

# RAG – dive into basics

< Let's explore RAG concept>



# **About me:**

- Senior Software Engineer
- Working in EPAM DIAL stream
- Co-Organizer of Codeus community



# There are no magic! It is simple REST API! + calls to database



# **Before we start:**

- Raise your hand and ask questions if you have any
- It is better to ask questions when you have
- Also, type them in chat
- We will code together today
- We will need Open AI API key:
  - If you have yours, then use yours
  - Or I'll provide but it will be alive couple of hours



# Agenda:

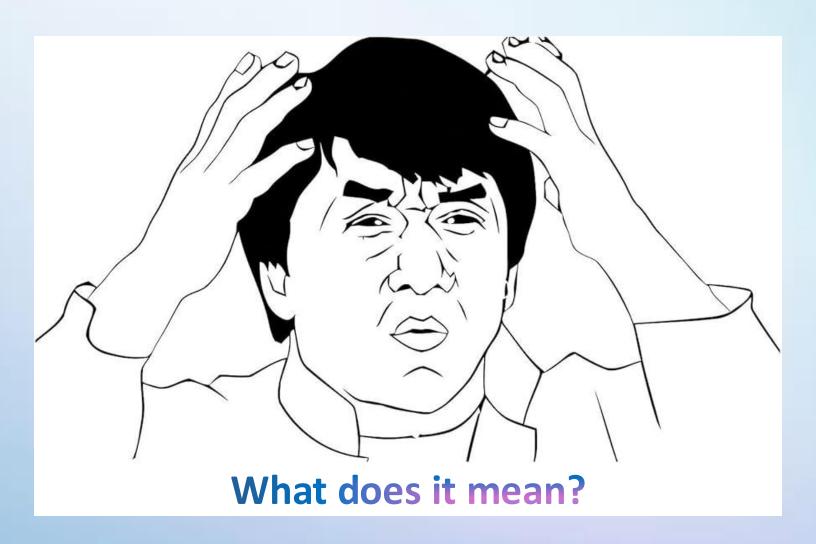
- Presentation
- Coding:
  - Explore how to work with project
  - Implement important parts in ChatApp
  - Implement the OpenAIEmbeddings Client
  - Implement the TextProcessor

# **Concept overview**

<Let's explore basics>



# **RAG** = Retrieval-Augmented Generation





Retrieval-augmented generation (RAG) is a technique that enables Gen AI models to retrieve and incorporate new information.

It modifies interactions with a LLM so that the model responds to user queries with reference to a specified set of documents, using this information to supplement information from its pre-existing training data.

This allows LLMs to use domain-specific and/or updated information. Use cases include providing chatbot access to internal company data or generating responses based on authoritative sources.



# Concepts

<Let's basically explore each concept>



# RAG concept:



- The system searches through an external knowledge base (documents, databases, webpages, or vector stores) to find information relevant to the user query.



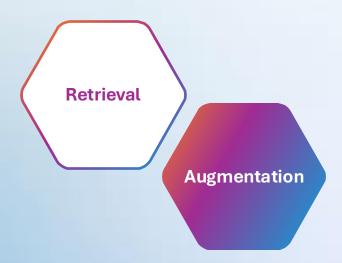
# R A G concept:



- The system searches through an external knowledge base (documents, databases, webpages, or vector stores) to find information relevant to the user query.
- Often, this is done using vector embeddings (semantic search) to find relevant documents based on similarity measures.



# RAG concept:

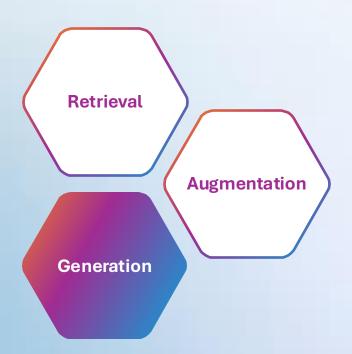


The retrieved information is then used to extend or "augment" the context provided to the language model.

«User input + Retrieved data»



# R A G concept:



The LLM generates response based on the provided information (user input + retrieved data)



- Provide the most relevant context data based on user request





- Provide the most relevant context data based on user request
- Reduces hallucinations





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- Reduces hallucinations
- Enables up-to-date knowledge





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- Provide the most relevant context data based on user request
- Reduces hallucinations
- Enables up-to-date knowledge
- Enables domain specialization
- Reduces context window usage
- Reduces costs (not always\*)







[0.026394594, 0.0014399963, -0.023680586,

-0.019032994]

# **Embeddings**

< Let's explore what Embeddings are>



isk of electric shock.∉Note:⊄1. If you have any questio... be adjusted or repaired by anyone except qualified serv... r.¢10. Do not fry food in the oven. Hot oil can damage and then press START/OUICK⊄START button to resume… ation, the oven must have sufficient airflow. Allow minim... r.⇔AUTO COOK⇔For the following food or cooking mode, i... e dial to select others food code. \$\alpha 2\$. Press START/QUICK... door or allow soil or⇔cleaner residue to accumu… every two minutes⊄until user presses any button… )41. Input the first microwave cooking program. Do not p... select 24- hour clock. 42. Turn MENU/TIME dial to set ho… . The microwave oven shall not be placed in a cabinet.⊄... m(D) d25LitresdApprox.14kgdRated Microwave Power Output... fore using the appliance and keep for future reference... auto cooking menus. START/QUICK START (the dial) Press… or defrosting, cooking and steaming of food only.435. U... )\$\phi40% (40)\$\phi30% (30)\$\pi20% (20)\$\pi10% (10)\$\pi0% (00)\$\phi\$\pi\NOTE: Yo... mer or⊕separate remote-control system. 430. The microwav...

⊕ embedding ▽

[0.007929899,-0.0103833815,-0.06096753,-0.0041557755,-0.042187788,0.01960363,-0.048827... [0.019625312, 0.07142368, -0.0130586205, -0.018416643, -0.053729743, 0.0055418145, -0.053580 ... ][0.007216289, 0.049263548, -0.020450495, -0.012869484, -0.06486845, 0.012693635, -0.01578726...[0.00082089123.0.0022738606.-0.031506665.-0.014780904.-0.033373725.-0.008920405.0.0358...  $\begin{smallmatrix} [0.009636983, 0.023800073, -0.0062219356, -0.027741412, -0.02087623, 0.02209255, -0.01481803, ... \end{smallmatrix}$ [-0.007313127,0.037871324,-0.03555582,-0.018665519,-0.02153417,0.045306657,-0.03712521... [-0.0016354206, -0.013394599, -0.042835053, -0.040621832, 0.008080563, -0.018178385, 0.01598...[-0.01445577,0.02532314,-0.022590334,-0.055830944,-0.026038265,0.018963622,-0.00489733... [0.025805177.0.016437544.0.0084770955.-0.011957668.0.008708227.0.017008575.6.410294e-0...[0.011242517, 0.041140627, -0.027245961, 0.016288064, -0.012025224, 0.002053797, 0.009373076...[-0.012621777,0.002297385,0.0051053,0.005726547,-0.026842805,0.025588008.0.043130554.0... [0.03621854.-0.023570212.-0.010781076.0.004781386.-0.046626166.-0.0075730784.0.0137625... [-0.008847023,-0.021002412,-0.029569935,-0.030482586,-0.064433254,-0.009645594,0.01033... -0.0017236428,0.03179804,-0.002225171,-0.03276651,-0.064979605,-0.0032282274,0.016648... [-0.01100224.0.0060034357.-0.01566008.0.008335399.-0.02978581.0.036069326.-0.018156435... [0.026943313.0.017219318.-0.012886474.-0.027789962.-0.059763357.0.021788724.0.01533926... [-0.0037010042,0.04981585,-0.024775151,-0.04551405,-0.011770189,-0.00916123,-0.0332725... [-0.018388081,-0.0135191595,-0.024863113,-0.06292922,-0.02891001,-0.023560518,0.031161... [0.039369497, 0.04368466, -0.03406439, -0.02761703, -0.06604735, 0.020116266, -0.027363198, 0...[0.013555558, 0.064180404, -0.0076864623, -0.023551071, 0.0023152744, -0.0037841045, -0.0294... $\lceil -0.01830597. -0.012414614.0.0066955937. -0.030407824.0.0057764654.0.0033318396.0.018025...$ [0.015503083.0.023018092.-0.028411057.-0.011204931.-0.054389197.0.010772413.-0.0117928...

representations of data
(like text, images, or audio)
in a high-dimensional vector space
where semantic similarities are
preserved as geometric
relationships.

**Input Text** 

Start with the raw text you want to convert.

Example: "I love machine learning."



**Input Text** 

**Text Preprocessing (Optional but common)** 

Depending on the model, some preprocessing may be required:

- Lowercasing
- Removing punctuation

  Example after preprocessing: ["I", "love", "machine", "learning", "."]

Note: Most modern embedding models like OpenAI's or SentenceTransformers handle this internally.



**Input Text** 

Text Preprocessing (Optional but common)

**Tokenization** 

The text is split into tokens, usually using a tokenizer specific to the embedding model (e.g., BPE for OpenAI models).

Each token is mapped to an integer ID via a vocabulary.

#### Example:

```
"I" → 374
"love" → 1438
"machine" → 5826
"learning" → 8372
"." → 13
```

https://platform.openai.com/tokenizer



**Input Text** 

Text Preprocessing (Optional but common)

**Tokenization** 

**Model Encoding** 

The token IDs are fed into a pre-trained embedding model, such as:

- OpenAI's embedding models (e.g., textembedding-3-small)
- Sentence-BERT (SBERT)
- HuggingFace transformer models

The model computes embedding vectors for the text.

Output: a vector of real numbers (floats), typically of size 1536, 768, 384, etc.

Example: [0.0213, -0.5732, 0.9981, ..., 0.0724]



**Input Text** 

Text Preprocessing (Optional but common)

Tokenization

**Model Encoding** 

**Post-processing (optional)** 

**Depending on the use case, you might:** 

- Normalize the embedding (e.g., L2 normalization)
- Average word embeddings for sentence-level tasks (if not already handled)
- Store the embedding in a vector database (e.g., PGVector, Pinecone, FAISS)

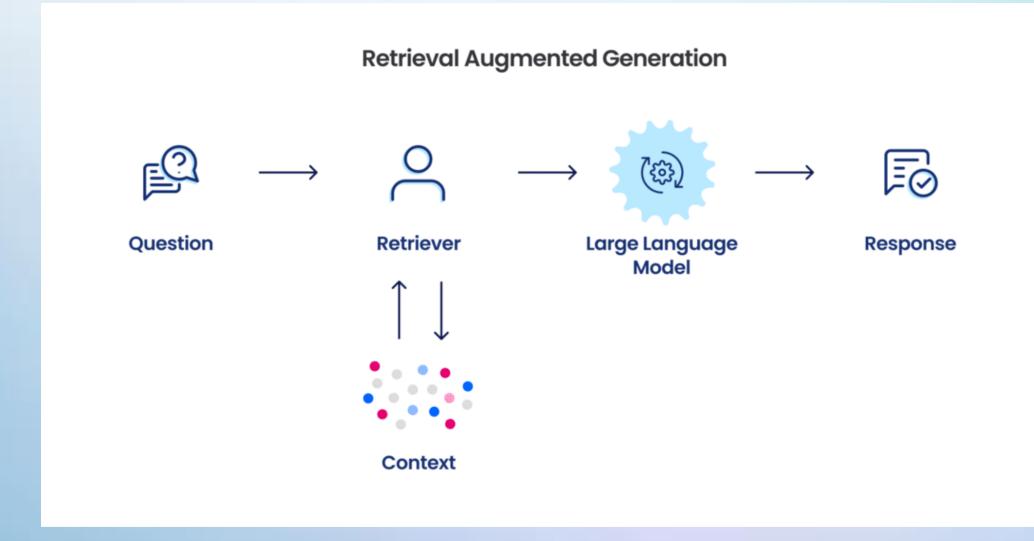


# Application

< Application components overview>

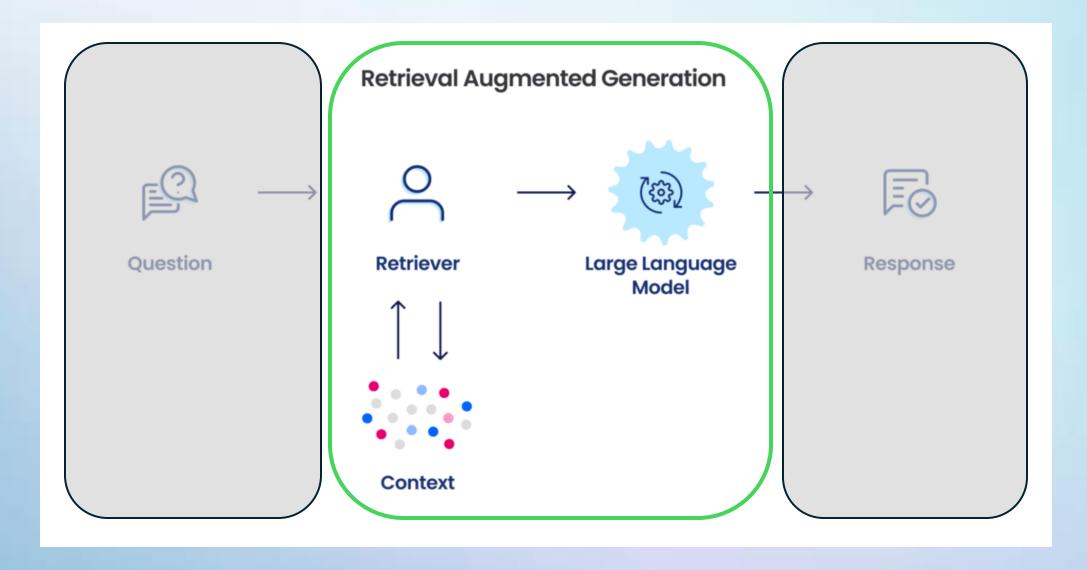


# Chat with RAG under the hood:



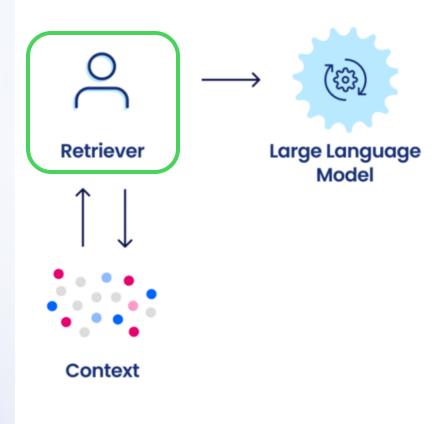


# Chat with RAG under the hood:





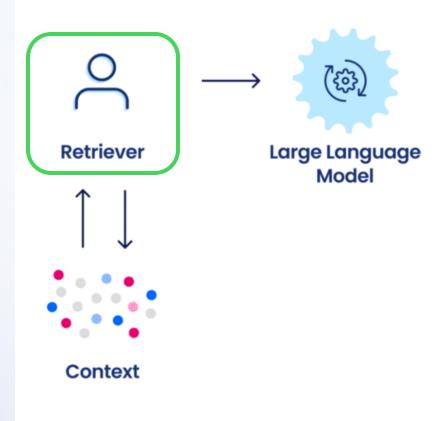
**Convert user request into embeddings** 





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Embeddings can be generated via neural models





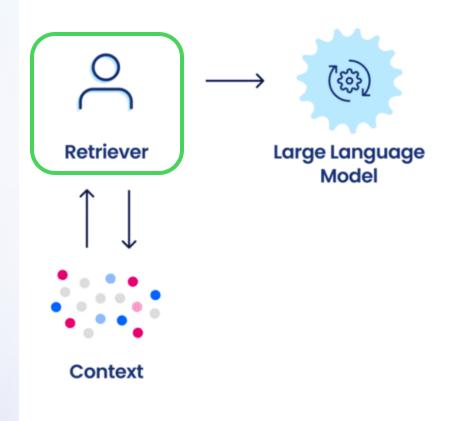
**Convert user request into embeddings** 

Embeddings can be generated via neural models

Open Al models:

text-embedding-3-small (1536)

text-embedding-3-large (3072)





**Convert user request into embeddings** 

Embeddings can be generated via neural models

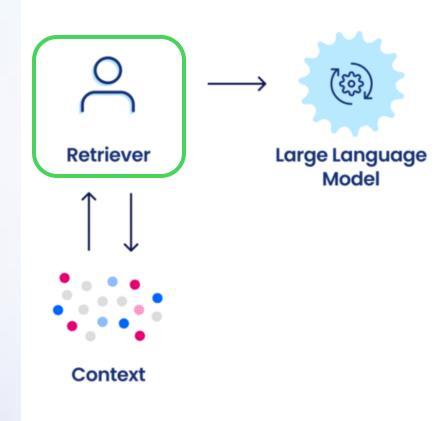
Open Al models:

text-embedding-3-small (1536)

text-embedding-3-large (3072)

Hugging Face models: sentence-transformers/all-MiniLM-L6-v2

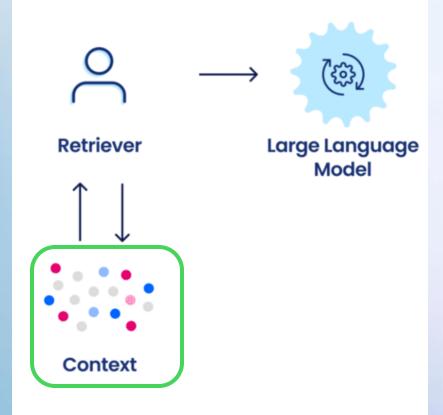
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# **Context:**

Retrieval Augmented Generation



'Context' is some Vector DB with data and its embeddings and some API to communicate with such DB



# **Context:**

As a Source for context generation can be taken:



.TXT, .PDF, .XLSX, .XML, .HTML DOCUMENTS



CONFLUENCE



**GITHUB** 



DISCORD

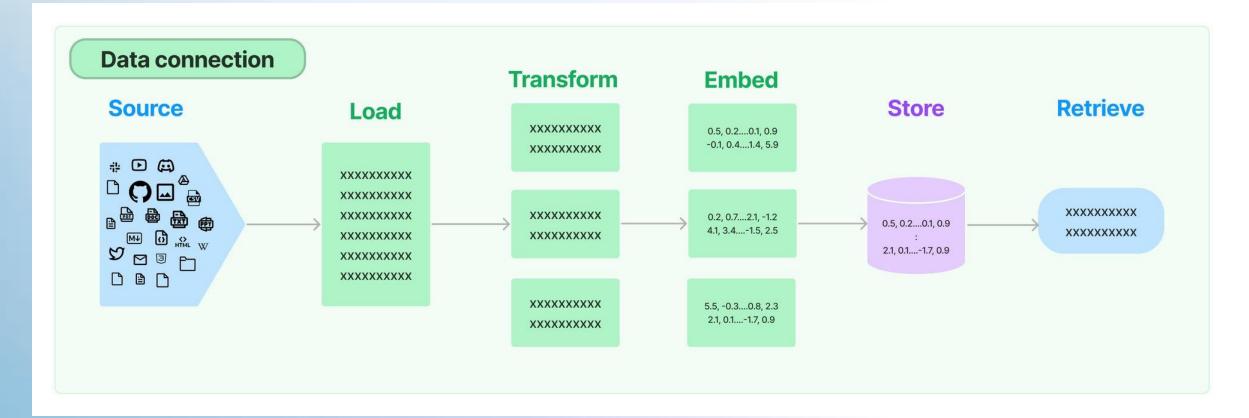


WEB RESOURCE



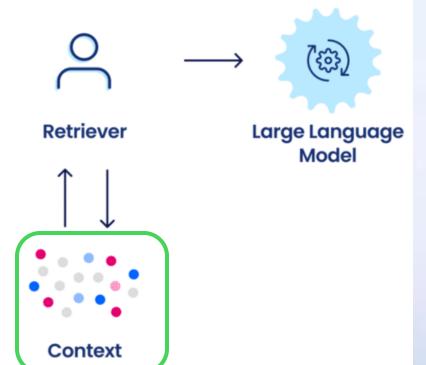
# **Context:**

#### **Pipeline of data transformation:**





#### **Retrieval Augmented Generation**



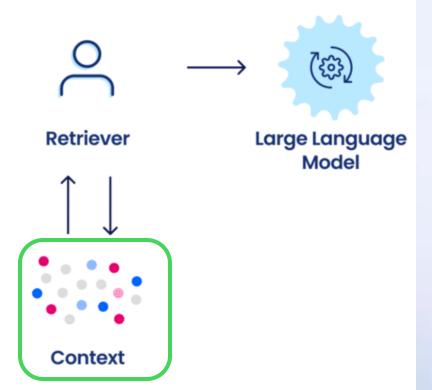
#### 'document\_name' contains some metadata related to document

 $\square$  document\_name ablafiles/microwave\_manual.txt files/microwave\_manual.txt files/microwave manual.txt files/microwave\_manual.txt files/microwave\_manual.txt files/microwave\_manual.txt files/microwave manual.txt files/microwave manual.txt files/microwave\_manual.txt files/microwave manual.txt files/microwave\_manual.txt files/microwave manual.txt files/microwave\_manual.txt files/microwave\_manual.txt files/microwave\_manual.txt files/microwave manual.txt files/microwave\_manual.txt files/microwave\_manual.txt files/microwave manual.txt files/microwave manual.txt files/microwave\_manual.txt

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[0.007929899, -0.0103833815, -0.06096753, -0.0041557755, -0.042187788, 0.01960363, -0.048827... ][0.019625312, 0.07142368, -0.0130586205, -0.018416643, -0.053729743, 0.0055418145, -0.053580 ... ][0.007216289, 0.049263548, -0.020450495, -0.012869484, -0.06486845, 0.012693635, -0.01578726...[0.022751395, 0.030335193, 0.016967906, -0.007043706, -0.013974892, 0.002911436, -0.01603399...[0.00082089123, 0.0022738606, -0.031506665, -0.014780904, -0.033373725, -0.008920405, 0.0358...][0.009636983, 0.023800073, -0.0062219356, -0.027741412, -0.02087623, 0.02209255, -0.01481803...[-0.007313127, 0.037871324, -0.03555582, -0.018665519, -0.02153417, 0.045306657, -0.03712521...[-0.0016354206, -0.013394599, -0.042835053, -0.040621832, 0.008080563, -0.018178385, 0.01598...[-0.01445577, 0.02532314, -0.022590334, -0.055830944, -0.026038265, 0.018963622, -0.00489733...[-0.0048348736, -0.026497386, -0.012877011, -0.07045187, -0.045177445, -0.017325213, 0.01469...[-0.012621777, 0.002297385, 0.0051053, 0.005726547, -0.026842805, 0.025588008, 0.043130554, 0...[0.03621854, -0.023570212, -0.010781076, 0.004781386, -0.046626166, -0.0075730784, 0.0137625...[-0.008847023,-0.021002412,-0.029569935,-0.030482586,-0.064433254,-0.009645594,0.01033... [-0.007398685, 0.051085625, -0.0047710882, -0.016767368, -0.023013156, -0.020438727, -0.0279...[-0.0017236428, 0.03179804, -0.002225171, -0.03276651, -0.064979605, -0.0032282274, 0.016648...[-0.01100224, 0.0060034357, -0.01566008, 0.008335399, -0.02978581, 0.036069326, -0.018156435...[0.026943313, 0.017219318, -0.012886474, -0.027789962, -0.059763357, 0.021788724, 0.01533926...[-0.0037010042,0.04981585,-0.024775151,-0.04551405,-0.011770189,-0.00916123,-0.0332725... [-0.018388081, -0.0135191595, -0.024863113, -0.06292922, -0.02891001, -0.023560518, 0.031161...][0.039369497, 0.04368466, -0.03406439, -0.02761703, -0.06604735, 0.020116266, -0.027363198, 0...[0.013555558, 0.064180404, -0.0076864623, -0.023551071, 0.0023152744, -0.0037841045, -0.0294...[-0.01830597,-0.012414614,0.0066955937,-0.030407824,0.0057764654,0.0033318396,0.018025... [0.015503083, 0.023018092, -0.028411057, -0.011204931, -0.054389197, 0.010772413, -0.0117928... ]

#### **Retrieval Augmented Generation**



#### 'text' is chunk of document data

files/microwave\_manual.txt files/microwave manual.txt files/microwave\_manual.txt files/microwave\_manual.txt files/microwave\_manual.txt files/microwave\_manual.txt files/microwave manual.txt files/microwave manual.txt files/microwave\_manual.txt files/microwave\_manual.txt

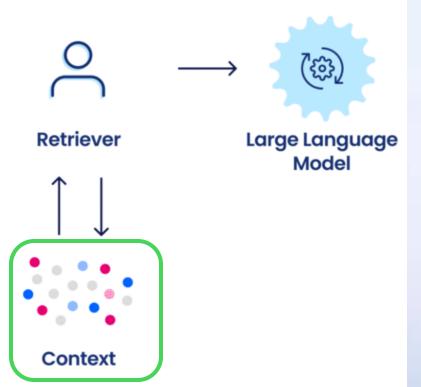
 $\square$  document\_name abla

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#### **Retrieval Augmented Generation**



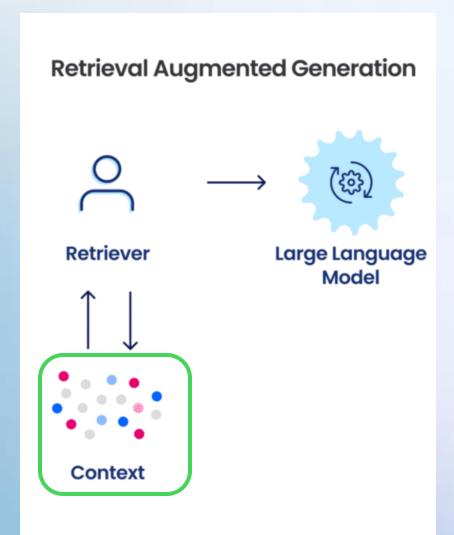
#### 'embedding' is is numerical representation of text chunk

files/microwave\_manual.txt files/microwave manual.txt files/microwave\_manual.txt

□ document\_name ▽

files/microwave\_manual.txt isk of electric shock.@Note:@1. If you have any questio... be adjusted or repaired by anyone except qualified serv... files/microwave manual.txt dangerous to repair or maintain the appliance by no oth... files/microwave\_manual.txt r.⊄10. Do not fry food in the oven. Hot oil can damage ... over, and then press START/QUICK@START button to resume... files/microwave\_manual.txt ation, the oven must have sufficient airflow. Allow minim... ectly connected to a low voltage power supply network w... files/microwave manual.txt and 15% time⊕the oven will stop working in one cycle. U... files/microwave\_manual.txt trim⇒should not be used.⇔3. Do not use recycled paper p... files/microwave\_manual.txt e dial to select others food code. #2. Press START/OUICK... files/microwave manual.txt and the door or allow soil or⊄cleaner residue to accumu… files/microwave\_manual.txt l sound every two minutes⊄until user presses any button… files/microwave\_manual.txt dd more as@needed. Foods severely overcooked can smoke files/microwave\_manual.txt )41. Input the first microwave cooking program. Do not p... files/microwave\_manual.txt d heating of warming pads, slippers, sponges, damp clot... select 24- hour clock. 42. Turn MENU/TIME dial to set ho… . The microwave oven shall not be placed in a cabinet.⇔... files/microwave\_manual.txt m(D)@25Litres@Approx.14kg@@Rated Microwave Power Output... files/microwave\_manual.txt fore using the appliance and keep for future reference... auto cooking menus. START/OUICK START (the dial) Press... files/microwave manual.txt re that they are suitable for use in microwave@oven.@16... files/microwave\_manual.txt or defrosting, cooking and steaming of food only.435. U... files/microwave\_manual.txt )440% (40)430% (30)420% (20)410% (10)40% (00)44NOTE: Yo... files/microwave\_manual.txt mer or@separate remote-control system.@30. The microwav...

[0.007929899, -0.0103833815, -0.06096753, -0.0041557755, -0.042187788, 0.01960363, -0.048827...[0.019625312, 0.07142368, -0.0130586205, -0.018416643, -0.053729743, 0.0055418145, -0.053580...][0.007216289,0.049263548,-0.020450495,-0.012869484,-0.06486845,0.012693635,-0.01578726... [0.022751395, 0.030335193, 0.016967906, -0.007043706, -0.013974892, 0.002911436, -0.01603399...[0.00082089123, 0.0022738606, -0.031506665, -0.014780904, -0.033373725, -0.008920405, 0.0358...[0.009636983, 0.023800073, -0.0062219356, -0.027741412, -0.02087623, 0.02209255, -0.01481803...[-0.007313127, 0.037871324, -0.03555582, -0.018665519, -0.02153417, 0.045306657, -0.03712521...[-0.0016354206, -0.013394599, -0.042835053, -0.040621832, 0.008080563, -0.018178385, 0.01598...[-0.01445577, 0.02532314, -0.022590334, -0.055830944, -0.026038265, 0.018963622, -0.00489733... [0.025805177, 0.016437544, 0.0084770955, -0.011957668, 0.008708227, 0.017008575, 6.410294e - 0... ][-0.0048348736, -0.026497386, -0.012877011, -0.07045187, -0.045177445, -0.017325213, 0.01469...[0.011242517.0.041140627.-0.027245961.0.016288064.-0.012025224.0.002053797.0.009373076... [-0.012621777,0.002297385,0.0051053,0.005726547,-0.026842805,0.025588008,0.043130554,0... [0.03621854, -0.023570212, -0.010781076, 0.004781386, -0.046626166, -0.0075730784, 0.0137625...[-0.008847023,-0.021002412,-0.029569935,-0.030482586,-0.064433254,-0.009645594,0.01033... [-0.007398685, 0.051085625, -0.0047710882, -0.016767368, -0.023013156, -0.020438727, -0.0279...][-0.0017236428, 0.03179804, -0.002225171, -0.03276651, -0.064979605, -0.0032282274, 0.016648...[-0.01100224, 0.0060034357, -0.01566008, 0.008335399, -0.02978581, 0.036069326, -0.018156435... [0.026943313, 0.017219318, -0.012886474, -0.027789962, -0.059763357, 0.021788724, 0.01533926..., -0.012886474, -[-0.0037010042,0.04981585,-0.024775151,-0.04551405,-0.011770189,-0.00916123,-0.0332725... [-0.018388081.-0.0135191595.-0.024863113.-0.06292922.-0.02891001.-0.023560518.0.031161... [0.039369497, 0.04368466, -0.03406439, -0.02761703, -0.06604735, 0.020116266, -0.027363198, 0...[0.013555558, 0.064180404, -0.0076864623, -0.023551071, 0.0023152744, -0.0037841045, -0.0294...[-0.01830597,-0.012414614,0.0066955937,-0.030407824,0.0057764654,0.0033318396,0.018025... [0.015503083, 0.023018092, -0.028411057, -0.011204931, -0.054389197, 0.010772413, -0.0117928... ]



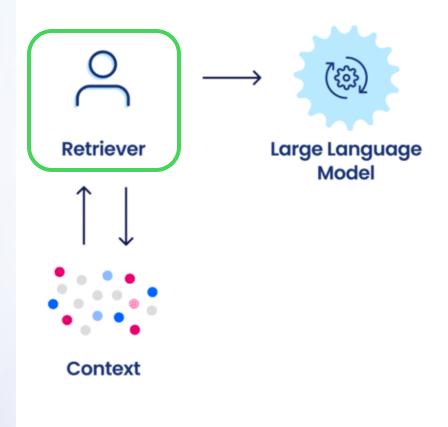
We retrieve here the chunks of information via search (similarity, semantic, etc...) by generated embedding from user request in DB



## **Augmentation:**

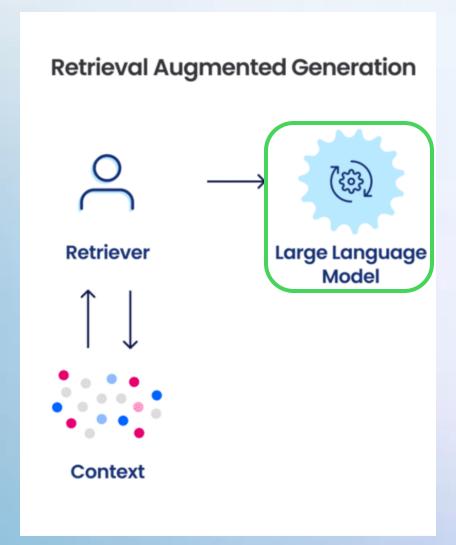
Now, we join user request and with collected data (context)

#### **Retrieval Augmented Generation**





## **Generation:**



Now, with user request and some retrieved context we generate some content with LLM



## Search

<Let's explore how search is working>



## Search:

Search can be performed in different ways

The most common are Similarity and Semantic searches

We will practice with Similarity search



## Search:

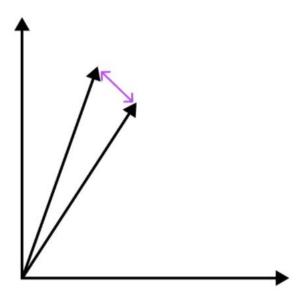
Measures the straight-line (geometric) distance between two points in vector space.

The smaller the distance, the more similar the vectors

In Postgres use <-> operator for similarity search with Euclidean distance

#### **Euclidean Distance**

$$d(\mathbf{p,q}) = \sqrt{\sum_{i=1}^n (q_i - p_i)^2}$$

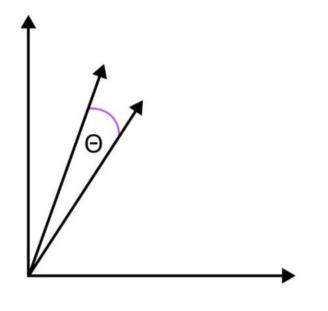




## Search:

#### **Cosine Similarity**

$$\cos( heta) = rac{\mathbf{A} \cdot \mathbf{B}}{\|\mathbf{A}\| \|\mathbf{B}\|} = rac{\sum\limits_{i=1}^n A_i B_i}{\sqrt{\sum\limits_{i=1}^n A_i^2} \sqrt{\sum\limits_{i=1}^n B_i^2}}$$



Measures the cosine of the angle between two vectors

It ranges from -1 (opposite), 0 (no similarity), to 1 (similar)

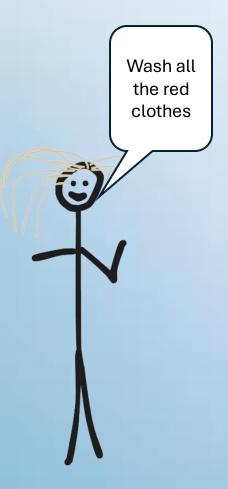
In Postgres use <=> operator for similarity search with Cosine similarity

# Real live sample

<Let's overview RAG over some real live example>







Retrieval

Wash all the red clothes







Retrieval

Wash all the red clothes







Wash all the red clothes

Retrieval

Got it.
Now I'll
retrieve all
the red
clothes
from this
bucket

Here are all the red clothes that I was able to find



Augmentation







Retrieval

Wash all the red



Got it. Now I'll retrieve all the red clothes from this bucket

Here are all the red clothes that I was able to find



Augmentation

'Wash all the red clothes' + the clothes



Generation





Retrieval

**Augmentation** 

Generation

Wash all the red clothes



Here are all the red clothes that I was able to find





I've made the laundry with all the provided red stuff. There were a couple of rags and a pair of shoes. Any other instructions?



CODEUS

# Search with topK and score

<Let's explore how search with the topK and score filters>



Wash all the red clothes



Washal

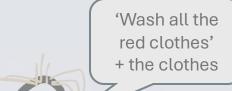


Got it.
Now I'll
retrieve all
the red
clothes
from this
bucket.
topK = 1

Here are all the red clothes that I was able to find



Augmentation





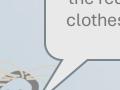
Generation





Wash all the red clothes





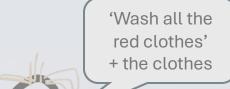
Retrieval

Got it. Now I'll retrieve all the red clothes from this bucket. topK = 10 <u>score</u> = 0.1

Here are all the red clothes that I was able to find



**Augmentation** 





Generation





Wash all the red clothes



Retrieval

Now I'll
retrieve all
the red
clothes
from this
bucket.
topK = 10
score = 0.6

Got it.

Here are all the red clothes that I was able to find



**Augmentation** 



Generation





Wash all the red clothes



Retrieval

Got it.
Now I'll
retrieve all
the red
clothes
from this
bucket.

topK = 10 score = 0.9 Here are all the red clothes that I was able to find



Augmentation



Generation





## Thankyou

- Author: Pavlo Khshanovskyi
- My LinkedIn: <a href="https://www.linkedin.com/in/khshanovskyi/">https://www.linkedin.com/in/khshanovskyi/</a>
- Date: April 2025
- Join Codeus community in Discord
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