# Union-Find Disjoint Set

Khritish Kumar Behera

September 11, 2024

#### Introduction

Union-Find is a powerful data structure for representing disjoint sets efficiently. It's commonly used in graph algorithms like Kruskal's algorithm and finding connected components.

## **Core Operation**

- make\_set(x): Creates a new set containing only the element x.
- find(x): Returns the representative (or root) of the set containing x.
- union(x, y): Merges the sets containing x and y.

## Implementation

- **Disjoint-set forests:** Each set is represented as a rooted tree. The root of a tree is the representative of the set.
- Parent pointers: Each element stores a pointer to its parent. The root's parent is itself.
- Rank: The rank of a node is an upper bound on the height of the subtree rooted at that node.

# **Optimizations**

- Union by Rank: When merging two sets, the root with the smaller rank becomes the child of the root with the larger rank. This helps maintain balanced trees.
- Path Compression: During find, update the parent pointers of nodes along the path to the root to the root itself. This improves future find operations.

## Algorithm

#### Combined Parent and Rank Array

A one-dimensional array parent where:

- If parent[i] > 0, then parent[i] stores the parent of element i.
- If parent[i] < 0, then parent[i] stores the rank of the set rooted at i.

#### Initialization

1. For each element i, set parent[i] = i (initially, each element is its own parent).

## Find(x)

- 1. Base Case: If parent[x] < 0, then x is the root of its set, so return x.
- 2. Recursive Call: Otherwise, recursively call Find(parent[x]) to find the root of the set containing x.
- 3. **Path Compression:** While returning from the recursive call, update parent[x] to be the root directly.

## Union(x, y)

- 1. **Find Roots:** Call Find(x) and Find(y) to find the roots root\_x and root\_y of the sets containing x and y, respectively.
- Check for Same Set: If root\_x == root\_y, the elements are already in the same set.
- 3. Merge Sets:
- If parent[root\_x] < parent[root\_y]:
  - Make root\_x a child of root\_y by setting parent[root\_x] = root\_y
- Otherwise:
  - Make root\_y a child of root\_x by setting parent[root\_y] = root\_x
  - If parent[root\_x] == parent[root\_y], increment the rank of the new root (either root\_x or root\_y) by
     1.

## Explanation

- Negative Values: A negative value in parent[i] indicates that i is the root of a set, and the absolute value of parent[i] represents the rank of the set.
- Merging Sets: When merging two sets, the set with the smaller rank becomes a child of the set with the larger rank. This helps maintain a balanced tree structure.
- Path Compression: The optional path compression optimization can significantly improve the performance of subsequent find operations by flattening the tree structure.

### Psudocode

```
MAKE_SET(n):
    parent array of size n
    for i in (0, n - 1):
        parent[i] = -1
FIND(x):
     if parent[x] < 0:</pre>
         return x
     parent[x] = FIND(parent[x])
     return parent[x]
UNION(x, y):
    root_x = parent[x]
    root_y = parent[y]
    if root_x != root_y:
        if parent[root_x] < parent[root_y]:</pre>
            # rank(root_x) > rank(root_y)
            parent[root_x] += parent[root_y]
            parent[root_y] = root_x
        else:
            parent[root_y] += parent[root_x]
            parent[root_x] = root_y
```

## Time Complexity

Amortized time complexity: Both find and union have amortized time complexity of  $O(\alpha(n))$ , where  $\alpha(n)$  is the inverse Ackermann function, which grows very slowly.

# **Applications**

- Kruskal's algorithm: Finding the minimum spanning tree of a graph.
- Finding connected components: Identifying groups of connected vertices in a graph.
- Cycle detection: Detecting cycles in graphs.
- Maze solving: Finding a path through a maze.
- Implementing various graph algorithms: Disjoint-set forests are a fundamental building block for many graph algorithms.