## Homework 0. Solutions

1 Show that vectors  $\{\mathbf{a}_1, \mathbf{a}_2 \dots, \mathbf{a}_m\}$  in vector space V are linearly dependent if at least one of these vectors is equal to zero.

WLOG suppose that  $\mathbf{a}_1 = 0$ . Then

$$\lambda \mathbf{a}_1 + 0 \cdot \mathbf{a}_2 + \ldots + 0 \cdot \mathbf{a}_n = 0$$

where  $\lambda$  is an arbitrary non-zero real number  $\lambda \neq 0$ . We see that there exists a linear combinations of vectors  $\{\mathbf{a}_1, \mathbf{a}_2, \dots, \mathbf{a}_m\}$  which is equal to zero and one of the coefficients  $\{\lambda, 0, \dots, 0\}$  is not equal to zero. Hence vectors  $\{\mathbf{a}_1, \mathbf{a}_2, \dots, \mathbf{a}_m\}$  are linearly dependent.

**2** Show that the ordered set  $\{e_1, e_2, e_3\}$  of vectors is a basis in  $\mathbb{R}^3$  in the case if

$$\begin{array}{lll} \mathbf{e}_1 &= (1, & 2, & 3) \\ \mathbf{e}_2 &= (0, & 1, & 2) \\ \mathbf{e}_3 &= (0, & 0, & 1) \end{array}$$

We know that  $\mathbf{R}^3$  is 3-dimensional space. Show that vectors  $\mathbf{e}_1$ ,  $\mathbf{e}_2$ ,  $\mathbf{e}_3$  defined above are linearly independent. Let  $\lambda^1$ ,  $\lambda^2$ ,  $\lambda^3$  are coefficients such that  $\lambda^1\mathbf{e}_1 + \lambda^2\mathbf{e}_2 + \lambda^3\mathbf{e}_3 = 0$ , i.e.

$$\lambda^{1}(1,2,3) + \lambda^{2}(0,1,2) + \lambda^{3}(0,0,1) = (\lambda^{1}, 2\lambda^{1} + \lambda^{2}, 3\lambda^{1} + 2\lambda^{2} + \lambda^{3}) = 0,$$

i.e.

$$\lambda^{1} = 0$$
,  $2\lambda^{1} + \lambda^{2} = 0$ ,  $3\lambda^{1} + 2\lambda^{2} + \lambda^{3} = 0$ .

then  $\lambda^1 = 0$ . This implies that  $\lambda^2 = 0$  and this implies that  $\lambda^3 = 0$ . We proved that 3 vectors in 3-dimensional vector space  $\mathbf{R}^3$  are linearly independent. Hence  $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$  is a basis.

**3** Show that any three vectors  $\{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3\}$  in  $\mathbf{R}^2$  are linearly dependent.

Consider arbitrary three vectors in  $\mathbb{R}^2$ 

$$\mathbf{x}_1 = (a^1, a^2)$$
  
 $\mathbf{x}_2 = (b^1, b^2)$   
 $\mathbf{x}_3 = (c^1, c^2)$ 

If vector  $\mathbf{x}_1 = (a_1, a_2) = 0$  then nothing to prove. (See exercise 1). Let  $\mathbf{x}_1 \neq 0$ . WLOG suppose  $a_1 \neq 0$ . Consider vectors

$$\mathbf{x}_2' = \mathbf{x}_2 - \frac{b_1}{a_1} \mathbf{x}_1 = (b^1, b^2) - \frac{b_1}{a_1} (a_1, a_2) = (0, b_2')$$
  
$$\mathbf{x}_3' = \mathbf{x}_3 - \frac{c_1}{a_1} \mathbf{x}_1 = (c^1, c^2) - \frac{c_1}{a_1} (a_1, a_2) = (0, c_2')$$

We see that vectors  $\mathbf{x}_2', \mathbf{x}_3'$  are proportional—i.e. they are linearly dependent: there exist  $\mu_2 \neq 0$  or  $\mu_3 \neq 0$  such that  $\mu_2 \mathbf{x}_2' + \mu_3 \mathbf{x}_3' = 0$  E.g. we can take  $\mu_2 = c_2', \ \mu_3 = -b_2'$  in the case if  $c_2' \neq 0$  or  $b_2' \neq 0$  (if  $c_2' = b_2' \neq 0$  then we can take coefficients  $\mu_1, \mu_2$  any real numbers. ) We have:

$$0 = \mu_2 \mathbf{x}_2' + \mu_3 \mathbf{x}_3' = \mu_2 \left( \mathbf{x}_3 - \frac{c_1}{a_1} \mathbf{x}_1 \right) + \mu_3 \left( \mathbf{x}_3 - \frac{c_1}{a_1} \mathbf{x}_1 \right) = \mu_2 \mathbf{x}_2 + \mu_3 \mathbf{x}_3 - \left( \frac{\mu_2 b_1}{a_1} + \frac{\mu_3 c_1}{a_1} \right) \mathbf{x}_1 = 0,$$

where  $\mu_2 \neq 0$  or  $\mu_3 \neq 0$ . Hence vectors  $\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3$  are linearly dependent \*.

(Compare with the solution of general statement in the next exercise.)

4 Let 3 vectors  $\{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3\}$  in vector space V can be expressed as a linear combination of 2 vectors  $\{\mathbf{a}, \mathbf{b}\}$  of this vector space, i.e. 3 vectors  $\{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3\}$  belong to the span of 2 vectors  $\{\mathbf{a}, \mathbf{b}\}$ . Prove that three vectors  $\{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3\}$  are linearly dependent.

<sup>\*</sup> You may say: why so long proof? We know already that dimension of  $\mathbb{R}^2$  is equal to 2 then by definition any three vectors in  $\mathbb{R}^2$  have to be linear dependent. This is proof. yes, but...This "proof" is in fact "circulus vicious" since the proof of the fact that dim  $\mathbb{R}^2 = 2$  is founded on the statement of this exercise.

Let

$$\begin{cases}
\mathbf{x}_1 = \lambda_1 \mathbf{a} + \mu_1 \mathbf{b} \\
\mathbf{x}_2 = \lambda_2 \mathbf{a} + \mu_2 \mathbf{b} \\
\mathbf{x}_3 = \lambda_3 \mathbf{a} + \mu_3 \mathbf{b}
\end{cases} \tag{1}$$

If one of vectors is equal to zero then nothing to prove (see exercise 1).

 $\mathbf{x}_1 \neq 0$ . WLOG suppose that  $\lambda_1 \neq 0$ . Thus vector **a** can be expressed as a linear combination of vectors  $\mathbf{x}_1$  and **b**:

$$\mathbf{a} = \frac{1}{\lambda_1} \mathbf{x}_1 - \frac{\mu_1}{\lambda_1} \mathbf{b} \tag{2}$$

. (If  $\lambda_1 = 0$  then  $\mu \neq 0$  and we express the vector **b** as a linearly combination of vectors  $\mathbf{x}_1$  and **a**). Using relation (2) we express vector  $\mathbf{x}_2$  as linear combinations of vectors **b** and  $\mathbf{x}_1$ :

$$\mathbf{x}_2 = \lambda_2 \mathbf{a} + \mu_2 \mathbf{b} = \lambda_2 \left( \frac{1}{\lambda_1} \mathbf{x}_1 - \frac{\mu_1}{\lambda_1} \mathbf{b} \right) + \mu_2 \mathbf{b} = \lambda_2' \mathbf{x}_1 + \mu_2' \mathbf{b},$$
 (3)

If  $\mu'_2 = 0$  then everything is proved: vectors  $\mathbf{x}_1, \mathbf{x}_2$  are proportional and they are linearly dependent, hence vectors  $\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3$  are linearly dependent too. If  $\mu'_2 \neq 0$  we express vector  $\mathbf{b}$  via vectors  $\mathbf{x}_1$  and  $\mathbf{x}_2$ :

$$\mathbf{b} = \frac{1}{\mu_2'} \mathbf{x}_2 - \frac{\lambda_2'}{\mu_2'} \mathbf{x}_1 \tag{4}$$

and using relations (4) and (2) we express vector  $\mathbf{x}_3$  in (1) as a linear combinations of vectors  $\mathbf{x}_1$  and  $\mathbf{x}_2$ , thus proving that vectors  $\{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3\}$  are linearly dependent:

$$\mathbf{x}_3 = \lambda_3 \mathbf{a} + \mu_3 \mathbf{b} = \lambda_3 \left( \frac{1}{\lambda_1} \mathbf{x}_1 - \frac{\mu_1}{\lambda_1} \mathbf{b} \right) + \mu_3 \left( \frac{1}{\mu_2'} \mathbf{x}_2 - \frac{\lambda_2'}{\mu_2'} \mathbf{x}_1 \right) =$$
$$\lambda_3'' \mathbf{x}_1 + \mu_3'' \mathbf{x}_2$$

Vector  $\mathbf{x}_3$  is a linear combination of vectors  $\mathbf{x}_2, \mathbf{x}_3$ . Hence vectors  $\mathbf{x}_1 y, \mathbf{x}_2, \mathbf{x}_3$  are linearly dependent.

In a similar way one can prove that any m+1 vectors are linearly dependent if they belong to the span of m vectors

- **5** Let  $\{e_1, e_2, e_3\}$  be a basis in 3-dimensional vector space V.
- a) Show that all vectors  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$  are not equal to zero.
- b) Show that an arbitrary vector  $\mathbf{x} \in V$  can be expressed as a linear combination of the basis vectors  $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$ .
- a) Suppose one of these vectors is equal to zero:  $\mathbf{e}_1 = 0$ . Then the vectors  $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$  are linearly dependent. (See the exercise 1). According to definition of basis 4 vectors  $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3, \mathbf{x}\}$  are linearly dependent. Hence vector  $\mathbf{x}$  can be expressed via the vectors  $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$ . Indeed there exist coefficients  $\lambda_1, \lambda_2, \lambda_3, \lambda_4$  such that

$$\lambda_1 \mathbf{e}_1 + \lambda_2 \mathbf{e}_2 + \lambda_3 \mathbf{e}_3 + \lambda_4 \mathbf{x} = 0 \tag{6}$$

and at least one of these coefficients is not equal to zero.

Prove that  $\lambda_4 \neq 0$ . Suppose  $\lambda_4 = 0$ . Then it follows from (6) that vectors  $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$  are linearly dependent. Contradiction. Hence  $\lambda_4 \neq 0$  and  $\mathbf{x}$  can be expressed via  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$ :

$$\mathbf{x} = -\frac{\lambda_1}{\lambda_4} \mathbf{e}_1 - \frac{\lambda_2}{\lambda_4} \mathbf{e}_2 - \frac{\lambda_3}{\lambda_4} \mathbf{e}_3$$

We proved that vector  $\mathbf{x}$  is a linear combination of basis vectors.

One can prove also the uniqueness of the expansion. Suppose

$$\mathbf{x} = a^1 \mathbf{e}_1 + a^2 \mathbf{e}_2 + a^3 \mathbf{e}_3 = a^{1'} \mathbf{e}_1 + a^{2'} \mathbf{e}_2 + a^{3'} \mathbf{e}_3$$
.

Then

$$0 = \mathbf{x} - \mathbf{x} = (a^1 - a1')\mathbf{e}_1 + (a^2 - a^{2'})\mathbf{e}_2 + (a^3 - a^{3'})\mathbf{e}_3.$$

On the other hand vectors  $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$  are linearly independent. Hence all coefficients  $(a^1 - a^{1'}), (a^2 - a^{2'}), (a^3 - a^{3'})$  are equal to zero:

$$a^{1} - a^{1'} = a^{2} - a^{2'} = a^{3} - a^{3'} = 0$$
, i.e.  $a^{1} = a^{1'}, a^{2} = a^{2'}, a^{3} = a^{3'}$ .

- **6** Let  $\{a, b\}$  be two vectors in the linear space V such that
- i) these vectors are linearly independent
- ii) for an arbitrary vector  $\mathbf{x} \in V$  vectors  $\{\mathbf{a}, \mathbf{b}, \mathbf{x}\}$  are linearly dependent.

What is a dimension of the vector space V?

Is an ordered set  $\{a, b\}$  a basis in the vector space V?

Recall that the dimension of vector space V is equal to n if there exist n linearly independent vectors and any n+1 vectors are linearly dependent. Show that the dimension of the vector space under consideration is equal to 2.

On one hand there exist two linearly dependent vectors **a** and **b**. This means that dimension of V is greater or equal than 2:  $\dim V \geq 2$ .

To prove that  $\dim V = 2$  it remains to prove that any three vectors are linearly dependent.

Show first that arbitrary vector  $\mathbf{x} \in V$  can be expressed via vectors  $\mathbf{a}, \mathbf{b}$ . Indeed vectors  $\{\mathbf{a}, \mathbf{b}, \mathbf{x}\}$  are linearly dependent, hence

$$\mu_1 \mathbf{a} + \mu_2 \mathbf{b} + \mu_3 \mathbf{x} = 0$$
, where  $\mu_1 \neq 0$ , or  $\mu_2 \neq 0$  or  $\mu_3 \neq 0$ 

If  $\mu_3 = 0$  then  $\mu_1 \neq 0$ , or  $\mu_2 \neq 0$  and  $\mu_1 \mathbf{a} + \mu_2 \mathbf{b} = 0$ , i.e. vectors  $\mathbf{a}, \mathbf{b}$  are linearly dependent. Contradiction. Hence  $\mu_3 \neq 0$ , that is a vector  $\mathbf{x}$  can be expressed as a linear combination of vectors  $\mathbf{a}, \mathbf{b}$ , i.e. it belongs to the span of the vectors  $(\mathbf{a}, \mathbf{b})$ .

Let  $\{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3\}$  be a set of arbitrary 3 vectors. We just proved that any of these vectors belongs to the

span of the vectors 
$$\{\mathbf{a}, \mathbf{b}\}$$
 (is a linear combination of the vectors  $\mathbf{a}, \mathbf{b}$ ), i.e. 
$$\begin{cases} \mathbf{x}_1 = a^1 \mathbf{a} + b^1 \mathbf{b} \\ \mathbf{x}_2 = a^2 \mathbf{a} + b^2 \mathbf{b} \\ \mathbf{x}_3 = a^3 \mathbf{a} + b^2 \mathbf{b} \end{cases}$$

Hence these three vectors  $\{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3\}$  are linearly dependent (see exercise 3). Thus we proved that any three vectors  $\{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3\}$  are linearly dependent. Hence the dimension of the space V is equal to 2. The vectors  $\{\mathbf{a}, \mathbf{b}\}$  are two linearly independent vectors in 2-dimensional vector space V. Hence it is a basis.

7 Consider the vector space V of all polynomials of order  $\leq 2$ :

$$V = \{ax^2 + bx + c, a, b, c, \in \mathbf{R}\}.$$

- a) Show that the polynomials  $\{1, x, x^2\}$  are linearly independent.
- b) Show that for arbitrary p, q, the polynomials  $\{1, x, x^2 + px + q\}$  are linearly independent.
- c) Show that arbitrary four polynomials in this space are linearly dependent.

What is a dimension of this vector space?

a) Consider linear combination of these vectors which is equal to zero:

$$c_1 + c_2 x + c_3 x^2 \equiv 0$$
, i.e.  $c_1 + c_2 x + c_3 x^2 = 0$ , for arbitrary x

We have  $x = 0 \rightarrow c_1 = 0$ ,  $x = 1 \rightarrow c_2 + c_3 = 0$  and  $x = -1 \rightarrow -c_2 + c_3 = 0$ . Hence  $c_1 = c_2 + c_3 = -c_2 + c_3 = 0$ , i.e.  $c_1 = c_2 = c_3 = 0$ . Hence 'vectors'  $1, x, x^2$  are linearly independent.

b) Suppose  $c_1 + c_2 x + c_3 (x^2 + px + q) = 0$ . Then

$$c_1 + c_2 x + c_3 (x^2 + px + q) = (c_1 + c_3 q) + (c_2 + c_3 p)x + c_3 x^2 = 0.$$

On the other hand polynomials  $\{1, x, x^2\}$  are linearly independent according the proof above. Hence

$$c_1 + c_3 q = 0$$
,  $c_2 + c_3 q = 0$ ,  $c_3 = 0$ , i.e.  $c_3 = c_2 = c_1 = 0$ .

Thus we proved that polynomials  $1, x, x^2 + px + q$  are linearly independent.

c<sup>†</sup> Consider arbitrary four polynomials

$$P_1 = a_0 x^2 + a_1 x + a_2$$

$$P_2 = b_0 x^2 + b_1 x + b_2$$

$$P_3 = c_0 x^2 + c_1 x + c_2$$

$$P_4 = d_0 x^2 + d_1 x + d_2$$

We see that these four polynomials belong to the span of three linearly independent vectors. Hence (see exercise 3) they are linearly dependent, i.e. there exist coefficients  $\lambda_1, \lambda_2, \lambda_3, \lambda_4$  such that at least one of coefficients is not equal to zero and

$$\lambda_1 P_1 + \lambda_2 P_2 + \lambda_3 P_3 + \lambda_4 P_4 \equiv 0.$$

**8** Let  $\{e_1, e_2, e_3\}$  be a basis of 3-dimensional vector space V.

Is a set of vectors  $\{\mathbf{e}'_1, \mathbf{e}'_2, \mathbf{e}'_3\}$  a basis of V in the case if

- a)  $\mathbf{e}_{1}' = \mathbf{e}_{2}, \, \mathbf{e}_{2}' = \mathbf{e}_{1}, \, \mathbf{e}_{3}' = \mathbf{e}_{3};$ b)  $\mathbf{e}_{1}' = \mathbf{e}_{1}, \, \mathbf{e}_{2}' = \mathbf{e}_{1} + 3\mathbf{e}_{3}, \, \mathbf{e}_{3}' = \mathbf{e}_{3};$ c)  $\mathbf{e}_{1}' = \mathbf{e}_{1} \mathbf{e}_{2}, \, \mathbf{e}_{2}' = 3\mathbf{e}_{1} 3\mathbf{e}_{2}, \, \mathbf{e}_{3}' = \mathbf{e}_{3};$ d)  $\mathbf{e}_{1}' = \mathbf{e}_{2}, \, \mathbf{e}_{2}' = \mathbf{e}_{1}, \, \mathbf{e}_{3}' = \mathbf{e}_{1} + \mathbf{e}_{2} + \lambda \mathbf{e}_{3}$  (where  $\lambda$  is an arbitrary coefficient)?

To analyse the cases we use the definition of basis: 3 vectors in 3-dimensional space form a basis if and only if these vectors are linearly independent.

Case a) Vectors  $\mathbf{e}'_1 = \mathbf{e}_2, \mathbf{e}'_2 = \mathbf{e}_1, \mathbf{e}'_3 = \mathbf{e}_3$  are linearly independent, since  $\{\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3\}$  is a basis. Hence  $\{\mathbf{e}'_1, \mathbf{e}'_2, \mathbf{e}'_3\}$  is a basis too.

Case b) Vectors  $\mathbf{e}'_1 = \mathbf{e}_1, \mathbf{e}'_2 = \mathbf{e}_1 + 3\mathbf{e}_3, \mathbf{e}'_3 = \mathbf{e}_3$  are linearly dependent. Indeed

$$\mathbf{e}_1' - \mathbf{e}_2' + 3\mathbf{e}_3' = \mathbf{e}_1 - (\mathbf{e}_1 + 3\mathbf{e}_3) + 3\mathbf{e}_3 = 0.$$

Hence it is not a basis.

Case c) First two vectors  $\mathbf{e}'_1 = \mathbf{e}_1 - \mathbf{e}_2$ ,  $\mathbf{e}'_2 = 3\mathbf{e}_1 - 3\mathbf{e}_2$  are already linearly dependent:  $\mathbf{e}'_1 = 3\mathbf{e}'_2$ . Hence these three vectors do not form a basis.

Case d) Check are vectors linearly independent or not. Let  $c_1\mathbf{e}_1' + c_2\mathbf{e}_2' + c_3\mathbf{e}_3' = 0$ , i.e.

$$c_1\mathbf{e}'_1 + c_2\mathbf{e}'_2 + c_3\mathbf{e}'_3 = c_1\mathbf{e}_2 + c_2\mathbf{e}_1 + c_3(\mathbf{e}_1 + \mathbf{e}_2 + \lambda\mathbf{e}_3) = (c_2 + c_3)\mathbf{e}_1 + (c_1 + c_3)\mathbf{e}_2 + c_3\lambda\mathbf{e}_3 = 0$$
.

I-st case  $\lambda \neq 0$ . We have  $c_2 + c_3 = c_1 + c_3 = \lambda c_3 = 0$ . Hence  $c_3 = 0, c_1 = 0, c_2 = 0$ . These three vectors are linearly independent. This means that ordered triple  $\{e_1', e_2', e_3'\}$  is a basis.

II-nd case  $\lambda = 0$ . We have  $c_2 + c_3 = c_1 + c_3 = 0$ . Hence  $c_3$  can be an arbitrary number and  $c_1 = -c_3, c_2 = -c_3$ .  $c_3$  These three vectors are linearly dependent. This means that ordered triple  $\{\mathbf{e}_1', \mathbf{e}_2', \mathbf{e}_3'\}$  is not a basis.