## Homework 8. Solutions.

- 1. Find coordinate basis vectors, first quadratic form, unit normal vector field, shape operator and Gaussian and mean curvatures for
  - a) sphere of the radius R:  $x^2 + y^2 + z^2 = R^2$ ,

$$\mathbf{r}(\theta,\varphi) \qquad \begin{cases} x = R\sin\theta\cos\varphi \\ y = R\sin\theta\sin\varphi \\ z = R\cos\theta \end{cases} \qquad (0 \le \varphi < 2\pi, 0 \le \theta \le \pi),$$

b) cylinder  $x^2 + y^2 = R^2$ ,

$$\mathbf{r}(h,\varphi) \qquad \begin{cases} x = R\cos\varphi \\ y = R\sin\varphi \\ z = h \end{cases} \qquad (0 \le \varphi < 2\pi, -\infty < h < \infty)$$

c) cone  $x^2 + y^2 - k^2 z^2 = 0$ ,

$$\mathbf{r}(h,\varphi) \qquad \begin{cases} x = kh\cos\varphi \\ y = kh\sin\varphi \\ z = h \end{cases} \qquad (0 \le \varphi < 2\pi, -\infty < h < \infty)$$

d) saddle F = xy (you may perform the calculations only at origin).

$$\mathbf{r}(u, v) \qquad \begin{cases} x = u \\ y = v \\ z = uv \end{cases} \qquad (-\infty < u < \infty, -\infty < v < \infty)$$

Solution

a) sphere  $x^2 + y^2 + z^2 = R^2$  (of the radius R):

$$\mathbf{r}(\theta, \varphi) \qquad \begin{cases} x = R \sin \theta \cos \varphi \\ y = R \sin \theta \sin \varphi \\ z = R \cos \theta \end{cases}$$

$$(0 \le \varphi < 2\pi, \ 0 \le \theta \le \pi),$$

$$\mathbf{r}_{\theta} = \frac{\partial \mathbf{r}(\varphi, \theta)}{\partial \theta} = \begin{pmatrix} R \cos \theta \cos \varphi \\ R \cos \theta \sin \varphi \\ -R \sin \theta \end{pmatrix}, \ \mathbf{r}_{\varphi} = \frac{\partial \mathbf{r}(\varphi, \theta)}{\partial \varphi} = \begin{pmatrix} -R \sin \theta \sin \varphi \\ R \sin \theta \cos \varphi \\ 0 \end{pmatrix}$$
$$\mathbf{n}(\theta, \varphi) = \frac{\mathbf{r}(\theta, \varphi)}{R} = \begin{pmatrix} \sin \theta \cos \varphi \\ \sin \theta \sin \varphi \\ \cos \theta \end{pmatrix}$$
(1)

(Sometimes we denote  $\mathbf{r}_{\theta}$  by  $\partial_{\theta}$  and  $\mathbf{r}_{\varphi}$  by  $\partial_{\varphi}$ .)

Check that  $\mathbf{n}(\theta, \varphi)$  is indeed unit normal vector (in fact this is obvious from geometric considerations):

$$(\mathbf{n}, \mathbf{n}) = \sin^2 \theta (\cos^2 \varphi + \sin^2 \varphi) + \cos^2 \theta = 1$$

$$(\mathbf{n}, \mathbf{r}_{\theta}) = R \sin \theta \cos \theta (\cos^2 \varphi + \sin^2 \varphi) - R \sin \theta \cos \theta = 0, \ (\mathbf{n}, \mathbf{r}_{\varphi}) = R \sin^2 \theta (-\cos \varphi \sin \varphi + \cos \varphi \sin \varphi) = 0.$$

Unit normal vector is defined up to a sign;  $-\mathbf{n}$  is unit normal vector too.

Calculate now first quadratic form.  $(\mathbf{r}_{\theta}, \mathbf{r}_{\theta}) = R^2 \cos^2 \theta (\cos^2 \varphi + \sin^2 \varphi) + R^2 \sin^2 \theta = R^2, (\mathbf{r}_{\theta}, \mathbf{r}_{\varphi}) = 0, (\mathbf{r}_{\varphi}, \mathbf{r}_{\varphi}) = R^2 \sin^2 \theta (\sin^2 \varphi + \cos^2 \varphi) = R^2 \sin^2 \theta.$  Thus

$$\begin{pmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{pmatrix} = \begin{pmatrix} (\mathbf{r}_{\theta}, \mathbf{r}_{\theta}) & (\mathbf{r}_{\theta}, \mathbf{r}_{\varphi}) \\ (\mathbf{r}_{\varphi}, \mathbf{r}_{\theta}) & (\mathbf{r}_{\varphi}, \mathbf{r}_{\varphi}) \end{pmatrix} = \begin{pmatrix} R^2 & 0 \\ 0 & R^2 \sin^2 \theta \end{pmatrix}$$

$$dl^{2} = G_{11}d\theta^{2} + 2G_{12}d\theta d\varphi + G_{22}d\varphi^{2} = R^{2}d\theta^{2} + R^{2}\sin^{2}\theta d\varphi^{2}$$

The length of the curve  $\mathbf{r}(t) = \mathbf{r}(\theta(t), \varphi(t))$  with  $\theta = \theta(t), \varphi = \varphi(t), t_1 \le t \le t_2$  is given by the integral:

$$\int_{t_1}^{t_2} |\mathbf{v}(t)| dt = \int_{t_1}^{t_2} \sqrt{G_{11}\dot{\theta}^2 + 2G_{12}\dot{\theta}\dot{\varphi} + G_{22}\dot{\varphi}^2} dt = \int_{t_1}^{t_2} \sqrt{R^2\dot{\theta}^2 + R^2\sin^2\theta\dot{\varphi}^2} dt \tag{1b}$$

Now calculate shape operator and Gaussian and mean curvatures for sphere:

By the definition (see lecture notes) the action of shape operator on any tangent vector  $\mathbf{v}$  is given by the formula  $S\mathbf{v} = -\partial_{\mathbf{v}}\mathbf{n}$ . We know that for sphere  $\mathbf{n} = \frac{\mathbf{r}}{R}$  (see the equations (1) above). Hence for basis vectors  $\mathbf{r}_{\theta} = \partial_{\theta}, \mathbf{r}_{\varphi} = \partial_{\varphi}$  we have

$$S\mathbf{r}_{\theta} = -\partial_{\theta}\mathbf{n}(\theta, \varphi) = -\partial_{\theta}\left(\frac{\mathbf{r}(\theta, \varphi)}{R}\right) = -\left(\frac{\partial_{\theta}\mathbf{r}(\theta, \varphi)}{R}\right) = -\frac{\mathbf{r}_{\theta}}{R}$$

and

$$S\mathbf{r}_{\varphi} = -\partial_{\varphi}\mathbf{n}(\theta, \varphi) = -\partial_{\varphi}\left(\frac{\mathbf{r}(\theta, \varphi)}{R}\right) = -\left(\frac{\partial_{\varphi}\mathbf{r}(\theta, \varphi)}{R}\right) = -\frac{\mathbf{r}_{\varphi}}{R}$$

We see that shape operator is equal to  $S = -\frac{I}{R}$ , where I is an identity operator. Its matrix in the basis  $\partial_{\theta}, \partial_{\varphi}$  is equal to

$$-\left(\begin{array}{cc} \frac{1}{R} & 0\\ 0 & \frac{1}{R} \end{array}\right) \, .$$

In the case if we choose the opposite direction for unit normal vector then we will come to the same answer just with changing the signs: if  $\mathbf{n} \to -\mathbf{n}$ ,  $S \to -S$ .

We see that principal curvatures, i.e. eigenvalues of shape operator are the same:

$$\lambda_1 = \lambda_2 = -\frac{1}{R}$$
, i.e.  $\kappa_1 = \kappa_2 = -\frac{1}{R}$ 

(if we choose the opposite sign for **n** then  $\kappa_1 = \kappa_2 = \frac{1}{R}$ ). Thus we can calculate Gaussian and mean curvature: Gaussian curvature

$$K = \kappa_1 \cdot \kappa_2 = \det S = \frac{1}{R^2} \,.$$

Mean curvature

$$H = \kappa_1 + \kappa_2 = \text{Tr } S = -\frac{2}{R}.$$

If we choose the opposite sign for **n** then  $S \to -S$ , principal curvatures change the sign, Gaussian curvature  $K = \kappa_1 \cdot \kappa_2$  does not change but mean curvature  $H = \kappa_1 + \kappa_2$  will change the sign: if  $\mathbf{n} \to -\mathbf{n}$  then  $H = \frac{2}{R}$ .

b) cylinder 
$$x^2 + y^2 = R^2$$

$$\mathbf{r}(h,\varphi) \qquad \begin{cases} x = R\cos\varphi \\ y = R\sin\varphi \\ z = h \end{cases} \qquad (0 \le \varphi < 2\pi, -\infty < h < \infty)$$

$$\mathbf{r}_{\varphi}\big|_{\varphi,h} = \frac{\partial \mathbf{r}(\varphi,h)}{\partial \varphi} = \begin{pmatrix} -R\sin\varphi \\ R\cos\varphi \\ 0 \end{pmatrix}, \ \mathbf{r}_{h}\big|_{\varphi,h} = \frac{\partial \mathbf{r}(\varphi,h)}{\partial h} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \ \mathbf{n}(\varphi,h) = \begin{pmatrix} \cos\varphi \\ \sin\varphi \\ 0 \end{pmatrix} \qquad (2)$$

Sometimes we denote  $\mathbf{r}_{\varphi}$  by  $\partial_{\varphi}$  and  $\mathbf{r}_{h}$  by  $\partial_{h}$ .

Check that  $\mathbf{n}(\varphi, h)$  is indeed unit normal vector:

$$(\mathbf{n}, \mathbf{n}) = \cos^2 \varphi + \sin^2 \varphi = 1, \ (\mathbf{n}, \mathbf{r}_{\omega}) = R \cos \varphi \sin \varphi (-1 + 1) = 0, \ (\mathbf{n}, \mathbf{r}_{h}) = 0$$

Unit normal vector is defined up to a sign;  $-\mathbf{n}$  is unit normal vector too.

Calculate now first quadratic form.  $(\mathbf{r}_{\varphi}, \mathbf{r}_{\varphi}) = R^2(\sin^2 \varphi + \cos^2 \varphi) = R^2, (\mathbf{r}_{\varphi}, \mathbf{r}_h) = 0, (\mathbf{r}_h, \mathbf{r}_h) = 1.$  Thus

$$\begin{pmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{pmatrix} = \begin{pmatrix} G_{hh} & G_{h\varphi} \\ G_{\varphi h} & G_{\varphi \varphi} \end{pmatrix} = \begin{pmatrix} (\mathbf{r}_h, \mathbf{r}_h) & (\mathbf{r}_h, \mathbf{r}_{\varphi}) \\ (\mathbf{r}_{\varphi}, \mathbf{r}_{\varphi}) & (\mathbf{r}_{\varphi}, \mathbf{r}_{\varphi}) \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & R^2 \end{pmatrix}$$
$$dl^2 = G_{11}dh^2 + 2G_{12}dhd\varphi + G_{22}d\varphi^2 = dh^2 + R^2d\varphi^2.$$

The length of the curve  $\mathbf{r}(t) = \mathbf{r}(\varphi(t), h(t))$  with  $\varphi = \varphi(t), h = h(t), t_1 \le t \le t_2$  is given by the integral:

$$\int_{t_1}^{t_2} \sqrt{G_{11}\dot{h}^2 + 2G_{12}\dot{\varphi}\dot{h} + G_{22}\dot{\varphi}^2} dt = \int_{t_1}^{t_2} \sqrt{\dot{h}^2 + R^2\dot{\varphi}^2} dt, \tag{2b}$$

Now calculate shape operator Gaussian and mean curvatures for cylinder.

To calculate the shape operator for the cylinder we use results of calculations above of vectors  $\mathbf{r}_h, \mathbf{r}_{\varphi}$  and of unit normal vector  $\mathbf{n}(\varphi, h)$  (see the equations (2) above). By the definition the action of shape operator on any tangent vector  $\mathbf{v}$  is given by the formula  $S\mathbf{v} = -\partial_{\mathbf{v}}\mathbf{n}$ . Hence for basis vectors  $\mathbf{r}_{\varphi} = \partial_{\varphi}, \mathbf{r}_h = \partial_h$  we have

$$S\mathbf{r}_h = -\partial_h \mathbf{n}(\varphi, h) = -\partial_h \begin{pmatrix} \cos \varphi \\ \sin \varphi \\ 0 \end{pmatrix} = 0$$

and

$$S\mathbf{r}_{\varphi} = -\partial_{\varphi}\mathbf{n}(\varphi, h) = -\partial_{\varphi} \begin{pmatrix} \cos \varphi \\ \sin \varphi \\ 0 \end{pmatrix} = \begin{pmatrix} \sin \varphi \\ -\cos \varphi \\ 0 \end{pmatrix} = -\frac{\mathbf{r}_{\varphi}}{R}$$

(Recall that 
$$\mathbf{n}(h,\varphi) = \begin{pmatrix} \cos\varphi \\ \sin\varphi \\ 0 \end{pmatrix}$$
 and  $\mathbf{r}_{\varphi} = \begin{pmatrix} -R\sin\varphi \\ R\cos\varphi \\ 0 \end{pmatrix}$  (See the equations (2) above.)

For an arbitrary tangent vector  $\mathbf{X} = a\mathbf{r}_h + b\mathbf{r}_{\varphi}$ ,  $S\mathbf{X} = -\frac{b\mathbf{r}_{\varphi}}{R}$ . Shape operator transforms tangent vectors to tangent vectors. Its matrix in the basis  $\mathbf{r}_h$ ,  $\mathbf{r}_{\varphi}$  equals to

$$-\left(\begin{array}{cc}0&0\\0&\frac{1}{R}\end{array}\right)$$

In the case if we choose the opposite direction for unit normal vector then we will come to the same answer just with changing the signs: if  $\mathbf{n} \to -\mathbf{n}$ ,  $S \to -S$ .

We see that principal curvatures, i.e. eigenvalues of shape operator are:

$$\kappa_1 = 0, \kappa_2 = -\frac{1}{R}$$

(if we choose the opposite sign for **n** then  $\kappa_1 = \kappa_2 = \frac{1}{R}$ ). Thus we can calculate Gaussian and mean curvature: Gaussian curvature

$$K = \kappa_1 \cdot \kappa_2 = \det S = 0$$
.

Mean curvature

$$H = \kappa_1 + \kappa_2 = \operatorname{Tr} S = -\frac{1}{R} \,.$$

If we choose the opposite sign for  $\mathbf{n}$  then  $S \to -S$ , principal curvatures change the sign, Gaussian curvature  $K = \kappa_1 \cdot \kappa_2$  remains the same but mean curvature  $H = \kappa_1 + \kappa_2$  will change the sign: if  $\mathbf{n} \to -\mathbf{n}$  then  $H = \frac{1}{R}$ .

b) cone 
$$x^2 + y^2 - k^2 z^2 = 0$$

$$\mathbf{r}(h,\varphi) \qquad \begin{cases} x = kh\cos\varphi \\ y = kh\sin\varphi \\ z = h \end{cases} \qquad (0 \le \varphi < 2\pi, -\infty < h < \infty)$$
 (3)

$$\left.\mathbf{r}_h\right|_{\varphi,h} = \frac{\partial\mathbf{r}(\varphi,h)}{\partial h} = \begin{pmatrix} k\cos\varphi\\k\sin\varphi\\1 \end{pmatrix}, \ \left.\mathbf{r}_\varphi\right|_{\varphi,h} = \frac{\partial\mathbf{r}(\varphi,h)}{\partial\varphi} = \begin{pmatrix} -kh\sin\varphi\\kh\cos\varphi\\0 \end{pmatrix}\,.$$

Sometimes we denote  $\mathbf{r}_{\varphi}$  by  $\partial_{\varphi}$  and  $\mathbf{r}_{h}$  by  $\partial_{h}$ .

To calculate the normal unit vector field  $\mathbf{n}(h,\varphi)$  note that the vector  $\mathbf{N}(h,\varphi) = \begin{pmatrix} \cos\varphi \\ \sin\varphi \\ -k \end{pmatrix}$  is orthogonal

to the surface of the cone:  $(\mathbf{N} r_h) = (\mathbf{N}, \mathbf{r}_{\varphi}) = 0$  and its length equals to  $|\mathbf{N}| = \sqrt{k^2 + 1}$ . Hence normal unit vector field equals to

$$\mathbf{n}(h,\varphi) = \frac{\mathbf{N}(h,\varphi)}{\sqrt{k^2 + 1}} = \frac{1}{\sqrt{k^2 + 1}} \begin{pmatrix} \cos \varphi \\ \sin \varphi \\ -k \end{pmatrix}$$

It is indeed normal unit vector field:  $(\mathbf{n}, \mathbf{n}) = \frac{\cos^2 \varphi}{k^2 + 1} + \frac{\sin^2 \varphi}{k^2 + 1} + \frac{k^2}{k^2 + 1} = 1$ ,  $(\mathbf{n}, \mathbf{r}_{\varphi}) = \frac{1}{\sqrt{k^2 + 1}} (\cos \varphi \cdot (-kh \sin \varphi) + \sin \varphi \cdot (+kh \cos \varphi)) = 0$ , and  $(\mathbf{n}, \mathbf{r}_h) = \frac{1}{\sqrt{k^2 + 1}} (\cos \varphi \cdot (kh \cos \varphi) + \sin \varphi \cdot k \sin \varphi - k) = 0$ . Unit normal vector is defined up to a sign;  $-\mathbf{n}$  is unit normal vector too.

Calculate now first quadratic form.  $(\mathbf{r}_h, \mathbf{r}_h) = k^2 \cos^2 \varphi + k^2 \sin^2 \varphi + 1 = k^2 + 1$ ,  $(\mathbf{r}_h, \mathbf{r}_\varphi) = (\mathbf{r}_\varphi, \mathbf{r}_h) = k^2 h \cos \varphi (-\sin \varphi) + k^2 h \sin \varphi \cos \varphi = 0$ ,  $(\mathbf{r}_\varphi, \mathbf{r}_\varphi) = k^2 h^2 \sin^2 \varphi + k^2 h^2 \cos^2 \varphi = k^2 h^2$ , Thus

$$\begin{pmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{pmatrix} = \begin{pmatrix} G_{hh} & G_{h\varphi} \\ G_{\varphi h} & G_{\varphi \varphi} \end{pmatrix} = \begin{pmatrix} (\mathbf{r}_h, \mathbf{r}_h) & (\mathbf{r}_h, \mathbf{r}_{\varphi}) \\ (\mathbf{r}_{\varphi}, \mathbf{r}_h) & (\mathbf{r}_{\varphi}, \mathbf{r}_{\varphi}) \end{pmatrix} = \begin{pmatrix} k^2 + 1 & 0 \\ 0 & k^2 h^2 \end{pmatrix}$$

 $dl^{2} = G_{hh}dh^{2} + 2G_{h\omega}dhd\varphi + G_{\omega\omega}d\varphi^{2} = (k^{2} + 1)dh^{2} + k^{2}h^{2}d\varphi^{2}R^{2}.$ 

The length of the curve  $\mathbf{r}(t) = \mathbf{r}(h(t), \varphi(t))$  with  $\varphi = \varphi(t), h = h(t), t_1 \le t \le t_2$  is given by the integral:

$$\int_{t_1}^{t_2} \sqrt{G_{11}\dot{h}^2 + 2G_{12}\dot{h}\dot{\varphi} + G_{22}\dot{\varphi}^2} dt = \int_{t_1}^{t_2} \sqrt{(k^2 + 1)\dot{h}^2 + k^2h(t)^2\dot{\varphi}^2} dt.$$
 (3b)

To calculate the shape operator for the cone we use the results of calculations of vectors  $\mathbf{r}_h, \mathbf{r}_{\varphi}$  and of unit normal vector  $\mathbf{n}(\varphi, h)$  (see the equations (3) above. ) By the definition the action of shape operator on any tangent vector  $\mathbf{v}$  is given by the formula  $S\mathbf{v} = -\partial_{\mathbf{v}}S$ . Hence for basis vectors  $\mathbf{r}_h = \partial_h, \mathbf{r}_\varphi = \partial_\varphi$ 

$$S\mathbf{r}_h = -\partial_h \mathbf{n}(\varphi, h) = -\frac{1}{\sqrt{k^2 + 1}} \partial_h \begin{pmatrix} \cos \varphi \\ \sin \varphi \\ -k \end{pmatrix} = 0$$

and

$$S\mathbf{r}_{\varphi} = -\partial_{\varphi}\mathbf{n}(\varphi, h) = -\partial_{\varphi} = -\frac{1}{\sqrt{k^2 + 1}}\partial_{\varphi}\begin{pmatrix} \cos\varphi\\\sin\varphi\\-k \end{pmatrix} = \frac{1}{\sqrt{k^2 + 1}}\begin{pmatrix} \sin\varphi\\-\cos\varphi\\0 \end{pmatrix} = -\frac{1}{k\sqrt{k^2 + 1}}\frac{\mathbf{r}_{\varphi}}{h} \text{ since } \mathbf{r}_{\varphi} = \begin{pmatrix} -kh\sin\varphi\\kh\cos\varphi\\0 \end{pmatrix}.$$

We see that for an arbitrary tangent vector  $\mathbf{X} = a\mathbf{r}_h + b\mathbf{r}_{\varphi}$   $S\mathbf{X} = S(a\mathbf{r}_h + b\mathbf{r}_{\varphi}) = -\frac{b}{kh\sqrt{k^2+1}}\mathbf{r}_{\varphi}$ . Shape operator transforms tangent vectors to tangent vectors. Its matrix in the basis  $\mathbf{r}_h, \mathbf{r}_{\varphi}$  equals to

$$-\left(\begin{array}{cc} 0 & 0\\ 0 & \frac{1}{hk\sqrt{1+k^2}} \end{array}\right)$$

In the case if we choose the opposite direction for unit normal vector then we will come to the same answer just with changing the signs: if  $\mathbf{n} \to -\mathbf{n}$ ,  $S \to -S$ . We see that principal curvatures, i.e. eigenvalues of shape operator are:

$$\kappa_1 = 0, \kappa_2 = -\frac{1}{hk\sqrt{1+k^2}}$$

(if we choose the opposite sign for **n** then  $\kappa_1 = \kappa_2 = \frac{1}{hk\sqrt{1+k^2}}$ ). Thus we can calculate Gaussian and mean curvature: Gaussian curvature

$$K = \kappa_1 \cdot \kappa_2 = \det S = 0.$$

Mean curvature

$$H = \kappa_1 + \kappa_2 = \operatorname{Tr} S = -\frac{1}{hk\sqrt{1+k^2}}.$$

If we choose the opposite sign for **n** then  $S \to -S$ , principal curvatures change the sign, Gaussian curvature  $K = \kappa_+ \cdot \kappa_-$  remains the same but mean curvature  $H = \kappa_+ + \kappa_-$  will change the sign: if  $\mathbf{n} \to -\mathbf{n}$  then  $H = \frac{1}{hk\sqrt{1+k^2}}$ .

d) graph of the function z = xy (saddle)

$$\mathbf{r}(u,v) \qquad \begin{cases} x = u \\ y = v \\ z = uv \end{cases} \qquad (-\infty < u < \infty, -\infty < v < \infty)$$

$$\mathbf{r}_{u}\big|_{u,v} = \frac{\partial \mathbf{r}(u,v)}{\partial u} = \begin{pmatrix} 1 \\ 0 \\ z_{u} \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ v \end{pmatrix}, \ \mathbf{r}_{u}\big|_{u=v=0} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix},$$

$$\mathbf{r}_{v}\big|_{u,v} = \frac{\partial \mathbf{r}(u,v)}{\partial v} = \begin{pmatrix} 0 \\ 1 \\ z_{v} \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ u \end{pmatrix}, \ \mathbf{r}_{v}\big|_{u=v=0} = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix},$$

$$\mathbf{n}(u,v) = \frac{1}{\sqrt{1+z_{u}^{2}+z_{v}^{2}}} \begin{pmatrix} -z_{u} \\ -z_{v} \\ 1 \end{pmatrix} = \frac{1}{\sqrt{1+u^{2}+v^{2}}} \begin{pmatrix} -v \\ -u \\ 1 \end{pmatrix}, \ \mathbf{n}(u,v)\big|_{u=v=0} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}.$$

$$(4)$$

Sometimes we denote  $\mathbf{r}_u$  by  $\partial_u$  and  $\mathbf{r}_v$  by  $\partial_v$ . The vectors  $\mathbf{r}_u\big|_{u=v=0}$ ,  $\mathbf{r}_v\big|_{u=v=0}$  and  $\mathbf{n}\big|_{u=v=0}$  above are the values of tangent vectors and normal unit vector at origin.

Check that  $\mathbf{n}(u,v)$  is indeed unit normal vector:  $(\mathbf{n},\mathbf{n}) = \frac{1}{1+u^2+v^2}(u^2+v^2+1) = 1$ ,  $(\mathbf{n},\mathbf{r}_u) = \frac{1}{\sqrt{1+u^2+v^2}}(-v+v) = 0$ ,  $(\mathbf{n},\mathbf{r}_v) = \frac{1}{\sqrt{1+F_u^2+F_v^2}}(-u+u) = 0$ . Calculate now first quadratic form.  $(\mathbf{r}_u,\mathbf{r}_u) = 1+v^2$ ,  $(\mathbf{r}_u,\mathbf{r}_v) = uv$ ,  $(\mathbf{r}_v,\mathbf{r}_v) = 1+u^2$ . Thus

$$\begin{pmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{pmatrix} = \begin{pmatrix} (\mathbf{r}_u, \mathbf{r}_u) & (\mathbf{r}_u, \mathbf{r}_v) \\ (\mathbf{r}_v, \mathbf{r}_u) & (\mathbf{r}_v, \mathbf{r}_v) \end{pmatrix} = \begin{pmatrix} 1 + v^2 & uv \\ uv & 1 + u^2 \end{pmatrix}$$

$$dl^{2} = G_{11}d\varphi^{2} + 2G_{12}dd\varphi dh + G_{22}dh^{2} = (1+v^{2})du^{2} + 2uvdudv + (1+u^{2})dv^{2}$$

At the origin (the point u = v = 0),  $F_u = F_v = 0$  and First Quadratic form equals to

$$\begin{pmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{pmatrix} = \begin{pmatrix} (\mathbf{r}_u, \mathbf{r}_u) & (\mathbf{r}_u, \mathbf{r}_v) \\ (\mathbf{r}_v, \mathbf{r}_u) & (\mathbf{r}_v, \mathbf{r}_v) \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, dl^2 = du^2 + dv^2$$

The length of the curve  $\mathbf{r}(t) = \mathbf{r}(u(t), v(t))$  with u = u(t), v = v(t) can be calculated by the integral:

$$\int_{t_1}^{t_2} \sqrt{G_{11}\dot{u}^2 + 2G_{12}\dot{u}\dot{v} + G_{22}\dot{v}^2} dt = \int_{t_1}^{t_2} \sqrt{(1 + F_u^2)\dot{u}^2 + 2F_uF_v\dot{u}\dot{v} + (1 + F_v^2)\dot{v}^2} dt$$
(4a)

Now calculate the shape operator Gaussian and mean curvature for the saddle at the origin (u = v = 0). By the definition the action of shape operator on any tangent vector  $\mathbf{v}$  is given by the formula  $S\mathbf{v} = -\partial_{\mathbf{v}}\mathbf{n}$ . Hence for basis vectors  $\mathbf{r}_u = \partial_u$  and  $\mathbf{r}_v = \partial_v$  we have

$$S\mathbf{r}_{u} = -\frac{\partial \mathbf{n}(u, v)}{\partial u}, S\mathbf{r}_{v} = -\frac{\partial \mathbf{n}(u, v)}{\partial u}$$

To calculate these vectors in the origin (at the point u = v = 0) we need to know the value of normal unit vector field  $\mathbf{n}(u, v)$  in the vicinity of the origin. We calculated it above (see the formulae 4). Hence

$$S\mathbf{r}_{u} = -\frac{\partial \mathbf{n}(u, v)}{\partial u} = -\frac{\partial}{\partial u} \left( \frac{1}{\sqrt{1 + u^{2} + v^{2}}} \begin{pmatrix} -v \\ -u \\ 1 \end{pmatrix} \right),$$

On the other hand one can see that  $\frac{\partial}{\partial u} \left( \frac{1}{\sqrt{1+u^2+v^2}} \right) = 0$  at the point u = v = 0. Hence at the origin

$$S\mathbf{r}_u\big|_{u=v=0} = -\frac{\partial\mathbf{n}(u,v)}{\partial u}\big|_{u=v=0} = -\frac{\partial}{\partial u}\left(\frac{1}{\sqrt{1+u^2+v^2}}\begin{pmatrix} -v\\ -u\\ 1\end{pmatrix}\right)\big|_{u=v=0} = -\frac{\partial}{\partial u}\begin{pmatrix} \begin{pmatrix} -v\\ -u\\ 1\end{pmatrix}\end{pmatrix}\big|_{u=v=0} = \begin{pmatrix} 0\\ 1\\ 0\end{pmatrix}$$

Analogously we come to

$$S\mathbf{r}_v = -\frac{\partial \mathbf{n}(u,v)}{\partial v} = -\frac{\partial}{\partial v} \left( \frac{1}{\sqrt{1+u^2+v^2}} \begin{pmatrix} -v\\-u\\1 \end{pmatrix} \right) = \begin{pmatrix} 1\\0\\0 \end{pmatrix}$$

Now recalling the expression for tangent vectors  $\mathbf{r}_u, \mathbf{r}_v$  at the origin we see that at the origin

$$S\mathbf{r}_{u} = \mathbf{r}_{v}$$
 and  $S\mathbf{r}_{v} = \mathbf{r}_{u}$ 

i.e. matrix of the shape operator S at origin is

$$S = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

Gaussian curvature at the origin equals to  $K = \det S = 1$  and mean curvature  $H = \operatorname{Tr} S = 0$ .

**2.** Consider helix  $\mathbf{r}(t)$ :  $\begin{cases} x(t) = a \cos t \\ y(t) = a \sin t \end{cases}$ . Show that this helix belongs to cylinder surface  $x^2 + y^2 = a^2$ . z(t) = ct

Using first quadratic form on the surface of cylindre or in a different way calculate length of the helix  $(0 \le t \le t_0)$ .

Solution This helix belongs to cylinder surface  $x^2 + y^2 = a^2$  because  $x^2 + y^2 = a^2$  on the points of the helix.

For the helix internal coordinates are  $\varphi = \varphi(t) = t$  and h = h(t) = ct  $(x = R\cos\varphi, y = R\sin\varphi, z = h)$ . Use First Quadratic form which we obtained in the previous exercise (see equation (2b). We come to

$$L = \int_0^{t_0} \sqrt{G_{11}\dot{h}^2 + 2G_{12}\dot{\varphi}\dot{h} + G_{22}\dot{\varphi}^2} dt = \int_0^{t_0} \sqrt{a^2\dot{\varphi}^2 + \dot{h}^2} dt = \int_0^{t_0} \sqrt{a^2 + c^2} dt = t_0 \sqrt{a^2 + c^2} dt$$

Of course the answer can be obtained without integration: speed is constant, hence  $L = |\mathbf{v}|t = t\sqrt{a^2 + c^2}$ . This is the calculations of the Internal observer. The external observer will calculate using the coordinates x, y, z:  $|\mathbf{v}| = \sqrt{x_t^2 + y_t^2 + z_t^2} = (a^2 \cos^2 + a^2 \sin^2 t + c^2) = \sqrt{a^2 + c^2}$  and will come to the same answer.

**3** Assume that the action of the shape operator at the tangent coordinate vectors  $\mathbf{r}_u = \partial_u$ ,  $\mathbf{r}_v = \partial_v$  at the given point  $\mathbf{p}$  of the surface  $\mathbf{r} = \mathbf{r}(u,v)$  is defined by the relations:  $S(\partial_u) = 2\partial_u + 2\partial_v$  and  $S(\partial_v) = -\partial_u + 5\partial_v$ . Calculate principal curvatures, Gaussian and mean curvatures of the surface at this point.

Solution We see that the matrix of the shape operator in the basis  $\partial_u, \partial_v$  is equal to

$$S = \begin{pmatrix} 2 & -1 \\ 2 & 5 \end{pmatrix}$$

Hence Gaussian curvature  $K = \det S = 12$  and mean curvature  $H = \operatorname{Tr} S = 7$ . To calculate principal curvatures  $k_1, k_2$  note that

$$\begin{cases} k_1 + k_2 = H = 7 \\ k_1 \cdot k_2 = K = 12 \end{cases}$$

Hence  $k_1 = 3, k_2 = 4; k_1, k_2$  are eigenvalues of the shape operator.

4 On the sphere of the radius  $x^2 + y^2 + z^2 = R^2$  in  $E^3$  consider the triangle ABC with vertices at the North Pole and at Equator: A = (0,0,R), B = (R,0,0) and  $C = (R\cos\varphi,R\sin\varphi,0)$ . The edges of this triangle are arcs of the meridians and the arc of the Equator.

Find the result of the parallel transport of vector  $\mathbf{X} = \mathbf{e}_x$  attached at the North pole along the edges of the triangle ABC.

Do it in three steps.

First perform parallel transport of the vector  $\mathbf{e}_x$  along the arc AB of the great circle

Consider the vector field  $\mathbf{X}(t) = \begin{pmatrix} \cos t \\ 0 \\ -\sin t \end{pmatrix}$  attached at the points of the curve AB:  $\mathbf{r}(t) = \begin{pmatrix} R\sin t \\ 0 \\ R\cos t \end{pmatrix}$ ,

 $0 \le t \le \frac{\pi}{2}$ . It is tangent to the sphere. One can see that  $\frac{d\mathbf{X}}{dt} = \begin{pmatrix} -\sin t \\ 0 \\ -\cos t \end{pmatrix} = -\frac{\mathbf{r}(t)}{R}$  is colinear to the

normal unit vector hence this is parallel transport. At the initial point A, (t = 0) this is the initial vector

 $\mathbf{X}(0) = \mathbf{e}_x$ , at the final point B,  $t = \frac{\pi}{2}$  this is the vector  $\mathbf{X}\left(\frac{\pi}{2}\right) = \begin{pmatrix} 0 \\ 0 \\ -1 \end{pmatrix} = -\mathbf{e}_z$ . We see that under parallel

transport along the arc AB the vector  $\mathbf{e}_x$  tangent to the sphere at the North pole transforms to the vector  $\mathbf{e}_z$  tangent to the sphere at the point B.

Second step: parallel transport of the vector  $\mathbf{e}_z$  along the arc BC.

The vector  $\mathbf{e}_z$  attached at the arbitrary point of the equator is tangent to the sphere at all the . We see that parallel transport of the vector  $\mathbf{e}_z$  tangent to the sphere at the point B along the arc of the equator does not change this vector.

Third step: parallel transport of the vector  $\mathbf{e}_z$  along the arc CA.

Consider the vector field  $\mathbf{X}(t) = \begin{pmatrix} \sin t \cos \varphi \\ \sin t \sin \varphi \\ -\cos t \end{pmatrix}$  attached at the points of the curve CA:  $\mathbf{r}(t) = \int_{-\infty}^{\infty} \mathbf{r}(t) \, dt$ 

 $\begin{pmatrix} R\cos t\cos \varphi \\ R\cos t\sin \varphi \\ R\sin t \end{pmatrix}, \ 0 \le t \le \frac{\pi}{2}.$  It is tangent to the sphere: the scalar product  $(\mathbf{X}(t), \mathbf{r}(t)) = 0$ . The

derivative  $\frac{d\mathbf{X}}{dt} = \begin{pmatrix} \cos t \cos \varphi \\ \cos t \sin \varphi \\ \sin t \end{pmatrix} = \frac{\mathbf{r}(t)}{R}$  is colinear to the normal unit vector hence this is parallel transport. At the initial point B, (t=0) this is the vector  $\mathbf{e}_z$ . At the final point A,  $t=\frac{\pi}{2}$  this is the vector

$$\mathbf{X}\left(\frac{\pi}{2}\right) = \begin{pmatrix} \cos\varphi\\ \sin\varphi\\ 0 \end{pmatrix} = \cos\varphi\mathbf{e}_x + \sin\varphi\mathbf{e}_y.$$

So we see that under parallel transport along the spherical triangle ABC the vector  $\mathbf{e}_x$  transforms to the vector  $\cos \varphi \mathbf{e}_x + \sin \varphi \mathbf{e}_y$ . It rotates on the angle  $\varphi$ .

 $\mathbf{5}^{\dagger}$  On the sphere  $x^2 + y^2 + z^2 = R^2$  in  $\mathbf{E}^3$  consider the closed curve  $\theta = \theta_0, \varphi = t, \ 0 \le t < 2\pi$  (latitude) Find the result of parallel transport of the vector tangent to the sphere along this curve.

See the solution in Appendices to the Lecture notes.