Introduction to Formal Semantics Tutorial Lecture 8: Intensional and Modal Logic

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Overview for today

- 1. Coextensionality
- 2. Informative/Uninformative
- 3. Towards Intensionality
- 4. Contingent and Necessary Truth
- 5. Propositional Attitudes
- 6. Intension and composition

Reading:

- Coppock, E., and Champollion, L. (2021). Invitation to formal semantics. Manuscript, Boston University and New York University (Ch.13).
- Gutzmann, D. (2020). Semantik. Semantik. Einführungen in die Sprachwissenschaft. J.B. Metzler, Stuttgart (Ch.11). NM
- Von Fintel, K., & Heim, I. (2011). Intensional semantics. Unpublished Lecture Notes. NM



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1. Coextensionality



Substitutability of coextensionals

If two expressions¹ have the same extension, then if one is substituted for the other in any given sentence, the truth value of the sentence remains the same. (Coppock & Champollion 2022, p. 489)

1. Usually, with reference to Proper nouns (PNs), Definite descriptions (NPs). Still, every expression_X : expression_A \models expression_B



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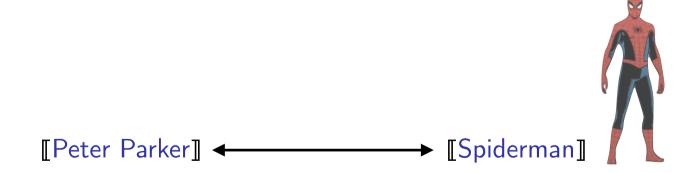
[Peter Parker]

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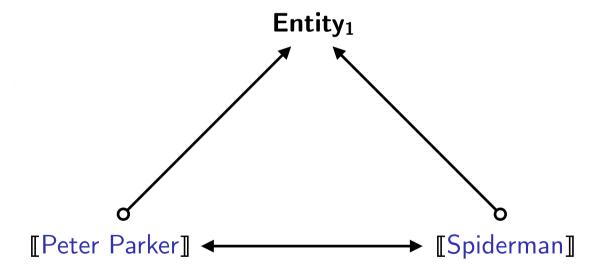


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a. Peter Parker kisses Mary Jane.

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Substitutability of coextensionals

- a. Peter Parker kisses Mary Jane.
- b. Peter Parker is Spiderman





Substitutability of coextensionals

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- c. .: Spiderman kisses Mary Jane.

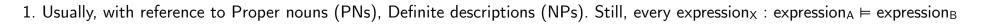




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Substitutability of coextensionals

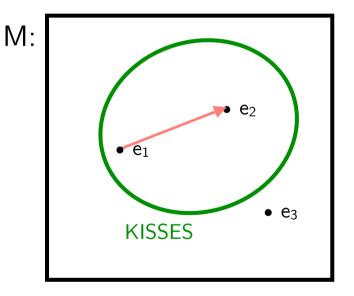
$$\begin{split} \mathsf{M}_{\mathsf{De}} &= \{\mathsf{e}_1, \mathsf{e}_2, \mathsf{e}_3\} \\ \mathsf{I}_{\mathsf{M}}(\mathsf{peter parker}) &= \mathsf{I}_{\mathsf{M}}(\mathsf{spiderman}) = \mathsf{e}_1 \\ \mathsf{I}_{\mathsf{M}}(\mathsf{mary jane}) &= \mathsf{e}_2 \\ \mathsf{I}_{\mathsf{M}}(\mathsf{harry osborne}) &= \mathsf{e}_3 \end{split}$$



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1. Usually, with reference to Proper nouns (PNs), Definite descriptions (NPs). Still, every expression $_X$: expression $_A$ \vDash expression



If "a" and "b" are co-referential² expressions then by substituting one with the other " $\phi^{[a/b]}$ " they are co-extensional: $\llbracket \phi^{[a]} \rrbracket = \mathsf{T} \longleftrightarrow \llbracket \phi^{[b]} \rrbracket = \mathsf{T}$

2. Here co-referentiality does not subsume deictic terms/anaphors as they first need to be anchored within the context.





Informative Vs Uninformative



Informative Vs Uninformative

Keep in mind! Co-extensionality works because of $\mathbf{a} = \mathbf{b}$ and not $\mathbf{a} = \mathbf{a}$ (tautological)

► Informative (a = b)



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- (1a) J.K.Rowling is the author of Harry Potter.
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- **Uninformative** (a = a)



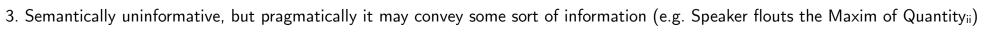
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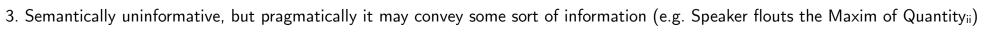
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Informative (a = b), under negation (a = \neg b)

Note: under negation $\mathbf{a} = \neg \mathbf{b}$ is still informative! This renders it **contingent** in nature and will allow us to consider modal logic and possible worlds.



Informative Vs Uninformative

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Informative (a = b), under negation $(a = \neg b)$

(1b) J.K.Rowling is NOT the author of Harry Potter⁴.

4. We might learn J.K. Rowling had a ghostwriter.



Informative Vs Uninformative

- Informative (a = b), under negation (a = $\neg b$)
- (1b) J.K.Rowling is NOT the author of Harry Potter⁴.
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Is the principle of co-extensionality always effective?



3. Towards Intensionality



The problem of substitutivity of co-referential terms

(5) The empire state building is the 4th tallest building in NYC.

Intension ↓ [esb] a = the tallest building in NYC. b = the 2nd tallest building in NYC. c = the 3rd tallest building in NYC. d = the 4th tallest building in NYC. e = the 424 ft building located in e* Extension [esb]

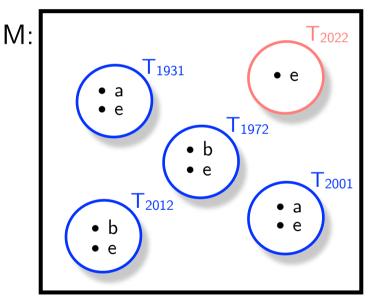


^{*}e ...the 424 ft building located in 20 W 34th St, NT, 1001, U.S.

The problem of substitutivity of co-referential terms

(5) The empire state building is the 4th tallest building in NYC (Contingent truth)

- ightharpoonup a = the tallest building in NYC.
- \triangleright b = the 2nd tallest building in NYC.
- ightharpoonup c = the 3rd tallest building in NYC.
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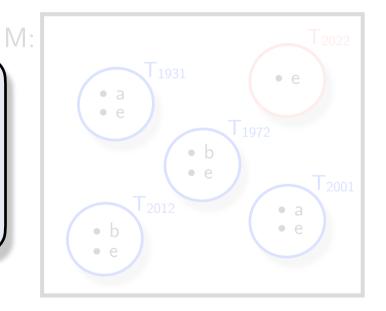
*e ...the 424 ft building located in 20 W 34th St, NT, 1001, U.S. Is "e" necessary or contingent? Moreover, what about if our Model



Necessary and Contingent truths

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What is the causes this?





"Whether or not a proposition **necessarily** holds depends on its truth value in **every** world, not just the world under consideration. In other words, **necessarily** depends on the **INTENSION** of the sentence it combines with, and not just its **EXTENSION**. The extension of an expression is its semantic value at a particular world (so, for formulas, the extension is a truth value), while the intension is a function from possible worlds to the extensions they have at those worlds." **(p. 491)**



Necessary truth

A necessary truth is one that could not have been otherwise. In all circumstances, a necessary truth expresses a true proposition.

(6) Human beings are mortal.

...is the sentence true or false?



Necessary truth

A necessary truth is one that could not have been otherwise. In all circumstances, a necessary truth expresses a true proposition.

- (6) Human beings are mortal.
- (i) **Necessarily**, human beings are mortal.

Alethic

proposition



...is true in every-possible situation



Contingent truth

A contingent truth is one that is true, but could have been false. In some circumstances, a contingent truth expresses a true proposition.

(7) The empire state building is the 4th tallest building in NYC.

...is the sentence true or false?



Contingent truth

A contingent truth is one that is true, but could have been false. In some circumstances, a contingent truth expresses a true proposition.

- (7) The empire state building is the 4th tallest building in NYC.
- (ii) Possibly, the empire state building is ...

 Alethic proposition ϕ

...is true in some-possible situations



Intensional operators

... we call these "circumstances" worlds $\mathbf{w} \in \mathbf{W}$ — Leibniz first defined possible worlds, Kripke later formalized this idea — and are now able to extend our traditional model into an intensional $\langle \mathbf{D}, \mathbf{W}, \mathbf{I} \rangle$. The following are the two basic new intensional operators: "Box operator \square ", "Diamond operator \lozenge ".

- ightharpoonup If ϕ is a formula, then $\Box \phi$ is a formula.
- \blacktriangleright If ϕ is a formula, then $\Diamond \phi$ is a formula.



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Truth conditions

- $\blacktriangleright \llbracket \Box \phi \rrbracket^{M,g,w} = \top \text{ iff } \llbracket \phi \rrbracket^{M,g,w'} = \top \text{ for all } w'$



Reflexitivity of the intensional operators

Reflexivity of □:

If $\Box \phi$ is true in all worlds (w \in W), then ϕ is also true in the actual world (w $_{\odot}$):

$$ightharpoonup \Box \phi
ightharpoonup \phi$$

Non Reflexivity of ♦:

If $\Diamond \phi$ is true in some worlds, then ϕ is not necessarily true in the actual world:

 $\triangleright \lozenge \phi \to \phi$, however, the following holds: $\phi \to \lozenge \phi$



Intensional interpretation

Expressions based on their intentionality are interpreted as follow:

Proper name:

$$[a_e]^{M,g,w} = [[w_0 \mapsto a], ..., [w_n \mapsto a]]$$
 (rigid designators)

Predicates:

$$\llbracket P_{et} \rrbracket^{M,g,w} = \llbracket [w_0 \longmapsto \{a,b\}], ..., [w_n \longmapsto \{a,b,d\}] \rrbracket$$

Sentences:

$$\llbracket \boldsymbol{\phi}_{t} \rrbracket^{M,g,w} = \llbracket [w_{0} \longmapsto 1], ..., [w_{n} \longmapsto 0] \rrbracket$$



Intensional interpretation

Expressions based on their intentionality are interpreted as follow:

Proper name:

$$I(w_3, john) = john$$

Predicates:

$$I(w_3, Happy) = \{john, sabrina, lela\}$$

Sentences:

$$[\![\mathsf{Happy}(\mathsf{john})]\!]^{\mathsf{M},\mathsf{g},\mathsf{w}_3} = 1$$



Now we can move from the extensional reading...



(8) ... is Spiderman.

a.
$$([[g]]^{M,g} = [[g]]^{M,g}) = ?$$

b.
$$([[g]]^{M,g} = [[g]]^{M,g}) = ?$$

c.
$$([[g]]^{M,g} = [[g]]^{M,g}) = ?$$

d.
$$([[g]]^{M,g} = [[g]]^{M,g}) = ?$$



■ To an intensional reading...



(8) ... is Spiderman.

a.
$$([[w]]^{M,g} = [[w]]^{M,g})(w_?) = ?$$

b.
$$([[v]]^{M,g} = [[v]]^{M,g})(w_?) = ?$$

c.
$$([[v]]^{M,g} = [[v]]^{M,g})(w_?) = ?$$

d.
$$([[w]]^{M,g} = [[w]]^{M,g})(w_?) = ?$$



...where, given our possible worlds, one interpretation holds rather than the other.

(8) ... is Spiderman.

a.
$$([[w]]^{M,g} = [[w]]^{M,g})(w_1) = ?$$

b.
$$([[v]]^{M,g} = [[v]]^{M,g})(w_2) = ?$$

c.
$$([[v]]^{M,g} = [[v]]^{M,g})(w_3) = ?$$

d.
$$([[w]]^{M,g} = [[w]]^{M,g})(w_4) = ?$$



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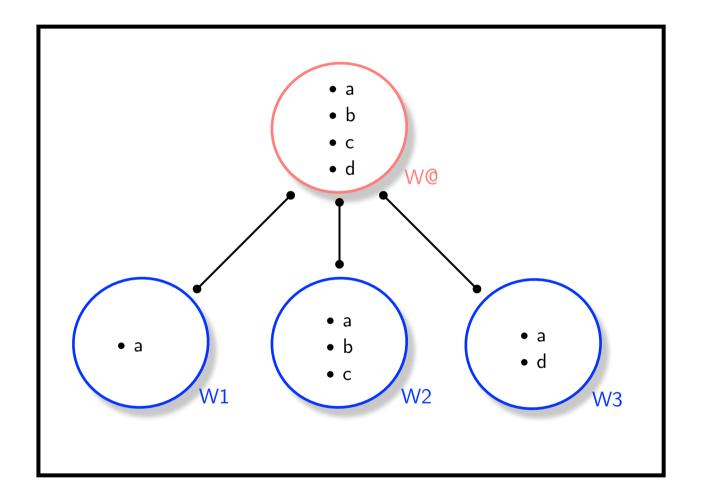
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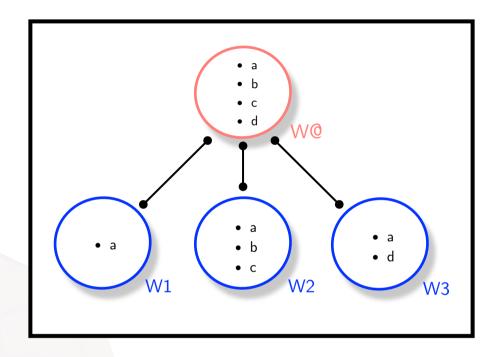


$$\begin{bmatrix} w_1 \mapsto 1 \\ w_2 \mapsto 1 \\ w_3 \mapsto 1 \\ w_0 \mapsto 1 \end{bmatrix} \begin{bmatrix} w_1 \mapsto 0 \\ w_2 \mapsto 1 \\ w_3 \mapsto 0 \\ w_0 \mapsto 1 \end{bmatrix} \begin{bmatrix} w_1 \mapsto 0 \\ w_2 \mapsto 1 \\ w_3 \mapsto 0 \\ w_0 \mapsto 1 \end{bmatrix} \begin{bmatrix} w_1 \mapsto 0 \\ w_2 \mapsto 1 \\ w_3 \mapsto 0 \\ w_0 \mapsto 1 \end{bmatrix} \begin{bmatrix} w_1 \mapsto 0 \\ w_2 \mapsto 1 \\ w_3 \mapsto 0 \\ w_0 \mapsto 1 \end{bmatrix}$$





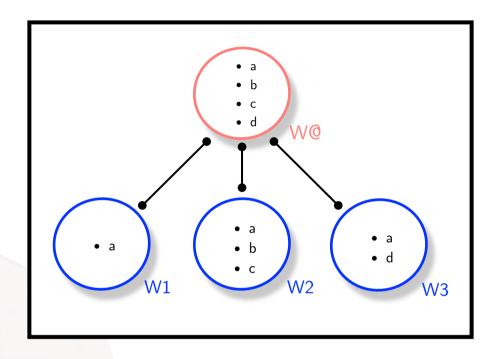




(8) a. $M_{\{w@,w1,w2,w3\}} \models$ b. $M_{\{w@,w2\}} \models$ c. $M_{\{w@,w2\}} \models$ d. $M_{\{w@,w3\}} \models$

Peter Parker is Spiderman Toby Maguire is Spiderman Andrew Garfield is Spiderman Tom Holland is Spiderman





- (8) a. $M_{\{w@,w1,w2,w3\}} \models$
 - b. $M_{\{w@,w2\}}$
 - c. M_{w@,w2} ⊨
 - d. $M_{\{w@,w3\}}$

- □ Peter Parker is Spiderman
- ♦ Toby Maguire is Spiderman
- ♦ Andrew Garfield is Spiderman
- ♦ Tom Holland is Spiderman



 \models



Propositional attitude verbs

These express the speaker's attitude towards a certain proposition. e.g. believe, know, want. In particular, belief states are crucial to reason about the common ground of two or more speakers.



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(9) Susan believes Peter Parker is Iron Man.



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- (9) Susan <u>believes</u> Peter Parker is Iron Man.

 Dox
- (9) Susan **believes** Peter Parker is Iron Man. $\not\models \phi$

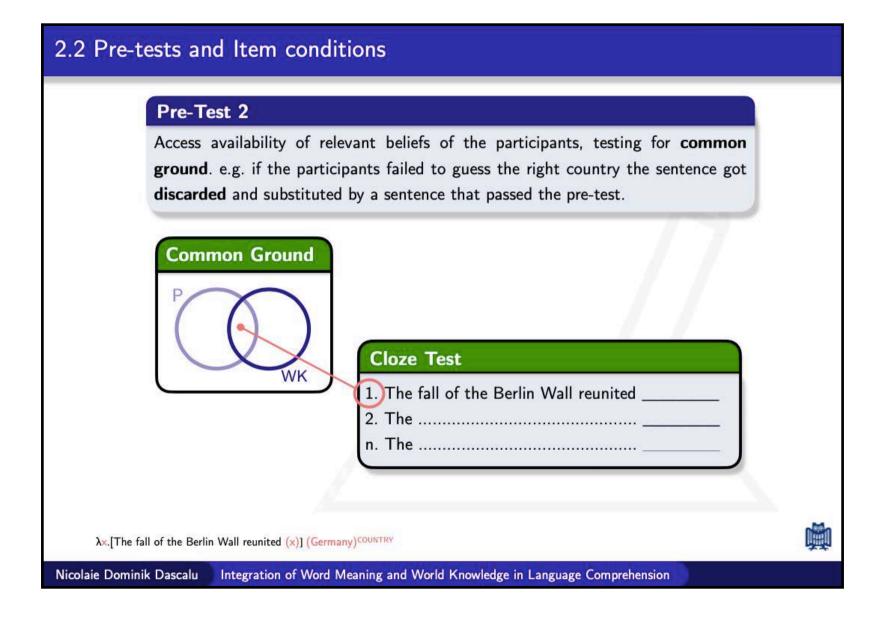


Belief states and the common ground

These express the speaker's attitude towards a certain proposition. e.g. believe, know, want. In particular, belief states are crucial to reason about the common ground of two or more speakers.

(10) [Peter Parker is Spider Man]
$$^{M,g} = \begin{bmatrix} w_{mj} \mapsto 1 \\ w_{ned} \mapsto 1 \\ w_{oct} \mapsto 1 \\ w_{sus} \mapsto 0 \end{bmatrix}$$







Veridicals

However, not all propositional attitude verbs give an intensional reading of their complement.

- Note: remember factive verbs?
 - (11) Tidus **knows** that ϕ .

$$>> \phi$$
 is the case; $\models \phi$

- (12) Auron **noticed** that ϕ .
 - $>> \phi$ is the case; $\models \phi$



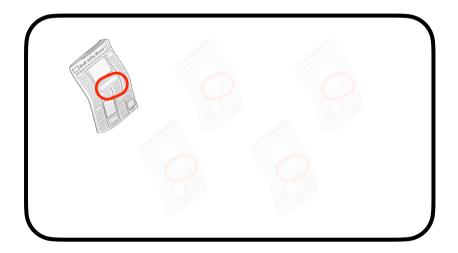
De Re vs. De Dicto Reading

These two readings are called **DE RE** ('of the object') and **DE DICTO** ('of the word'). In the **de re reading**, Andrew noticed a specific job offer. According to the **de dicto reading**, Andrew desires a job offer in general.

(13) Andrew saw a job offer.

De Re

(14) Andrew wants a job offer.



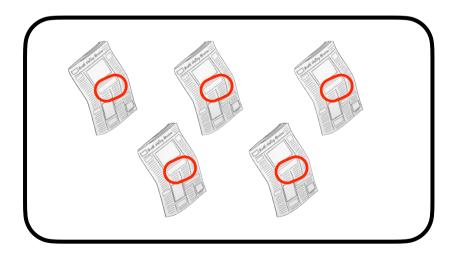


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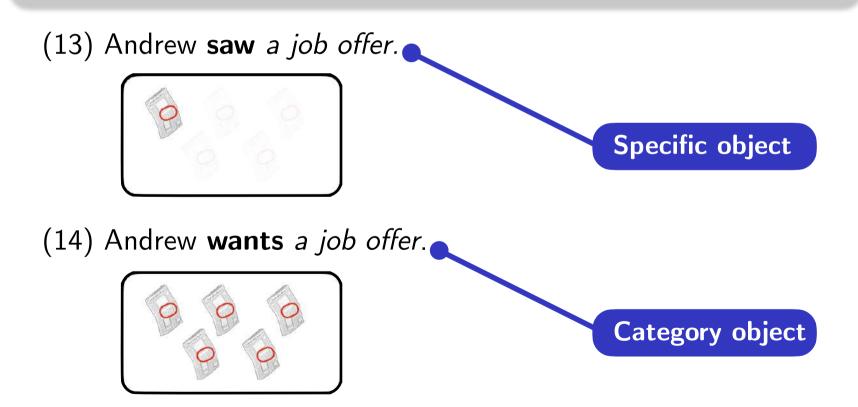
De Dicto





De Re (specific object) vs. De Dicto (nonspecific object)

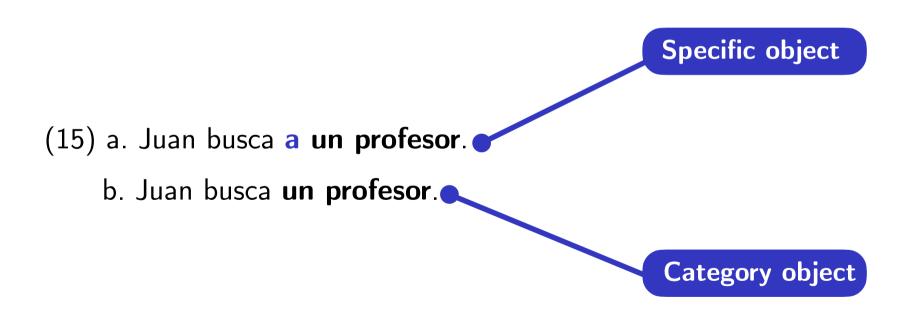
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De Re/De Dictio as Language universal

The *de re/de dicto* distinction is related to the distinction between specific and nonspecific objects. In many languages, indefinites can be marked for specificity using what is known as **Differential Object Marking (DOM)**. (p. 493)





How to treat belief states?



De Re vs. De Dicto Reading with epistemic markers

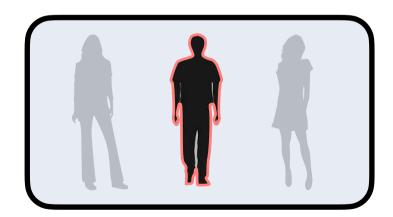
On the *de re* reading, Ralph has a belief about a particular object/individual: There is someone about whom Ralph believes that they are a spy. (see Quine 1956)

(16) a. Ralph believes that someone is a spy

De Re

b.
$$\exists x [Bel(ralph,[Spy(x)])]$$

Dox_{Scope}



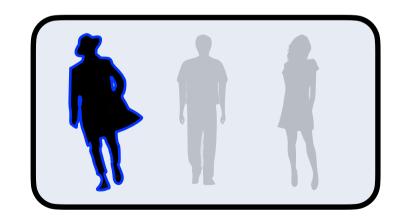


De Re vs. De Dicto Reading with epistemic markers

On the *de dicto* reading, Ralph has no particular individual in mind; he just believes that there are spies. The belief is not about a particular individual, rather it's about the category, spies⁶.

(16) a. Ralph believes that **someone is a spy** — De Dicto

c. Bel(ralph,
$$\exists x [Spy(x)])]$$
Dox_{Scope}



6. Among all $e \in D_e$ some are spies.



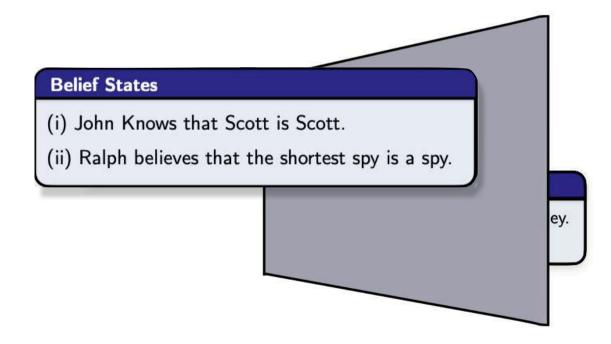
Opacity vs. Transparency

If the context of speech is clear we can substitute co-referential terms and implement them into reasoning patterns. However, **propositional attitude verbs** — in particular the verbs *believe* and *know* — give rise to environments where the principle of co-extensionality might fail. These are called **opaque**.



Opacity vs. Transparency

If the context of speech is clear we can substitute co-referential terms and implement them into reasoning patterns. However, **propositional attitude verbs** — in particular the verbs *believe* and *know* — give rise to environments where the principle of co-extensionality might fail. These are called **opaque**.





Belief States

- (i) John Knows that So
- (ii) Ralph believes that

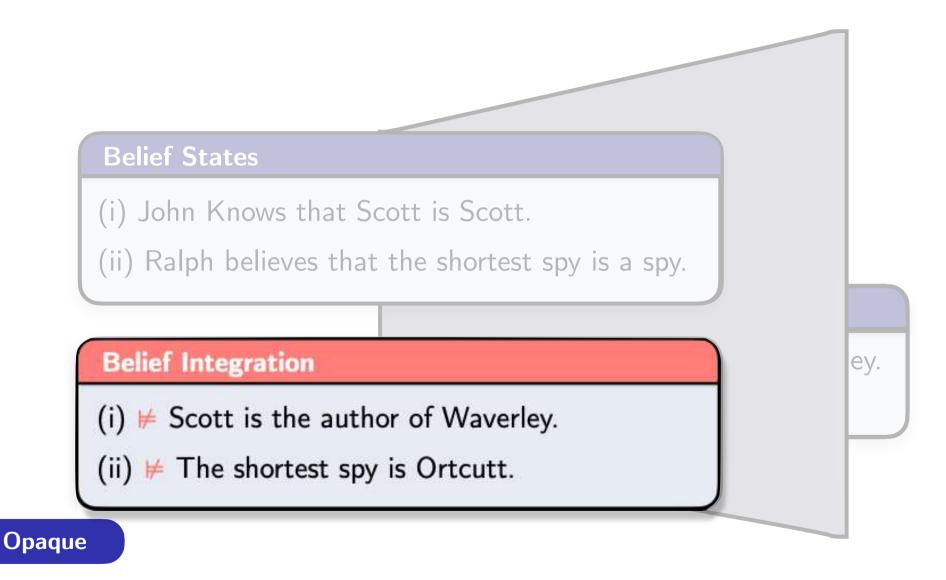
States of affairs

- (iii) Scott is the author of Waverley.
- (iv) The shortest spy is Ortcutt.

Opaque

The speakers holds only certain mental states.





The speakers holds only certain mental states.



Belief States

- (i) John Knows that Scott is Scott.
- (ii) Ralph believes that the shortest spy is a spy.
 - (iii) Scott is the author of Waverley.
 - (iv) The shortest spy is Ortcutt.

Transparent



Belief States

- (i) John Knows that Scott is Scott.
- (ii) Ralph believes that the shortest spy is a spy.

Belief Integration

- (i) ⊨ Scott is the author of Waverley.
- (ii) ⊨ The shortest spy is Ortcutt.

of Waverley.

Ortcutt.

Transparent



Champollion's solution...



De Re vs. De Dicto disambiguation

Given that **believe** combines first with its clausal complement and then with its subject, its type should then be $\langle\langle s,t\rangle,\langle e,t\rangle\rangle$. (Champollion 2021, p. 506)

(16) John believes a republican will win.

- a. $[Bel(john, ^\exists x [Repub(x) \land Win(x)])$ De Dicto
- b. $\exists x [Repub(x) \land Bel(john, ^[Win(x)])$ De Re



De Re vs. De Dicto - beta reduction

When **m** is in the scope of the **Bel operator**, its interpretation may vary from **world to world (De Dicto)** but when it is outside (**De Re**), it just denotes whoever Miss America is in the current world. **(p. 507)**

(17) John believes miss America is bald.

- a. $[\lambda x. Bel(john, ^Bald(x))])(m)$ De Re
- In John believes of the person who actually holds the title of Miss America that she is bald.



De Re vs. De Dicto - beta reduction

When **m** is in the scope of the **Bel operator**, its interpretation may vary from **world to world (De Dicto)** but when it is outside (**De Re**), it just denotes whoever Miss America is in the current world. **(p. 507)**

(17) John believes miss America is bald.

b. Bel(john, ^Bald(m))

De Dicto

John would assent to the statement "Miss America is bald".



De Re vs. De Dicto - beta reduction

Crucially, the Ty_2 translation of c does not beta-reduce to that of d. However, the variable is bound in d such that it ranges over of the worlds where "Miss America" is bald.

(17) John believes miss America is bald.

- c. $[\lambda x. Bel(w, john(w), \lambda w. Bald(w, x))](m(w))$ De Re
- d. $Bel[w,john(w), \lambda w.Bald(w,m(w))]$ De Dicto
- \blacktriangleright w denotes w_0 , John(w) denotes always John (w_0/w_1)
- ightharpoonup m(w) denotes Camille in w₀ and Victoria in w₁
- **Problem:** (m(w)) is free in c. Instead d's reading works.









Intensional definition of semantic types

Letting s stand for the type of possible worlds, we now have, for every type τ , a new type $\langle s, \tau \rangle$. The complete type system is now as follows:

- t is a type
- e is a type
- If σ and τ are types, then so is $\langle \sigma, \tau \rangle$
- If τ is any type, then $\langle s, \tau \rangle$ is a type.

This rule says that for any extensional type you can define you can also add an intensional type which is a function from possible



Intensional definition of types

Letting s stand for the type of possible worlds, we now have, for every type τ , a new type $\langle s, \tau \rangle$. The complete type system is now as follows:

Expression	Example	E-Type	I-Type
Proper name	Luke	е	$\langle s,e \rangle$
Predicate*	Jedi	$\langle e,t \rangle$	$\langle s,\!\langle e,\!t \rangle \rangle$
Sentence	Luke is a jedi.	t	$\langle s,\!\langle t \rangle \rangle$

This rule says that for any extensional type you can define you can also add an intensional type which is a function from possible



Intensional definition of types

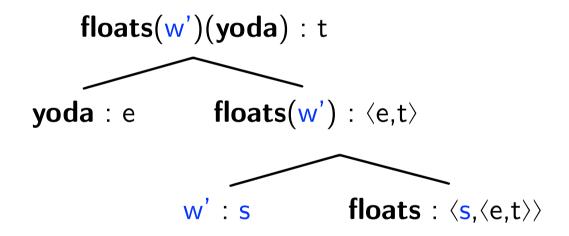
 α is an expression of type τ , then $\hat{\alpha}$ is an expression of type $\langle s, \tau \rangle$. Any expression of type $\langle s, \tau \rangle$ will denote a function from possible worlds to $D\tau$, where $D\tau$ is the domain of entities denoted by expressions of type τ . The official semantic rule for $\hat{\alpha}$ is as follows:

If α is an expression of type τ , then $\llbracket \hat{\alpha} \rrbracket^{M,g,w}$ is that function f with domain W such that for all $w \in W : f(w)$ is $\llbracket \alpha \rrbracket^{M,g,w}$



Example 1

The intension of floats "^[floats]]^{M,g,w}" is a function from possible worlds to a function of individuals in truth values (sets of individuals: $D_s \to D_{et}$).



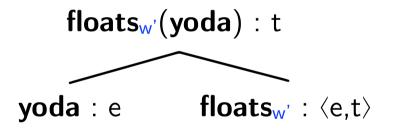
(18) Yoda floats

If not further specified "w" stands for the actual world (w0)



Example 2

However, Intensions should be used only when a syntactic-semantic context requires it, rather than always combining everything with intensions. Also, alternatively f(w') = f(a) + f(a)

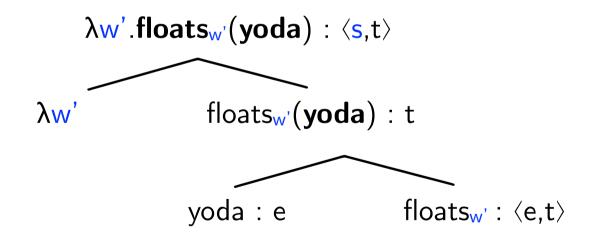


(18) Yoda floats



Example 3

Here we see clearly that the extension of "floats" depends on the respective evaluative world. If we abstract based on the **world variable** $(\lambda w')$ for the whole sentence $\langle s,t \rangle$, we get the intension of the sentence, which depends on the intension of **floats**.



(18) Yoda floats



Logical Space

the intension of a proposition refers to the **set of all possible worlds** (where this proposition holds). This is representable as the so called **logical spaced** which is divided into two subspaces: (1) the set of worlds which are part of the proposition (or mapped to it) and (2) the set of worlds which are not contained in the proposition.

$$[\lambda w^*.every(cat_{w^*})(sleeps_{w^*})]$$



Composition and propositional attitudes

"Believe" is a relation between an individual (attitude holder) and a proposition. If we apply this directly to our analysis, then we can assume that an expression like belief takes a proposition (the object clause) and an individual (the subject) as arguments, resulting in a truth value.

 \rightarrow believe \longrightarrow believe_w: $\langle\langle s,t\rangle,\langle e,t\rangle\rangle$



Example 4

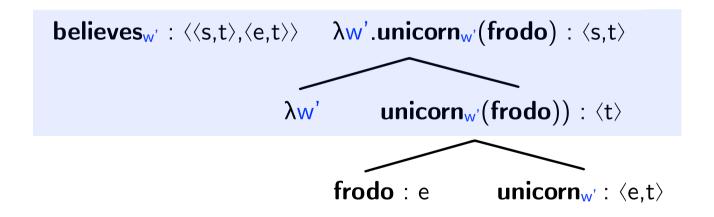
In the embedded sentence (the complementizer), we first combine the extensional expression $\mathbf{unicorn}_{w^i}$ with its argument \mathbf{frodo} and obtain an expression of type t.





Example 4

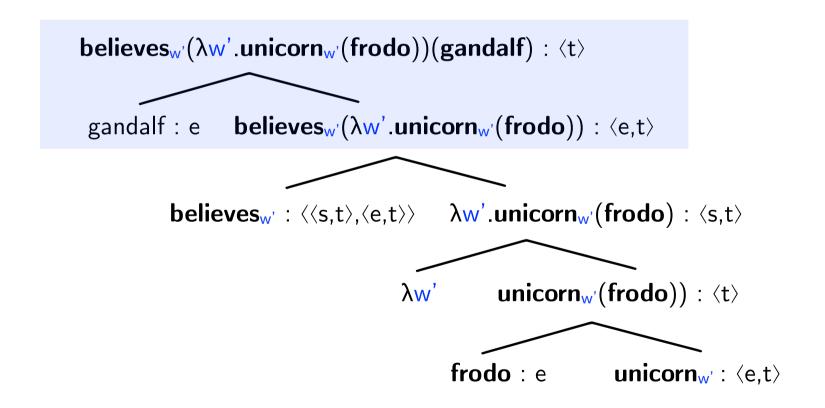
Because we need the intension of this expression in order to use it as an argument for **believe**, we need the **world variable** $\lambda w'$ to abstract it. As a result we to obtain an expression of type $\langle s, t \rangle$.





Example 4

Once the complementizer has been combined as argument with the Doxastic operator it needs competitions from the subject.

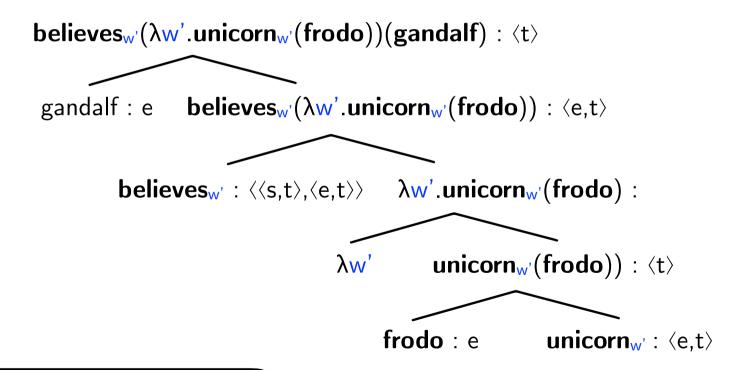




Example 4

The following tree can be paraphrased like this:

▶ [believes $(\lambda w')$.unicorn (frodo))(gandalf)] = 1, iff Gandalf believes in w' that Frodo is a unicorn.







Conclusion

If you need further help or have additional questions, please contact us.

