$  (in minutes) when visiting a node  $i \in EC_{(i)}(t)$  can be calculated as discussed in Genikomsakis and Mitrentsis (2017) by the following Eq. (1).

$$EC_{(i)}(t)[W] = \begin{cases} \left( FR \cdot n_{gear} \cdot n_{gen} \left( \frac{0.001 \cdot P_{out}}{P_{mot}} \right) \cdot norm + P_{ac} \right) \frac{\sqrt{RTE}}{60} & \text{if } FR < 0 \text{ and } P_{bat} < 0 \text{ (1. a)} \\ \left( FR \cdot n_{gear} \cdot n_{gen} \left( \frac{0.001 \cdot P_{out}}{P_{mot}} \right) \cdot norm + P_{ac} \right) \left( \frac{1}{60} \right) / \sqrt{RTE} & \text{if } FR < 0 \text{ and } P_{bat} > 0 \text{ (1. b)} \\ \left( \frac{FR}{n_{gear} \cdot n_{gen} \left( \frac{0.001 \cdot P_{out}}{P_{mot}} \right) \cdot norm} + P_{ac} \right) \left( \frac{1}{60} \right) / \sqrt{RTE} & \text{if } FR > 0 \text{ (1. c)} \end{cases} \quad (1)$$

The first part (1.a) of Eq. (1) describes the condition when the renovation energy surpasses the consumption of the accessories and then the battery reserves this overflow of energy. In the contrary, the second part (1.b) considers the situation where the revived energy is not enough for the consumption of the accessories, and as a result, this energy is taken off from the storage. Finally, the third part (1.c) accounts for the condition in which the energy is not regenerated. Consequently, the vehicle is not empowered and the accessories do not consume the energy which is already taken off from the storage. The tractive power  $FR$  equation (2) is calculated as:

$$FR[N] = \left( \frac{1}{2} \rho \cdot A_f \cdot C_D \cdot v^2 + m(u_j) a + C_f \cdot m a + m(u_j) g (\sin(\alpha_{ij}) + C_r \cos(\alpha_{ij})) \right) \cdot v. \quad (2)$$

The mechanical power  $P_{out}$  can be calculated using the following equation (3)

**Table 1**  
Coefficients used for motor/generator mode.

Coefficient	Motor mode ( $n_{mot}$ )	Generator mode ( $n_{gen}$ )
$\varphi_1$	0.924300	0.925473
$\varphi_2$	0.000127	0.000148
$\varphi_3$	0.012730	0.014849
$\varphi_4$	0.080000	0.075312
$\varphi_5$	0.860000	0.858605
$\varphi_6$	-0.073600	-0.062602
$\varphi_7$	0.975200	0.971034

$$P_{out} [W] = \begin{cases} FR \cdot n_{gear} & \text{if } FR < 0, \\ FR/n_{gear} & \text{if } FR > 0. \end{cases} \quad (3)$$

The input power of the motor  $P_{in}$  [W] is depending on the sign of traction power to wheel, which is defined by equation (4).

$$P_{in} = \begin{cases} P_{out} \cdot n_{gen} \cdot norm & \text{if } FR < 0, \\ P_{out} / n_{mot} \cdot norm & \text{if } FR > 0. \end{cases} \quad (4)$$

Hence, if the  $P_{in}$  value is negative ( $P_{in} < 0$ ), EV can recuperate the energy during the regenerative state. In this case  $P_{in}$  is then calculated by Eq. (5)

$$P_{in} [W] = \begin{cases} P_{out} \cdot n_{gen} \cdot norm & \text{if } FR < 0, \\ P_{out} / (n_{mot} \cdot norm) & \text{if } FR > 0. \end{cases} \quad (5)$$

Depending on the mechanical power  $P_{out}$  and the input power of the motor  $P_{in}$  values, it is necessary to calculate the efficiency of the electric machine  $n_{mot}$  or  $n_{gen}$  when operating as motor or as a generator, respectively. In this regard, using the coefficients of Table 1, we use the following piecewise function in Eq. (6) to calculate the curb-efficiency. The same function is also shown in Fig. 1.

$$eff(x) = \begin{cases} (\varphi_1 x + \varphi_2) \frac{1}{x + \varphi_3} & \text{if } 0 \leq x < 0.25 \\ \varphi_4 x + \varphi_5 & \text{if } 0.25 \leq x < 0.75 \\ \varphi_6 x + \varphi_7 & \text{if } x \geq 0.75 \end{cases} \quad (6)$$

where  $x$  presents the mechanical power  $P_{out}$  as a fraction of its rated power value  $P_{mot}$ , in other words,  $x = 0.001|P_{out}|/P_{mot}$ . Based on the sign of  $P_{in}$  in Eqs. (4) and (5), the electric output value of the battery  $P_{bat}$  can be calculated using Eq. (7).

$$P_{bat} = P_{in} + P_{ac} \quad (7)$$

We note that in Genikomsakis and Mitrentsis (2017) vehicle speeds as well as the acceleration rates are considered as variables. Since vehicle speed is subject to traffic conditions, we did not adopt the variable speed modeling in our study. We assume that vehicle speed is constant in each arc since we do not consider instantaneous acceleration/deceleration phases. However, we have adopted the vehicle mass as a variable on the function of Genikomsakis and Mitrentsis (2017). This is because the mass of the vehicle has an effect on the energy consumption. In other words, the consumption depends on the current payload of a user  $u$ . Thus, the vehicle mass

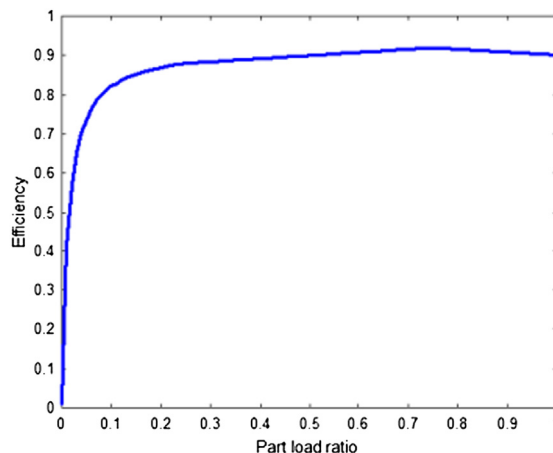


Fig. 1. Piecewise function of the efficiency.

**Table 2**  
Parameters and technical specifications of the vehicle.

Notation	Description	Value
$g$	Gravitational constant ( $\text{m/s}^2$ )	9.8066
$\rho$	Air mass density ( $\text{kg/m}^3$ )	1.25
$A_f$	Frontal surface area of the vehicle ( $\text{m}^2$ )	2.19
$C_d$	Coefficient of aerodynamic drag	0.29
$C_f$	Mass correction factor	0.05
$C_r$	Coefficient of rolling resistance	0.008
$v$	Vehicle speed ( $\text{km/h}$ )	17
$H$	Capacity of battery (kW)	40 <sup>a</sup>
$a$	Acceleration ( $\text{m/s}^2$ )	0
$\alpha_{ij}$	Angle of the road slope between nodes $i$ and $j$	See Section 6.1
$m_v$	Vehicle mass + driver (kg)	1633
$\eta_{\text{gear}}$	Coefficient of gear efficiency	0.97
$P_{\text{ac}}$	Power consumption of accessories (W)	300
$P_{\text{mot}}$	Rate power (kW)	75
$\text{norm}$	normalization factor of motor efficiency	0.987
$\text{RTE}$	Round trip efficiency	0.95
$m_u$	Average weight of user(with wheelchair) in kilograms	70(80) <sup>b</sup>

<sup>a</sup> Estimated value from *Tesla Motors (2013)*, represents around 100 miles for one trip to be depleted (Lion battery).

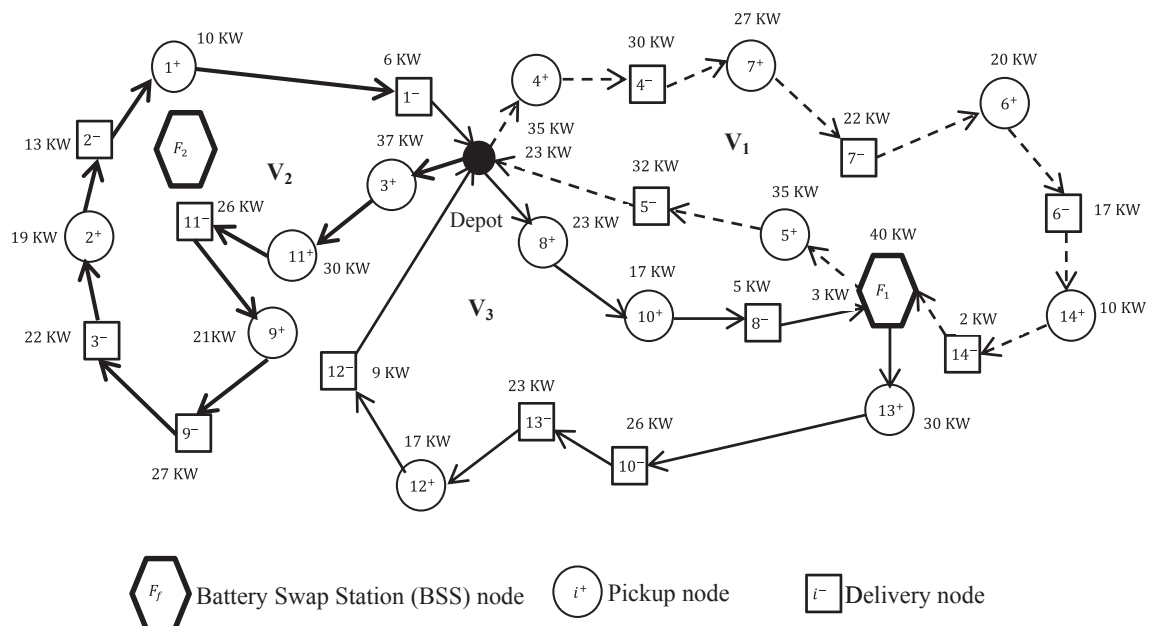
<sup>b</sup> Average value estimated from *Centers for Disease Control and Prevention (2012)*.

function  $m(u)$  can be defined as  $m(u) = m_v + m_u u$ , where  $m_v$  represents the curb weight and  $m_u$  is the weight of the user. In addition, we note that  $u_j$  presents the number of users available in the vehicle when arriving at the next user  $j$ .

The physical constants and vehicle properties of the specific vehicle “Nissan Leaf model” (*Carsales.com.au, 2015*) and coefficients are adopted from *Genikomsakis and Mitrentsis (2017)* and are summarized in Table 2.

The DARP-EV consists of planning a set of routes to satisfy a set of transport users by considering the minimization of the total routing costs. A solution to the DARP-EV must satisfy the following conditions: (i) the pickup node must be visited before its corresponding delivery node, (ii) the total demand of the route for all visited nodes must not exceed the resource capacity, (iii) each node must be served within its time window, (iv) the maximum ride time of each user must not be exceeded, (v) the same vehicle must visit the pickup and delivery nodes of the same user, (vi) each vehicle can visit a BSS node for swapping the depleted battery, if the remaining energy level in its battery is not enough to serve the next user, (vii) each route should start and end at the same depot, and (viii) the duration of the route should not exceed the maximum working time.

Fig. 2 presents an example of the DARP-EV containing three vehicles (routes) and 14 users, where each user  $i$  should be picked up from its origin  $i^+$  to its destination  $i^-$ , two battery swap stations and the depot, which can also serve as a BSS. The value along each



**Fig. 2.** Illustrative example of the DARP-EV.



route shows the amount of charge in the battery when the vehicle arrives at the node of each user  $i$  and to the BSS node. Vehicle  $V_1$  visits the BSS node  $F_1$  to replace the battery by a full one after servicing the nodes  $4^+$ ,  $4^-$ ,  $7^+$ ,  $7^-$ ,  $6^+$ ,  $6^-$ ,  $14^+$ ,  $14^-$  in order to be able to service the nodes  $5^+$ ,  $5^-$  before returning back to the depot. Vehicle  $V_2$  services the nodes  $3^+$ ,  $11^+$ ,  $11^-$ ,  $9^+$ ,  $9^-$ ,  $3^-$ ,  $2^+$ ,  $2^-$ ,  $1^+$ ,  $1^-$  and returns to the depot without visiting any  $F_j$  nodes. Vehicle  $V_3$  visits  $F_1$  node after servicing the nodes  $8^+$ ,  $10^+$ ,  $8^-$  to continue its travel to serve the nodes  $13^+$ ,  $10^-$ ,  $13^-$ ,  $12^+$ ,  $12^-$ . From Fig. 2 it can be observed that a battery swapping station can be visited many times by the same or different vehicles as the  $F_1$  node, and a station may not necessarily be visited at all as the  $F_2$  node.

We now present a Mixed-Integer Non-Linear Program (MINLP) formulation for the DARP-EV that is inspired by the standard DARP formulation as in Parragh (2011) and the G-VRP of Erdoğan and Miller-Hooks (2012), which can be extended to the E-VRP by assuming that the fleet is composed of EVs, as mentioned by Schneider et al. (2014). More specifically, constraints (9)–(21) of our model are already used in the DARP formulation of Parragh (2011), with slight modifications in these constraints by considering the BSS node (and its dummy vertex). Constraints (22)–(24) are the same as in the formulation of Erdoğan and Miller-Hooks (2012).

The DARP-EV can be formulated as follows: binary variables  $x_{ij}^k$  are equal to 1 if arc  $(i, j)$  is included in the solution and 0 otherwise. Continuous variables  $B_i^k$  represent the time that vehicle  $k$  starts servicing node  $i$ . Continuous variables  $Q_i^{rk}$  indicate the load of resource  $r$  on vehicle  $k$  immediately after visiting node  $i$ . Continuous variables  $l_i^k$  represent the ride time of user  $i \in P$  on vehicle  $k$ . Continuous variables  $z_{bat}^k(i)$  represent the battery charge level of the vehicle when visiting node  $i$ .

$$\text{minimize } \sum_{k \in K} \sum_{i \in V'} \sum_{j \in V'} c_{ij} x_{ij}^k \quad (8)$$

subject to

$$\sum_{k \in K} \sum_{j \in N} x_{ij}^k = 1 \quad \forall i \in P \quad (9)$$

$$\sum_{k \in K} \sum_{j \in N} x_{ji}^k = 1 \quad \forall i \in D \quad (10)$$

$$\sum_{j \in N} x_{ij}^k = \sum_{j \in N} x_{j, n+i}^k \quad \forall k \in K, \quad \forall i \in P \quad (11)$$

$$\sum_{j \in V'} x_{0j}^k = 1 \quad \forall k \in K \quad (12)$$

$$\sum_{i \in V'} x_{i, 2n+f'+1}^k = 1 \quad \forall k \in K \quad (13)$$

$$\sum_{i \in V'} x_{ij}^k = \sum_{i \in V'} x_{ji}^k \quad \forall k \in K, \quad \forall j \in V' \quad (14)$$

$$Q_j^{rk} \geq (q_j^r + Q_i^{rk}) \sum_{k \in K} x_{ij}^k \quad \forall (i, j) \in A, r \in \{0, 1, 2, 3\} \quad (15)$$

$$0 \leq Q_i^{rk} \leq Q^{rk} \quad \forall k \in K, \quad \forall i \in N, r \in \{0, 1, 2, 3\} \quad (16)$$

$$Q_0^{rk} = 0 \quad \forall k \in K, r \in \{0, 1, 2, 3\} \quad (17)$$

$$l_i^k = B_{n+i}^k - (B_i^k + s_i) \quad \forall k \in K, \forall i \in P \quad (18)$$

$$t_{i, n+i} \leq l_i^k \leq L_{\max} \quad \forall k \in K, \forall i \in P \quad (19)$$

$$B_j^k \geq (B_i^k + s_i + t_{ij}) \sum_{k \in K} x_{ij}^k \quad \forall k \in K, \quad \forall (i, j) \in A' \quad (20)$$

$$T_i^- \leq B_i^k \leq T_i^+ \quad \forall k \in K, \quad \forall i \in V' \quad (21)$$

$$z_{bat}^k(j) \leq z_{bat}^k(i) - EC t_{ij} x_{ij}^k + H(1 - x_{ij}^k) \quad \forall j \in N, \quad \forall i \in V', i \neq j, \quad \forall k \in K \quad (22)$$

$$z_{bat}^k(j) \geq \min\{EC t_{j0}, EC(t_{jf} + t_{f0})\} \quad \forall j \in N, \quad \forall f \in F', \quad \forall k \in K \quad (23)$$

$$z_{bat}^k(j) = H \quad \forall j \in F', \quad \forall k \in K \quad (24)$$

$$B_{2n+f'+1}^k - B_0^k \leq T_{\max} \quad \forall k \in K, \quad \forall f \in F' \quad (25)$$

$$x_{ij}^k \in \{0, 1\} \quad \forall k \in K, \quad \forall (i, j) \in A'. \quad (26)$$

The objective function (8) aims to minimize the total routing costs, including BSS costs. Constraints (9)–(11) ensure serving the pickup and delivery pair by the same vehicle. Constraints (12) and (13) ensure that each vehicle  $k$  starts at the origin depot and ends

at the corresponding destination depot, while constraints (14) define arc flows. Constraints (15) and (16) set the capacity values. Constraints (17) make sure that a vehicle leaves the depot with an empty load. Constraints (18) define the ride time of each user in each route, which is bounded by constraints (19). These constraints also enforce the precedence relationship between the pickup and delivery nodes. Constraints (20) define the beginning of service at each node. Constraints (21) impose the time windows. Constraints (22) track the fuel level of the vehicle according to the sequence and type of the visited nodes. If  $i$  is a customer node and  $j$  is visited immediately after  $i$  ( $x_{ij}^k$ ) with vehicle  $k$ , the first term in Constraints (22) will ensure that the fuel level is reduced when the vehicle arrives at  $j$  according to the distance from  $i$  to  $j$  and the fuel consumption rate. Constraints (23) guarantee that the vehicle will not be stranded due to shortage in fuel by ensuring that after visiting any customer in the route, there is enough fuel remaining to return to the depot either directly or through a BSS. We note that Constraints (23) can be modified to  $z_{bat}^k(j) \geq \{ECt_{j0}, ECt_{jf}\}$ , since we only need to make sure that the vehicle can either return to the depot or visit a BSS for battery swapping with the remaining battery. Constraints (24) guarantee that the battery is full after visiting a BSS node. Constraints (25) define the time duration of the route of each vehicle, which is strictly limited by  $T_{max}$ . Finally, constraints (26) define binary decision variables. We note that constraints (15), (20), (22) and (23) are not in integer-linear form, but they can be linearized using the big  $M$ -method. Moreover, the linear form of constraints (15) can be done as given in constraints (27) with  $M_i \geq \min\{Q_{max}^r, Q_{max}^r + q_i^r\}$  and  $Q_{max}^r = \max_{k \in K} Q^{rk}$  as suggested by Desrochers and Laporte, (1991).

$$Q_j^{rk} \geq Q_i^{rk} + q_i^r - M_i \left( 1 - \sum_{k \in K} x_{ij}^k \right) + (M_i - q_i^r - q_j^r) \sum_{k \in K} x_{ji}^k \quad \forall i, j \in N, r \in \{0, 1, 2, 3\} \quad (27)$$

## 5. Evolutionary variable neighborhood search algorithms for the DARP-EV

This section presents three different variations of our proposed evolutionary Variable Neighborhood Search (EVO-VNS) algorithm to solve the DARP-EV. The VNS algorithm was first introduced by Mladenović and Hansen (1997) and used widely since then because of its simplicity, effectiveness, and adaptability to solve many VRPs variants (see, e.g., Mladenović et al., 2012; Carrizosa et al., 2013; Polat et al., 2015; Wei et al., 2015; Sarasola et al., 2016; Todosijević et al., 2016) including DARP and its variants (see, e.g., Schilde et al., 2011; Muelas et al., 2013, 2015; Parragh et al., 2014; Detti et al., 2017). Caporossi et al. (2016) and Mladenović et al. (2017) provided recent and successful applications of the VNS in different combinatorial optimizations problems.

Contrary to the traditional VNS that searches iteratively around a single initial solution, which limits its exploration power of large search spaces, our EVO-VNS, exploits a population of solutions as normally done in population-based metaheuristics. We combine features from famous evolutionary and swarm based techniques, namely the Genetic Algorithm (GA) proposed by Holland (1975), the Shuffled Frog-Leaping Algorithm (SFLA) proposed by Eusuff et al. (2006), and the Bees Algorithm (BA) of Pham et al. (2005). This structure is intended to maintain population diversify (Fang and Wang, 2012) and ensure global exploration for our EVO-VNS.

In addition, our algorithm restarts at each VNS iteration from a different initial solution. This can enhance its diversification power, allowing it to skip unnecessary iterations around local optima. Furthermore, we enhance the VNS by increasing its intensification power around favorable solutions. This is intended to boost the performance of the classical VNS and improve its convergence towards better solutions. Thus, this process of hybridization shapes a new hybrid VNS that includes the main advantages of two or more well-known metaheuristic approaches in a judicious way. Our approach is a novel approach, since we are not aware of any work that utilizes such evolutionary hybrid VNS for solving any variant of the VRP including the DARP.

The main steps of the EVO-VNS are shown in Algorithm 1. The algorithm runs for a number of iterations until no improvement is achieved of the global best solution after five consecutive iterations. First, an effective construction heuristic is used to generate the initial population of size  $Pop$ . Then, for a number of iterations, the following steps are performed. In step 1, each solution in  $Pop$  is evaluated according to the fitness function, and solutions are sorted according to fitness from the highest to lowest. In step 2, the population  $Pop$  is divided into  $m$  groups, each one contains  $(c)$  solutions (i.e.,  $Pop = m \cdot c$ ). In this process, the first solution goes to group 1, second solution goes to group 2,  $m$ th solution goes to group  $m$ , and solution  $m + 1$  goes back to the first group and so on. This step is similar to the generation of solutions in the SFLA proposed by Eusuff and Lansey (2003).

In step 3, for each group, the following steps are applied. First,  $s$  solutions are selected based on the roulette wheel selection mechanism. If the best solution of the current group is not improved in the last three consecutive iterations, a Merge Crossover 1 (MX1) based on the DARP study of Masmoudi et al. (2017) is applied as a perturbation phase between one solution from  $(s)$  and one solution from  $(c-s)$  to create a new solution. The main feature in the MX1 operator is that the new solution generated after crossover will inherit information from the already improved solution  $s$  in the current group  $n$  and another highly diversified solution  $(c-s)$ . This new solution construction mechanism thus enables the algorithm to achieve the required balance between intensification around a good solution in the group  $n$ , and exploration of new regions of the search space.

The MX1 crossover step is then followed by the procedure of repairing infeasible solutions of Masmoudi et al. (2017) (respecting the feasibility of a solution is explained in Section 5.4). The only difference is that we generate a new solution based on our constructive heuristic described in Section 5.1, in case the solution is still infeasible after all attempts to repair the current solution. In fact, the MX1 operator permits to produce a new solution that inherits good characteristics of both selected solutions (Masmoudi et al., 2017), in order to better diversify the search, while maintaining the feasibility of the solution as much as possible. In order to implement the MX1 crossover, a simple chromosome encoding of the solution is needed, as described in Section 5.2.6. If crossover is applied,  $(s)$  new solutions are then obtained and replace the original  $s$  solutions in the current group; otherwise, the original  $(s)$

solutions are not changed.

Then, in step 3.2, these ( $s$ ) solutions are sent to the intensification phase using an effective single-solution based metaheuristic algorithm, namely VNS. We use here three different types of VNS (i.e., VNS<sub>1</sub>, VNS<sub>2</sub> and VNS<sub>3</sub>). Each  $s$  solution improved by the VNS algorithm is then memorized in a list  $L_m$ , where  $m$  corresponds the index of the current group. In step 4, the best solution  $x_{best}$  is selected from the memorized solutions obtained from all  $L_m$  lists. If the objective function of  $x_{best}$  is smaller than that of  $x_{best}^*$  ( $f(x_{best}) < f(x_{best}^*)$ ), where  $x_{best}^*$  is the global best solution,  $x_{best}$  becomes the new global best solution. In step 5, the  $s$  new solutions obtained after applying VNS on each group are migrated to the next population. To complete the population  $Pop$ , new ( $Pop-s$ ) solutions are generated in step 6. These two steps (5 and 6) are based on the well-known Bees Algorithm (BA) metaheuristic of [Pham et al. \(2005\)](#). The main advantage of step 5 is that it permits the best solutions to survive to the next EVO-VNS iteration, while the purpose of step 6 is to increase the diversification of the search in the next EVO-VNS iteration as well.

**Algorithm 1** (*The evolutionary variable neighborhood search algorithm*).

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**Initial population:** Generate the initial population  $Pop$  using a set of construction heuristics;  $f(x_{best}^*) = \infty$ ;

**Repeat**

**Step 1:** Sort the solutions in descending order according to their fitness;

**Step 2:** Divide the population  $Pop$  into  $m$  groups, each group contains  $c$  solutions;

**Step 3:** For each group  $m$  **DO**

**Step 3.1:** Select ( $s$ ) solutions using roulette wheel selection from the  $c$  solutions;

**If** no improvement of the best solution of the current group after three consecutive iterations **Do**

      Perform the crossover operator between one solution from  $s$  and one solution from ( $c-s$ ) of the current group; Replace the ( $s$ ) solutions with the ( $s$ ) new solutions in the current group;

**End If**

**Step 3.2:** Perform VNS on each of the  $s$  solutions and memorize the new solution in list  $L_m$ ;

**Step 4:** Select the best memorized solution  $x_{best}$  from the lists  $L_m$ ;

**If**  $f(x_{best}) < f(x_{best}^*)$  **Then**

$x_{best}^* \leftarrow x_{best}$

**Step 5:** Insert the  $s$  new solutions in the population;

**Step 6:** Generate  $Pop-s$  new solutions to complete the population  $Pop$ ;

**Until** No improvement has been achieved after five consecutive iterations

**Return:**  $x_{best}^*$

---

### 5.1. Initialization phase

For the initialization phase, we use a modified version of the insertion heuristic proposed by [Braekers et al. \(2014\)](#) to insert the users as in the DARP. In addition, we consider the replacement of the battery by a new full one if it is depleted.

We first initialize a list  $L$  with a set of users to be served. Then, the following steps are performed. A user  $i$  is randomly selected from the list  $L$  and inserted in one of the already existing available vehicles in the best position that respects time windows, ride time and a maximum route duration. If a user  $i$  cannot be inserted in the route due to violation of the battery level, the selected user is re-inserted together with a BSS node  $f$ . This is done by selecting the nearest  $f$  node to the already existing node and inserting it between the previously inserted node of user  $i-1$  and the current user  $i$ . This insertion procedure is applied until all users are served.

### 5.2. Three variants of the variable neighborhood search algorithm

As defined by [Mladenović and Hansen \(1997\)](#), the VNS algorithm can be broken down into two phases: a deterministic phase, in which a local search converges to a local optimum, and a stochastic phase put in place to escape the local optima. As in the standard VNS, the stochastic part (called shaking phase) of the algorithm consists of generating a new solution  $x'$  in a given neighborhood. As for the descent with variable neighborhood, the search uses an initial solution  $x$  as a starting point, and uses a set of  $N_h$  neighborhoods  $h = \{1, \dots, h_{max}\}$ . At each iteration, a random solution  $x'$  is generated from the current neighborhood  $N_h$ . Then, a local search is applied to  $x'$  which generates a new solution  $x''$ . If this new solution  $x''$  is better than  $x'$ , an update is performed, and the process resumes with the first neighborhood. Otherwise, the same steps are repeated after selecting the next neighborhood  $h + 1$ . The algorithm returns  $x_{best}$  when the number of  $n_{vns}$  iterations is reached.

In our study, we propose three different enhanced VNS variants due to many characteristics and features added compared to the traditional VNS in the literature, with the aim to achieve efficient solutions to our problem. We describe these variants in the following subsections.

#### 5.2.1. Variable neighborhood search with roulette-wheel selection VNS<sub>1</sub>

In this section, we present the first version of our VNS (denoted VNS<sub>1</sub>), as described in [Algorithm 2](#). First, both the current and

best solutions are initialized with the selected solution from the current group of the population or obtained after crossover. The algorithm runs for  $n_{vns1}$  times. At each iteration, a new solution  $x'$  is generated from  $x$  using the current neighborhood  $N_h$ . In this regard, and instead of choosing the route pairs randomly as in the majority of studied VNS approaches in the DARP (see., e.g., Parragh et al., 2009; Parragh et al., 2010; Parragh, 2011; Schilde et al., 2011, 2014; Muelas et al., 2013; 2015), in our VNS<sub>1</sub> the routes chosen in all neighborhood structures are selected by the roulette wheel method, for the exclusion of several infeasible exchange operations.

The solution  $x'$  is improved by our local search strategy, which contains four local search operators {I1, I2, I3 and I4} followed by the Insert Battery-Station (IBS) and Remove Battery-Station (RBS) operators. The last two operators are needed because the solution may become infeasible due to insufficient battery level, after applying neighborhood and local search operators to obtain a new solution  $x''$ . To select the new solution  $x''$ , we adopt a first improvement strategy, where all possible neighboring solutions are generated using the current local search operator until the first improving solution is found. Otherwise, the next local search operator, in order, is performed. If no improvement is obtained after all local searches, the procedure stops and outputs the current solution.

One of the main characteristics of our VNS<sub>1</sub> is that the order of the local search operators (i.e., I1, I2, I3 and I4) is determined based on their performance score at the previous iteration, instead of a random order. For the selection process, we use the roulette wheel selection procedure of the ALNS. We note that in the beginning of the local search procedure, the order of the local search operators is generated randomly. If the new solution  $x''$  is feasible and is better than the current solution, it is accepted. On the other hand, if the new cost is higher than the current cost, the new solution may be accepted subject to a given probability distribution of Cauchy function proposed by Tiwari et al. (2006):  $T_i/(T_i^2 + \Delta E^2)$ , where  $T_i$  is the current temperature and  $\Delta E$  represents the difference in cost between the current and the adjacent solution. The temperature is decreased with the cooling scheme:  $T_i = \delta * T_{i-1}$ , where  $\delta$  is the cooling rate, and  $i$  is the iteration number.

Following Tiwari et al. (2006) and Lin and Vincent (2015), Cauchy probability function is efficient and gives more opportunities to escape from local optima than the traditional Boltzmann function of Metropolis et al. (1953), which is the acceptance criterion applied in most VNS algorithms. If the obtained solution is better than the best solution ( $x_{best}$ ),  $x_{best}$  is updated,  $x''$  becomes the current solution  $x$  and the procedure resumes with the first neighborhood. Otherwise, the next neighborhood structure is applied.

**Algorithm 2** (Variable neighborhood search algorithm with roulette-wheel selection (VNS<sub>1</sub>)).

---

```

Initialize A set of neighborhood structures  $N_h$ , where  $h = \{1, \dots, h_{max}\}$ ;  $x_{best} = x$  = the current initial solution  $s$ ; and  $T_i = T_{max}$ 
Repeat
   $h \leftarrow 1$ ;
  Repeat
    Generate a new solution  $x'$  from the  $h^{th}$  neighborhood of  $x$  ( $x' \in N_h(x)$ ); Apply local search procedure on  $x'$  to obtain  $x''$ ;
    If  $f(x'') \leq f(x)$  or accepted by the acceptance criterion Then
       $x \leftarrow x''$ ;
       $k \leftarrow 1$ ;
      If  $f(x'') < f(x_{best})$  then
         $x_{best} \leftarrow x''$ ;
      End If
    Else
       $h \leftarrow h + 1$ ;
    End if
    Update the weights of operators;
  Until  $h = h_{max} + 1$ 
   $T_i = \delta * T_{i-1}$ ;
Until The number of iterations  $n_{vns1}$ 
Return  $x_{best}$ 

```

---

### 5.2.2. Variable neighborhood search with selected local search VNS<sub>2</sub>

The structure of the second VNS (denoted by VNS<sub>2</sub>) is similar to Algorithm 2. The differences are detailed as follows. The first difference is that, after obtaining a new solution  $x'$ ,  $n_{loc}$  iterations is applied on the current  $x'$ . At each  $n_{loc}$  iteration, only one local search operator from {I1, I2, I3 or I4} is selected based on its performance. Thus, this procedure helps to better exploit the movements of the current selected local search structure. The selected operator is applied on  $x'$  followed by the IBS and RBS operators, resulting in a new solution  $x''$ . After this step, if the objective function of  $x''$  is better than that of  $x'$ ,  $x''$  replaces  $x'$ . After  $n_{loc}$  iterations, a new  $x_{best}$  is updated if found.

The final difference is in the acceptance function. In VNS<sub>2</sub>, we use the acceptance function of the Record-to-Record Travel (RRT) of Dueck (1993). Specifically, if the objective function of  $x''$  is less than that of the current value  $Rec$  minus the deviation  $Dev$  ( $Rec - Dev$ ), where  $Rec$  is the objective function value of the best solution observed so far and  $Dev$  is equal to  $0.01 * Rec$ , the new solution is accepted. During the process of search, the  $Rec$  value is updated based on the objective function of  $x''$ . The detailed steps of our VNS<sub>2</sub> are shown in Algorithm 3.

**Algorithm 3.** (Variable neighborhood search with selected local search (VNS<sub>2</sub>)).

---

**Initialize** A set of neighborhood structures  $N_h$ , where  $h = \{1, \dots, h_{max}\}$ ;  $x_{best} = x$  = the current initial solution  $s$ ;  
**Repeat**  
 $h \leftarrow 1$ ;  
**Repeat**  
Generate a new solution  $x'$  from the  $h^{th}$  neighborhood of  $x$  ( $x' \in N_h(x)$ );  $c = 1$ ;  
**While** ( $c \leq n_{loc}$ )  
Perform a local search operator from {I1, I2, I3 or I4} on  $x'$  to obtain  $x''$ ; Perform IBS and RBS on  $x''$ ;  
**If**  $f(x'') \leq f(x')$  **or** accepted by the acceptance criterion **Then**  
 $x' \leftarrow x''$ ;  
**End While**  
**If**  $f(x') < f(x_{best})$  **then**  
 $x_{best} \leftarrow x'$ ;  
 $h \leftarrow 1$ ;  
**Else**  
 $h \leftarrow h + 1$ ;  
Update the weights of operators;  
**Until**  $h = h_{max} + 1$   
**Until** The number of iterations  $n_{vns2}$   
**Return**  $x_{best}$

---

5.2.3. Variable neighborhood search with descend acceptance VNS<sub>3</sub>

The final proposed VNS variant is named as VNS<sub>3</sub>. The following distinguishes VNS<sub>3</sub> from the two previous VNS algorithms. First, instead of generating only one point selected randomly in the current neighborhood structure  $N_h$ , the procedure generates many random neighboring solutions from the current neighborhood structure. More specifically, for each neighborhood  $N_h$ ,  $n_{neig}$  iterations are applied, such that in each iteration a random neighboring point  $x'$  of the current solution is generated. The number of iterations  $n_{neig}$  is assumed to be equal to the number of vehicles in each instance. Then, the new solution  $x'$  is improved by the local search strategy.

As pointed by Wei et al. (2015), this technique permits to better explore the region of the selected neighborhood structure around the current solution. In addition, in VNS<sub>3</sub>, we only accept a better solution (i.e., we follow a straightforward descend acceptance strategy without applying an acceptance function as done in VNS<sub>1</sub> and VNS<sub>2</sub>). Thus, VNS<sub>3</sub> follows the original VNS of Mladenović and Hansen (1997). Moreover, the selection of routes is also done in a random way in our VNS<sub>3</sub>. The framework of the proposed VNS<sub>3</sub> is shown in Algorithm 4.

**Algorithm 4.** (Variable neighborhood search algorithm with descend acceptance (VNS<sub>3</sub>)).

---

**Initialize** A set of neighborhood structures  $N_h$ , where  $h = \{1, \dots, h_{max}\}$ ;  $x_{best} = x$  = the current initial solution  $s$ ;  
**Repeat**  
 $h \leftarrow 1$   
**Repeat**  
**Repeat**  
Generate a new solution  $x'$  from the  $h^{th}$  neighborhood of  $x$  ( $x' \in N_h(x)$ ); Perform the local search strategy on  $x'$  to obtain  $x''$ ;  
**If**  $f(x'') < f(x_{best})$  **then**  
 $x \leftarrow x''$ ;  
 $x_{best} \leftarrow x''$ ;  
**Else if**  $f(x'') < f(x)$   
 $x \leftarrow x''$   
**Until**  $n_{neig}$  iterations is reached;  
 $h \leftarrow h + 1$ ;  
**Until**  $h = h_{max}$   
**Until** The number of iterations  $n_{vns3}$   
**Return**  $x_{best}$

---



#### 5.2.4. Neighborhood search operators

During each step of the VNS algorithms, several well-known neighborhood search operators inspired and adopted from the literature are applied in the phase of shaking. According to Hemmelmayr et al. (2009), the neighborhood move is an important phase and should balance between perturbation and conservation of the good parts in the current solution. In this regard, four effective neighborhood search structures (i.e., N1, N2, N3 and N4) with different movements are developed as described below.

As Mladenović and Hansen (1997) pointed, the order of neighborhood search operators is very critical in VNS. Usually neighborhood structures are chosen such that the size of the neighborhood increases as VNS progresses from one structure to the next. Thus, at the initial stages {N1, N2}, small changes are performed around the incumbent solution. Moving further from the current solution {N3, N4} is only done if the small changes were ineffective. As a result, we follow the following order of neighborhood structures N1, N2, N3 and N4.

**Swap (N1):** This operator is inspired from Braekers et al. (2014). The swap operator consists of swapping either two requests or two vehicles belonging to two different routes. To apply this operator, we first select one route. Then, two types of swaps are considered: swapping any user request in this route with a user request selected from another route, or swapping a vehicle in this route with another vehicle from a different route.

**Remove-sequence (N2):** This neighborhood operator is inspired from Parragh et al. (2010) while taking into account the features of the DARP-EV. First, this operator selects two routes. Thereafter, a sequential range  $y = \{1, 2, 3, 4 \text{ or } 5\}$  (based on the number of users in the route) of successive users from the route is selected randomly. The chosen sequences (including the BSS node  $f$ , if found) are then removed from their current route and re-inserted one after another at their best positions in other routes. It is observed in each range that the pickup and delivery of a user must be chosen. When the user is reinserted, the algorithm examines all potential combinations of insertion positions of pickup and delivery nodes in the selected route. If there is no possible feasible insertion, the one that has the lowest cost is chosen as in Muelas et al. (2013) and inserted in any position.

**Cross-exchange (N3)–(N4):** This neighborhood is proposed by Osman (1993), where  $b$  consecutive users are transferred moving from one specific route (route 1) to another different one (route 2). Subsequently,  $d$  consecutive users are carried from route 2 to route 1. The random selection of  $b$  is bounded between 2 and 3, and  $d$  is chosen at random equivalent to  $b$  or  $b-1$ . As suggested in Hemmelmayr et al. (2009), this procedure is conducted to obtain more diversification of the search. Furthermore, as long as the segment distance is lengthier, the chance to have an effective swap is minimized. In the course of this study, the segment length is restricted between 2 (N3) and 3 (N4). Considering its capacity of achieving an effective diversification, this version of neighborhood move is often applied in the perturbation stage (see, e.g., Polacek et al., 2004; Hemmelmayr et al., 2009).

#### 5.2.5. Local search operators

The local search operation is applied to intensify the search around the solution obtained from neighborhood structures. Regarding our local search, it is composed of two intra-route operators (Relocate and 4-opt) and two inter-route operators (2-opt\* and remove-two-insert-one), which can enhance the solution in a rapid and successful way. A detailed description of the operators is provided below.

**2opt\* (I1):** This operator is proposed by Potvin and Rousseau (1993). Two arcs from distinct routes are deleted so as to disconnect each route into two segments. Thereafter, each first segment of route is linked with the last segment of the other route, so as to come up with two new routes.

**Remove-two-insert-one (I2):** This operator is adopted from Xiang et al. (2006). It consists of removing two randomly selected users from a vehicle and then trying to insert them one by one in another vehicle in their best position.

**Relocate (I3):** This operator is similar to the previous operator, but it is applied in the same vehicle. This operator is applied on each user in the vehicle by removing the user and reinserting it in the best possible position. In this case, three types of moves of relocating a user  $i$  are considered; the first, consists of relocating only the pickup node of the selected user  $i$ . The second is for the delivery node of user  $i$ , while the third consists of removing a user  $i$  and then inserting the delivery node of this user immediately after its pickup node.

**4-opt (I4):** This operator is adopted from Braekers et al. (2014), and has shown its effectiveness to improve solutions in the field of DARP. This operator consists of selecting four consecutive arcs to be deleted from only one selected route. In other words, the order of the three successive nodes can change in the route. We note that this operator is applied on each set of four consecutive arcs in the selected route.

The probability of choosing operator  $d$  at iteration  $t$ , is calculated using the roulette wheel mechanism as in Ropke and Pisinger (2006):  $P_d^{t+1} = P_d^t(1-r_p) + r_p\pi_i/\omega_i$ , where  $r_p$  is the roulette wheel parameter,  $\pi_i$  is the score of the operator  $i$ , and  $\omega_i$  is the number of times that the operator  $i$  has been used. The score of an operator is increased by  $\pi_1$  if the operator finds a new best solution, otherwise, it is enhanced by  $\pi_2$  if it locates a better solution than the current one. On the other hand, it is increased by  $\pi_3$  if the current selected operator finds an approved solution that is non-improving. After  $n_{seq}$  iterations, the new weights are adjusted using the obtained scores.

After applying neighborhood and local search moves, there is a possibility of having some unnecessary BSS nodes in a solution. In addition, the current solution may require adding other BSS node(s). In order to avoid having any of these situations, two operators are proposed.

$V_1$	0	1 <sup>+</sup>	1 <sup>-</sup>	4 <sup>+</sup>	5 <sup>+</sup>	4 <sup>-</sup>	5 <sup>-</sup>	6 <sup>+</sup>	$F_1$	2 <sup>+</sup>	5 <sup>-</sup>	6 <sup>-</sup>	2 <sup>-</sup>	0
$V_2$	0	3 <sup>+</sup>	7 <sup>+</sup>	8 <sup>+</sup>	3 <sup>-</sup>	8 <sup>-</sup>	7 <sup>-</sup>	0						

Fig. 3. An example of chromosome encoding.

**Remove Battery-Station (RBS):** This operator is based on [Schneider et al. \(2014\)](#), and has been applied in many E-VRP studies (see, e.g., [Schneider et al., 2014](#); [Goeke and Schneider, 2015](#); [Ding et al., 2015](#); [Hiermann et al., 2016](#)). This operator examines each pair of nodes  $(i, j)$ . The BSS node is removed if the level of charge in the battery at node  $i$  is sufficient to reach node  $j$ .

**Insert Battery-Station (IBS):** An important factor in the context of EVs, is to determine the latest time at which recharging the vehicle has to take place, in order to prevent it from getting stranded due to lack of energy ([Schneider et al., 2014](#); [Goeke and Schneider, 2015](#)). The proposed IBS operator is inspired from [Liao et al. \(2016\)](#). For each node  $i \in N$ , if the remaining charge level in the battery of the vehicle  $k$  at node  $i$  is not sufficient to directly reach node  $j \in N$  and then the nearest station to, *then the vehicle*, then the vehicle  $k$  leaves  $i$  for the nearest station of  $i$ . Thus, starting at node  $i$ , the principle of the operator assumes that the remaining charge level at node  $i$  is enough to reach the nearest station of  $i$  ([Liao et al., 2016](#)). In other words, the remaining charge level at  $i$  can at least allow the vehicle to reach its nearest station. This is done by calculating the amount of charge that needs to be consumed between the current node  $i$  and all BSSs nodes. The nearest BSS node  $to_i$  with minimum required energy charge is then selected for insertion. Hence, the IBS operator can guarantee that the vehicle will never run out of energy during its route.

### 5.2.6. Chromosome encoding

In our EVO-VNS, an MX1 crossover operator is applied leading to the necessity for encoding a solution. A chromosome (solution) is encoded using a sequence of available vehicles  $v_k$ , each starts its trip from the depot (denoted by 0) and returns back to the same depot. For each vehicle route, we assume an ordered list of pickup and drop off nodes as well as the visited BSS nodes  $F_f$  (if found). To encode the pair of nodes for each user  $i$ ,  $h_i^+$  and  $h_i^-$  represent the pickup and delivery nodes of this user, respectively. So, for example, if a solution has two routes (i.e., two vehicles), eight requests and one BSS node, the chromosome encoding will be as follows: 0, 1<sup>+</sup>, 1<sup>-</sup>, 4<sup>+</sup>, 5<sup>+</sup>, 4<sup>-</sup>, 5<sup>-</sup>, 6<sup>+</sup>,  $F_1$ , 2<sup>+</sup>, 5<sup>-</sup>, 6<sup>-</sup>, 2<sup>-</sup>, 0 for vehicle  $v_1$  and 0, 3<sup>+</sup>, 7<sup>+</sup>, 8<sup>+</sup>, 3<sup>-</sup>, 8<sup>-</sup>, 7<sup>-</sup>, 0 for vehicle  $v_2$ . Fig. 3 shows an example of a solution of these two routes.

### 5.3. Generation of new solutions

To complete the population  $Pop$ , new  $(Pop - s)$  solutions should be generated using a new heuristic. Our heuristic is based on destroy-reinsert users. First,  $s$  users are selected from the current solution and put in a list  $L$ . Second, a 2-regret insertion heuristic as in [Ropke and Pisinger \(2006\)](#) is applied to re-insert the temporarily deleted users. For each request  $i$  in  $L$ ,  $\Delta f_i^p$  the insertion cost of the request  $i$ , is identified in the best route as well as at its best position. At each iteration, the request  $i^*$  is chosen to be inserted in its best position according to the following formula  $i^* = \arg\max_{i \in L} (\sum_p \Delta f_i^p - \Delta f_i^1)$ . In case there is no possibility to incorporate more users in a route, or otherwise if all requests are inserted, the heuristic stops.

As [Pisinger and Ropke \(2007\)](#) indicated, deleting a large number of users in the removal phase may have a considerable effect on the results. Accordingly, we applied the following technique to select a number of users  $u$ . If the number of users in the instance is less than 50 users,  $u$  is selected randomly between five and 10. Otherwise,  $u$  is chosen randomly between five and 20.

### 5.4. Evaluation function

In each step of our algorithms, whenever a new solution is generated, it must be evaluated by the evaluation function in terms of feasibility and cost. We evaluate the solution by the following evaluation function based on [Cordeau and Laporte \(2003\)](#) as  $f(x) = c(x) + \sum_{r=0}^3 \alpha q_r(x) + \beta d(x) + \gamma w(x) + \tau a(x) + o(x)$ . The term  $c(x)$  gives the routing costs of solution  $x$ . Variables  $q_r(x)$ ,  $d(x)$ ,  $w(x)$ ,  $a(x)$  and  $o(x)$  denote violations of the following constraints in order: vehicle load, route duration, time windows, maximum ride time and the battery state of the vehicle. Following [Parragh et al. \(2010\)](#) and [Cordeau and Laporte \(2003\)](#), the first four violations are calculated as follows:  $q_r(x) = \sum_{i=1}^{2n} (Q_i^{rk} - Q^{rk})^+$ ,  $d(x) = \sum_{k=1}^K (B_{2n+f+1}^k - B_0^k - T_{max})^+$ ,  $w(x) = \sum_{i=1}^{2n} (B_i^k - T_i^+)^+$  and  $a(x) = \sum_{i=1}^n (L_i^k - L_{max})^+$ . Note that these terms are applied only for all  $i \in N$  where  $x^+ = \{0, x\}$ ,  $\forall k \in K$ . Besides the previous constraints, the level of the battery in the vehicle must be respected in the solution.  $z_{bat}^k(i) = z_{bat}^k(i-1) - ECc_{i-1,i}^k$ , if  $i \in V'$  and  $z_{bat}^k(i) = H$ , if  $i \in F'$ . Binary term  $o(s)$  is equal to 0 if  $z_{bat}^k(i) \geq 0$ ,  $\forall i \in V'$ ,  $\forall k \in K$  and 1 otherwise. The penalty parameters ( $\alpha$ ,  $\beta$ ,  $\gamma$  and  $\tau$ ) are dynamically adjusted during the search as in [Cordeau et al. \(2001\)](#), i.e., if the current solution respects the vehicle load constraint,  $\alpha$  is divided by  $1 + \delta$ , where  $\delta$  is a uniform number generated randomly between 0 and 0.5; otherwise,  $\alpha$  is multiplied by  $1 + \delta$ . The same penalty procedure is applied to parameters  $\beta$ ,  $\gamma$  and  $\tau$ . It should be noted that for a solution  $x$  to become a new best solution, we must have  $q_r(x) = d(x) = w(x) = a(x) = o(x) = 0$ , for each resource  $r=0, 1, 2, 3$ .

In order to evaluate a route, we follow the adapted eight-step evaluation procedure of [Cordeau and Laporte \(2003\)](#), as shown in [Algorithm 5](#). The algorithm applies the forward time slack concept of [Savelsbergh \(1992\)](#), proposed for the VRP, after adapting it to the DARP. The forward time slack  $SL_i$  for a node  $i \in N$  is calculated as:  $l_j^k$

$$SL_i = \min_{i \leq j \leq n} \left\{ \sum_{i \leq p \leq j} W_p + (\min\{l_j^k - B_j^k, L_{max} - P_j\})^+ \right\},$$

where  $j \in \{n+1, \dots, 2n\}$  is the destination of user  $i$ , and  $y$  is the last node in the route.  $W_p$  is the waiting time at node  $p$ , and  $P_j$  represents the ride time of the user from  $i$  to  $j$ , given that  $j-n$  is visited before  $i$  on the route;  $P_j = 0$  for all other  $j$ . The forward time slack  $SL_i$  represents the maximum amount of time that the departure of the vehicle from node  $i$  can be delayed, without causing a violation in the time window and maximum ride time constraints.

**Algorithm 5** (*The eight-step evaluation scheme*).

- 
1. Set departure time  $D_0^k := e_0$
  2. Compute beginning of service ( $B_i^k$ ), departure time ( $D_i^k = B_i^k + s_i$ ), battery level ( $z_{bat}^k(i)$ ), arrival time ( $A_i^k$ ), waiting time ( $W_i^k = B_i^k - A_i^k$ ), and load of vehicle ( $Q_i^{rk}$ ) for each node  $i$  along the route  
If some  $B_i^k > l_i^k$ , or  $z_{bat}^k(i) < 0$ , or  $Q_i^{rk} > Q^{rk}$ , Go to step 8
  3. Compute  $SL_0$
  4. Set  $D_0^k := e_0 + \min \{SL_0, \sum_{0 < p < y} W_p\}$
  5. Update  $A_i^k, W_i^k, B_i^k$  and  $D_i^k$  for each node on the route
  6. Compute  $l_i^k$  for each request on the route  
If all  $l_i^k \leq L_{max}$  Go to step 8
  7. For every node  $j$  that is an origin
    - (a) Compute  $SL_j$
    - (b) Set  $W_j^k := W_j^k + \min \{SL_j, \sum_{j < p < y} W_p\}$ ;  $B_j^k := D_j^k + t_{ij} + W_j$ ;  $D_j^k := B_j^k + s_j$
    - (c) Update  $A_i^k, W_i^k, B_i^k$  and  $D_i^k$  for each node that comes after  $j$  in the route
    - (d) Update  $l_i^k$  for each request  $i$  whose destination is after  $j$
    - If all  $l_i^k \leq L_{max}$  of requests whose destinations lie after  $j$ , Go to step 8
  8. Compute changes in violation and calculate  $f(x)$
- 

## 6. Computational experiments

This section presents the detailed numerical results obtained by our proposed algorithms. The implementation of the proposed EVO-VNS is done using C programming language and performed on a configuration of Intel Core i7-5555U 3.14 GHz and 8 GB RAM while the mathematical model presented in Section 4 is solved using the ILOG CPLEX 12.6.1 solver (using default setting). Only one single thread is allowed with a time limit of 4 h on a Dell computer with configuration Intel Core i7-3770 at 2.8 GHz and 8 GB of RAM.

### 6.1. Data and experimental setting

To test our algorithms, we have generated a new set of benchmark instances, since, as far as we know, there are no existing benchmark data for DARP-EV. We have adapted instances from the benchmark HDARP instances and generated a new artificial data set, especially designed for the DARP-EV.

For the adapted existing benchmark HDARP instances, there are three sets (U, E, I), which are modified versions of the instances created by Braekers et al. (2014) and Masmoudi et al. (2017). These instances include up to four vehicles and 96 requests. The time windows of users on small and medium instances are set to 15 min. The maximum user ride time ( $L_{max}$ ) and service time ( $s_i$ ) at each location are set to 30 min and three minutes, respectively. The maximum route duration ranges between 240 and 720 min, depending on the instance. To complete our adapted instances set with respect to the number of BSSs, we calculate the number of stations adaptively according to the number of users in each instance. Precisely, for  $n$  users, the number of recharging stations is assumed to be  $0.1 * |n|$  as done in Goeke and Schneider (2015). The coordinates of BSS nodes are randomly generated in a specific square area (i.e.,  $[-10, 10]^2$ ). We assume that the initial depot is considered also as a BSS node. Thus, the BSS nodes (together with the depot) are set along the route, such that the vehicle departs from the depot with a full-battery charge. Finally, our adapted instances contain heterogeneous user types and are generated similar to the instance generation of Masmoudi et al. (2017), where they assumed certain probabilities of patients' requesting facilities and companions as shown in Table 3.

We assume that the vehicle capacity resources include one staff seat, six patient seats, one wheelchair place, and one stretcher. In addition different road gradients between -6% and 6% (Genikomsakis and Mitrentsis, 2017), using the link ([https://www.engineeringtoolbox.com/slope-degrees-gradient-grade-d\\_1562.html](https://www.engineeringtoolbox.com/slope-degrees-gradient-grade-d_1562.html)) are considered.

We noticed, though, that even after adapting HDARP instances to the DARP-EV, some instances may be easy or exhibit certain characteristics that are not desirable in the DARP-EV. Therefore, we proposed a new artificial data set that contains more challenging instances, in terms of the distribution of users, flexible and long ride times, and enforcing that the vehicles should visit more than one BSS station (if needed) to be recharged, as done in the E-VRPTW generated instances of Schneider et al. (2014).

**Table 3**  
Probabilities used to generate instances by Masmoudi et al. (2017).

Instance set	Patient request probabilities			Probability for a companion (%)
	Seat (%)	Stretcher (%)	Wheelchair (%)	
<i>U</i>	0.50	0.25	0.25	0.00
<i>E</i>	0.25	0.25	0.50	0.10
<i>I</i>	0.83	0.11	0.06	0.50

Our new artificial data set is based on the generation idea of Braekers and Kovacs (2016), where the following is assumed: (1) each user has an associated pickup and drop off location and a specific capacity requirement for each resource type; (2) a time window of 15 min is enforced on the drop off node for an outbound request, and on the pickup node for an inbound request; (3) the maximum ride time for a user is twice the direct ride time, but the minimum ride time is assumed to be 60 min, to allow more flexibility in the planning, i.e.,  $L_i^{max} = \max\{60, 2 \times t_{i,n+i}\}$ ; (4) a service time of three min is assumed for each user. Finally, we assume that the fleet size is large enough to serve all requests.

To generate the coordinates of users' locations, we again follow Braekers and Kovacs (2016), where users are distributed in different geographical locations. In this set, we have three types of instances, A0, A1, and A2. In instances of type A0, locations are distributed randomly, while instances of type A1 and A2 have clustered locations, as done in Cordeau et al. (1997). To generate the clustered locations, we first choose randomly the number of seed points around which locations are clustered (two or three points). We then generate the locations of the seed points randomly but ensuring at least 8 km distance between them. We also assume that a constant  $\varphi = 0.8$  is used to control the level of clustering. For instances of type A1, pickup locations are distributed randomly, while drop off locations are clustered. This is done by assuming that each drop off location is clustered around the seed point closest to its corresponding pickup with a probability of 0.8 (i.e., the probability of assigning the drop off location to any other seed point will be 0.2). On the other hand, in A2 instances, both pickup and drop off locations are clustered, where the probability of assigning both locations to the same cluster is also 0.8.

The number of users ranges between 20 and 100 in each group (A0, A1 and A2), assuming heterogeneous users' types as in the first adapted data set. The number of recharging stations is slightly more than that assumed in the first adapted data set, where we consider in this artificial data set that it is equal to  $0.3 \times |n|$ . The battery capacity  $H$  is set to 24 KW (Genikomsakis and Mitrentsis, 2017). Similar to the adapted data set described previously, the same vehicle capacity resources and different road gradients are considered. Again, as done in Genikomsakis and Mitrentsis (2017), the road gradient on each arc  $(i, j)$  is randomly generated between  $-6$  and  $+6\%$ .

We note that both the adapted and generated instances with their detailed results can be downloaded from <http://www.ddarp-ev-73.websself.net>.

## 6.2. Parameter settings

Before testing our algorithms, it is essential to do extensive experiments to obtain the best parameter values. Therefore, we have chosen the parameters based on recommendations from the literature or by making preliminary experiments on our adapted benchmark HDARP instances to obtain a good tradeoff between solution quality and computational time. A summary of all parameters used in our algorithms are shown in Table 14 in Appendix A.

Concerning the VNS<sub>1</sub> algorithm, the initial temperature value  $T_{max}$  was set to 100 and the cooling rate  $\delta$  equal to 0.99975, as suggested by Ropke and Pisinger (2006) and Demir et al. (2012). For VNS<sub>2</sub> and VNS<sub>3</sub>, we have  $n_{loc} = n_{neig}$  = number of vehicles in each instance, respectively. For VNS<sub>1</sub>, VNS<sub>2</sub> and VNS<sub>3</sub>, the number of iterations is denoted as  $n_{vns1}$ ,  $n_{vns2}$  and  $n_{vns3}$ . On the other hand, the overall EVO-VNS algorithm outputs the best solution when no improvement after five consecutive iterations. Since we have additional diversification procedures, our parameters  $\pi_1$ ,  $\pi_2$  and  $\pi_3$  for VNS<sub>1</sub> and VNS<sub>2</sub> are set equal to 15, 10 and 5, respectively. The adjustment parameters have been set as  $\pi_1 \geq \pi_2 \geq \pi_3$  to reward an operator for good performance, as adopted values from Masmoudi et al. (2016).

Regarding the hybrid variants of VNS (i.e., the three EVO-VNS variants), to obtain the required good parameters of the hybridization, we tune our parameters similar to the procedure followed by Goeke and Schneider (2015) and Masmoudi et al. (2016). We first identified the parameters that we believe have a strong effect on solution quality, namely, the number of groups, the number of solutions in each group  $c$ , and the number of solutions selected based on roulette wheel selection  $s$ . Then, we identified for each of these parameters a base setting that has good performance. After this, we tested different settings for each parameter, and then we kept the best setting found among them, while we tuned the rest of the parameters. The order of tuning the parameters is taken randomly. Table 4 and its detailed results in the website shows the different settings tested for each parameter, and the deviation Best % (Avg%) from the best solution found in five runs, using this setting, compared to the result obtained using the best setting for the same parameter. The analysis is done on a set of instances, which contain various levels of heterogeneity of users, and the requests vary from small to large. We highlight the best setting for each parameter in bold.

In more details, the following method is applied to tune  $m$ ,  $c$  and  $s$ . Firstly, we set the size of each group population  $m$ . Secondly, for each  $m$  value, we assess the effectiveness of different combinations of the pair  $(c, s)$ . In this regard, we note that several diversification mechanisms and components of the different evolutionary algorithms that we have incorporated in our VNS variants

**Table 4**  
Identification of the best parameter setting for the hybrid EVO-VNS.

$m$	2				3				4				
	( $c, s$ )	(5:2)	(6:2)	(4:2)	(6:3)	(7:3)	(8:3)	(4:2)	(5:2)	(6:2)	(7:2)	(8:2)	(6:3)
Best		890.41	890.40	890.40	890.40	890.40	890.40	890.40	890.40	890.40	890.40	890.40	890.40
Best%		0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Avg		898.75	897.37	896.93	896.15	896.09	895.27	894.57	894.06	892.86	892.18	892.14	892.09
Avg%		0.77	0.62	0.57	0.48	0.47	0.38	0.30	0.25	0.11	0.10	0.04	0.03
CPU (min)		3.42	3.04	2.81	2.95	2.44	2.17	2.72	4.12	4.37	4.45	7.21	10.52



will need to run many times during an iteration, which is computationally expensive. Thus, we have chosen a small population size (**Pop**), where the tested number of groups  $m$  is equal to 2, 3 and 4, the tested number of solutions in each group  $c$  is equal to 4, 5, 6, 7 and 8, and the tested number of solutions selected based on roulette wheel selection  $s$  is equal to 2 and 3.

As shown in Table 4 and its detailed results in the website, the parameter values of  $m$ ,  $c$  and  $s$  considerably affect the solution quality. As indicated by the results, the quality of average solutions shows a slight improvement when using  $m = 4$  with different values of  $c$  and  $s$ , and also requires an additional computational time. The best parameters are chosen based on obtaining a high-quality solution in a short CPU time. Thus, the following parameter values were finally selected,  $m = 3$ ,  $c = 6$  and  $s = 3$  for all our algorithms, in order to make a fair comparison to evaluate their performance.

Finally, we note that the number of iterations of each evolutionary algorithm is only set to 1000 iterations (i.e.,  $n_{VNS1} = n_{VNS2} = n_{VNS3} = 1000$ ). This reduction was intended to reduce the computational time of our evolutionary algorithms.

In the following sections, we present the detailed results obtained by our algorithms after testing them not only on the adapted and generated instances of the DARP-EV, but also on the classical benchmark DARP instances of Masmoudi et al. (2017).

### 6.3. Results on the DARP instances of Masmoudi et al. (2017)

To further prove the efficiency of our evolutionary algorithms, we used the benchmark DARP instances with heterogeneous users of Masmoudi et al. (2017). If we assume a fleet of conventional vehicles, with each vehicle having full tank capacity instead of EVs, the DARP-EV can be easily transformed to the DARP.

In order to compare with the hybrid Genetic Algorithm (GA) of Masmoudi et al. (2017), each algorithm (including the hybrid GA), was run on every instance five times, as also done in Masmoudi et al. (2017). For each table in this subsection, column “BKS” represents the best-known solutions. The column “Best%” (“Avg%”) indicates the percentage of deviation from the optimal solution (best known solution) in small-medium (large) instances, and the computation time in minutes is symbolized by “CPU”. The detailed results of these tables are shown in the website. We note that, the negative percent deviations in EVO-VNS<sub>1</sub>, EVO-VNS<sub>2</sub> and EVO-VNS<sub>3</sub> algorithms indicate an improvement in solution with respect to the best value found by the hybrid GA. Tables 5 and 6 show the results obtained for the small-medium instances and the large instances, respectively.

It should be noted that we cannot compare the performance of the algorithms with respect to the computational time with that reported in Masmoudi et al. (2017) for the sake of a fair comparison with the previously published method. This is because a different machine has been used to run our algorithms than that used for the hybrid GA of Masmoudi et al. (2017). In addition, estimating the speed factor of the configuration applied in Masmoudi et al. (2017) as well as that of our machine is not possible by using Dongarra (2014) table, since no relevant information is reported in Dongarra (2014) and in Linpack (2016). In addition, as mentioned in

**Table 5**  
Comparison of the hybrid GA and our algorithms on small-medium instances.

Inst.	BKS <sup>a</sup>	Hybrid GA			EVO-VNS <sub>1</sub>			EVO-VNS <sub>2</sub>			EVO-VNS <sub>3</sub>		
		Best %	Avg %	CPU (min)	Best %	Avg %	CPU (min)	Best %	Avg %	CPU (min)	Best %	Avg %	CPU (min)
a2-16	331.16 <sup>*</sup>	0.00	0.00	0.23	0.00	0.00	0.34	0.00	0.00	0.35	0.00	0.00	0.37
a2-20	347.03 <sup>*</sup>	0.00	0.00	0.47	0.00	0.00	0.85	0.00	0.00	0.88	0.00	0.00	0.99
a2-24	450.25 <sup>*</sup>	0.00	0.00	0.38	0.00	0.00	0.65	0.00	0.00	0.68	0.00	0.00	0.75
a3-18	300.63 <sup>*</sup>	0.00	0.00	0.23	0.00	0.00	0.39	0.00	0.00	0.41	0.00	0.00	0.45
a3-24	344.91 <sup>*</sup>	0.00	0.00	0.35	0.00	0.00	0.52	0.00	0.00	0.54	0.00	0.00	0.57
a3-30	500.58 <sup>*</sup>	0.00	0.00	0.41	0.00	0.00	0.72	0.00	0.00	0.77	0.00	0.00	0.91
a3-36	583.19 <sup>*</sup>	0.00	0.00	0.57	0.00	0.00	1.13	0.00	0.00	1.19	0.00	0.00	1.40
a4-16	285.99 <sup>*</sup>	0.00	0.00	0.20	0.00	0.00	0.33	0.00	0.00	0.34	0.00	0.00	0.39
a4-24	383.84 <sup>*</sup>	0.00	0.00	0.29	0.00	0.00	0.50	0.00	0.00	0.53	0.00	0.00	0.59
a4-32	500.24 <sup>*</sup>	0.00	0.00	0.55	0.00	0.00	0.91	0.00	0.00	0.96	0.00	0.00	1.06
a4-40	580.42 <sup>*</sup>	0.00	0.00	0.58	0.00	0.00	1.02	0.00	0.00	1.07	0.00	0.00	1.21
a4-48	670.52 <sup>*</sup>	0.00	0.00	0.81	0.00	0.00	1.35	0.00	0.00	1.41	0.00	0.00	1.62
a5-40	500.06 <sup>*</sup>	0.00	0.00	0.52	0.00	0.00	0.99	0.00	0.00	1.05	0.00	0.00	1.24
a5-50	693.77 <sup>*</sup>	0.00	0.00	0.67	0.00	0.00	1.15	0.00	0.00	1.21	0.00	0.00	1.37
a5-60	828.90 <sup>*</sup>	0.00	0.00	0.97	0.00	0.00	1.61	0.00	0.00	1.68	0.00	0.00	1.87
a6-48	614.36 <sup>*</sup>	0.00	0.00	0.70	0.00	0.00	1.48	0.00	0.00	1.56	0.00	0.00	1.82
a6-60	847.58 <sup>*</sup>	0.00	0.06	1.00	0.00	0.06	1.55	0.00	0.06	1.59	0.00	0.08	1.70
a6-72	949.17 <sup>*</sup>	0.00	0.03	1.33	0.00	0.00	2.25	0.00	0.00	2.31	0.00	0.00	2.46
a7-56	740.63 <sup>*</sup>	0.00	0.05	0.79	0.00	0.00	1.55	0.00	0.00	1.66	0.00	0.05	1.97
a7-70	946.32 <sup>*</sup>	0.00	0.08	1.12	0.00	0.05	1.87	0.00	0.06	1.96	0.00	0.07	2.19
a7-84	1092.90	0.00	0.09	1.37	0.00	0.08	2.71	0.00	0.08	2.87	0.00	0.09	3.33
a8-64	762.81	0.00	0.03	0.93	0.00	0.13	1.56	0.00	0.15	1.62	0.00	0.12	1.77
a8-80	982.71	0.00	0.06	1.38	0.00	0.02	2.50	0.00	0.03	2.63	0.00	0.09	2.96
a8-96	1265.36	0.00	0.05	1.51	0.00	0.08	2.62	0.00	0.13	2.70	0.00	0.13	2.88
<b>Avg</b>	<b>645.97</b>	<b>0.00</b>	<b>0.05</b>	<b>0.72</b>	<b>0.00</b>	<b>0.02</b>	<b>1.27</b>	<b>0.00</b>	<b>0.02</b>	<b>1.33</b>	<b>0.00</b>	<b>0.03</b>	<b>1.49</b>

<sup>a</sup>Results of Masmoudi et al. (2017), programmed in C and executed on 4 GHz Intel laptop with 1.86 GB RAM.

\* Optimal solutions provided by Braekers et al. (2014) with Branch and Cut (B&C) algorithm.

**Table 6**

Comparison of the hybrid GA and our algorithms on large instances.

Inst.	BKS <sup>a</sup>	Hybrid GA <sup>b</sup>			EVO-VNS <sub>1</sub>			EVO-VNS <sub>2</sub>			EVO-VNS <sub>3</sub>		
		Best%	Avg%	CPU (min)	Best%	Avg%	CPU (min)	Best%	Avg%	CPU (min)	Best%	Avg%	CPU (min)
R1a	195.97	0.00	0.00	0.44	0.00	0.00	0.71	0.00	0.00	0.61	0.00	0.00	0.78
R2a	336.34	0.00	0.00	0.85	0.00	0.00	1.18	0.00	0.00	1.32	0.00	0.00	1.47
R3a	586.18	0.00	0.63	0.94	0.00	0.17	1.40	0.00	0.07	1.44	0.00	0.19	1.84
R4a	640.03	0.00	0.40	1.48	-0.16	0.36	2.21	-0.16	0.32	2.60	-0.16	0.50	2.59
R5a	714.83	0.00	0.51	1.82	-0.24	0.26	2.77	-0.20	0.28	2.94	-0.24	0.15	3.72
R6a	883.02	0.00	0.52	2.40	-0.10	0.02	4.16	-0.05	0.46	3.83	-0.08	0.09	4.48
R7a	312.05	0.00	0.29	0.47	-0.35	0.35	4.79	-0.35	0.24	5.74	-0.35	0.14	5.90
R8a	553.82	0.00	0.44	0.81	0.04	0.47	5.24	0.07	0.31	5.52	0.10	0.34	5.55
R9a	746.23	0.00	0.35	1.62	-0.21	0.30	7.33	-0.25	0.40	7.89	0.10	0.25	7.30
R10a	963.08	0.00	0.64	2.29	0.09	0.15	10.74	0.11	0.25	10.77	0.11	0.19	11.62
R1b	190.39	0.00	0.00	0.57	0.00	0.00	0.80	0.00	0.00	0.91	0.00	0.00	1.11
R2b	312.92	0.00	0.38	1.03	0.00	0.00	1.63	0.00	0.23	1.75	0.00	0.00	1.94
R3b	551.95	0.00	0.22	1.36	0.00	0.22	2.06	0.00	0.24	2.29	0.03	0.23	3.91
R4b	606.08	0.00	0.73	2.00	-0.13	0.40	2.95	-0.08	0.07	5.46	-0.05	0.41	3.41
R5b	641.84	0.00	0.05	2.93	-0.21	0.04	4.42	-0.21	0.04	5.70	-0.21	0.02	4.65
R6b	832.53	0.00	0.46	3.66	0.03	0.40	5.51	0.03	0.28	6.50	0.06	0.27	7.18
R7b	276.52	0.00	0.00	0.79	-0.13	0.03	1.21	-0.13	0.12	0.81	-0.13	0.16	1.21
R8b	530.56	0.00	0.32	1.53	-0.11	0.23	4.24	-0.11	0.13	4.41	-0.11	0.20	5.49
R9b	699.06	0.00	0.59	2.84	-0.13	0.19	6.54	-0.06	0.26	6.28	-0.02	0.33	6.91
R10b	902.17	0.00	0.53	3.11	0.12	0.22	11.71	0.15	0.38	11.11	0.11	0.73	12.61
<b>Avg</b>	<b>573.78</b>	<b>0.00</b>	<b>0.35</b>	<b>1.65</b>	<b>-0.07</b>	<b>0.19</b>	<b>4.08</b>	<b>-0.06</b>	<b>0.20</b>	<b>4.39</b>	<b>-0.04</b>	<b>0.21</b>	<b>4.68</b>

<sup>a</sup> Best known solutions provided by Masmoudi et al. (2017).<sup>b</sup> Results of Masmoudi et al. (2017), programmed in C and executed on 4 GHz Intel laptop with 1.86 GB RAM.

Masmoudi et al. (2017), the computational power of MFlops and the speed factor of the configuration applied for the hybrid GA are not known. Thus, in Tables 5 and 6 the computational time is reported only for the record and is not intended for an accurate comparison with the previously published methods. In general, though, our algorithm is run within a reasonable computational time.

Table 5 shows that our algorithms match the optimal solutions computed by the B&C of Braekers et al. (2014) for the small-medium instances. Looking at the average gaps of five runs, our EVO-VNS<sub>1</sub>, EVO-VNS<sub>2</sub> and EVO-VNS<sub>3</sub> algorithms obtain 0.02%, 0.02%, and 0.03%, respectively, compared to 0.05% of the hybrid GA. More importantly, Table 6 clearly shows that our algorithms are competitive with the hybrid GA method of Masmoudi et al. (2017) on large instances in terms of solution quality. Our algorithms improve the results by 0.07%, 0.06% and 0.04% on average. This points out to the steadiness of our evolutionary algorithms in terms of locating high quality solutions in most of the runs. In terms of the average deviation of the average results (calculated for five runs) from the best solutions of the hybrid GA, our algorithms achieved 0.19%, 0.20% and 0.21%, compared to 0.35% achieved by the hybrid GA. Overall, these results confirm that our proposed algorithms are competitive compared to the hybrid GA of Masmoudi et al. (2017) in terms of solution quality. This, however, comes at the expense of computational time, which is in fact more than twice that of the Hybrid GA of Masmoudi et al. (2017). This may be due to the new components that we added to our VNS, in order to enhance its exploration and exploitation powers, which has undoubtedly increased the overall computational time.

#### 6.4. Results on our adapted DARP-EV instances

In this section, we present the results on our adapted instances of the DARP-EV. To evaluate the performance of our EVO-VNS algorithms, we generated a small data set with different homogeneity levels and then these instances are solved with CPLEX 12.6.1 solver. The instances range from two to three vehicles and 16 to 24 users. Since the commercial solver cannot solve medium or large size instances, we compared our EVO-VNS algorithms with each other on the medium-large size instances. The results of our suggested methods on the adapted small instances are indicated in Table 7, while the comparison on medium-large size instances is reported in Table 8. We note that in Table 8, the column “%” following each of the “Best” (“Avg”) columns provides the percentage of deviation from the best solution value “BS” obtained by any of the three algorithms for a given instance.

From Table 7, we can see that our algorithms find optimal solutions solved by CPLEX (5 instances out of 17) and surpass CPLEX in all other instances. We observe also that CPLEX is able to solve instances containing two vehicles and 10–16 users of type U and E, while it is only able to solve instances containing two vehicles and 10 users of type I. However, we can observe that CPLEX could not find any feasible solution for all instances with three vehicles and having up to 24 users, as well as some instances containing two vehicles.

As seen from Table 8, there is a slight difference in our algorithms in terms of finding best solutions for most of the medium-large size instances. In fact, the EVO-VNS<sub>1</sub> algorithm obtains the best solutions at least one time for 51 instances. On the other hand, EVO-VNS<sub>2</sub> obtains the best result only for 43 instances, while EVO-VNS<sub>3</sub> finds the best solutions for 41 instances. The three algorithms were capable of obtaining the same best solutions for 48 out of 58 instances. Regarding the average, the EVO-VNS<sub>1</sub>, EVO-VNS<sub>2</sub> and EVO-VNS<sub>3</sub> algorithms have a gap equal to 0.06%, 0.13% and 0.19%, respectively, from the best solution for all instances. This

**Table 7**

Comparison of our three algorithms with CPLEX on small size instances.

Inst.	CPLEX		EVO-VNS <sub>1</sub>			EVO-VNS <sub>2</sub>			EVO-VNS <sub>3</sub>		
	Best	CPU (min)	Best	Avg	CPU (min)	Best	Avg	CPU (min)	Best	Avg	CPU (min)
a2-10(U)	<b>198.94</b> *	53.95	<b>198.94</b>	198.94	0.61	<b>198.94</b>	198.94	0.66	<b>198.94</b>	198.94	0.48
a2-16(U)	<b>299.97</b> *	112.59	<b>299.97</b>	299.97	0.72	<b>299.97</b>	299.97	0.82	<b>299.97</b>	299.97	0.52
a2-20(U)	364.12	240.00 <sup>a</sup>	<b>358.96</b>	358.96	1.69	<b>358.96</b>	358.96	1.17	<b>358.96</b>	358.96	1.49
a2-24(U)	447.74	240.00 <sup>a</sup>	<b>425.49</b>	425.49	1.12	<b>425.49</b>	425.49	0.56	<b>425.49</b>	425.49	1.02
a3-18(U)	–	240.00 <sup>a</sup>	<b>322.47</b>	322.47	0.79	<b>322.47</b>	322.47	0.72	<b>322.47</b>	322.47	0.88
a3-24(U)	–	240.00 <sup>a</sup>	<b>359.66</b>	359.66	0.85	<b>359.66</b>	359.66	0.77	<b>359.66</b>	359.66	1.74
a2-10(E)	<b>202.85</b> *	59.31	<b>202.85</b>	202.85	0.43	<b>202.85</b>	202.85	0.71	<b>202.85</b>	202.85	0.86
a2-16(E)	<b>354.90</b> *	96.58	<b>354.90</b>	354.90	0.66	<b>354.90</b>	354.90	0.82	<b>354.90</b>	354.90	0.97
a2-20(E)	398.01	240.00 <sup>a</sup>	<b>383.95</b>	383.95	1.31	<b>383.95</b>	383.95	0.96	<b>383.95</b>	383.95	1.90
a2-24(E)	467.73	240.00 <sup>a</sup>	<b>443.23</b>	443.23	0.86	<b>443.23</b>	443.23	0.88	<b>443.23</b>	443.23	1.31
a3-18(E)	–	240.00 <sup>a</sup>	<b>317.09</b>	317.09	0.36	<b>317.09</b>	317.09	1.53	<b>317.09</b>	317.09	1.21
a3-24(E)	–	240.00 <sup>a</sup>	<b>379.98</b>	379.98	0.67	<b>379.98</b>	379.98	1.00	<b>379.98</b>	379.98	2.25
a2-10(I)	<b>195.23</b> *	75.89	<b>195.23</b>	195.23	0.98	<b>195.23</b>	195.23	0.71	<b>195.23</b>	195.23	0.58
a2-16(I)	311.12	240.00 <sup>a</sup>	<b>283.55</b>	283.55	1.28	<b>283.55</b>	283.55	0.77	<b>283.55</b>	283.55	0.67
a2-20(I)	–	240.00 <sup>a</sup>	<b>382.68</b>	382.68	0.63	<b>382.68</b>	382.68	0.63	<b>382.68</b>	382.68	0.96
a2-24(I)	–	240.00 <sup>a</sup>	<b>482.20</b>	482.20	0.91	<b>482.20</b>	482.20	0.48	<b>482.20</b>	482.20	0.65
a3-18(I)	–	240.00 <sup>a</sup>	<b>307.08</b>	307.08	0.36	<b>307.08</b>	307.08	0.80	<b>307.08</b>	307.08	1.03
<b>Avg</b>		<b>192.84</b>	<b>335.19</b>	<b>335.19</b>	<b>0.84</b>	<b>335.19</b>	<b>335.19</b>	<b>0.82</b>	<b>335.19</b>	<b>335.19</b>	<b>1.09</b>

\* Optimal solution found by CPLEX.

<sup>a</sup> Not solved to optimality.**Table 8**

Comparison of our three algorithms on medium-large size instances.

Instance	BS	EVO-VNS <sub>1</sub>					EVO-VNS <sub>2</sub>					EVO-VNS <sub>3</sub>				
		Best	%	Avg	%	CPU (min)	Best	%	Avg	%	CPU (min)	Best	%	Avg	%	CPU (min)
$\bar{U}$	733.62	734.30	0.06	734.63	0.10	2.96	734.95	0.15	736.52	0.33	3.12	735.15	0.16	736.47	0.31	3.60
$\bar{E}$	747.84	748.72	0.07	748.92	0.09	2.59	749.27	0.16	750.73	0.31	2.97	749.82	0.20	750.99	0.33	3.47
$\bar{I}$	731.04	731.49	0.04	732.47	0.13	3.22	731.84	0.08	732.86	0.18	4.02	732.80	0.20	734.68	0.42	4.31
$\overline{UEI}$	<b>737.50</b>	<b>738.17</b>	<b>0.06</b>	<b>738.67</b>	<b>0.11</b>	<b>2.92</b>	<b>738.69</b>	<b>0.13</b>	<b>740.04</b>	<b>0.27</b>	<b>3.37</b>	<b>739.25</b>	<b>0.19</b>	<b>740.71</b>	<b>0.35</b>	<b>3.80</b>

articulates that the diversification and intensification mechanisms applied in our algorithms have considerable contribution in improving the solution quality.

To evaluate the effectiveness of hybridizing our VNS algorithms with different new components (population-based), we compared our evolutionary algorithms (EVO-VNS<sub>1</sub>, EVO-VNS<sub>2</sub> and EVO-VNS<sub>3</sub>) with non-hybrid variants of the same algorithms (i.e., VNS<sub>1</sub>, VNS<sub>2</sub> and VNS<sub>3</sub>).

Table 9 compares the average of five runs and the best results for all instances of each data set, using each algorithm. Columns “Best” (“Avg”) report the best (average) solution values of our implemented standard algorithms (i.e., VNS<sub>1</sub>, VNS<sub>2</sub> and VNS<sub>3</sub>). Columns “%” presents the percentage of deviation from the best (Avg) solutions obtained by our VNS<sub>1</sub>, VNS<sub>2</sub> and VNS<sub>3</sub>, compared to the EVO-VNS<sub>1</sub>, EVO-VNS<sub>2</sub> and EVO-VNS<sub>3</sub>.

The results in Table 9 clearly indicate that our evolutionary algorithms outperform the standard algorithms in terms of best solution value and average solution quality. In terms of average value of five runs, VNS<sub>3</sub>, for example, has obtained an average value of 747.06 compared to 740.71 obtained by EVO-VNS<sub>3</sub>, with an average gap difference equal to 1.20%. This confirms that our evolutionary algorithms are more stable and more efficient than the standard algorithms. In addition, the detailed results of Table 9

**Table 9**

The contribution of our evolutionary algorithms compared to the standard algorithms.

Inst.	VNS <sub>1</sub>					VNS <sub>2</sub>					VNS <sub>3</sub>				
	Best	%	Avg	%	CPU	Best	%	Avg	%	CPU	Best	%	Avg	%	CPU
$\bar{U}$	737.10	0.38	740.60	0.85	1.89	737.17	0.47	742.52	1.19	2.00	738.17	0.64	744.36	1.40	2.24
$\bar{E}$	751.90	0.51	756.85	1.20	1.66	752.33	0.55	756.39	1.06	1.91	752.37	0.61	757.42	1.19	2.16
$\bar{I}$	733.73	0.30	738.81	0.99	2.07	734.22	0.39	738.65	0.96	2.59	735.89	0.61	739.39	1.02	2.70
$\overline{UEI}$	<b>740.91</b>	<b>0.40</b>	<b>745.42</b>	<b>1.01</b>	<b>1.87</b>	<b>741.24</b>	<b>0.47</b>	<b>745.85</b>	<b>1.07</b>	<b>2.17</b>	<b>742.14</b>	<b>0.62</b>	<b>747.06</b>	<b>1.20</b>	<b>2.36</b>

**Table 10**  
Importance of the realistic energy consumption function in our algorithms.

Inst.	BS	EVO-VNS <sub>1</sub> with RC				EVO-VNS <sub>1</sub> with CC			
		Best	Best%	Avg	Avg%	Best	Best%	Avg	Avg%
<i>U</i>	654.92	654.92	0.05	655.19	0.08	655.85	0.25	656.83	0.40
<i>E</i>	671.03	671.03	0.06	671.19	0.07	671.48	0.15	672.32	0.27
<i>I</i>	654.38	654.38	0.04	655.15	0.11	655.01	0.16	656.24	0.33
<b><i>UEI</i></b>	<b>660.11</b>	<b>660.11</b>	<b>0.05</b>	<b>660.51</b>	<b>0.08</b>	<b>660.78</b>	<b>0.19</b>	<b>661.80</b>	<b>0.33</b>

in our website show the ability of the evolutionary algorithms to obtain good solutions in most of the runs, in comparison with the standard algorithms.

Finally, a comparative study is shown in Table 15 in Appendix B to assess the impact of using the population phase, with and without the crossover MX1 operator and other components in our EVO-VNS algorithms.

#### 6.4.1. Energy consumption function versus constant energy consumption

In this section, we evaluate the impact of applying the realistic energy consumption function adopted in our algorithms. We have tested two strategies: Realistic Consumption (RC) function of Genikomsakis and Mitrentsis (2017) that is the case of our current study, and Constant Consumption (CC) which is equal to 380 W/mile (EPA) applied also using the same vehicle model described in Table 2. We chose to test our EVO-VNS<sub>1</sub> using both these two strategies. Table 10 displays the results of the comparison. In Table 10 (and its detailed results in the website), the Column “Nb-stat available” presents the number of BSSs available in each instance and the Column “Nb-Bat-swap” presents the number of battery-swaps performed by the vehicles in each instance. Each instance is solved five times by applying each algorithm.

From Table 10, we can see that using the RC strategy is better than applying the CC strategy with an average gap equal to 0.05% (0.08%) compared to 0.19% (0.33%), respectively. In addition, from the detailed results of this table in our website, we can observe that in some instances, the results obtained through the application of the RC strategy in terms of the number of battery swaps are better than the best results realized with the use of the CC strategy (as indicated in bold). For the rest of the instances, we observe that, in both RC and CC strategies, our algorithms were capable of obtaining the same results. As we anticipated before, our algorithms with the use of realistic consumption energy function have performed well. Moreover, using the RC strategy is more efficient than the CC strategy, as also confirmed by De Gennaro et al. (2015).

#### 6.4.2. The effect on battery capacity

In this subsection, we analyze the effect of using different battery capacity  $H$  value in terms of the objective function as well as on visiting the BSSs. In this experiment, we use EVO-VNS<sub>1</sub> as an example. In Table 11, three different battery capacity values  $H = 35$ , 30 and 25 are tested against the original  $H = 40$  value, using our EVO-VNS<sub>1</sub>, with the limitation restraints of route duration and the number of available vehicles. The experiment's results are applied to all instance types for each data set. The column “Best%” (“Avg%”) indicates the percentage of deviation from the best solution (column “BS”) obtained by any algorithm for a given instance for  $H = 40$ . The detailed results are given in our website. In addition, in the detailed results of this table, we show the number of available BSSs in each instance in the column denoted by “Nb-Ava-BSS”, the columns “Nb-Veh” and “Nb-Cl” present the number of available vehicles and the number of users in each instance, respectively, while, the column “Nb-Bat-swap” presents the number of visited BSSs.

From Table 11 and its detailed results in the website, we can see that changing the battery capacity value influences the objective function. The average gap for the best run (in five runs) is equal to 1.11% (1.13%), when using  $H = 35$ , 2.11% (2.17%), when using  $H = 30$ , and 3.91% (4.03%) when using  $H = 25$  for the small-medium size instances. This increase in the objective function value is related to the additional distances and costs caused by visiting the BSSs. In fact, the number of visits to the BSSs increases with each reduction of  $H$ . Specifically, the number of BSS visits varies between 0 and 4 using  $H = 40$ , which presents our case, between 0 and 5 using  $H = 35$ , between 1 and 5 using  $H = 30$ , and between 1 and 8 for  $H = 25$ . Moreover, using  $H = 30$  leads to infeasible solutions for 5 out of 72 instances, while for  $H = 25$ , 18 infeasible solutions are obtained.

**Table 11**  
Impact of battery capacity.

Inst.	BS	$H = 40$			$H = 35$			$H = 30$			$H = 25$		
		Best%	Avg%	CPU (min)	Best%	Avg%	CPU (min)	Best%	Avg%	CPU (min)	Best%	Avg%	CPU (min)
<i>U</i>	654.39	0.05	0.08	2.56	0.91	0.93	2.59	1.67	1.72	2.62	3.24	3.35	2.59
<i>E</i>	670.33	0.06	0.07	2.21	1.06	1.08	2.24	2.35	2.42	2.15	4.48	4.63	2.01
<i>I</i>	654.02	0.04	0.11	2.72	1.36	1.39	2.76	2.32	2.37	2.59	4.00	4.10	2.84
<b><i>UEI</i></b>	<b>659.58</b>	<b>0.05</b>	<b>0.09</b>	<b>2.50</b>	<b>1.11</b>	<b>1.13</b>	<b>2.53</b>	<b>2.11</b>	<b>2.17</b>	<b>2.45</b>	<b>3.91</b>	<b>4.03</b>	<b>2.48</b>

**Table 12**

Comparison of our three algorithms with CPLEX on our small artificial instances.

Inst.	CPLEX		EVO-VNS <sub>1</sub>			EVO-VNS <sub>2</sub>			EVO-VNS <sub>3</sub>		
	Best	CPU (min)	Best	Avg	CPU (min)	Best	Avg	CPU (min)	Best	Avg	CPU (min)
a0_10_1	<b>155.28*</b>	39.97	<b>155.28</b>	155.28	0.66	<b>155.28</b>	155.28	0.74	<b>155.28</b>	155.28	0.47
a0_10_2	<b>160.24*</b>	76.85	<b>160.24</b>	160.24	0.95	<b>160.24</b>	160.24	1.07	<b>160.24</b>	160.24	1.36
a0_12_3	176.56	240.00 <sup>a</sup>	<b>165.00</b>	165.00	0.89	<b>165.00</b>	165.00	0.99	<b>165.00</b>	165.00	0.81
a0_14_4	208.49	240.00 <sup>a</sup>	<b>179.05</b>	179.05	0.62	<b>179.05</b>	179.05	0.56	<b>179.05</b>	179.05	0.69
a0_18_5	–	240.00 <sup>a</sup>	<b>174.83</b>	174.83	0.70	<b>174.83</b>	174.83	0.64	<b>174.83</b>	174.83	1.45
a1_10_1	<b>134.12*</b>	66.42	<b>134.12</b>	134.12	0.49	<b>134.12</b>	134.12	0.60	<b>134.12</b>	134.12	0.71
a1_10_2	183.65	240.00 <sup>a</sup>	<b>160.32</b>	160.32	0.97	<b>160.32</b>	160.32	0.73	<b>160.32</b>	160.32	1.45
a1_12_3	–	240.00 <sup>a</sup>	<b>162.17</b>	162.17	0.71	<b>162.17</b>	162.17	0.73	<b>162.17</b>	162.17	1.08
a1_14_4	179.58	240.00 <sup>a</sup>	<b>160.27</b>	160.27	0.76	<b>160.27</b>	160.27	1.46	<b>160.27</b>	160.27	1.15
a1_18_5	–	240.00 <sup>a</sup>	<b>179.68</b>	179.68	1.15	<b>179.68</b>	179.68	0.78	<b>179.68</b>	179.68	1.74
a2_10_1	<b>123.71*</b>	84.65	<b>123.71</b>	123.71	0.83	<b>123.71</b>	123.71	0.69	<b>123.71</b>	123.71	0.60
a2_10_2	200.46	240.00 <sup>a</sup>	<b>182.68</b>	182.68	0.57	<b>182.68</b>	182.68	0.57	<b>182.68</b>	182.68	0.86
a2_12_3	219.65	240.00 <sup>a</sup>	<b>186.42</b>	186.42	0.72	<b>186.42</b>	186.42	0.85	<b>186.42</b>	186.42	0.52
a2_14_4	–	240.00 <sup>a</sup>	<b>190.32</b>	190.32	0.59	<b>190.32</b>	190.32	0.59	<b>190.32</b>	190.32	0.76
a2_18_5	–	240.00 <sup>a</sup>	<b>190.19</b>	190.19	0.89	<b>190.19</b>	190.19	1.46	<b>190.19</b>	190.19	2.33
<b>Avg</b>		<b>193.86</b>	<b>166.95</b>	<b>166.95</b>	<b>0.77</b>	<b>166.95</b>	<b>166.95</b>	<b>0.83</b>	<b>166.95</b>	<b>166.95</b>	<b>1.07</b>

\* Optimal solution found by CPLEX.

<sup>a</sup> Not solved to optimality.

### 6.5. Results on our new artificial data set

In this section, we show the results obtained by our algorithms on the newly generated artificial data set described in Section 6.1. We start with a comparison on a small data set of instances containing two or three vehicles and a number of users ranging between 10 and 20, which are solved with CPLEX as indicated in Table 12, while the results of our proposed methods on medium-large instances are indicated in Table 13. Due to the limited physical memory, CPLEX is not able to solve instances with up to 18 users and two vehicles and suffers from out of memory problems. In this regard, we generated only instances with two vehicles and 10–14 users. The detailed results of these Tables can be found in our website.

From Table 12, we observe that our EVO-VNS algorithms found the optimal solutions solved by CPLEX to optimality for the instances containing up to 10 users. As can also be seen from the results in Table 12, CPLEX is only able to solve two instances with two vehicles and 10 users for the randomly distributed users' locations of type (A0) to optimality. For the clustered users' locations of type A1 and A2, CPLEX can only solve one instance to optimality for each type, respectively. However, CPLEX is able to generate solutions for instances with 12–14 users and two vehicles in the majority of instances of types (A0, A1 and A2). For instances containing up to 18 users, CPLEX cannot provide any feasible solution in all instances of types A0, A1 and A2. Overall, the results show the effectiveness of our different EVO-VNS algorithms to solve all instances and outperform the best solutions found by CPLEX within much shorter runtimes.

The results shown in Table 13 (and its detailed results in the website) indicate that EVO-VNS<sub>1</sub> obtains the best solutions for 66 instances, while EVO-VNS<sub>2</sub> can find the best solutions for 57 instances, and EVO-VNS<sub>3</sub> for 53 instances. Generally, in 39 cases, all methods are effective in obtaining the same best solutions. Taking the average values over five runs for each algorithm, the average of the best result deviates from the best solution by 0.20% for EVO-VNS<sub>1</sub>, 0.41% for EVO-VNS<sub>2</sub> and 0.47% for EVO-VNS<sub>3</sub>. On the other hand, the average gap percent is equal to 0.29% for EVO-VNS<sub>1</sub>, 0.59% for EVO-VNS<sub>2</sub> and 0.75% for EVO-VNS<sub>3</sub>. From the detailed results in the website, we can see that in the majority of instances, all vehicles need to be recharged. However, a fewer number of visits to BSSs is observed in the instances (A0), having a random users' distribution, compared to the clustered instances A1 and A2. This in fact is expected, since in the random distribution of users, the vehicle has to travel longer distances to cover the wide area where the users are distributed. These results also conform to those obtained by Desaulniers et al. (2016). Finally, the number visits to BSSs in the group A0 ranges between 9 and 33, while in the group A1 it is between 7 and 33, and in A2 it ranges between 7 and 30.

**Table 13**

Comparison of our three algorithms on our medium-large artificial instances.

Inst.	BS	EVO-VNS <sub>1</sub>					EVO-VNS <sub>2</sub>					EVO-VNS <sub>3</sub>				
		Best	%	Avg	%	CPU (min)	Best	%	Avg	%	CPU (min)	Best	%	Avg	%	CPU (min)
A0	1157.80	1159.57	0.12	1160.82	0.22	5.40	1163.43	0.38	1166.38	0.57	5.55	1165.10	0.51	1169.30	0.78	6.13
A1	1055.93	1059.13	0.21	1060.03	0.27	4.21	1062.76	0.47	1065.30	0.65	4.86	1061.01	0.40	1064.55	0.69	5.53
A2	1028.77	1032.70	0.28	1033.94	0.38	5.11	1033.81	0.37	1036.15	0.54	6.20	1034.92	0.50	1038.53	0.79	6.16
<b>Avg</b>	<b>1080.83</b>	<b>1083.80</b>	<b>0.20</b>	<b>1084.93</b>	<b>0.29</b>	<b>4.91</b>	<b>1086.67</b>	<b>0.41</b>	<b>1089.27</b>	<b>0.59</b>	<b>5.54</b>	<b>1087.01</b>	<b>0.47</b>	<b>1090.79</b>	<b>0.75</b>	<b>5.94</b>



## 7. Conclusions

This paper presents a practical version of the dial-a-ride problem and investigates electric vehicles with battery swapping stations (DARP-EV). The use of electric vehicles has been already observed in practice, especially in the domain of healthcare services. Hence, there is a need for developing new models and solution techniques considering electric vehicle technologies. This paper provides a new formulation that allows the recharging of an electric vehicle by swapping its depleted battery with a full one in each visit to a battery swapping station. We proposed three Evolutionary Variable Neighborhood Search (EVO-VNS) algorithms for solving the DARP-EV by introducing effective (population-based) construction and diversification mechanisms and advanced local search operators. After conducting thorough sensitivity analysis and parameter tuning, our algorithms were intensively put into experimentation on both an adapted benchmark data set and newly generated instances. As demonstrated by the experimental results, our algorithms obtain high quality solutions during moderate processing times. In addition, the results demonstrate that our proposed algorithms, which combine features of evolutionary metaheuristics with VNS, have more advantages in their performance than our standalone VNS method. Furthermore, high quality solutions were obtained by our proposed algorithms in comparison with a recent hybrid GA algorithm for the classical DARP.

Finally, before concluding this paper, we highlight some limitations that are considered in our work, which constitute various attractive avenues for future investigation. First, from the problem description, it is assumed that the battery swap operation is done even when the users are inside the vehicle. However, for safety reasons, adding a hard constraint that requires the battery swap operation to only take place when the vehicle is empty. Moreover, as stated in our problem description, which is also similar to most studied E-VRPs, it is assumed that battery swapping is done when the vehicle cannot visit the next user due to lack of energy. However, more practical solutions may assume that this operation can be done even if there is enough energy in the battery to serve the next user (e.g., during the lunch break of the driver). This permits to avoid unnecessary detours (and hence additional recharging costs) to swap the battery, before it becomes completely empty in any battery swap station. Second, the accuracy of the energy consumption estimation presented in our work depends on the resolution of the road network representation. Applying the energy consumption function for all nodes has implications on the accuracy of the estimated energy consumption. The current modelling approach is intended to exemplify the application of an EV energy consumption function in the DARP-EV formulation. However, the accuracy of the energy consumption model can be improved by considering that the trip between two nodes consists of smaller segments, each one with its own road angle and possible speed profile. In addition, to make the EVs model more realistic, the EVs can consider the combination of the brake power, battery loss, temperature, drive loss, acceleration, deceleration, as well as the consideration of the effect of the payload. For more details, interested readers are referred to [Simpson \(2005\)](#). The implementation of these approaches, though, is out of the scope of the current paper and it is suggested in the conclusions as possible directions for future work. In addition, from a methodological perspective, developing exact solution methods, such as Branch-and-Cut for solving moderate size instances of the DARP-EV, and designing efficient metaheuristic techniques for solving rich variants of the problem are interesting research directions. For example, considering realistic energy consumption as well as other relevant constraints to solve practical applications, such as the Shared-Taxi VRP and the Share-a-Ride Problem (SARP). In addition, studying partial recharging and its impact on the DARP-EV is also a topic of interest. Finally, considering a real data set in the field of DARP-EV is also one of the promising perspectives of this work, especially by considering for example realistic altitudes to calculate the road slope.

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## Appendix A. Parameters of our standard algorithms

The parameters used in our standard algorithms are shown in [Table 14](#).

**Table 14**  
Parameters used in the standard algorithms.

Algorithm	Description of parameters	Best value
VNS <sub>1</sub>	Number of iterations ( $n_{vns1}$ )	No improvement of the best solution after five consecutive iterations
	Roulette wheel parameter ( $r_p$ )	0.70
	Score of a global better solution ( $\pi_1$ )	15
	Score of a better solution ( $\pi_2$ )	10
	Score of a worse solution ( $\pi_3$ )	5
	Initial temperature ( $T_{max}$ )	100
	Cooling rate ( $\delta$ )	0.99975

(continued on next page)

Table 14 (continued)

Algorithm	Description of parameters	Best value
VNS <sub>2</sub>	Number of iterations ( $n_{vns2}$ )	No improvement of the best solution after five consecutive iterations
	Roulette wheel parameter ( $r_p$ )	0.70
	Score of a global better solution ( $\pi_1$ )	15
	Score of a better solution ( $\pi_2$ )	10
	Score of a worse solution ( $\pi_3$ )	5
	Deviation value ( $Dev$ )	0.01 * current global Record
	Number of iterations to apply local search ( $n_{loc}$ )	Number of vehicles in the instance
VNS <sub>3</sub>	Number of iterations ( $n_{vns3}$ )	No improvement of the best solution after five consecutive iterations
	Number of iterations to apply neighborhood ( $n_{neig}$ )	Number of vehicles in the instance
EVO-VNS <sub>1</sub>	Number of groups ( $m$ )	3
	Number of solutions in each group ( $c$ )	6
	Number of solutions to be improved by VNS variant ( $s$ )	2
	Stopping criterion of the EVO-VNS <sub>1</sub> ( $n_{Hvns1}$ )	No improvement of the best solution after five consecutive iterations
	Number of iterations of VNS <sub>1</sub> ( $n_{vns1}$ )	1000
	Roulette wheel parameter ( $r_p$ )	0.70
	Score of a global better solution ( $\pi_1$ )	15
	Score of a better solution ( $\pi_2$ )	10
	Score of a worse solution ( $\pi_3$ )	5
EVO-VNS <sub>2</sub>	Initial temperature ( $T_{max}$ )	100
	Cooling rate ( $\delta$ )	0.99975
	Number of groups ( $m$ )	3
	Number of solutions in each group ( $c$ )	6
	Number of solutions to be improved by VNS variant ( $s$ )	2
	Stopping criterion of the EVO-VNS <sub>2</sub> ( $n_{Hvns2}$ )	No improvement of the best solution after five consecutive iterations
	Number of iterations of VNS <sub>2</sub> ( $n_{vns2}$ )	1000
	Roulette wheel parameter ( $r_p$ )	0.70
	Score of a global better solution ( $\pi_1$ )	15
EVO-VNS <sub>3</sub>	Score of a better solution ( $\pi_2$ )	10
	Score of a worse solution ( $\pi_3$ )	5
	Deviation value ( $Dev$ )	0.01 * current global Record
	Number of iterations to apply local search ( $n_{loc}$ )	Number of vehicles in the instance
	Number of groups ( $m$ )	3
	Number of solutions in each group ( $c$ )	6
	Number of solutions to be improved by VNS variant ( $s$ )	2
	Stopping criterion of the EVO-VNS <sub>3</sub> ( $n_{Hvns3}$ )	No improvement of the best solution after five consecutive iterations
	Number of iterations of VNS <sub>3</sub> ( $n_{vns3}$ )	1000
EVO-VNS <sub>3</sub>	Number of iterations to apply neighborhood ( $n_{neig}$ )	Number of vehicles in the instance

## Appendix B. Effect of the different algorithmic components on the EVO-VNS

We investigate here the effect of integrating our different components (i.e., our population phase based on the SFLA and the BA as well as using the MX1 operator) on our standalone (enhanced) VNS to diversify the search and improve the quality of solutions. For this purpose, we use VNS<sub>1</sub>, as an example, where some combinations are compared based on our standalone (enhanced) VNS<sub>1</sub> by adding in each time different component(s). The results of this comparison are shown in Table 15, where we use the benchmark instances of Masmoudi et al. (2017). Combination “1” represents our standalone (enhanced) VNS<sub>1</sub>. Combination “2” represents the combination of adding the population phase based on the SFLA and the component adopted from the BA (i.e., step 2 and steps 5 and 6, respectively, of Algorithm 1) to the standalone VNS<sub>1</sub>. In other words, this combination represents our EVO-VNS1 without the MX1 operator. The last combination “3” is the combination of adding to the standalone (enhanced) VNS<sub>1</sub> both the population phase and the MX1 operator, which reflects our complete EVO-VNS<sub>1</sub> with MX1 operator shown in Algorithm 1.

In Table 15, the column “Best” (“Avg”), presents the best (Avg) solutions provided by the hybrid GA of Masmoudi et al. (2017). The columns “Best%” (“Avg%”) indicate the percentage of deviation from the best (Avg) results obtained by the hybrid GA of Masmoudi et al. (2017), respectively. The obtained results (best and average) values of this table are shown in our website.

As seen in Table 15, we observe that using only our standalone (enhanced) VNS<sub>1</sub> (combination “1”) cannot obtain good results compared to the best (average) solutions of the hybrid GA of Masmoudi et al. (2017), with a positive deviation gap equal to 0.57% (0.66%). A considerable improvement is obtained by adding our evolutionary phase to the VNS<sub>1</sub> (combination “2”), where in some instances a negative deviation gap is obtained compared to the best and average results of the hybrid GA. Thus, combination “2” shows clearly that using a population phase based on SFLA as well as using the BA technique of diversification contribute positively to the quality of solutions and clearly outperform the standalone (enhanced) VNS<sub>1</sub>. This can be attributed to their added value in terms

**Table 15**  
Effect of different components.

Inst.	Hybrid GA			Combination 1			Combination 2			Combination 3		
	Best	Avg	CPU (min)	Best%	Avg%	CPU (min)	Best%	Avg%	CPU (min)	Best%	Avg%	CPU (min)
R1a	195.97	195.97	0.44	0.00	0.00	1.13	0.00	0.00	0.95	0.00	0.00	0.71
R2a	336.34	336.34	0.85	0.00	0.00	1.87	0.00	0.00	1.56	0.00	0.00	1.18
R3a	586.18	589.86	0.94	0.00	0.14	2.22	0.00	−0.39	1.84	0.00	−0.46	1.40
R4a	640.03	642.56	1.48	0.40	0.27	3.48	0.00	0.00	2.86	−0.16	−0.04	2.21
R5a	714.83	718.51	1.82	0.00	0.23	4.39	−0.02	0.10	3.64	−0.24	−0.26	2.77
R6a	883.02	887.65	2.40	0.82	0.75	6.55	0.13	−0.33	5.40	−0.10	−0.50	4.16
R7a	312.05	312.96	0.47	0.69	1.00	7.58	0.15	0.43	6.29	−0.35	0.05	4.79
R8a	553.82	556.23	0.81	0.90	0.94	8.30	0.31	0.20	6.89	0.04	0.03	5.24
R9a	746.23	748.87	1.62	1.12	1.12	11.63	0.18	0.07	9.68	−0.21	−0.05	7.33
R10a	963.08	969.22	2.29	1.13	1.17	16.99	0.39	0.61	14.12	0.09	−0.48	10.74
R1b	190.39	190.39	0.57	0.00	0.00	1.26	0.00	0.00	1.05	0.00	0.00	0.80
R2b	312.92	314.12	1.03	0.00	0.36	2.58	0.00	−0.38	2.14	0.00	−0.38	1.63
R3b	551.95	553.15	1.36	0.00	0.69	3.26	0.00	0.19	2.70	0.00	0.01	2.06
R4b	606.08	610.48	2.00	0.58	0.30	4.66	0.16	−0.14	3.86	−0.13	−0.32	2.95
R5b	641.84	642.15	2.93	0.69	0.77	6.99	−0.13	0.13	5.80	−0.21	−0.01	4.42
R6b	832.53	836.32	3.66	0.86	0.68	8.70	0.23	0.27	7.18	0.03	−0.06	5.51
R7b	276.52	276.52	0.79	0.90	1.27	1.92	0.00	0.34	1.60	−0.13	0.03	1.21
R8b	530.56	532.28	1.53	0.73	0.91	6.74	0.23	0.43	5.64	−0.11	−0.09	4.24
R9b	699.06	703.15	2.84	1.22	0.99	10.31	0.42	0.06	8.52	−0.13	−0.40	6.54
R10b	902.17	906.91	3.11	1.28	1.55	18.47	0.51	0.83	15.27	0.12	−0.30	11.71
<b>Avg</b>	<b>573.78</b>	<b>576.18</b>	<b>1.65</b>	<b>0.57</b>	<b>0.66</b>	<b>6.45</b>	<b>0.13</b>	<b>0.12</b>	<b>5.35</b>	<b>−0.07</b>	<b>−0.16</b>	<b>4.08</b>

of balancing between exploration and exploitation. Comparing combination “2” and combination “3”, we observe positive percent deviation values of the results obtained by combination “2” compared to combination “3”, relative to the hybrid GA. Therefore, it is evident that applying this strategy alone is still unable to keep away from convergence to local optima during the evolutionary process. In fact, the elimination of our MX1 from the evolutionary process made the performance of combination “2” unsuccessful, compared the results obtained by the hybrid GA, as well as to our proposed EVO-VNS<sub>1</sub> with MX1 (combination “3”). In conclusion, applying all SFLA, BA and MX1 components to our standalone VNS<sub>1</sub> is indeed the most effective combination, compared to the other combinations. Our EVO-VNS<sub>1</sub> can obtain good results compared to the hybrid GA, although with a slight improvement of 0.07% (0.16%) in terms of best(average) results of the hybrid GA.

## Appendix C. Supplementary material

Supplementary data associated with this article can be found, in the online version, at <https://doi.org/10.1016/j.tre.2018.08.005>.

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